



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

SEPTEMBER 2017

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
September 30, 2017

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STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (Amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax (4)	\$ 3,536.8	\$ 16,573.4	\$ -	\$ 57.6	\$ 1,179.0	\$ 5,543.7	\$ -	\$ -	\$ 4,715.8	\$ 22,174.7	\$ 4,704.4	\$ 23,499.3	\$ (1,324.6)	-5.6%
Consumption/Use Taxes	737.2	3,678.0	178.9	1,042.2	681.1	3,360.1	54.2	273.9	1,651.4	8,354.2	1,619.7	8,248.9	105.3	1.3%
Business Taxes	781.6	2,540.3	213.4	789.1	-	-	57.4	311.2	1,052.4	3,640.6	1,382.9	3,364.6	276.0	8.2%
Other Taxes	213.9	674.3	99.3	636.5	98.4	559.5	11.9	47.6	423.5	1,917.9	293.7	1,742.5	175.4	10.1%
Miscellaneous Receipts	520.5	1,531.1	1,978.7	8,760.5	94.6	253.7	147.4	1,893.0	2,741.2	12,438.3	2,857.7	12,269.7	168.6	1.4%
Federal Receipts	-	-	5,002.3	27,020.7	-	36.7	147.1	978.2	5,149.4	28,035.6	5,381.8	25,915.0	2,120.6	8.2%
Total Receipts	5,790.0	24,997.1	7,472.6	38,306.6	2,053.1	9,753.7	418.0	3,503.9	15,733.7	76,561.3	16,240.2	75,040.0	1,521.3	2.0%
DISBURSEMENTS:														
Local Assistance Grants: (3)														
Education	1,983.7	10,914.3	2,335.9	4,288.1	-	-	5.7	55.4	4,325.3	15,257.8	4,245.9	14,541.7	716.1	4.9%
Environment and Recreation	0.1	2.2	0.5	1.9	-	-	8.6	60.4	9.2	64.5	6.0	50.2	14.3	28.5%
General Government	104.2	716.7	12.3	112.6	-	-	128.5	294.5	245.0	1,123.8	140.3	971.9	151.9	15.6%
Public Health:														
Medicaid	1,360.8	7,879.1	3,245.9	20,771.4	-	-	-	-	4,606.7	28,650.5	3,813.3	25,545.2	3,105.3	12.2%
Other Public Health	45.1	574.6	1,312.5	4,534.3	-	-	20.8	94.3	1,378.4	5,203.2	888.7	4,301.0	902.2	21.0%
Public Safety	21.1	70.7	50.7	603.0	-	-	4.4	18.0	76.2	691.7	146.4	851.5	(159.8)	-18.8%
Public Welfare	194.5	1,155.6	291.0	1,696.0	-	-	28.8	119.9	514.3	2,971.5	1,188.9	3,848.5	(877.0)	-22.8%
Support and Regulate Business	9.4	85.6	1.2	12.9	-	-	76.3	698.4	86.9	796.9	60.0	537.1	259.8	48.4%
Transportation	-	64.3	416.4	2,426.7	-	-	95.2	426.5	511.6	2,917.5	664.9	2,990.2	(72.7)	-2.4%
Total Local Assistance Grants	3,718.9	21,463.1	7,666.4	34,446.9	-	-	368.3	1,767.4	11,753.6	57,677.4	11,154.4	53,637.3	4,040.1	7.5%
Departmental Operations:														
Personal Service	477.4	3,109.9	570.4	3,824.8	-	-	-	-	1,047.8	6,934.7	1,258.8	6,919.2	15.5	0.2%
Non-Personal Service	179.3	1,035.2	463.2	2,278.1	3.1	19.6	-	-	645.6	3,332.9	656.3	3,256.5	76.4	2.3%
General State Charges	513.5	4,000.6	49.6	1,175.2	-	-	-	-	563.1	5,175.8	453.4	4,956.0	219.8	4.4%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	757.1	1,555.2	-	-	757.1	1,555.2	790.0	1,463.2	92.0	6.3%
Capital Projects (1)	-	-	-	-	-	-	596.6	3,076.3	596.6	3,076.3	690.7	3,166.4	(90.1)	-2.8%
Total Disbursements	4,889.1	29,608.8	8,749.6	41,725.0	760.2	1,574.8	964.9	4,843.7	15,363.8	77,752.3	15,003.6	73,398.6	4,353.7	5.9%
Excess (Deficiency) of Receipts over Disbursements	900.9	(4,611.7)	(1,277.0)	(3,418.4)	1,292.9	8,178.9	(546.9)	(1,339.8)	369.9	(1,191.0)	1,236.6	1,641.4	(2,832.4)	-172.6%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	2,237.4	8,774.6	222.4	4,478.2	328.6	1,534.4	439.3	1,537.1	3,227.7	16,324.3	2,705.6	15,984.2	340.1	2.1%
Transfers to Other Funds (2)	(497.7)	(5,388.3)	(255.9)	(1,119.3)	(2,228.1)	(9,461.6)	(259.8)	(418.2)	(3,241.5)	(16,387.4)	(2,702.9)	(16,008.4)	379.0	2.4%
Total Other Financing Sources (Uses)	1,739.7	3,386.3	(33.5)	3,358.9	(1,899.5)	(7,927.2)	179.5	1,118.9	(13.8)	(63.1)	2.7	(24.2)	(38.9)	-160.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,640.6	(1,225.4)	(1,310.5)	(59.5)	(606.6)	251.7	(367.4)	(220.9)	356.1	(1,254.1)	1,239.3	1,617.2	(2,871.3)	-177.5%
Beginning Fund Balances (Deficits)	3,882.6	7,748.6	5,523.2	4,272.2	1,002.7	144.4	(914.0)	(1,060.5)	9,494.5	11,104.7	12,188.0	11,810.1	(705.4)	-6.0%
Ending Fund Balances (Deficits)	\$ 6,523.2	\$ 6,523.2	\$ 4,212.7	\$ 4,212.7	\$ 396.1	\$ 396.1	\$ (1,281.4)	\$ (1,281.4)	\$ 9,850.6	\$ 9,850.6	\$ 13,427.3	\$ 13,427.3	\$ (3,576.7)	-26.6%

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax (4)	\$ 3,536.8	\$ 16,573.4	\$ -	\$ 57.6	\$ 1,179.0	\$ 5,543.7	\$ 4,715.8	\$ 22,174.7	\$ 4,704.4	\$ 23,499.3	\$ (1,324.6)	-5.6%
Consumption/Use Taxes	737.2	3,678.0	178.9	1,042.2	681.1	3,360.1	1,597.2	8,080.3	1,549.5	7,927.7	152.6	1.9%
Business Taxes	781.6	2,540.3	213.4	789.1	-	-	995.0	3,329.4	1,325.4	3,042.8	286.6	9.4%
Other Taxes	213.9	674.3	99.3	636.5	98.4	559.5	411.6	1,870.3	281.8	1,694.9	175.4	10.3%
Miscellaneous Receipts	520.5	1,531.1	1,968.6	8,640.1	94.6	253.7	2,583.7	10,424.9	2,192.9	10,387.2	37.7	0.4%
Federal Receipts	-	-	-	0.4	-	36.7	-	37.1	(0.1)	36.4	0.7	1.9%
Total Receipts	5,790.0	24,997.1	2,460.2	11,165.9	2,053.1	9,753.7	10,303.3	45,916.7	10,053.9	46,588.3	(671.6)	-1.4%
DISBURSEMENTS:												
Local Assistance Grants: (3)												
Education	1,983.7	10,914.3	2,189.9	2,579.0	-	-	4,173.6	13,493.3	4,116.4	13,191.5	301.8	2.3%
Environment and Recreation	0.1	2.2	0.2	1.3	-	-	0.3	3.5	0.5	4.6	(1.1)	-23.9%
General Government	104.2	716.7	7.8	91.4	-	-	112.0	808.1	103.3	794.2	13.9	1.8%
Public Health:												
Medicaid	1,360.8	7,879.1	517.9	2,771.3	-	-	1,878.7	10,650.4	1,667.3	10,010.5	639.9	6.4%
Other Public Health	45.1	574.6	239.4	1,225.9	-	-	284.5	1,800.5	507.3	1,953.4	(152.9)	-7.8%
Public Safety	21.1	70.7	10.4	63.3	-	-	31.5	134.0	42.5	158.2	(24.2)	-15.3%
Public Welfare	194.5	1,155.6	(0.1)	2.6	-	-	194.4	1,158.2	194.8	1,327.8	(169.6)	-12.8%
Support and Regulate Business	9.4	85.6	1.2	10.0	-	-	10.6	95.6	19.8	94.5	1.1	1.2%
Transportation	-	64.3	413.5	2,400.1	-	-	413.5	2,464.4	428.7	2,423.3	41.1	1.7%
Total Local Assistance Grants	3,718.9	21,463.1	3,380.2	9,144.9	-	-	7,099.1	30,608.0	7,080.6	29,958.0	650.0	2.2%
Departmental Operations:												
Personal Service	477.4	3,109.9	521.3	3,501.4	-	-	998.7	6,611.3	1,211.7	6,607.3	4.0	0.1%
Non-Personal Service	179.3	1,035.2	276.3	1,642.6	3.1	19.6	458.7	2,697.4	477.6	2,590.1	107.3	4.1%
General State Charges	513.5	4,000.6	28.4	1,021.3	-	-	541.9	5,021.9	416.6	4,824.7	197.2	4.1%
Debt Service, Including Payments on												
Financing Agreements	-	-	-	-	757.1	1,555.2	757.1	1,555.2	790.0	1,463.2	92.0	6.3%
Capital Projects	-	-	-	-	-	-	-	-	1.6	2.4	(2.4)	-100.0%
Total Disbursements	4,889.1	29,608.8	4,206.2	15,310.2	760.2	1,574.8	9,855.5	46,493.8	9,978.1	45,445.7	1,048.1	2.3%
Excess (Deficiency) of Receipts over Disbursements	900.9	(4,611.7)	(1,746.0)	(4,144.3)	1,292.9	8,178.9	447.8	(577.1)	75.8	1,142.6	(1,719.7)	-150.5%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds (2)	2,237.4	8,774.6	234.0	4,783.0	328.6	1,534.4	2,800.0	15,092.0	2,728.2	15,005.9	86.1	0.6%
Transfers to Other Funds (2)	(497.7)	(5,388.3)	(57.2)	(305.8)	(2,228.1)	(9,461.6)	(2,783.0)	(15,155.7)	(2,294.2)	(14,888.0)	267.7	1.8%
Total Other Financing Sources (Uses)	1,739.7	3,386.3	176.8	4,477.2	(1,899.5)	(7,927.2)	17.0	(63.7)	434.0	117.9	(181.6)	154.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,640.6	(1,225.4)	(1,569.2)	332.9	(606.6)	251.7	464.8	(640.8)	509.8	1,260.5	(1,901.3)	-150.8%
Beginning Fund Balances (Deficits)	3,882.6	7,748.6	5,634.4	3,732.3	1,002.7	144.4	10,519.7	11,625.3	13,391.9	12,641.2	(1,015.9)	-8.0%
Ending Fund Balances (Deficits)	\$ 6,523.2	\$ 6,523.2	\$ 4,065.2	\$ 4,065.2	\$ 396.1	\$ 396.1	\$ 10,984.5	\$ 10,984.5	\$ 13,901.7	\$ 13,901.7	\$ (2,917.2)	-21.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$319.0 million
Urban Development Corporation (Youth Facilities)	24.8
Housing Finance Agency (HFA)	168.6
Housing Assistance Fund	13.6
Dormitory Authority (Mental Hygiene)	543.2
Dormitory Authority and State University Income Fund	351.9
Federal Capital Projects	466.0
State bond and note proceeds	119.0

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$706.8 million
General Debt Service Fund	350.4
Banking Services Account	15.4
Court Facilities Incentive Aid Fund	60.7
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	605.0
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation (Non-MTA)	2.5
Financial Crimes Revenue Account	2.0
Housing Debt Service Fund	1.9
Medical Marihuana Health Operation and Oversight	4.5
Mental Hygiene Patient Income Account	900.0
Mental Hygiene Program Fund	800.0
MTA Financial Assistance Fund	158.6
MTA Operating Assistance Fund	24.7
NYC County Courts Operating Fund	3.7
SUNY - Hospital IFR	26.2
SUNY - Income Fund	834.0
Tax Revenue Arrearage Account	1.3

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$6.6m), the State University Income Fund (\$175.0m), the Mental Hygiene Program Account (\$647.1m) and Miscellaneous State Special Revenue Fund (\$0.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2017 - pursuant to a certification from the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$754.7m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$10.6m), the Capital Projects Funds (\$192.3m) and Medicaid Management Information System Escrow Fund (\$59.2m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Dept of Health & Human Services Fund	\$8.4 million
Federal USDA/Food & Nutrition Services Fund	47.6
Public Safety Communication Account	25.0
SUNY Income Fund	20.5
Unemployment Insurance Administration Fund	2.7

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$5,131.8 million
Local Government Assistance Tax Fund	1,626.8
Sales Tax Revenue Bond Tax Fund	1,370.3
Clean Water/Clean Air Fund	528.5

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$81.7m) and Mental Hygiene (\$722.4m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$10.5m), the General Debt Service Fund - Lease Purchase (\$211.4m), the Revenue Bond Tax Fund (\$191.7m), and Miscellaneous Special Revenue Fund (\$4.7m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ 340,525
Medicaid Recoveries - Audit	-	456,716
Medicaid Recoveries - Third Parties	-	6,743,938
Pharmacy Rebates	-	1,756,639
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	<u>\$ -</u>	<u>\$ 9,297,818</u>

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$57.7m) as of September 30, 2017.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.9	\$ 31.1	\$ 38.4	\$ 258.1	\$ 44.3	\$ 289.2	\$ 38.1	\$ 220.9	\$ 68.3	30.9%
Federal Receipts	1.1	8.1	-	-	1.1	8.1	1.5	8.6	(0.5)	-5.8%
Unemployment Taxes	150.0	1,040.9	-	-	150.0	1,040.9	150.9	1,002.4	38.5	3.8%
Total Receipts	157.0	1,080.1	38.4	258.1	195.4	1,338.2	190.5	1,231.9	106.3	8.6%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.6	2.7	7.6	51.6	8.2	54.3	7.8	50.0	4.3	8.6%
Non-Personal Service	4.9	25.9	34.6	258.0	39.5	283.9	44.9	289.8	(5.9)	-2.0%
General State Charges	-	0.6	4.9	29.8	4.9	30.4	3.0	21.6	8.8	40.7%
Unemployment Benefits	151.0	1,049.1	-	-	151.0	1,049.1	152.1	1,054.9	(5.8)	-0.5%
Total Disbursements	156.5	1,078.3	47.1	339.4	203.6	1,417.7	207.8	1,416.3	1.4	0.1%
Excess (Deficiency) of Receipts Over Disbursements	0.5	1.8	(8.7)	(81.3)	(8.2)	(79.5)	(17.3)	(184.4)	104.9	56.9%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	5.0	15.4	5.0	15.4	4.5	21.7	(6.3)	-29.0%
Transfers to Other Funds	-	-	(7.1)	(7.3)	(7.1)	(7.3)	(7.5)	(7.7)	0.4	5.2%
Total Other Financing Sources (Uses)	-	-	(2.1)	8.1	(2.1)	8.1	(3.0)	14.0	(5.9)	-42.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	0.5	1.8	(10.8)	(73.2)	(10.3)	(71.4)	(20.3)	(170.4)	99.0	58.1%
Beginning Fund Balances (Deficits)	24.9	23.6	(262.8)	(200.4)	(237.9)	(176.8)	(211.2)	(61.1)	(115.7)	-189.4%
Ending Fund Balances (Deficits)	\$ 25.4	\$ 25.4	\$ (273.6)	\$ (273.6)	\$ (248.2)	\$ (248.2)	\$ (231.5)	\$ (231.5)	\$ (16.7)	-7.2%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.0	\$ 33.2	\$ 0.1	\$ 0.8	\$ 5.1	\$ 34.0	\$ 4.9	\$ 32.1	\$ 1.9	5.9%
Total Receipts	5.0	33.2	0.1	0.8	5.1	34.0	4.9	32.1	1.9	5.9%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.0	31.3	-	0.1	5.0	31.4	4.7	30.8	0.6	1.9%
Non-Personal Service	0.9	6.5	-	-	0.9	6.5	0.9	6.1	0.4	6.6%
General State Charges	-	14.9	0.1	0.1	0.1	15.0	0.1	11.6	3.4	29.3%
Total Disbursements	5.9	52.7	0.1	0.2	6.0	52.9	5.7	48.5	4.4	9.1%
Excess (Deficiency) of Receipts Over Disbursements	(0.9)	(19.5)	-	0.6	(0.9)	(18.9)	(0.8)	(16.4)	(2.5)	-15.2%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.9)	(19.5)	-	0.6	(0.9)	(18.9)	(0.8)	(16.4)	(2.5)	-15.2%
Beginning Fund Balances (Deficits)	(20.5)	(1.9)	11.3	10.7	(9.2)	8.8	(3.9)	11.7	(2.9)	-24.8%
Ending Fund Balances (Deficits)	\$ (21.4)	\$ (21.4)	\$ 11.3	\$ 11.3	\$ (10.1)	\$ (10.1)	\$ (4.7)	\$ (4.7)	\$ (5.4)	114.9%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2017-2018
FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
(Amounts in millions)

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 23,275.0	\$ 22,546.0	\$ 22,174.7	\$ (1,100.3)	\$ (371.3)
Consumption/Use	8,502.0	8,394.0	8,354.2	(147.8)	(39.8)
Business	3,218.0	3,724.0	3,640.6	422.6	(83.4)
Other	1,785.0	1,810.0	1,917.9	132.9	107.9
Miscellaneous Receipts	12,054.0	11,692.0	12,438.3	384.3	746.3
Federal Receipts	28,088.0	28,373.0	28,035.6	(52.4)	(337.4)
Total Receipts	76,922.0	76,539.0	76,561.3	(360.7)	22.3
DISBURSEMENTS:					
Local Assistance Grants	58,552.0	58,024.0	57,677.4	(874.6)	(346.6)
Departmental Operations	10,180.0	10,154.0	10,267.6	87.6	113.6
General State Charges	5,090.0	5,073.0	5,175.8	85.8	102.8
Debt Service	1,591.0	1,525.0	1,555.2	(35.8)	30.2
Capital Projects	4,303.0	3,624.0	3,076.3	(1,226.7)	(547.7)
Total Disbursements	79,716.0	78,400.0	77,752.3	(1,963.7)	(647.7)
Excess (Deficiency) of Receipts over Disbursements	(2,794.0)	(1,861.0)	(1,191.0)	1,603.0	670.0
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	17,017.0	16,811.0	16,324.3	(692.7)	(486.7)
Transfers to Other Funds	(17,053.0)	(16,848.0)	(16,387.4)	(665.6)	(460.6)
Total Other Financing Sources (Uses)	(36.0)	(37.0)	(63.1)	(27.1)	(26.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2,830.0)	(1,898.0)	(1,254.1)	1,575.9	643.9
Fund Balances (Deficits) at April 1	11,105.0	11,105.0	11,104.7	(0.3)	(0.3)
Fund Balances (Deficits) at September 30, 2017	\$ 8,275.0	\$ 9,207.0	\$ 9,850.6	\$ 1,575.6	\$ 643.6

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2017-2018
FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
(Amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 23,275.0	\$ 22,546.0	\$ 22,174.7	\$ (1,100.3)	\$ (371.3)
Consumption/Use	8,220.0	8,113.0	8,080.3	(139.7)	(32.7)
Business	2,912.0	3,418.0	3,329.4	417.4	(88.6)
Other	1,737.0	1,762.0	1,870.3	133.3	108.3
Miscellaneous Receipts	9,608.0	9,769.0	10,424.9	816.9	655.9
Federal Receipts	37.0	37.0	37.1	0.1	0.1
Total Receipts	45,789.0	45,645.0	45,916.7	127.7	271.7
DISBURSEMENTS:					
Local Assistance Grants	31,322.0	30,964.0	30,608.0	(714.0)	(356.0)
Departmental Operations	9,275.0	9,265.0	9,308.7	33.7	43.7
General State Charges	4,937.0	4,924.0	5,021.9	84.9	97.9
Debt Service	1,591.0	1,525.0	1,555.2	(35.8)	30.2
Capital Projects	-	-	-	-	-
Total Disbursements	47,125.0	46,678.0	46,493.8	(631.2)	(184.2)
Excess (Deficiency) of Receipts over Disbursements	(1,336.0)	(1,033.0)	(577.1)	758.9	455.9
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	15,328.0	15,205.0	15,092.0 (****)	(236.0)	(113.0)
Transfers to Other Funds	(15,527.0)	(15,347.0)	(15,155.7) (****)	(371.3)	(191.3)
Total Other Financing Sources (Uses)	(199.0)	(142.0)	(63.7)	135.3	78.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,535.0)	(1,175.0)	(640.8)	894.2	534.2
Fund Balances (Deficits) at April 1	11,625.0	11,625.0	11,625.3	0.3	0.3
Fund Balances (Deficits) at September 30, 2017	\$ 10,090.0	\$ 10,450.0	\$ 10,984.5	\$ 894.5	\$ 534.5

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2017-2018
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
 (Amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 17,289.0	\$ 16,851.0	\$ 16,573.4	\$ (715.6)	\$ (277.6)
Consumption/Use	3,742.0	3,691.0	3,678.0	(64.0)	(13.0)
Business	2,205.0	2,629.0	2,540.3	335.3	(88.7)
Other	538.0	554.0	674.3	136.3	120.3
Miscellaneous Receipts	854.0	1,231.0	1,531.1	677.1	300.1
Federal Receipts	-	-	-	-	-
Transfers From:					
PIT in excess of Revenue Bond Debt Service	5,378.0	5,260.0	5,131.8	(246.2)	(128.2)
Sales Tax in excess of LGAC / STRBF Debt Service	3,043.0	3,006.0	2,997.1	(45.9)	(8.9)
Real Estate Taxes in excess of CW/CA Debt Service	524.0	529.0	528.5	4.5	(0.5)
All Other	130.0	130.0	117.2	(12.8)	(12.8)
Total Receipts and Other Financing Sources	33,703.0	33,881.0	33,771.7	68.7	(109.3)
DISBURSEMENTS:					
Local Assistance Grants	22,053.0	21,762.0	21,463.1	(589.9)	(298.9)
Departmental Operations	4,082.0	4,025.0	4,145.1	63.1	120.1
General State Charges	3,825.0	3,814.0	4,000.6	175.6	186.6
Transfers To:					
Debt Service	351.0	365.0	350.4	(0.6)	(14.6)
Capital Projects	1,550.0	1,431.0	1,344.8	(205.2)	(86.2)
State Share Medicaid	708.0	636.0	828.8 (***)	120.8	192.8
SUNY Operations	835.0	835.0	834.0	(1.0)	(1.0)
Other Purposes	2,223.0	2,217.0	2,030.3	(192.7)	(186.7)
Total Disbursements and Other Financing Uses	35,627.0	35,085.0	34,997.1	(629.9)	(87.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,924.0)	(1,204.0)	(1,225.4)	698.6	(21.4)
Fund Balances (Deficits) at April 1	7,749.0	7,749.0	7,748.6	(0.4)	(0.4)
Fund Balances (Deficits) at September 30, 2017	\$ 5,825.0	\$ 6,545.0	\$ 6,523.2	\$ 698.2	\$ (21.8)

