



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JANUARY 2017

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
January 31, 2017

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax (4)	\$ 3,452.6	\$ 27,458.8	\$ 2,243.6	\$ 2,895.9	\$ 1,898.7	\$ 10,118.2	\$ -	\$ -	\$ 7,594.9	\$ 40,472.9	\$ 7,221.2	\$ 41,241.7	\$ (768.8)	-1.9%
Consumption/Use Taxes	594.8	5,982.1	173.2	1,743.4	535.0	5,440.3	50.3	533.2	1,353.3	13,699.0	1,275.4	13,236.2	462.8	3.5%
Business Taxes	(8.2)	3,634.8	88.1	1,277.0	-	-	53.9	541.0	133.8	5,452.8	15.7	5,457.3	(4.5)	-0.1%
Other Taxes	63.7	965.5	180.8	1,105.1	83.4	864.3	11.9	95.3	339.8	3,030.2	367.1	3,378.0	(347.8)	-10.3%
Miscellaneous Receipts	138.8	2,810.7	1,327.9	14,313.3	81.5	397.9	220.7	2,525.0	1,768.9	20,046.9	1,681.8	21,889.1	(1,842.2)	-8.4%
Federal Receipts	0.1	0.4	3,933.8	41,568.4	1.6	38.3	138.1	2,142.2	4,073.6	43,749.3	4,192.5	41,425.0	2,324.3	5.6%
Total Receipts	4,241.8	40,852.3	7,947.4	62,903.1	2,600.2	16,859.0	474.9	5,836.7	15,264.3	126,451.1	14,753.7	126,627.3	(176.2)	-0.1%
DISBURSEMENTS:														
Local Assistance Grants: (3)														
Education	671.0	15,903.6	2,575.9	8,155.4	-	-	0.5	29.9	3,247.4	24,088.9	3,447.0	24,819.4	(730.5)	-2.9%
Environment and Recreation	0.1	4.3	0.6	6.0	-	-	6.9	267.3	7.6	277.6	8.5	254.4	23.2	9.1%
General Government	3.5	917.2	20.3	193.2	-	-	38.0	376.8	61.8	1,487.2	40.0	1,210.2	277.0	22.9%
Public Health:														
Medicaid	704.2	11,687.6	3,284.3	31,490.5	-	-	-	-	3,988.5	43,178.1	4,652.5	41,148.3	2,029.8	4.9%
Other Public Health	117.2	1,191.6	575.7	6,010.8	-	-	48.9	123.3	741.8	7,325.7	847.2	5,269.1	2,056.6	39.0%
Public Safety	5.7	123.9	148.5	1,323.2	-	-	2.2	37.8	156.4	1,484.9	102.0	1,789.2	(304.3)	-17.0%
Public Welfare	214.6	2,293.8	182.5	3,553.0	-	-	29.9	124.6	427.0	5,971.4	392.7	6,247.6	(276.2)	-4.4%
Support and Regulate Business	20.2	152.2	1.7	59.5	-	-	45.4	551.4	67.3	763.1	21.7	644.3	118.8	18.4%
Transportation	-	91.9	210.5	4,470.6	-	-	44.1	963.7	254.6	5,526.2	204.9	5,005.9	520.3	10.4%
Total Local Assistance Grants	1,736.5	32,366.1	7,000.0	55,262.2	-	-	215.9	2,474.8	8,952.4	90,103.1	9,716.5	86,388.4	3,714.7	4.3%
Departmental Operations:														
Personal Service	430.1	5,113.4	607.6	6,361.2	-	-	-	-	1,037.7	11,474.6	1,015.8	11,404.7	69.9	0.6%
Non-Personal Service	149.2	1,594.7	412.0	3,936.0	1.0	29.1	-	-	562.2	5,559.8	565.0	5,301.3	258.5	4.9%
General State Charges	351.3	5,026.2	113.8	1,969.9	-	-	-	-	465.1	6,996.1	532.5	6,807.2	188.9	2.8%
Debt Service, Including Payments on														
Financing Agreements	-	-	-	-	25.6	1,960.0	-	-	25.6	1,960.0	34.2	2,145.5	(185.5)	-8.6%
Capital Projects (1)	-	-	-	2.7	-	-	465.2	5,402.2	465.2	5,404.9	479.2	5,239.4	165.5	3.2%
Total Disbursements	2,667.1	44,100.4	8,133.4	67,532.0	26.6	1,989.1	681.1	7,877.0	11,508.2	121,498.5	12,343.2	117,286.5	4,212.0	3.6%
Excess (Deficiency) of Receipts over Disbursements	1,574.7	(3,248.1)	(186.0)	(4,628.9)	2,573.6	14,869.9	(206.2)	(2,040.3)	3,756.1	4,952.6	2,410.5	9,340.8	(4,388.2)	-47.0%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	1,225.4	14,086.9	252.5	6,549.9	404.7	2,302.9	256.2	2,498.2	2,138.8	25,437.9	2,275.6	26,584.6	(1,146.7)	-4.3%
Transfers to Other Funds (2)	(767.7)	(8,686.8)	(126.6)	(1,406.9)	(1,214.6)	(14,849.1)	(29.3)	(526.3)	(2,138.2)	(25,469.1)	(2,290.3)	(26,665.2)	(1,196.1)	-4.5%
Total Other Financing Sources (Uses)	457.7	5,400.1	125.9	5,143.0	(809.9)	(12,546.2)	226.9	1,971.9	0.6	(31.2)	(14.7)	(80.6)	49.4	61.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,032.4	2,152.0	(60.1)	514.1	1,763.7	2,323.7	20.7	(68.4)	3,756.7	4,921.4	2,395.8	9,260.2	(4,338.8)	-46.9%
Beginning Fund Balances (Deficits)	9,053.7	8,934.1	4,181.3	3,607.1	719.7	159.7	(979.9)	(890.8)	12,974.8	11,810.1	16,220.0	9,355.6	2,454.5	26.2%
Ending Fund Balances (Deficits)	\$ 11,086.1	\$ 11,086.1	\$ 4,121.2	\$ 4,121.2	\$ 2,483.4	\$ 2,483.4	\$ (959.2)	\$ (959.2)	\$ 16,731.5	\$ 16,731.5	\$ 18,615.8	\$ 18,615.8	\$ (1,884.3)	-10.1%

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(4)	\$ 3,452.6	\$ 27,458.8	\$ 2,243.6	\$ 2,895.9	\$ 1,898.7	\$ 10,118.2	\$ 7,594.9	\$ 40,472.9	\$ 7,221.2	\$ 41,241.7	\$ (768.8)	-1.9%
Consumption/Use Taxes		594.8	5,982.1	173.2	1,743.4	535.0	5,440.3	1,303.0	13,165.8	1,229.2	12,701.5	464.3	3.7%
Business Taxes		(8.2)	3,634.8	88.1	1,277.0	-	-	79.9	4,911.8	(40.5)	4,921.5	(9.7)	-0.2%
Other Taxes		63.7	965.5	180.8	1,105.1	83.4	864.3	327.9	2,934.9	355.2	3,282.7	(347.8)	-10.6%
Miscellaneous Receipts		138.8	2,810.7	1,316.2	14,152.2	81.5	397.9	1,536.5	17,360.8	1,587.5	18,686.7	(1,325.9)	-7.1%
Federal Receipts		0.1	0.4	-	(1.4)	1.6	38.3	1.7	37.3	(0.1)	37.4	(0.1)	-0.3%
Total Receipts		4,241.8	40,852.3	4,001.9	21,172.2	2,600.2	16,859.0	10,843.9	78,883.5	10,352.5	80,871.5	(1,988.0)	-2.5%
DISBURSEMENTS:													
Local Assistance Grants: (3)													
Education		671.0	15,903.6	2,387.3	5,934.8	-	-	3,058.3	21,838.4	3,303.8	21,809.5	28.9	0.1%
Environment and Recreation		0.1	4.3	0.5	3.2	-	-	0.6	7.5	2.1	9.4	(1.9)	-20.2%
General Government		3.5	917.2	19.1	157.9	-	-	22.6	1,075.1	37.2	1,077.1	(2.0)	-0.2%
Public Health:													
Medicaid		704.2	11,687.6	491.9	4,785.1	-	-	1,196.1	16,472.7	1,372.4	15,702.0	770.7	4.9%
Other Public Health		117.2	1,191.6	161.5	1,864.5	-	-	278.7	3,056.1	233.3	2,645.7	410.4	15.5%
Public Safety		5.7	123.9	10.0	112.0	-	-	15.7	235.9	35.3	272.3	(36.4)	-13.4%
Public Welfare		214.6	2,293.8	(1.2)	3.0	-	-	213.4	2,296.8	164.5	2,338.1	(41.3)	-1.8%
Support and Regulate Business		20.2	152.2	1.6	56.8	-	-	21.8	209.0	13.5	145.2	63.8	43.9%
Transportation		-	91.9	206.2	4,417.6	-	-	206.2	4,509.5	186.2	4,243.8	265.7	6.3%
Total Local Assistance Grants		1,736.5	32,366.1	3,276.9	17,334.9	-	-	5,013.4	49,701.0	5,348.3	48,243.1	1,457.9	3.0%
Departmental Operations:													
Personal Service		430.1	5,113.4	563.3	5,841.7	-	-	993.4	10,955.1	970.4	10,908.8	46.3	0.4%
Non-Personal Service		149.2	1,594.7	272.2	2,833.9	1.0	29.1	422.4	4,457.7	470.2	4,340.8	116.9	2.7%
General State Charges		351.3	5,026.2	90.0	1,741.2	-	-	441.3	6,767.4	526.9	6,600.2	167.2	2.5%
Debt Service, Including Payments on													
Financing Agreements		-	-	-	-	25.6	1,960.0	25.6	1,960.0	34.2	2,145.5	(185.5)	-8.6%
Capital Projects		-	-	-	2.7	-	-	-	2.7	0.4	1.3	1.4	107.7%
Total Disbursements		2,667.1	44,100.4	4,202.4	27,754.4	26.6	1,989.1	6,896.1	73,843.9	7,350.4	72,239.7	1,604.2	2.2%
Excess (Deficiency) of Receipts over Disbursements		1,574.7	(3,248.1)	(200.5)	(6,582.2)	2,573.6	14,869.9	3,947.8	5,039.6	3,002.1	8,631.8	(3,592.2)	-41.6%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	1,225.4	14,086.9	372.5	6,942.9	404.7	2,302.9	2,002.6	23,332.7	2,018.2	25,382.2	(2,049.5)	-8.1%
Transfers to Other Funds	(2)	(767.7)	(8,686.8)	(90.1)	(273.8)	(1,214.6)	(14,849.1)	(2,072.4)	(23,809.7)	(2,126.6)	(24,667.7)	(858.0)	-3.5%
Total Other Financing Sources (Uses)		457.7	5,400.1	282.4	6,669.1	(809.9)	(12,546.2)	(69.8)	(477.0)	(108.4)	714.5	(1,191.5)	-166.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		2,032.4	2,152.0	81.9	86.9	1,763.7	2,323.7	3,878.0	4,562.6	2,893.7	9,346.3	(4,783.7)	-51.2%
Beginning Fund Balances (Deficits)		9,053.7	8,934.1	3,552.4	3,547.4	719.7	159.7	13,325.8	12,641.2	16,343.4	9,890.8	2,750.4	27.8%
Ending Fund Balances (Deficits)		\$ 11,086.1	\$ 11,086.1	\$ 3,634.3	\$ 3,634.3	\$ 2,483.4	\$ 2,483.4	\$ 17,203.8	\$ 17,203.8	\$ 19,237.1	\$ 19,237.1	\$ (2,033.3)	-10.6%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$164.1 million
Urban Development Corporation (Youth Facilities)	22.6
Housing Finance Agency (HFA)	239.9
Housing Assistance Fund	13.6
Dormitory Authority (Mental Hygiene)	454.5
Dormitory Authority and State University Income Fund	626.1
Federal Capital Projects	505.3
State bond and note proceeds	50.7

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$1,663.9 million
General Debt Service Fund	754.0
Banking Services Account	31.6
Charter School Stimulus Fund	4.8
Court Facilities Incentive Aid Fund	80.4
Dedicated Highway & Bridge Trust Fund	49.5
Dedicated Infrastructure Investment Fund	622.7
Dedicated Mass Transportation - Railroad Account	6.6
Dedicated Mass Transportation - Transit Authority Account	36.6
Dedicated Mass Transportation (Non-MTA)	3.8
Environmental Protection Fund	38.0
Financial Crimes Revenue Account	6.3
Hazardous Waste Remediation Oversight and Assistance Account	5.0
Housing Debt Service Fund	2.9
Housing Program Fund	0.7
Indigent Legal Services Fund	31.4
Medical Marijuana Health Operation and Oversight	2.0
Mental Hygiene Program Fund	1,250.0
Mental Hygiene Patient Income Account	1,300.0
MTA Operating Assistance Fund	29.1
MTA Financial Assistance Fund	330.0
NYC County Courts Operating Fund	4.4
Spinal Cord Injury Account	3.5
SUNY - Hospital IFR	68.3
SUNY - Income Fund	996.3

