# STATE OF NEW YORK OFFICE OF THE STATE COMPTROLLER OFFICE OF OPERATIONS DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES BUREAU OF STATE ACCOUNTING OPERATIONS

Comptroller's Monthly Report On State Funds Cash Basis of Accounting

(Pursuant to Sec. 8(9-a) of the State Finance Law)

**April 2012** 



THOMAS P. DINAPOLI STATE COMPTROLLER

### STATE OF NEW YORK GOVERNMENTAL FUNDS

### CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (\*)

(amounts in millions)

EXHIBIT A SUPPLEMENTAL

				STATE OPERA			SERVICE	TOT			ERAL	0.1517.1.			REVENUE		TAL
		GENE MONTH OF	1 MO. ENDED	STATE SPEC	1 MO. ENDED	MONTH OF	1 MO. ENDED	STATE OPER	1 MO. ENDED	MONTH OF	1 MO. ENDED	CAPITAL F	1 MO. ENDED	MONTH OF	NATIONS 1 MO. ENDED	MONTH OF	1 MO. ENDED
		APR. 2012	APR. 30, 2012	APR. 2012	APR. 30, 2012	APR. 2012	APR. 30, 2012	APR. 2012	APR. 30, 2012	APR. 2012	APR. 30, 2012	APR. 2012	APR. 30, 2012	APR. 2012	APR. 30, 2012	APR. 2012	APR. 30, 2012
RECEIPTS:										-							
Personal Income Tax		\$3,850.8	\$3,850.8	\$	\$	\$1,283.6	\$1,283.6	\$5,134.4	\$5,134.4	\$	\$	\$	\$	\$	\$	\$5,134.4	\$5,134.4
Consumption/Use Taxes		651.9	651.9	195.5	195.5	195.3	195.3	1,042.7	1,042.7			42.7	42.7			1,085.4	1,085.4
Business Taxes		205.1	205.1	58.0	58.0			263.1	263.1			47.0	47.0			310.1	310.1
Other Taxes		113.8	113.8	139.6	139.6	68.6	68.6	322.0	322.0							322.0	322.0
Miscellaneous Receipts	(8)	116.8	116.8	868.0	868.0	18.2	18.2	1,003.0	1,003.0	11.8	11.8	241.0	241.0			1,255.8	1,255.8
Federal Receipts		3.7	3.7	0.6	0.6			4.3	4.3	1,968.7	1,968.7	30.5	30.5		-	2,003.5	2,003.5
Total Receipts		4,942.1	4,942.1	1,261.7	1,261.7	1,565.7	1,565.7	7,769.5	7,769.5	1,980.5	1,980.5	361.2	361.2			10,111.2	10,111.2
DISBURSEMENTS:																	
Local Assistance Grants	(1)(5)(6)(7)	1,150.5	1,150.5	468.4	468.4			1,618.9	1,618.9	1,945.5	1,945.5	7.4	7.4			3,571.8	3,571.8
Departmental Operations:	. , , , , , ,								•								•
Personal Service	(6)	596.1	596.1	384.7	384.7			980.8	980.8	40.5	40.5					1,021.3	1,021.3
Non-Personal Service	(6)	52.0	52.0	26.0	26.0	0.7	0.7	78.7	78.7	8.2	8.2					86.9	86.9
General State Charges		413.4	413.4	25.8	25.8			439.2	439.2							439.2	439.2
Debt Service, Including Payme	ents on																
Financing Agreements	(2)					175.9	175.9	175.9	175.9							175.9	175.9
Capital Projects	(3)			1.0	1.0			1.0	1.0			189.0	189.0			190.0	190.0
Total Disbursements		2,212.0	2,212.0	905.9	905.9	176.6	176.6	3,294.5	3,294.5	1,994.2	1,994.2	196.4	196.4	-		5,485.1	5,485.1
Excess (Deficiency) of Receip	ite																
over Disbursements	its	2,730.1	2,730.1	355.8	355.8	1,389.1	1,389.1	4,475.0	4,475.0	(13.7)	(13.7)	164.8	164.8			4.626.1	4,626.1
															-		
OTHER FINANCING SOURCE	S (USES):																
Bond Proceeds (net)																	
Transfers from Other Funds	(4)	1,530.2	1,530.2	76.5	76.5	615.2	615.2	2,221.9	2,221.9			(116.2)	(116.2)	(9.4)	, ,	2,096.3	2,096.3
Transfers to Other Funds	(4)	(409.6)	(409.6)			(1,581.1)	(1,581.1)	(1,990.7)	(1,990.7)	(21.5)	(21.5)	(93.5)	(93.5)	9.4	9.4	(2,096.3)	(2,096.3)
Total Other Financing So	urces (Uses)	1,120.6	1,120.6	76.5	76.5	(965.9)	(965.9)	231.2	231.2	(21.5)	(21.5)	(209.7)	(209.7)				
Excess (Deficiency) of Receip	nts																
and Other Financing Sources																	
Disbursements and Other Fin		3,850.7	3,850.7	432.3	432.3	423.2	423.2	4,706.2	4,706.2	(35.2)	(35.2)	(44.9)	(44.9)			4,626.1	4,626.1
3		-,	-,					.,. 50.2	.,	(30.2)	(-3.2)	()	( . 1.0)			.,	.,
Beginning Fund Balances (De	eficit)	1,786.7	1,786.7	1,632.8	1,632.8	427.5	427.5	3,847.0	3,847.0	(37.3)	(37.3)	(449.4)	(449.4)			3,360.3	3,360.3
Ending Fund Balances (Defici	it)	\$5,637.4	\$5,637.4	\$2,065.1	\$2,065.1	\$850.7	\$850.7	\$8,553.2	\$8,553.2	(\$72.5)	(\$72.5)	(\$494.3)	(\$494.3)	\$	\$	\$7,986.4	\$7,986.4
	•															· ———	

<sup>(\*)</sup> State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

Federal Special Revenue Funds account for all non-capital federal operating grants received by the State.

Capital Projects Funds includes all capital activities regardless of funding source.

### STATE OF NEW YORK GOVERNMENTAL FUNDS CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

**EXHIBIT A** 

		GEN	IERAL	SPECIAL	REVENUE	DEBT :	SERVICE	CAPITAL F	PROJECTS		TOTAL GOVERNM	ENTAL FUNDS		YEAR O	VER YEAR
		MONTH OF	1 MO. ENDED	MONTH OF	1 MO. ENDED	\$ Increase/	% Increase/								
RECEIPTS:		APR. 2012	APR. 30, 2012	APR. 2011	APR. 30, 2011	(Decrease)	Decrease								
Personal Income Tax		\$3,850.8	\$3,850.8	\$	\$	\$1,283.6	\$1,283.6	\$	s	\$5.134.4	\$5,134.4	\$5,537.9	\$5,537.9	(\$403.5)	-7.3%
Consumption/Use Taxes		651.9	651.9	195.5	195.5	195.3	195.3	42.7	42.7	1.085.4	1,085.4	1,140.9	1,140.9	(55.5)	-4.9%
Business Taxes		205.1	205.1	58.0	58.0	193.3	193.3	47.0	47.0	310.1	310.1	270.3	270.3	39.8	14.7%
Other Taxes		113.8	113.8	139.6	139.6	68.6	68.6			322.0	322.0	239.1	239.1	82.9	34.7%
Miscellaneous Receipts	(8)	116.8	116.8	879.8	879.8	18.2	18.2	241.0	241.0	1,255.8	1,255.8	1,600.5	1.600.5	(344.7)	-21.5%
Federal Receipts	(0)	3.7	3.7	1.969.3	1.969.3			30.5	30.5	2.003.5	2,003.5	4.110.3	4,110.3	(2,106.8)	-51.3%
Total Receipts		4,942.1	4.942.1	3,242,2	3,242.2	1,565,7	1.565.7	361.2	361.2	10.111.2	10,111.2	12.899.0	12,899.0	(2,787.8)	-21.6%
DISBURSEMENTS: Local Assistance Grants	(1)(5)(6)(7)	1,150.5	1.150.5	2.413.9	2.413.9			7.4	7.4	3.571.8	3.571.8	5.533.3	5,533.3	(1,961.5)	-35.4%
Departmental Operations:	(1)(5)(6)(7)	1,150.5	1,150.5	2,413.9	2,413.9			7.4	7.4	3,571.0	3,571.6	5,533.3	5,533.3	(1,961.5)	-35.4%
Personal Service	(6)	596.1	596.1	425.2	425.2					1,021.3	1,021.3	1.046.5	1,046.5	(25.2)	-2.4%
Non-Personal Service	(6)	52.0	52.0	34.2	34.2	0.7	0.7			86.9	86.9	450.0	450.0	(363.1)	-80.7%
General State Charges	(0)	413.4	413.4	25.8	25.8					439.2	439.2	451.9	451.9	(12.7)	-2.8%
Debt Service, Including Payments on				20.0	20.0					.00.2	.00.2	101.0	101.0	(12.7)	2.070
Financing Agreements	(2)					175.9	175.9			175.9	175.9	157.4	157.4	18.5	11.8%
Capital Projects	(3)			1.0	1.0			189.0	189.0	190.0	190.0	316.7	316.7	(126.7)	-40.0%
Total Disbursements	(-)	2,212.0	2,212.0	2,900.1	2,900.1	176.6	176.6	196.4	196.4	5,485.1	5,485.1	7,955.8	7,955.8	(2,470.7)	-31.1%
Excess (Deficiency) of Receipts															
over Disbursements		2,730.1	2,730.1	342.1	342.1	1,389.1	1,389.1	164.8	164.8	4,626.1	4,626.1	4,943.2	4,943.2	(317.1)	-6.4%
OTHER FINANCING SOURCES (USES	S):														
Bond Proceeds (net)															
Transfers from Other Funds	(4)	1,530.2	1,530.2	67.1	67.1	615.2	615.2	(116.2)	(116.2)	2,096.3	2,096.3	3,470.6	3,470.6	(1,374.3)	-39.6%
Transfers to Other Funds	(4)	(409.6)	(409.6)	(12.1)	(12.1)	(1,581.1)	(1,581.1)	(93.5)	(93.5)	(2,096.3)	(2,096.3)	(3,476.7)	(3,476.7)	(1,380.4)	-39.7%
Total Other Financing Sources (U	lses)	1,120.6	1,120.6	55.0	55.0	(965.9)	(965.9)	(209.7)	(209.7)			(6.1)	(6.1)	6.1	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over															
Disbursements and Other Financing	Uses	3,850.7	3,850.7	397.1	397.1	423.2	423.2	(44.9)	(44.9)	4,626.1	4,626.1	4,937.1	4,937.1	(311.0)	-6.3%
Beginning Fund Balances (Deficit)		1,786.7	1,786.7	1,595.5	1,595.5	427.5	427.5	(449.4)	(449.4)	3,360.3	3,360.3	3,812.3	3,812.3	(452.0)	-11.9%
Ending Fund Balances (Deficit)		\$5,637.4	\$5,637.4	\$1,992.6	\$1,992.6	\$850.7	\$850.7	(\$494.3)	(\$494.3)	\$7,986.4	\$7,986.4	\$8,749.4	\$8,749.4	(\$763.0)	-8.7%

### **GOVERNMENTAL FUNDS FOOTNOTES**

 Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in May 2012:

Federal DHHS	\$8.2	million
Federal USDA/Food and Consumer Services	2.5	
Federal DHHS/Block Grant		
Federal Education	179.2	
Federal Miscellaneous Operating Grants		
Federal Employment and Training Grants	0.3	

- 2. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to Schedule 5 and Schedule 5a.
- 3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$84.7	million
Urban Development Corporation (Youth Facilities)	4.1	
Housing Finance Agency (HFA)	130.9	
Housing Assistance Fund	20.4	
Dormitory Authority (Mental Hygiene)	358.7	
Dormitory Authority and State University Income Fund	25.7	
Federal Capital Projects	151.8	
State bond and note proceeds	19.7	

4. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

General Debt Service	\$506.1 r	million
MTA Financial Assistance	1.8	
Housing Debt Fund	2.0	

Also included in the General Fund are transfers representing payments for patients residing in Stateoperated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$2.2m), the State University Income Funds (\$6.1m) and the Mental Hygiene Program Account (\$7.6m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of April 30, 2012 - pursuant to a certification of the Budget Director - the reserve amount is \$438.1 million, which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds of \$12.1m representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hydiene facilities.

April 2012 - Exhibit A Notes

<u>Debt Service Funds</u> "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$1,282.7	million
Local Government Assistance Tax	190.2	
Clean Water/Clean Air	56.6	

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$14.1m) and the Mental Hygiene (\$37.5m).

<u>Capital Projects Funds</u> "Transfers To Other Funds" includes transfers to the General Fund (\$116.9m) and the General Debt Service Fund (\$92.8m).

5. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

Allocation of Month-End Balances

\$102,117,471

At month end, the following balances remained in agency escrow accounts. Accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

	General Fund	Special Revenue- Federal
Medicaid Recoveries - Health Facilities	\$	\$31,158
Medicaid Recoveries - Audit		1,092,730
Medicaid Recoveries - Third Parties		12,783,312
Pharmacy Rebates	97,769,639	88,210,271
Medicare Catastrophic Recovery	344,353	
Medicaid "Windfall" Recovery		

Total

6. Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Services to Non-Personal Services and Occupational Training Account Benefit Payments have been reclassified from Non-Personal Services to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Personal Service, Non-Personal Service and Local Assistance Grant disbursements.

\$98,113,992

7. Beginning April 1, 2012 New York State converted to a new Central Accounting System. Local Assistance Grants disbursements are now accounted for by program and not by object of expense. Therefore, only a total is available for reporting purposes.

### **GOVERNMENTAL FUNDS FOOTNOTES (continued)**

### 8. Miscellaneous receipts in Governmental Funds include:

	GENERAL	GENERAL SPECIAL DEBT		CAPITAL	1 Month Ended April 30		\$ Increase/	
	FUND	REVENUE	SERVICE	PROJECTS	2012	2011	(Decrease)	
		(a	mounts in million	s)				
Abandoned Property								
Abandoned Property	\$39.3	\$	\$	\$	\$39.3	\$0.4	\$38.9	
Unclaimed Bottle Deposits	0.7				0.7	(0.1)	0.8	
Interest Earnings	0.8	3.5	2.8		7.1	3.6	3.5	
Receipts from Public Authorities:								
Bond Issuance Fees		6.4			6.4	1.9	4.5	
Cost Recovery Assessments		10.9			10.9	12.8	(1.9)	
Environmental Facilities Corporation						3.1	(3.1)	
Port of Oswego				0.1	0.1		0.1	
Power Authority	6.0				6.0		6.0	
Thruway Authority - Policing the Thruway		3.4			3.4	3.8	(0.4)	
Bond Proceeds								
Dormitory Authority				163.2	163.2	135.5	27.7	
Empire State/Urban Development Corporation						132.2	(132.2)	
All Other		0.3			0.3	0.3		
Refunds and Reimbursements:								
Receipts from Municipalities	17.0	4.5	0.1		21.6	35.8	(14.2)	
Women, Infants and Children Rebates		8.9			8.9	7.7	1.2	
HESC Student Loan Recoveries						5.0	(5.0)	
Administrative Recoveries						0.5	(0.5)	
Indirect Cost Assessments	1.0				1.0	1.3	(0.3)	
Reimbursements from Cornell University						1.6	(1.6)	
Hazardous Waste and Oil Spill		0.2			0.2	2.7	(2.5)	
Third Party Recoveries		4.9			4.9	17.7	(12.8)	
All Other	0.5	3.7	0.6	0.4	5.2	2.0	3.2	
Health Care Reform Act:								
Public Goods and Health Care Initiatives Pools		320.2			320.2	333.6	(13.4)	
Revenues of State Departments:							(,	
Patient/Client Care Reimbursements		77.1	14.0		91.1	167.6	(76.5)	
Medical Care Provider Assessments		68.6			68.6	64.9	3.7	
Industry Assessments - Regular		21.2		9.1	30.3	55.5	(25.2)	
Industry Assessments - Temporary Utility Surcharge							(20.2)	
Student Tuition, Fees and Other SUNY Revenues		(11.5)	0.7		(10.8)	95.0	(105.8)	
Student Tuition, Fees and Other CUNY Revenues		(11.0)			(10.0)	12.1	(12.1)	
EPIC Fees and Rebates						32.8	(32.8)	
Miscellaneous Sales, Rentals and Leases	0.1	0.8		0.3	1.2	1.8	(0.6)	
Gifts	0.1	0.8			1.0	1.4	(0.4)	
All Other	39.1	3.0			42.1	3.5	38.6	
Gaming:	33.1	3.0			72.1	3.3	30.0	
Lottery - Education		172.5			172.5	142.6	29.9	
Lottery - Administration		48.8			48.8	43.4	5.4	
Video Lottery Terminal - Education		65.1			65.1	43.0	22.1	
Video Lottery Terminal - Education  Video Lottery Terminal - Administration		4.2			4.2	43.0 2.7	1.5	
Casinos					4.2	2.1		
Licenses and Fees								
	F.C	35.7		04.0	106.2	00.0	40.4	
Motor Vehicle - Other	5.6	35.7		64.9	106.2 0.0	86.8 18.1	19.4	
Motor Vehicle - Metropolitan Transportation Authority	 5.7						(18.1) 1.2	
All Other	5.7				5.7	4.5		
All Other	1.0	22.7		1.8	25.5	97.7	(72.2)	
Fines	(0.2)	3.9	 (*40.0	1.2	4.9	25.7	(20.8)	
TOTAL	\$116.8	\$879.8	\$18.2	\$241.0	\$1,255.8	\$1,600.5	(\$344.7)	