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2017-2018
FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
(Amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ 167.0	\$ 58.0	\$ 57.6	\$ -	\$ 57.6	\$ (109.4)	\$ (0.4)
Consumption/Use	1,056.0	1,050.0	1,042.2	-	1,042.2	(13.8)	(7.8)
Business	707.0	789.0	789.1	-	789.1	82.1	0.1
Other	634.0	650.0	636.5	-	636.5	2.5	(13.5)
Miscellaneous Receipts	8,593.0	8,378.0	8,760.5	-	8,760.5	167.5	382.5
Federal Receipts	27,062.0	27,196.0	27,020.7	-	27,020.7	(41.3)	(175.3)
Transfers from Other Funds(***)	4,726.0	4,712.0	4,783.0	(304.8)	4,478.2	(247.8)	(233.8)
Total Receipts and Other Financing Sources	42,945.0	42,833.0	43,089.6	(304.8)	42,784.8	(160.2)	(48.2)
DISBURSEMENTS:							
Local Assistance Grants	34,455.0	34,581.0	34,446.9	-	34,446.9	(8.1)	(134.1)
Departmental Operations	6,071.0	6,108.0	6,102.9	-	6,102.9	31.9	(5.1)
General State Charges	1,265.0	1,259.0	1,175.2	-	1,175.2	(89.8)	(83.8)
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds(***)	1,332.0	1,338.0	1,424.1	(304.8)	1,119.3	(212.7)	(218.7)
Total Disbursements and Other Financing Uses	43,123.0	43,286.0	43,149.1	(304.8)	42,844.3	(278.7)	(441.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(178.0)	(453.0)	(59.5)	-	(59.5)	118.5	393.5
Fund Balances (Deficits) at April 1	4,272.0	4,272.0	4,272.2	-	4,272.2	0.2	0.2
Fund Balances (Deficits) at September 30, 2017	\$ 4,094.0	\$ 3,819.0	\$ 4,212.7	\$ -	\$ 4,212.7	\$ 118.7	\$ 393.7

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2017-2018
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
 (Amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 167.0	\$ 58.0	\$ 57.6	\$ (109.4)	\$ (0.4)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,056.0	1,050.0	1,042.2	(13.8)	(7.8)	-	-	-	-	-
Business	707.0	789.0	789.1	82.1	0.1	-	-	-	-	-
Other	634.0	650.0	636.5	2.5	(13.5)	-	-	-	-	-
Miscellaneous Receipts	8,501.0	8,281.0	8,640.1	139.1	359.1	92.0	97.0	120.4	28.4	23.4
Federal Receipts	-	-	0.4	0.4	0.4	27,062.0	27,196.0	27,020.3	(41.7)	(175.7)
Transfers from Other Funds	4,720.0	4,709.0	4,783.0	63.0	74.0	6.0	3.0	-	(6.0)	(3.0)
Total Receipts and Other Financing Sources	15,785.0	15,537.0	15,948.9	163.9	411.9	27,160.0	27,296.0	27,140.7	(19.3)	(155.3)
DISBURSEMENTS:										
Local Assistance Grants	9,269.0	9,202.0	9,144.9	(124.1)	(57.1)	25,186.0	25,379.0	25,302.0	116.0	(77.0)
Departmental Operations	5,166.0	5,219.0	5,144.0	(22.0)	(75.0)	905.0	889.0	958.9	53.9	69.9
General State Charges	1,112.0	1,110.0	1,021.3	(90.7)	(88.7)	153.0	149.0	153.9	0.9	4.9
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	225.0	267.0	305.8	80.8	38.8	1,107.0	1,071.0	1,118.3	11.3	47.3
Total Disbursements and Other Financing Uses	15,772.0	15,798.0	15,616.0	(156.0)	(182.0)	27,351.0	27,488.0	27,533.1	182.1	45.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	13.0	(261.0)	332.9	319.9	593.9	(191.0)	(192.0)	(392.4)	(201.4)	(200.4)
Fund Balances (Deficits) at April 1	3,732.0	3,732.0	3,732.3	0.3	0.3	540.0	540.0	539.9	(0.1)	(0.1)
Fund Balances (Deficits) at September 30, 2017	\$ 3,745.0	\$ 3,471.0	\$ 4,065.2	\$ 320.2	\$ 594.2	\$ 349.0	\$ 348.0	\$ 147.5	\$ (201.5)	\$ (200.5)

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
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 FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
 (Amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 5,819.0	\$ 5,637.0	\$ 5,543.7	\$ (275.3)	\$ (93.3)
Consumption/Use	3,422.0	3,372.0	3,360.1	(61.9)	(11.9)
Other	565.0	558.0	559.5	(5.5)	1.5
Miscellaneous Receipts	253.0	257.0	253.7	0.7	(3.3)
Federal Receipts	37.0	37.0	36.7	(0.3)	(0.3)
Transfers from Other Funds	1,533.0	1,571.0	1,534.4	1.4	(36.6)
Total Receipts and Other Financing Sources	11,629.0	11,432.0	11,288.1	(340.9)	(143.9)
DISBURSEMENTS:					
Departmental Operations	27.0	21.0	19.6	(7.4)	(1.4)
Debt Service	1,591.0	1,525.0	1,555.2	(35.8)	30.2
Transfers to Other Funds	9,635.0	9,596.0	9,461.6	(173.4)	(134.4)
Total Disbursements and Other Financing Uses	11,253.0	11,142.0	11,036.4	(216.6)	(105.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	376.0	290.0	251.7	(124.3)	(38.3)
Fund Balances (Deficits) at April 1	144.0	144.0	144.4	0.4	0.4
Fund Balances (Deficits) at September 30, 2017	\$ 520.0	\$ 434.0	\$ 396.1	\$ (123.9)	\$ (37.9)

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2017-2018
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
 (Amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 282.0	\$ 281.0	\$ 273.9	\$ -	\$ 273.9	\$ (8.1)	\$ (7.1)
Business	306.0	306.0	311.2	-	311.2	5.2	5.2
Other	48.0	48.0	47.6	-	47.6	(0.4)	(0.4)
Miscellaneous Receipts	2,354.0	1,826.0	1,893.0	-	1,893.0	(461.0)	67.0
Federal Receipts	989.0	1,140.0	978.2	-	978.2	(10.8)	(161.8)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	1,683.0	1,603.0	1,537.1	-	1,537.1	(145.9)	(65.9)
Total Receipts and Other Financing Sources	5,662.0	5,204.0	5,041.0	-	5,041.0	(621.0)	(163.0)
DISBURSEMENTS:							
Local Assistance Grants	2,044.0	1,681.0	1,767.4	-	1,767.4	(276.6)	86.4
Capital Projects	4,303.0	3,624.0	3,076.3	-	3,076.3	(1,226.7)	(547.7)
Transfers to Other Funds	419.0	430.0	418.2	-	418.2	(0.8)	(11.8)
Total Disbursements and Other Financing Uses	6,766.0	5,735.0	5,261.9	-	5,261.9	(1,504.1)	(473.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,104.0)	(531.0)	(220.9)	-	(220.9)	883.1	310.1
Fund Balances (Deficits) at April 1	(1,060.0)	(1,060.0)	(1,060.5)	-	(1,060.5)	(0.5)	(0.5)
Fund Balances (Deficits) at September 30, 2017	\$ (2,164.0)	\$ (1,591.0)	\$ (1,281.4)	\$ -	\$ (1,281.4)	\$ 882.6	\$ 309.6

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2017-2018
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2017
 (Amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 282.0	\$ 281.0	\$ 273.9	\$ (8.1)	\$ (7.1)	\$ -	\$ -	\$ -	\$ -	\$ -
Business	306.0	306.0	311.2	5.2	5.2	-	-	-	-	-
Other	48.0	48.0	47.6	(0.4)	(0.4)	-	-	-	-	-
Miscellaneous Receipts	2,353.0	1,825.0	1,891.8	(461.2)	66.8	1.0	1.0	1.2	0.2	0.2
Federal Receipts	2.0	2.0	2.5	0.5	0.5	987.0	1,138.0	975.7	(11.3)	(162.3)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	1,683.0	1,603.0	1,537.1	(145.9)	(65.9)	-	-	-	-	-
Total Receipts and Other Financing Sources	4,674.0	4,065.0	4,064.1	(609.9)	(0.9)	988.0	1,139.0	976.9	(11.1)	(162.1)
DISBURSEMENTS:										
Local Assistance Grants	1,796.0	1,447.0	1,543.2	(252.8)	96.2	248.0	234.0	224.2	(23.8)	(9.8)
Capital Projects	3,611.0	2,942.0	2,431.9	(1,179.1)	(510.1)	692.0	682.0	644.4	(47.6)	(37.6)
Transfers to Other Funds	413.0	422.0	413.5	0.5	(8.5)	6.0	8.0	4.7	(1.3)	(3.3)
Total Disbursements and Other Financing Uses	5,820.0	4,811.0	4,388.6	(1,431.4)	(422.4)	946.0	924.0	873.3	(72.7)	(50.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,146.0)	(746.0)	(324.5)	821.5	421.5	42.0	215.0	103.6	61.6	(111.4)
Fund Balances (Deficits) at April 1	(491.0)	(491.0)	(490.9)	0.1	0.1	(569.0)	(569.0)	(569.6)	(0.6)	(0.6)
Fund Balances (Deficits) at September 30, 2017	\$ (1,637.0)	\$ (1,237.0)	\$ (815.4)	\$ 821.6	\$ 421.6	\$ (527.0)	\$ (354.0)	\$ (466.0)	\$ 61.0	\$ (112.0)

(*) Source: 2017-18 Enacted Financial Plan dated May 26, 2017.

(**) Source: 2017-18 First Quarter Update dated August 9, 2017 and amended as of September 14, 2017.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2017	6 MOS. ENDED SEPT. 30, 2017	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 2,583.1	\$ 16,792.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,583.1	\$ 16,792.4	\$ 2,466.0	\$ 16,030.3	\$ 762.1	4.8%
Estimated Payments	2,315.3	8,703.8	-	-	-	-	-	-	2,315.3	8,703.8	2,355.7	9,419.3	(715.5)	-7.6%
Returns	47.3	1,803.6	-	-	-	-	-	-	47.3	1,803.6	63.0	1,946.5	(142.9)	-7.3%
State/City Offsets	(29.0)	(305.7)	-	-	-	-	-	-	(29.0)	(305.7)	(64.9)	(319.4)	(13.7)	-4.3%
Other (Assessments/LLC)	79.4	634.0	-	-	-	-	-	-	79.4	634.0	93.1	660.8	(26.8)	-4.1%
Gross Receipts	4,996.1	27,628.1	-	-	-	-	-	-	4,996.1	27,628.1	4,912.9	27,737.5	(109.4)	-0.4%
Transfers to School Tax Relief Fund	-	(57.6)	-	57.6	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,179.0)	(5,543.7)	-	-	1,179.0	5,543.7	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(280.3)	(5,453.4)	-	-	-	-	-	-	(280.3)	(5,453.4)	(208.5)	(4,238.2)	1,215.2	28.7%
Total	3,536.8	16,573.4	-	57.6	1,179.0	5,543.7	-	-	4,715.8	22,174.7	4,704.4	23,499.3	(1,324.6)	-5.6%
CONSUMPTION/USE TAXES														
Sales and Use	681.5	3,366.5	93.6	489.9	681.1	3,360.1	-	-	1,456.2	7,216.5	1,397.7	7,022.9	193.6	2.8%
Auto Rental	-	-	4.6	26.2	-	-	8.0	45.7	12.6	71.9	28.6	71.0	0.9	1.3%
Cigarette/Tobacco Products	31.6	178.4	70.1	440.5	-	-	-	-	101.7	618.9	111.8	655.0	(36.1)	-5.5%
Medical Marihuana	-	-	0.1	0.7	-	-	-	-	0.1	0.7	0.1	0.2	0.5	250.0%
Motor Fuel	-	-	9.9	55.3	-	-	36.4	205.0	46.3	260.3	44.8	261.9	(1.6)	-0.6%
Alcoholic Beverage	24.1	133.1	-	-	-	-	-	-	24.1	133.1	24.4	132.1	1.0	0.8%
Highway Use	-	-	0.2	1.1	-	-	9.8	23.2	10.0	24.3	11.7	72.1	(47.8)	-66.3%
Metropolitan Commuter Trans. Taxicab Trip	-	-	0.4	28.5	-	-	-	-	0.4	28.5	0.6	33.7	(5.2)	-15.4%
Total	737.2	3,678.0	178.9	1,042.2	681.1	3,360.1	54.2	273.9	1,651.4	8,354.2	1,619.7	8,248.9	105.3	1.3%
BUSINESS TAXES														
Corporation Franchise	392.3	1,363.8	106.5	369.9	-	-	-	-	498.8	1,733.7	833.0	1,793.2	(59.5)	-3.3%
Corporation and Utilities	95.5	257.6	26.4	71.8	-	-	2.2	5.8	124.1	335.2	140.5	284.7	50.5	17.7%
Insurance	307.1	682.3	33.4	72.1	-	-	-	-	340.5	754.4	315.4	700.8	53.6	7.6%
Bank	(13.3)	236.6	2.9	31.0	-	-	-	-	(10.4)	267.6	(4.8)	16.3	251.3	1,541.7%
Petroleum Business	-	-	44.2	244.3	-	-	55.2	305.4	99.4	549.7	98.8	569.6	(19.9)	-3.5%
Total	781.6	2,540.3	213.4	789.1	-	-	57.4	311.2	1,052.4	3,640.6	1,382.9	3,364.6	276.0	8.2%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	211.7	663.7	-	-	-	-	-	-	211.7	663.7	85.7	538.6	125.1	23.2%
Pari-Mutuel	2.2	9.4	-	-	-	-	-	-	2.2	9.4	2.3	9.7	(0.3)	-3.1%
Real Estate Transfer	-	-	-	-	98.4	559.5	11.9	47.6	110.3	607.1	100.7	584.4	22.7	3.9%
Racing and Exhibitions	-	1.2	-	-	-	-	-	-	-	1.2	0.3	0.9	0.3	33.3%
Metropolitan Commuter Trans. Mobility	-	-	99.3	636.5	-	-	-	-	99.3	636.5	104.7	608.9	27.6	4.5%
Total	213.9	674.3	99.3	636.5	98.4	559.5	11.9	47.6	423.5	1,917.9	293.7	1,742.5	175.4	10.1%
Total Tax Receipts	\$ 5,269.5	\$ 23,466.0	\$ 491.6	\$ 2,525.4	\$ 1,958.5	\$ 9,463.3	\$ 123.5	\$ 632.7	\$ 7,843.1	\$ 36,087.4	\$ 8,000.7	\$ 36,855.3	\$ (767.9)	-2.1%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)**

	2017						2018						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 11,104.7	\$ 11,516.4	\$ 6,483.9	\$ 7,679.9	\$ 9,316.8	\$ 9,494.5							\$ 11,104.7	\$ 11,810.1	\$ (705.4)	-6.0%
RECEIPTS:																
Taxes:																
Personal Income Tax :																
Withholdings	2,755.8	2,855.0	2,889.4	2,682.4	3,026.7	2,583.1							16,792.4	16,030.3	762.1	4.8%
Estimated payments	4,168.2	112.1	1,922.9	89.7	95.6	2,315.3							8,703.8	9,419.3	(715.5)	-7.6%
Returns	1,572.8	74.6	44.2	31.7	33.0	47.3							1,803.6	1,946.5	(142.9)	-7.3%
State/City Offsets	(201.5)	(15.6)	(19.4)	(19.0)	(21.2)	(29.0)							(305.7)	(319.4)	(13.7)	-4.3%
Other (Assessments/LLC)	154.0	105.2	87.5	97.2	110.7	79.4							634.0	660.8	(26.8)	-4.1%
Gross Receipts	8,449.3	3,131.3	4,924.6	2,882.0	3,244.8	4,996.1	-	-	-	-	-	-	27,628.1	27,737.5	(109.4)	-0.4%
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds issued	(3,447.5)	(1,030.0)	(273.8)	(232.0)	(189.8)	(280.3)							(5,453.4)	(4,238.2)	1,215.2	28.7%
Total Personal Income Tax	5,001.8	2,101.3	4,650.8	2,650.0	3,055.0	4,715.8	-	-	-	-	-	-	22,174.7	23,499.3	(1,324.6)	-5.6%
Consumption/Use Taxes:																
Sales and Use	1,042.9	1,044.0	1,447.1	1,125.1	1,101.2	1,456.2							7,216.5	7,022.9	193.6	2.8%
Auto Rental	11.7	8.8	12.3	13.5	13.0	12.6							71.9	71.0	0.9	1.3%
Cigarette/Tobacco Products	87.7	107.8	105.8	97.8	118.1	101.7							618.9	655.0	(36.1)	-5.5%
Medical Marijuana	0.1	0.1	0.1	0.1	0.2	0.1							0.7	0.2	0.5	250.0%
Motor Fuel	41.4	39.6	44.2	44.1	44.7	46.3							260.3	261.9	(1.6)	-0.6%
Alcoholic Beverage	21.0	19.0	23.6	28.9	16.5	24.1							133.1	132.1	1.0	0.8%
Highway Use	11.6	(32.5)	10.9	12.2	12.1	10.0							24.3	72.1	(47.8)	-66.3%
Metropolitan Commuter Trans. Taxicab Trip	13.4	0.7	0.4	13.1	0.5	0.4							28.5	33.7	(5.2)	-15.4%
Total Consumption/Use Taxes	1,229.8	1,187.5	1,644.4	1,334.8	1,306.3	1,651.4	-	-	-	-	-	-	8,354.2	8,248.9	105.3	1.3%
Business Taxes:																
Corporation Franchise	430.1	118.0	495.6	60.6	130.6	498.8							1,733.7	1,793.2	(59.5)	-3.3%
Corporation and Utilities	40.6	36.2	119.9	10.6	3.8	124.1							335.2	284.7	50.5	17.7%
Insurance	45.5	15.5	321.3	7.2	24.4	340.5							754.4	700.8	53.6	7.6%
Bank	4.3	(7.4)	(0.9)	6.9	275.1	(10.4)							267.6	16.3	251.3	1,541.7%
Petroleum Business	82.7	82.3	95.3	99.5	90.5	99.4							549.7	569.6	(19.9)	-3.5%
Total Business Taxes	603.2	244.6	1,031.2	184.8	524.4	1,052.4	-	-	-	-	-	-	3,640.6	3,364.6	276.0	8.2%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	89.7	112.7	102.0	64.4	83.2	211.7							663.7	538.6	125.1	23.2%
Pari-Mutuel	0.8	1.2	1.5	1.4	2.3	2.2							9.4	9.7	(0.3)	-3.1%
Real Estate Transfer	94.8	94.7	101.5	96.3	109.5	110.3							607.1	584.4	22.7	3.9%
Racing and Exhibitions	0.4	0.1	-	0.2	0.5	-							1.2	0.9	0.3	33.3%
Metropolitan Commuter Trans. Mobility	120.2	106.9	106.2	98.2	105.7	99.3							636.5	608.9	27.6	4.5%
Total Other Taxes	305.9	315.6	311.2	260.5	301.2	423.5	-	-	-	-	-	-	1,917.9	1,742.5	175.4	10.1%
Total Taxes	7,140.7	3,849.0	7,637.6	4,430.1	5,186.9	7,843.1	-	-	-	-	-	-	36,087.4	36,855.3	(767.9)	-2.1%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.7	0.9	1.2	1.1	1.7	65.3							70.9	43.5	27.4	63.0%
Bottle Bill	0.3	0.5	32.7	0.6	0.2	36.2							70.5	67.6	2.9	4.3%
Assessments:																
Business	33.6	72.8	94.2	25.9	70.9	118.9							416.3	675.7	(259.4)	-38.4%
Medical Care	462.2	460.4	457.4	541.8	503.9	475.5							2,901.2	2,771.7	129.5	4.7%
Public Utilities	1.4	-	0.7	0.1	0.4	45.9							48.5	106.6	(58.1)	-54.5%
Other	0.9	(0.1)	1.3	5.7	-	0.3							8.1	117.4	(109.3)	-93.1%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.1	6.4	5.9	5.4	6.1	5.7							35.6	29.8	5.8	19.5%
Audit Fees	-	0.8	1.1	-	0.1	-							2.0	2.0	-	0.0%
Business/Professional	49.4	56.3	108.4	53.4	50.5	108.9							426.9	428.3	(1.4)	-0.3%
Civil	22.3	17.5	16.7	43.0	12.6	26.7							138.8	135.0	3.8	2.8%
Criminal	0.2	1.6	0.5	0.2	0.7	1.8							5.0	4.7	0.3	6.4%
Motor Vehicle	147.9	137.2	143.6	108.0	123.6	116.8							777.1	712.9	64.2	9.0%
Recreational/Consumer	43.7	50.5	39.9	53.2	76.3	115.0							378.6	365.1	13.5	3.7%
Fines, Penalties and Forfeitures	15.8	411.5	46.8	26.0	74.1	286.4							860.6	398.9	461.7	115.7%
Gaming:																
Casino	22.7	10.4	12.5	24.4	10.9	14.0							94.9	102.3	(7.4)	-7.2%
Lottery	190.4	234.2	193.7	182.6	268.1	184.5							1,253.5	1,240.1	13.4	1.1%
Video Lottery	72.5	73.6	94.2	76.5	93.8	75.3							485.9	490.4	(4.5)	-0.9%
Interest Earnings	10.1	7.7	9.7	8.6	11.3	11.1							58.5	37.4	21.1	56.4%
Receipts from Public Authorities:																
Bond Proceeds	-	2.6	76.1	1,120.5	40.1	38.5							1,277.8	1,218.6	59.2	4.9%
Cost Recovery Assessments	-	-	-	-	22.6	8.6							31.2	22.6	8.6	38.1%
Issuance Fees	3.0	13.9	1.2	29.1	1.3	0.3							48.8	55.7	(6.9)	-12.4%
Non Bond Related	8.6	1.8	-	6.0	23.1	25.1							64.6	7.4	57.2	773.0%
Receipts from Municipalities	22.2	20.2	24.6	22.6	24.4	58.0							172.0	205.9	(33.9)	-16.5%
Rentals	46.2	30.1	16.0	27.8	6.0	7.8							133.9	163.7	(29.8)	-18.2%
Revenues of State Departments:																