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$11.1m), the State University Income Fund (\$312.5m), the Mental Hygiene Program Fund (\$1,040.9m) and Miscellaneous State Special Revenue Fund (\$0.5m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of January 31, 2017 - pursuant to a certification of the Budget Director - the reserve amount is (\$343.4m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$1,018.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$11.9m), Community Projects Fund (\$1.0m), Capital Projects funds (\$118.4m) and Medicaid Management Information System Escrow Fund (\$10.3m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Admin Adjudication Account	\$1.3 million
Certificate of Need Account	3.6
Clean Air Fund	2.9
Dept of Labor - Fee & Penalty Account	8.8
ENCON Special Revenue Fund	5.9
Examination & Miscellaneous Revenue Account	2.3
Federal Dept of Health & Human Services Fund	51.5
Federal Education Fund	1.0
Federal Employment & Training Grants	1.4
Federal USDA/Food & Nutrition Services Fund	35.7
HESC Insurance Premium Account	7.5
Miscellaneous other Special Revenue Funds	5.9
MTA Financial Assistance Fund	1.0
Patron Services Account	1.6
Professional Education Services Account	2.8
Public Service Account	1.7
State Lottery Fund	2.5
State Police Motor Vehicle Law Enforcement	38.8
SUNY Income Fund	25.0
System and Technology Account	3.2
Training and Education Program on OSH Fund	2.0
Transportation Surplus Property Account	1.8
Unemployment Insurance Administration Fund	25.1
Unemployment Insurance - Interest & Penalty Account	3.6
Vital Records Management Fund	2.3
Workers Compensation Board Account	7.9

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$8,124.0 million
Local Government Assistance Tax Fund	2,676.9
Sales Tax Revenue Bond Tax Fund	2,206.5
Clean Water/Clean Air Fund	807.9

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$124.4m) and Mental Hygiene (\$909.4m).

GOVERNMENTAL FUNDS FOOTNOTES

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$20.7m), the General Debt Service Fund - Lease Purchase (\$306.1m), the Revenue Bond Tax Fund (\$191.2m) and Miscellaneous State Special Revenue Fund (\$8.3m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ 1,122,636
Medicaid Recoveries - Audit	-	2,035,350
Medicaid Recoveries - Third Parties	-	7,002,234
Pharmacy Rebates	-	55,188,651
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	<u>\$ -</u>	<u>\$ 65,348,871</u>

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$2,895.1m) as of January 31, 2017.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.9	\$ 50.9	\$ 31.5	\$ 319.8	\$ 37.4	\$ 370.7	\$ 47.8	\$ 446.9	\$ (76.2)	-17.1%
Federal Receipts	1.3	16.6	-	-	1.3	16.6	1.6	26.6	(10.0)	-37.6%
Unemployment Taxes	250.7	1,748.4	-	-	250.7	1,748.4	214.9	1,831.4	(83.0)	-4.5%
Total Receipts	257.9	1,815.9	31.5	319.8	289.4	2,135.7	264.3	2,304.9	(169.2)	-7.3%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.4	4.7	7.1	79.8	7.5	84.5	6.7	77.5	7.0	9.0%
Non-Personal Service	4.2	42.8	27.8	344.4	32.0	387.2	59.4	457.7	(70.5)	-15.4%
General State Charges	-	0.6	2.1	37.4	2.1	38.0	-	32.0	6.0	18.8%
Unemployment Benefits	252.4	1,809.6	-	-	252.4	1,809.6	215.3	1,832.5	(22.9)	-1.2%
Total Disbursements	257.0	1,857.7	37.0	461.6	294.0	2,319.3	281.4	2,399.7	(80.4)	-3.4%
Excess (Deficiency) of Receipts Over Disbursements	0.9	(41.8)	(5.5)	(141.8)	(4.6)	(183.6)	(17.1)	(94.8)	(88.8)	-93.7%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	2.2	31.6	2.2	31.6	3.9	48.4	(16.8)	-34.7%
Transfers to Other Funds	-	-	(2.7)	(10.6)	(2.7)	(10.6)	(0.1)	(10.0)	0.6	6.0%
Total Other Financing Sources (Uses)	-	-	(0.5)	21.0	(0.5)	21.0	3.8	38.4	(17.4)	-45.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	0.9	(41.8)	(6.0)	(120.8)	(5.1)	(162.6)	(13.3)	(56.4)	(106.2)	-188.3%
Beginning Fund Balances (Deficits)	23.4	66.1	(242.0)	(127.2)	(218.6)	(61.1)	(189.2)	(146.1)	85.0	58.2%
Ending Fund Balances (Deficits)	\$ 24.3	\$ 24.3	\$ (248.0)	\$ (248.0)	\$ (223.7)	\$ (223.7)	\$ (202.5)	\$ (202.5)	\$ (21.2)	-10.5%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	\$ Increase/ (Decrease)	% Increase Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 4.8	\$ 71.8	\$ 0.1	\$ (0.7)	\$ 4.9	\$ 71.1	\$ 5.0	\$ 95.7	\$ (24.6)	-25.7%
Total Receipts	4.8	71.8	0.1	(0.7)	4.9	71.1	5.0	95.7	(24.6)	-25.7%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	1.4	48.6	-	0.1	1.4	48.7	4.9	49.4	(0.7)	-1.4%
Non-Personal Service	7.9	19.7	-	-	7.9	19.7	1.7	14.6	5.1	34.9%
General State Charges	9.6	29.6	-	0.1	9.6	29.7	-	23.7	6.0	25.3%
Total Disbursements	18.9	97.9	-	0.2	18.9	98.1	6.6	87.7	10.4	11.9%
Excess (Deficiency) of Receipts Over Disbursements	(14.1)	(26.1)	0.1	(0.9)	(14.0)	(27.0)	(1.6)	8.0	(35.0)	-437.5%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(14.1)	(26.1)	0.1	(0.9)	(14.0)	(27.0)	(1.6)	8.0	(35.0)	-437.5%
Beginning Fund Balances (Deficits)	(11.9)	0.1	10.6	11.6	(1.3)	11.7	4.2	(5.4)	17.1	316.7%
Ending Fund Balances (Deficits)	\$ (26.0)	\$ (26.0)	\$ 10.7	\$ 10.7	\$ (15.3)	\$ (15.3)	\$ 2.6	\$ 2.6	\$ (17.9)	-688.5%

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR TEN MONTHS ENDED JANUARY 31, 2017
 (Amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 42,422.0	\$ 40,470.0	\$ 40,472.9	\$ (1,949.1)	\$ 2.9
Consumption/Use	13,585.0	13,657.0	13,699.0	114.0	42.0
Business	5,436.0	5,333.0	5,452.8	16.8	119.8
Other	2,907.0	3,027.0	3,030.2	123.2	3.2
Miscellaneous Receipts	19,448.0	20,157.0	20,046.9	598.9	(110.1)
Federal Receipts	42,364.0	43,732.0	43,749.3	1,385.3	17.3
Total Receipts	126,162.0	126,376.0	126,451.1	289.1	75.1
DISBURSEMENTS:					
Local Assistance Grants	89,338.0	90,754.0	90,103.1	765.1	(650.9)
Departmental Operations	16,796.0	17,079.0	17,034.4	238.4	(44.6)
General State Charges	6,874.0	6,960.0	6,996.1	122.1	36.1
Debt Service	2,000.0	1,960.0	1,960.0	(40.0)	-
Capital Projects	6,310.0	5,537.0	5,404.9	(905.1)	(132.1)
Total Disbursements	121,318.0	122,290.0	121,498.5	180.5	(791.5)
Excess (Deficiency) of Receipts over Disbursements	4,844.0	4,086.0	4,952.6	108.6	866.6
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	22.0	2.0	-	(22.0)	(2.0)
Transfers from Other Funds	26,475.0	25,962.0	25,437.9	(1,037.1)	(524.1)
Transfers to Other Funds	(26,514.0)	(26,000.0)	(25,469.1)	(1,044.9)	(530.9)
Total Other Financing Sources (Uses)	(17.0)	(36.0)	(31.2)	(14.2)	4.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,827.0	4,050.0	4,921.4	94.4	871.4
Fund Balances (Deficits) at April 1	11,810.0	11,810.0	11,810.1	0.1	0.1
Fund Balances (Deficits) at January 31, 2017	\$ 16,637.0	\$ 15,860.0	\$ 16,731.5	\$ 94.5	\$ 871.5

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2017-18 Executive Budget dated January 17, 2017.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR TEN MONTHS ENDED JANUARY 31, 2017
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 42,422.0	\$ 40,470.0	\$ 40,472.9	\$ (1,949.1)	\$ 2.9
Consumption/Use	13,109.0	13,132.0	13,165.8	56.8	33.8
Business	4,918.0	4,794.0	4,911.8	(6.2)	117.8
Other	2,811.0	2,932.0	2,934.9	123.9	2.9
Miscellaneous Receipts	15,674.0	17,437.0	17,360.8	1,686.8	(76.2)
Federal Receipts	37.0	37.0	37.3	0.3	0.3
Total Receipts	78,971.0	78,802.0	78,883.5	(87.5)	81.5
DISBURSEMENTS:					
Local Assistance Grants	48,881.0	50,083.0	49,701.0	820.0	(382.0)
Departmental Operations	15,312.0	15,473.0	15,412.8	100.8	(60.2)
General State Charges	6,634.0	6,738.0	6,767.4	133.4	29.4
Debt Service	2,000.0	1,960.0	1,960.0	(40.0)	-
Capital Projects	-	3.0	2.7	2.7	(0.3)
Total Disbursements	72,827.0	74,257.0	73,843.9	1,016.9	(413.1)
Excess (Deficiency) of Receipts over Disbursements	6,144.0	4,545.0	5,039.6	(1,104.4)	494.6
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	23,440.0	23,235.0	23,332.7 (****)	(107.3)	97.7
Transfers to Other Funds	(24,649.0)	(23,985.0)	(23,809.7) (****)	(839.3)	(175.3)
Total Other Financing Sources (Uses)	(1,209.0)	(750.0)	(477.0)	732.0	273.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,935.0	3,795.0	4,562.6	(372.4)	767.6
Fund Balances (Deficits) at April 1	12,641.0	12,641.0	12,641.2	0.2	0.2
Fund Balances (Deficits) at January 31, 2017	\$ 17,576.0	\$ 16,436.0	\$ 17,203.8	\$ (372.2)	\$ 767.8

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2017-18 Executive Budget dated January 17, 2017.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR TEN MONTHS ENDED JANUARY 31, 2017
(Amounts in millions)**

**EXHIBIT D
(continued)**

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 28,599.0	\$ 27,457.0	\$ 27,458.8	\$ (1,140.2)	\$ 1.8
Consumption/Use	5,946.0	5,965.0	5,982.1	36.1	17.1
Business	3,749.0	3,544.0	3,634.8	(114.2)	90.8
Other	870.0	978.0	965.5	95.5	(12.5)
Miscellaneous Receipts	2,139.0	2,827.0	2,810.7	671.7	(16.3)
Federal Receipts	-	-	0.4	0.4	0.4
Transfers From:					
PIT in excess of Revenue Bond Debt Service	8,489.0	8,124.0	8,124.0	(365.0)	-
Sales Tax in excess of LGAC / STRBF Debt Service	4,840.0	4,873.0	4,883.4	43.4	10.4
Real Estate Taxes in excess of CW/CA Debt Service	813.0	814.0	807.9	(5.1)	(6.1)
All Other	141.0	225.0	271.6	130.6	46.6
Total Receipts and Other Financing Sources	55,586.0	54,807.0	54,939.2	(646.8)	132.2
DISBURSEMENTS:					
Local Assistance Grants	31,804.0	32,655.0	32,366.1	562.1	(288.9)
Departmental Operations	6,626.0	6,761.0	6,708.1	82.1	(52.9)
General State Charges	5,037.0	5,035.0	5,026.2	(10.8)	(8.8)
Transfers To:					
Debt Service	752.0	753.0	754.0	2.0	1.0
Capital Projects	2,858.0	2,612.0	2,379.8	(478.2)	(232.2)
State Share Medicaid	1,220.0	1,104.0	1,365.0 (***)	145.0	261.0
SUNY Operations	997.0	996.0	996.3	(0.7)	0.3
Other Purposes	3,492.0	3,447.0	3,191.7	(300.3)	(255.3)
Total Disbursements and Other Financing Uses	52,786.0	53,363.0	52,787.2	1.2	(575.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,800.0	1,444.0	2,152.0	(648.0)	708.0
Fund Balances (Deficits) at April 1	8,934.0	8,934.0	8,934.1	0.1	0.1
Fund Balances (Deficits) at January 31, 2017	\$ 11,734.0	\$ 10,378.0	\$ 11,086.1	\$ (647.9)	\$ 708.1

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2017-18 Executive Budget dated January 17, 2017.