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

### **TOTAL PROPRIETARY FUNDS**

	ENTERPRISE		INTERNA	L SERVICE	(memorandum only)				
	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2011	1 MO. ENDED APR. 30, 2011	
RECEIPTS:									
Miscellaneous Receipts	\$2.9	\$2.9	\$2.5	\$2.5	\$5.4	\$5.4	\$32.0	\$32.0	
Federal Receipts	280.0	280.0			280.0	280.0	330.5	330.5	
Unemployment Taxes	273.2	273.2			273.2	273.2	279.9	279.9	
TOTAL RECEIPTS	556.1	556.1	2.5	2.5	558.6	558.6	642.4	642.4	
DISBURSEMENTS:									
Departmental Operations:									
Personal Service	0.3	0.3	8.6	8.6	8.9	8.9	9.3	9.3	
Non-Personal Service	0.4	0.4	2.7	2.7	3.1	3.1	25.0	25.0	
General State Charges							0.1	0.1	
Unemployment Benefits	627.2	627.2			627.2	627.2	606.4	606.4	
TOTAL DISBURSEMENTS	627.9	627.9	11.3	11.3	639.2	639.2	640.8	640.8	
EXCESS (DEFICIENCY) OF RECEIPTS									
OVER DISBURSEMENTS	(71.8)	(71.8)	(8.8)	(8.8)	(80.6)	(80.6)	1.6	1.6	
OTHER FINANCING SOURCES (USES):									
Transfers from Other Funds							6.2	6.2	
Transfers to Other Funds									
NET SOURCES (USES)							6.2	6.2	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other									
Financing Uses	(71.8)	(71.8)	(8.8)	(8.8)	(80.6)	(80.6)	7.8	7.8	
BEGINNING FUND EQUITY (DEFICITS)	97.1	97.1	41.9	41.9	139.0	139.0	49.9	49.9	
ENDING FUND EQUITY (DEFICITS)	\$25.3	\$25.3	\$33.1	\$33.1	\$58.4	\$58.4	\$57.7	\$57.7	

STATE OF NEW YORK
EXHIBIT C

TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

	MO. ENDED APR. 30, 2011
RECEIPTS:	
Miscellaneous Receipts         \$3.6         \$3.6         \$0.3         \$0.3         \$3.9         \$3.9         \$4.0	\$4.0
TOTAL RECEIPTS         3.6         3.6         0.3         0.3         3.9         3.9         4.0	4.0
DISBURSEMENTS:	
Departmental Operations:	
Personal Service 4.4 4.4 4.4 4.4 4.6	4.6
Non-Personal Service 0.4 0.4 0.4 0.4 1.4	1.4
General State Charges 6.6	6.6
TOTAL DISBURSEMENTS         4.8         4.8           4.8         4.8         12.6	12.6
EXCESS (DEFICIENCY) OF RECEIPTS	
OVER DISBURSEMENTS         (1.2)         (1.2)         0.3         0.3         (0.9)         (0.9)         (8.6)	(8.6)
OTHER FINANCING SOURCES (USES):	
Transfers from Other Funds	
Transfers to Other Funds	
NET SOURCES (USES)	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other	
Financing Uses (1.2) (1.2) 0.3 (0.9) (0.9) (8.6)	(8.6)
<b>BEGINNING FUND EQUITY (DEFICITS)</b> 0.9 0.9 10.2 10.2 11.1 11.1 10.7	10.7
ENDING FUND EQUITY (DEFICITS) (\$0.3) (\$0.3) \$10.5 \$10.2 \$10.2 \$2.1	\$2.1

### **EXHIBIT D**

STATE OF NEW YORK BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL STATE FISCAL YEAR ENDED MARCH 31, 2013 FOR ONE (1) MONTH ENDED APRIL 30, 2012 (amounts in millions)

RECEIPTS:   Taxes		ALL	GOVERNMENTAL FUNDS	
Taxes.         \$6,366         \$6,851.9         \$485.9           Miscellaneous Receipts.         1,650         1,255.8         (394.2)           Federal Receipts.         2,895         2,003.5         (891.5)           Total Receipts.         10,911         10,111.2         (799.8)           DISBURSEMENTS:           Local Assistance Grants.         4,914         3,571.8         (1,342.2)           Departmental Operations.         1,466         1,108.2         (357.8)           General State Charges.         513         439.2         (73.8)           Debt Service.         175         175.9         0.9           Capital Projects.         391         190.0         (201.0)           Total Disbursements.         7,459         5,485.1         (1,973.9)           Excess (Deficiency) of Receipts         3,452         4,626.1         1,174.1           OTHER FINANCING SOURCES (USES):           Bond and Note Proceeds, net.         3         3         -         (33.0)           Transfers to Other Funds.         2,558         2,096.3         (461.7)           Total Other Financing Sources (Uses)         27.0         -         (27.0)           Excess (Deficiency) of Receipts and Other Financi	_ 	Financial Plan (*)	Actual	Over (Under)
Taxes.         \$6,366         \$6,851.9         \$485.9           Miscellaneous Receipts.         1,650         1,255.8         (394.2)           Federal Receipts.         2,895         2,003.5         (891.5)           Total Receipts.         10,911         10,111.2         (799.8)           DISBURSEMENTS:           Local Assistance Grants.         4,914         3,571.8         (1,342.2)           Departmental Operations.         1,466         1,108.2         (357.8)           General State Charges.         513         439.2         (73.8)           Debt Service.         175         175.9         0.9           Capital Projects.         391         190.0         (201.0)           Total Disbursements.         7,459         5,485.1         (1,973.9)           Excess (Deficiency) of Receipts         3,452         4,626.1         1,174.1           OTHER FINANCING SOURCES (USES):           Bond and Note Proceeds, net.         3         3         -         (33.0)           Transfers to Other Funds.         2,558         2,096.3         (461.7)           Total Other Financing Sources (Uses)         27.0         -         (27.0)           Excess (Deficiency) of Receipts and Other Financi	DECEIDTS.			
Miscellaneous Receipts         1,650         1,255.8         (394.2)           Federal Receipts         2,895         2,003.5         (891.5)           Total Receipts         10,911         10,111.2         (799.8)           DISBURSEMENTS:           Local Assistance Grants         4,914         3,571.8         (1,342.2)           Departmental Operations         1,466         1,108.2         (357.8)           General State Charges         513         439.2         (73.8)           Debt Service         175         175.9         0.9           Capital Projects         391         190.0         (201.0)           Total Disbursements         7,459         5,485.1         (1,973.9)           Excess (Deficiency) of Receipts         3,452         4,626.1         1,174.1           OTHER FINANCING SOURCES (USES):         3         -         (33.0)           Bond and Note Proceeds, net         3         -         (33.0)           Transfers from Other Funds         2,558         2,096.3         (461.7)           Total Other Financing Sources (Uses)         27.0         -         (27.0)           Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Sources over Disbursements and Other Financin		\$6.366	\$6 851 Q	\$485 Q
Pederal Receipts		* - 7	. ,	*
DISBURSEMENTS:	•	· · · · · · · · · · · · · · · · · · ·	•	,
DISBURSEMENTS:           Local Assistance Grants         4,914         3,571.8         (1,342.2)           Departmental Operations         1,466         1,108.2         (357.8)           General State Charges         513         439.2         (73.8)           Debt Service         175         175.9         0.9           Capital Projects         391         190.0         (201.0)           Total Disbursements         7,459         5,485.1         (1,973.9)           Excess (Deficiency) of Receipts         3,452         4,626.1         1,174.1           OTHER FINANCING SOURCES (USES):           Bond and Note Proceeds, net         33         -         (33.0)           Transfers from Other Funds         2,558         2,096.3         (461.7)           Total Other Funds         (2,564)         (2,096.3)         (467.7)           Total Other Financing Sources (Uses)         27.0         -         (27.0)           Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses         3,479         4,626.1         1,147.1           Fund Balances (Deficit) at April 1         3,360         3,360.3         0.3	·			
Local Assistance Grants		10,011		(100.0)
Departmental Operations	DISBURSEMENTS:			
General State Charges       513       439.2       (73.8)         Debt Service       175       175.9       0.9         Capital Projects       391       190.0       (201.0)         Total Disbursements       7,459       5,485.1       (1,973.9)         Excess (Deficiency) of Receipts over Disbursements       3,452       4,626.1       1,174.1         OTHER FINANCING SOURCES (USES):       33       -       (33.0)         Bond and Note Proceeds, net       33       -       (33.0)         Transfers from Other Funds       2,558       2,096.3       (461.7)         Transfers to Other Funds       (2,564)       (2,096.3)       (467.7)         Total Other Financing Sources (Uses)       27.0       -       (27.0)         Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses       3,479       4,626.1       1,147.1         Fund Balances (Deficit) at April 1       3,360       3,360.3       0.3	Local Assistance Grants	4,914	3,571.8	(1,342.2)
Debt Service	Departmental Operations	1,466	1,108.2	(357.8)
Debt Service	General State Charges	513	439.2	(73.8)
Total Disbursements         7,459         5,485.1         (1,973.9)           Excess (Deficiency) of Receipts over Disbursements         3,452         4,626.1         1,174.1           OTHER FINANCING SOURCES (USES):         33         -         (33.0)           Bond and Note Proceeds, net	Debt Service	175	175.9	
Total Disbursements         7,459         5,485.1         (1,973.9)           Excess (Deficiency) of Receipts over Disbursements         3,452         4,626.1         1,174.1           OTHER FINANCING SOURCES (USES):	Capital Projects	391	190.0	(201.0)
over Disbursements	Total Disbursements	7,459	5,485.1	(1,973.9)
OTHER FINANCING SOURCES (USES):         Bond and Note Proceeds, net	Excess (Deficiency) of Receipts			
Sond and Note Proceeds, net	over Disbursements	3,452	4,626.1	1,174.1
Sond and Note Proceeds, net	OTHER FINANCING SOURCES (USES):			
Transfers from Other Funds         2,558         2,096.3         (461.7)           Transfers to Other Funds         (2,564)         (2,096.3)         (467.7)           Total Other Financing Sources (Uses)         27.0          (27.0)           Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses         3,479         4,626.1         1,147.1           Fund Balances (Deficit) at April 1         3,360         3,360.3         0.3	Bond and Note Proceeds, net	33		(33.0)
Transfers to Other Funds		2.558	2.096.3	,
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		· · · · · · · · · · · · · · · · · · ·	•	, ,
Financing Sources over Disbursements       3,479       4,626.1       1,147.1         Fund Balances (Deficit) at April 1       3,360       3,360.3       0.3	Total Other Financing Sources (Uses)	27.0	<u></u> _	(27.0)
Fund Balances (Deficit) at April 1				
· · ·	•	3,479	4,626.1	1,147.1
· · ·	Fund Balances (Deficit) at April 1	3,360	3,360.3	0.3
	Fund Balances (Deficit) at April 30	\$6,839	\$7,986.4	\$1,147.4

<sup>(\*)</sup> Source: 2012-13 Enacted Budget, dated April 1, 2012.

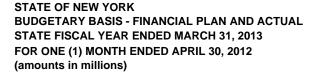
## STATE OF NEW YORK BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL STATE FISCAL YEAR ENDED MARCH 31, 2013 FOR ONE (1) MONTH ENDED APRIL 30, 2012 (amounts in millions)



		GENERAL		SPECIAL REVENUE			
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	
RECEIPTS:							
Taxes:							
Personal Income	\$3,514	\$3,850.8	\$336.8	\$	\$	\$	
Consumption/Use	711	651.9	(59.1)	210	195.5	(14.5)	
Business	111	205.1	94.1	62	58.0	(4.0)	
Other	95	113.8	18.8	107	139.6	32.6	
Miscellaneous Receipts	78	116.8	38.8	1,212	879.8	(332.2)	
Federal Receipts		3.7	3.7	2,756	1,969.3	(786.7)	
Bond and Note Proceeds, net							
Transfers From:							
PIT in excess of Revenue Bond Debt Service	1,171	1,282.7	111.7				
Sales Tax in excess of LGAC Debt Service	214	190.2	(23.8)				
Real Estate Taxes in excess of CW/CA Debt Service	65	56.6	(8.4)				
All Other	26	0.7	(25.3)	451	67.1	(383.9)	
Total Receipts	5,985	6,472.3	487.3	4,798	3,309.3	(1,488.7)	
DISBURSEMENTS:							
Local Assistance Grants	1,525	1,150.5	(374.5)	3,276	2,413.9	(862.1)	
Departmental Operations	772	648.1	(123.9)	693	459.4	(233.6)	
General State Charges	324	413.4	89.4	189	25.8	(163.2)	
Debt Service						` ′	
Capital Projects					1.0	1.0	
Transfers To:							
Debt Service	507	506.1	(0.9)	<del></del>			
Capital Projects	(22)	(116.2)	(94.2)	<del></del>			
State Share Medicaid	174	15.9 (**)	(158.1)				
Other Purposes	160	3.8	(156.2)	73	12.1	(60.9)	
Total Disbursements	3,440	2,621.6	(818.4)	4,231	2,912.2	(1,318.8)	
Excess (Deficiency) of Receipts and Other							
Financing Sources over Disbursements							
and Other Financing Uses	2,545	3,850.7	1,305.7	567	397.1	(169.9)	
Fund Balances (Deficit) at April 1	1,787	1,786.7	(0.3)	1,594	1,595.5	1.5	
Fund Balances (Deficit) at April 30	\$4,332	\$5,637.4	\$1,305.4	\$2,161	\$1,992.6	(\$168.4)	
, , ,		· ,					

<sup>(\*)</sup> Source: 2012-13 Enacted Budget, dated April 1, 2012.

<sup>(\*\*)</sup> Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.





		DEBT SERVICE		C	APITAL PROJECTS	•
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes	\$1,463	\$1,547.5	\$84.5	\$93	\$89.7	(\$3.3)
Miscellaneous Receipts	ψ1, <del>4</del> 03 81	18.2	(62.8)	279	241.0	(38.0)
Federal Receipts		10.2	(02.0)	139	30.5	(108.5)
Bond and Note Proceeds, net				33	30.3	(33.0)
Transfers from Other Funds	649	615.2	(33.8)	(18)	(116.2)	(98.2)
	2,193	2,180.9		526	245.0	(281.0)
Total Receipts	2,193	2,100.9	(12.1)		243.0	(201.0)
DISBURSEMENTS:						
Local Assistance Grants				113	7.4	(105.6)
Departmental Operations	1	0.7	(0.3)			
General State Charges						
Debt Service	175	175.9	0.9			
Capital Projects			<b></b>	391	189.0	(202.0)
Transfers to Other Funds	1,579	1,581.1	2.1	93	93.5	0.5
Total Disbursements	1,755	1,757.7	2.7	597	289.9	(307.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	438	423.2	(14.8)	(71)	(44.9)	26.1
Fund Delenges (Deficit) at Annil 4	428	407 F	(0.5)	(440)	(440.4)	(0.4)
Fund Balances (Deficit) at April 1		427.5	(0.5)	(449)	(\$49.4)	(0.4)
Fund Balances (Deficit) at April 30	\$866	\$850.7	(\$15.3)	(\$520)	(\$494.3)	\$25.7

<sup>(\*)</sup> Source: 2012-13 Enacted Budget, dated April 1, 2012.

