

# THE CITIZENS' GUIDE

A Pocket Reference to New York State's Finances



2011

THOMAS P. DINAPOLI  
*State Comptroller*

*August 2011*

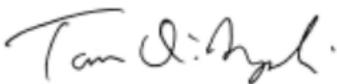
Dear Fellow New Yorker:

As New York's chief financial officer, I want to make information about the State's finances as understandable and available to as many New Yorkers as possible.

This guide is just one of my efforts to make information accessible to all New Yorkers. You can find much more information about New York's State and local governments on my website: [www.osc.state.ny.us](http://www.osc.state.ny.us).

I hope you find this guide helpful.

Sincerely,



Thomas P. DiNapoli  
State Comptroller



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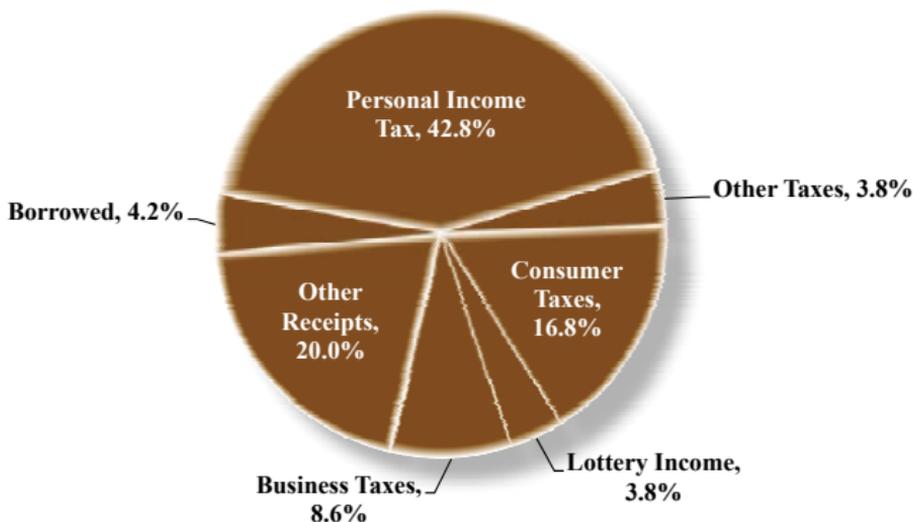
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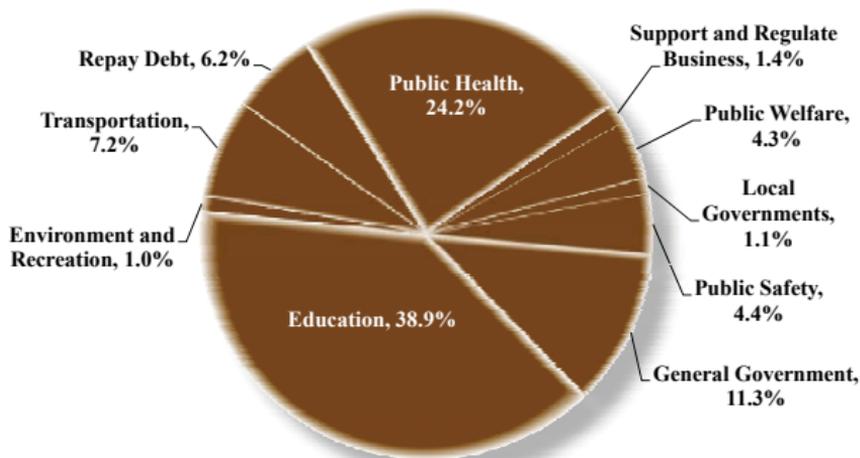
# STATE FUNDS 2010-2011

The State Collected and Borrowed \$84.5 Billion

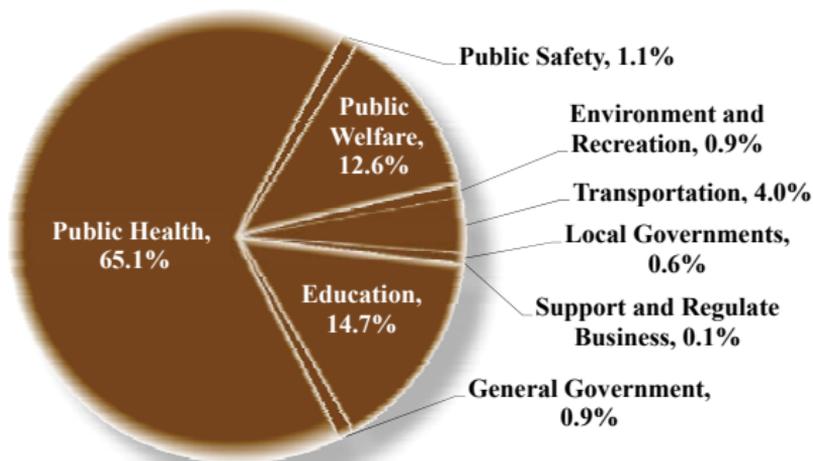


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The State Spent \$90.1 Billion