(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR TEN MONTHS ENDED JANUARY 31, 2017
 (Amounts in millions)

EXHIBIT D
 (continued)

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ 3,217.0	\$ 2,896.0	\$ 2,895.9	\$ -	\$ 2,895.9	\$ (321.1)	\$ (0.1)
Consumption/Use	1,741.0	1,738.0	1,743.4	-	1,743.4	2.4	5.4
Business	1,169.0	1,250.0	1,277.0	-	1,277.0	108.0	27.0
Other	1,072.0	1,083.0	1,105.1	-	1,105.1	33.1	22.1
Miscellaneous Receipts	13,341.0	14,393.0	14,313.3	-	14,313.3	972.3	(79.7)
Federal Receipts	40,635.0	41,642.0	41,568.4	-	41,568.4	933.4	(73.6)
Transfers from Other Funds(***)	6,950.0	6,875.0	6,942.9	(393.0)	6,549.9	(400.1)	(325.1)
Total Receipts and Other Financing Sources	68,125.0	69,877.0	69,846.0	(393.0)	69,453.0	1,328.0	(424.0)
DISBURSEMENTS:							
Local Assistance Grants	54,758.0	55,622.0	55,262.2	-	55,262.2	504.2	(359.8)
Departmental Operations	10,137.0	10,289.0	10,297.2	-	10,297.2	160.2	8.2
General State Charges	1,837.0	1,925.0	1,969.9	-	1,969.9	132.9	44.9
Capital Projects	-	3.0	2.7	-	2.7	2.7	(0.3)
Transfers to Other Funds(***)	1,637.0	1,727.0	1,799.9	(393.0)	1,406.9	(230.1)	(320.1)
Total Disbursements and Other Financing Uses	68,369.0	69,566.0	69,331.9	(393.0)	68,938.9	569.9	(627.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(244.0)	311.0	514.1	-	514.1	758.1	203.1
Fund Balances (Deficits) at April 1	3,607.0	3,607.0	3,607.1	-	3,607.1	0.1	0.1
Fund Balances (Deficits) at January 31, 2017	\$ 3,363.0	\$ 3,918.0	\$ 4,121.2	\$ -	\$ 4,121.2	\$ 758.2	\$ 203.2

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2017-18 Executive Budget dated January 17, 2017.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR TEN MONTHS ENDED JANUARY 31, 2017
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 3,217.0	\$ 2,896.0	\$ 2,895.9	\$ (321.1)	\$ (0.1)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,741.0	1,738.0	1,743.4	2.4	5.4	-	-	-	-	-
Business	1,169.0	1,250.0	1,277.0	108.0	27.0	-	-	-	-	-
Other	1,072.0	1,083.0	1,105.1	33.1	22.1	-	-	-	-	-
Miscellaneous Receipts	13,154.0	14,219.0	14,152.2	998.2	(66.8)	187.0	174.0	161.1	(25.9)	(12.9)
Federal Receipts	-	-	(1.4)	(1.4)	(1.4)	40,635.0	41,642.0	41,569.8	934.8	(72.2)
Transfers from Other Funds	6,950.0	6,875.0	6,942.9	(7.1)	67.9	-	-	-	-	-
Total Receipts and Other Financing Sources	27,303.0	28,061.0	28,115.1	812.1	54.1	40,822.0	41,816.0	41,730.9	908.9	(85.1)
DISBURSEMENTS:										
Local Assistance Grants	17,077.0	17,428.0	17,334.9	257.9	(93.1)	37,681.0	38,194.0	37,927.3	246.3	(266.7)
Departmental Operations	8,653.0	8,683.0	8,675.6	22.6	(7.4)	1,484.0	1,606.0	1,621.6	137.6	15.6
General State Charges	1,597.0	1,703.0	1,741.2	144.2	38.2	240.0	222.0	228.7	(11.3)	6.7
Capital Projects	-	3.0	2.7	2.7	(0.3)	-	-	-	-	-
Transfers to Other Funds	281.0	236.0	273.8	(7.2)	37.8	1,356.0	1,491.0	1,526.1	170.1	35.1
Total Disbursements and Other Financing Uses	27,608.0	28,053.0	28,028.2	420.2	(24.8)	40,761.0	41,513.0	41,303.7	542.7	(209.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(305.0)	8.0	86.9	391.9	78.9	61.0	303.0	427.2	366.2	124.2
Fund Balances (Deficits) at April 1	3,547.0	3,547.0	3,547.4	0.4	0.4	60.0	60.0	59.7	(0.3)	(0.3)
Fund Balances (Deficits) at January 31, 2017	\$ 3,242.0	\$ 3,555.0	\$ 3,634.3	\$ 392.3	\$ 79.3	\$ 121.0	\$ 363.0	\$ 486.9	\$ 365.9	\$ 123.9

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2017-18 Executive Budget dated January 17, 2017.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR TEN MONTHS ENDED JANUARY 31, 2017
 (Amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 10,606.0	\$ 10,117.0	\$ 10,118.2	\$ (487.8)	\$ 1.2
Consumption/Use	5,422.0	5,429.0	5,440.3	18.3	11.3
Other	869.0	871.0	864.3	(4.7)	(6.7)
Miscellaneous Receipts	381.0	391.0	397.9	16.9	6.9
Federal Receipts	37.0	37.0	38.3	1.3	1.3
Transfers from Other Funds	2,207.0	2,324.0	2,302.9	95.9	(21.1)
Total Receipts and Other Financing Sources	19,522.0	19,169.0	19,161.9	(360.1)	(7.1)
DISBURSEMENTS:					
Departmental Operations	33.0	29.0	29.1	(3.9)	0.1
Debt Service	2,000.0	1,960.0	1,960.0	(40.0)	-
Transfers to Other Funds	15,049.0	14,837.0	14,849.1	(199.9)	12.1
Total Disbursements and Other Financing Uses	17,082.0	16,826.0	16,838.2	(243.8)	12.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,440.0	2,343.0	2,323.7	(116.3)	(19.3)
Fund Balances (Deficits) at April 1	160.0	160.0	159.7	(0.3)	(0.3)
Fund Balances (Deficits) at January 31, 2017	\$ 2,600.0	\$ 2,503.0	\$ 2,483.4	\$ (116.6)	\$ (19.6)

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2017-18 Executive Budget dated January 17, 2017.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR TEN MONTHS ENDED JANUARY 31, 2017
 (Amounts in millions)

EXHIBIT D
 (continued)

CAPITAL PROJECTS FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 476.0	\$ 525.0	\$ 533.2	\$ -	\$ 533.2	\$ 57.2	\$ 8.2
Business	518.0	539.0	541.0	-	541.0	23.0	2.0
Other	96.0	95.0	95.3	-	95.3	(0.7)	0.3
Miscellaneous Receipts	3,587.0	2,546.0	2,525.0	-	2,525.0	(1,062.0)	(21.0)
Federal Receipts	1,692.0	2,053.0	2,142.2	-	2,142.2	450.2	89.2
Bond and Note Proceeds, net	22.0	2.0	-	-	-	(22.0)	(2.0)
Transfers from Other Funds	3,035.0	2,727.0	2,528.6	(30.4)	2,498.2	(536.8)	(228.8)
Total Receipts and Other Financing Sources	9,426.0	8,487.0	8,365.3	(30.4)	8,334.9	(1,091.1)	(152.1)
DISBURSEMENTS:							
Local Assistance Grants	2,776.0	2,477.0	2,474.8	-	2,474.8	(301.2)	(2.2)
Capital Projects	6,310.0	5,534.0	5,402.2	-	5,402.2	(907.8)	(131.8)
Transfers to Other Funds	509.0	524.0	556.7	(30.4)	526.3	17.3	2.3
Total Disbursements and Other Financing Uses	9,595.0	8,535.0	8,433.7	(30.4)	8,403.3	(1,191.7)	(131.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(169.0)	(48.0)	(68.4)	-	(68.4)	100.6	(20.4)
Fund Balances (Deficits) at April 1	(891.0)	(891.0)	(890.8)	-	(890.8)	0.2	0.2
Fund Balances (Deficits) at January 31, 2017	\$ (1,060.0)	\$ (939.0)	\$ (959.2)	\$ -	\$ (959.2)	\$ 100.8	\$ (20.2)

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2017-18 Executive Budget dated January 17, 2017.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR TEN MONTHS ENDED JANUARY 31, 2017
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 476.0	\$ 525.0	\$ 533.2	\$ 57.2	\$ 8.2	\$ -	\$ -	\$ -	\$ -	\$ -
Business	518.0	539.0	541.0	23.0	2.0	-	-	-	-	-
Other	96.0	95.0	95.3	(0.7)	0.3	-	-	-	-	-
Miscellaneous Receipts	3,587.0	2,544.0	2,523.2	(1,063.8)	(20.8)	-	2.0	1.8	1.8	(0.2)
Federal Receipts	2.0	3.0	2.5	0.5	(0.5)	1,690.0	2,050.0	2,139.7	449.7	89.7
Bond and Note Proceeds, net	22.0	2.0	-	(22.0)	(2.0)	-	-	-	-	-
Transfers from Other Funds	3,036.0	2,757.0	2,528.6	(507.4)	(228.4)	(1.0)	(30.0)	-	1.0	30.0
Total Receipts and Other Financing Sources	7,737.0	6,465.0	6,223.8	(1,513.2)	(241.2)	1,689.0	2,022.0	2,141.5	452.5	119.5
DISBURSEMENTS:										
Local Assistance Grants	2,196.0	1,813.0	1,771.9	(424.1)	(41.1)	580.0	664.0	702.9	122.9	38.9
Capital Projects	5,319.0	4,285.0	4,056.5	(1,262.5)	(228.5)	991.0	1,249.0	1,345.7	354.7	96.7
Transfers to Other Funds	497.0	513.0	517.8	20.8	4.8	12.0	11.0	38.9	26.9	27.9
Total Disbursements and Other Financing Uses	8,012.0	6,611.0	6,346.2	(1,665.8)	(264.8)	1,583.0	1,924.0	2,087.5	504.5	163.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(275.0)	(146.0)	(122.4)	152.6	23.6	106.0	98.0	54.0	(52.0)	(44.0)
Fund Balances (Deficits) at April 1	(333.0)	(333.0)	(331.5)	1.5	1.5	(558.0)	(558.0)	(559.3)	(1.3)	(1.3)
Fund Balances (Deficits) at January 31, 2017	\$ (608.0)	\$ (479.0)	\$ (453.9)	\$ 154.1	\$ 25.1	\$ (452.0)	\$ (460.0)	\$ (505.3)	\$ (53.3)	\$ (45.3)