### STATE OF NEW YORK GOVERNMENTAL FUNDS COMPARATIVE SCHEDULE OF TAX RECEIPTS (amounts in millions)

EXHIBIT "E"

		ERAL		REVENUE		ERVICE		PROJECTS			MENTAL FUNDS		YEAR OV	ER YEAR
	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2012	1 MO. ENDED APR. 30, 2012	MONTH OF APR. 2011	1 MO. ENDED APR. 30, 2011	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,373.6	\$2,373.6	\$	\$	\$	\$	\$	\$	\$2,373.6	\$2,373.6	\$2,303.4	\$2,303.4	\$70.2	3.0%
Estimated payments	3,848.6	3,848.6							3,848.6	3,848.6	4,184.4	4,184.4	(335.8)	-8.0%
Final returns	1,452.9	1,452.9							1,452.9	1,452.9	1,440.7	1,440.7	12.2	0.8%
State/City Offsets	(56.0)	(56.0)							(56.0)	(56.0)	(56.8)	(56.8)	(0.8)	-1.4%
Other (Assessments/LLC)	130.8	130.8							130.8	130.8	123.7	123.7	7.1	5.7%
Gross Receipts	7,749.9	7,749.9							7,749.9	7,749.9	7,995.4	7,995.4	(245.5)	-3.1%
Transfers to School Tax Relief Fund														
Transfers to Revenue Bond Tax Fund	(1,283.6)	(1,283.6)			1,283.6	1,283.6								
Less: Refunds Issued	(2,615.5)	(2,615.5)							(2,615.5)	(2,615.5)	(2,457.5)	(2,457.5)	158.0	6.4%
Total	3,850.8	3,850.8			1,283.6	1,283.6			5,134.4	5,134.4	5,537.9	5,537.9	(403.5)	-7.3%
CONSUMPTION / USE TAXES														
Sales and Use	596.9	596.9	70.9	70.9	195.3	195.3			863.1	863.1	922.1	922.1	(59.0)	-6.4%
Auto Rental			0.6	0.6			1.1	1.1	1.7	1.7	(0.4)	(0.4)	2.1	525.0%
Cigarette/Tobacco Products	37.5	37.5	96.5	96.5					134.0	134.0	132.5	132.5	1.5	1.1%
Motor Fuel			6.9	6.9			28.2	28.2	35.1	35.1	36.0	36.0	(0.9)	-2.5%
Alcoholic Beverage	17.5	17.5							17.5	17.5	18.6	18.6	(1.1)	-5.9%
Highway Use							13.4	13.4	13.4	13.4	12.1	12.1	1.3	10.7%
Metropolitan Commuter Trans. Taxicab Trip			20.6	20.6					20.6	20.6	20.0	20.0	0.6	3.0%
Total	651.9	651.9	195.5	195.5	195.3	195.3	42.7	42.7	1,085.4	1,085.4	1,140.9	1,140.9	(55.5)	-4.9%
BUSINESS TAXES														
Corporation Franchise	171.1	171.1	7.5	7.5					178.6	178.6	159.9	159.9	18.7	11.7%
Corporation and Utilities	24.8	24.8	12.9	12.9			(0.2)	(0.2)	37.5	37.5	(3.3)	(3.3)	40.8	1236.4%
Insurance	13.3	13.3					` ´	` ′	13.3	13.3	1.5	1.5	11.8	786.7%
Bank	(4.1)	(4.1)	(0.2)	(0.2)					(4.3)	(4.3)	25.7	25.7	(30.0)	-116.7%
Petroleum Business	` ´	` ′	37.8	37.8			47.2	47.2	85.0	85.0	86.5	86.5	(1.5)	-1.7%
Total	205.1	205.1	58.0	58.0			47.0	47.0	310.1	310.1	270.3	270.3	39.8	14.7%
OTHER TAXES														
Real Property Gains														
Estate and Gift	112.7	112.7							112.7	112.7	65.6	65.6	47.1	71.8%
Pari-Mutuel	1.0	1.0							1.0	1.0	0.9	0.9	0.1	11.1%
Real Estate Transfer					68.6	68.6			68.6	68.6	48.0	48.0	20.6	42.9%
Racing and Exhibitions	0.1	0.1							0.1	0.1			0.1	100.0%
Metropolitan Commuter Trans. Mobility			139.6	139.6					139.6	139.6	124.6	124.6	15.0	12.0%
Total	113.8	113.8	139.6	139.6	68.6	68.6			322.0	322.0	239.1	239.1	82.9	34.7%
TOTAL TAX RECEIPTS	\$4,821.6	\$4,821.6	\$393.1	\$393.1	\$1,547.5	\$1,547.5	\$89.7	\$89.7	\$6,851.9	\$6,851.9	\$7,188.2	\$7,188.2	(\$336.3)	-4.7%

GOVERNMENTAL FUNDS CASH FLOW

STATE OF NEW YORK GOVERNMENTAL FUNDS (\*) STATEMENT OF CASH FLOW FISCAL YEAR 2012-2013 (amounts in millions)

2012   2013   2014   2015   2015   2015   2016	% Increase/ Decrease -11.9% -7.3% -4.9% 14.7%
	-7.3% -4.9%
RECEIPTS:	-4.9%
Personal Income Tax         5,134.4         5,537.9         (403.5)           Consumption/Use Taxes         1,085.4         1,140.9         (55.5)           Business Taxes         310.1         270.3         39.8           Other Taxes         322.0         239.1         82.9           Miscellaneous Receipts         1,255.8         1,600.5         (344.7)           Federal Receipts         2,003.5         4,110.3         (2,106.8)	34.7% -21.5% -51.3%
Total Receipts 10,111.2 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0	-21.6%
DISBURSEMENTS:       Local Assistance Grants (***)     3,571.8     5,533.3     (1,961.5)       Departmental Operations:     1,021.3     1,046.5     (25.2)       Personal Service (**)     1,021.3     1,046.5     (25.2)       Non-Personal Service (**)(***)     86.9     450.0     (363.1)       General State Charges     439.2     451.9     (12.7)       Debt Service, Including Payments on     439.2     451.9     (12.7)	-35.4% -2.4% -80.7% -2.8%
Financing Agreements       175.9       157.4       18.5         Capital Projects       190.0       316.7       (126.7)	11.8% -40.0%
Total Disbursements 5,485.1 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0	-31.1%
Excess (Deficiency) of Receipts over Disbursements 4,626.1 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0	-6.4%
OTHER FINANCING SOURCES (USES):       Bond Proceeds (net)	 -39.6% -39.7%
Total Other Financing Sources (Uses) 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	-6.3%
CLOSING CASH BALANCE         \$7,986.4         \$0.0         \$	-8.7%

<sup>(\*)</sup> Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

														1 Month Er	nded Apr. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX																
Withholdings Estimated payments Final returns State/City Offsets Other (Assessments/LLC) Gross Receipts Transfers to School Tax Relief Fund Transfers to Revenue Bond Tax Fund Refunds issued Total Personal Income Tax	\$2,373.6 3,848.6 1,452.9 (56.0) 130.8 7,749.9  (2,615.5) 5,134.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	\$2,373.6 3,848.6 1,452.9 (56.0) 130.8 7,749.9  (2,615.5) 5,134.4	\$2,303.4 4,184.4 1,440.7 (56.8) 123.7 7,995.4  (2,457.5) 5,537.9	\$70.2 (335.8) 12.2 (0.8) 7.1 (245.5)  158.0 (403.5)	3.0% -8.0% 0.8% -1.4% -3.1%    
CONSUMPTION/USE TAXES																
Sales and Use Auto Rental Cigarette/Tobacco Products Motor Fuel Alcoholic Beverage Highway Use Metropolitan Commuter Trans. Taxicab Trip Total Consumption/Use Taxes	863.1 1.7 134.0 35.1 17.5 13.4 20.6 1,085.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	863.1 1.7 134.0 35.1 17.5 13.4 20.6 1,085.4	922.1 (0.4) 132.5 36.0 18.6 12.1 20.0 1,140.9	(59.0) 2.1 1.5 (0.9) (1.1) 1.3 0.6 (55.5)	-6.4% 525.0% 1.1% -2.5% -5.9% 10.7% 3.0% -4.9%
BUSINESS TAXES																
Corporation Franchise Corporation and Utilities Insurance Bank Petroleum Business Total Business Taxes	178.6 37.5 13.3 (4.3) 85.0 310.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	178.6 37.5 13.3 (4.3) 85.0 310.1	159.9 (3.3) 1.5 25.7 86.5 270.3	18.7 40.8 11.8 (30.0) (1.5) 39.8	11.7% 1236.4% 786.7% -116.7% -1.7%
OTHER TAXES																
Real Property Gains Estate and Gift Pari-Mutuel Real Estate Transfer Racing and Exhibitions Metropolitan Commuter Trans. Mobility Total Other Taxes	112.7 1.0 68.6 0.1 139.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	112.7 1.0 68.6 0.1 139.6 322.0	65.6 0.9 48.0  124.6 239.1	47.1 0.1 20.6 0.1 15.0 82.9	71.8% 11.1% 42.9% 100.0% 12.0% 34.7%
TOTAL TAX RECEIPTS	\$6,851.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$6,851.9	\$7,188.2	(\$336.3)	-4.7%

<sup>(\*)</sup> Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

EXHIBIT "F"

STATE OF NEW YORK GENERAL FUND STATEMENT OF CASH FLOW FISCAL YEAR 2012-2013 (amounts in millions)

,														1 Month End	ed Apr. 30	
	2012									2013					\$ Increase/	% Increase/
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011	(Decrease)	Decrease
OPENING CASH BALANCE	\$1,786.7												\$1,786.7	\$1,376.1	\$410.6	29.8%
RECEIPTS:																
Personal Income Tax	3,850.8												3,850.8	4,153.4	(302.6)	-7.3%
Consumption/Use Taxes	651.9												651.9	689.1	(37.2)	-5.4%
Business Taxes	205.1												205.1	161.2	43.9	27.2%
Other Taxes	113.8												113.8	66.5	47.3	71.1%
Miscellaneous Receipts	116.8												116.8	77.4	39.4	50.9%
Federal Receipts	3.7												3.7	1.6	2.1	131.3%
Total Receipts	4,942.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	4,942.1	5,149.2	(207.1)	-4.02%
DISBURSEMENTS:																
Local Assistance Grants:	1,150.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,150.5	1,589.4	(438.9)	-27.6%
Departmental Operations:													·		, ,	
Personal Service (*)	596.1												596.1	602.0	(5.9)	-1.0%
Non-Personal Service (*)	52.0												52.0	199.2	(147.2)	-73.9%
General State Charges	413.4												413.4	404.1	9.3	2.3%
Total Disbursements	2,212.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,212.0	2,794.7	(582.7)	-20.9%
Excess (Deficiency) of Receipts																
over Disbursements	2,730.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,730.1	2,354.5	375.6	16.0%
OTHER FINANCING COURSES (USES)														-		
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,530.2												1,530.2	1,720.3	(190.1)	-11.1%
Transfers to State Capital Projects	116.2												116.2	22.9	(93.3)	-407.4%
Transfers to Federal Capital Projects															'	
Transfers to General Debt Service	(506.1)												(506.1)	(521.9)	(15.8)	-3.0%
Transfers to All Other State Funds	(19.7)												(19.7)	(441.6)	(421.9)	-95.5%
Total Other Financing																
Sources (Uses)	1,120.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,120.6	779.7	340.9	43.7%
Excess (Deficiency) of Receipts and																
Other Financing Sources over																
Disbursements and Other Financing Uses	3,850.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3,850.7	3,134.2	716.5	22.9%
CLOSING CASH BALANCE	\$5,637.4	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$5,637.4	\$4,510.3	\$1,127.1	25.0%

<sup>(\*)</sup>Beginning in April 2012, OT meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

EXHIBIT "F"

TAX RECEIPTS

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2012-2013
(amounts in millions)

													1 Month En	ded Apr. 30
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
PERSONAL INCOME TAX														
Withholdings Estimated payments Final returns State/City Offsets Other (Assessments/LLC) Gross Receipts	\$2,373.6 3,848.6 1,452.9 (56.0) 130.8 7,749.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	\$2,373.6 3,848.6 1,452.9 (56.0) 130.8 7,749.9	\$2,303.4 4,184.4 1,440.7 (56.8) 123.7 7,995.4
Transfers to School Tax Relief Fund Transfers to Revenue Bond Tax Fund Refunds issued Total Personal Income Tax	(1,283.6) (2,615.5) 3,850.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(1,283.6) (2,615.5) 3,850.8	(1,384.5) (2,457.5) 4,153.4
CONSUMPTION/USE TAXES														1,100.1
Sales and Use Auto Rental Cigarette/Tobacco Products Motor Fuel Alcoholic Beverage Highway Use Metropolitan Commuter Trans. Taxicab Trip Total Consumption/Use Taxes	596.9  37.5  17.5   651.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	596.9  37.5  17.5   651.9	633.3  37.2  18.6   689.1
BUSINESS TAXES														
Corporation Franchise Corporation and Utilities Insurance Bank Petroleum Business Total Business Taxes	171.1 24.8 13.3 (4.1)  205.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	171.1 24.8 13.3 (4.1)  205.1	141.8 (3.8) 0.2 23.0  161.2
OTHER TAXES														
Real Property Gains Estate and Gift Pari-Mutuel Real Estate Transfer Racing and Exhibitions Metropolitan Commuter Trans. Mobility	112.7 1.0  0.1												112.7 1.0  0.1	65.6 0.9  
Total Other Taxes	113.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	113.8	66.5
TOTAL TAX RECEIPTS	\$4,821.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$4,821.6	\$5,070.2

### STATE OF NEW YORK **SPECIAL REVENUE FUNDS - COMBINED** STATEMENT OF CASH FLOW **FISCAL YEAR 2012-2013**

(amounts in millions)

EXHIBIT "G" **COMBINED** 

													1	Month Ended	Apr. 30	
	2012 APRIL	MAY	ILINIE	II II V	ALICHET	CEDTEMBED	OCTOBER	NOVEMBER	DECEMBER	2013	CEDDUADY.	MARCH	2012	2011	\$ Increase/	% Increase/
OPENING CASH BALANCE	\$1,595.5	IVIAT	JUNE	JULY	AUGUST	<u>SEPTEMBER</u>	OCTOBER	NOVEWBER	DECEMBER	JANUARY	FEBRUARY	WARCH	2012 \$1,595.5	\$2,149.3	(Decrease) (\$553.8)	Decrease -25.8%
OI ENING GAGII BALANCE	ψ1,555.5												ψ1,555.5	Ψ2,143.3	(ψυσυ.υ)	-23.070
RECEIPTS:																
Personal Income Tax Consumption/Use Taxes	 195.5												 195.5	 206.1	(10.6)	 -5.1%
Business Taxes	195.5 58.0												195.5	61.1	(3.1)	-5.1% -5.1%
Other Taxes	139.6												139.6	124.6	15.0	12.0%
Miscellaneous Receipts	879.8												879.8	1,113.4	(233.6)	-21.0%
Federal Receipts	1,969.3												1,969.3	3,978.4	(2,009.1)	-50.5%
Total Receipts	3,242.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3,242.2	5,483.6	(2,241.4)	-40.9%
DISBURSEMENTS:																
Local Assistance Grants (**)	2,413.9												2,413.9	3,752.1	(1,338.2)	-35.7%
Departmental Operations:	2,415.5												2,415.5	3,732.1	(1,550.2)	-33.1 70
Personal Service (*)	425.2												425.2	444.5	(19.3)	-4.3%
Non-Personal Service (*)(**)	34.2												34.2	249.4	(215.2)	-86.3%
General State Charges	25.8												25.8	47.8	(22.0)	-46.0%
Capital Projects	1.0												1.0	0.5	0.5	100.0%
Total Disbursements	2,900.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,900.1	4,494.3	(1,594.2)	-35.5%
Excess (Deficiency) of Receipts																
over Disbursements	342.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	342.1	989.3	(647.2)	-65.4%
	·					· -										
OTHER FINANCING SOURCES (USES): Transfers from Other Funds	67.1												67.1	748.3	(681.2)	-91.0%
Transfers from Other Funds Transfers to Other Funds	(12.1)												(12.1)	(489.1)	(477.0)	-91.0% -97.5%
Transfers to Other Funds	(12.1)												(12.1)	(403.1)	(477.0)	-37.370
Total Other Financing Sources (Uses)	55.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	55.0	259.2	(204.2)	-78.8%
Excess (Deficiency) of Receipts and																
Other Financing Sources over																
Disbursements and Other Financing Uses	397.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	397.1	1,248.5	(851.4)	-68.2%
CLOSING CASH BALANCE	\$1,992.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,992.6	\$3,397.8	(\$1,405.2)	-41.4%

<sup>(\*)</sup> Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

### STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2012-2013

(amounts in millions)

EXHIBIT "G" STATE

													-		1 Month End	led Apr. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER [	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	
RECEIPTS:																	
Personal Income Tax	\$												\$	\$	\$	\$	
Consumption/Use Taxes	195.5													195.5	206.1	(10.6)	-5.1%
Business Taxes	58.0													58.0	61.1	(3.1)	-5.1%
Other Taxes	139.6													139.6	124.6	15.0	12.0%
Miscellaneous Receipts	868.0													868.0	1,101.2	(233.2)	-21.2%
Federal Receipts	0.6													0.6	0.1	0.5	500.0%
Total Receipts	1,261.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		1,261.7	1,493.1	(231.4)	-15.5%
DISBURSEMENTS:																	
Local Assistance Grants	468.4													468.4	643.3	(174.9)	-27.2%
Departmental Operations:																(,	,
Personal Service (**)	384.7													384.7	391.1	(6.4)	-1.6%
Non-Personal Service (**)	26.0													26.0	192.0	(166.0)	-86.5%
General State Charges	25.8													25.8	44.5	(18.7)	-42.0%
Capital Projects	1.0													1.0	0.5	0.5	100.0%
Total Disbursements	905.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		905.9	1,271.4	(365.5)	-28.7%
Fundam (Definition and of Description																	
Excess (Deficiency) of Receipts over Disbursements	355.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		355.8	221.7	134.1	-60.5%
over disbursements	355.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		355.8	221.7	134.1	-60.5%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	76.5												(9.4)	67.1	748.3	(681.2)	-91.0%
Transfers to Other Funds													'		(94.5)	(94.5)	-100.0%
Total Other Financing Sources (Uses)	76.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(9.4)	67.1	653.8	(586.7)	-89.7%
Excess (Deficiency) of Receipts and Other Financing Sources over																	
Disbursements and Other Financing Uses	\$432.3	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$9.4)	\$422.9	\$875.5	(\$452.6)	-51.7%

<sup>(\*)</sup> Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

<sup>(\*\*)</sup> Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

### STATE OF NEW YORK SPECIAL REVENUE FUNDS - FEDERAL STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2012-2013

(amounts in millions)