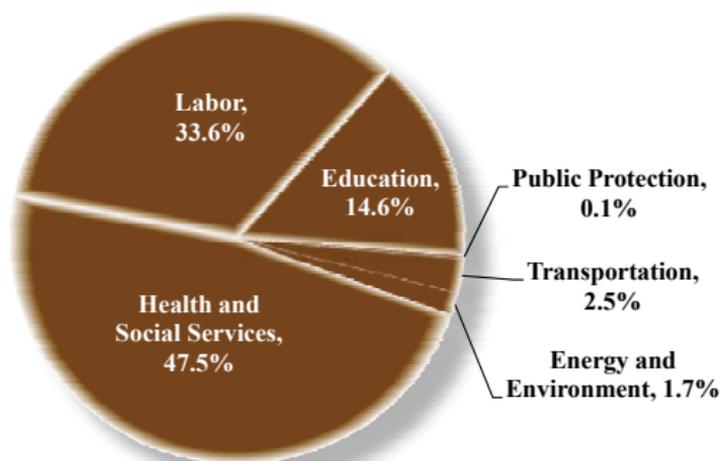


## The State Spent \$44.7 Billion from the Federal Government



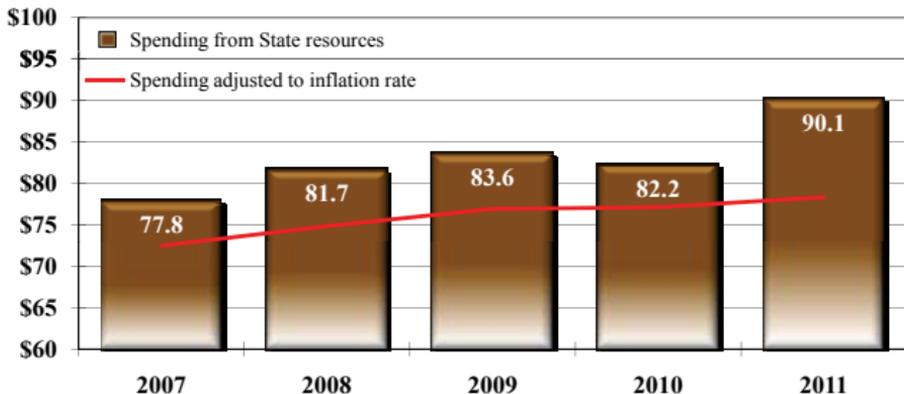
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## The State Spent \$27.1 Billion of Federal American Recovery and Reinvestment Act Funds