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.
 (**) Source: 2017-18 Executive Budget dated January 17, 2017.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2017	10 MOS. ENDED JAN. 31, 2017	MONTH OF JAN. 2016	10 MOS. ENDED JAN. 31, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 4,135.7	\$ 29,092.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,135.7	\$ 29,092.1	\$ 3,663.3	\$ 28,264.4	\$ 827.7	2.9%
Estimated Payments	3,481.6	14,790.1	-	-	-	-	-	-	3,481.6	14,790.1	3,806.8	15,925.9	(1,135.8)	-7.1%
Returns	19.5	2,421.5	-	-	-	-	-	-	19.5	2,421.5	17.8	2,443.6	(22.1)	-0.9%
State/City Offsets	(15.2)	(770.3)	-	-	-	-	-	-	(15.2)	(770.3)	(21.2)	(624.8)	145.5	23.3%
Other (Assessments/LLC)	118.8	1,079.2	-	-	-	-	-	-	118.8	1,079.2	107.7	968.7	110.5	11.4%
Gross Receipts	7,740.4	46,612.6	-	-	-	-	-	-	7,740.4	46,612.6	7,574.4	46,977.8	(365.2)	-0.8%
Transfers to School Tax Relief Fund	(2,243.6)	(2,895.9)	2,243.6	2,895.9	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,898.7)	(10,118.2)	-	-	1,898.7	10,118.2	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(145.5)	(6,139.7)	-	-	-	-	-	-	(145.5)	(6,139.7)	(353.2)	(5,736.1)	403.6	7.0%
Total	3,452.6	27,458.8	2,243.6	2,895.9	1,898.7	10,118.2	-	-	7,594.9	40,472.9	7,221.2	41,241.7	(768.8)	-1.9%
CONSUMPTION/USE TAXES														
Sales and Use	535.4	5,443.3	74.2	782.8	535.0	5,440.3	-	-	1,144.6	11,666.4	1,088.9	11,208.3	458.1	4.1%
Auto Rental	-	-	3.4	43.3	-	-	5.9	70.3	9.3	113.6	0.3	104.8	8.8	8.4%
Cigarette/Tobacco Products	27.0	311.4	72.8	759.3	-	-	-	-	99.8	1,070.7	82.6	1,070.7	-	0.0%
Medical Marihuana	-	-	-	0.4	-	-	-	-	-	0.4	-	-	0.4	100.0%
Motor Fuel	-	-	9.0	92.3	-	-	33.2	345.9	42.2	438.2	43.7	419.2	19.0	4.5%
Alcoholic Beverage	32.4	227.4	-	-	-	-	-	-	32.4	227.4	32.3	224.0	3.4	1.5%
Highway Use	-	-	(0.2)	2.0	-	-	11.2	117.0	11.0	119.0	11.4	137.5	(18.5)	-13.5%
Metropolitan Commuter Trans. Taxicab Trip	-	-	14.0	63.3	-	-	-	-	14.0	63.3	16.2	71.7	(8.4)	-11.7%
Total	594.8	5,982.1	173.2	1,743.4	535.0	5,440.3	50.3	533.2	1,353.3	13,699.0	1,275.4	13,236.2	462.8	3.5%
BUSINESS TAXES														
Corporation Franchise	(3.2)	2,071.6	36.8	558.0	-	-	-	-	33.6	2,629.6	(126.3)	3,135.9	(506.3)	-16.1%
Corporation and Utilities	4.0	359.3	20.7	121.6	-	-	4.2	12.1	28.9	493.0	17.2	500.5	(7.5)	-1.5%
Insurance	4.4	891.1	0.1	118.7	-	-	-	-	4.5	1,009.8	11.3	940.7	69.1	7.3%
Bank	(13.4)	312.8	(9.4)	55.1	-	-	-	-	(22.8)	367.9	13.0	(68.5)	436.4	637.1%
Petroleum Business	-	-	39.9	423.6	-	-	49.7	528.9	89.6	952.5	100.5	948.7	3.8	0.4%
Total	(8.2)	3,634.8	88.1	1,277.0	-	-	53.9	541.0	133.8	5,452.8	15.7	5,457.3	(4.5)	-0.1%
OTHER TAXES														
Real Property Gains	-	0.1	-	-	-	-	-	-	-	0.1	-	-	0.1	100.0%
Estate and Gift	62.9	949.3	-	-	-	-	-	-	62.9	949.3	106.4	1,346.3	(397.0)	-29.5%
Pari-Mutuel	0.7	13.6	-	-	-	-	-	-	0.7	13.6	1.0	14.9	(1.3)	-8.7%
Real Estate Transfer	-	-	-	-	83.4	864.3	11.9	95.3	95.3	959.6	112.7	979.1	(19.5)	-2.0%
Racing and Exhibitions	0.1	2.5	-	-	-	-	-	-	0.1	2.5	0.2	1.4	1.1	78.6%
Metropolitan Commuter Trans. Mobility	-	-	180.8	1,105.1	-	-	-	-	180.8	1,105.1	146.8	1,036.3	68.8	6.6%
Total	63.7	965.5	180.8	1,105.1	83.4	864.3	11.9	95.3	339.8	3,030.2	367.1	3,378.0	(347.8)	-10.3%
Total Tax Receipts	\$ 4,102.9	\$ 38,041.2	\$ 2,685.7	\$ 7,021.4	\$ 2,517.1	\$ 16,422.8	\$ 116.1	\$ 1,169.5	\$ 9,421.8	\$ 62,654.9	\$ 8,879.4	\$ 63,313.2	\$ (658.3)	-1.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)**

	2016												2017				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease				
Administrative Recoveries	0.4	29.1	34.6	20.9	9.9	28.6	8.1	9.6	40.4	5.7			187.3	145.9	41.4	28.4%				
Commissions	0.2	0.2	0.2	0.1	0.3	2.8	-	0.4	0.4	0.1			4.7	7.5	(2.8)	-37.3%				
Gifts, Grants and Donations	3.4	2.6	3.2	1.6	0.7	4.0	2.4	2.9	6.7	0.7			28.2	7.5	20.7	276.0%				
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1	23.9	0.5	25.9	3.2	3.6			92.7	89.2	3.5	3.9%				
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9	275.3	162.9	121.8	272.9	83.6			1,852.9	1,168.6	684.3	58.6%				
Rebates	9.2	12.7	11.4	22.4	10.1	13.8	12.9	12.3	14.3	12.3			131.4	127.8	3.6	2.8%				
Restitution and Settlements	7.2	132.7	63.3	35.5	2.4	3.5	9.8	0.7	24.2	8.1			287.4	1,272.3	(984.9)	-77.4%				
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4	7.9	6.0	39.4	2.5			97.3	57.9	39.4	68.0%				
All Other	49.0	28.4	54.4	43.3	39.9	56.5	52.0	37.0	22.5	36.4			419.4	76.8	342.6	446.1%				
Sales	1.8	1.4	1.6	1.4	1.6	1.4	8.7	1.0	1.3	4.2			24.4	20.6	3.8	18.4%				
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	164.5	67.3	55.1	275.4			1,368.3	1,715.0	(346.7)	-20.2%				
Total Miscellaneous Receipts	1,455.5	2,101.6	2,347.3	1,542.0	1,965.6	2,857.7	1,822.8	1,973.1	2,212.4	1,768.9	-	-	20,046.9	21,889.1	(1,842.2)	-8.4%				
Federal Receipts	3,275.7	4,197.5	5,208.4	3,646.2	4,205.4	5,381.8	3,558.0	4,210.4	5,992.3	4,073.6			43,749.3	41,425.0	2,324.3	5.6%				
Total Receipts	12,952.1	10,124.4	15,344.4	9,528.1	10,850.8	16,240.2	10,087.8	9,805.5	16,253.5	15,264.3	-	-	126,451.1	126,627.3	(176.2)	-0.1%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	1,097.9	3,326.5	4,064.1	593.6	1,213.7	4,245.9	1,864.3	2,000.5	2,435.0	3,247.4			24,088.9	24,819.4	(730.5)	-2.9%				
Environment and Recreation	3.4	4.3	8.5	12.7	15.3	6.0	7.4	32.9	179.5	7.6			277.6	254.4	23.2	9.1%				
General Government	71.5	45.3	610.1	46.7	58.0	140.3	130.3	44.2	279.0	61.8			1,487.2	1,210.2	277.0	22.9%				
Public Health:																				
Medicaid	3,569.1	4,384.6	4,795.6	4,267.7	4,714.9	3,813.3	3,773.6	5,303.7	4,567.1	3,988.5			43,178.1	41,148.3	2,029.8	4.9%				
Other Public Health	597.4	674.5	841.3	724.0	575.1	888.7	663.0	582.6	1,037.3	741.8			7,325.7	5,269.1	2,056.6	39.0%				
Public Safety	90.9	158.0	107.6	138.8	209.8	146.4	106.4	174.1	196.5	156.4			1,484.9	1,789.2	(304.3)	-17.0%				
Public Welfare	370.1	429.1	748.3	596.5	515.6	1,188.9	443.6	460.2	792.1	427.0			5,971.4	6,247.6	(276.2)	-4.4%				
Support and Regulate Business	5.0	15.9	192.8	64.9	198.5	60.0	15.9	118.0	24.8	67.3			763.1	644.3	118.8	18.4%				
Transportation	226.0	525.0	612.0	387.8	574.5	664.9	450.8	662.3	1,168.3	254.6			5,526.2	5,005.9	520.3	10.4%				
Total Local Assistance Grants	6,031.3	9,563.2	11,980.3	6,832.7	8,075.4	11,154.4	7,455.3	9,378.5	10,679.6	8,952.4	-	-	90,103.1	86,388.4	3,714.7	4.3%				
Departmental Operations:																				
Personal Service	1,074.8	1,060.3	1,386.2	1,045.0	1,094.1	1,258.8	1,038.6	1,404.6	1,074.5	1,037.7			11,474.6	11,404.7	69.9	0.6%				
Non-Personal Service	363.5	523.2	602.6	444.2	666.7	656.3	603.9	605.9	531.3	562.2			5,559.8	5,301.3	258.5	4.9%				
General State Charges	2,629.2	466.2	509.1	430.2	467.9	453.4	529.2	499.2	546.6	465.1			6,996.1	6,807.2	188.9	2.8%				
Debt Service, Including Payments on																				
Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0	32.3	74.1	364.8	25.6			1,960.0	2,145.5	(185.5)	-8.6%				
Capital Projects	313.6	486.0	643.8	470.9	561.4	690.7	587.2	647.9	538.2	465.2			5,404.9	5,239.4	165.5	3.2%				
Total Disbursements	10,525.7	12,261.8	15,211.9	9,248.3	11,147.3	15,003.6	10,246.5	12,610.2	13,735.0	11,508.2	-	-	121,498.5	117,286.5	4,212.0	3.6%				
Excess (Deficiency) of Receipts over Disbursements	2,426.4	(2,137.4)	132.5	279.8	(296.5)	1,236.6	(158.7)	(2,804.7)	2,518.5	3,756.1	-	-	4,952.6	9,340.8	(4,388.2)	-47.0%				
OTHER FINANCING SOURCES (USES):																				
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Transfers from Other Funds	3,182.1	2,615.0	2,979.9	2,667.0	1,834.6	2,705.6	2,316.2	1,873.1	3,125.6	2,138.8			25,437.9	26,584.6	(1,146.7)	-4.3%				
Transfers to Other Funds	(3,187.4)	(2,619.0)	(2,981.8)	(2,667.9)	(1,849.4)	(2,702.9)	(2,319.3)	(1,876.4)	(3,126.8)	(2,138.2)			(25,469.1)	(26,665.2)	(1,196.1)	-4.5%				
Total Other Financing Sources (Uses)	(5.3)	(4.0)	(1.9)	(0.9)	(14.8)	2.7	(3.1)	(3.3)	(1.2)	0.6	-	-	(31.2)	(80.6)	49.4	61.3%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,421.1	(2,141.4)	130.6	278.9	(311.3)	1,239.3	(161.8)	(2,808.0)	2,517.3	3,756.7	-	-	4,921.4	9,260.2	(4,338.8)	-46.9%				
Ending Fund Balance	\$ 14,231.2	\$ 12,089.8	\$ 12,220.4	\$ 12,499.3	\$ 12,188.0	\$ 13,427.3	\$ 13,265.5	\$ 10,457.5	\$ 12,974.8	\$ 16,731.5	\$ -	\$ -	\$ 16,731.5	\$ 18,615.8	\$ (1,884.3)	-10.1%				

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2016-2017
(Amounts in millions)**