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)**

	2017												2018				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease				
Administrative Recoveries	12.4	9.3	33.1	23.7	9.8	26.1							114.4	123.5	(9.1)	-7.4%				
Commissions	-	1.1	0.1	0.4	0.3	0.3							2.2	3.8	(1.6)	-42.1%				
Gifts, Grants and Donations	1.7	1.3	11.5	0.7	3.6	1.8							20.6	15.5	5.1	32.9%				
Indirect Cost Recoveries	0.9	13.4	11.5	7.2	20.8	4.6							58.4	59.5	(1.1)	-1.8%				
Patient/Client Care Reimbursement	195.7	138.5	300.3	173.6	21.4	376.1							1,205.6	1,211.7	(6.1)	-0.5%				
Rebates	11.7	11.9	15.7	17.1	13.1	11.8							81.3	79.6	1.7	2.1%				
Restitution and Settlements	5.5	8.8	1.1	11.6	1.0	1.9							29.9	244.6	(214.7)	-87.8%				
Student Loans	8.1	9.3	13.3	8.2	10.5	31.8							81.2	41.5	39.7	95.7%				
All Other	51.9	38.4	40.2	27.0	55.9	41.4							254.8	271.5	(16.7)	-6.2%				
Sales	1.2	1.5	1.3	1.3	10.0	3.6							18.9	9.2	9.7	105.4%				
Tuition	43.8	42.4	77.3	37.8	193.3	415.2							809.8	806.0	3.8	0.5%				
Total Miscellaneous Receipts	1,493.1	1,886.7	1,883.8	2,671.1	1,762.4	2,741.2	-	-	-	-	-	-	12,438.3	12,269.7	168.6	1.4%				
Federal Receipts	3,473.2	4,695.3	5,680.9	3,774.4	5,262.4	5,149.4							28,035.6	25,915.0	2,120.6	8.2%				
Total Receipts	12,107.0	10,431.0	15,202.3	10,875.6	12,211.7	15,733.7	-	-	-	-	-	-	76,561.3	75,040.0	1,521.3	2.0%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	1,247.7	4,268.0	3,739.9	681.8	995.1	4,325.3							15,257.8	14,541.7	716.1	4.9%				
Environment and Recreation	2.7	32.7	3.8	7.2	8.9	9.2							64.5	50.2	14.3	28.5%				
General Government	24.1	49.4	651.9	61.1	92.3	245.0							1,123.8	971.9	151.9	15.6%				
Public Health:																				
Medicaid	4,456.9	5,499.9	4,344.4	4,124.1	5,618.5	4,606.7							28,650.5	25,545.2	3,105.3	12.2%				
Other Public Health	537.5	869.1	938.7	914.1	565.4	1,378.4							5,203.2	4,301.0	902.2	21.0%				
Public Safety	137.0	80.7	129.0	88.6	180.2	76.2							691.7	851.5	(159.8)	-18.8%				
Public Welfare	446.6	547.5	706.4	377.9	378.8	514.3							2,971.5	3,848.5	(877.0)	-22.8%				
Support and Regulate Business	165.9	78.4	102.2	119.4	244.1	86.9							796.9	537.1	259.8	48.4%				
Transportation	285.6	560.2	522.6	402.4	635.1	511.6							2,917.5	2,990.2	(72.7)	-2.4%				
Total Local Assistance Grants	7,304.0	11,985.9	11,138.9	6,776.6	8,718.4	11,753.6	-	-	-	-	-	-	57,677.4	53,637.3	4,040.1	7.5%				
Departmental Operations:																				
Personal Service	1,100.0	1,450.0	1,064.9	1,044.2	1,227.8	1,047.8							6,934.7	6,919.2	15.5	0.2%				
Non-Personal Service	368.9	620.2	611.2	428.6	658.4	645.6							3,332.9	3,256.5	76.4	2.3%				
General State Charges	2,459.3	785.1	477.9	403.2	487.2	563.1							5,175.8	4,956.0	219.8	4.4%				
Debt Service, Including Payments on																				
Financing Agreements	87.2	148.2	186.1	28.0	348.6	757.1							1,555.2	1,463.2	92.0	6.3%				
Capital Projects	350.0	472.2	526.0	555.8	575.7	596.6							3,076.3	3,166.4	(90.1)	-2.8%				
Total Disbursements	11,669.4	15,461.6	14,005.0	9,236.4	12,016.1	15,363.8	-	-	-	-	-	-	77,752.3	73,398.6	4,353.7	5.9%				
Excess (Deficiency) of Receipts over Disbursements	437.6	(5,030.6)	1,197.3	1,639.2	195.6	369.9	-	-	-	-	-	-	(1,191.0)	1,641.4	(2,832.4)	-172.6%				
OTHER FINANCING SOURCES (USES):																				
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%				
Transfers from Other Funds	3,449.1	2,289.2	3,496.5	1,494.0	2,367.8	3,227.7							16,324.3	15,984.2	340.1	2.1%				
Transfers to Other Funds	(3,475.0)	(2,291.1)	(3,497.8)	(1,496.3)	(2,385.7)	(3,241.5)							(16,387.4)	(16,008.4)	379.0	2.4%				
Total Other Financing Sources (Uses)	(25.9)	(1.9)	(1.3)	(2.3)	(17.9)	(13.8)	-	-	-	-	-	-	(63.1)	(24.2)	(38.9)	-160.7%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	411.7	(5,032.5)	1,196.0	1,636.9	177.7	356.1	-	-	-	-	-	-	(1,254.1)	1,617.2	(2,871.3)	-177.5%				
Ending Fund Balance	\$ 11,516.4	\$ 6,483.9	\$ 7,679.9	\$ 9,316.8	\$ 9,494.5	\$ 9,850.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,850.6	\$ 13,427.3	\$ (3,576.7)	-26.6%				

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2017-2018
(Amounts in millions)**

	2017						2018						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 11,625.3	\$ 12,636.4	\$ 8,247.2	\$ 8,315.4	\$ 10,096.0	\$ 10,519.7							\$ 11,625.3	\$ 12,641.2	\$ (1,015.9)	-8.0%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,755.8	2,855.0	2,889.4	2,682.4	3,026.7	2,583.1							16,792.4	16,030.3	762.1	4.8%
Estimated payments	4,168.2	112.1	1,922.9	89.7	95.6	2,315.3							8,703.8	9,419.3	(715.5)	-7.6%
Returns	1,572.8	74.6	44.2	31.7	33.0	47.3							1,803.6	1,946.5	(142.9)	-7.3%
State/City Offsets	(201.5)	(15.6)	(19.4)	(19.0)	(21.2)	(29.0)							(305.7)	(319.4)	(13.7)	-4.3%
Other (Assessments/LLC)	154.0	105.2	87.5	97.2	110.7	79.4							634.0	660.8	(26.8)	-4.1%
Gross Receipts	8,449.3	3,131.3	4,924.6	2,882.0	3,244.8	4,996.1	-	-	-	-	-	-	27,628.1	27,737.5	(109.4)	-0.4%
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds issued	(3,447.5)	(1,030.0)	(273.8)	(232.0)	(189.8)	(280.3)							(5,453.4)	(4,238.2)	1,215.2	28.7%
Total Personal Income Tax	5,001.8	2,101.3	4,650.8	2,650.0	3,055.0	4,715.8	-	-	-	-	-	-	22,174.7	23,499.3	(1,324.6)	-5.6%
Consumption/Use Taxes:																
Sales and Use	1,042.9	1,044.0	1,447.1	1,125.1	1,101.2	1,456.2							7,216.5	7,022.9	193.6	2.8%
Auto Rental	3.7	3.3	4.6	5.1	4.9	4.6							26.2	28.4	(2.2)	-7.7%
Cigarette/Tobacco Products	87.7	107.8	105.8	97.8	118.1	101.7							618.9	655.0	(36.1)	-5.5%
Medical Marijuana	0.1	0.1	0.1	0.1	0.2	0.1							0.7	0.2	0.5	250.0%
Motor Fuel	8.6	8.7	9.3	9.5	9.3	9.9							55.3	55.4	(0.1)	-0.2%
Alcoholic Beverage	21.0	19.0	23.6	28.9	16.5	24.1							133.1	132.1	1.0	0.8%
Highway Use	0.1	0.2	0.2	0.2	0.2	0.2							1.1	-	1.1	100.0%
Metropolitan Commuter Trans. Taxicab Trip	13.4	0.7	0.4	13.1	0.5	0.4							28.5	33.7	(5.2)	-15.4%
Total Consumption/Use Taxes	1,177.5	1,183.8	1,591.1	1,279.8	1,250.9	1,597.2	-	-	-	-	-	-	8,080.3	7,927.7	152.6	1.9%
Business Taxes:																
Corporation Franchise	430.1	118.0	495.6	60.6	130.6	498.8							1,733.7	1,793.2	(59.5)	-3.3%
Corporation and Utilities	39.7	35.6	117.9	10.6	3.7	121.9							329.4	279.2	50.2	18.0%
Insurance	45.5	15.5	321.3	7.2	24.4	340.5							754.4	700.8	53.6	7.6%
Bank	4.3	(7.4)	(0.9)	6.9	275.1	(10.4)							267.6	16.3	251.3	1,541.7%
Petroleum Business	36.8	36.6	42.1	44.3	40.3	44.2							244.3	253.3	(9.0)	-3.6%
Total Business Taxes	556.4	198.3	976.0	129.6	474.1	995.0	-	-	-	-	-	-	3,329.4	3,042.8	286.6	9.4%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	89.7	112.7	102.0	64.4	83.2	211.7							663.7	538.6	125.1	23.2%
Pari-Mutuel	0.8	1.2	1.5	1.4	2.3	2.2							9.4	9.7	(0.3)	-3.1%
Real Estate Transfer	94.8	94.7	89.6	84.4	97.6	98.4							559.5	536.8	22.7	4.2%
Racing and Exhibitions	0.4	0.1	-	0.2	0.5	-							1.2	0.9	0.3	33.3%
Metropolitan Commuter Trans. Mobility	120.2	106.9	106.2	98.2	105.7	99.3							636.5	608.9	27.6	4.5%
Total Other Taxes	305.9	315.6	299.3	248.6	289.3	411.6	-	-	-	-	-	-	1,870.3	1,694.9	175.4	10.3%
Total Taxes	7,041.6	3,799.0	7,517.2	4,308.0	5,069.3	7,719.6	-	-	-	-	-	-	35,454.7	36,164.7	(710.0)	-2.0%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.7	0.9	1.2	1.1	1.7	65.3							70.9	43.5	27.4	63.0%
Bottle Bill	0.3	0.5	9.7	0.6	0.2	36.2							47.5	44.6	2.9	6.5%
Assessments:																
Business	14.4	28.7	84.4	11.1	52.4	108.5							299.5	567.0	(267.5)	-47.2%
Medical Care	462.2	460.4	457.4	541.8	503.9	475.5							2,901.2	2,771.7	129.5	4.7%
Public Utilities	1.4	-	0.7	0.1	0.4	45.9							48.5	106.6	(58.1)	-54.5%
Other	0.9	(0.1)	1.3	5.7	-	0.3							8.1	117.4	(109.3)	-93.1%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.1	6.4	5.9	5.4	6.1	5.7							35.6	29.8	5.8	19.5%
Audit Fees	-	0.8	1.1	-	0.1	-							2.0	2.0	-	0.0%
Business/Professional	47.8	48.4	105.7	49.9	48.8	103.9							404.5	409.1	(4.6)	-1.1%
Civil	22.3	17.5	16.7	43.0	12.6	26.7							138.8	135.0	3.8	2.8%
Criminal	0.2	1.6	0.5	0.2	1.8	-							5.0	4.7	0.3	6.4%
Motor Vehicle	76.6	71.5	80.2	37.4	64.9	55.0							387.6	340.5	47.1	13.8%
Recreational/Consumer	43.6	50.3	39.7	53.1	76.0	92.0							354.7	337.5	17.2	5.1%
Fines, Penalties and Forfeitures	13.1	406.1	43.8	23.0	68.9	283.2							838.1	374.9	463.2	123.6%
Gaming:																
Casino	22.7	10.4	12.5	24.4	10.9	14.0							94.9	102.3	(7.4)	-7.2%
Lottery	190.4	234.2	193.7	182.6	268.1	184.5							1,253.5	1,240.1	13.4	1.1%
Video Lottery	72.5	73.6	94.2	76.5	93.8	75.3							485.9	490.4	(4.5)	-0.9%
Interest Earnings	9.3	6.7	8.7	7.6	10.0	10.0							52.3	35.2	17.1	48.6%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	22.6	8.6							31.2	22.6	8.6	38.1%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2017-2018
(Amounts in millions)**

													6 Months Ended September 30			
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	3.0	13.9	1.2	29.1	1.3	0.3							48.8	55.7	(6.9)	-12.4%
Non Bond Related	7.7	1.2	-	6.0	0.3	24.5							39.7	1.9	37.8	1,989.5%
Receipts from Municipalities	22.0	20.2	24.6	22.3	24.2	58.0							171.3	204.5	(33.2)	-16.2%
Rentals	45.4	29.4	15.4	27.2	4.7	5.9							128.0	159.3	(31.3)	-19.6%
Revenues of State Departments:																
Administrative Recoveries	12.4	9.3	33.1	23.7	9.8	26.1							114.4	123.4	(9.0)	-7.3%
Commissions	-	1.1	0.1	0.4	0.3	0.3							2.2	3.8	(1.6)	-42.1%
Gifts, Grants and Donations	1.2	1.3	1.1	0.5	1.0	1.7							6.8	7.3	(0.5)	-6.8%
Indirect Cost Recoveries	0.9	13.4	11.5	7.2	20.8	4.6							58.4	59.5	(1.1)	-1.8%
Patient/Client Care Reimbursement	195.7	138.5	300.3	173.6	21.4	376.1							1,205.6	1,211.7	(6.1)	-0.5%
Rebates	3.4	1.7	7.5	7.3	4.2	3.0							27.1	28.4	(1.3)	-4.6%
Restitution and Settlements	5.4	8.7	1.0	11.4	0.3	2.3							29.1	237.0	(207.9)	-87.7%
Student Loans	8.1	9.3	13.3	8.2	10.5	31.8							81.2	41.5	39.7	95.7%
All Other	51.3	35.3	36.3	26.6	46.7	40.3							236.5	264.8	(28.3)	-10.7%
Sales	0.4	1.3	0.9	1.3	1.1	1.2							6.2	7.5	(1.3)	-17.3%
Tuition	43.8	42.4	77.3	37.8	193.3	415.2							809.8	806.0	3.8	0.5%
Total Miscellaneous Receipts	1,387.2	1,744.9	1,681.0	1,446.1	1,582.0	2,583.7	-	-	-	-	-	-	10,424.9	10,387.2	37.7	0.4%
Federal Receipts	-	-	-	2.0	35.1	-							37.1	36.4	0.7	1.9%
Total Receipts	8,428.8	5,543.9	9,198.2	5,756.1	6,686.4	10,303.3	-	-	-	-	-	-	45,916.7	46,588.3	(671.6)	-1.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	984.1	3,902.6	3,263.4	411.2	758.4	4,173.6							13,493.3	13,191.5	301.8	2.3%
Environment and Recreation	0.3	1.1	0.7	0.6	0.5	0.3							3.5	4.6	(1.1)	-23.9%
General Government	17.0	29.1	568.8	25.1	56.1	112.0							808.1	794.2	13.9	1.8%
Public Health:																
Medicaid	1,755.6	1,911.7	1,723.3	1,485.5	1,895.6	1,878.7							10,650.4	10,010.5	639.9	6.4%
Other Public Health	153.3	348.9	499.6	367.8	146.4	284.5							1,800.5	1,953.4	(152.9)	-7.8%
Public Safety	17.2	16.3	21.0	27.4	20.6	31.5							134.0	158.2	(24.2)	-15.3%
Public Welfare	131.9	215.3	331.9	165.1	119.6	194.4							1,158.2	1,327.8	(169.6)	-12.8%
Support and Regulate Business	10.2	6.8	25.9	9.5	32.6	10.6							95.6	94.5	1.1	1.2%
Transportation	244.6	503.8	434.3	371.6	496.6	413.5							2,464.4	2,423.3	41.1	1.7%
Total Local Assistance Grants	3,314.2	6,935.6	6,868.9	2,863.8	3,526.4	7,099.1	-	-	-	-	-	-	30,608.0	29,958.0	650.0	2.2%
Departmental Operations:																
Personal Service	1,049.8	1,371.1	1,013.1	997.7	1,180.9	998.7							6,611.3	6,607.3	4.0	0.1%
Non-Personal Service	321.6	500.0	527.0	364.4	525.7	458.7							2,697.4	2,590.1	107.3	4.1%
General State Charges	2,452.3	738.8	466.7	393.1	429.1	541.9							5,021.9	4,824.7	197.2	4.1%
Debt Service, Including Payments on																
Financing Agreements	87.2	148.2	186.1	28.0	348.6	757.1							1,555.2	1,463.2	92.0	6.3%
Capital Projects	-	-	-	-	-	-							-	2.4	(2.4)	-100.0%
Total Disbursements	7,225.1	9,693.7	9,061.8	4,647.0	6,010.7	9,855.5	-	-	-	-	-	-	46,493.8	45,445.7	1,048.1	2.3%
Excess (Deficiency) of Receipts over Disbursements	1,203.7	(4,149.8)	136.4	1,109.1	675.7	447.8	-	-	-	-	-	-	(577.1)	1,142.6	(1,719.7)	-150.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	3,168.6	1,936.1	3,152.2	2,089.5	1,945.6	2,800.0							15,092.0	15,005.9	86.1	0.6%
Transfers to Other Funds (**)	(3,361.2)	(2,175.5)	(3,220.4)	(1,418.0)	(2,197.6)	(2,783.0)							(15,155.7)	(14,888.0)	267.7	1.8%
Total Other Financing Sources (Uses)	(192.6)	(239.4)	(68.2)	671.5	(252.0)	17.0	-	-	-	-	-	-	(63.7)	117.9	(181.6)	-154.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,011.1	(4,389.2)	68.2	1,780.6	423.7	464.8	-	-	-	-	-	-	(640.8)	1,260.5	(1,901.3)	-150.8%
Ending Fund Balance	\$ 12,636.4	\$ 8,247.2	\$ 8,315.4	\$ 10,096.0	\$ 10,519.7	\$ 10,984.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,984.5	\$ 13,901.7	\$ (2,917.2)	-21.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT F