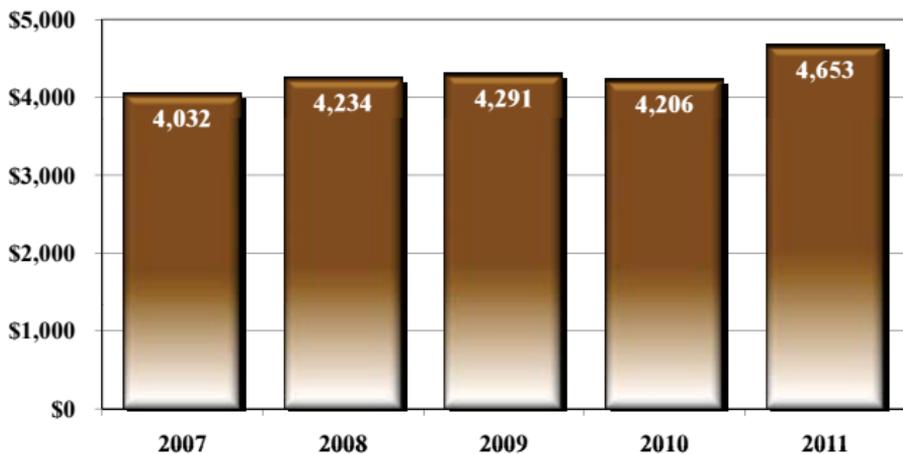
## Total Spending from State Resources

*figures in billions*



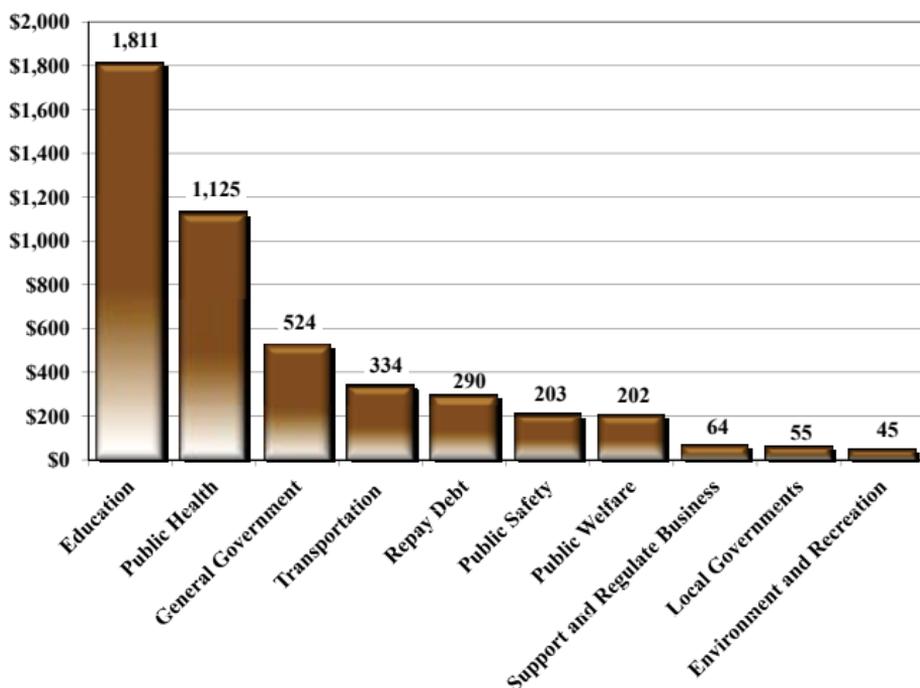
*Since 2007, spending from State resources increased 15.8%. Inflation-adjusted spending increased 8.1% over the same period.*

## Total State Spending from State Resources per Person



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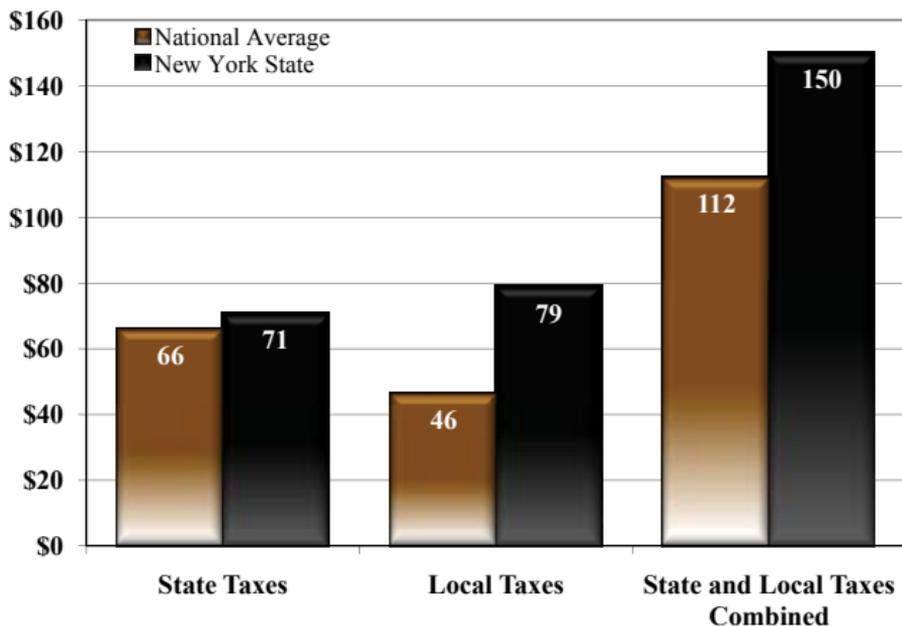
## Total Spending from State Resources per Person by Program for 2010-2011



*Spending for Education and Public Health account for for 63.1% of total spending from State resources.*

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## Taxes per \$1,000 of Personal Income