	2016												2017			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease			
Issuance Fees	15.6	6.1	3.2	8.4	5.2	17.2	13.8	0.9	2.3	7.3			80.0	87.0	(7.0)	-8.0%			
Non Bond Related	0.9	0.9	-	(0.4)	0.5	-	23.2	0.1	47.7	16.6			89.5	49.0	40.5	82.7%			
Receipts from Municipalities	58.4	24.3	54.0	21.4	21.6	24.8	22.1	20.6	23.8	21.4			292.4	99.7	192.7	193.3%			
Rentals	55.4	31.5	21.4	2.5	47.2	1.3	34.9	49.9	31.1	47.4			322.6	213.0	109.6	51.5%			
Revenues of State Departments:																			
Administrative Recoveries	0.4	29.1	34.5	20.9	9.9	28.6	8.1	9.6	40.4	5.7			187.2	145.3	41.9	28.8%			
Commissions	0.2	0.2	0.2	0.1	0.3	2.8	-	0.4	0.4	0.1			4.7	7.5	(2.8)	-37.3%			
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8	1.3	0.4	0.1	0.7			9.8	3.6	6.2	172.2%			
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1	23.9	0.5	25.9	3.2	3.6			92.7	89.0	3.7	4.2%			
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9	275.3	162.9	121.8	272.9	83.6			1,852.9	1,168.6	684.3	58.6%			
Rebates	1.3	3.5	3.6	14.0	0.7	5.3	3.1	3.2	5.3	2.9			42.9	43.5	(0.6)	-1.4%			
Restitution and Settlements	7.2	132.2	62.8	33.8	0.3	0.7	9.6	0.6	23.8	7.9			278.9	1,268.2	(989.3)	-78.0%			
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4	7.9	6.0	39.4	2.5			97.3	57.9	39.4	68.0%			
All Other	48.8	27.2	51.8	42.5	43.1	51.4	52.6	35.2	23.5	34.6			410.7	60.1	350.6	583.4%			
Sales	0.7	1.4	1.3	1.3	1.5	1.3	8.6	0.7	0.7	4.2			21.7	19.6	2.1	10.7%			
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	164.5	67.3	55.1	275.4			1,368.3	1,715.0	(346.7)	-20.2%			
Total Miscellaneous Receipts	1,357.3	1,858.6	1,677.1	1,438.8	1,862.5	2,192.9	1,482.6	1,879.6	2,074.9	1,536.5	-	-	17,360.8	18,686.7	(1,325.9)	-7.1%			
Federal Receipts	14.8	0.7	0.1	0.5	20.4	(0.1)	-	(1.0)	0.2	1.7			37.3	37.4	(0.1)	-0.3%			
Total Receipts	9,500.3	5,601.3	9,333.5	5,652.0	6,447.3	10,053.9	6,076.0	5,389.6	9,985.7	10,843.9	-	-	78,883.5	80,871.5	(1,988.0)	-2.5%			
DISBURSEMENTS:																			
Local Assistance Grants:																			
Education	829.5	3,043.9	3,766.9	418.1	1,016.7	4,116.4	1,513.5	1,828.1	2,247.0	3,058.3			21,838.4	21,809.5	28.9	0.1%			
Environment and Recreation	0.1	0.4	0.6	0.2	2.8	0.5	0.1	0.8	1.4	0.6			7.5	9.4	(1.9)	-20.2%			
General Government	11.8	29.9	583.8	29.1	36.3	103.3	55.1	10.1	193.1	22.6			1,075.1	1,077.1	(2.0)	-0.2%			
Public Health:																			
Medicaid	1,325.3	1,757.5	1,790.2	1,712.0	1,758.2	1,667.3	1,474.7	1,986.9	1,804.5	1,196.1			16,472.7	15,702.0	770.7	4.9%			
Other Public Health	174.5	278.4	629.0	223.9	140.3	507.3	203.7	143.3	477.0	278.7			3,056.1	2,648.7	410.4	15.5%			
Public Safety	19.0	30.4	19.3	24.4	22.6	42.5	2.9	28.5	30.6	15.7			235.9	272.3	(36.4)	-13.4%			
Public Welfare	123.3	131.0	421.4	288.7	168.6	194.8	164.5	190.3	400.8	213.4			2,296.8	2,338.1	(41.3)	-1.8%			
Support and Regulate Business	2.3	7.6	16.0	18.6	30.2	19.8	11.8	63.4	17.5	21.8			209.0	145.2	63.8	43.9%			
Transportation	192.1	481.0	524.2	307.9	489.4	428.7	376.4	621.9	881.7	206.2			4,509.5	4,243.8	265.7	6.3%			
Total Local Assistance Grants	2,677.9	5,760.1	7,751.4	3,022.9	3,665.1	7,080.6	3,802.7	4,873.3	6,053.6	5,013.4	-	-	49,701.0	48,243.1	1,457.9	3.0%			
Departmental Operations:																			
Personal Service	1,026.0	1,016.1	1,314.3	1,003.7	1,035.5	1,211.7	993.4	1,335.1	1,025.9	993.4			10,955.1	10,908.8	46.3	0.4%			
Non-Personal Service	317.9	429.2	485.9	364.8	514.7	477.6	502.2	495.1	447.9	422.4			4,457.7	4,340.8	116.9	2.7%			
General State Charges	2,618.7	431.1	494.9	430.0	433.4	416.6	525.5	469.5	506.4	441.3			6,767.4	6,600.2	167.2	2.5%			
Debt Service, Including Payments on																			
Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0	32.3	74.1	364.8	25.6			1,960.0	2,145.5	(185.5)	-8.6%			
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	0.1	0.2	-			2.7	1.3	1.4	107.7%			
Total Disbursements	6,753.9	7,799.5	10,136.6	4,846.9	5,930.7	9,978.1	5,856.1	7,247.2	8,398.8	6,896.1	-	-	73,843.9	72,239.7	1,604.2	2.2%			
Excess (Deficiency) of Receipts over Disbursements	2,746.4	(2,198.2)	(803.1)	805.1	516.6	75.8	219.9	(1,857.6)	1,586.9	3,947.8	-	-	5,039.6	8,631.8	(3,592.2)	-41.6%			
OTHER FINANCING SOURCES (USES):																			
Transfers from Other Funds (**)	3,034.0	2,444.0	2,968.6	2,324.9	1,506.2	2,728.2	2,038.0	1,595.3	2,690.9	2,002.6			23,332.7	25,382.2	(2,049.5)	-8.1%			
Transfers to Other Funds (**)	(3,076.5)	(2,440.4)	(2,766.9)	(2,527.2)	(1,782.8)	(2,294.2)	(2,231.4)	(1,691.9)	(2,926.0)	(2,072.4)			(23,809.7)	(24,667.7)	(858.0)	-3.5%			
Total Other Financing Sources (Uses)	(42.5)	3.6	201.7	(202.3)	(276.6)	434.0	(193.4)	(96.6)	(235.1)	(69.8)	-	-	(477.0)	714.5	(1,191.5)	-166.8%			
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,703.9	(2,194.6)	(601.4)	602.8	240.0	509.8	26.5	(1,954.2)	1,351.8	3,878.0	-	-	4,562.6	9,346.3	(4,783.7)	-51.2%			
Ending Fund Balance	\$ 15,345.1	\$ 13,150.5	\$ 12,549.1	\$ 13,151.9	\$ 13,391.9	\$ 13,901.7	\$ 13,928.2	\$ 11,974.0	\$ 13,325.8	\$ 17,203.8	\$ -	\$ -	\$ 17,203.8	\$ 19,237.1	\$ (2,033.3)	-10.6%			

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT F

	2016												2017				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease				
Federal Receipts	-	0.2	0.1	-	-	-	-	-	-	0.1	-	-	0.4	0.3	0.1	33.3%				
Total Receipts	5,635.4	2,866.6	4,960.8	2,721.5	3,228.8	5,582.2	3,050.3	2,709.2	5,855.7	4,241.8	-	-	40,852.3	43,406.1	(2,553.8)	-5.9%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	828.1	3,043.4	3,045.9	418.3	1,015.2	1,854.8	1,367.0	1,664.9	1,995.0	671.0			15,903.6	15,589.5	314.1	2.0%				
Environment and Recreation	0.1	0.4	0.4	-	2.2	0.3	0.1	0.6	0.1	0.1			1,191.6	692.0	4.9	-12.2%				
General Government	2.1	12.6	559.7	10.7	2.3	99.7	41.2	3.4	182.0	3.5			917.2	930.4	(13.2)	-1.4%				
Public Health:																				
Medicaid	990.1	1,259.9	1,361.8	1,070.3	1,281.1	1,261.3	1,039.8	1,343.8	1,375.3	704.2			11,687.6	11,290.9	396.7	3.5%				
Other Public Health	24.7	210.8	255.3	62.1	44.4	200.4	50.8	71.6	154.3	117.2			1,191.6	692.0	499.6	72.2%				
Public Safety	7.2	11.0	8.1	17.0	9.2	26.8	7.3	13.3	18.3	5.7			123.9	166.1	(42.2)	-25.4%				
Public Welfare	122.9	130.1	420.9	288.6	168.0	194.7	163.9	189.4	400.7	214.6			2,293.8	2,334.4	(40.6)	-1.7%				
Support and Regulate Business	2.2	6.4	11.3	17.2	27.7	16.4	10.9	24.9	15.0	20.2			152.2	57.0	95.2	167.0%				
Transportation	-	23.2	11.0	0.1	23.9	-	-	23.8	9.9	-			91.9	97.2	(5.3)	-5.5%				
Total Local Assistance Grants	1,977.4	4,697.8	5,674.4	1,864.3	2,574.0	3,654.4	2,681.0	3,335.7	4,150.6	1,736.5	-	-	32,366.1	31,162.4	1,203.7	3.9%				
Departmental Operations:																				
Personal Service	474.9	487.9	609.4	476.2	490.3	577.8	461.8	621.5	483.5	430.1			5,113.4	5,111.2	2.2	0.0%				
Non-Personal Service	102.9	135.3	162.1	131.5	186.4	171.3	220.9	205.2	129.9	149.2			1,594.7	1,449.3	145.4	10.0%				
General State Charges	2,439.7	193.5	391.3	403.1	364.3	-	500.6	(21.6)	404.0	351.3			5,026.2	5,093.1	(66.9)	-1.3%				
Total Disbursements	4,994.9	5,514.5	6,837.2	2,895.1	3,615.0	4,403.5	3,864.3	4,140.8	5,168.0	2,667.1	-	-	44,100.4	42,816.0	1,284.4	3.0%				
Excess (Deficiency) of Receipts over Disbursements	640.5	(2,647.9)	(1,876.4)	(173.6)	(386.2)	1,178.7	(814.0)	(1,431.6)	687.7	1,574.7	-	-	(3,248.1)	590.1	(3,838.2)	-650.4%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Revenue Bond Tax Fund	1,594.3	530.8	1,181.0	469.7	269.9	1,430.0	633.2	245.5	1,187.1	582.5			8,124.0	8,473.0	(349.0)	-4.1%				
Transfers from LGAC / STRBTF	442.8	295.7	727.0	455.1	392.1	621.9	440.4	453.1	576.6	478.7			4,883.4	4,854.0	29.4	0.6%				
Transfers from CW/CA Fund	74.0	74.1	90.5	96.3	84.8	83.2	78.7	77.2	71.2	77.9			807.9	823.5	(15.6)	-1.9%				
Transfers from Other Funds	5.0	38.8	23.0	6.0	0.7	43.4	21.1	42.6	4.7	86.3			271.6	538.4	(266.8)	-49.6%				
Transfers to State Capital Projects	(122.3)	(71.3)	39.6	(214.3)	(341.6)	23.8	(213.4)	(226.3)	(370.9)	(167.2)			(1,663.9)	(733.5)	930.4	126.8%				
Transfers to Federal Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Transfers to All Other Capital Projects	(40.0)	(108.0)	(145.7)	(130.0)	-	(16.5)	(67.2)	(60.5)	(72.0)	(76.0)			(715.9)	(817.9)	(102.0)	-12.5%				
Transfers to General Debt Service	(245.3)	1.8	3.0	(166.9)	18.0	80.7	(104.1)	1.7	1.6	(344.5)			(754.0)	(842.4)	(88.4)	-10.5%				
Transfers to All Other State Funds	(380.4)	(1,256.2)	(582.3)	(787.2)	(574.0)	(108.4)	(616.9)	(493.1)	(564.5)	(180.0)			(5,553.0)	(6,406.2)	(853.2)	-13.3%				
Total Other Financing Sources (Uses)	1,318.1	(494.3)	1,336.1	(271.3)	(150.1)	2,158.1	171.8	40.2	833.8	457.7	-	-	5,400.1	5,888.9	(488.8)	-8.3%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,958.6	(3,142.2)	(540.3)	(444.9)	(536.3)	3,336.8	(642.2)	(1,391.4)	1,521.5	2,032.4	-	-	2,152.0	6,479.0	(4,327.0)	-66.8%				
Ending Fund Balance	\$ 10,892.7	\$ 7,750.5	\$ 7,210.2	\$ 6,765.3	\$ 6,229.0	\$ 9,565.8	\$ 8,923.6	\$ 7,532.2	\$ 9,053.7	\$ 11,086.1	\$ -	\$ -	\$ 11,086.1	\$ 13,778.5	\$ (2,692.4)	-19.5%				