												6 Months Ended September 30				
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 7,748.6	\$ 7,404.8	\$ 3,139.9	\$ 3,014.3	\$ 3,773.7	\$ 3,882.6	-	-	-	-	-	-	\$ 7,748.6	\$ 8,934.1	\$ (1,185.5)	-13.3%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,755.8	2,855.0	2,889.4	2,682.4	3,026.7	2,583.1	-	-	-	-	-	-	16,792.4	16,030.3	762.1	4.8%
Estimated payments	4,168.2	112.1	1,922.9	89.7	95.6	2,315.3	-	-	-	-	-	-	8,703.8	9,419.3	(715.5)	-7.6%
Returns	1,572.8	74.6	44.2	31.7	33.0	47.3	-	-	-	-	-	-	1,803.6	1,946.5	(142.9)	-7.3%
State/City Offsets	(201.5)	(15.6)	(19.4)	(19.0)	(21.2)	(29.0)	-	-	-	-	-	-	(305.7)	(319.4)	(13.7)	-4.3%
Other (Assessments/LLC)	154.0	105.2	87.5	87.2	110.7	79.4	-	-	-	-	-	-	634.0	661.8	(26.8)	-4.1%
Gross Receipts	8,449.3	3,131.3	4,924.6	2,882.0	3,244.8	4,996.1	-	-	-	-	-	-	27,628.1	27,737.5	(109.4)	-0.4%
Transfers to School Tax Relief Fund	-	-	(57.6)	-	-	-	-	-	-	-	-	-	(57.6)	(529.9)	(472.3)	-89.1%
Transfers to Revenue Bond Tax Fund	(1,250.5)	(525.3)	(1,162.7)	(662.5)	(763.7)	(1,179.0)	-	-	-	-	-	-	(5,543.7)	(5,874.8)	(331.1)	-5.6%
Refunds issued	(3,447.5)	(1,030.0)	(273.8)	(232.0)	(189.8)	(280.3)	-	-	-	-	-	-	(5,453.4)	(4,238.2)	1,215.2	28.7%
Total Personal Income Tax	3,751.3	1,576.0	3,430.5	1,987.5	2,291.3	3,536.8	-	-	-	-	-	-	16,573.4	17,094.6	(521.2)	-3.0%
Consumption/Use Taxes:																
Sales and Use	477.4	488.3	676.8	526.8	515.7	681.5	-	-	-	-	-	-	3,366.5	3,275.5	91.0	2.8%
Auto Rental	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cigarette/Tobacco Products	23.6	31.3	31.0	26.4	34.5	31.6	-	-	-	-	-	-	178.4	191.9	(13.5)	-7.0%
Motor Fuel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Alcoholic Beverage	21.0	19.0	23.6	28.9	16.5	24.1	-	-	-	-	-	-	133.1	132.1	1.0	0.8%
Highway Use	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Consumption/Use Taxes	522.0	538.6	731.4	582.1	566.7	737.2	-	-	-	-	-	-	3,678.0	3,599.5	78.5	2.2%
Business Taxes:																
Corporation Franchise	346.4	90.0	393.9	36.1	105.1	392.3	-	-	-	-	-	-	1,363.8	1,454.9	(91.1)	-6.3%
Corporation and Utilities	30.4	29.0	92.2	7.4	3.1	95.5	-	-	-	-	-	-	257.6	211.7	45.9	21.7%
Insurance	40.3	12.6	284.7	7.0	30.6	307.1	-	-	-	-	-	-	682.3	622.3	60.0	9.6%
Bank	4.2	5.4	(0.9)	3.9	237.3	(13.3)	-	-	-	-	-	-	236.6	15.7	220.9	1,407.0%
Petroleum Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Business Taxes	421.3	137.0	769.9	54.4	376.1	781.6	-	-	-	-	-	-	2,540.3	2,304.6	235.7	10.2%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	89.7	112.7	102.0	64.4	83.2	211.7	-	-	-	-	-	-	663.7	538.6	125.1	23.2%
Pari-Mutuel	0.8	1.2	1.5	1.4	2.3	2.2	-	-	-	-	-	-	9.4	9.7	(0.3)	-3.1%
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Racing and Exhibitions	0.4	0.1	-	0.2	0.5	-	-	-	-	-	-	-	1.2	0.9	0.3	33.3%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Taxes	90.9	114.0	103.5	66.0	86.0	213.9	-	-	-	-	-	-	674.3	549.2	125.1	22.8%
Total Taxes	4,785.5	2,365.6	5,035.3	2,690.0	3,320.1	5,269.5	-	-	-	-	-	-	23,466.0	23,547.9	(81.9)	-0.3%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	(0.4)	0.4	0.2	0.9	64.5	-	-	-	-	-	-	65.6	38.2	27.4	71.7%
Bottle Bill	0.3	0.5	9.7	0.6	0.2	36.2	-	-	-	-	-	-	47.5	44.6	2.9	6.5%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	250.0	(250.0)	-100.0%
Medical Care	1.8	2.5	4.3	3.6	3.1	-	-	-	-	-	-	-	15.3	30.4	(15.1)	-49.7%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	55.7	(55.7)	-100.0%
Other	-	0.1	0.1	-	-	0.2	-	-	-	-	-	-	0.4	0.2	0.2	100.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.1	6.4	5.9	5.4	6.1	5.7	-	-	-	-	-	-	35.6	29.8	5.8	19.5%
Audit Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business/Professional	1.5	15.6	21.9	8.8	5.4	28.8	-	-	-	-	-	-	82.0	88.4	(6.4)	-7.2%
Civil	17.4	13.3	11.6	38.9	7.1	21.2	-	-	-	-	-	-	109.5	106.9	2.6	2.4%
Criminal	0.2	0.1	0.1	0.2	0.1	0.2	-	-	-	-	-	-	0.9	0.3	0.6	200.0%
Motor Vehicle	35.7	29.5	30.9	(5.2)	34.4	7.2	-	-	-	-	-	-	132.5	91.1	41.4	45.4%
Recreational/Consumer	1.1	1.4	0.7	1.6	2.0	1.1	-	-	-	-	-	-	7.9	7.6	0.3	3.9%
Fines, Penalties and Forfeitures	6.6	393.0	22.2	1.6	21.7	276.8	-	-	-	-	-	-	721.9	307.6	414.3	134.7%
Interest Earnings	3.8	1.1	2.0	0.9	1.7	2.4	-	-	-	-	-	-	11.9	10.6	1.3	12.3%
Receipts from Public Authorities:																
Cost Recovery Assessments	-	-	-	-	2.2	8.6	-	-	-	-	-	-	10.8	2.2	8.6	390.9%
Issuance Fees	-	9.7	1.2	29.1	1.3	0.3	-	-	-	-	-	-	41.6	48.5	(6.9)	-14.2%
Non Bond Related	-	0.1	-	-	-	24.1	-	-	-	-	-	-	24.2	0.3	23.9	7,966.7%
Receipts from Municipalities	16.7	16.7	16.6	16.7	16.7	16.7	-	-	-	-	-	-	100.1	83.3	16.8	20.2%
Rentals	0.8	0.8	0.1	0.7	0.1	-	-	-	-	-	-	-	2.2	1.4	0.8	57.1%
Revenues of State Departments:																
Administrative Recoveries	-	0.2	24.7	0.7	1.1	17.0	-	-	-	-	-	-	43.7	45.9	(2.2)	-4.8%
Commissions	-	0.1	0.1	-	-	-	-	-	-	-	-	-	0.2	0.1	0.1	100.0%
Gifts, Grants and Donations	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	-	0.1	100.0%
Indirect Cost Recoveries	0.9	13.4	11.4	7.2	20.8	4.7	-	-	-	-	-	-	58.4	54.5	3.9	7.2%
Rebates	(0.9)	(0.2)	(0.7)	-	(1.6)	2.7	-	-	-	-	-	-	(0.7)	(1.4)	0.7	50.0%
Restitution and Settlements	0.1	7.9	-	1.1	-	0.5	-	-	-	-	-	-	9.6	134.1	(124.5)	-92.8%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	1.9	1.6	1.1	0.2	3.5	1.6	-	-	-	-	-	-	9.9	16.7	(6.8)	-40.7%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Miscellaneous Receipts	94.1	513.4	164.3	112.0	126.8	520.5	-	-	-	-	-	-	1,531.1	1,447.1	84.0	5.8%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT F

	2017												6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018			2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-	0.3	(0.3)	-100.0%
Total Receipts	4,879.6	2,879.0	5,199.6	2,802.0	3,446.9	5,790.0	-	-	-	-	-	-	24,997.1	24,995.3	1.8	0.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	984.1	3,902.4	2,878.7	409.6	755.8	1,983.7	-	-	-	-	-	-	10,914.3	10,205.7	708.6	6.9%
Environment and Recreation	-	1.1	0.5	0.2	0.3	0.1	-	-	-	-	-	-	2.2	3.4	(1.2)	-35.3%
General Government	1.4	12.8	560.2	4.4	33.7	104.2	-	-	-	-	-	-	716.7	687.1	29.6	4.3%
Public Health:																
Medicaid	1,366.9	1,380.9	1,376.4	1,164.8	1,229.3	1,360.8	-	-	-	-	-	-	7,879.1	7,224.5	654.6	9.1%
Other Public Health	73.6	181.6	143.3	69.3	61.7	45.1	-	-	-	-	-	-	574.6	797.7	(223.1)	-28.0%
Public Safety	3.7	9.5	9.5	16.7	10.2	21.1	-	-	-	-	-	-	70.7	79.3	(8.6)	-10.8%
Public Welfare	131.6	214.2	331.7	165.0	118.6	194.5	-	-	-	-	-	-	1,155.6	1,325.2	(169.6)	-12.8%
Support and Regulate Business	8.4	5.0	25.2	9.4	28.2	9.4	-	-	-	-	-	-	85.6	81.2	4.4	5.4%
Transportation	-	25.1	13.9	-	25.3	-	-	-	-	-	-	-	64.3	58.2	6.1	10.5%
Total Local Assistance Grants	2,569.7	5,732.6	5,339.4	1,839.4	2,263.1	3,718.9	-	-	-	-	-	-	21,463.1	20,462.3	1,000.8	4.9%
Departmental Operations:																
Personal Service	484.8	641.7	475.5	465.6	564.9	477.4	-	-	-	-	-	-	3,109.9	3,116.5	(6.6)	-0.2%
Non-Personal Service	90.8	226.6	185.0	142.3	211.2	179.3	-	-	-	-	-	-	1,035.2	889.5	145.7	16.4%
General State Charges	2,398.1	291.5	409.5	347.2	40.8	513.5	-	-	-	-	-	-	4,000.6	3,791.9	208.7	5.5%
Total Disbursements	5,543.4	6,892.4	6,409.4	2,794.5	3,080.0	4,889.1	-	-	-	-	-	-	29,608.8	28,260.2	1,348.6	4.8%
Excess (Deficiency) of Receipts over Disbursements	(663.8)	(4,013.4)	(1,209.8)	7.5	366.9	900.9	-	-	-	-	-	-	(4,611.7)	(3,264.9)	(1,346.8)	-41.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,248.9	509.1	1,162.4	455.3	356.0	1,400.1	-	-	-	-	-	-	5,131.8	5,475.7	(343.9)	-6.3%
Transfers from LGAC / STRBTF	412.7	240.2	788.3	468.4	436.2	651.3	-	-	-	-	-	-	2,997.1	2,934.6	62.5	2.1%
Transfers from CW/CA Fund	81.0	94.6	89.1	84.3	86.3	93.2	-	-	-	-	-	-	528.5	509.2	25.6	5.1%
Transfers from Other Funds	10.3	2.1	2.5	4.8	4.7	92.8	-	-	-	-	-	-	117.2	116.9	0.3	0.3%
Transfers to State Capital Projects	(259.7)	(168.1)	(266.0)	677.2	(419.6)	(270.6)	-	-	-	-	-	-	(706.8)	(686.1)	20.7	3.0%
Transfers to Federal Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to All Other Capital Projects	(50.0)	(100.0)	(171.5)	(75.0)	(75.0)	(166.5)	-	-	-	-	-	-	(638.0)	(440.2)	197.8	44.9%
Transfers to General Debt Service	(274.4)	1.2	(1.8)	(147.9)	(14.1)	86.6	-	-	-	-	-	-	(350.4)	(308.7)	41.7	13.5%
Transfers to All Other State Funds	(848.8)	(830.6)	(518.8)	(715.2)	(632.5)	(147.2)	-	-	-	-	-	-	(3,693.1)	(3,698.5)	(5.4)	-0.1%
Total Other Financing Sources (Uses)	320.0	(251.5)	1,084.2	751.9	(258.0)	1,739.7	-	-	-	-	-	-	3,386.3	3,896.6	(510.3)	-13.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(343.8)	(4,264.9)	(125.6)	759.4	108.9	2,640.6	-	-	-	-	-	-	(1,225.4)	631.7	(1,857.1)	-294.0%
Ending Fund Balance	\$ 7,404.8	\$ 3,139.9	\$ 3,014.3	\$ 3,773.7	\$ 3,882.6	\$ 6,523.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,523.2	\$ 9,565.8	\$ (3,042.6)	-31.8%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT G

	2017												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH		2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 4,272.2	\$ 4,731.9	\$ 3,896.2	\$ 5,153.7	\$ 5,591.9	\$ 5,523.2							\$ -	\$ 4,272.2	\$ 3,607.1	\$ 665.1	18.4%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	57.6	-	-	-							-	57.6	529.9	(472.3)	-89.1%
Consumption/Use Taxes:																	
Sales and Use	92.5	67.9	93.9	71.8	70.2	93.6							-	489.9	473.6	16.3	3.4%
Auto Rental	3.7	3.3	4.6	5.1	4.9	4.6							-	26.2	28.4	(2.2)	-7.7%
Cigarette/Tobacco Products	64.1	76.5	74.8	71.4	83.6	70.1							-	440.5	463.1	(22.6)	-4.9%
Medical Marijuana	0.1	0.1	0.1	0.1	0.2	0.1							-	0.7	0.2	0.5	250.0%
Motor Fuel	8.6	8.7	9.3	9.5	9.3	9.9							-	55.3	55.4	(0.1)	-0.2%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	-	0.0%
Highway Use	0.1	0.2	0.2	0.2	0.2	0.2							-	1.1	-	1.1	100.0%
Metropolitan Commuter Trans. Taxicab Trip	13.4	0.7	0.4	13.1	0.5	0.4							-	28.5	33.7	(5.2)	-15.4%
Total Consumption/Use Taxes	182.5	157.4	183.3	171.2	168.9	178.9								1,042.2	1,054.4	(12.2)	-1.2%
Business Taxes:																	
Corporation Franchise	83.7	28.0	101.7	24.5	25.5	106.5							-	369.9	338.3	31.6	9.3%
Corporation and Utilities	9.3	6.6	25.7	3.2	0.6	26.4							-	71.8	67.5	4.3	6.4%
Insurance	5.2	2.9	36.6	0.2	(6.2)	33.4							-	72.1	78.5	(6.4)	-8.2%
Bank	0.1	(12.8)	-	3.0	37.8	2.9							-	31.0	0.6	30.4	5,066.7%
Petroleum Business	36.8	36.6	42.1	44.3	40.3	44.2							-	244.3	253.3	(9.0)	-3.6%
Total Business Taxes	135.1	61.3	206.1	75.2	98.0	213.4								789.1	738.2	50.9	6.9%
Other Taxes:																	
Metropolitan Commuter Trans. Mobility	120.2	106.9	106.2	98.2	105.7	99.3							-	636.5	608.9	27.6	4.5%
Total Other Taxes	120.2	106.9	106.2	98.2	105.7	99.3								636.5	608.9	27.6	4.5%
Total Taxes	437.8	325.6	553.2	344.6	372.6	491.6								2,525.4	2,931.4	(406.0)	-13.9%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.7	1.3	0.8	0.9	0.8	0.8							-	5.3	5.3	-	0.0%
Assessments:																	
Business	18.1	64.4	84.6	12.9	62.7	108.6							-	351.3	366.7	(15.4)	-4.2%
Medical Care	460.4	457.9	453.1	538.2	500.8	475.5							-	2,885.9	2,741.3	144.6	5.3%
Public Utilities	1.4	-	0.7	0.1	0.4	45.9							-	48.5	50.9	(2.4)	-4.7%
Other	0.9	(0.2)	1.2	5.7	-	0.1							-	7.7	117.2	(109.5)	-93.4%
Fees, Licenses and Permits:																	
Audit Fees	-	0.8	1.1	-	0.1	-							-	2.0	2.0	-	0.0%
Business/Professional	46.3	32.8	83.8	41.1	43.4	75.1							-	322.5	320.7	1.8	0.6%
Civil	4.9	4.2	5.1	4.1	5.5	5.5							-	29.3	28.1	1.2	4.3%
Criminal	-	1.5	0.4	-	0.6	1.6							-	4.1	4.4	(0.3)	-6.8%
Motor Vehicle	42.9	42.0	49.3	42.6	30.5	47.8							-	255.1	248.4	6.7	2.3%
Recreational/Consumer	42.5	48.9	39.0	51.5	74.0	90.9							-	346.8	329.9	16.9	5.1%
Fines, Penalties and Forfeitures	7.3	13.8	22.4	22.0	49.9	7.6							-	123.0	74.8	48.2	64.4%
Gaming:																	
Casino	22.7	10.4	12.5	24.4	10.9	14.0							-	94.9	102.3	(7.4)	-7.2%
Lottery	190.4	234.2	193.7	182.6	268.1	184.5							-	1,253.5	1,240.1	13.4	1.1%
Video Lottery	72.5	73.6	94.2	76.5	93.8	75.3							-	485.9	490.4	(4.5)	-0.9%
Interest Earnings	5.9	6.1	7.1	7.2	8.8	8.0							-	43.1	25.6	17.5	68.4%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-							-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	20.4	-							-	20.4	20.4	-	0.0%
Issuance Fees	3.0	4.2	-	-	-	-							-	7.2	7.2	-	0.0%
Non Bond Related	7.7	1.1	-	6.0	0.3	0.4							-	15.5	1.6	13.9	868.8%
Receipts from Municipalities	5.3	3.1	7.9	4.7	6.5	41.3							-	68.8	118.8	(50.0)	-42.1%
Rentals	44.6	28.6	15.3	26.8	4.6	5.9							-	125.8	157.9	(32.1)	-20.3%
Revenues of State Departments:																	
Administrative Recoveries	12.4	9.1	8.4	23.0	8.7	9.1							-	70.7	77.6	(6.9)	-8.9%
Commissions	-	1.0	-	0.4	0.3	0.3							-	2.0	3.7	(1.7)	-45.9%
Gifts, Grants and Donations	1.1	1.3	1.5	0.5	1.1	1.7							-	7.2	-	(0.1)	-1.4%
Indirect Cost Recoveries	-	-	-	-	-	(0.1)							-	-	5.0	(5.0)	-100.0%
Patient/Client Care Reimbursement	145.5	96.6	245.3	133.1	52.9	281.5							-	954.9	982.7	(27.8)	-2.8%
Rebates	12.6	11.9	16.4	17.1	14.7	9.1							-	81.8	80.7	1.1	1.4%
Restitution and Settlements	5.3	0.8	1.0	10.3	0.8	1.3							-	19.5	102.9	(83.4)	-81.0%
Student Loans	8.1	9.3	13.3	8.2	10.5	31.8							-	81.2	41.5	39.7	95.7%
All Other	49.5	34.4	36.7	26.5	44.6	38.8							-	230.5	243.5	(13.0)	-5.3%
Sales	0.4	1.3	1.1	1.3	1.0	1.2							-	6.3	7.5	(1.2)	-16.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT G

	2017												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018				2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
										JANUARY	FEBRUARY	MARCH					
Tuition	43.8	42.4	77.3	37.8	193.3	415.2	-	-	-	-	-	-	-	809.8	806.0	3.8	0.5%
Total Miscellaneous Receipts	1,256.2	1,236.8	1,473.3	1,305.5	1,510.0	1,978.7	-	-	-	-	-	-	-	8,760.5	8,813.4	(52.9)	-0.6%
Federal Receipts	3,337.1	4,582.4	5,398.6	3,633.4	5,066.9	5,002.3	-	-	-	-	-	-	-	27,020.7	24,622.8	2,397.9	9.7%
Total Receipts	5,031.1	6,144.8	7,425.1	5,283.5	6,949.5	7,472.6	-	-	-	-	-	-	-	38,306.6	36,367.6	1,939.0	5.3%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	263.6	365.4	839.3	249.0	234.9	2,335.9	-	-	-	-	-	-	-	4,288.1	4,319.6	(31.5)	-0.7%
Environment and Recreation	0.3	-	0.3	0.6	0.2	0.5	-	-	-	-	-	-	-	1.9	3.5	(1.6)	-45.7%
General Government	16.3	26.5	10.5	22.7	24.3	12.3	-	-	-	-	-	-	-	112.6	125.8	(13.2)	-10.5%
Public Health:																	
Medicaid	3,090.0	4,119.0	2,968.0	2,959.3	4,389.2	3,245.9	-	-	-	-	-	-	-	20,771.4	18,320.7	2,450.7	13.4%
Other Public Health	458.3	664.5	781.5	828.1	489.4	1,312.5	-	-	-	-	-	-	-	4,534.3	3,456.7	1,077.6	31.2%
Public Safety	133.3	58.1	119.5	71.9	169.5	50.7	-	-	-	-	-	-	-	603.0	753.9	(150.9)	-20.0%
Public Welfare	266.9	326.9	360.1	206.7	244.4	291.0	-	-	-	-	-	-	-	1,696.0	2,450.8	(754.8)	-30.8%
Support and Regulate Business	1.8	2.8	0.8	0.2	6.1	1.2	-	-	-	-	-	-	-	12.9	14.9	(2.0)	-13.4%
Transportation	251.6	482.1	427.1	374.1	475.4	416.4	-	-	-	-	-	-	-	2,426.7	2,397.6	29.1	1.2%
Total Local Assistance Grants	4,482.1	6,045.3	5,507.1	4,712.6	6,033.4	7,666.4	-	-	-	-	-	-	-	34,446.9	31,843.5	2,603.4	8.2%
Departmental Operations:																	
Personal Service	615.2	808.3	589.4	578.6	662.9	570.4	-	-	-	-	-	-	-	3,824.8	3,802.7	22.1	0.6%
Non-Personal Service	277.2	392.0	421.5	278.4	445.8	463.2	-	-	-	-	-	-	-	2,278.1	2,342.9	(64.8)	-2.8%
General State Charges	61.2	493.6	68.4	56.0	446.4	49.6	-	-	-	-	-	-	-	1,175.2	1,164.1	11.1	1.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2.4	(2.4)	-100.0%
Total Disbursements	5,435.7	7,739.2	6,586.4	5,625.6	7,588.5	8,749.6	-	-	-	-	-	-	-	41,725.0	39,155.6	2,569.4	6.6%
Excess (Deficiency) of Receipts over Disbursements	(404.6)	(1,594.4)	838.7	(342.1)	(639.0)	(1,277.0)	-	-	-	-	-	-	-	(3,418.4)	(2,788.0)	(630.4)	-22.6%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	1,026.0	977.1	834.3	855.5	856.1	234.0	-	-	-	-	-	-	(304.8)	4,478.2	4,383.1	95.1	2.2%
Transfers to Other Funds	(161.7)	(218.4)	(415.5)	(75.2)	(285.8)	(267.5)	-	-	-	-	-	-	304.8	(1,119.3)	(836.4)	282.9	33.8%
Total Other Financing Sources (Uses)	864.3	758.7	418.8	780.3	570.3	(33.5)	-	-	-	-	-	-	-	3,358.9	3,546.7	(187.8)	-5.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	459.7	(835.7)	1,257.5	438.2	(68.7)	(1,310.5)	-	-	-	-	-	-	-	(59.5)	758.7	(818.2)	-107.8%
Ending Fund Balance	\$ 4,731.9	\$ 3,896.2	\$ 5,153.7	\$ 5,591.9	\$ 5,523.2	\$ 4,212.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,212.7	\$ 4,365.8	\$ (153.1)	-3.5%