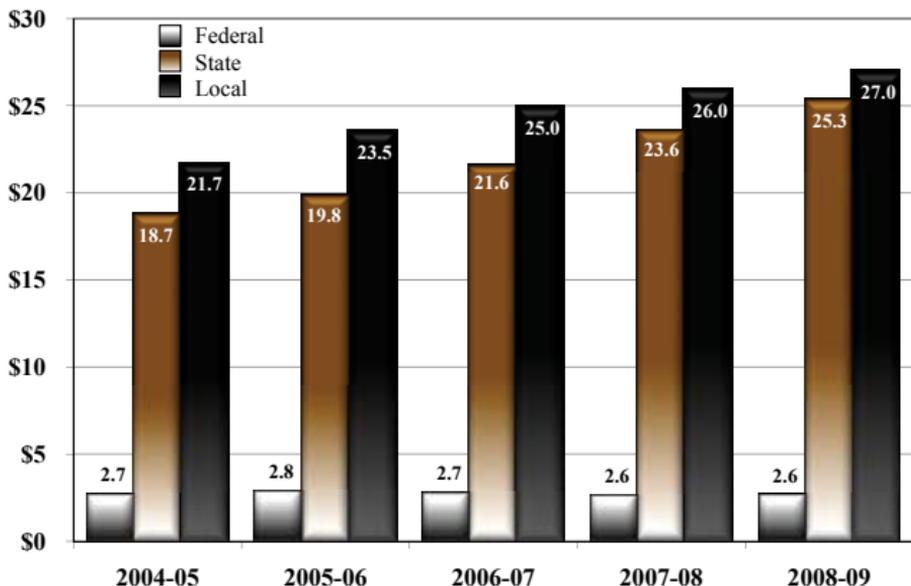


*Local taxes in New York are 71.7% above the national average, while State taxes are 7.6% above the national average.*

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## Education Funding Sources

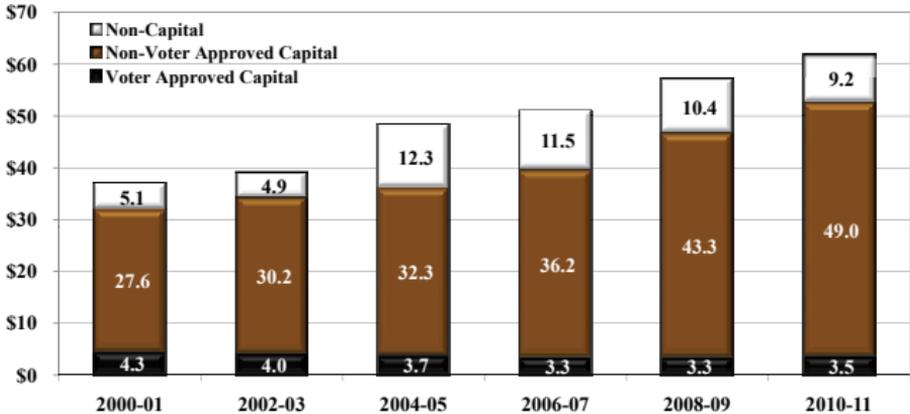
*figures in billions*



*Between 2004-05 and 2008-09, State and local support for public elementary and secondary schools grew by 35.3% and 24.4%, respectively. Federal support declined by 3.7% over the same period.*

# State-Funded Debt

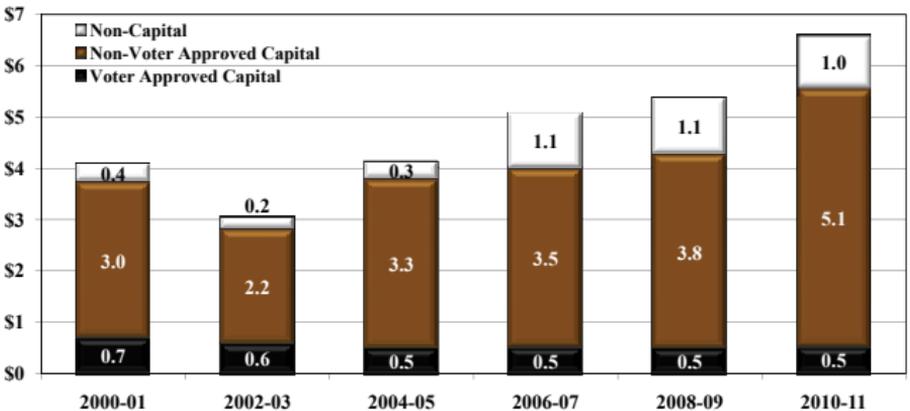
*figures in billions*



*State-funded debt increased 66.8% since 2001. Non-voter approved capital debt accounted for 79.4% of the State-funded debt outstanding in 2010-11.*