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016										2017			Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017		2016	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 3,607.1	\$ 3,870.6	\$ 4,768.1	\$ 5,296.3	\$ 5,554.9	\$ 5,577.7	\$ 4,365.8	\$ 4,562.2	\$ 2,999.8	\$ 4,181.3			\$ -	\$ 3,607.1	\$ 2,661.8	\$ 945.3	35.5%	
RECEIPTS:																		
Taxes:																		
Personal Income Tax	1.3	-	420.2	-	-	108.4	4.6	10.7	107.1	2,243.6			-	2,895.9	3,328.3	(432.4)	-13.0%	
Consumption/Use Taxes:																		
Sales and Use	90.9	65.8	89.5	69.3	65.8	92.3	69.7	69.1	96.2	74.2			-	782.8	754.6	28.2	3.7%	
Auto Rental	0.7	-	13.4	4.5	4.4	5.4	3.7	3.8	4.0	3.4			-	43.3	39.3	4.0	10.2%	
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6	75.6	72.6	78.6	72.2	72.8			-	759.3	798.3	(39.0)	-4.9%	
Medical Marijuana	-	-	0.1	-	-	0.1	0.1	-	0.1	-			-	0.4	-	0.4	100.0%	
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5	9.4	9.6	8.9	9.0			-	92.3	87.5	4.8	5.5%	
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%	
Highway Use	-	-	-	-	-	-	-	1.7	0.2	0.3			-	2.0	-	2.0	100.0%	
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6	14.5	0.3	0.8	14.0			-	63.3	71.7	(8.4)	-11.7%	
Total Consumption/Use Taxes	185.4	144.8	199.7	174.2	166.8	183.5	171.7	161.6	182.5	173.2			-	1,743.4	1,751.4	(8.0)	-0.5%	
Business Taxes:																		
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1	34.3	8.4	140.2	36.8			-	558.0	515.5	42.5	8.2%	
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3	31.9	0.1	(0.3)	33.6	20.7			-	121.6	104.2	17.4	16.7%	
Insurance	1.5	0.2	40.3	0.8	1.1	34.6	1.9	(0.1)	38.3	0.1			-	118.7	102.7	16.0	15.6%	
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)	53.4	(2.9)	13.4	(9.4)			-	55.1	14.7	40.4	274.8%	
Petroleum Business	39.3	34.7	41.5	52.5	43.8	43.8	44.0	41.9	44.5	39.9			-	423.6	421.3	2.3	0.5%	
Total Business Taxes	73.3	55.1	224.7	67.8	70.4	246.9	133.7	47.0	270.0	88.1			-	1,277.0	1,158.4	118.6	10.2%	
Other Taxes:																		
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7	111.7	97.2	106.5	180.8			-	1,105.1	1,036.3	68.8	6.6%	
Total Other Taxes	116.6	94.0	100.6	85.2	107.8	104.7	111.7	97.2	106.5	180.8			-	1,105.1	1,036.3	68.8	6.6%	
Total Taxes	376.6	293.9	945.2	327.2	345.0	643.5	421.7	316.5	666.1	2,685.7			-	7,021.4	7,274.4	(253.0)	-3.5%	
Miscellaneous Receipts:																		
Abandoned Property:																		
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8	0.7	1.1	0.7	0.7			-	8.5	8.9	(0.4)	-4.5%	
Assessments:																		
Business	32.4	59.5	113.4	8.7	38.6	114.1	9.1	37.5	102.3	18.2			-	533.8	679.0	(145.2)	-21.4%	
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2	465.1	434.9	493.1	458.0			-	4,592.4	4,273.0	319.4	7.5%	
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4	(0.1)	(14.0)	(2.2)	(0.1)			-	34.5	26.6	7.9	29.7%	
Other	20.4	18.9	19.3	19.8	19.1	19.7	19.1	18.5	10.1	9.0			-	173.9	185.3	(11.4)	-6.2%	
Fees, Licenses and Permits:																		
Audit Fees	-	0.9	1.0	0.1	-	-	0.1	0.1	-	-			-	2.2	2.1	0.1	4.8%	
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8	52.8	62.6	75.1	42.3			-	553.5	889.9	(336.4)	-37.8%	
Civil	5.4	4.2	4.5	4.5	4.8	4.7	5.6	4.8	5.2	4.4			-	48.1	46.0	2.1	4.6%	
Criminal	-	2.0	0.3	0.3	1.8	-	0.6	0.3	1.3	0.3			-	6.9	7.2	(0.3)	-4.2%	
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6	39.2	37.4	44.1	30.4			-	400.5	406.0	(5.5)	-1.4%	
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0	96.0	65.7	59.2	59.2	63.1			-	583.6	213.0	370.6	174.0%	
Fines, Penalties and Forfeitures	5.1	25.0	11.1	12.4	15.8	5.4	14.5	12.4	9.7	8.5			-	119.9	437.7	(317.8)	-72.6%	
Gaming:																		
Casino	15.3	-	34.4	15.6	-	37.0	16.3	-	39.7	16.0			-	174.3	203.6	(29.3)	-14.4%	
Lottery	188.8	202.5	244.8	200.9	228.0	175.1	174.8	217.8	183.0	181.6			-	1,997.3	2,100.9	(103.6)	-4.9%	
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1	71.8	87.7	62.9	75.3			-	788.1	791.3	(3.2)	-0.4%	
Interest Earnings	2.8	5.2	3.9	4.4	5.1	4.2	4.2	4.9	4.3	5.4			-	44.4	30.5	13.9	45.6%	
Receipts from Public Authorities:																		
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%	
Cost Recovery Assessments	-	-	22.6	(2.2)	-	-	-	-	-	-			-	20.4	20.4	-	0.0%	
Issuance Fees	7.2	-	-	-	-	-	-	-	-	-			-	7.2	7.2	-	0.0%	
Non Bond Related	0.9	-	-	0.2	0.5	-	0.2	0.1	26.7	16.6			-	45.2	6.7	38.5	574.6%	
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1	7.1	4.2	3.6	7.0	4.6			-	138.2	94.4	43.8	46.4%	
Rentals	55.3	31.1	21.3	2.2	46.8	1.2	34.4	49.7	30.9	47.1			-	320.0	209.0	111.0	53.1%	
Revenues of State Departments:																		
Administrative Recoveries	0.4	29.1	9.7	20.2	9.7	8.5	8.4	8.6	20.7	5.5			-	120.8	84.8	36.0	42.5%	
Commissions	0.2	0.2	0.2	0.1	0.3	2.7	-	0.3	0.4	0.1			-	4.5	7.5	(3.0)	-40.0%	
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8	1.3	0.4	0.1	0.7			-	9.8	3.5	6.3	180.0%	
Indirect Cost Recoveries	-	5.0	-	-	-	-	0.1	-	-	-			-	5.1	4.0	1.1	27.5%	
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6	234.3	131.6	94.0	249.0	2.2			-	1,459.5	755.1	704.4	93.3%	
Rebates	11.0	12.7	10.0	12.4	10.1	14.5	11.2	12.3	12.4	12.1			-	129.7	121.4	8.3	6.8%	
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3	0.5	9.1	0.5	0.9	7.8			-	121.2	39.3	81.9	208.4%	
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4	7.9	6.0	39.4	2.5			-	97.3	57.9	39.4	68.0%	
All Other	50.3	26.0	48.0	38.8	35.7	44.7	50.0	34.0	19.9	35.0			-	382.4	36.0	346.4	962.2%	
Sales	0.7	1.4	1.5	1.3	1.3	1.3	8.6	0.8	0.7	4.2			-	21.8	15.5	6.3	40.6%	

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016												Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH		2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	164.5	67.3	55.1	275.4	-	-	-	1,368.3	1,715.0	(346.7)	-20.2%
Total Miscellaneous Receipts	1,291.3	1,363.1	1,547.3	1,227.4	1,506.5	1,877.8	1,371.0	1,249.3	1,551.7	1,327.9	-	-	-	14,313.3	13,478.7	834.6	6.2%
Federal Receipts	3,149.5	4,040.9	4,981.0	3,491.2	3,940.8	5,019.4	3,393.9	4,005.9	5,612.0	3,933.8	-	-	-	41,568.4	39,573.4	1,995.0	5.0%
Total Receipts	4,817.4	5,697.9	7,473.5	5,045.8	5,792.3	7,540.7	5,186.6	5,571.7	7,829.8	7,947.4	-	-	-	62,903.1	60,326.5	2,576.6	4.3%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	269.8	282.9	1,018.1	167.5	192.9	2,388.4	494.7	332.7	432.5	2,575.9	-	-	-	8,155.4	9,202.8	(1,047.4)	-11.4%
Environment and Recreation	0.6	-	0.9	0.4	1.1	0.5	0.1	0.4	1.4	0.6	-	-	-	6.0	7.0	(1.0)	-14.3%
General Government	10.5	21.2	32.4	19.4	37.1	5.2	22.9	10.1	14.1	20.3	-	-	-	193.2	189.6	3.6	1.9%
Public Health:																	
Medicaid	2,579.0	3,124.7	3,433.8	3,197.4	3,433.8	2,552.0	2,733.8	3,959.9	3,191.8	3,284.3	-	-	-	31,490.5	29,857.4	1,633.1	5.5%
Other Public Health	570.0	452.2	578.6	652.1	527.3	676.5	601.5	504.2	872.7	575.7	-	-	-	6,010.8	4,467.8	1,543.0	34.5%
Public Safety	85.0	141.1	99.0	119.6	200.0	109.2	86.1	158.0	176.7	148.5	-	-	-	1,323.2	1,572.3	(249.1)	-15.8%
Public Welfare	247.2	283.2	327.4	272.9	341.6	978.5	270.5	258.3	390.9	182.5	-	-	-	3,553.0	3,831.0	(278.0)	-7.3%
Support and Regulate Business	0.1	1.2	4.7	1.7	3.8	3.4	1.0	39.4	2.5	1.7	-	-	-	59.5	93.8	(34.3)	-36.6%
Transportation	198.2	461.4	522.1	310.4	472.6	432.9	379.7	603.1	879.7	210.5	-	-	-	4,470.6	4,179.0	291.6	7.0%
Total Local Assistance Grants	3,960.4	4,767.9	6,017.0	4,741.4	5,210.2	7,146.6	4,590.3	5,866.1	5,962.3	7,000.0	-	-	-	55,262.2	53,400.7	1,861.5	3.5%
Departmental Operations:																	
Personal Service	599.9	572.4	776.8	568.8	603.8	681.0	576.8	783.1	591.0	607.6	-	-	-	6,361.2	6,293.5	67.7	1.1%
Non-Personal Service	260.1	386.2	439.7	298.2	477.1	481.6	382.1	399.1	399.9	412.0	-	-	-	3,936.0	3,828.3	107.7	2.8%
General State Charges	189.5	272.7	117.8	27.1	103.6	453.4	28.6	520.8	142.6	113.8	-	-	-	1,969.9	1,714.1	255.8	14.9%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	0.1	0.2	-	-	-	-	2.7	1.3	1.4	107.7%
Total Disbursements	5,010.0	5,999.3	7,351.5	5,635.7	6,394.9	8,764.2	5,577.8	7,569.2	7,096.0	8,133.4	-	-	-	67,532.0	65,237.9	2,294.1	3.5%
Excess (Deficiency) of Receipts over Disbursements	(192.6)	(301.4)	122.0	(589.9)	(602.6)	(1,223.5)	(391.2)	(1,997.5)	733.8	(186.0)	-	-	-	(4,628.9)	(4,911.4)	282.5	5.8%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6	677.4	633.4	655.6	372.5	-	-	(393.0)	6,549.9	7,497.7	(947.8)	-12.6%
Transfers to Other Funds	(103.9)	(166.7)	(348.8)	(148.3)	(62.6)	(207.0)	(89.8)	(198.3)	(207.9)	(246.6)	-	-	393.0	(1,406.9)	(1,853.8)	(446.9)	-24.1%
Total Other Financing Sources (Uses)	456.1	1,198.9	406.2	848.5	625.4	11.6	587.6	435.1	447.7	125.9	-	-	-	5,143.0	5,643.9	(500.9)	-8.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	263.5	897.5	528.2	258.6	22.8	(1,211.9)	196.4	(1,562.4)	1,181.5	(60.1)	-	-	-	514.1	732.5	(218.4)	-29.8%
Ending Fund Balance	\$ 3,870.6	\$ 4,768.1	\$ 5,296.3	\$ 5,554.9	\$ 5,577.7	\$ 4,365.8	\$ 4,562.2	\$ 2,999.8	\$ 4,181.3	\$ 4,121.2	\$ -	\$ -	\$ -	\$ 4,121.2	\$ 3,394.3	\$ 726.9	21.4%