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT G

	2017						2018						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 3,732.3	\$ 4,804.9	\$ 4,536.3	\$ 4,883.0	\$ 5,541.5	\$ 5,634.4							\$ 3,732.3	\$ 3,547.4	\$ 184.9	5.2%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	57.6	-	-	-							57.6	529.9	(472.3)	-89.1%
Consumption/Use Taxes:																
Sales and Use	92.5	67.9	93.9	71.8	70.2	93.6							489.9	473.6	16.3	3.4%
Auto Rental	3.7	3.3	4.6	5.1	4.9	4.6							26.2	28.4	(2.2)	-7.7%
Cigarette/Tobacco Products	64.1	76.5	74.8	71.4	83.6	70.1							440.5	463.1	(22.6)	-4.9%
Medical Marijuana	0.1	0.1	0.1	0.1	0.2	0.1							0.7	0.2	0.5	250.0%
Motor Fuel	8.6	8.7	9.3	9.5	9.3	9.9							55.3	55.4	(0.1)	-0.2%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	0.0%
Highway Use	0.1	0.2	0.2	0.2	0.2	0.2							1.1	-	1.1	100.0%
Metropolitan Commuter Trans. Taxicab Trip	13.4	0.7	0.4	13.1	0.5	0.4							28.5	33.7	(5.2)	-15.4%
Total Consumption/Use Taxes	182.5	157.4	183.3	171.2	168.9	178.9	-	-	-	-	-	-	1,042.2	1,054.4	(12.2)	-1.2%
Business Taxes																
Corporation Franchise	83.7	28.0	101.7	24.5	25.5	106.5							369.9	338.3	31.6	9.3%
Corporation and Utilities	9.3	6.6	25.7	3.2	0.6	26.4							71.8	67.5	4.3	6.4%
Insurance	5.2	2.9	36.6	0.2	(6.2)	33.4							72.1	78.5	(6.4)	-8.2%
Bank	0.1	(12.8)	-	3.0	37.8	2.9							31.0	0.6	30.4	5,066.7%
Petroleum Business	36.8	36.6	42.1	44.3	40.3	44.2							244.3	253.3	(9.0)	-3.6%
Total Business Taxes	135.1	61.3	206.1	75.2	98.0	213.4	-	-	-	-	-	-	789.1	738.2	50.9	6.9%
Other Taxes																
Metropolitan Commuter Trans. Mobility	120.2	106.9	106.2	98.2	105.7	99.3							636.5	608.9	27.6	4.5%
Total Other Taxes	120.2	106.9	106.2	98.2	105.7	99.3	-	-	-	-	-	-	636.5	608.9	27.6	4.5%
Total Taxes	437.8	325.6	553.2	344.6	372.6	491.6	-	-	-	-	-	-	2,525.4	2,931.4	(406.0)	-13.9%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.7	1.3	0.8	0.9	0.8	0.8							5.3	5.3	-	0.0%
Assessments:																
Business	14.4	28.7	84.4	11.1	52.4	108.5							299.5	317.0	(17.5)	-5.5%
Medical Care	460.4	457.9	453.1	538.2	500.8	475.5							2,885.9	2,741.3	144.6	5.3%
Public Utilities	1.4	-	0.7	0.1	0.4	45.9							48.5	50.9	(2.4)	-4.7%
Other	0.9	(0.2)	1.2	5.7	-	0.1							7.7	117.2	(109.5)	-93.4%
Fees, Licenses and Permits:																
Audit Fees	-	0.8	1.1	-	0.1	-							2.0	2.0	-	0.0%
Business/Professional	46.3	32.8	83.8	41.1	43.4	75.1							322.5	320.7	1.8	0.6%
Civil	4.9	4.2	5.1	4.1	5.5	5.5							29.3	28.1	1.2	4.3%
Criminal	-	1.5	0.4	-	0.6	-							4.1	4.4	(0.3)	-6.8%
Motor Vehicle	42.9	42.0	49.3	42.6	30.5	47.8							255.1	249.4	5.7	2.3%
Recreational/Consumer	42.5	48.9	39.0	51.5	74.0	90.9							346.8	329.9	16.9	5.1%
Fines, Penalties and Forfeitures	6.5	13.1	21.6	21.4	47.2	6.4							116.2	67.3	48.9	72.7%
Gaming:																
Casino	22.7	10.4	12.5	24.4	10.9	14.0							94.9	102.3	(7.4)	-7.2%
Lottery	190.4	234.2	193.7	182.6	268.1	184.5							1,253.5	1,240.1	13.4	1.1%
Video Lottery	72.5	73.6	94.2	76.5	93.8	75.3							485.9	490.4	(4.5)	-0.9%
Interest Earnings	5.5	5.5	6.6	6.7	8.0	7.6							39.9	24.4	15.5	63.5%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	20.4	-							20.4	20.4	-	0.0%
Issuance Fees	3.0	4.2	-	-	-	-							7.2	7.2	-	0.0%
Non Bond Related	7.7	1.1	-	6.0	0.3	0.4							15.5	1.6	13.9	868.8%
Receipts from Municipalities	5.3	3.1	7.9	4.7	6.5	41.3							68.8	118.8	(50.0)	-42.1%
Rentals	44.6	28.6	15.3	26.8	4.6	5.9							125.8	157.9	(32.1)	-20.3%
Revenues of State Departments:																
Administrative Recoveries	12.4	9.1	8.4	23.0	8.7	9.1							70.7	77.5	(6.8)	-8.8%
Commissions	-	1.0	-	0.4	0.3	0.3							2.0	3.7	(1.7)	-45.9%
Gifts, Grants and Donations	1.1	1.3	1.1	0.5	1.0	1.7							6.7	7.3	(0.6)	-8.2%
Indirect Cost Recoveries	-	-	0.1	-	-	(0.1)							-	5.0	(5.0)	-100.0%
Patient/Client Care Reimbursement	145.5	96.6	245.3	133.1	52.9	281.5							954.9	982.7	(27.8)	-2.8%
Rebates	4.3	1.9	8.2	7.3	5.8	0.3							27.8	29.8	(2.0)	-6.7%
Restitution and Settlements	5.3	0.8	1.0	10.3	0.3	1.8							19.5	102.9	(83.4)	-81.0%
Student Loans	8.1	9.3	13.3	8.2	10.5	31.8							81.2	41.5	39.7	95.7%
All Other	49.4	33.7	35.2	26.4	43.2	38.7							226.6	248.1	(21.5)	-8.7%
Sales	0.4	1.3	0.9	1.3	1.0	1.2							6.1	7.3	(1.2)	-16.4%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT G

	2017												6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Tuition	43.8	42.4	77.3	37.8	193.3	415.2	-	-	-	-	-	-	809.8	806.0	3.8	0.5%
Total Miscellaneous Receipts	1,242.9	1,189.1	1,461.5	1,292.7	1,485.3	1,968.6	-	-	-	-	-	-	8,640.1	8,708.4	(68.3)	-0.8%
Federal Receipts	-	-	-	0.4	-	-	-	-	-	-	-	-	0.4	(0.6)	1.0	166.7%
Total Receipts	1,680.7	1,514.7	2,014.7	1,637.7	1,857.9	2,460.2	-	-	-	-	-	-	11,165.9	11,639.2	(473.3)	-4.1%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	0.2	384.7	1.6	2.6	2,189.9	-	-	-	-	-	-	2,579.0	2,985.8	(406.8)	-13.6%
Environment and Recreation	0.3	-	0.2	0.4	0.2	0.2	-	-	-	-	-	-	1.3	1.2	0.1	8.3%
General Government	15.6	16.3	8.6	20.7	22.4	7.8	-	-	-	-	-	-	91.4	107.1	(15.7)	-14.7%
Public Health:																
Medicaid	388.7	530.8	346.9	320.7	666.3	517.9	-	-	-	-	-	-	2,771.3	2,786.0	(14.7)	-0.5%
Other Public Health	79.7	167.3	356.3	298.5	84.7	239.4	-	-	-	-	-	-	1,225.9	1,155.7	70.2	6.1%
Public Safety	13.5	6.8	11.5	10.7	10.4	10.4	-	-	-	-	-	-	63.3	78.9	(15.6)	-19.8%
Public Welfare	0.3	1.1	0.2	0.1	1.0	(0.1)	-	-	-	-	-	-	2.6	2.6	-	0.0%
Support and Regulate Business	1.8	1.8	0.7	0.1	4.4	1.2	-	-	-	-	-	-	10.0	13.3	(3.3)	-24.8%
Transportation	244.6	478.7	420.4	371.6	471.3	413.5	-	-	-	-	-	-	2,400.1	2,365.1	35.0	1.5%
Total Local Assistance Grants	744.5	1,203.0	1,529.5	1,024.4	1,263.3	3,380.2	-	-	-	-	-	-	9,144.9	9,495.7	(350.8)	-3.7%
Departmental Operations:																
Personal Service	565.0	729.4	537.6	532.1	616.0	521.3	-	-	-	-	-	-	3,501.4	3,490.8	10.6	0.3%
Non-Personal Service	229.9	271.8	337.3	214.2	313.1	276.3	-	-	-	-	-	-	1,642.6	1,676.5	(33.9)	-2.0%
General State Charges	54.2	447.3	57.2	45.9	388.3	28.4	-	-	-	-	-	-	1,021.3	1,032.8	(11.5)	-1.1%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	2.4	(2.4)	-100.0%
Total Disbursements	1,593.6	2,651.5	2,461.6	1,816.6	2,580.7	4,206.2	-	-	-	-	-	-	15,310.2	15,698.2	(388.0)	-2.5%
Excess (Deficiency) of Receipts over Disbursements	87.1	(1,136.8)	(446.9)	(178.9)	(722.8)	(1,746.0)	-	-	-	-	-	-	(4,144.3)	(4,059.0)	(85.3)	-2.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,026.0	977.1	834.3	855.5	856.1	234.0	-	-	-	-	-	-	4,783.0	4,604.0	179.0	3.9%
Transfers to Other Funds	(40.5)	(108.9)	(40.7)	(18.1)	(40.4)	(57.2)	-	-	-	-	-	-	(305.8)	(131.0)	174.8	133.4%
Total Other Financing Sources (Uses)	985.5	868.2	793.6	837.4	815.7	176.8	-	-	-	-	-	-	4,477.2	4,473.0	4.2	0.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,072.6	(268.6)	346.7	658.5	92.9	(1,569.2)	-	-	-	-	-	-	332.9	414.0	(81.1)	-19.6%
Ending Fund Balance	\$ 4,804.9	\$ 4,536.3	\$ 4,883.0	\$ 5,541.5	\$ 5,634.4	\$ 4,065.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,065.2	\$ 3,961.4	\$ 103.8	2.6%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT G

	2017						2018						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 539.9	\$ (73.0)	\$ (640.1)	\$ 270.7	\$ 50.4	\$ (111.2)							\$ 539.9	\$ 59.7	\$ 480.2	804.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-							-	-	-	0.0%
Assessments:																
Business	3.7	35.7	0.2	1.8	10.3	0.1							51.8	49.7	2.1	4.2%
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%
Other	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	0.8	0.7	0.8	0.6	2.7	1.2							6.8	7.5	(0.7)	-9.3%
Interest Earnings	0.4	0.6	0.5	0.5	0.8	0.4							3.2	1.2	2.0	166.7%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Commissions	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	0.4	-	0.1	-							0.5	-	0.5	100.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-							-	-	-	0.0%
Rebates	8.3	10.0	8.2	9.8	8.9	8.8							54.0	50.9	3.1	6.1%
Restitution and Settlements	-	-	-	-	0.5	(0.5)							-	-	-	0.0%
Student Loans	-	-	-	-	-	-							-	-	-	0.0%
All Other	0.1	0.7	1.5	0.1	1.4	0.1							3.9	(4.6)	8.5	184.8%
Sales	-	-	0.2	-	-	-							0.2	0.2	-	0.0%
Tuition	-	-	-	-	-	-							-	-	-	0.0%
Total Miscellaneous Receipts	13.3	47.7	11.8	12.8	24.7	10.1	-	-	-	-	-	-	120.4	105.0	15.4	14.7%
Federal Receipts	3,337.1	4,582.4	5,398.6	3,633.0	5,066.9	5,002.3							27,020.3	24,623.4	2,396.9	9.7%
Total Receipts	3,350.4	4,630.1	5,410.4	3,645.8	5,091.6	5,012.4	-	-	-	-	-	-	27,140.7	24,728.4	2,412.3	9.8%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT G

													6 Months Ended September 30			
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	263.6	365.2	454.6	247.4	232.3	146.0							1,709.1	1,333.8	375.3	28.1%
Environment and Recreation	-	-	0.1	0.2	-	0.3							0.6	2.3	(1.7)	-73.9%
General Government	0.7	10.2	1.9	2.0	1.9	4.5							21.2	18.7	2.5	13.4%
Public Health:																
Medicaid	2,701.3	3,588.2	2,621.1	2,638.6	3,722.9	2,728.0							18,000.1	15,534.7	2,465.4	15.9%
Other Public Health	378.6	497.2	425.2	529.6	404.7	1,073.1							3,308.4	2,301.0	1,007.4	43.8%
Public Safety	119.8	51.3	108.0	61.2	159.1	40.3							539.7	675.0	(135.3)	-20.0%
Public Welfare	266.6	325.8	359.9	206.6	243.4	291.1							1,693.4	2,448.2	(754.8)	-30.8%
Support and Regulate Business	-	1.0	0.1	0.1	1.7	-							2.9	1.6	1.3	81.3%
Transportation	7.0	3.4	6.7	2.5	4.1	2.9							26.6	32.5	(5.9)	-18.2%
Total Local Assistance Grants	3,737.6	4,842.3	3,977.6	3,688.2	4,770.1	4,286.2	-	-	-	-	-	-	25,302.0	22,347.8	2,954.2	13.2%
Departmental Operations:																
Personal Service	50.2	78.9	51.8	46.5	46.9	49.1							323.4	311.9	11.5	3.7%
Non-Personal Service	47.3	120.2	84.2	64.2	132.7	186.9							635.5	666.4	(30.9)	-4.6%
General State Charges	7.0	46.3	11.2	10.1	58.1	21.2							153.9	131.3	22.6	17.2%
Capital Projects	-	-	-	-	-	-							-	-	-	0.0%
Total Disbursements	3,842.1	5,087.7	4,124.8	3,809.0	5,007.8	4,543.4	-	-	-	-	-	-	26,414.8	23,457.4	2,957.4	12.6%
Excess (Deficiency) of Receipts over Disbursements	(491.7)	(457.6)	1,285.6	(163.2)	83.8	469.0	-	-	-	-	-	-	725.9	1,271.0	(545.1)	-42.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	(121.2)	(109.5)	(374.8)	(57.1)	(245.4)	(210.3)							(1,118.3)	(926.3)	192.0	20.7%
Total Other Financing Sources (Uses)	(121.2)	(109.5)	(374.8)	(57.1)	(245.4)	(210.3)	-	-	-	-	-	-	(1,118.3)	(926.3)	192.0	20.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(612.9)	(567.1)	910.8	(220.3)	(161.6)	258.7	-	-	-	-	-	-	(392.4)	344.7	(737.1)	-213.8%
Ending Fund Balance	\$ (73.0)	\$ (640.1)	\$ 270.7	\$ 50.4	\$ (111.2)	\$ 147.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 147.5	\$ 404.4	\$ (256.9)	-63.5%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT H

	2017							2018					6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 144.4	\$ 426.7	\$ 571.0	\$ 418.1	\$ 780.8	\$ 1,002.7							\$ 144.4	\$ 159.7	\$ (15.3)	-9.6%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,250.5	525.3	1,162.7	662.5	763.7	1,179.0							5,543.7	5,874.8	(331.1)	-5.6%
Consumption/Use Taxes:																
Sales and Use	473.0	487.8	676.4	526.5	515.3	681.1							3,360.1	3,273.8	86.3	2.6%
Total Consumption/Use Taxes	473.0	487.8	676.4	526.5	515.3	681.1	-	-	-	-	-	-	3,360.1	3,273.8	86.3	2.6%
Other Taxes:																
Real Estate Transfer	94.8	94.7	89.6	84.4	97.6	98.4							559.5	536.8	22.7	4.2%
Total Other Taxes	94.8	94.7	89.6	84.4	97.6	98.4	-	-	-	-	-	-	559.5	536.8	22.7	4.2%
Total Taxes	1,818.3	1,107.8	1,928.7	1,273.4	1,376.6	1,958.5	-	-	-	-	-	-	9,463.3	9,685.4	(222.1)	-2.3%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-							-	-	-	0.0%
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	-	0.1	0.1	-	0.3	-							0.5	0.2	0.3	150.0%
Receipts from Municipalities	-	0.4	0.1	0.9	1.0	-							2.4	2.4	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	50.2	41.9	55.0	40.5	(31.5)	94.6							250.7	229.0	21.7	9.5%
Sales	-	-	-	-	0.1	-							0.1	0.1	-	0.0%
Total Miscellaneous Receipts	50.2	42.4	55.2	41.4	(30.1)	94.6	-	-	-	-	-	-	253.7	231.7	22.0	9.5%
Federal Receipts	-	-	-	1.6	35.1	-							36.7	36.7	-	0.0%
Total Receipts	1,868.5	1,150.2	1,983.9	1,316.4	1,381.6	2,053.1	-	-	-	-	-	-	9,753.7	9,953.8	(200.1)	-2.0%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.9	1.6	4.7	7.9	1.4	3.1							19.6	24.1	(4.5)	-18.7%
Debt Service, Including Payments On Financing Agreements	87.2	148.2	186.1	28.0	348.6	757.1							1,555.2	1,463.2	92.0	6.3%
Total Disbursements	88.1	149.8	190.8	35.9	350.0	760.2	-	-	-	-	-	-	1,574.8	1,487.3	87.5	5.9%
Excess (Deficiency) of Receipts over Disbursements	1,780.4	1,000.4	1,793.1	1,280.5	1,031.6	1,292.9	-	-	-	-	-	-	8,178.9	8,466.5	(287.6)	-3.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	389.7	113.0	275.6	221.2	206.3	328.6							1,534.4	1,371.8	162.6	11.9%
Transfers to Other Funds	(1,887.8)	(969.1)	(2,221.6)	(1,139.0)	(1,016.0)	(2,228.1)							(9,461.6)	(9,623.5)	(161.9)	-1.7%
Total Other Financing Sources (Uses)	(1,498.1)	(856.1)	(1,946.0)	(917.8)	(809.7)	(1,899.5)	-	-	-	-	-	-	(7,927.2)	(8,251.7)	324.5	3.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	282.3	144.3	(152.9)	362.7	221.9	(606.6)	-	-	-	-	-	-	251.7	214.8	36.9	17.2%
Ending Fund Balance	\$ 426.7	\$ 571.0	\$ 418.1	\$ 780.8	\$ 1,002.7	\$ 396.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 396.1	\$ 374.5	\$ 21.6	5.8%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2017-2018
 (Amounts in millions)

EXHIBIT I

													6 Months Ended September 30				
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,060.5)	\$ (1,047.0)	\$ (1,123.2)	\$ (906.2)	\$ (829.6)	\$ (914.0)							\$ -	\$ (1,060.5)	\$ (890.8)	\$ (169.7)	-19.1%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	8.0	5.5	7.7	8.4	8.1	8.0							-	45.7	42.6	3.1	7.3%
Motor Fuel	32.8	30.9	34.9	34.6	35.4	36.4							-	205.0	206.5	(1.5)	-0.7%
Highway Use	11.5	(32.7)	10.7	12.0	11.9	9.8							-	23.2	72.1	(48.9)	-67.8%
Total Consumption/Use Taxes	52.3	3.7	53.3	55.0	55.4	54.2	-	-	-	-	-	-	-	273.9	321.2	(47.3)	-14.7%
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-							-	-	-	-	0.0%
Corporation and Utilities	0.9	0.6	2.0	-	0.1	2.2							-	5.8	5.5	0.3	5.5%
Petroleum Business	45.9	45.7	53.2	55.2	50.2	55.2							-	305.4	316.3	(10.9)	-3.4%
Total Business Taxes	46.8	46.3	55.2	55.2	50.3	57.4	-	-	-	-	-	-	-	311.2	321.8	(10.6)	-3.3%
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							-	47.6	47.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	-	-	-	-	-	-	-	47.6	47.6	-	0.0%
Total Taxes	99.1	50.0	120.4	122.1	117.6	123.5	-	-	-	-	-	-	-	632.7	690.6	(57.9)	-8.4%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-							-	23.0	23.0	-	0.0%
Assessments:																	
Business	15.5	8.4	9.6	13.0	8.2	10.3							-	65.0	59.0	6.0	10.2%
Fees, Licenses and Permits:																	
Business/Professional	1.6	7.9	2.7	3.5	1.7	5.0							-	22.4	19.2	3.2	16.7%
Civil	-	-	-	-	-	-							-	-	-	-	0.0%
Motor Vehicle	69.3	65.7	63.4	70.6	58.7	61.8							-	389.5	372.4	17.1	4.6%
Recreational/Consumer	0.1	0.2	0.2	0.1	0.3	23.0							-	23.9	27.6	(3.7)	-13.4%
Fines, Penalties and Forfeitures	1.9	4.7	2.2	2.4	2.5	2.0							-	15.7	16.5	(0.8)	-4.8%
Interest Earnings	0.4	0.4	0.5	0.5	0.5	0.7							-	3.0	1.0	2.0	200.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	2.6	76.1	1,120.5	40.1	38.5							-	1,277.8	1,218.6	59.2	4.9%
Issuance Fees	-	-	-	-	-	-							-	-	-	-	0.0%
Non Bond Related	0.9	0.6	-	-	22.8	0.6							-	24.9	5.5	19.4	352.7%
Receipts from Municipalities	0.2	-	-	0.3	0.2	-							-	0.7	1.4	(0.7)	-50.0%
Rentals	0.8	0.7	0.6	0.6	1.3	1.9							-	5.9	4.4	1.5	34.1%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-							-	-	-	-	0.0%
Gifts, Grants and Donations	0.5	-	10.0	0.2	2.5	0.1							-	13.3	8.2	5.1	62.2%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	-	0.0%
Rebates	-	0.2	-	-	-	-							-	0.2	0.3	(0.1)	-33.3%
Restitution and Settlements	0.1	0.1	0.1	0.2	0.2	0.1							-	0.8	7.6	(6.8)	-89.5%
All Other	0.5	2.4	2.4	0.3	7.8	1.0							-	14.4	11.3	3.1	27.4%
Sales	0.8	0.2	0.2	-	8.9	2.4							-	12.5	1.5	11.0	733.3%
Total Miscellaneous Receipts	92.6	94.1	191.0	1,212.2	155.7	147.4	-	-	-	-	-	-	-	1,893.0	1,777.5	115.5	6.5%
Federal Receipts	136.1	112.9	282.3	139.4	160.4	147.1							-	978.2	1,255.2	(277.0)	-22.1%
Total Receipts	327.8	257.0	593.7	1,473.7	433.7	418.0	-	-	-	-	-	-	-	3,503.9	3,723.3	(219.4)	-5.9%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2017-2018
 (Amounts in millions)

EXHIBIT I

													6 Months Ended September 30				
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-	0.2	21.9	23.2	4.4	5.7	-	-	-	-	-	-	-	55.4	16.4	39.0	237.8%
Environment and Recreation	2.4	31.6	3.0	6.4	8.4	8.6	-	-	-	-	-	-	-	60.4	43.3	17.1	39.5%
General Government	6.4	10.1	81.2	34.0	34.3	128.5	-	-	-	-	-	-	-	294.5	159.0	135.5	85.2%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	5.6	23.0	13.9	16.7	14.3	20.8	-	-	-	-	-	-	-	94.3	46.6	47.7	102.4%
Public Safety	-	13.1	-	-	0.5	4.4	-	-	-	-	-	-	-	18.0	18.3	(0.3)	-1.6%
Public Welfare	48.1	6.4	14.6	6.2	15.8	28.8	-	-	-	-	-	-	-	119.9	72.5	47.4	65.4%
Support and Regulate Business	155.7	70.6	76.2	109.8	209.8	76.3	-	-	-	-	-	-	-	698.4	441.0	257.4	58.4%
Transportation	34.0	53.0	81.6	28.3	134.4	95.2	-	-	-	-	-	-	-	426.5	534.4	(107.9)	-20.2%
Total Local Assistance Grants	252.2	208.0	292.4	224.6	421.9	368.3	-	-	-	-	-	-	-	1,767.4	1,331.5	435.9	32.7%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	350.0	472.2	526.0	555.8	575.7	596.6	-	-	-	-	-	-	-	3,076.3	3,164.0	(87.7)	-2.8%
Total Disbursements	602.2	680.2	818.4	780.4	997.6	964.9	-	-	-	-	-	-	-	4,843.7	4,495.5	348.2	7.7%
Excess (Deficiency) of Receipts over Disbursements	(274.4)	(423.2)	(224.7)	693.3	(563.9)	(546.9)	-	-	-	-	-	-	-	(1,339.8)	(772.2)	(567.6)	-73.5%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	316.5	377.0	476.4	(584.1)	512.0	439.3	-	-	-	-	-	-	-	1,537.1	1,199.2	337.9	28.2%
Transfers to Other Funds	(28.6)	(30.0)	(34.7)	(32.6)	(32.5)	(259.8)	-	-	-	-	-	-	-	(418.2)	(415.0)	3.2	0.8%
Total Other Financing Sources (Uses)	287.9	347.0	441.7	(616.7)	479.5	179.5	-	-	-	-	-	-	-	1,118.9	784.2	334.7	42.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	13.5	(76.2)	217.0	76.6	(84.4)	(367.4)	-	-	-	-	-	-	-	(220.9)	12.0	(232.9)	-1,940.8%
Ending Fund Balance	\$ (1,047.0)	\$ (1,123.2)	\$ (906.2)	\$ (829.6)	\$ (914.0)	\$ (1,281.4)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,281.4)	\$ (878.8)	\$ (402.6)	-45.8%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2017-2018
 (Amounts in millions)