EXHIBIT "G" FEDERAL

															1 Month End	ded Apr. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER I	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	
RECEIPTS:	<u> </u>		<u> </u>		·						·		· · · · · · · · · · · · · · · · · · ·				
Personal Income Tax	\$												\$	\$	\$	\$	
Consumption/Use Taxes																	
Business Taxes																	
Other Taxes																	
Miscellaneous Receipts	11.8													11.8	12.2	(0.4)	-3.3%
Federal Receipts	1,968.7													1,968.7	3,978.3	(2,009.6)	-50.5%
Total Receipts	1,980.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		1,980.5	3,990.5	(2,010.0)	-50.4%
DISBURSEMENTS:																	
Local Assistance Grants (***) Departmental Operations:	1,945.5												-	1,945.5	3,108.8	(1,163.3)	-37.4%
Personal Service (**)	40.5													40.5	53.4	(12.9)	-24.2%
Non-Personal Service (**)(***)	8.2													8.2	57.4	(49.2)	-85.7%
General State Charges															3.3	(3.3)	-100.0%
Capital Projects																	
Total Disbursements	1,994.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		1,994.2	3,222.9	(1,228.7)	-38.1%
Excess (Deficiency) of Receipts																	
over Disbursements	(13.7)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		(13.7)	767.6	(781.3)	-101.8%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds													_				
Transfers to Other Funds	(21.5)												9.4	(12.1)	(394.6)	(382.5)	 -96.9%
Total Other Financing Sources (Uses)	(21.5)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	9.4	(12.1)	(394.6)	(382.5)	-96.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$35.2)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$9.4	(\$25.8)	\$373.0	(\$398.8)	-106.9%
Disparsonionis and Other I marising 0363	(400.2)	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ0.0	Ψ3. <del>1</del>	(Ψ20.0)	ψ57 5.0	(ψυσυ.υ)	100.070

<sup>(\*)</sup> Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

<sup>(\*\*)</sup> Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

<sup>(\*\*\*)</sup> Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK SPECIAL REVENUE FUNDS CASH FLOW SCHEDULE OF TAX RECEIPTS FISCAL YEAR 2012-2013 (amounts in millions)

EXHIBIT "G" TAX RECEIPTS

													1 Month End	ed Apr. 30
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
PERSONAL INCOME TAX	\$												\$	\$
Total Personal Income Tax		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
CONSUMPTION/USE TAXES														
Sales and Use Auto Rental Cigarette/Tobacco Products Motor Fuel Alcoholic Beverage Highway Use Metropolitan Commuter Trans. Taxicab Trip	70.9 0.6 96.5 6.9  20.6												70.9 0.6 96.5 6.9  20.6	83.3  95.3 7.5   20.0
Total Consumption/Use Taxes	195.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	195.5	206.1
BUSINESS TAXES														
Corporation Franchise Corporation and Utilities Insurance Bank Petroleum Business	7.5 12.9  (0.2) 37.8												7.5 12.9  (0.2) 37.8	18.1 0.5 1.3 2.7 38.5
Total Business Taxes	58.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	58.0	61.1
OTHER TAXES														
Real Property Gains Estate and Gift Pari-Mutuel Real Estate Transfer Racing and Exhibitions Metropolitan Commuter Trans. Mobility	    139.6												     139.6	    124.6
Total Other Taxes	139.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	139.6	124.6
TOTAL TAX RECEIPTS	\$393.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$393.1	\$391.8

EXHIBIT "H"

STATE OF NEW YORK DEBT SERVICE FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2012-2013 (amounts in millions)

														1 Month Er	nded Apr. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$427.5												\$427.5	\$454.0	(\$26.5)	-5.8%
RECEIPTS:																
Personal Income Tax	1,283.6												1,283.6	1,384.5	(100.9)	-7.3%
Consumption/Use Taxes Sales and Use	195.3												195.3	205.5	(10.2)	-5.0%
Other Taxes	68.6												68.6	48.0	20.6	42.9%
Miscellaneous Receipts	18.2												18.2	66.7	(48.5)	-72.7%
Federal Receipts			<del></del> -											3.2	(3.2)	-100.0%
Total Receipts	1,565.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,565.7	1,707.9	(142.2)	-8.3%
DISBURSEMENTS:																
Departmental Operations: Non-Personal Service	0.7												0.7	1.4	(0.7)	-50.0%
Debt Service, including payments on	0.7												0.7	1.4	(0.7)	-50.0%
financing agreements	175.9												175.9	157.4	18.5	11.8%
Total Disbursements	176.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	176.6	158.8	17.8	11.2%
Excess (Deficiency) of Receipts																
over Disbursements	1,389.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,389.1	1,549.1	(160.0)	-10.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	615.2												615.2	1,009.1	(393.9)	-39.0%
Transfers to Other Funds	(1,581.1)												(1,581.1)	(1,956.6)	(375.5)	-19.2%
Total Other Financing Sources (Uses)	(965.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(965.9)	(947.5)	(18.4)	-1.9%
Excess (Deficiency) of Receipts and Other Financing Sources over																
Disbursements and Other Financing Uses	423.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	423.2	601.6	(178.4)	-29.7%
CLOSING CASH BALANCE	\$850.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$850.7	\$1,055.6	(\$204.9)	-19.4%

### STATE OF NEW YORK CAPITAL PROJECTS FUNDS-COMBINED STATEMENT OF CASH FLOW FISCAL YEAR 2012-2013 (amounts in millions)

														1 Month En	ded Apr. 30	
	2012									2013					\$ Increase/	% Increase/
OPENING CASH BALANCE (DEFICITS)	APRIL (\$449.4)	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012 (\$449.4)	<u>2011</u> (\$167.1)	(Decrease) (\$282.3)	Decrease -168.9%
OF ENING GASTI BALANCE (BEF10110)	(\$445.4)												(ψ443.4)	(ψ107.1)	(\$202.5)	-100.970
RECEIPTS:																
Consumption/Use Taxes																
Auto Rental	1.1												1.1	(0.4)	1.5	375.0%
Motor Fuel	28.2												28.2	28.5	(0.3)	-1.1%
Highway Use	13.4												13.4	12.1	1.3	10.7%
Business Taxes																
Petroleum Business	47.2												47.2	48.0	(8.0)	-1.7%
Transmission	(0.2)												(0.2)		(0.2)	-100.0%
Other Taxes																
Miscellaneous Receipts	241.0												241.0	343.0	(102.0)	-29.7%
Federal Receipts	30.5												30.5	127.1	(96.6)	-76.0%
Total Receipts	361.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	361.2	558.3	(197.1)	-35.3%
DISBURSEMENTS:																
Local Assistance Grants	7.4												7.4	191.8	(184.4)	-96.1%
Departmental Operations:	• • • •														()	00.170
Personal Service																
Non-Personal Service																
General State Charges																
Capital Projects	189.0												189.0	316.2	(127.2)	-40.2%
Total Disbursements	196.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	196.4	508.0	(311.6)	-61.3%
Total Disbursements	130.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0		0.0	0.0		130.4	300.0	(311.0)	-01.370
Excess (Deficiency) of Receipts																
over Disbursements	164.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	164.8	50.3	114.5	227.6%
OTHER FINANCING COURSES (USES)																
OTHER FINANCING SOURCES (USES): Bond Proceeds (net)																
Transfers from Other Funds	(440.0)												(440.0)	(7.4)	(400.4)	4500.00/
Transfers from Other Funds Transfers to Other Funds	(116.2)												(116.2)	(7.1)	(109.1)	-1536.6%
Transfers to Other Funds	(93.5)												(93.5)	(90.4)	3.1	3.4%
Total Other Financing Sources (Uses)	(209.7)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(209.7)	(97.5)	(112.2)	-115.1%
Excess (Deficiency) of Receipts and																
Other Financing Sources over																
Disbursements and Other Financing Uses	(44.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(44.9)	(47.2)	2.3	4.9%
ű																
CLOSING CASH BALANCE (DEFICITS)	(\$494.3)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$494.3)	(\$214.3)	(\$280.0)	-130.7%
OLOGING GAGII BALANCE (DEFICITS)	(ψ+34.3)	ψ0.0	\$0.0	\$0.0	φυ.υ	\$0.0	\$0.0	φ0.0	φυ.υ	\$0.0	\$0.0	\$0.0	(ψ+34.3)	(ΨZ 14.3)	(ψ200.0)	-130.7 /6

### STATE OF NEW YORK CAPITAL PROJECTS FUNDS - STATE STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2012-2013

EXHIBIT "I" STATE

(amounts in millions)

															1 Month En	ded Apr. 30	
	2012									2013			Intra-Fund	<u></u>			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:					7.0000.	<u>OLI ILIIDLI</u>	OOTOBER		DEGEMBER	07111071111						(20010400)	
Consumption/Use Taxes																	
Auto Rental	\$1.1												\$	\$1.1	(\$0.4)	\$1.5	375.0%
Motor Fuel	28.2													28.2	28.5	(0.3)	-1.1%
Highway Use	13.4													13.4	12.1	1.3	10.7%
Business Taxes																	10.170
Petroleum Business	47.2													47.2	48.0	(0.8)	-1.7%
Transmission	(0.2)													(0.2)		(0.2)	-100.0%
Other Taxes																	
Miscellaneous Receipts	240.9													240.9	343.0	(102.1)	-29.8%
Federal Receipts																	
r odorar reocipio																	<del></del>
Total Receipts	330.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		330.6	431.2	(100.6)	-23.3%
DISBURSEMENTS:																	
Local Assistance Grants	7.4													7.4	165.8	(158.4)	-95.5%
Departmental Operations:																(,	
Personal Service																	
Non-Personal Service																	
General State Charges																	
Capital Projects	143.5													143.5	253.9	(110.4)	-43.5%
oupliar rojoco									-								10.070
Total Disbursements	150.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		150.9	419.7	(268.8)	-64.0%
Excess (Deficiency) of Receipts																	
over Disbursements	179.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		179.7	11.5	168.2	1462.6%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)																	
Transfers from Other Funds	(116.2)													(116.2)	(7.1)	(109.1)	-1536.6%
Transfers to Other Funds	(93.5)													(93.5)	(90.4)	3.1	3.4%
Total Other Financing Sources (Uses)	(209.7)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		(209.7)	(97.5)	(112.2)	-115.1%
Excess (Deficiency) of Receipts and																	
Other Financing Sources over						• •						• •		(000	/aaa		
Disbursements and Other Financing Uses	(\$30.0)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0		(\$30.0)	(\$86.0)	\$56.0	65.1%

<sup>(\*)</sup> Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

### STATE OF NEW YORK CAPITAL PROJECTS FUNDS - FEDERAL STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2012-2013

EXHIBIT "I" FEDERAL

(amounts in millions)

															1 Month E	nded Apr. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$0.1													\$0.1	\$	\$0.1	100.0%
Federal Receipts	30.5													30.5	127.1	(96.6)	-76.0%
Total Receipts	30.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		30.6	127.1	(96.5)	-75.9%
DISBURSEMENTS:																	
Local Assistance Grants															26.0	(26.0)	-100.0%
Departmental Operations:																(====)	
Personal Service																	
Non-Personal Service																	
General State Charges																	
Capital Projects	45.5													45.5	62.3	(16.8)	-27.0%
Total Disbursements	45.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		45.5	88.3	(68.8)	-77.9%
Excess (Deficiency) of Receipts																	
over Disbursements	(14.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		(14.9)	38.8	(27.7)	-71.4%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds																	
Transfers to Other Funds																	
Total Other Financing Sources (Uses)		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0					
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$14.9)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0		(\$14.9)	\$38.8	(\$53.7)	-138.4%

<sup>(\*)</sup> Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**EXHIBIT J** 

STATE OF NEW YORK ENTERPRISE FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2012-2013 (amounts in millions)

													1 Month En	ded Apr. 30
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
BEGINNING FUND EQUITY (DEFICITS)	\$97.1												\$97.1	\$20.9
RECEIPTS: Miscellaneous Receipts Federal Receipts Unemployment Taxes	2.9 280.0 273.2												2.9 280.0 273.2	4.4 330.5 279.9
Total Receipts	556.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	556.1	614.8
DISBURSEMENTS: Departmental Operations: Personal Service Non-Personal Service General State Charges Unemployment Benefits Total Disbursements  Excess (Deficiency) of Receipts over Disbursements	0.3 0.4 	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.3 0.4 	0.3 3.6 
OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds  Total Other Financing Sources (Uses)	 	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Total Other Financing Sources (USES)	<del></del>	0.0	0.0	0.0	0.0	0.0	0.0	0.0		0.0	0.0	0.0		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(71.8)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(71.8)	4.5
CLOSING CASH BALANCE	\$25.3	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$25.3	\$25.4

### **EXHIBIT K**

STATE OF NEW YORK INTERNAL SERVICE FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2012-2013 (amounts in millions)

	2012									2013			1 Month En	ded Apr. 30
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011
BEGINNING FUND EQUITY (DEFICITS)	\$41.9												\$41.9	\$29.0
RECEIPTS: Miscellaneous Receipts	2.5												2.5	27.6
Total Receipts	2.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.5	27.6
DISBURSEMENTS: Departmental Operations: Personal Service Non-Personal Service	8.6 2.7												8.6 2.7	9.0 21.4
General State Charges														0.1
Total Disbursements	11.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	11.3	30.5
Excess (Deficiency) of Receipts over Disbursements	(8.8)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(8.8)_	(2.9)
OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds	<u></u>												 	6.2
Total Other Financing Sources (Uses)		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		6.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(8.8)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(8.8)	3.3
ENDING FUND EQUITY(DEFICITS)	\$33.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$33.1	\$32.3

**EXHIBIT L** 

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)

													1 Month En	ded Apr. 30
	2012									2013				
OPENING CASH BALANCE	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	<u>FEBRUARY</u>	MARCH	2012	<u>2011</u> \$9.3
OPENING CASH BALANCE	\$10.2												\$10.2	\$9.3
RECEIPTS:														
Miscellaneous Receipts	0.3												0.3	
Total Receipts	0.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.3	
DISBURSEMENTS:														
Departmental Operations:														
Personal Service														
Non-Personal Service														
General State Charges														
Total Disbursements		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Excess (Deficiency) of Receipts														
over Disbursements	0.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.3	
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds														
Transfers to Other Funds														
Total Other Financing Sources (Uses)		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Excess (Deficiency) of Receipts and														
Other Financing Sources Over														
Disbursements and Other Financing Uses	0.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.3	
CLOSING CASH BALANCE	\$10.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$10.5	\$9.3

**EXHIBIT M** 

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)

													1 Month En	ded Apr. 30
	2012									2013				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	<u>JANUARY</u>	<u>FEBRUARY</u>	MARCH	2012	2011
OPENING CASH BALANCE	\$0.9												\$0.9	\$1.4
RECEIPTS:														
Miscellaneous Receipts	3.6												3.6	4.0
Total Receipts	3.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3.6	4.0
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	4.4												4.4	4.6
Non-Personal Service	0.4												0.4	1.4
General State Charges														6.6
Total Disbursements	4.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	4.8	12.6
Excess (Deficiency) of Receipts														
over Disbursements	(1.2)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(1.2)	(8.6)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds														
Transfers to Other Funds														
Total Other Financing Sources (Uses)		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Excess (Deficiency) of Receipts and Other Financing Sources Over														
Disbursements and Other Financing Uses	(1.2)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(1.2)	(8.6)
CLOSING CASH BALANCE	(\$0.3)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$0.3)	(\$7.2)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2012
(amounts in millions)

(amounts in minoris)	BALANCE 4/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 4/30/12
GENERAL FUND					
10000-10049-Local Assistance Account	\$	\$0.004	\$1,150.280	\$1,150.276	\$
10050-10099-State Operations Account		4,925.055	1,044.567	1,655.221	5,535.709
10100-10149-Tax Stabilization Reserve	1,131.400			(1,131.400)	
10150-10199-Contingency Reserve	20.624			(20.624)	
10200-10249-Universal Pre-K Reserve				'	
10250-10299-Community Projects	101.804		0.152		101.652
10300-10349-Rainy Day Reserve Fund	175.000			(175.000)	
10400-10449-Refund Reserve Account	357.886			(357.886)	
10500-10549-Fringe Benefits Escrow		16.978	16.978		
10550-10599-Tobacco Revenue Guarantee					
TOTAL GENERAL FUND	1,786.714	4,942.037	2,211.977	1,120.587	5,637.361
CDECIAL DEVENUE CUNDS STATE					
SPECIAL REVENUE FUNDS-STATE 20000-20099-Mental Health Gifts and Donations	2.173				2.173
	59.864		1.028		
20100-20299-Combined Expendable Trust		0.587		<del></del>	59.423
20300-20349-New York Interest on Lawyer Account	7.613	0.643	0.047		8.209
20350-20399-NYS Archives Partnership Trust	0.121		0.020		0.101
20400-20449-Child Performer's Protection	0.057	0.004	0.005		0.056
20450-20499-Tuition Reimbursement	5.365	0.001	0.123		5.243
20500-20549-New York State Local Government Records					
Management Improvement	2.061	0.621	0.151		2.531
20550-20599-School Tax Relief					
20600-20649-Charter Schools Stimulus	4.252				4.252
20650-20699-Not-For-Profit Short Term Revolving Loan					
20700-20749-Hudson River Valley Greenway	0.001	(0.001)			
20750-20799-Rehabilitative Alcohol & Substance Abuse Treatment	0.002	(0.002)			
20800-20849-HCRA Resources	2.806	421.269	270.981	(0.897)	152.197
20850-20899-Dedicated Mass Transportation Trust	78.727	22.860	46.029		55.558
20900-20949-State Lottery	31.116	290.593	2.249		319.460
20950-20999-Combined Student Loan	18.767	0.002			18.769
23650-23699-MTA Financial Assistance Fund	53.758	160.744	72.891	1.774	143.385
21000-21049-Sewage Treatment Program Mgmt. & Administration	1.107		0.210		0.897
21050-21149-EnCon Special Revenue	(28.299)	2.502	3.880		(29.677)
21150-21199-Conservation	87.074	0.194	1.696		85.572
21200-21249-Environmental Protection and Oil Spill Compensation	13.412	0.206	2.843		10.775
21250-21299-Training and Education Program on OSHA	4.913	0.001	2.060		2.854
21300-21349-Lawyers' Fund for Client Protection	4.207		0.040		4.167
21350-21399-Equipment Loan for the Disabled	0.472		0.040	 	0.472
21400-21449-Mass Transportation Operating Assistance	56.867	128.866	0.246		185.487
21450-21499-Clean Air		2.720	2.064		
	(16.794)				(16.138)
21500-21549-New York State Infrastructure Trust	0.067				0.067
21550-21559-Legislative Computer Services	10.021	0.257	0.042		10.236
21600-21649-Biodiversity Stewardship and Research					
21650-21699-Combined Non-Expendable Trust	3.480				3.480
21700-21749-Winter Sports Education Trust	1.181				1.181
21750-21799-Musical Instrument Revolving	0.001				0.001
21800-21849-Rural Housing Assistance					
21850-21899-Arts Capital Revolving	0.769	0.024			0.793
21900-22499-Miscellaneous State Special Revenue	619.536	146.445	372.709	59.253	452.525