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

											10 Months Ended January 31						
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 3,547.4	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2	\$ 6,054.3	\$ 3,961.4	\$ 4,431.7	\$ 3,565.4	\$ 3,552.4			\$ 3,547.4	\$ 2,472.6	\$ 1,074.8	43.5%	
RECEIPTS:																	
Taxes:																	
Personal Income Tax	1.3	-	420.2	-	-	108.4	4.6	10.7	107.1	2,243.6			2,895.9	3,328.3	(432.4)	-13.0%	
Consumption/Use Taxes:																	
Sales and Use	90.9	65.8	89.5	69.3	65.8	92.3	69.7	69.1	96.2	74.2			782.8	754.6	28.2	3.7%	
Auto Rental	0.7	-	13.4	4.5	4.4	5.4	3.7	3.8	4.0	3.4			43.3	39.3	4.0	10.2%	
Cigarette/Tobacco Products	69.9	70.5	74.6	74.6	85.6	75.6	72.6	78.6	72.2	72.8			759.3	798.3	(39.0)	-4.9%	
Medical Marijuana	-	-	0.1	-	-	0.1	0.1	-	0.1	-			0.4	-	0.4	100.0%	
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5	9.4	9.6	8.9	9.0			92.3	87.5	4.8	5.5%	
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Highway Use	-	-	-	-	-	-	1.7	0.2	0.3	(0.2)			2.0	-	2.0	100.0%	
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6	14.5	0.3	0.8	14.0			63.3	71.7	(8.4)	-11.7%	
Total Consumption/Use Taxes	185.4	144.8	199.7	174.2	166.8	183.5	171.7	161.6	182.5	173.2			1,743.4	1,751.4	(8.0)	-0.5%	
Business Taxes																	
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1	34.3	8.4	140.2	36.8			558.0	515.5	42.5	8.2%	
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3	31.9	0.1	(0.3)	33.6	20.7			121.6	104.2	17.4	16.7%	
Insurance	1.5	0.2	40.3	0.8	1.1	34.6	1.9	(0.1)	38.3	0.1			118.7	102.7	16.0	15.6%	
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)	53.4	(2.9)	13.4	(9.4)			55.1	14.7	40.4	274.8%	
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8	44.0	41.9	44.5	39.9			423.6	421.3	2.3	0.5%	
Total Business Taxes	73.3	55.1	224.7	67.8	70.4	246.9	133.7	47.0	270.0	88.1			1,277.0	1,158.4	118.6	10.2%	
Other Taxes																	
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7	111.7	97.2	106.5	180.8			1,105.1	1,036.3	68.8	6.6%	
Total Other Taxes	116.6	94.0	100.6	85.2	107.8	104.7	111.7	97.2	106.5	180.8			1,105.1	1,036.3	68.8	6.6%	
Total Taxes	376.6	293.9	945.2	327.2	345.0	643.5	421.7	316.5	666.1	2,685.7			7,021.4	7,274.4	(253.0)	-3.5%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8	0.7	1.1	0.7	0.7			8.5	8.9	(0.4)	-4.5%	
Assessments:																	
Business	28.5	26.1	113.3	6.7	28.4	114.0	7.6	30.7	102.2	17.1			474.6	622.7	(148.1)	-23.8%	
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2	465.1	434.9	493.1	458.0			4,592.4	4,273.0	319.4	7.5%	
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4	(0.1)	(14.0)	(2.2)	(0.1)			34.5	26.6	7.9	29.7%	
Other	20.4	18.9	19.3	19.8	19.1	19.7	19.1	18.5	10.1	9.0			173.9	185.3	(11.4)	-6.2%	
Fees, Licenses and Permits:																	
Audit Fees	-	0.9	1.0	0.1	-	-	0.1	0.1	-	-			2.2	2.1	0.1	4.8%	
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8	52.8	62.6	75.1	42.3			553.5	889.9	(336.4)	-37.8%	
Civil	5.4	4.2	4.5	4.5	4.8	4.7	5.6	4.8	5.2	4.4			48.1	46.0	2.1	4.6%	
Criminal	-	2.0	0.3	0.3	1.8	-	0.6	0.3	1.3	6.9			7.2	-	7.2	(0.3)	-4.2%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6	39.2	37.4	44.1	30.4			400.5	406.0	(5.5)	-1.4%	
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0	96.0	65.7	65.7	59.2	63.1			583.6	213.0	370.6	174.0%	
Fines, Penalties and Forfeitures	4.2	24.3	10.5	9.2	15.1	4.0	7.8	11.3	8.6	7.7			102.7	415.0	(312.3)	-75.3%	
Gaming:																	
Casino	15.3	-	34.4	15.6	-	37.0	16.3	-	39.7	16.0			174.3	203.6	(29.3)	-14.4%	
Lottery	188.8	202.5	244.8	200.9	228.0	175.1	174.8	217.8	183.0	181.6			1,997.3	2,100.9	(103.6)	-4.9%	
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1	71.8	87.7	62.9	75.3			788.1	791.3	(3.2)	-0.4%	
Interest Earnings	2.6	5.0	3.7	4.3	4.8	4.0	4.0	4.5	4.1	5.0			42.0	29.9	12.1	40.5%	
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Cost Recovery Assessments	-	-	22.6	(2.2)	-	-	-	-	-	-			20.4	20.4	-	0.0%	
Issuance Fees	7.2	-	-	-	-	-	-	-	-	-			7.2	7.2	-	0.0%	
Non Bond Related	0.9	-	-	0.2	0.5	-	0.2	0.1	26.7	16.6			45.2	6.7	38.5	574.6%	
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1	7.1	4.2	3.6	7.0	4.6			138.2	94.4	43.8	46.4%	
Rentals	55.3	31.1	21.3	2.2	46.8	1.2	34.4	49.7	30.9	47.1			320.0	209.0	111.0	53.1%	
Revenues of State Departments:																	
Administrative Recoveries	0.4	29.1	9.6	20.2	9.7	8.5	8.4	8.6	20.7	5.5			120.7	84.2	36.5	43.3%	
Commissions	0.2	0.2	0.2	0.1	0.3	2.7	-	0.3	0.4	0.1			4.5	7.5	(3.0)	-40.0%	
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8	1.3	0.4	0.1	0.7			9.8	3.5	6.3	180.0%	
Indirect Cost Recoveries	-	5.0	-	-	-	-	0.1	-	-	-			5.1	4.0	1.1	27.5%	
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6	234.3	131.6	94.0	249.0	2.2			1,459.5	755.1	704.4	93.3%	
Rebates	3.1	3.5	2.2	14.0	1.0	6.0	1.3	3.2	3.4	3.9			41.6	37.3	4.3	11.5%	
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3	0.5	9.1	0.5	0.9	7.8			121.2	39.2	82.0	209.2%	
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4	7.9	6.0	39.4	2.5			97.3	57.9	39.4	68.0%	
All Other	50.2	25.6	48.1	38.8	39.5	45.9	51.1	34.2	20.4	34.8			386.6	34.9	351.7	1,013.5%	
Sales	0.7	1.4	1.3	1.3	1.3	1.3	8.6	0.7	0.7	4.2			21.5	15.4	6.1	39.6%	

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	10 Months Ended January 31												2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	164.5	67.3	55.1	275.4	-	-	1,368.3	1,715.0	(346.7)	-20.2%
Total Miscellaneous Receipts	1,278.3	1,319.2	1,538.4	1,213.7	1,490.0	1,868.8	1,353.8	1,232.0	1,541.8	1,316.2	-	-	14,152.2	13,313.1	839.1	6.3%
Federal Receipts	14.8	0.5	-	(1.1)	(14.7)	(0.1)	-	(1.0)	0.2	-	-	-	(1.4)	0.6	(2.0)	-333.3%
Total Receipts	1,669.7	1,613.6	2,483.6	1,539.8	1,820.3	2,512.2	1,775.5	1,547.5	2,208.1	4,001.9	-	-	21,172.2	20,588.1	584.1	2.8%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1.4	0.5	721.0	(0.2)	1.5	2,261.6	146.5	163.2	252.0	2,387.3	-	-	5,934.8	6,220.0	(285.2)	-4.6%
Environment and Recreation	-	-	0.2	0.2	0.6	0.2	-	0.2	1.3	0.5	-	-	3.2	4.5	(1.3)	-28.9%
General Government	9.7	17.3	24.1	18.4	34.0	3.6	13.9	6.7	11.1	19.1	-	-	157.9	146.7	11.2	7.6%
Public Health:																
Medicaid	335.2	497.6	428.4	641.7	477.1	406.0	434.9	643.1	429.2	491.9	-	-	4,785.1	4,411.1	374.0	8.5%
Other Public Health	149.8	67.6	373.7	161.8	95.9	306.9	152.9	71.7	322.7	161.5	-	-	1,864.5	1,953.7	(89.2)	-4.6%
Public Safety	11.8	19.4	11.2	7.4	13.4	15.7	(4.4)	15.2	12.3	10.0	-	-	112.0	106.2	5.8	5.5%
Public Welfare	0.4	0.9	0.5	0.1	0.6	0.1	0.6	0.9	0.1	(1.2)	-	-	3.0	3.7	(0.7)	-18.9%
Support and Regulate Business	0.1	1.2	4.7	1.4	2.5	3.4	0.9	38.5	2.5	1.6	-	-	56.8	88.2	(31.4)	-35.6%
Transportation	192.1	457.8	513.2	307.8	465.5	428.7	376.4	598.1	871.8	206.2	-	-	4,417.6	4,146.6	271.0	6.5%
Total Local Assistance Grants	700.5	1,062.3	2,077.0	1,138.6	1,091.1	3,426.2	1,121.7	1,537.6	1,903.0	3,276.9	-	-	17,334.9	17,080.7	254.2	1.5%
Departmental Operations:																
Personal Service	551.1	528.2	704.9	527.5	545.2	633.9	531.6	713.6	542.4	563.3	-	-	5,841.7	5,797.6	44.1	0.8%
Non-Personal Service	214.5	292.2	323.0	218.8	325.1	302.9	280.4	288.3	316.5	272.2	-	-	2,833.9	2,867.8	(33.9)	-1.2%
General State Charges	179.0	237.6	103.6	26.9	69.1	416.6	24.9	491.1	102.4	90.0	-	-	1,741.2	1,507.1	234.1	15.5%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	0.1	0.2	-	-	-	2.7	1.3	1.4	107.7%
Total Disbursements	1,645.2	2,120.4	3,208.7	1,912.0	2,030.7	4,781.2	1,958.6	3,030.7	2,864.5	4,202.4	-	-	27,754.4	27,254.5	499.9	1.8%
Excess (Deficiency) of Receipts over Disbursements	24.5	(506.8)	(725.1)	(372.2)	(210.4)	(2,269.0)	(183.1)	(1,483.2)	(656.4)	(200.5)	-	-	(6,582.2)	(6,666.4)	84.2	1.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6	677.4	633.4	655.6	372.5	-	-	6,942.9	7,929.4	(986.5)	-12.4%
Transfers to Other Funds	(4.4)	(4.3)	(38.9)	(18.4)	(22.5)	(42.5)	(24.0)	(16.5)	(12.2)	(90.1)	-	-	(273.8)	(566.3)	(292.5)	-51.7%
Total Other Financing Sources (Uses)	555.6	1,361.3	716.1	978.4	685.5	176.1	653.4	616.9	643.4	282.4	-	-	6,669.1	7,363.1	(694.0)	-9.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	580.1	854.5	(9.0)	606.2	475.1	(2,092.9)	470.3	(866.3)	(13.0)	81.9	-	-	86.9	696.7	(609.8)	-87.5%
Ending Fund Balance	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2	\$ 6,054.3	\$ 3,961.4	\$ 4,431.7	\$ 3,565.4	\$ 3,552.4	\$ 3,634.3	\$ -	\$ -	\$ 3,634.3	\$ 3,169.3	\$ 465.0	14.7%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

											10 Months Ended January 31					
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 59.7	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)	\$ 404.4	\$ 130.5	\$ (565.6)	\$ 628.9			\$ 59.7	\$ 189.2	\$ (129.5)	-68.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	3.9	33.4	0.1	2.0	10.2	0.1	1.5	6.8	0.1	1.1			59.2	56.3	2.9	5.2%
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Fines, Penalties and Forfeitures	0.9	0.7	0.6	3.2	0.7	1.4	6.7	1.1	1.1	0.8			17.2	22.7	(5.5)	-24.2%
Interest Earnings	0.2	0.2	0.2	0.1	0.3	0.2	0.2	0.4	0.2	0.4			2.4	0.6	1.8	300.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	0.1	-	-	-	-	-	-	-			0.1	0.6	(0.5)	-83.3%
Commissions	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Rebates	7.9	9.2	7.8	8.4	9.1	8.5	9.9	9.1	9.0	9.2			88.1	84.1	4.0	4.8%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-	0.1	(0.1)	-100.0%
Student Loans	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
All Other	0.1	0.4	(0.1)	-	(3.8)	(1.2)	(1.1)	(0.2)	(0.5)	0.2			(6.2)	1.1	(7.3)	-663.6%
Sales	-	-	0.2	-	-	-	-	-	-	-			0.3	0.1	0.2	200.0%
Tuition	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Miscellaneous Receipts	13.0	43.9	8.9	13.7	16.5	9.0	17.2	17.3	9.9	11.7			161.1	165.6	(4.5)	-2.7%
Federal Receipts	3,134.7	4,040.4	4,981.0	3,492.3	3,955.5	5,019.5	3,393.9	4,006.9	5,611.8	3,933.8			41,569.8	39,572.8	1,997.0	5.0%
Total Receipts	3,147.7	4,084.3	4,989.9	3,506.0	3,972.0	5,028.5	3,411.1	4,024.2	5,621.7	3,945.5			41,730.9	39,738.4	1,992.5	5.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