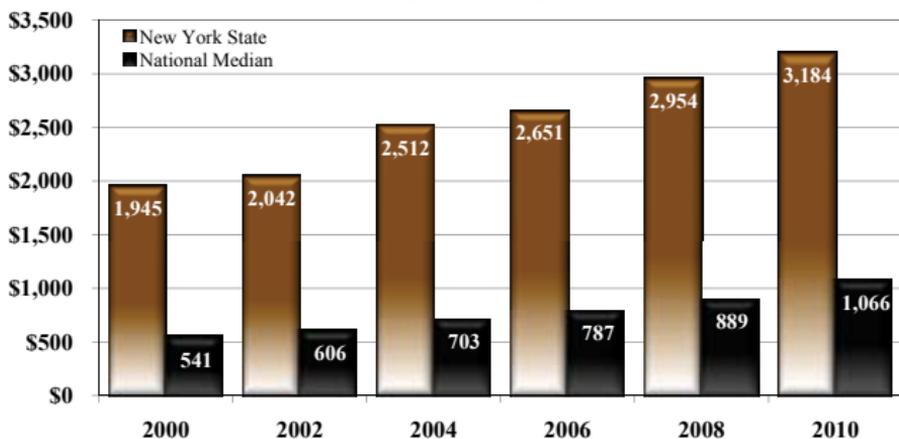
# The Cost of New York State's Debt

*figures in billions*



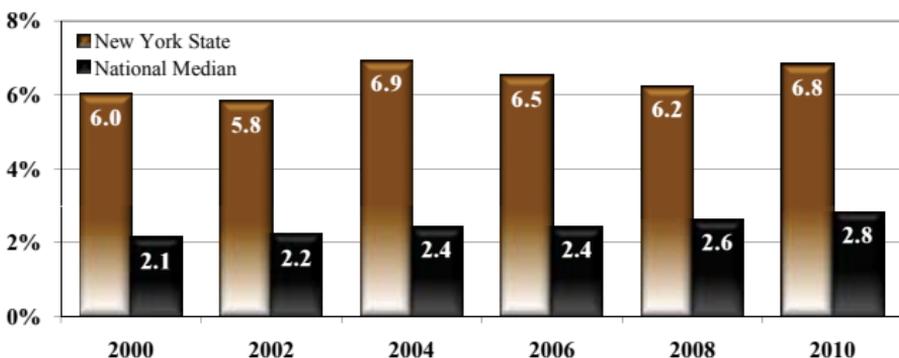
*Debt service costs have increased 61% since 2001.*

## Debt per Capita



*In 2010, New York's debt per capita was nearly three times the national median.*

## Debt as a Percentage of Personal Income

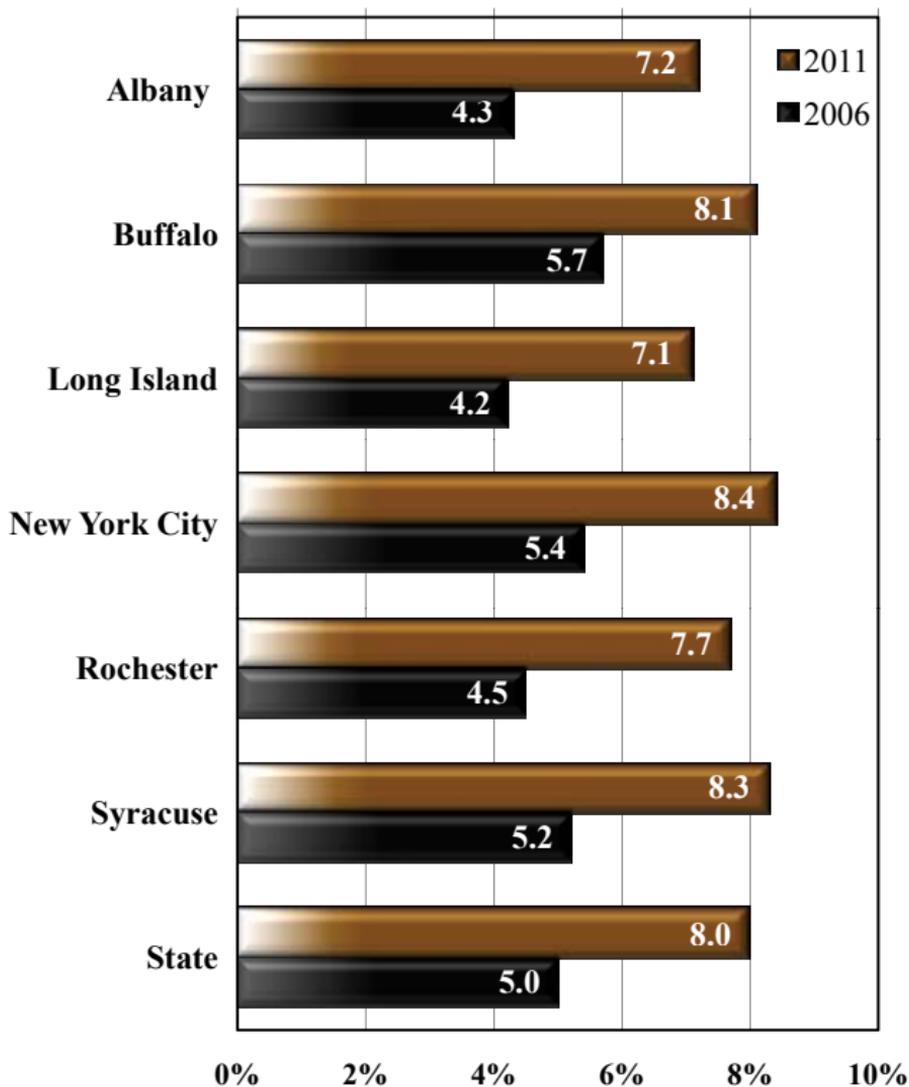


*New York has more than twice as much debt as a percentage of personal income than the national median.*