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2012
(amounts in millions)

(amounts in millions)					
	BALANCE 4/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 4/30/12
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22549-Court Facilities Incentive Aid	5.008		0.130		4.878
22550-22599-Employment Training	0.041	16.854			16.895
22600-22649-Homeless Housing and Assistance					
22650-22699-State University Income	473.261	64.808	119.281	16.341	435.129
22700-22749-Chemical Dependence Service	4.020	0.634			4.654
22750-22799-Lake George Park Trust	1.172				1.172
22800-22849-State Police Motor Vehicle Law Enforcement and					
Motor Vehicle Theft and Insurance Fraud Prevention	(37.654)	0.001	0.096		(37.749)
22850-22899-New York Great Lakes Protection	0.450		0.006		0.444
22900-22949-Federal Revenue Maximization	0.023				0.023
22950-22999-Housing Development	8.903	0.001			8.904
23000-23049-NYS/DOT Highway Safety Program	(2.683)		0.228		(2.911)
23050-23099-Vocational Rehabilitation	0.109	0.006			0.115
23100-23149-Drinking Water Program Management and					
Administration	(7.392)		0.346		(7.738)
23150-23199-NYC County Clerks' Operations Offset	(22.440)		1.684		(24.124)
23200-23249-Judiciary Data Processing Offset	5.225		1.385		3.840
23250-23449-IFR / CUTRA	140.611	0.013	3.315		137.309
23450-23499-Supplemental Jury Facilities					
23500-23549-USOC Lake Placid Training	0.012	0.015			0.027
23550-23599-Indigent Legal Services	33.862	0.006	0.037		33.831
23600-23649-Unemployment Insurance Interest and Penalty	5.536	0.802		<u></u>	6.338
TOTAL SPECIAL REVENUE FUNDS-STATE	1,632.761	1,261.676	905.822	76.471	2,065.086
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA / Food and Consumer Services	(1.571)	43.838	44.731		(2.464)
25100-25199-Federal Health and Human Services	(147.454)	1,886.639	1,725.908	(21.486)	(8.209)
25200-25249-Federal Education	0.561	1.401	181.211		(179.249)
25250-25299-Federal DHHS Block Grant					
25300-25899-Federal Miscellaneous Operating Grants	70.798	6.651	13.725		63.724
25900-25949-Unemployment Insurance Administration	42.503	31.400	20.052		53.851
25950-25999-Unemployment Insurance Occupational Training	0.545	0.011	0.410		0.146
26000-26049-Federal Employment and Training Grants	(2.625)	10.520	8.176		(0.281)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(37.243)	1,980.460	1,994.213	(21.486)	(72.482)
TOTAL SPECIAL REVENUE FUNDS	1,595.518	3,242.136	2,900.035	54.985	1,992.604
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve					
40050-40099-State University Educational Facilities					
40100-40149-Mental Health Services	93.387	(0.308)		(28.528)	64.551
40150-40199-General Debt Service		1,283.615	173.377	(671.873)	438.365
40200-40249-Grade Crossing Elimination Debt Service					
40250-40299-State Housing Debt Service		0.100	2.122	2.012	
40300-40349-Department of Health Income	29.012	17.031		(8.787)	37.256
40350-40399-State University Dormitory Income	305.068	1.357			306.425
40400-40449-Clean Water/Clean Air		68.577		(68.577)	
40450-40499-Local Government Assistance Tax		195.369	1.073	(190.164)	4.132
TOTAL DEBT SERVICE FUNDS	427.467	1,565.741	176.572	(965.917)	850.729
TOTAL DEDT OFFICE LOUDS	421.401	1,000.741	170.372	(303.317)	030.129

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF APRIL 2012
(amounts in millions)

(amounts in millions)	BALANCE 4/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 4/30/12
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects		163.244	47.092	(116.152)	
30050-30099-Dedicated Highway and Bridge Trust	(16.206)	166.555	86.877	(92.780)	(29.308)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	130.311	0.014	0.018	<b></b> `	130.307
30300-30349-New York State Canal System Development	3.410	0.001			3.411
30350-30399-Parks Infrastructure	(5.424)		1.074		(6.498)
30400-30449-Passenger Facility Charge	0.014				0.014
30450-30499-Environmental Protection	38.824	0.150	0.073		38.901
30500-30549-Clean Water/Clean Air Implementation					
30550-30599-Hudson River Park	0.088			(0.088)	
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164				0.164
30610-30619-Park & Recreation Land Acquisition Bond					
30620-30629-Pure Waters Bond	0.713				0.713
30750-30799-Outdoor Recreation Development Bond					
30630-30639-Transportation Capital Facilities Bond	3.391				3.391
30640-30649-Environmental Quality Protection Bond	1.310				1.310
30900-30949-Rail Preservation and Development Bond					
30700-30749-State Housing Bond					
30650-30659-Rebuild and renew New York Transportation Board	101.080				101.080
30660-30669-Transportation Infrastructure Renewal Bond	4.257				4.257
30670-30679-1986 Environmental Quality Bond Act	7.942				7.942
30680-30689-Accelerated Capacity and Transporation					
Improvement Bond	2.837				2.837
30690-30699-Clean Water/Clean Air Bond	7.554	0.001			7.555
31350-31449-Federal Capital Projects	(136.870)	30.576	45.477		(151.771)
31450-31499-Forest Preserve Expansion	0.894				0.894
31500-31549-Hazardous Waste Remedial	(186.179)	0.660	2.146	(0.659)	(188.324)
31550-31599-Pine Barrens					
31600-31649-Lake Champlain Bridges					
31650-31699-Suburban Transportation	0.504				0.504
31700-31749-Division for Youth Facilities Improvement	(4.114)				(4.114)
31750-31799-Youth Centers Facility					
31800-31849-Housing Assistance	(20.395)				(20.395)
31850-31899-Housing Program	(121.426)		9.470		(130.896)
31900-31949-Natural Resource Damage	17.933	0.002	0.028		17.907
31950-32199-DOT Engineering Services	(11.476)		0.159		(11.635)
32400-32999-State University Capital Projects	149.400	0.015	0.355		149.060
32200-32249-Miscellaneous Capital Projects	21.789	0.002	0.013		21.778
32250-32299-CUNY Capital Projects	(0.023)				(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(355.364)		3.319		(358.683)
32350-32399-Correction Facilities Capital Improvement	(84.319)		0.335		(84.654)
TOTAL CAPITAL PROJECTS FUNDS	(449.381)	361.220	196.436	(209.679)	(494.276)
TOTAL GOVERNMENTAL FUNDS	\$3,360.318	\$10,111.134	\$5,485.020	(\$0.024)	\$7,986.418

STATE OF NEW YORK

SCHEDULE 2

PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
FOR THE MONTH OF APRIL 2012
(amounts in millions)

FUND TYPE	FUND EQUITY 4/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	FUND EQUITY 4/30/12
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	\$0.183	\$	\$	\$	\$0.183
50050-50099-State Exposition Special	3.978	0.999	0.240		4.737
50100-50299-Correctional Services Commissary	1.888	1.386	0.394		2.880
50300-50399-Agency Enterprise	2.413	0.003	0.074		2.342
50400-50449-OMH Sheltered Workshop	1.725	0.002	0.001		1.726
50450-50499-OPWDD Sheltered Workshop	1.121		0.006		1.115
50500-50599-Mental Hygiene Community Stores	3.041	0.001	0.016		3.026
50650-50699-Unemployment Insurance Benefit	82.719	553.762	627.171		9.310
TOTAL ENTERPRISE FUNDS	97.068	556.153	627.902		25.319
INTERNAL SERVICE FUNDS					
55000-55049-O.G.S. Centralized Services	18.372	0.021	3.458		14.935
55050-55099-Agency Internal Service	35.745	0.004	5.400	0.025	30.374
55100-55149-Mental Hygiene Revolving	0.329		0.256		0.073
55150-55199-Youth Vocational Education	0.057				0.057
55200-55249-Joint Labor/Management Administration	2.006		0.041		1.965
55250-55299-Audit and Control Revolving	0.425	0.507	0.151	<del></del>	0.274
55300-55349-Health Insurance Revolving	(14.999)	0.527	0.776	<del></del>	(15.248)
55350-55399-Correctional Industries Revolving	(0.022)	1.948	1.212	<del></del>	0.714
TOTAL INTERNAL SERVICE FUNDS	41.913	2.500	11.294	0.025	33.144
TOTAL PROPRIETARY FUNDS	\$138.981	\$558.653	\$639.196	\$0.025	\$58.463

### STATE OF NEW YORK FIDUCIARY FUNDS SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FOR THE MONTH OF APRIL 2012

(amounts in millions)

FUND TYPE	FUND BALANCE 4/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	FUND BALANCE 4/30/12
PENSION TRUST FUNDS					
					(*
65000-65049-Common Retirement-Administration	\$0.906	\$3.625	\$4.868	<u> </u>	(\$0.337)
TOTAL PENSION TRUST FUNDS	0.906	3.625	4.868		(0.337)
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	1.815	0.247	0.009		2.053
66050-66099-Milk Producers' Security	8.391	0.028	0.009		8.410
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.206	0.275	0.018		10.463
AGENCY FUNDS					
60000-60049Private Not-For-Profit School Capital					
Facilities Financing Reserve					
60050-60149-School Capital Facilities Financing Reserve	35.495	0.003			35.498
60150-60199-Child Performer's Holding	0.197				0.197
60200-60249-Employees Health Insurance	223.610	748.836	590.552		381.894
60250-60299-Social Security Contribution	0.428	74.202	65.722		8.908
60300-60399-Employee Payroll Withholding Escrow	11.562	374.157	328.712		57.007
60400-60449-Employees Dental Insurance	6.058	10.650	5.877		10.831
60450-60499-Management Confidential Group Insurance	1.144	0.615	0.581		1.178
60500-60549-Lottery Prize	348.046	111.319	128.409		330.956
60550-60599-Health Insurance Reserve Receipts	0.098				0.098
60600-60799-Miscellaneous New York State Agency	552.936	18.350	10.908		560.378
60800-60849-Elderly Pharmaceutical Insurance Coverage Escrow	14.282				14.282
60850-60899-CUNY Senior College Operating	28.072	140.000	113.085		54.987
60900-60949-Medicaid Management Information System Escrow	191.438	3,498.780	3,500.005		190.213
60950-60999-Special Education					
61000-61099-State University Collection	75.853	168.838			244.691
61100-61199-SUNY Federal Direct Lending Program	<u></u>	(1.247)			(1.247)
TOTAL AGENCY FUNDS	1,489.219	5,144.503	4,743.851		1,889.871
TOTAL FIDUCIARY FUNDS	\$1,500.331	\$5,148.403	\$4,748.737	\$	\$1,899.997

**SCHEDULE 3** 

SCHEDULE 4

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF APRIL 2012
(amounts in millions)

FUND TYPE	BEGINNING BALANCE 4/1/12	RECEIPTS	DISBURSEMENTS	ENDING BALANCE 4/30/12
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$2.699	\$	\$	\$2.699
70050-70149-Sole Custody Investment (*)	1,770.997	8,933.583	8,825.676	1,878.904
70200-Comptroller's Refund		153.963	153.963	
TOTAL ACCOUNTS	\$1,773.696	\$9,087.546	\$8,979.639	\$1,881.603

### (\*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of April 30, 2012, \$11,930,147.34 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (20800).

### STATE OF NEW YORK DEBT SERVICE FUNDS STATEMENT OF DIRECT STATE DEBT ACTIVITY FISCAL YEAR ENDED MARCH 31, 2013

		DEBT ISSUED		DEBT MATURED		Γ	INTEREST DISBURSED	
PURPOSE	DEBT OUTSTANDING APRIL 1, 2012	MONTH OF APRIL	1 MONTH ENDED APR. 30, 2012	MONTH OF APRIL	1 MONTH ENDED APR. 30, 2012	DEBT OUTSTANDING APR. 30, 2012	MONTH OF APRIL	1 MONTH ENDED APR. 30, 2012
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$370,660,421.97	\$	\$	\$32,606,668.80	\$32,606,668.80	\$338,053,753.17	\$4,242,801.60	\$4,242,801.60
Clean Water/Clean Air:								
Air Quality	45,022,724.04			6,823,607.89	6,823,607.89	38,199,116.15	498,679.59	498,679.59
Safe Drinking Water	12,564,628.83					12,564,628.83		
Water	466,036,864.14			2,495,414.22	2,495,414.22	463,541,449.92	947,070.82	947,070.82
Solid Waste	69,553,112.20			806,473.49	806,473.49	68,746,638.71	374,658.33	374,658.33
Environmental Restoration	101,560,841.31					101,560,841.31	7,249.89	7,249.89
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	12,107,804.13			422,414.25	422,414.25	11,685,389.88	84,513.24	84,513.24
Environmental Quality Protection (1972):								
Air	11,103,951.62			2,186,422.92	2,186,422.92	8,917,528.70	199,226.13	199,226.13
Land and Wetlands	24,794,967.59			2,714,192.41	2,714,192.41	22,080,775.18	336,039.54	336,039.54
Water	84,023,228.78			7,026,801.60	7,026,801.60	76,996,427.18	969,994.03	969,994.03
Environmental Quality (1986):								
Land and Forests	33,455,683.51			2,075,381.57	2,075,381.57	31,380,301.94	274,486.97	274,486.97
Solid Waste Management	369,042,581.64			4,389,890.90	4,389,890.90	364,652,690.74	368,639.17	368,639.17
Housing:								
Low Cost	34,360,000.00			1,690,000.00	1,690,000.00	32,670,000.00	422,400.00	422,400.00
Middle Income	31,160,000.00				-	31,160,000.00		
Park and Recreation Land Acquisition	17,462.59					17,462.59		
Pure Waters	67,237,919.66			4,293,454.65	4,293,454.65	62,944,465.01	707,217.99	707,217.99
Rail Preservation Development	4,720,436.05			318,406.10	318,406.10	4,402,029.95	41,139.56	41,139.56
Rebuild and Renew New York Transportation:								
Highway Facilities	764,178,426.65					764,178,426.65		
Canals and Waterways	15,330,084.29					15,330,084.29		
Aviation	43,456,928.50					43,456,928.50		
Rail and Port	75,742,389.22					75,742,389.22		
Mass Transit - Dept. of Transportation	12,764,273.58					12,764,273.58		
Mass Transit - Metropolitan Transportation Authority	805,995,790.27					805,995,790.27		
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	2,752,039.77					2,752,039.77		
Ports, Canals, and Waterways	38,396.10			38,396.10	38,396.10		767.92	767.92
Rapid Transit, Rail, and Aviation	16,588,704.01			1,779,217.68	1,779,217.68	14,809,486.33	234,951.73	234,951.73
Transportation Capital Facilities:								
Aviation	16,250,647.54			1,574,702.65	1,574,702.65	14,675,944.89	239,190.75	239,190.75
Mass Transportation	3,844,691.47			43,554.77	43,554.77	3,801,136.70	5,206.78	5,206.78
Total General Obligation Bonded Debt	\$3,494,364,999.46	\$	\$	\$71,285,000.00	\$71,285,000.00	\$3,423,079,999.46	\$9,954,234.04	\$9,954,234.04