EXHIBIT I

	2017						2018						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (490.9)	\$ (501.4)	\$ (556.6)	\$ (469.7)	\$ (405.9)	\$ (471.3)							\$ (490.9)	\$ (331.5)	\$ (159.4)	-48.1%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	8.0	5.5	7.7	8.4	8.1	8.0							45.7	42.6	3.1	7.3%
Motor Fuel	32.8	30.9	34.9	34.6	35.4	36.4							205.0	206.5	(1.5)	-0.7%
Highway Use	11.5	(32.7)	10.7	12.0	11.9	9.8							23.2	72.1	(48.9)	-67.8%
Total Consumption/Use Taxes	52.3	3.7	53.3	55.0	55.4	54.2	-	-	-	-	-	-	273.9	321.2	(47.3)	-14.7%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%
Corporation and Utilities	0.9	0.6	2.0	-	0.1	2.2							5.8	5.5	0.3	5.5%
Petroleum Business	45.9	45.7	53.2	55.2	50.2	55.2							305.4	316.3	(10.9)	-3.4%
Total Business Taxes	46.8	46.3	55.2	55.2	50.3	57.4	-	-	-	-	-	-	311.2	321.8	(10.6)	-3.3%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	-	-	-	-	-	-	47.6	47.6	-	0.0%
Total Taxes	99.1	50.0	120.4	122.1	117.6	123.5	-	-	-	-	-	-	632.7	690.6	(57.9)	-8.4%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-							23.0	23.0	-	0.0%
Assessments:																
Business	15.5	8.4	9.6	13.0	8.2	10.3							65.0	59.0	6.0	10.2%
Fees, Licenses and Permits:																
Business/Professional	1.6	7.9	2.7	3.5	1.7	5.0							22.4	19.2	3.2	16.7%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	69.3	65.7	63.4	70.6	58.7	61.8							389.5	372.4	17.1	4.6%
Recreational/Consumer	0.1	0.2	0.2	0.1	0.3	23.0							23.9	27.6	(3.7)	-13.4%
Fines, Penalties and Forfeitures	1.9	4.7	2.2	2.4	2.5	2.0							15.7	16.5	(0.8)	-4.8%
Interest Earnings	0.4	0.4	0.5	0.5	0.5	0.7							3.0	1.0	2.0	200.0%
Receipts from Public Authorities:																
Bond Proceeds	-	2.6	76.1	1,120.5	40.1	38.5							1,277.8	1,218.6	59.2	4.9%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	0.9	0.6	-	-	22.8	0.6							24.9	5.5	19.4	352.7%
Receipts from Municipalities	0.2	-	-	0.3	0.2	-							0.7	1.4	(0.7)	-50.0%
Rentals	0.8	0.6	0.5	0.6	1.2	1.8							5.5	4.1	1.4	34.1%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	0.5	-	10.0	0.2	2.5	0.1							13.3	8.2	5.1	62.2%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Rebates	-	0.2	-	-	-	-							0.2	0.3	(0.1)	-33.3%
Restitution and Settlements	0.1	0.1	0.1	0.2	0.2	0.1							0.8	7.6	(6.8)	-89.5%
All Other	0.5	2.4	2.4	0.3	7.8	1.0							14.4	11.3	3.1	27.4%
Sales	0.1	0.1	0.2	-	8.9	2.4							11.7	0.5	11.2	2,240.0%
Total Miscellaneous Receipts	91.9	93.9	190.9	1,212.2	155.6	147.3	-	-	-	-	-	-	1,891.8	1,776.2	115.6	6.5%
Federal Receipts	-	-	-	-	-	2.5							2.5	2.5	-	0.0%
Total Receipts	191.0	143.9	311.3	1,334.3	273.2	273.3	-	-	-	-	-	-	2,527.0	2,469.3	57.7	2.3%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2017-2018
 (Amounts in millions)

EXHIBIT I

													6 Months Ended September 30			
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	0.2	21.9	23.2	4.4	5.7							55.4	16.4	39.0	237.8%
Environment and Recreation	2.4	31.6	3.0	6.4	8.4	8.6							60.4	43.3	17.1	39.5%
General Government	6.4	10.1	81.2	34.0	34.3	128.5							294.5	159.0	135.5	85.2%
Public Health:																
Medicaid	-	-	-	-	-	-							-	-	-	0.0%
Other Public Health	5.6	23.0	13.9	16.7	14.3	15.9							89.4	42.7	46.7	109.4%
Public Safety	-	13.1	-	-	0.5	1.1							14.7	16.3	(1.6)	-9.8%
Public Welfare	48.1	6.4	14.6	6.2	15.8	28.8							119.9	72.5	47.4	65.4%
Support and Regulate Business	155.7	70.6	76.2	109.8	209.8	76.3							698.4	441.0	257.4	58.4%
Transportation	3.3	9.7	50.7	3.2	73.1	70.5							210.5	203.4	7.1	3.5%
Total Local Assistance Grants	221.5	164.7	261.5	199.5	360.6	335.4	-	-	-	-	-	-	1,543.2	994.6	548.6	55.2%
Departmental Operations:																
Personal Service	-	-	-	-	-	-							-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	0.0%
Capital Projects	267.9	381.4	409.3	454.3	457.5	461.5							2,431.9	2,395.0	36.9	1.5%
Total Disbursements	489.4	546.1	670.8	653.8	818.1	796.9	-	-	-	-	-	-	3,975.1	3,389.6	585.5	17.3%
Excess (Deficiency) of Receipts over Disbursements	(298.4)	(402.2)	(359.5)	680.5	(544.9)	(523.6)	-	-	-	-	-	-	(1,448.1)	(920.3)	(527.8)	-57.4%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	316.5	377.0	476.4	(584.1)	512.0	439.3							1,537.1	1,229.6	307.5	25.0%
Transfers to Other Funds	(28.6)	(30.0)	(30.0)	(32.6)	(32.5)	(259.8)							(413.5)	(408.8)	4.7	1.1%
Total Other Financing Sources (Uses)	287.9	347.0	446.4	(616.7)	479.5	179.5	-	-	-	-	-	-	1,123.6	820.8	302.8	36.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(10.5)	(55.2)	86.9	63.8	(65.4)	(344.1)	-	-	-	-	-	-	(324.5)	(99.5)	(225.0)	-226.1%
Ending Fund Balance	\$ (501.4)	\$ (556.6)	\$ (469.7)	\$ (405.9)	\$ (471.3)	\$ (815.4)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (815.4)	\$ (431.0)	\$ (384.4)	-89.2%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2017-2018
 (Amounts in millions)

EXHIBIT I

	6 Months Ended September 30												2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (569.6)	\$ (545.6)	\$ (566.6)	\$ (436.5)	\$ (423.7)	\$ (442.7)							\$ (569.6)	\$ (559.3)	\$ (10.3)	-1.8%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-							-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	0.1	0.1	-	0.1	0.1							0.4	0.3	0.1	33.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-							-	-	-	0.0%
All Other	-	-	-	-	-	-							-	-	-	0.0%
Sales	0.7	0.1	-	-	-	-							0.8	1.0	(0.2)	-20.0%
Total Miscellaneous Receipts	0.7	0.2	0.1	-	0.1	0.1	-	-	-	-	-	-	1.2	1.3	(0.1)	-7.7%
Federal Receipts	136.1	112.9	282.3	139.4	160.4	144.6							975.7	1,252.7	(277.0)	-22.1%
Total Receipts	136.8	113.1	282.4	139.4	160.5	144.7	-	-	-	-	-	-	976.9	1,254.0	(277.1)	-22.1%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-							-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-							-	-	-	0.0%
General Government	-	-	-	-	-	-							-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-							-	-	-	0.0%
Other Public Health	-	-	-	-	-	4.9							4.9	3.9	1.0	25.6%
Public Safety	-	-	-	-	-	3.3							3.3	2.0	1.3	65.0%
Public Welfare	-	-	-	-	-	-							-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-							-	-	-	0.0%
Transportation	30.7	43.3	30.9	25.1	61.3	24.7							216.0	331.0	(115.0)	-34.7%
Total Local Assistance Grants	30.7	43.3	30.9	25.1	61.3	32.9	-	-	-	-	-	-	224.2	336.9	(112.7)	-33.5%
Departmental Operations:																
Personal Service	-	-	-	-	-	-							-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	0.0%
Capital Projects	82.1	90.8	116.7	101.5	118.2	135.1							644.4	769.0	(124.6)	-16.2%
Total Disbursements	112.8	134.1	147.6	126.6	179.5	168.0	-	-	-	-	-	-	868.6	1,105.9	(237.3)	-21.5%
Excess (Deficiency) of Receipts over Disbursements	24.0	(21.0)	134.8	12.8	(19.0)	(23.3)	-	-	-	-	-	-	108.3	148.1	(39.8)	-26.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	(4.7)	-	-	-							(4.7)	(36.6)	(31.9)	-87.2%
Total Other Financing Sources (Uses)	-	-	(4.7)	-	-	-	-	-	-	-	-	-	(4.7)	(36.6)	(31.9)	-87.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	24.0	(21.0)	130.1	12.8	(19.0)	(23.3)	-	-	-	-	-	-	103.6	111.5	(7.9)	-7.1%
Ending Fund Balance	\$ (545.6)	\$ (566.6)	\$ (436.5)	\$ (423.7)	\$ (442.7)	\$ (466.0)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (466.0)	\$ (447.8)	\$ (18.2)	-4.1%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT J

	2017						2018						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 23.6	\$ 25.3	\$ 24.2	\$ 25.1	\$ 25.3	\$ 24.9							\$ 23.6	\$ 66.1	\$ (42.5)	-64.3%
RECEIPTS:																
Miscellaneous Receipts	4.5	4.8	4.7	4.1	7.1	5.9							31.1	32.7	(1.6)	-4.9%
Federal Receipts	1.2	1.3	1.1	2.1	1.3	1.1							8.1	8.6	(0.5)	-5.8%
Unemployment Taxes	180.2	180.1	156.5	188.1	186.0	150.0							1,040.9	1,002.4	38.5	3.8%
Total Receipts	185.9	186.2	162.3	194.3	194.4	157.0	-	-	-	-	-	-	1,080.1	1,043.7	36.4	3.5%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.3	0.7	0.3	0.4	0.4	0.6							2.7	1.7	1.0	58.8%
Non-Personal Service	2.4	4.5	3.8	3.7	6.6	4.9							25.9	25.7	0.2	0.8%
General State Charges	-	0.3	0.2	-	0.1	-							0.6	0.4	0.2	50.0%
Unemployment Benefits	181.5	181.8	157.1	190.0	187.7	151.0							1,049.1	1,054.9	(5.8)	-0.5%
Total Disbursements	184.2	187.3	161.4	194.1	194.8	156.5	-	-	-	-	-	-	1,078.3	1,082.7	(4.4)	-0.4%
Excess (Deficiency) of Receipts over Disbursements	1.7	(1.1)	0.9	0.2	(0.4)	0.5	-	-	-	-	-	-	1.8	(39.0)	40.8	104.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.7	(1.1)	0.9	0.2	(0.4)	0.5	-	-	-	-	-	-	1.8	(39.0)	40.8	104.6%
Ending Fund Balance	\$ 25.3	\$ 24.2	\$ 25.1	\$ 25.3	\$ 24.9	\$ 25.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25.4	\$ 27.1	\$ (1.7)	-6.3%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT K

													6 Months Ended September 30			
	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (200.4)	\$ (261.3)	\$ (254.6)	\$ (247.9)	\$ (250.8)	\$ (262.8)							\$ (200.4)	\$ (127.2)	\$ (73.2)	-57.5%
RECEIPTS:																
Miscellaneous Receipts	28.1	51.7	59.0	31.8	49.1	38.4							258.1	188.2	69.9	37.1%
Total Receipts	28.1	51.7	59.0	31.8	49.1	38.4	-	-	-	-	-	-	258.1	188.2	69.9	37.1%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.6	11.9	9.0	7.4	8.1	7.6							51.6	48.3	3.3	6.8%
Non-Personal Service	83.2	27.5	39.0	27.5	46.2	34.6							258.0	264.1	(6.1)	-2.3%
General State Charges	-	7.8	5.6	2.2	9.3	4.9							29.8	21.2	8.6	40.6%
Total Disbursements	90.8	47.2	53.6	37.1	63.6	47.1	-	-	-	-	-	-	339.4	333.6	5.8	1.7%
Excess (Deficiency) of Receipts over Disbursements	(62.7)	4.5	5.4	(5.3)	(14.5)	(8.7)	-	-	-	-	-	-	(81.3)	(145.4)	64.1	44.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.8	2.2	1.3	2.4	2.7	5.0							15.4	21.7	(6.3)	-29.0%
Transfers to Other Funds	-	-	-	-	(0.2)	(7.1)							(7.3)	(7.7)	0.4	5.2%
Total Other Financing Sources (Uses)	1.8	2.2	1.3	2.4	2.5	(2.1)	-	-	-	-	-	-	8.1	14.0	(5.9)	-42.1%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(60.9)	6.7	6.7	(2.9)	(12.0)	(10.8)	-	-	-	-	-	-	(73.2)	(131.4)	58.2	44.3%
Ending Fund Balance	\$ (261.3)	\$ (254.6)	\$ (247.9)	\$ (250.8)	\$ (262.8)	\$ (273.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (273.6)	\$ (258.6)	\$ (15.0)	-5.8%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT L

													6 Months Ended September 30			
	2017						2018						2017	2016	\$ Increase/ (Decrease)	% Increase Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (1.9)	\$ (0.3)	\$ (2.2)	\$ (7.9)	\$ (19.6)	\$ (20.5)							\$ (1.9)	\$ 0.1	\$ (2.0)	-2,000.0%
RECEIPTS:																
Miscellaneous Receipts	5.6	7.7	5.0	4.9	5.0	5.0							33.2	33.3	(0.1)	-0.3%
Total Receipts	5.6	7.7	5.0	4.9	5.0	5.0	-	-	-	-	-	-	33.2	33.3	(0.1)	-0.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	3.6	7.7	5.1	4.9	5.0	5.0							31.3	30.7	0.6	2.0%
Non-Personal Service	0.4	1.3	1.3	1.7	0.9	0.9							6.5	6.1	0.4	6.6%
General State Charges	-	0.6	4.3	10.0	-	-							14.9	11.5	3.4	29.6%
Total Disbursements	4.0	9.6	10.7	16.6	5.9	5.9	-	-	-	-	-	-	52.7	48.3	4.4	9.1%
Excess (Deficiency) of Receipts over Disbursements	1.6	(1.9)	(5.7)	(11.7)	(0.9)	(0.9)	-	-	-	-	-	-	(19.5)	(15.0)	(4.5)	-30.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.6	(1.9)	(5.7)	(11.7)	(0.9)	(0.9)	-	-	-	-	-	-	(19.5)	(15.0)	(4.5)	-30.0%
Ending Fund Balance	\$ (0.3)	\$ (2.2)	\$ (7.9)	\$ (19.6)	\$ (20.5)	\$ (21.4)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (21.4)	\$ (14.9)	\$ (6.5)	-43.6%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2017-2018
(Amounts in millions)

EXHIBIT M

	2017						2018						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 10.7	\$ 11.0	\$ 11.0	\$ 11.1	\$ 11.2	\$ 11.3							\$ 10.7	\$ 11.6	\$ (0.9)	-7.8%
RECEIPTS:																
Miscellaneous Receipts	0.3	0.1	0.1	0.1	0.1	0.1							0.8	(1.2)	2.0	166.7%
Total Receipts	0.3	0.1	0.1	0.1	0.1	0.1	-	-	-	-	-	-	0.8	(1.2)	2.0	166.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	0.1	-	-	-	-							0.1	0.1	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	0.1							0.1	0.1	-	0.0%
Total Disbursements	-	0.1	-	-	-	0.1	-	-	-	-	-	-	0.2	0.2	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	0.3	-	0.1	0.1	0.1	-	-	-	-	-	-	-	0.6	(1.4)	2.0	142.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.3	-	0.1	0.1	0.1	-	-	-	-	-	-	-	0.6	(1.4)	2.0	142.9%
Ending Fund Balance	\$ 11.0	\$ 11.0	\$ 11.1	\$ 11.2	\$ 11.3	\$ 11.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11.3	\$ 10.2	\$ 1.1	10.8%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2017-2018
FOR THE MONTH OF SEPTEMBER 2017
(Amounts in millions)

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2017	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2017
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.005	\$ 3,717.904	\$ 3,717.899	\$ -
10050-10099-State Operations Account	3,830.833	5,742.914	1,123.227	(1,978.182)	6,472.338
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	51.778	-	0.936	-	50.842
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	46.999	46.999	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	3,882.611	5,789.918	4,889.066	1,739.717	6,523.180
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.313	0.023	0.004	-	2.332
20100-20299-Combined Expendable Trust	61.682	0.736	0.660	-	61.758
20300-20349-New York Interest on Lawyer Account	41.643	1.983	3.599	-	40.027
20350-20399-NYS Archives Partnership Trust	0.200	-	0.076	-	0.124
20400-20449-Child Performer's Protection	0.295	0.013	0.030	(0.002)	0.276
20450-20499-Tuition Reimbursement	6.556	0.830	0.210	(0.072)	7.104
20500-20549-New York State Local Government Records Management Improvement	4.409	0.874	1.076	-	4.207
20550-20599-School Tax Relief	0.149	-	(0.005)	-	0.154
20600-20649-Charter Schools Stimulus	0.856	0.003	0.010	-	0.849
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	139.926	477.004	482.724	(10.200)	124.006
20850-20899-Dedicated Mass Transportation Trust	56.684	54.908	45.000	15.665	82.257
20900-20949-State Lottery	1,284.965	260.044	2,195.085	-	(650.076)
20950-20999-Combined Student Loan	13.531	1.866	5.981	-	9.416
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.818)	-	0.032	-	(3.850)
21050-21149-Encon Special Revenue	(18.595)	9.357	5.293	-	(14.531)
21150-21199-Conservation	72.596	8.476	2.386	-	78.686
21200-21249-Environmental Protection and Oil Spill Compensation	37.463	5.690	0.995	(0.858)	41.300
21250-21299-Training and Education Program on OSHA	5.686	17.008	1.776	(0.308)	20.610
21300-21349-Lawyers' Fund for Client Protection	7.672	0.471	0.056	-	8.087
21350-21399-Equipment Loan for the Disabled	0.534	0.003	0.006	-	0.531
21400-21449-Mass Transportation Operating Assistance	(42.255)	277.970	147.742	4.053	92.026
21450-21499-Clean Air	(24.673)	3.496	1.877	-	(23.054)
21500-21549-New York State Infrastructure Trust	0.068	-	-	-	0.068
21550-21599-Legislative Computer Services	10.704	0.129	0.094	-	10.739
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.459	-	-	-	0.459
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.902	0.001	-	-	0.903
21900-22499-Miscellaneous State Special Revenue	1,642.014	411.905	596.598	187.636	1,644.957

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2017-2018
FOR THE MONTH OF SEPTEMBER 2017
(Amounts in millions)**

SCHEDULE 1

	BALANCE			OTHER FINANCING	BALANCE
	SEPTEMBER 1, 2017	RECEIPTS	DISBURSEMENTS	SOURCES (USES)	SEPTEMBER 30, 2017
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22500-22549-Court Facilities Incentive Aid	23.743	0.033	7.751	-	16.025
22550-22599-Employment Training	0.050	-	-	-	0.050
22650-22699-State University Income	1,393.668	753.015	469.981	(2.474)	1,674.228
22700-22749-Chemical Dependence Service	41.479	1.046	0.116	-	42.409
22750-22799-Lake George Park Trust	0.172	0.200	0.090	-	0.282
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	64.492	14.979	0.157	-	79.314
22850-22899-New York Great Lakes Protection	0.602	0.001	0.006	-	0.597
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.298	0.013	(0.100)	-	9.411
23000-23049-NYS/DOT Highway Safety Program	(9.933)	0.322	0.272	-	(9.883)
23050-23099-Vocational Rehabilitation	0.109	-	0.001	-	0.108
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(37.534)	-	2.552	-	(40.086)
23200-23249-Judiciary Data Processing Offset	15.824	3.090	1.824	-	17.090
23250-23449-IFR/CUTRA	163.990	5.688	8.861	-	160.817
23500-23549-USOC Lake Placid Training	0.163	0.001	-	-	0.164
23550-23599-Indigent Legal Services	240.336	10.126	1.851	-	248.611
23600-23649-Unemployment Insurance Interest and Penalty	31.389	1.032	0.181	(0.014)	32.226
23650-23699-MTA Financial Assistance Fund	184.986	121.252	221.000	2.179	87.417
23700-23749-New York State Commercial Gaming Fund	22.774	8.694	0.162	-	31.306
23750-23799-Medical Marihuana Trust Fund	3.978	0.168	0.202	4.540	8.484
23800-23899-Dedicated Miscellaneous State Special Revenue	3.299	0.158	0.018	(0.010)	3.429
24950-24999-Interactive Fantasy Sports	4.740	0.241	-	-	4.981
40350-40399-State University Dormitory Income	180.166	7.381	-	(23.382)	164.165
TOTAL SPECIAL REVENUE FUNDS-STATE	5,634.430	2,460.230	4,206.230	176.753	4,065.183
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	28.737	157.815	122.334	(47.686)	16.532
25100-25199-Federal Health and Human Services	67.308	4,572.642	4,111.973	(157.704)	370.273
25200-25249-Federal Education	(7.853)	187.591	212.119	(2.159)	(34.540)
25300-25899-Federal Miscellaneous Operating Grants	(332.504)	58.701	64.972	-	(338.775)
25900-25949-Unemployment Insurance Administration	135.465	19.824	17.060	(2.674)	135.555
25950-25999-Unemployment Insurance Occupational Training	(0.477)	0.534	0.605	-	(0.548)
26000-26049-Federal Employment and Training Grants	(1.907)	15.371	14.274	(0.165)	(0.975)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(111.231)	5,012.478	4,543.337	(210.388)	147.522
TOTAL SPECIAL REVENUE FUNDS	5,523.199	7,472.708	8,749.567	(33.635)	4,212.705
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	12.324	79.485	0.131	72.196	163.874
40150-40199-General Debt Service	915.215	1,519.518	726.942	(1,521.356)	186.435
40250-40299-State Housing Debt Service	-	0.006	2.296	2.290	-
40300-40349-Department of Health Income	33.079	15.130	-	(14.819)	33.390
40400-40449-Clean Water/Clean Air	8.714	98.329	-	(96.814)	10.229
40450-40499-Local Government Assistance Tax	33.401	340.598	30.879	(340.940)	2.180
TOTAL DEBT SERVICE FUNDS	1,002.733	2,053.066	760.248	(1,899.443)	396.108