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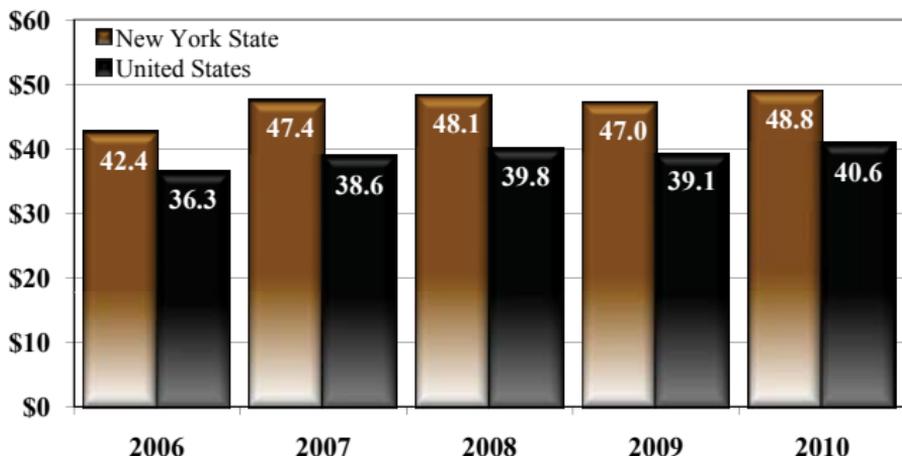
## Unemployment Rates by Major Metropolitan Area

(comparison of 2006 and 2011)



## Personal Income per Person, N.Y. vs. U.S.

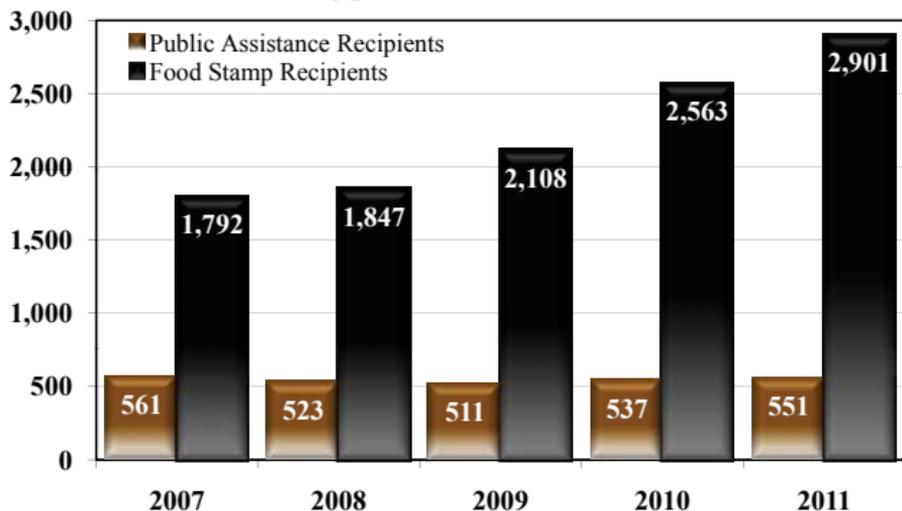
*figures in thousands*



*Since 2006, personal income has grown faster (15.1%) in New York than in the nation as a whole (11.8%).*

## Recipients of Public Assistance and Food Stamps

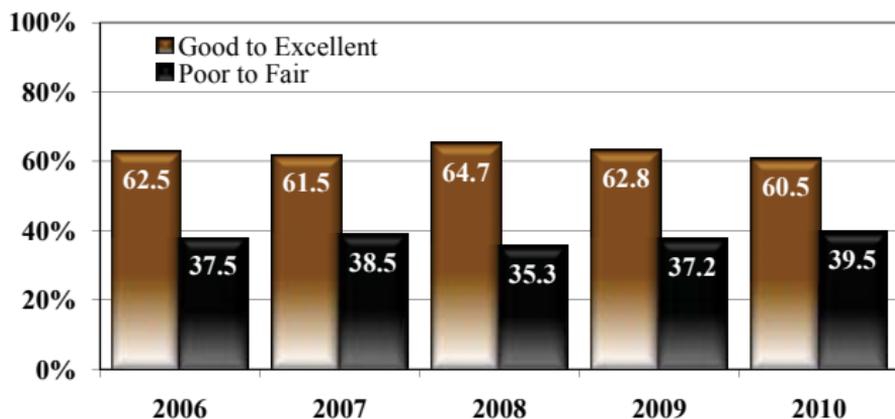
*figures in thousands*



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## Highway Condition Ratings in New York