STATE OF NEW YORK DEBT SERVICE FUNDS FINANCING AGREEMENTS FOR THE ONE (1) MONTH ENDED APRIL 30, 2012

	DEBT REDUCTION RESERVE FUND (40000)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300)	LOCAL GOVERNMENT ASSISTANCE TAX (40450)	MENTAL HEALTH SERVICES (40100)	REVENUE BOND TAX (40152)	STATE UNIVERSITY DORMITORY INCOME (40350)	COMBINED 1 1 MONTH ENDE 2012		\$ INCREASE / (DECREASE)
Special Contractual Financing Obligations:										
Managed by Office of General Services:	_									
Department of Trans Region 1 Schenectady	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Hampton Plaza										
Subtotal	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Payments to Public Authorities:		100 150						100 150	40.454	400.004
City University Construction		192,452		-				192,452	12,151	180,301
Dormitory Authority: Albany County Airport										
Child Care Facilities	<del></del>	<del></del>	-			-		<del></del>		
Consolidated Service Contract Refunding										
David Axelrod Institue						-				
Department of Health Facilities										
Economic Development Housing										
Education										
General Purpose										
Health Care										
Judicial Training Institute										
Mental Health Facilities										
OGS Parking										
State Department of Education Facilities										
State Facilities and Equipment										
SUNY Community Colleges										
SUNY Dormitory Facilities										
SUNY Educational Facilities				-						
Environmental Facilities Corporation										
Housing Finance Agency									13,566	(13,566)
Local Government Assistance Corporation				1,072,544				1,072,544		1,072,544
Metropolitan Transportation Authority: Transit and Commuter Rail Projects										
Transit and Commuter Rail Projects Triborough Bridge & Tunnel Authority:				-						
Javits Convention Center Project										
Thruway Authority:			-	-			-			-
Dedicated Highway & Bridge		92,761,780						92,761,780	89,718,208	3,043,572
Local Highway & Bridge										
Transportation										
Urban Development Corporation:										
Center for Industrial Innovation at RPI										
Clarkson University										
Columbia Univer. Telecommunications Center										
Consolidated Service Contract Refunding										
Cornell Univer. Supercomputer Center										
Correctional Facilities										
Economic Development Housing						325,000		325,000		325,000
General Purpose				-						-
State Facilities and Equipment						325,000		325,000		325,000
Syracuse University Science and										
Technology Center										
University Facilities Grant 95 Refunding Subtotal	<u></u> \$	\$92,954,232	\$	\$1,072,544	\$	\$650,000	\$	\$94,676,776	 000 740 005	\$4,932,851
Total Disbursements for Special Contractual	\$	φ <del>9</del> Ζ, <del>9</del> 04,23Ζ	φ	Φ1,072,344	φ	Φ000,000	\$	φ94,070,770	\$89,743,925	Φ <del>4</del> ,∀3∠,83 I
Financing Obligations	\$	\$92,954,232	\$	\$1,072,544	\$	\$650,000	\$	\$94,676,776	\$89,743,925	\$4,932,851

### SUMMARY OF THE OPERATING FUND INVESTMENTS FOR THE MONTH OF APRIL 2012 AS REQUIRED OF THE STATE COMPTROLLER

(amounts in millions)

	APRIL 2012	FISCAL YEAR TO DATE	PRIOR FYTD APRIL 2011
SHORT TERM INVESTMENT POOL*			
AVERAGE DAILY INVESTMENT BALANCE** AVERAGE YIELD** TOTAL INVESTMENT EARNINGS	\$6,158.1 0.154% \$0.784	\$6,158.1 0.154% \$0.784	\$6,602.0 0.180% \$1.062

	APRIL 2012	APRIL 2011
<u>DESCRIPTION</u>	PAR AMOUNT	PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$	\$
REPURCHASE AGREEMENTS	4,121.5	1,161.8
COMMERCIAL PAPER	1,147.5	5,755.3
CERTIFICATES OF DEPOSIT/SAVINGS	4,264.2	3,400.0
0% COMPENSATING BALANCE CD's	2,150.0	1,900.0
	\$11,683.2	\$12,217.1

\*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

<sup>\*\*</sup>Does not include 0% Compensating Balance CD's.

## STATE OF NEW YORK OFFICE OF THE STATE COMPTROLLER DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES BUREAU OF STATE ACCOUNTING OPERATIONS

### COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR 2012-2013

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Public Authority Off Budget Spending Report	Appendix E
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## STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT

**FISCAL YEAR 2012-2013** 

APPENDIX A
------------

	2012 APRIL	1 Month Ended April 30, 2012
OPENING CASH BALANCE	\$2,806,101	\$2,806,101
RECEIPTS:		
Cigarette Tax	96,498,178	96,498,178
State Share of NYC Cigarette Tax	4,527,000	4,527,000
STIP Interest	46,238	46,238
Public Asset Transfers		
Assessments	320,197,880	320,197,880
Miscellaneous		
Total Receipts	421,269,296	421,269,296
DISBURSEMENTS:		
Grants	268,619,126	268,619,126
Interest - Late Payments	308	308
Personal Service	2,315,981	2,315,981
Non-Personal Service	45,535	45,535
Employee Benefits/Indirect Costs		<u></u>
Total Disbursements	270,980,950	270,980,950
OPERATING TRANSFERS:		
Transfers to Capital Projects Fund		
Transfers to General Fund		
Transfers to Revenue Bond Tax Fund		
Transfers to SUNY Income Fund	897,182_	897,182
Total Operating Transfers	897,182	897,182
Total Disbursements and Transfers	271,878,132	271,878,132
CLOSING CASH BALANCE	\$152,197,265	\$152,197,265

**Total Disbursements** 

STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF PROGRAM DISBURSEMENTS FISCAL YEAR 2012-2013

Program/Purpose	Approp Amou		Disk	April oursements	1 Month Ending April 30, 2012 (2)		
ADULT HOMES PROGRAM	\$	59,736	\$	_	\$		
ADULT HOMES AIDS INSTITUTE PROGRAM	:	244,030,323		-			
COMMUNITY SERVICE PROG- HIGH RISK							
HIV CLINICAL & PROVIDER EDUCATION							
HIV HEALTH CARE SUPPORTIVE SERVICES HIV STD HEPATITIS C PREVENTION				147,143 69,210		147,143 69,210	
INFANTS AND PREGNANT WOMEN				69,210		69,210	
REGIONAL AND TARGETED				133,369		133,369	
CENTER FOR COMMUNITY HEALTH PROGRAM	•	184,744,193					
ADEPHI UNIVERSITY CANCER SUPPORT							
BREAST CANCER HOTLINE - ADELPHI							
CENTER FOR COMMUNITY HEALTH				135,748		135,748	
EVIDENCE BASED CANCER SERVICES							
FAMILY PLANNING							
HYPERTENSION PREVENTION TREATMENT							
INDIAN HEALTH PROGRAM						07.040	
LEAD POISONING PREVENTION				97,348		97,348	
MATERNITY & EARLY CHILDHOOD FOUNDATION							
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN PRENATAL CARE ASSISTANCE PROGRAM				24,408		24,408	
PUBLIC HEALTH CAMPAIGN				24,400		24,400	
RAPE CRISIS				29,781		29,781	
SCHOOL BASED HEALTH PROGRAM							
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB							
TOBACCO ENFORCEMENT							
TUBERCULOSIS							
CHILD HEALTH INSURANCE PROGRAM	9	79,306,800					
CHILD HEALTH INSURANCE				192,102		192,102	
COMMUNITY SUPPORT PROGRAM		120,000					
COMMUNITY SUPPORT							
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	•	329,800,000					
EDLERLY PHARMACEUTICAL INSURANCE COVER		0.047.000					
HEALTH CARE FINANCING PROGRAM HEALTH CARE FINANCING		9,217,600		95,446		95,446	
OFFICE OF HEALTH INSURANCE PROGRAM		19,810,800		33,440		33,440	
OFFICE OF HEALTH INSURANCE		13,010,000		1,656,690		1,656,690	
OFFICE OF HEALTH SYSTEMS MANAGEMENT		51,940,100		1,000,000		1,030,030	
OFFICE HEALTH SYSTEMS MANAGEMENT		31,340,100		281,605		281,605	
OFFICE OF LONG TERM CARE		23,898,240		201,000		201,000	
ADULT HOME INITIATIVES		,,					
ENABLE AIR CONDITIONING							
ENABLE QUALITY OF LIFE							
QUALITY PROG ADULT CARE FACILITIES	40.6	60 402 000					
MEDICAL ASSISTANCE PROGRAM BREAST & CERVICAL CANCER	10,	668,492,000					
DISABLED PERSONS							
FAMILY HEALTH PLUS							
FINANCIAL ASSISTANCE							
HOME HEALTH RATE INCREASE							
INPATIENT NURSING HOME PHARMACIES				200,000,000		200,000,000	
MEDICAID INDIGENT CARE				69,015,282		69,015,282	
MEDICAL ASSISTANCE							
NYC MEDICAID							
PHYSICIAN SERVICES				-		_	
PRIMARY CARE CASE MANAGEMENT							
PSNL CRE WRKR RECR & RETEN NYC (3)							
* *							
PSNL CRE WRKR RECR & RETEN ROS (4) SUPPLEMENTAL MEDICAL INSURANCE							
SUFFLEINIEN FAL INEDICAL INSURANCE							

Program/Purpose	Appropriation Amount (1)	April Disbursements	Total Disbursements 1 Month Ending April 30, 2012 (2)
HEALTH CARE REFORM ACT PROGRAM	\$ 1,773,859,060	\$	\$ , , , , ,
AIDS DRUG ASSISTANCE			
AMBULATORY CARE TRAINING			
AREA HEALTH EDUCATION CENTER			
DIAGNOSTIC & TREATMENT CTR UNCOMPENSATED CARE			
DIVERSITY IN MEDICINE			
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)			
HCRA PAYOR / PROVIDER AUDITS			
HEALTH FACILITY RESTRUCTURING DASNY			
HEALTH WORKFORCE RETRAINING			
INFERTILITY SERVICES GRANTS			
MEDICAL INDEMNITY FUND			
PART 405_4 HOSPITAL AUDITS			
PAY FOR PERFORMANCE			
PHYSICIAN EXCESS MEDICAL MALPRACTICE			
PHYSICIAN LOAN REPAYMENT			
PHYSICIAN PRACTICE SUPPORT			
PHYSICIAN WORKFORCE STUDIES			
POISON CONTROL CENTERS			
POOL ADMINISTRATION			
ROSWELL PARK CANCER INSTITUTE			
RPCI CANCER RESEARCH OPERAT COSTS			
RURAL HEALTH CARE ACCESS			
RURAL HEALTH NETWORK			
SCHOOL BASED HEALTH CENTERS			
SCHOOL BASED HEALTH CLINICS-POOL ADMN			
TOBACCO USE PREVENTION & CONTROL			
TRNSITION ACCT - PRIOR YEAR ALLOCATION			
TOTAL	 22,185,278,852	 271,878,132	271,878,132
Transfer to the General Fund - State Purposes Account	636,003		
(for administration of the program)	636,003		
Reclass of SUNY Hospital Disprop Share to Transfer	 	 (897,182)	 (897,182)
TOTAL APPROPRIATED AMOUNT	\$ 22,185,914,855	\$ 270,980,950	\$ 270,980,950

<sup>(1)</sup> Includes amounts appropriated in 2012 as well as prior year appropriations that were reappropriated in the SFY 2012 budget chapters.

(2) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(3) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

<sup>(4)</sup> Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

## STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL FISCAL YEAR 2012-2013

		2012 APRIL	2012-2013		
OPENING CASH BALANCE	\$	286,758,937.54	\$	286,758,937.54	
RECEIPTS:					
Patient Services		231,291,195.54		231,291,195.54	
Covered Lives		87,300,953.95		87,300,953.95	
Provider Assessments		5,840,422.64		5,840,422.64	
1% Assessments		24,672,829.00		24,672,829.00	
DASNY- MOE/Recast receivables		0.00		0.00	
Interest Income		18,499.48		18,499.48	
NYPHRM		0.00		0.00	
Hospital Quality Contribution		0.00		0.00	
Unassigned		606,999.38		606,999.38	
Total Receipts		349,730,899.99		349,730,899.99	
DISBURSEMENTS:					
Program Disbursements:					
Poison Control Centers		0.00		0.00	
School Based Health Center Grants		0.00		0.00	
ECRIP Distributions		0.00		0.00	
Total Disbursements		0.00		0.00	
Excess (Deficiency) of Receipts over Disbursements		349,730,899.99		349,730,899.99	
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Medicaid Disproportionate Share		0.00		0.00	
Health Facility Assessment Fund - Hospital Quality Contribution		4,055,886.00		4,055,886.00	
Transfers From State Funds:		, ,		,,	
HCRA Resources Fund		0.00		0.00	
HCRA Resources Fund FMAP		0.00		0.00	
Total Other Financing Sources		4,055,886.00		4,055,886.00	
Transfers to Other Pools:					
Medicaid Disproportionate Share		0.00		0.00	
Health Facility Assessment Fund		0.00		0.00	
Transfers to State Funds:		0.00		0.00	
HCRA Resources Fund		(248,322,311.13)		(248,322,311.13)	
Indigent Care Fund (matched)		(69,420,428.13)		(69,420,428.13)	
Indigent Care Fund (matched)		(2,452,991.37)		(2,452,991.37)	
Total Other Financing Uses		(320,195,730.63)	-	(320,195,730.63)	
Excess (Deficiency) of Receipts and Other Financing Sources					
over Disbursements and Other Financing Uses		33,591,055.36		33,591,055.36	
· ·	¢		•		
CLOSING CASH BALANCE	\$	320,349,992.90	\$	320,349,992.90	

Source: HCRA - Office of Pool Administration

**APPENDIX D** 

### STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE FISCAL YEAR 2012-2013

	2012 APRIL	2012-2013
OPENING CASH BALANCE RECEIPTS:	\$ 12,566.79	\$ 12,566.79
Interest Income	2.17	2.17
Total Receipts	2.17	2.17
DISBURSEMENTS: Program Disbursements:		
Indigent Care	0.00	0.00
High Need Indigent Care	0.00	0.00
Other	0.00	0.00
Total Program Disbursements	0.00	0.00
Excess (Deficiency) of Receipts over Disbursements	2.17	2.17
OTHER FINANCING SOURCES (USES): Transfers from Other Pools:		
Public Goods Pool	0.00	0.00
Health Facility Assessment Fund	0.00	0.00
Transfers From State Funds:		
HCRA Resources Indigent Care - Matched	34,710,214.07	34,710,214.07
HCRA Resources Indigent Care - Unmatched	2,040,286.70	2,040,286.70
HCRA Resources Indigent Care - FMAP	(1,429,422.71)	(1,429,422.71)
Federal DHHS Fund	34,710,214.06	34,710,214.06
Total Other Financing Sources	70,031,292.12	70,031,292.12
Transfers to Other Pools:		
Public Goods Pool	0.00	0.00
Health Facility Assessment Fund	0.00	0.00
Transfers to State Funds:	(0.440.00)	(0.440.00)
HCRA Resources Fund Indigent Care Acct	(2,148.82)	(2,148.82)
Total Other Financing Uses	(2,148.82)	(2,148.82)
Excess (Deficiency) of Receipts and Other Financing		
Sources over Disbursements and Other Financing Uses	70,029,145.47	70,029,145.47
CLOSING CASH BALANCE	\$ 70,041,712.26	\$ 70,041,712.26

Source: HCRA - Office of Pool Administration

### SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '11 (000)	DISBURSED MAY '11 (000)	DISBURSED JUNE '11 (000)	DISBURSED JULY '11 (000)	DISBURSED AUG '11 (000)	DISBURSED SEPT '11 (000)	DISBURSED OCT '11 (000)	DISBURSED NOV '11 (000)	DISBURSED DEC '11 (000)	DISBURSED JAN '12 (000)	DISBURSED FEB '12 (000)	DISBURSED MAR '12 (000)	DISBURSED TOTAL 11-12 (000)
DORMITORY AUTHORITY:													
Education - All Other	1	6	30	5	25		1				18	18	104
Education - EXCEL	3.713	7.761	23,050	2,305	21.200	2.784	13,002	12,430	6,274	10,875	17,373	6,232	126,999
Department of Health - All Other	12	1	(19)	38	355	6		2		2	1	1	399
CEFAP		91	694	32	507	23	125	21	125		1,173	821	3,612
Regional Development:													
CCAP/RESTORE	496	2,021	1,238	216	3,109	1,916	993	2,735	202	1,987	643	596	16,152
Multi-modal		14		27		64		414	17				536
GenNYsis									10	85	165	145	405
CUNY Senior Colleges	8,485	41,573	24,490	11,592	43,172	20,282	22,634	19,760	14,769	42,378	27,484	61,761	338,380
CUNY Community Colleges	2,861	2,262	2,944	1,322	3,809	5,592	6,042	5,128	1,429	15,721	5,782	11,052	63,944
SUNY Dormitories	14,859	18,346	10,574	11,262	24,920	20,206	11,591	11,430	10,906	12,619	15,220	13,460	175,393
Upstate Community Colleges	8,902	5,671	7,669	756	5,466	4,157	6,982	5,845	5,338	7,199	12,353	2,988	73,326
Mental Health	2,518	14,298	4,194	4,291	21,337	2,860	3,131	5,512	4,009	6,272	4,903	10,522	83,847
Developmental Disabilities	1,968	2,791	862	3,337	3,017	739	2,084	2,113	1,870	2,685	1,902	5,359	28,727
Alcoholism & Substance Abuse		216	4	116	5	58	1	11	25	71	20	1,081	1,608
Brooklyn Court Officer Training Academy	7	636	366		1,085	101	73	27		48	137	64	2,544
TOTAL DORMITORY AUTHORITY:	43,822	95,687	76,096	35,299	128,007	58,788	66,659	65,428	44,974	99,942	87,174	114,100	915,976
EMPIRE STATE DEVELOPMENT CORP: Regional Development:													
Centers of Excellence		554	(172)	(382)									
CCAP	150	560	801	628		187		230	111	356	50	1,235	4,308
Empire Opportunity			2,761										2,761
CEFAP		84	(1)	161		15		50	1,104	209	50	161	1,833
State Facilities and Equipment			22	(14)		191			32				231
TOTAL EMPIRE STATE DEVELOPMENT CORP	: 150	1,198	3,411	393		393		280	1,247	565	100	1,396	9,133
THRUWAY AUTHORITY:													
CHIPS	53,155		28,977			112,936			157,294			59,667	412,029
SHIPS		11			27			9			18		65
Marchiselli			7,332			15,408			5,452			10,547	38,739
Multi-modal		5,498			7,104			2,132				124	14,858
TOTAL THRUWAY AUTHORITY:	53,155	5,509	36,309		7,131	128,344		2,141	162,746		18	70,338	465,691
TOTAL OFF-BUDGET:	97,127	102,394	115,816	35,692	135,138	187,525	66,659	67,849	208,967	100,507	87,292	185,834	1,390,800
TOTAL CEFAP		175	693	193	507	38	125	71	1,229	209	1,223	982	5,445
ECONOMIC DEVELOPMENT:													
Total CCAP	646	2,581	2,039	844	3,109	2,103	993	2,965	313	2,343	693	1,831	20,460
Total Multi-modal		14		27		64		414	17				536
Total GenNYsis									10	85	165	145	405
Total Centers for Excellence		554	(172)	(382)									
Total Empire Opportunity			2,761										2,761
Total Economic Development	646	3,149	4,628	489	3,109	2,167	993	3,379	340	2,428	858	1,976	24,162

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

# Schedule of Month-End Temporary Loans Outstanding April 30, 2012

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the 'actual' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund groups.