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2017-2018
FOR THE MONTH OF SEPTEMBER 2017
(Amounts in millions)**

SCHEDULE 1

	<u>BALANCE</u> <u>SEPTEMBER 1, 2017</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>SEPTEMBER 30, 2017</u>
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	34.307	304.925	270.618	-
30050-30099-Dedicated Highway and Bridge Trust	(63.566)	189.805	185.617	(242.624)	(302.002)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	144.593	0.144	3.289	2.960	144.408
30300-30349-New York State Canal System Development	6.801	0.544	-	-	7.345
30350-30399-Parks Infrastructure	(44.741)	22.561	11.023	-	(33.203)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	165.854	14.212	14.711	-	165.355
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	21.606	-	-	-	21.606
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.552	-	-	-	5.552
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(442.726)	144.767	168.055	-	(466.014)
31450-31499-Forest Preserve Expansion	0.911	0.001	-	-	0.912
31500-31549-Hazardous Waste Remedial	(84.088)	3.766	8.226	(0.717)	(89.265)
31650-31699-Suburban Transportation	0.512	0.001	-	-	0.513
31700-31749-Division for Youth Facilities Improvement	(22.925)	-	1.905	-	(24.830)
31800-31849-Housing Assistance	(13.631)	-	-	-	(13.631)
31850-31899-Housing Program	(158.522)	-	10.084	-	(168.606)
31900-31949-Natural Resource Damage	18.446	0.019	0.041	-	18.424
31950-31999-DOT Engineering Services	(12.533)	-	-	-	(12.533)
32200-32249-Miscellaneous Capital Projects	40.326	1.099	4.235	0.859	38.049
32250-32299-CUNY Capital Projects	(0.025)	-	-	-	(0.025)
32300-32349-Mental Hygiene Facilities Capital Improvement	(524.347)	4.188	22.991	-	(543.150)
32350-32399-Correction Facilities Capital Improvement	(285.852)	-	33.125	-	(318.977)
32400-32999-State University Capital Projects	206.369	2.592	10.998	(1.568)	196.395
33000-33049-NYS Storm Recovery Fund	(65.002)	-	(0.181)	-	(64.821)
33050-33099-Dedicated Infrastructure Investment Fund	178.927	-	185.885	150.000	143.042
TOTAL CAPITAL PROJECTS FUNDS	(913.975)	418.006	964.929	179.528	(1,281.370)
TOTAL GOVERNMENTAL FUNDS	\$ 9,494.568	\$ 15,733.698	\$ 15,363.810	\$ (13.833)	\$ 9,850.623

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2017-2018
 FOR THE MONTH OF SEPTEMBER 2017
 (Amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2017</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE SEPTEMBER 30, 2017</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.140	\$ 0.004	\$ 0.013	\$ -	\$ 0.131
50050-50099-State Exposition Special	2.995	2.808	1.650	-	4.153
50100-50299-Correctional Services Commissary	3.147	2.786	3.417	-	2.516
50300-50399-Agencies Enterprise	2.762	0.154	0.251	-	2.665
50400-50449-Sheltered Workshop	2.177	0.058	0.034	-	2.201
50450-50499-Patient Workshop	1.708	0.019	0.053	-	1.674
50500-50599-Mental Hygiene Community Stores	4.185	0.116	0.116	-	4.185
50650-50699-Unemployment Insurance Benefit	7.803	151.115	151.002	-	7.916
TOTAL ENTERPRISE FUNDS	24.917	157.060	156.536	-	25.441
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(59.977)	23.522	21.266	(7.908)	(65.629)
55050-55099-Agency Internal Service	(141.829)	9.730	13.123	5.773	(139.449)
55100-55149-Mental Hygiene Revolving	0.083	0.097	0.037	-	0.143
55150-55199-Youth Vocational Education	0.062	0.002	-	-	0.064
55200-55249-Joint Labor and Management Administration	1.178	0.001	0.029	-	1.150
55250-55299-Audit and Control Revolving	(25.300)	-	2.512	-	(27.812)
55300-55349-Health Insurance Revolving	(13.048)	1.474	2.448	(0.003)	(14.025)
55350-55399-Correctional Industries Revolving	(23.951)	3.529	7.597	-	(28.019)
TOTAL INTERNAL SERVICE FUNDS	(262.782)	38.355	47.012	(2.138)	(273.577)
TOTAL PROPRIETARY FUNDS	\$ (237.865)	\$ 195.415	\$ 203.548	\$ (2.138)	\$ (248.136)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2017-2018
 FOR THE MONTH OF SEPTEMBER 2017
 (Amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2017</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE SEPTEMBER 30, 2017</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (20.502)	\$ 5.002	\$ 5.855	\$ -	\$ (21.355)
TOTAL PENSION TRUST FUNDS	(20.502)	5.002	5.855	-	(21.355)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.645	0.003	0.025	-	2.623
66050-66099-Milk Producers' Security	8.671	0.093	0.039	-	8.725
TOTAL PRIVATE PURPOSE TRUST FUNDS	11.316	0.096	0.064	-	11.348
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	21.725	0.178	-	-	21.903
60150-60199-Child Performer's Holding	0.481	0.006	-	-	0.487
60200-60249-Employees Health Insurance	758.200	735.905	855.336	-	638.769
60250-60299-Social Security Contribution	15.146	91.143	91.129	-	15.160
60300-60399-Employee Payroll Withholding	52.519	363.288	376.653	-	39.154
60400-60449-Employees Dental Insurance	17.267	8.129	5.554	-	19.842
60450-60499-Management Confidential Group Insurance	0.456	1.017	0.803	-	0.670
60500-60549-Lottery Prize	585.464	58.629	108.321	-	535.772
60550-60599-Health Insurance Reserve Receipts	0.137	-	-	-	0.137
60600-60799-Miscellaneous New York State Agency	1,276.050	168.581	200.697	-	1,243.934
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	24.167	9.908	9.939	-	24.136
60850-60899-CUNY Senior College Operating	24.614	292.006	250.031	-	66.589
60900-60949-Medicaid Management Information System (MMIS) Escrow	459.620	6,077.597	6,073.969	15.971	479.219
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	462.367	(194.535)	-	-	267.832
61100-61999-State University Federal Direct Lending Program	(40.735)	257.270	224.233	-	(7.698)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,657.478	7,869.122	8,196.665	15.971	3,345.906
TOTAL FIDUCIARY FUNDS	\$ 3,648.292	\$ 7,874.220	\$ 8,202.584	\$ 15.971	\$ 3,335.899

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2017-2018
FOR THE MONTH OF SEPTEMBER 2017
(Amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2017</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE SEPTEMBER 30, 2017</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.741	\$ 0.003	\$ -	\$ 2.744
70050-70149-Sole Custody Investment (*)	1,870.790	8,695.988	8,177.379	2,389.399
70200-Comptroller's Refund	-	251.403	251.403	-
TOTAL ACCOUNTS	\$ 1,873.531	\$ 8,947.394	\$ 8,428.782	\$ 2,392.143

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2017, \$9,066,450.29 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2017-2018

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2017	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPTEMBER 30, 2017	INTEREST DISBURSED		
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2017	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2017		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2017	
GENERAL OBLIGATION BONDED DEBT:									
Accelerated Capacity and Transportation Improvements	\$ 62,739,963.00	\$ -	\$ -	\$ -	\$ 25,836,799.53	\$ 36,903,163.47	\$ 107,834.23	\$ 1,511,787.38	
Clean Water/Clean Air:									
Air Quality	1,815,678.01	-	-	-	147,455.24	1,668,222.77	8,096.71	37,848.32	
Safe Drinking Water	-	-	-	-	-	-	-	-	
Clean Water	374,031,345.51	-	-	-	9,587,060.42	364,444,285.09	2,793,623.31	7,357,177.10	
Solid Waste	31,471,106.94	-	-	-	1,629,409.54	29,841,697.40	114,978.65	464,701.35	
Environmental Restoration	67,095,926.60	-	-	-	150,000.00	66,945,926.60	716,289.35	1,382,933.14	
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	1,847,350.21	-	-	145,000.00	229,317.70	1,618,032.51	4,875.00	39,698.80	
Environmental Quality (1972):									
Air	332,071.89	-	-	-	160,000.00	172,071.89	-	8,224.81	
Land and Wetlands	3,713,411.44	-	-	-	146,339.85	3,567,071.59	46,249.99	89,958.23	
Water	21,539,219.61	-	-	2,515,000.00	5,698,859.88	15,840,359.73	196,359.02	418,829.01	
Environmental Quality (1986):									
Land Acquisition/Development/Restoration/Forests	11,764,623.38	-	-	-	1,733,712.34	10,030,911.04	49,686.80	224,405.57	
Solid Waste Management	141,551,354.46	-	-	-	6,337,481.63	135,213,872.83	1,008,225.14	3,081,341.44	
Housing:									
Low Income	13,240,000.00	-	-	-	1,820,000.00	11,420,000.00	-	207,500.00	
Middle Income	10,520,000.00	-	-	2,110,000.00	2,110,000.00	8,410,000.00	186,238.75	186,238.75	
Park and Recreation Land Acquisition	3,238.00	-	-	-	-	3,238.00	64.76	64.76	
Pure Waters	25,549,130.92	-	-	1,285,000.00	4,014,024.54	21,535,106.38	184,107.58	533,893.70	
Rail Preservation Development	-	-	-	-	-	-	-	-	
Rebuild and Renew New York Transportation:									
Highway Facilities	746,780,633.12	-	-	-	-	746,780,633.12	9,325,775.84	16,755,538.01	
Canals and Waterways	12,439,751.76	-	-	-	-	12,439,751.76	193,682.99	295,577.65	
Aviation	45,968,154.45	-	-	-	-	45,968,154.45	494,408.51	991,435.12	
Rail and Port	76,394,073.31	-	-	-	-	76,394,073.31	500,097.97	1,742,970.83	
Mass Transit - Dept. of Transportation	4,454,664.26	-	-	-	-	4,454,664.26	9,251.40	102,567.66	
Mass Transit - Metropolitan Transportation Authority	799,411,214.87	-	-	-	-	799,411,214.87	6,732,582.19	17,671,557.87	
Rebuild New York-Transportation Infrastructure Renewal:									
Highways, Parkways, and Bridges	1,089,490.04	-	-	-	-	1,089,490.04	22,107.96	26,396.32	
Rapid Transit, Rail and Aviation	4,471,947.27	-	-	445,000.00	781,006.41	3,690,940.86	15,450.00	99,405.43	
Smart Schools Bond Act	-	-	-	-	-	-	-	-	
Transportation Capital Facilities:									
Aviation	4,390,650.41	-	-	405,000.00	628,532.92	3,762,117.49	25,050.00	90,991.71	
Mass Transportation	-	-	-	-	-	-	-	-	
Total General Obligation Bonded Debt	\$ 2,462,614,999.46	\$ -	\$ -	\$ 6,905,000.00	\$ 61,010,000.00	\$ 2,401,604,999.46	\$ 22,735,036.15	\$ 53,321,042.96	

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2017

SCHEDULE 5a

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								6 MONTHS ENDED SEPTEMBER 30		
								2017	2016	
Special Contractual Financing Obligations:										
Payments to Public Authorities:										
City University Construction	\$ -	\$ 106,539,616	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 106,539,616	\$ 123,767,943	\$ (17,228,327)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	73,976,300	-	-	-	-	-	73,976,300	-	73,976,300
DASNY Revenue Bond	-	-	-	-	-	397,783,572	122,929,197	520,712,769	469,999,923	50,712,846
Department of Health Facilities	-	-	13,470,076	-	-	-	-	13,470,076	14,206,759	(736,683)
Mental Health Facilities	-	-	-	-	64,015,090	-	-	64,015,090	69,156,250	(5,141,160)
Secured Hospital Program	-	-	-	-	-	-	-	-	1,616,684	(1,616,684)
SUNY Community Colleges	-	2,904,962	-	-	-	-	-	2,904,962	-	2,904,962
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	2,303,044	-	2,303,044	7,949,487	(5,646,443)
Housing Finance Agency	-	26,212,325	-	-	-	7,126,211	-	33,338,536	29,301,510	4,037,026
Local Government Assistance Corporation	-	-	-	49,833,372	-	-	-	49,833,372	38,352,901	11,480,471
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	42,043,163	-	-	-	-	-	42,043,163	42,043,433	(270)
Thruway Authority:										
Dedicated Highway and Bridge	-	157,255,955	-	-	-	-	-	157,255,955	139,211,685	18,044,270
Local Highway and Bridge	-	53,845,175	-	-	-	-	-	53,845,175	64,908,450	(11,063,275)
Transportation	-	-	-	-	-	33,752,675	-	33,752,675	46,208,075	(12,455,400)
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	1,695,175	-	-	-	-	-	1,695,175	-	1,695,175
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	1,578,900	-	-	-	-	-	1,578,900	-	1,578,900
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	283,606,001	-	283,606,001	271,586,217	12,019,784
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 466,051,571	\$ 13,470,076	\$ 49,833,372	\$ 64,015,090	\$ 724,571,503	\$ 122,929,197	\$ 1,440,870,809	\$ 1,318,309,317	\$ 122,561,492

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2017
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)**

SCHEDULE 6

	<u>SEPTEMBER 2017</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE SEPTEMBER 2016</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 13,701.1	\$ 10,414.8	\$ 12,894.9
AVERAGE YIELD (**)	1.211%	1.113%	0.490%
TOTAL INVESTMENT EARNINGS	\$ 12.196	\$ 58.987	\$ 29.925

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>SEPTEMBER 2017 PAR AMOUNT</u>	<u>SEPTEMBER 2016 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ -	\$ -
REPURCHASE AGREEMENTS	20.9	33.2
COMMERCIAL PAPER	10,390.8	12,165.4
CERTIFICATES OF DEPOSIT/SAVINGS	3,164.7	1,717.4
0% COMPENSATING BALANCE CDs	1,780.0	5,220.0
	<u>\$ 15,356.4</u>	<u>\$ 19,136.0</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2017-2018

	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2017
OPENING CASH BALANCE	\$ 11,905,507	\$ 111,014,558	\$ 57,395,772	\$ 157,404,396	\$ 190,349,200	\$ 139,926,319							\$ 11,905,507
RECEIPTS:													
Cigarette Tax	64,087,125	76,504,194	74,773,288	71,476,601	83,555,794	70,127,435							440,524,437
State Share of NYC Cigarette Tax	2,421,000	2,432,000	3,097,000	2,871,000	3,288,000	2,592,000							16,701,000
STIP Interest	141,791	156,153	241,049	269,270	279,692	421,154							1,509,109
Public Asset Transfers	-	-	-	-	-	-							-
Assessments	389,230,236	389,467,699	384,757,532	462,750,154	431,211,662	402,896,272							2,460,313,555
Fees	684,635	866,000	2,382,000	415,000	111,499	890,001							5,349,135
Rebates	4,162,737	1,621,391	7,601,278	7,136,552	5,367,985	160,687							26,050,630
Restitution and Settlements	-	16,777	-	(2,150)	-	-							14,627
Miscellaneous	-	55	-	30	-	(83,668)							(83,583)
Total Receipts	460,727,524	471,064,269	472,852,147	544,916,457	523,814,632	477,003,881	-	-	-	-	-	-	2,950,378,910
DISBURSEMENTS:													
Grants	360,903,249	505,202,281	369,248,231	510,303,626	564,835,841	481,412,502							2,791,905,730
Interest - Late Payments	359	534	15	40	458	4							1,410
Personal Service	(203,232)	946,322	925,549	1,009,655	276,616	541,042							3,495,952
Non-Personal Service	625,977	1,992,212	1,230,145	45,004	3,891,340	461,636							8,246,314
Employee Benefits/Indirect Costs	-	756,263	786,414	98,408	529,181	308,570							2,478,836
Total Disbursements	361,326,353	508,897,612	372,190,354	511,456,733	569,533,436	482,723,754	-	-	-	-	-	-	2,806,128,242
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-							-
Transfers to General Fund	-	-	21,041	-	-	-							21,041
Transfers to Revenue Bond Tax Fund	-	-	-	-	3,582,200	5,830,080							9,412,280
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	140,000	-	-	-							140,000
Empire State Stem Cell Trust Account	-	15,148,000	-	-	-	3,750,000							18,898,000
Transfers to SUNY Income Fund	292,120	637,443	492,128	514,920	1,121,877	620,487							3,678,975
Total Operating Transfers	292,120	15,785,443	653,169	514,920	4,704,077	10,200,567	-	-	-	-	-	-	32,150,296
Total Disbursements and Transfers	361,618,473	524,683,055	372,843,523	511,971,653	574,237,513	492,924,321	-	-	-	-	-	-	2,838,278,538
CLOSING CASH BALANCE	\$ 111,014,558	\$ 57,395,772	\$ 157,404,396	\$ 190,349,200	\$ 139,926,319	\$ 124,005,879	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 124,005,879

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2017-18

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	September	September 30, 2017 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,053,000					
ADEPHI UNIVRST CANC SPRT PRG		\$ -	\$ -	\$ -	\$ -	\$ -
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-
CENTER FOR COMMUNITY HLTH		810,378	146,186	148,994	430,373	1,535,931
EVIDENCE BASED CANCER SVC		-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-	-
INDIAN HEALTH PROGRAM		-	-	-	-	-
LEAD POISONING PREVENTION		-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-
RAPE CRISIS		-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	982,287,000					
CHILD HEALTH INSURANCE		59,307,785	32,971,659	7,813,399	19,815,584	119,908,427
COMMUNITY SUPPORT PROGRAM	120,000					
COMMUNITY SUPPORT		-	15,000	15,000	-	30,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	290,310,000					
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		26,479,923	11,989,868	14,830,175	12,827,074	66,127,040
HEALTH CARE REFORM ACT PROGRAM	1,976,482,814					
AIDS DRUG ASSISTANCE		-	-	20,000,000	-	20,000,000
AMBULATORY CARE TRAINING		2,555	-	-	212,100	214,655
AREA HEALTH EDUCATION CENTER		631,028	-	-	989,373	1,620,401
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		-	-	-	-	-
DIVERSITY IN MEDICINE		276,449	-	-	218,094	494,543
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		205,100	-	-	-	205,100
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		482,070	47,705	465,412	189,507	1,184,694
INFERTILITY SERVICES GRANTS		397,218	102,854	-	-	500,072
MEDICAL INDEMNITY FUND		52,000,000	-	-	-	52,000,000
PART 405.4 HOSPITAL AUDITS		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		251,903	-	62,976	-	314,879
PAY FOR PERFORMANCE		-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		-	122,400,000	-	-	122,400,000
PHYSICIAN LOAN REPAYMENT		426,586	679,479	247,500	1,020,718	2,374,283
PHYSICIAN LOAN REPAYMENT AND PRACTICE SUPPORT		-	260,416	113,545	-	373,961
PHYSICIAN PRACTICE SUPPORT		527,726	895,939	1,209,427	595,470	3,228,562
PHYSICIAN WORKFORCE STUDIES		3,119	260,221	-	111,455	374,795
POISON CONTROL CENTERS		(1,088,626)	-	-	-	(1,088,626)
POOL ADMINISTRATION		-	-	-	-	-
ROSWELL PARK CANCER INSTITUTE		16,646,500	16,646,500	-	-	33,293,000

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2017-18

APPENDIX B

Program/Purpose	Appropriation Amount (')	April - June	July	August	September	September 30, 2017 (**)
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-	-
RURAL HEALTH CARE ACCESS		831,837	494,474	1,071,743	511,845	2,909,899
RURAL HEALTH NETWORK		1,465,076	418,277	746,571	32,169	2,662,093
SCHOOL BASED HEALTH CENTERS		-	-	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	-	-	-
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-
MEDICAL ASSISTANCE PROGRAM	27,802,837,000					
BREAST AND CERVICAL CANCER		-	-	-	-	-
DISABLED PERSONS		-	-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-	-
MEDICAID INDIGENT CARE		184,685,496	96,246,593	72,270,900	127,774,526	480,977,515
MEDICAL ASSISTANCE		875,081,000	225,000,000	450,000,000	318,241,000	1,868,322,000
NYC MEDICAID		-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	3,834,000					
OFFICE OF HEALTH INSURANCE		73,103	-	-	-	73,103
OFFICE OF HEALTH SYSTEMS MANAGEMENT	58,343,000					
OFFICE HEALTH SYSTEMS MANAGEMENT		4,368,816	2,905,148	1,653,777	372,967	9,300,708
OFFICE OF LONG TERM CARE	2,477,800					
ADULT HOME INITIATIVE		-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000					
REVENUE, PROCESSING & RECONCILIATION		370,824	491,734	7,710	-	870,268
TOTAL	31,132,934,614	1,243,835,866	511,972,053	570,657,129	483,342,255	2,809,807,303
Reclass of SUNY Hospital Disprop Share to Transfer		(1,421,691)	(514,920)	(1,121,877)	(620,486)	(3,678,974)
Reconciling Adjustment (P-Card and T-Card)		144	(400)	(1,816)	1,985	(87)
TOTAL APPROPRIATED AMOUNT	\$ 31,132,934,614	\$ 1,242,414,319	\$ 511,456,733	\$ 569,533,436	\$ 482,723,754	\$ 2,806,128,242

(*) Includes amounts appropriated in SFY 2017-18, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2017-2018