*as a percentage of total lane miles*

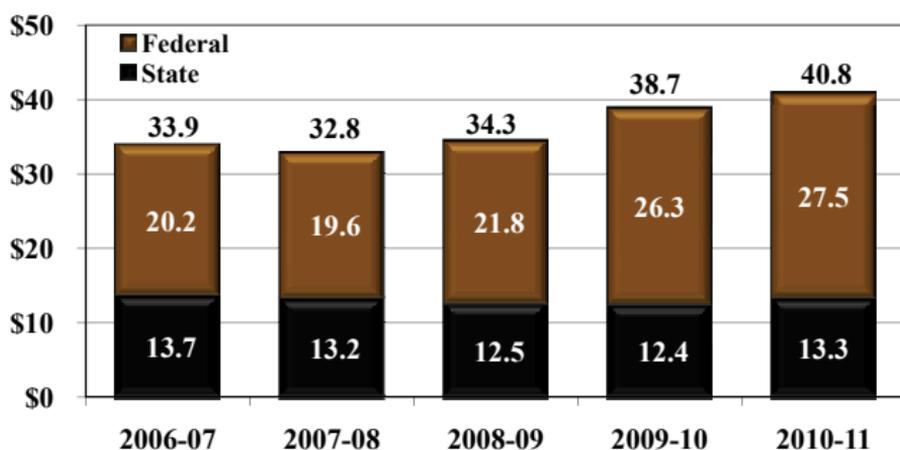


*The State is responsible for maintaining more than 42,500 lane miles of highway.*

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## Medicaid Costs

*figures in billions*



*Since 2007, the State's Medicaid costs have decreased by 2.9%. The Federal government's costs for New York increased 36.1% over the same period.*

# State Revenues 2010-2011\*

(with changes from previous year)

<b>PERSONAL INCOME TAX</b>	<b>\$36.2 Billion (4.3%)</b>
<b>BUSINESS TAXES</b>	<b>\$7.3 Billion (-2.7%)</b>
Corporation Franchise.....	\$2.8 Billion (12%)
Corporation and Utilities.....	\$814 Million (-14.6%)
Insurance .....	\$1.4 Billion (-6.7%)
Bank .....	\$1.2 Billion (-14.3%)
Petroleum Business.....	\$1.1 Billion (0%)
<b>LOTTERY INCOME, VLT, CASINO</b>	<b>\$3.2 Billion (6.7%)</b>
<b>BORROWING</b>	<b>\$3.6 Billion (0%)</b>
<b>CONSUMER TAXES</b>	<b>\$14.2 Billion (10.1%)</b>
Sales Tax .....	\$11.5 Billion (9.5%)
Cigarette/Tobacco Products .....	\$1.6 Billion (14.3%)
Motor Fuel .....	\$516 Million (1.8%)
Alcoholic Beverages .....	\$230 Million (1.8%)
Highway Use.....	\$129 Million (-5.8%)
Auto Rentals.....	\$95 Million (25%)
MCTD Taxicab Ride.....	\$81 Million (523.1%)
<b>OTHER TAXES</b>	<b>\$3.2 Billion (23.1%)</b>
Estate and Gift.....	\$1.2 Billion (38.6%)
Pari-mutuel.....	\$17 Million (-10.5%)
Real Estate Transfer .....	\$580 Million (17.6%)
MCTD Mobility .....	\$1.4 Billion (16.7%)
<b>OTHER</b>	<b>\$16.9 Billion (-2.9%)</b>
Student Tuition and Fees.....	\$2.6 Billion (4%)
Hospital Patient Fees.....	\$1.8 Billion (0%)
Abandoned Property .....	\$640 Million (12.5%)
Regulatory Assessments.....	\$2.6 Billion (-13.3%)
Public Asset Sale/Nonprofit Conversion.....	\$0 Million (-100%)
Transfers from Public Goods Pool.....	\$4.1 Billion (7.9%)
Miscellaneous .....	\$5.2 Billion (-7.1%)

\*State Funds only

# State Spending 2010-2011\*

(with changes from previous year)