	December 31, 2011	January 31, 2012	February 29, 2012	March 31, 2012	Change	April 30, 2012
TOTAL GENERAL FUND	\$	\$	\$	\$	\$	\$
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,589,007,776.01	1,361,785,025.64	1,395,800,117.85	930,502,988.49	65,879,565.07	996,382,553.56
TOTAL STATE SPECIAL REVENUE FUNDS	2,464,493,210.61	1,981,870,449.04	1,753,001,705.56	455,159,430.76	238,360,748.37	693,520,179.13
TOTAL FEDERAL FUNDS	348,464,639.33	543,131,749.27	1,062,501,962.97	341,941,278.04	168,056,091.23	509,997,369.27
TOTAL AGENCY FUNDS						
TOTAL ENTERPRISE FUND						
TOTAL INTERNAL SERVICE FUNDS	94,588,385.48	92,554,586.23	100,452,083.88	37,435,452.57	1,353,746.94	38,789,199.51
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$4,496,554,011.43	\$3,979,341,810.18	\$4,311,755,870.26	\$1,765,039,149.86	\$473,650,151.61	\$2,238,689,301.47

SFS Fund	CAS Fun	d ACCOUNT		ACCOUNT TITLE GENERAL FUND	December 31, 2011	January 31, 2012	February 29, 2012	March 31, 2012	Change	April 30, 2012
10050	00300			State Operations and Local Assistance	0.00	0.00	0.00	0.00	0.00	0.00 (
				TOTAL GENERAL FUND	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			CAPITA	L PROJECT AND BOND REIMBURSABLE FUNDS						
30051	07201	300	-51	HIGHWAY AND BRIDGE CAPITAL	568,844,516.33	340,596,765.41	340,097,278.54	108,986,487.56	49,568,681.28	158,555,168.84 (
30101 30102	0744Y 0744Z	301	-01 -02	REHAB/REPAIR MARITIME D21RVE- MARITIME	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30102	0744Z 0746Z		-02	D36RVE- CENTRAL ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
30104	0748A		-04	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	1,417,977.69	2,059,573.58	2,061,798.26	2,924,042.08	0.00	2,924,042.08
30105	074AY		-05	REHAB/REPAIR ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
30106	074AZ		-06	D01RVE- ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
30107	074BY		-07	REHAB/REPAIR BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
30108 30109	074BZ 074CY		-08 -09	D07RVE- BINGHAMTON REHAB/REPAIR BUFFALO UNIVERSITY	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30109	074CT		-10	D28RVE- SUNY BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
30111	074DY		-11	REHAB/REPAIR STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
30112	074DZ		-12	D13RVE- STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
30113	074EY		-13	REHAB/REPAIR BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
30114	074EZ		-14	D14RVE - HSC BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
30115 30116	074FY 074FZ		-15 -16	REHAB/REPAIR SYRACUSE	0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30116	074FZ 074GY		-16 -17	D15RVE- HSC SYRACUSE REHAB/REPAIR BROCKPORT	0.00 0.00	0.00	0.00	0.00	0.00	0.00
30118	074GZ		-18	D02RVE- BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
30119	074HY		-19	REHAB/REPAIR BUFFALO COLLEGE	0.00	0.00	0.00	0.00	0.00	0.00
30120	074HZ		-20	D03RVE -SUB BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
30121	074IY		-21	REHAB/REPAIR CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
30122	074IZ		-22	D04RVE- CORTLAND	608,575.73	608,640.36	608,702.93	608,756.13	64.10	608,820.23
30123	074JY		-23	REHAB/REPAIR FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
30124 30125	074JZ 074KY		-24 -25	D05RVE- FREDONIA REHAB/REPAIR GENESEO	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30125	074KT 074KZ		-25 -26	D06RVE- GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
30127	074LY		-27	REHAB/REPAIR OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
30128	074LZ		-28	D31RVE- OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
30129	074MY		-29	REHAB/REPAIR NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
30130	074MZ		-30	D08RVE- NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
30131	074NY		-31	REHAB/REPAIR ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
30132	074NZ		-32	D09RVE- ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
30133 30134	074OY 074OZ		-33 -34	REHAB/REPAIR OSWEGO D10RVE- OSWEGO	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30134	074OZ 074PY		-35	REHAB/REPAIR PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
30136	074PZ		-36	D11RVE- PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
30137	074QY		-37	REHAB/REPAIR POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
30138	074QZ		-38	D12RVE- POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
30139	074RY		-39	REHAB/REPAIR PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
30140	074RZ		-40	D29RVE- PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
30141 30142	074SY 074SZ		-41 -42	REHAB/REPAIR FOR UTICA/ROME D27RVE- CAMPUS RESERVE	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30142	0745Z 074UY		-42	REHAB/REPAIR ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
30144	074UZ		-44	D22RVE- ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
30145	074VY		-45	REHAB/REPAIR CANTON	0.00	0.00	0.00	0.00	0.00	0.00
30146	074VZ		-46	D23RVE- CANTON	0.00	0.00	0.00	0.00	0.00	0.00
30147	074WY		-47	REHAB/REPAIR COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
30148	074WZ		-48	D24RVE- COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
30149 30150	074XY 074XZ		-49 -50	REHAB/REPAIR DELHI D25RVE- DELHI	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30150	074XZ 074YY		-50 -51	REHAB/REPAIR FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
30152	074YZ		-52	D26RVE- FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
30153	074ZY		-53	REHAB/REPAIR MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
30154	074ZZ		-54	D27RVE- MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
30351	07601	303	-51	STATE PARK INFRASTRUCTURE	24,829,113.81	26,066,158.03	22,187,536.07	5,424,440.65	1,074,264.25	6,498,704.90
30501	07901	305	-01	CW/CA IMPLEMENTATION DEC	169.29	169.29	169.29	169.29	0.00	169.29
30502 30503	07904 07905		-02 -03	CW/CA IMPLEMENTATION STATE CW/CA IMPLEMENTATION ERDA	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00
30503	07905		-03 -04	CW/CA IMPLEMENTATION ERDA CW/CA IMPLEMENTATION EFC	0.00	274,400.00	274,400.00	0.00	0.00	0.00
31501	31201	315	-01	SITE INVESTIGATION & CONSTRUCTION	0.00	0.00	0.00	0.00	0.00	0.00
31506	31206		-06	HAZARDOUS WASTE CLEAN UP	187,860,729.12	191,668,569.36	197,247,468.09	204,757,108.49	1,954,041.03	206,711,149.52
31701	35701	317	-01	YOUTH FACILITIES IMPROVEMENT	5,765,007.75	6,078,667.75	6,724,793.99	4,113,817.20	0.00	4,113,817.20
31801	37401	318	-01	HOUSING ASSISTANCE	20,950,608.05	20,395,358.05	20,395,358.05	20,395,358.05	0.00	20,395,358.05
31851	37601		-51 -52	HOUSING PROG FD-HSG TR FD CORP	88,784,526.01	94,549,680.84	94,549,680.84	92,788,401.93	0.00	92,788,401.93
31852 31853	37602 37603		-52 -53	HOUSING PROG FD AFFORD HSG CORP HOUSING PROG FD-DEPT OF SOCIAL SERVICES	17,670,413.13 59,770,493.83	1,110,600.39 39,942,401.74	1,110,600.39 39,942,401.74	0.00 34,907,661.85	0.00 9,469,952.65	0.00 44,377,614.50
31854	37605		-53 -54	HOUSING PROG FD-BEPT OF SOCIAL SERVICES HOUSING PROG FD-HFA	0.00	0.00	0.00	0.00	9,469,952.65	0.00
		319	-51	HIGHWAY FAC PURPOSE	12,855,384.37	13,001,957.17	13,160,492.27	11,476,318.64	158,757.79	11,635,076.43
	38001									
31951 32204	38001 38708	322	-04	CLEAN AIR CAPITAL	0.00	0.00	0.00	0.00	0.00	0.00
31951							0.00 224,999,990.00 0.00			

SFS Fund	CAS Fund	ACCOUNT		ACCOUNT TITLE	December 31, 2011	January 31, 2012	February 29, 2012	March 31, 2012	Change	April 30, 2012
32302	38903		-02	DSAS-COMMUINTY FACILITIES	398,869.67	398,869.67	398,869.67	398,869.67	0.00	398,869.67
32303	38907		-03	OMH-COMMUNITY FACILITIES	146,963,657.27	148,092,737.41	126,430,763.23	117,353,458.14	1,908,360.44	119,261,818.58
32304	38908		-04	OPWDD-COMMUNITY FACILITIES	0.00	0.00	0.00	0.00	0.00	0.00
32305	38909		-05	OASAS-COMMUNITY FACILITIES	141,490,698.41	143,833,351.66	168,129,205.08	165,484,591.21	0.00	165,484,591.21
32306 32307	38930 38931		-06 -07	DASNY - OMH ADMIN DASNY - OPWDD ADMIN	21,526,252.79 7,175,302.76	25,937,231.71 7,175,302.76	26,722,999.06 8,140,102.76	23,132,572.63 3,834,298.65	0.00 0.00	23,132,572.63 3,834,298.65
32307	38933		-07	DASNY - OF WIDD ADMIN DASNY - OASAS ADMIN	306,227.60	306,227.60	306,227.60	144.881.81	0.00	144,881.81
32309	38950		-09	OMH -STATE FACILITIES	40,741,750.69	43,017,660.16	46,326,479.38	47,629,970.68	1,410,536.01	49,040,506.69
32310	38951		-10	OPWDD -STATE FACILITIES	0.00	0.00	0.00	0.00	0.00	0.00
32311	38953		-11	OASAS -STATE FACILITIES	1,787,611.13	2,075,558.80	2,645,048.74	1,822,834.58	0.00	1,822,834.58
32351	39901		-51	CORR. FACILITIES CAPITAL IMPROVEMENT	11,110.01	11,110.01	11,110.01	11,110.01	0.00	11,110.01
32352	39903		-52	DOCS-REHABILITATION PROJECTS	14,248,790.57	29,584,043.89	53,328,641.86	84,307,839.24	334,907.52	84,642,746.76
				TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	\$1,589,007,776.01	\$1,361,785,025.64	\$1,395,800,117.85	\$930,502,988.49	\$65,879,565.07	\$996,382,553.56
				STATE SPECIAL REVENUE FUNDS	1					
20451		204	-51	TUITION REIMBURSEMENT FUND	0.00	0.00	0.00	0.00	0.00	0.00
20452	05002		-52	VOCATIONAL SCHOOL SUPERVISION	0.00	0.00	0.00	0.00	0.00	0.00
20501		205	-01	LOCAL GOVERNMENT RECORDS MGMT	0.00	0.00	0.00	0.00	0.00	0.00
20812		208	-12	HOSPITAL BASED GRANTS PROGRAM	0.00	0.00	1,076,260.54	0.00	0.00	0.00
20818 20810	061J6 06129		-18 -10	EPIC PREMIUM ACCOUNT CHILD HEALTH INSURANCE	12,875,444.54 195,481,456.75	16,688,084.72 743,971.35	17,710,708.88 48,881,730.24	473,414.72 85,137,545.71	0.00 192,102.37	473,414.72 85,329,648.08
20901		209	-01	LOTTERY-EDUCATION	910,667,439.40	779,700,088.19	597,986,030.59	0.00	0.00	0.00
20904	16006	200	-04	VLT EDUCATION	0.00	0.00	0.00	0.00	0.00	0.00
21001		210	-01	ENVIR FAC CORP ADM ACCT	112,468.63	623,364.00	1,131,674.81	325,199.82	0.00	325,199.82
21002	30002		-02	ENCON ADMIN ACCT	764,437.48	940,521.58	2,709,881.21	0.00	0.00	0.00
21053	30148		-48	WASTE MGMT & CLEANUP	0.00	0.00	0.00	0.00	0.00	0.00
21061	301F7		-61	HAZARDOUS BULK STORAGE	0.00	0.00	0.00	0.00	0.00	0.00
21064	301H4		-64	ENCON-UTILITY ENVIRONMENTAL REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
21065	301IC		-65	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,707,764.48	2,347,170.11	934,192.49	1,682,158.92	676,584.71	2,358,743.63
21066	301K5		-66	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,270,780.39	4,387,499.50	3,771,909.80	3,996,886.78	125,289.76	4,122,176.54
21067 21077	301K6 301PS		-67 -77	ENCON-RECREATION	8,662,242.24	8,551,852.24	8,761,925.11 0.00	8,536,941.43	437,732.54	8,974,673.97
21077	301PS 301S4		-77	PUBLIC SAFETY RECOVERY ACCOUNT ENCON CONSERVATIONIST MAGAZINE ACCT	0.00 0.00	0.00 0.00	0.00	0.00 0.00	0.00 0.00	0.00 0.00
21080	301S5		-81	ENVIRONMENTAL REGULATORY	20,350,308.70	20,516,510.52	21,750,074.37	20,950,149.81	1,142,764.71	22,092,914.52
21082	301S6		-82	NATURAL RESOURCES ACCOUNT	21,091,331.06	20,946,970.26	21,696,736.01	21,703,244.98	200,948.94	21,904,193.92
21084	301XB		-84	MINED LAND RECLAMATION ACCT	0.00	0.00	0.00	0.00	147,679.42	147,679.42
21087	301GL		-87	GREAT LAKES RESTORATION INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
21401	31301	214	-01	PUBLIC TRANSPORTATION SYSTEMS	0.00	0.00	7,847,110.99	670,861.55	(670,861.55)	0.00
21402	31302		-02	METROPOLITAN MASS TRANSPORTATION	594,398,016.29	446,001,894.34	355,920,149.13	0.00	0.00	0.00
21451	31401		-51	OPERATING PERMIT PROGRAM	13,240,173.06	13,394,576.70	14,758,926.67	15,397,189.24	398,393.03	15,795,582.27
21452	31402		-52	MOBILE SOURCE	0.00	0.00	0.00	1,396,962.27	(1,054,791.05)	342,171.22
21902		219	-02	HEALTH-SPARC'S	0.00	0.00	0.00	0.00	0.00	0.00
21903	33905		-03	OPWDD PROVIDER OF SERVICE	253,741,522.66	276,142,362.05	301,062,425.86	0.00	28,635,997.09	28,635,997.09
21905	33908		-05	NYS THRUWAY AUTHORITY	1,170,125.51	1,233,673.78	2,281,305.33	2,302,814.15	(1,015,555.57)	1,287,258.58
21907	33910		-07	MENTAL HYGIENE PROGRAM	0.00	0.00	0.00	0.00	104,451,756.96	104,451,756.96
21909 21911	33913 33915		09 -11	MENTAL HYGIENE PATIENT INCOME ACCOUNT FINANCIAL CONTROL BOARD	0.00 657,698.81	0.00 165,645.80	0.00 563,816.96	0.00 757,179.53	88,031,613.76 (651,009.59)	88,031,613.76 106,169.94
21912	33916		-12	RACING REGULATION ACCOUNT	5,546,882.88	5,379,645.78	5,412,469.15	5,805,490.63	(16,357.99)	5,789,132.64
21913	33917		-13	RACING REGULATION ACCOUNT	6,984,363.91	7,805,309.88	3,706,312.74	7,960,404.31	486.226.38	8.446.630.69
21915	33920		-15	QUALITY OF CARE	47,455,812.37	47,624,122.97	0.00	0.00	0.00	0.00
21919	33925		-19	CYBER SECURITY UPGRADE	0.00	0.00	0.00	0.00	0.00	0.00
21920	33926		-20	CERTIFICATE OF NEED ACCT	0.00	0.00	0.00	0.00	0.00	0.00
21934	33944		-34	HOSPITAL AND NURSING HOME MANAGEMENT	0.00	0.00	0.00	2,628,042.30	(2,506,835.54)	121,206.76
21937	33947		-37	SU DORM INCOME REIMBURSE	0.00	0.00	0.00	0.00	10,367,360.47	10,367,360.47
21943	33960		-43	ENERGY RESEARCH ACCOUNT	12,539,090.60	12,539,090.60	16,789,498.94	1,559,498.94	0.00	1,559,498.94
21945	33962		-45	CRIMINAL JUSTICE IMPROVEMENT	0.00	0.00	0.00	0.00	0.00	0.00
21950	33968		-50 -59	FINGERPRINT IDENTIFICATION & TECH ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
21959 21962	33981 33990		-59 -62	ENV LAB REF FEE CLINICAL LAB FEE	10,348.96 22.630.904.10	0.00	0.00 21,304,835.13	0.00	14,209.35	14,209.35 20.450.685.83
21962	33993		-62 -64	PUBLIC EMP REL BOARD	22,630,904.10	20,825,150.67 0.00	21,304,635.13	20,498,907.92 0.00	(48,222.09) 0.00	20,450,665.63
21965	33995		-65	RADIOLOGICAL HEALTH PROTECTION	0.00	0.00	0.00	0.00	0.00	0.00
21969	339A4		-69	TEACHER CERTIFICATION PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
21970	339A5		-70	BANKING DEPARTMENT ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
21971	339A6		-71	CABLE TELEVISION	0.00	0.00	0.00	0.00	0.00	0.00
21977	339AG		-77	DOS BUSINESS AND LICENSING	0.00	0.00	0.00	0.00	0.00	0.00
21978	339AH		-78	INDIRECT COST RECOVERY	6,196,527.82	2,667,636.88	3,271,866.85	0.00	5,462,650.83	5,462,650.83
21979	339AI		-79	HIGH SCHOOL EQUIVALENCY PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
21983	339AQ		-83	RAIL SAFETY INSPECTION	0.00	0.00	0.00	0.00	0.00	0.00
21988	339AX		-88	CHILD SUPPORT INCENTIVE REVENUE	0.00	0.00	0.00	0.00	0.00	0.00
21989	339AY		-89	MULTI - AGENCY TRAINING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
21992	339B3		-92	CRITICAL INFRASTRUCTURE ACCT	0.00	0.00	0.00	0.00	0.00	0.00