	1st Quarter APRIL - JUNE	2017 JULY	2017 AUGUST	2017 SEPTEMBER	2017-18
OPENING CASH BALANCE	\$ 321,003,359.63	\$ 367,449,263.28	\$ 341,115,284.00	\$ 321,981,724.35	\$ 321,003,359.63
RECEIPTS:					
Patient Services	795,262,236.80	297,979,297.75	273,088,308.13	155,954,803.19	1,522,284,645.87
Covered Lives	276,359,323.53	92,432,034.68	90,253,774.04	45,724,957.21	504,770,089.46
Provider Assessments	30,238,811.64	8,602,290.43	10,389,615.59	4,175,398.49	53,406,116.15
1% Assessments	96,575,074.00	33,361,499.00	34,921,705.00	31,668,425.15	196,526,703.15
DASNY- MOE/Recast Receivables	-	-	-	-	-
Interest Income	85,204.82	38,156.83	33,402.76	34,483.92	191,248.33
Unassigned	3,954.00	154,475.18	(149,641.85)	30,109,163.91	30,117,951.24
Total Receipts	1,198,524,604.79	432,567,753.87	408,537,163.67	267,667,231.87	2,307,296,754.20
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
Total Program Disbursements	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,198,524,604.79	432,567,753.87	408,537,163.67	267,667,231.87	2,307,296,754.20
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	11,370,463.00	3,847,994.00	3,540,415.74	4,057,425.00	22,816,297.74
Transfers From State Funds:					
HCRA Resources Fund	-	-	-	-	-
Total Other Financing Sources	11,370,463.00	3,847,994.00	3,540,415.74	4,057,425.00	22,816,297.74
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(946,150,807.97)	(398,656,540.56)	(369,525,083.88)	(265,090,974.22)	(1,979,423,406.63)
Indigent Care Fund - Matched	(214,702,909.84)	(64,093,186.59)	(61,686,055.18)	(142,427,220.24)	(482,909,371.85)
Indigent Care Fund - Unmatched	(2,595,446.33)	-	-	4,622,424.00	2,026,977.67
Total Other Financing Uses	(1,163,449,164.14)	(462,749,727.15)	(431,211,139.06)	(402,895,770.46)	(2,460,305,800.81)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	46,445,903.65	(26,333,979.28)	(19,133,559.65)	(131,171,113.59)	(130,192,748.87)
CLOSING CASH BALANCE	\$ 367,449,263.28	\$ 341,115,284.00	\$ 321,981,724.35	\$ 190,810,610.76	\$ 190,810,610.76

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2017-2018

	1st Quarter APRIL - JUNE	2017 JULY	2017 AUGUST	2017 SEPTEMBER	2017-18
OPENING CASH BALANCE	\$ 12,872,408.47	\$ 426.75	\$ 522.10	\$ 502.34	\$ 12,872,408.47
RECEIPTS:					
Interest Income	4,703.90	522.10	502.34	2,221.29	7,949.63
Total Receipts	4,703.90	522.10	502.34	2,221.29	7,949.63
PROGRAM DISBURSEMENTS:					
Indigent Care	(218,578,479.58)	(64,093,186.59)	(61,686,055.18)	(127,734,728.74)	(472,092,450.09)
High Need Indigent Care	-	-	-	-	-
Other	1,253,689.14	-	-	-	1,253,689.14
Total Program Disbursements	(217,324,790.44)	(64,093,186.59)	(61,686,055.18)	(127,734,728.74)	(470,838,760.95)
Excess (Deficiency) of Receipts over Disbursements	(217,320,086.54)	(64,092,664.49)	(61,685,552.84)	(127,732,507.45)	(470,830,811.32)
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	107,351,454.93	32,046,593.30	30,843,027.59	71,213,610.12	241,454,685.94
HCRA Resources Indigent Care - Unmatched	3,875,569.74	-	-	(2,311,212.00)	1,564,357.74
HCRA Resources Indigent Care - ATB	-	-	-	-	-
Federal DHHS Fund	107,351,454.91	32,046,593.29	30,843,027.59	71,213,610.12	241,454,685.91
Other	-	-	-	-	-
Total Other Financing Sources	218,578,479.58	64,093,186.59	61,686,055.18	140,116,008.24	484,473,729.59
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(14,130,374.76)	(426.75)	(522.10)	(12,381,781.84)	(26,513,105.45)
Total Other Financing Uses	(14,130,374.76)	(426.75)	(522.10)	(12,381,781.84)	(26,513,105.45)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(12,871,981.72)	95.35	(19.76)	1,718.95	(12,870,187.18)
CLOSING CASH BALANCE	\$ 426.75	\$ 522.10	\$ 502.34	\$ 2,221.29	\$ 2,221.29

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2017-2018
(Amounts in thousands)

APPENDIX E

	2017 APRIL	2017 MAY	2017 JUNE	2017 JULY	2017 AUGUST	2017 SEPTEMBER	2017 OCTOBER	2017 NOVEMBER	2017 DECEMBER	2018 JANUARY	2018 FEBRUARY	2018 MARCH	2017-2018 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ -								\$ -
Education - EXCEL	1,891	3,913	53	197	-								6,054
Department of Health - All Other	(1)	-	-	1	4								4
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-								-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	61	470	949	340	700								2,520
Multi-modal	-	-	-	-	-								-
GenNYSIS	-	-	-	-	-								-
CUNY Senior Colleges	16,538	30,372	25,883	13,661	28,718								115,172
CUNY Community Colleges	4,984	8,266	6,734	5,738	4,474								30,196
SUNY Dormitories	-	-	-	-	-								-
Upstate Community Colleges	526	11,310	6,108	3,342	7,448								28,734
Mental Health	3,862	18,208	10,662	9,111	17,678								59,521
Developmental Disabilities	1,063	1,187	1,251	759	894								5,154
Alcoholism and Substance Abuse	35	384	130	229	275								1,053
Brooklyn Court Officer Training Academy	161	2,554	659	1,323	1,036								5,733
TOTAL DORMITORY AUTHORITY	29,120	76,664	52,429	34,701	61,227	-	-	-	-	-	-	-	254,141
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-								-
Community Capital Assistance Program (CCAP)	-	150	1	-	-								151
Empire Opportunity	-	-	-	-	-								-
Community Enhancement Facilities Assistance Program (CEFAP)	500	-	-	-	-								500
State Facilities and Equipment	-	-	-	-	-								-
TOTAL EMPIRE STATE DEVELOPMENT CORP	500	150	1	-	-	-	-	-	-	-	-	-	651
TOTAL OFF-BUDGET	\$ 29,620	\$ 76,814	\$ 52,430	\$ 34,701	\$ 61,227	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 254,792

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2017	July 31, 2017	August 31, 2017	Change	September 30, 2017
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	-	-	-	-	-
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	26,729,555.40	101,483,892.80	90,971,313.47	255,525,943.90	346,497,257.37
30053	AVIATION PURPOSE ACCOUNT	885,049.12	964,659.53	1,643,897.61	541,903.98	2,185,801.59
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	722,161.85	514.32	722,676.17
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	114,787,723.31	36,027,836.85	44,741,458.17	(11,537,971.26)	33,203,486.91
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	195,445,101.28	104,532,756.63	110,139,265.92	7,035,756.48	117,175,022.40
31701	YOUTH FACILITIES IMPROVEMENT	19,184,323.93	20,812,489.52	22,924,747.26	1,905,075.78	24,829,823.04
31801	HOUSING ASSISTANCE	13,630,607.54	13,630,607.54	13,630,607.54	-	13,630,607.54
31851	HOUSING PROG FD-HSG TR FD CORP	-	-	-	-	-
31852	HOUSING PROG FD AFFORD HSG CORP	32,157,043.54	38,407,043.54	38,407,043.54	8,589,045.00	46,996,088.54
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	108,060,546.17	108,060,546.17	123,810,546.17	-	123,810,546.17

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2017	July 31, 2017	August 31, 2017	Change	September 30, 2017
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	12,616,380.06	12,551,355.11	12,533,355.11	-	12,533,355.11
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECTS MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	2,798,992.75	3,622,432.61	3,625,355.27	1,062,650.35	4,688,005.62
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	182,260,994.51	183,248,849.29	175,983,594.59	5,698,704.27	181,682,298.86
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	254,042,119.36	254,442,119.36	255,742,119.36	2,249,216.73	257,991,336.09
32306	DASNY - OMH ADMIN	19,122,565.71	19,122,565.71	19,122,565.71	-	19,122,565.71
32307	DASNY - OPWDD ADMIN	6,260,978.11	6,260,978.11	6,260,978.11	-	6,260,978.11
32308	DASNY - OASAS ADMIN	1,026,583.90	1,026,583.90	1,026,583.90	-	1,026,583.90
32309	OMH - STATE FACILITIES	92,106,109.89	99,941,541.66	81,297,610.03	12,288,525.11	93,586,135.14
32310	OPWDD - STATE FACILITIES	-	-	-	-	-
32311	OASAS - STATE FACILITIES	1,983,430.41	2,251,634.06	2,288,375.78	(1,433,438.63)	854,937.15
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	244,344,683.55	272,115,969.72	293,648,953.19	33,125,447.45	326,774,400.64
33001	STORM RECOVERY ACCOUNT	61,182,309.93	64,403,937.53	65,001,667.46	2,137,930.72	67,139,598.18
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,388,778,848.47	1,343,061,549.64	1,363,675,950.04	317,189,304.20	1,680,865,254.24
	STATE SPECIAL REVENUE FUNDS					
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	58,963,141.31	91,934,399.88	-	19,563,783.08	19,563,783.08
20818	EPIC PREMIUM ACCOUNT	9,238,401.52	13,678,867.87	-	9,930,113.53	9,930,113.53
20901	LOTTERY-EDUCATION	-	-	-	1,345,977,086.17	1,345,977,086.17
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,673,529.51	3,713,947.45	3,817,731.39	31,917.94	3,849,649.33
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,994,349.44	2,672,343.12	264,982.92	666,300.48	931,283.40
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,873,548.11	3,980,049.15	4,303,467.85	(435,724.41)	3,867,743.44
21067	ENCON-RECREATION	6,292,903.59	6,009,316.26	5,486,057.61	(535,250.81)	4,950,806.80
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	38,640,013.65	39,361,990.51	42,117,161.24	(345,290.57)	41,771,870.67
21082	NATURAL RESOURCES ACCOUNT	17,039,993.54	16,263,525.29	16,366,902.80	(675,520.33)	15,691,382.47
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	231,362.29	369,816.66	412,273.60	42,072.77	454,346.37
21202	HEALTH DEPT OIL SPILL	81,602.87	97,851.42	143,869.59	16,328.26	160,197.85
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	4,646,768.53	5,732,601.67	8,550,674.39	945,275.65	9,495,950.04
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	32,602,012.59	4,146,171.08	164,616,765.87	(69,996,572.16)	94,620,193.71
21451	OPERATING PERMIT PROGRAM	22,390,400.34	22,781,531.28	23,959,146.03	170,451.50	24,129,597.53
21452	MOBILE SOURCE	894,423.70	-	714,112.12	(714,112.12)	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	6,153,656.26	1,933,566.52	789,201.78	(52,570.91)	736,630.87
21907	MENTAL HYGIENE PROGRAM	66,331,261.82	193,324,880.47	43,082,837.98	102,114,860.41	145,197,698.39
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	736,741.04	400,970.44	588,064.20	154,885.68	742,949.88
21912	RACING REGULATION ACCOUNT	4,718,745.94	4,136,709.61	4,775,637.69	(656,276.30)	4,119,361.39
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	21,996,542.75	22,645,489.40	23,405,275.60	(5,112,698.39)	18,292,577.21
21937	SU DORM INCOME REIMBURSE	334,988.71	320,960.91	1,160,626.22	(219,451.39)	941,174.83
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	13,534,314.42	12,076,082.16	11,545,977.09	336,776.78	11,882,753.87
21978	INDIRECT COST RECOVERY	-	-	470,112.08	(470,112.08)	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	210,048.41	202,128.96	235,314.51	(2,727.59)	232,586.92
22032	BATAVIA SCHOOL FOR THE BLIND	6,529,039.51	6,797,712.53	7,371,712.05	1,555,553.60	8,927,265.65
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	945,431.94	164,589.30	713,802.89	168,682.73	882,485.62
22046	REGULATION INDIAN GAMING	65,767,971.11	66,371,560.83	68,106,397.47	(56,908.73)	68,049,488.74

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2017	July 31, 2017	August 31, 2017	Change	September 30, 2017
22053	ROME SCHOOL FOR THE DEAF	640,674.44	876,215.11	1,276,940.33	1,140,991.01	2,417,931.34
22054	DSP-SEIZED ASSETS	6,335,300.76	6,331,596.04	6,221,898.70	(20,498.34)	6,201,400.36
22055	ADMINISTRATIVE ADJUDICATION	9,915,490.28	11,738,501.25	9,949,044.93	(1,589,284.75)	8,359,760.18
22056	FEDERAL SALARY SHARING	464,953.66	557,525.80	1,009,880.04	92,497.55	1,102,377.59
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	2,240,287.75	1,757,843.07	2,782,551.35	(674,414.36)	2,108,136.99
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	7,256,754.17	7,457,990.65	7,659,859.62	210,449.64	7,870,309.26
22087	DMV-COMPULSORY INS PRGM	646,762.72	646,762.72	646,762.72	-	646,762.72
22090	HOUSING INDIRECT COST RECOVERY	1,675,390.22	1,913,704.09	2,161,718.85	(159,404.49)	2,002,314.36
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	1,757,208.66	2,429,168.90	2,622,164.37	(28,032.33)	2,594,132.04
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	110,862.39	144,901.63	79,134.13	28,969.07	108,103.20
22156	RENT REVENUE OTHER - NYC	-	-	-	-	-
22158	RENT REVENUE	514,820.56	555,506.76	177,314.38	(3,660.38)	173,654.00
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	19,584,106.04	19,601,690.13	19,619,119.84	19,992.27	19,639,112.11
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	9,494,628.76	9,657,682.14	9,932,876.60	(49,537.16)	9,883,339.44
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	33,106,480.94	35,308,728.45	37,534,105.37	2,551,957.64	40,086,063.01
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	5,556,541.37	5,722,463.28	6,208,813.77	162,387.54	6,371,201.31
23800	INTERSTATE RECIPROCIY FOR POST SEC DIST ED	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
	TOTAL STATE SPECIAL REVENUE FUNDS	492,472,405.32	629,168,292.49	546,231,239.67	1,404,083,285.70	1,950,314,525.37
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	48,270,095.27	10,240,831.90	2,833,524.14	67,307.25	2,900,831.39
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	400,867,558.51	326,497,292.66	178,860,224.10	247,315,200.79	426,175,424.89
25200-25249	FEDERAL EDUCATION GRANTS FUND	5,433,318.47	9,729,007.53	9,285,433.96	26,573,311.51	35,858,745.47
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	452,018,173.67	406,384,030.60	485,231,026.99	15,200,145.67	500,431,172.66
31351	MILITARY AND NAVAL AFFAIRS	8,332,863.29	8,952,840.00	8,526,004.29	287,052.92	8,813,057.21
31354	DEPARTMENT OF TRANSPORTATION	348,277,313.58	355,221,213.64	360,263,298.14	629,368.50	360,892,666.64
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	90,491,485.17	76,699,251.51	94,621,699.58	16,700,511.93	111,322,211.51
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	10,902,640.02	9,901,327.87	5,650,765.66	(1,851,985.85)	3,798,779.81
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	404,071.85	143,482.00	547,553.85
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	1,311,471.33	15,599,544.44	1,906,945.80	(930,958.34)	975,987.46
	TOTAL FEDERAL FUNDS	1,365,904,919.31	1,219,225,340.15	1,147,582,994.51	304,133,436.38	1,451,716,430.89
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	54,589.95	108,389.64	261,498.42	(7,224.08)	254,274.34
50327	EMPIRE PLAZA GIFT SHOP	149,913.12	165,016.85	192,362.18	7,506.06	199,868.24
	TOTAL ENTERPRISE FUND	204,503.07	273,406.49	453,860.60	281.98	454,142.58
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	828,758.99	943,588.62	1,123,320.38	34,282.87	1,157,603.25
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,101,480.29	974,275.43	1,940,710.53	(145,495.98)	1,795,214.55
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	247,758.06	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	614,413.32	533,155.44	1,777,036.38	(1,025,442.09)	751,594.29
55008	CENTRALIZED SERVICES-PASNY	5,994,855.82	6,115,788.69	14,548,114.62	(9,273,433.85)	5,274,680.77
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	5,499,749.94	4,747,541.03	7,621,700.94	(191,382.33)	7,430,318.61
55011	CENTRALIZED SERVICES-INSURANCE	1,363,534.57	2,715,588.71	879,694.32	(142,199.80)	737,494.52
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	260,222.37	216,382.37	193,813.37	(44,787.24)	149,026.13
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54
55017	DOWNSTATE WAREHOUSE	94,264.27	111,812.41	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2017	July 31, 2017	August 31, 2017	Change	September 30, 2017
55018	BUILDING ADMINISTRATION	1,250,023.28	1,568,435.61	3,209,504.22	860,562.63	4,070,066.85
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	39,786,433.89	43,023,583.83	48,956,531.92	8,129,143.15	57,085,675.07
55021	NYS MEDIA CENTER	3,788,648.73	4,051,875.23	4,282,460.02	(67,190.61)	4,215,269.41
55022	BUSINESS SERVICES CENTER	-	-	-	193,768.78	193,768.78
55052	ARCHIVES RECORD MGMT I.S.	111,330.52	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	129,628.09	4,070.21	16,646.99	162,855.59	179,502.58
55058	CULTURAL RESOURCE SURVEY	3,980,347.51	4,107,696.07	4,245,555.51	(320,628.33)	3,924,927.18
55059	NEIGHBOR WORK PROJECT	13,635,176.62	13,432,346.70	12,426,097.28	704,349.24	13,130,446.52
55060	AUTOMATIC/PRINT CHARGBACKS	4,149,596.67	3,067,092.25	1,881,228.31	(1,881,228.31)	-
55061	OFT NYT ACCT	3,104,826.65	2,996,391.77	2,489,693.36	(327,214.52)	2,162,478.84
55062	DATA CENTER ACCOUNT	55,407,052.28	55,407,052.28	55,274,037.87	64,681.40	55,338,719.27
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	236,952.13	236,398.89	248,083.17	36,049.21	284,132.38
55069	CENTRALIZED TECHNOLOGY SERVICES	75,872,879.78	73,976,710.36	73,660,831.01	1,389,034.66	75,049,865.67
55071	LABOR CONTACT CENTER ACCT	1,120.05	84,853.36	345,239.84	(345,239.84)	-
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,227,332.01	2,776,485.17	3,509,908.03	(1,790,351.43)	1,719,556.60
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	6,042,737.42	6,659,296.66	6,850,521.78	184,414.66	7,034,936.44
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	15,844,651.30	18,617,108.37	18,449,021.84	2,327,778.67	20,776,800.51
55300	HEALTH INSURANCE INTERNAL SERVICE	3,302,015.33	1,925,009.34	2,686,661.66	1,436,514.05	4,123,175.71
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	10,127,973.02	10,247,258.12	10,361,644.92	(460,692.21)	9,900,952.71
55350	CORR INDUSTRIES INTERNAL SERVICE	22,760,224.21	23,057,571.70	23,950,874.60	4,068,430.85	28,019,305.45
	TOTAL INTERNAL SERVICE FUNDS	279,052,532.93	282,885,914.43	302,217,478.68	3,576,579.22	305,794,057.90
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 3,526,413,209.10	\$ 3,474,614,503.20	\$ 3,360,161,523.50	\$ 2,028,982,887.48	\$ 5,389,144,410.98

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part XXX, Section 1, of the Laws of 2017-18. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
 DEDICATED INFRASTRUCTURE INVESTMENT FUND (*)
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2017-2018

	2017 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2018 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2017
OPENING CASH BALANCE	\$ 82,648,114	\$ 78,337,543	\$ 156,014,946	\$ 207,558,719	\$ 216,339,642	\$ 178,926,646							\$ 82,648,114
RECEIPTS:													
Transfers from General Fund (**)	50,000,000	100,000,000	155,000,000	75,000,000	75,000,000	150,000,000							605,000,000
Total Receipts	50,000,000	100,000,000	155,000,000	75,000,000	75,000,000	150,000,000	-	-	-	-	-	-	605,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	42,537,265	6,383,689	8,705,572	-	-	18,741,165							76,367,691
Broadband Initiative	-	1,224,698	1,018,330	146,236	1,435,029	114,644							3,938,937
Health Care / Hospital Initiatives	512,404	1,573,783	4,019,227	18,496,263	12,958,853	11,364,866							48,925,396
Empire State Poverty Reduction Initiatives	388,601	51,781	182,502	71,027	639,043	277,521							1,610,475
Information Technology/Infrastructure for Behavioral Sciences	-	-	89,258	85,607	96,470	64,187							335,522
Infrastructure Improvements	2,051,211	2,824,038	1,290,646	790,983	1,792,565	1,786,987							10,536,430
Jacob Javits Center Expansion	-	-	48,520,000	-	-	90,647,288							139,167,288
Municipal Restructuring	673,068	769,684	268,094	117,667	123,702	900,000							2,852,215
Penn Station Access	-	-	-	-	-	-							-
Resiliency, Mitigation, Security and Emergency Response	501,707	4,682,318	3,374,872	10,725,455	3,954,132	3,515,891							26,754,375
Southern Tier / Hudson Valley Farm Initiative	305,295	1,101,761	-	1,673,958	97,294	7,178,905							10,357,213
Thruway Stabilization Program	7,341,020	3,710,845	15,439,557	7,781,708	38,815,908	16,316,586							89,405,624
Transformative Economic Development Projects	-	-	-	-	1,500,000	-							1,500,000
Transportation Capital Plan	-	-	1,498,169	-	-	14,976,963							16,475,132
Upstate Revitalization Program	-	-	19,050,000	26,330,173	51,000,000	20,000,000							116,380,173
Total Disbursements	54,310,571	22,322,597	103,456,227	66,219,077	112,412,996	185,885,003	-	-	-	-	-	-	544,606,471
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-							-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	54,310,571	22,322,597	103,456,227	66,219,077	112,412,996	185,885,003	-	-	-	-	-	-	544,606,471
CLOSING CASH BALANCE	\$ 78,337,543	\$ 156,014,946	\$ 207,558,719	\$ 216,339,642	\$ 178,926,646	\$ 143,041,643	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 143,041,643

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL§ 93-b
 (**) Pursuant to Section 93(b) of the State Finance Law