<b>EDUCATION</b>	<b>\$35.1 Billion (8.7%)</b>
Public Schools.....	\$23.5 Billion (17.5%)
School Tax Relief (STAR) .....	\$3.2 Billion (-5.9%)
State University of New York.....	\$6.1 Billion (-1.6%)
City University of New York .....	\$1.3 Billion (-18.8%)
Tuition Assistance Program .....	\$815 Million (-3.8%)
Higher Education Services Corporation .....	\$95 Million (-28%)
Cultural Programs .....	\$47 Million (2.2%)
<b>PUBLIC HEALTH</b>	<b>\$21.8 Billion (23.9%)</b>
Health and Mental Health Services.....	\$8.5 Billion (63.5%)
Medical Assistance (Medicaid).....	\$13.3 Billion (7.3%)
<b>PUBLIC WELFARE</b>	<b>\$3.9 Billion (-4.9%)</b>
Public Welfare.....	\$3.5 Billion (-5.4%)
Public Housing.....	\$205 Million (-12.8%)
Employment Services .....	\$207 Million (4%)
<b>PUBLIC SAFETY</b>	<b>\$3.9 Billion (-9.3%)</b>
Criminal Justice .....	\$1.2 Billion (-7.7%)
Emergency Management.....	\$112 Million (-5.9%)
Prisons/Reformatories.....	\$2.6 Billion (-10.3%)
<b>TRANSPORTATION</b>	<b>\$6.5 Billion (8.3%)</b>
<b>ENVIRONMENT/RECREATION</b>	<b>\$864 Million (-8%)</b>
Environmental Protection .....	\$558 Million (-0.5%)
Parks, Recreation .....	\$306 Million (-19%)
<b>SUPPORT/REGULATE BUSINESS</b>	<b>\$1.2 Billion (20%)</b>
<b>LOCAL GOVERNMENT</b>	<b>\$1.1 Billion (-15.4%)</b>
<b>GENERAL GOVERNMENT</b>	<b>\$10.1 Billion (5.2%)</b>
<b>REPAY DEBT</b>	<b>\$5.6 Billion (12%)</b>

\*State Funds only

# Federal Grants Received and Disbursed 2010-2011

(with changes from previous year)

<b>RECEIVED</b>	<b>\$49.3 Billion (8.4%)</b>
<hr/>	
<b>DISBURSED</b>	
<b>EDUCATION</b>	<b>\$6.6 Billion (43.5%)</b>
Public Schools .....	\$6.1 Billion (45.2%)
State University of New York.....	\$403 Million (29.6%)
City University of New York.....	\$33 Million (135.7%)
Tuition Assistance Program.....	\$59 Million (-4.8%)
Higher Education Services Corporation.....	\$8 Million (100%)
Cultural Programs.....	\$1 Million (0%)
<hr/>	
<b>PUBLIC HEALTH</b>	<b>\$29.1 Billion (-7.6%)</b>
Health and Mental Health Services.....	\$1.6 Billion (-69.2%)
Medical Assistance (Medicaid) .....	\$27.5 Billion (4.6%)
<hr/>	
<b>PUBLIC WELFARE</b>	<b>\$5.6 Billion (0%)</b>
Public Welfare .....	\$5 Billion (0%)
Public Housing .....	\$16 Million (23.1%)
Employment Services.....	\$550 Million (-4.2%)
<hr/>	
<b>PUBLIC SAFETY</b>	<b>\$518 Million (-10.5%)</b>
Criminal Justice.....	\$255 Million (-31.8%)
Emergency Management.....	\$233 Million (26.6%)
Prisons/Reformatories .....	\$30 Million (42.9%)
<hr/>	
<b>TRANSPORTATION</b>	<b>\$1.8 Billion (12.5%)</b>
<hr/>	
<b>ENVIRONMENT/RECREATION</b>	<b>\$415 Million (106.5%)</b>
Environmental Protection.....	\$408 Million (112.5%)
Parks, Recreation.....	\$7 Million (-22.2%)
<hr/>	
<b>SUPPORT/REGULATE BUSINESS</b>	<b>\$13 Million (18.2%)</b>
<hr/>	
<b>LOCAL GOVERNMENT</b>	<b>\$274 Million (19.7%)</b>
<hr/>	
<b>GENERAL GOVERNMENT</b>	<b>\$418 Million (23.7%)</b>

## Where do your tax dollars go?

New Yorkers have the right to know how their tax dollars are spent. Now taxpayers can find out by visiting a website launched by State Comptroller Thomas P. DiNapoli called ***Open Book New York*** ([www.openbooknewyork.com](http://www.openbooknewyork.com)).

This easy-to-use website gives taxpayers unprecedented access to the financial information of State and local governments. It contains searchable databases of spending for nearly 100 State agencies and 3,100 local governments, displays more than 60,000 active State contracts, tracks New York's federal stimulus spending, and much more.

These databases can help you find out how much State agencies spend on everything from travel and utilities to telephones and outside consultants. Taxpayers can also identify who is doing business with the State.

Check out ***Open Book New York*** at  
[www.openbooknewyork.com](http://www.openbooknewyork.com)



All data in this *Citizens' Guide* was compiled by the Office of the State Comptroller. More detailed data is presented in other publications of the Comptroller's Office, including the Comptroller's *Comprehensive Annual Financial Report*.

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