SFS Fund		d ACCOUNT		ACCOUNT TITLE	December 31, 2011	January 31, 2012	February 29, 2012	March 31, 2012	Change	April 30, 2012
21994	339B6		-94	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
22003	339BJ	220	-03	BELL JAR COLLECTION ACCOUNT	0.00	0.00	0.00	0.00	79,109.91	79,109.91
22004 22006	339BK 339BP		-04 -06	INDUSTRY AND UTILITY SERVICE REAL PROPERTY DISPOSITION	162,292.06 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 19,021.24	0.00 19,021.24
22006	339BQ		-06	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22007	339BW		-09	ASBESTOS SAFETY TRAINING	88,861.78	70,666.56	89,933.73	122,124.23	13,137.48	135,261.71
22011	339C3		-11	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
22021	339CM		-21	REG MANUFACTURED HOUSING	0.00	0.00	0.00	0.00	0.00	0.00
22027	339CU		-27	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
22032	339D9		-32	BATAVIA SCHOOL FOR THE BLIND	9,529,853.89	9,847,030.60	9,726,560.15	5,700,331.94	517,281.95	6,217,613.89
22034	339DC		-34	INVESTMENT SERVICES	4,074.48	119,302.36	0.00	0.00	0.00	0.00
22036	339DE		-36	SURPLUS PROPERTY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22038	339DH		-38 -39	OPWDD DAY SERVICES ACCOUNT	9,586,201.27	6,623,223.58	2,178,174.98	2,178,174.98	0.00	2,178,174.98
22039 22046	339DI 339DT		-39 -46	FINANCIAL OVERSIGHT REGULATION INDIAN GAMING	946,047.40 107,212,446.85	197,849.34 94,898,926.39	802,048.49 97,218,518.40	1,025,035.52 96,507,949.45	(829,106.03) 465,242.27	195,929.49 96,973,191.72
22046	339E3		-46 -51	PROFESSIONAL EDUC SERVICE	0.00	94,696,926.39	97,218,518.40	90,507,949.45	0.00	96,973,191.72
22053	339E6		-53	ROME SCHOOL FOR THE DEAF	3,582,389.42	3,628,084.88	3,544,758.55	696,793.28	403,925.66	1,100,718.94
22054	339E8		-54	DSP-SEIZED ASSETS	12,436,050.28	13,543,960.58	12,959,468.59	11,357,771.16	29,030.55	11,386,801.71
22055	339E9		-55	ADMINISTRATIVE ADJUDICATION	2,716,979.04	772,848.90	3,473,463.37	1,820,894.97	1,841,611.65	3,662,506.62
22056	339EC		-56	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
22062	339EM		-62	NYC ASSESSMENT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
22063	339EN		-63	CULTURAL EDUCATION ACCOUNT	11,409,316.32	10,596,811.59	11,357,037.43	11,918,632.71	(885,012.73)	11,033,619.98
22065	339ER		-65	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
22067 22068	339F1 339F2		-67 -68	TRANSPORTATION REGULATION	0.00 0.00	0.00	0.00	0.00	0.00	0.00 0.00
22008	339G3		-00 -78	CONSUMER PROTECTION BD. LOCAL SERVICE ACCOUNT	0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00 0.00	0.00
22076	339H2		-85	DHCR MORTGAGE SERVICES	3.160.191.46	2.981.747.85	1,263,795.52	1,514,320.50	(636,762.53)	877,557.97
22087	339H7		-87	DMV-COMPULSORY INS PRGM	0.00	0.00	0.00	0.00	203,621.51	203,621.51
22090	339HI		-90	HOUSING INDIRECT COST RECOVERY	1,221,591.50	1,521,179.14	1,596,689.08	512,309.20	0.00	512,309.20
22100	339J5	221	-00	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
22101	339J6		-01	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
22112	339L7		-12	OTDA INCOME ACCOUNT	2,099,473.26	0.00	0.00	0.00	0.00	0.00
22130	339NG		-30	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
22133	339P4		-33	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
22135	339P6		-35 -44	EFC-CORPORATION ADMINISTRATION	476,167.77	303,426.44	431,945.13	39,070.25 0.00	0.00	39,070.25
22144 22149	339Q6 339R4		-44 -49	MONTROSE VETERAN'S HOME MOTOR FUEL QUALITY ACCOUNT	0.00 0.00	0.00	0.00 0.00	0.00	0.00 0.00	0.00
22151	339R7		-51	DEFERRED COMPENSATION ADMIN	139,732.32	219,682.23	119,673.26	150,313.57	0.00	150,313.57
22156	339RR		-56	RENT REVENUE OTHER - NYC	7,132,654.69	0.00	5,014,572.13	0.00	0.00	0.00
22158	339S8		-58	RENT REVENUE	345.379.55	375.511.49	468.578.64	493,735,25	38.907.31	532.642.56
22168	339TR		-68	TAX REVENUE ARREARAGE ACCOUNT	2,053,910.65	2,096,573.27	2,133,561.52	2,170,353.37	0.00	2,170,353.37
22176	339W3		-76	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
22177	339W4		-77	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
22193	339YD		-93	SALES TAX RE-REG FEE ADMN	0.00	0.00	0.00	0.00	0.00	0.00
22195	339YP		-95	EQUITABLE SHARING AGMT	0.00	0.00	0.00	0.00	0.00	0.00
22192 22654	339Y8	226	-92 -54	TAX RETURN PREPARER REG FEE ADM S.U. NON-RESIDENT REV. OFFSET	0.00	0.00 52,499,785.57	0.00 52,505,182.34	0.00 44,156,771.08	0.00 35,000.00	0.00 44,191,771.08
22802	34511 35402	228	-02	STATE POLICE MV ENFORCE	52,494,210.91 55,170,710.62	49,021,435.62	40,164,581.57	40,497,404.71	0.00	40,497,404.71
23001	36201	230	-01	DOT - HIGHWAY SAFETY PRGM	2.584.809.11	2.837.294.98	3,176,371.04	2.683.440.33	227.332.40	2.910.772.73
23101	36601	231	-01	EFC DRINKING WATER PROGRAM	0.00	147,946.78	298,977.37	97,046.44	0.00	97,046.44
23102	36602		-02	DOH DRINKING WATER PROGRAM	8,087,540.74	6,380,350.63	6,865,516.31	7,294,455.67	346,025.75	7,640,481.42
23151	36801		-51	NYCCC OPERATING OFFSET	31,297,184.60	33,961,668.34	38,282,456.16	22,439,609.14	1,684,705.04	24,124,314.18
				TOTAL STATE SPECIAL REVENUE FUNDS	\$2,464,493,210.61	\$1,981,870,449.04	\$1,753,001,705.56	\$455,159,430.76	\$238,360,748.37	\$693,520,179.13
					_					
				FEDERAL FUNDS						
250 251	261 265	250 251	-		<b>(1)</b> 46,427,768.42 <b>(2)</b> 128,634,009.92	26,593,460.66 316,029,070.25	33,767,067.68 762,844,521.63	6,766,420.53 94,764,780.37	1,320,126.60 (20,144,160.85)	8,086,547.13 (1) 74,620,619.52 (2)
25200-25220		25200-25220	-			27,028,732.28	62,124,584.34	120,858.98	179,795,534.23	179,916,393.21 (3)
25250-25220		25200-25220 25250-25261	-		(3) 15,438,270.74 (4) 0.00	27,028,732.28	62,124,584.34	120,858.98	0.00	179,916,393.21 (3)
25300-25519		25300-25519			<b>(5)</b> 63,422,769.21	73,508,744.57	85,746,903.93	85,657,441.81	4,146,696.59	89,804,138.40 (5)
31351	29104	313	-51	MILITARY AND NAVAL AFFAIRS	10,206,896.45	9,053,714.59	8,127,284.66	8,288,879.88	(45,194.12)	8,243,685.76
31354	29110		-54	DEPARTMENT OF TRANSPORTATION	77,246,620.37	81,852,719.50	95,988,655.48	124,278,301.48	13,240,544.72	137,518,846.20 (7)
313XX	291	313	-		(6) 5,495,266.00	6,660,707.85	6,195,492.46	9,820,362.24	1,706,022.95	11,526,385.19 (6)
25901	48001	259	-01	UI ADMINISTRATION	853,163.23	2,241,440.66	7,398,486.84	9,618,732.91	(9,618,732.91)	0.00
25950	48400		-50	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
26001	48609	260	-01	DOL WORKFORCE INVESTMENT ACT	739,874.99	163,158.91	308,965.95	2,625,499.84	(2,344,745.98)	280,753.86
26002	48610		-02	DOL FEDERAL GRANTS  TOTAL FEDERAL FUNDS	\$348.464.639.33	0.00 \$543,131,749.27	0.00 \$1.062.501.962.97	0.00 \$341,941,278.04	0.00 \$168,056,091.23	0.00 \$509,997,369.27 (8)
				TOTAL FEDERAL FUNDS	\$348, <del>464</del> ,639.33	\$543,131,749.27	\$1,00∠,501,96∠.97	<b>₽341,941,278.04</b>	<b>⊉100,036,091.23</b>	<b>\$509,997,369.27</b> (8)

SFS Fund	CAS Fund	ACCOUNT		ACCOUNT TITLE	December 31, 2011	January 31, 2012	February 29, 2012	March 31, 2012	Change	April 30, 2012
	ı			AGENCY FUNDS						
60901	17902	609	-01	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	0.00
				TOTAL AGENCY FUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	ı			ENTERPRISE FUND						
50051	32501	500	-51	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
50318		503	-18	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
				TOTAL ENTERPRISE FUND	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	ſ			INTERNAL SERVICE FUNDS						
55001	32303	550	-01	CENTRALIZED SERVICES-FLEET MGMT	1,359,324.29	1,155,513.53	1,110,819.67	1,484,623.83	59,046.83	1,543,670.66
55002	32305		-02	CENTRALIZED SERVICES-DATA PROCESSING	436,078.26	559,428.04	642,466.41	24,134.45	102,972.58	127,107.03
55003	32306		-03	CENTRALIZED SERVICES-REPRODUCTION	2,848,032.09	2,802,069.30	2,974,653.09	1,256,699.96	124,257.31	1,380,957.27
55004	32307		-04	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	0.00	0.00	0.00	0.00	13,844.90	13,844.90
55005	32308		-05	CENTRALIZED SERVICES-SURPLUS FED FOODS	352,803.28	468,576.26	705,735.91	732,678.36	29,992.95	762,671.31
55006	32309		-06	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
55007	32312		-07	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,994,313.18	2,722,343.84	2,829,892.37	2,357,421.26	99,681.66	2,457,102.92
55008	32313		-08	CENTRALIZED SERVICES-PASNY	7,381,846.31	5,569,544.89	7,392,380.06	2,639,053.90	19,692.51	2,658,746.41
55009	32314		-09	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
55010	32315		-10	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
55011	32317		-11	CENTRALIZED SERVICES-INSURANCE	399,004.91	309,692.87	396,756.71	827,385.16	33,339.38	860,724.54
55012	32318		-12	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
55013	32319		-13	CENTRALIZED SERVICES-COP'S	0.00	0.00	0.00	0.00	0.00	0.00
55014	32320		-14	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
55015	32321		-15	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
55016	32323		-16	CENTRALIZED SERVICES-IMMICS	0.00	0.00	0.00	26,961.54	0.00	26,961.54
55017	32326		-17	DOWNSTATE DISTRIBUTION	717,124.03	727,579.06	977,188.78	669,258.44	162,742.41	832,000.85
55018	32327		-18	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
55019	32328		-19	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
55052	33402		-52	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
55053	33405		-53	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
55055	33409		-55	CIVIL SERVICE LAW:SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
55056	33410		-56	CIVIL SERVICE EHS OCCUP HEALTH PROG	168,089.96	117,810.19	2,068.28	53,838.11	83,661.51	137,499.62
55057	33412		-57	BANKING SERVICES ACCOUNT	3,281.98	494.27	513,450.42	0.00	0.00	0.00
55058	33414		-58	CULTURAL RESOURCE SURVEY	3,135,528.16	3,562,629.62	4,078,250.32	2,215,928.19	217,973.29	2,433,901.48
55059	33417		-59	NEIGHBOR WORK PROJECT	6,721,706.71	6,130,575.15	5,893,590.95	5,673,913.64	0.00	5,673,913.64
55060	33418		-60	AUTOMATIC/PRINT CHARGBACKS	1,352,811.62	0.00	0.00	0.00	0.00	0.00
55061	33420		-61	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
55062	33423		-62	DATA CENTER ACCOUNT	34,413,436.43	34,697,522.31	35,527,215.25	0.00	0.00	0.00
55063	33424		-63	HUMAN SVCE TELECOM ACCT	1,311,009.22	1,729,402.33	2,074,376.39	0.00	0.00	0.00
55065	33426		-65	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
55066	33427		-66	CYBER SECURITY INTRUSION ACCT	843,852.41	843,852.41	796,657.46	551,435.90	0.00	551,435.90
55067	33428		-67	DOMESTIC VIOLENCE GRANT	225,674.72	178,634.85	218,801.61	227,480.46	43,787.95	271,268.41
55069	33430		-69	CENTRALIZED TECHNOLOGY SERVICES	1,575,253.76	1,562,125.44	2,408,865.88	3,004,655.53	47,868.39	3,052,523.92
55070	334ZV		-70	LEARNING MGMT SYSTEM	0.00	0.00	0.00	0.00	0.00	0.00
55201		552	-01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
55251	39504	==0	-51	EXECUTIVE DIRECTION INTERNAL AUDIT	1,213,058.30	1,441,468.92	1,571,029.63	669,259.09	88,410.17	757,669.26
55300		553	-00	HEALTH INSURANCE INTERNAL SERVICE	17,661,323.96	17,946,437.11	19,335,889.73	12,138,334.23	111,968.74	12,250,302.97
55301	39601		-01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	2,217,354.30	2,452,510.49	2,923,885.56	2,860,483.75	136,413.13	2,996,896.88
55350	39700		-50	CORR INDUSTRIES INTERNAL SERVICE	7,257,477.60	7,576,375.35	8,078,109.40	21,906.77	(21,906.77)	0.00
				TOTAL INTERNAL SERVICE FUNDS	\$94,588,385.48	\$92,554,586.23	\$100,452,083.88	\$37,435,452.57	\$1,353,746.94	\$38,789,199.51

GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$4,496,554,011.43	\$3,979,341,810.18	\$4,311,755,870.26	\$1,765,039,149.86	\$473,650,151.61	\$2,238,689,301.47

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part U. Section 1 and 1A. of the Laws of 2012-13. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements

exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

#### NOTE: (1)

- Includes all negative cash balance Subfunds within fund 25000-25036 (261).
- Includes all negative cash balance Subfunds within fund 25100-25183 (265).
- Includes all negative cash balance Subfunds within fund 25200-25220 (267).
- Includes all negative cash balance Subfunds within fund 25250-25261 (269).
- Includes all negative cash balance Subfunds within fund 25300-25519 (290). (6)
  - Includes all other negative cash balance Subfunds within fund 31350-31376 (291).
- The Fund 31354 (291-10) temporary loan balance includes \$69.8 million of costs previously disallowed by the Federal Highway Administration.
  - These costs are systematically being transferred to Fund 30051 (072-01) based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 30051 (072-01) on or before March 31, 2013.
- Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 10000 (001) and State Purpose Fund 10050 (003).