													10 Months Ended January 31			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	268.4	282.4	297.1	167.7	191.4	126.8	348.2	169.5	180.5	188.6			2,220.6	2,982.8	(762.2)	-25.6%
Environment and Recreation	0.6	-	0.7	0.2	0.5	0.3	0.1	0.2	0.1	0.1			2.8	2.5	0.3	12.0%
General Government	0.8	3.9	8.3	1.0	3.1	1.6	9.0	3.4	3.0	1.2			35.3	42.9	(7.6)	-17.7%
Public Health:																
Medicaid	2,243.8	2,627.1	3,005.4	2,555.7	2,956.7	2,146.0	2,298.9	3,316.8	2,762.6	2,792.4			26,705.4	25,446.3	1,259.1	4.9%
Other Public Health	420.2	384.6	204.9	490.3	431.4	369.6	448.6	432.5	550.0	414.2			4,146.3	2,514.1	1,632.2	64.9%
Public Safety	73.2	121.7	87.8	112.2	186.6	93.5	90.5	142.8	164.4	138.5			1,211.2	1,466.1	(254.9)	-17.4%
Public Welfare	246.8	282.3	326.9	272.8	341.0	978.4	269.9	257.4	390.8	183.7			3,550.0	3,827.3	(277.3)	-7.2%
Support and Regulate Business	-	-	-	0.3	1.3	-	-	0.9	-	0.1			2.7	5.6	(2.9)	-51.8%
Transportation	6.1	3.6	8.9	2.6	7.1	4.2	3.3	5.0	7.9	4.3			53.0	32.4	20.6	63.6%
Total Local Assistance Grants	3,259.9	3,705.6	3,940.0	3,602.8	4,119.1	3,720.4	3,468.6	4,328.5	4,059.3	3,723.1	-	-	37,927.3	36,320.0	1,607.3	4.4%
Departmental Operations:																
Personal Service	48.8	44.2	71.9	41.3	58.6	47.1	45.2	69.5	48.6	44.3			519.5	495.9	23.6	4.8%
Non-Personal Service	45.6	94.0	116.7	79.4	152.0	178.7	101.7	110.8	83.4	139.8			1,102.1	960.5	141.6	14.7%
General State Charges	10.5	35.1	14.2	0.2	34.5	36.8	3.7	29.7	40.2	23.8			228.7	207.0	21.7	10.5%
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Disbursements	3,364.8	3,878.9	4,142.8	3,723.7	4,364.2	3,983.0	3,619.2	4,538.5	4,231.5	3,931.0	-	-	39,777.6	37,983.4	1,794.2	4.7%
Excess (Deficiency) of Receipts over Disbursements	(217.1)	205.4	847.1	(217.7)	(392.2)	1,045.5	(208.1)	(514.3)	1,390.2	14.5	-	-	1,953.3	1,755.0	198.3	11.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	(99.5)	(162.4)	(309.9)	(129.9)	(60.1)	(164.5)	(65.8)	(181.8)	(195.7)	(156.5)			(1,526.1)	(1,719.2)	(193.1)	-11.2%
Total Other Financing Sources (Uses)	(99.5)	(162.4)	(309.9)	(129.9)	(60.1)	(164.5)	(65.8)	(181.8)	(195.7)	(156.5)	-	-	(1,526.1)	(1,719.2)	(193.1)	-11.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(316.6)	43.0	537.2	(347.6)	(452.3)	881.0	(273.9)	(696.1)	1,194.5	(142.0)	-	-	427.2	35.8	391.4	1,093.3%
Ending Fund Balance	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)	\$ 404.4	\$ 130.5	\$ (565.6)	\$ 628.9	\$ 486.9	\$ -	\$ -	\$ 486.9	\$ 225.0	\$ 261.9	116.4%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT H

	2016												2017												10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease												
Beginning Fund Balance	\$ 159.7	\$ 324.9	\$ 418.0	\$ 365.9	\$ 807.4	\$ 1,108.6	\$ 374.5	\$ 572.9	\$ 876.4	\$ 719.7			\$ 159.7	\$ 118.7	\$ 41.0	34.5%												
RECEIPTS:																												
Taxes:																												
Personal Income Tax	1,595.9	546.5	1,180.9	640.5	734.9	1,176.1	633.2	523.4	1,188.1	1,898.7			10,118.2	10,310.4	(192.2)	-1.9%												
Consumption/Use Taxes:																												
Sales and Use	498.2	474.3	655.1	508.3	485.4	652.5	499.9	498.7	632.9	535.0			5,440.3	5,227.6	212.7	4.1%												
Total Consumption/Use Taxes	498.2	474.3	655.1	508.3	485.4	652.5	499.9	498.7	632.9	535.0			5,440.3	5,227.6	212.7	4.1%												
Other Taxes:																												
Real Estate Transfer	90.4	74.0	91.1	102.0	90.5	88.8	84.6	82.6	76.9	83.4			864.3	883.8	(19.5)	-2.2%												
Total Other Taxes	90.4	74.0	91.1	102.0	90.5	88.8	84.6	82.6	76.9	83.4			864.3	883.8	(19.5)	-2.2%												
Total Taxes	2,184.5	1,094.8	1,927.1	1,250.8	1,310.8	1,917.4	1,217.7	1,104.7	1,897.9	2,517.1			16,422.8	16,421.8	1.0	0.0%												
Miscellaneous Receipts:																												
Assessments:																												
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Fees, Licenses and Permits:																												
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Interest Earnings	-	-	-	0.1	0.1	-	-	0.1	-	-			0.3	0.1	0.2	200.0%												
Receipts from Municipalities	-	0.4	0.1	-	0.8	1.1	1.2	0.3	0.1	0.1			4.1	5.3	(1.2)	-22.6%												
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%												
Revenues of State Departments:																												
Patient/Client Care Reimbursement	10.7	25.9	(38.1)	138.2	51.3	41.0	31.3	27.8	23.9	81.4			393.4	413.5	(20.1)	-4.9%												
Sales	-	-	-	-	0.1	-	-	-	-	-			0.1	0.1	-	0.0%												
Total Miscellaneous Receipts	10.7	26.3	(38.0)	138.3	52.3	42.1	32.5	28.2	24.0	81.5			397.9	419.0	(21.1)	-5.0%												
Federal Receipts	-	-	-	1.6	35.1	-	-	-	-	1.6			38.3	36.5	1.8	4.9%												
Total Receipts	2,195.2	1,121.1	1,889.1	1,390.7	1,398.2	1,959.5	1,250.2	1,132.9	1,921.9	2,600.2			16,859.0	16,877.3	(18.3)	-0.1%												
DISBURSEMENTS:																												
Departmental Operations:																												
Non-Personal Service	0.5	1.7	0.8	14.5	3.2	3.4	0.9	1.6	1.5	1.0			29.1	23.7	5.4	22.8%												
Debt Service, Including Payments On Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0	32.3	74.1	364.8	25.6			1,960.0	2,145.5	(185.5)	-8.6%												
Total Disbursements	113.8	164.6	90.7	39.8	285.0	793.4	33.2	75.7	366.3	26.6			1,989.1	2,169.2	(180.1)	-8.3%												
Excess (Deficiency) of Receipts over Disbursements	2,081.4	956.5	1,798.4	1,350.9	1,113.2	1,166.1	1,217.0	1,057.2	1,555.6	2,573.6			14,869.9	14,708.1	161.8	1.1%												
OTHER FINANCING SOURCES (USES):																												
Transfers from Other Funds	357.9	139.0	192.1	301.0	50.7	331.1	187.2	143.5	195.7	404.7			2,302.9	2,763.9	(461.0)	-16.7%												
Transfers to Other Funds	(2,274.1)	(1,002.4)	(2,042.6)	(1,210.4)	(862.7)	(2,231.3)	(1,205.8)	(897.2)	(1,908.0)	(1,214.6)			(14,849.1)	(15,301.4)	(452.3)	-3.0%												
Total Other Financing Sources (Uses)	(1,916.2)	(863.4)	(1,850.5)	(909.4)	(812.0)	(1,900.2)	(1,018.6)	(753.7)	(1,712.3)	(809.9)			(12,546.2)	(12,537.5)	(8.7)	-0.1%												
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	165.2	93.1	(52.1)	441.5	301.2	(734.1)	198.4	303.5	(156.7)	1,763.7			2,323.7	2,170.6	153.1	7.1%												
Ending Fund Balance	\$ 324.9	\$ 418.0	\$ 365.9	\$ 807.4	\$ 1,108.6	\$ 374.5	\$ 572.9	\$ 876.4	\$ 719.7	\$ 2,483.4	\$ -	\$ -	\$ 2,483.4	\$ 2,289.3	\$ 194.1	8.5%												

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

	2016												2017		10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease		
Beginning Fund Balance	\$ (890.8)	\$ (857.0)	\$ (846.8)	\$ (652.0)	\$ (628.3)	\$ (727.3)	\$ (878.8)	\$ (793.2)	\$ (950.9)	\$ (979.9)			\$ (890.8)	\$ (724.4)	\$ (166.4)	-23.0%		
RECEIPTS:																		
Taxes:																		
Consumption/Use Taxes:																		
Auto Rental	0.3	-	18.9	0.1	0.1	23.2	-	0.1	21.7	5.9	-	-	70.3	65.5	4.8	7.3%		
Motor Fuel	30.8	29.4	35.1	36.4	39.5	35.3	35.5	35.9	34.8	33.2	-	-	345.9	331.7	14.2	4.3%		
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7	11.3	11.0	11.4	11.2	-	-	117.0	137.5	(20.5)	-14.9%		
Total Consumption/Use Taxes	43.7	40.1	66.3	49.0	51.9	70.2	46.8	47.0	67.9	50.3	-	-	533.2	534.7	(1.5)	-0.3%		
Business Taxes:																		
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Corporation and Utilities	0.8	-	2.1	0.1	-	2.5	-	(0.1)	2.5	4.2	-	-	12.1	8.4	3.7	44.0%		
Petroleum Business	48.2	43.2	52.1	66.2	51.6	55.0	54.9	52.1	55.9	49.7	-	-	528.9	527.4	1.5	0.3%		
Total Business Taxes	49.0	43.2	54.2	66.3	51.6	57.5	54.9	52.0	58.4	53.9	-	-	541.0	535.8	5.2	1.0%		
Other Taxes:																		
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	11.9	12.0	11.9	11.9	-	-	95.3	95.3	-	0.0%		
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	11.9	12.0	11.9	11.9	-	-	95.3	95.3	-	0.0%		
Total Taxes	92.7	83.3	132.4	127.2	115.4	139.6	113.6	111.0	138.2	116.1	-	-	1,169.5	1,165.8	3.7	0.3%		
Miscellaneous Receipts:																		
Abandoned Property:																		
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-	-	-	23.0	23.0	-	0.0%		
Assessments:																		
Business	9.4	8.7	10.3	11.1	7.8	11.7	9.3	7.9	7.8	7.9	-	-	91.9	83.4	8.5	10.2%		
Fees, Licenses and Permits:																		
Business/Professional	2.6	6.3	2.3	1.9	3.6	2.5	1.8	1.9	1.2	9.2	-	-	33.3	31.0	2.3	7.4%		
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Motor Vehicle	66.1	64.8	62.0	63.5	54.7	61.3	53.0	56.1	60.0	52.6	-	-	594.1	581.4	12.7	2.2%		
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9	26.0	(0.7)	-	-	-	-	-	26.9	34.3	(7.4)	-21.6%		
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9	2.8	5.9	0.9	1.5	2.9	-	-	27.7	18.6	9.1	48.9%		
Interest Earnings	0.2	0.1	0.2	0.1	0.2	0.2	0.2	0.3	0.2	0.3	-	-	2.0	0.6	1.4	233.3%		
Receipts from Public Authorities:																		
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5	251.4	3.8	48.0	144.7	-	-	1,666.5	2,228.8	(562.3)	-25.2%		
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Non Bond Related	0.1	-	0.6	-	4.0	0.8	-	-	1.3	0.1	-	-	6.9	4.5	2.4	53.3%		
Receipts from Municipalities	-	0.6	0.3	0.4	0.1	-	-	0.4	-	-	-	-	1.8	0.5	1.3	260.0%		
Rentals	0.7	0.2	0.4	1.2	0.3	1.6	0.3	0.1	0.5	1.0	-	-	6.3	5.9	0.4	6.8%		
Revenues of State Departments:																		
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-	3.2	1.1	2.5	6.6	-	-	-	18.4	3.9	14.5	371.8%		
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	0.2	(0.2)	-100.0%		
Rebates	-	-	-	-	0.3	-	(0.1)	-	-	0.2	-	-	0.4	0.2	0.2	100.0%		
Restitution and Settlements	-	0.5	0.5	1.7	2.1	2.8	0.2	0.1	0.4	0.2	-	-	8.5	4.0	4.5	112.5%		
All Other	0.1	0.8	2.7	0.8	0.6	6.3	0.5	2.0	(0.5)	1.6	-	-	14.9	15.6	(0.7)	-4.5%		
Sales	1.1	-	0.1	0.1	0.1	0.1	0.1	0.2	0.6	-	-	-	2.4	0.9	1.5	166.7%		
Total Miscellaneous Receipts	85.2	199.1	661.3	89.5	86.6	655.8	323.0	76.2	127.6	220.7	-	-	2,525.0	3,036.8	(511.8)	-16.9%		
Federal Receipts	126.2	156.4	227.3	153.4	229.5	362.4	164.1	204.5	380.3	138.1	-	-	2,142.2	1,814.8	327.4	18.0%		
Total Receipts	304.1	438.8	1,021.0	370.1	431.5	1,157.8	600.7	391.7	646.1	474.9	-	-	5,836.7	6,017.4	(180.7)	-3.0%		

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

													10 Months Ended January 31				
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-	0.2	0.1	7.8	5.6	2.7	2.6	2.9	7.5	0.5	-	-	-	29.9	27.1	2.8	10.3%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2	7.2	31.9	178.0	6.9	-	-	-	267.3	242.5	24.8	10.2%
General Government	58.9	11.5	18.0	16.6	18.6	35.4	66.2	30.7	82.9	38.0	-	-	-	376.8	90.2	286.6	317.7%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4	11.8	10.7	6.8	10.3	48.9	-	-	-	123.3	109.3	14.0	12.8%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	10.4	13.0	2.8	1.5	2.2	-	-	-	37.8	50.8	(13.0)	-25.6%
Public Welfare	-	15.8	-	35.0	6.0	15.7	9.2	12.5	0.5	29.9	-	-	-	124.6	82.2	42.4	51.6%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0	40.2	4.0	53.7	7.3	45.4	-	-	-	551.4	493.5	57.9	11.7%
Transportation	27.8	40.4	78.9	77.3	78.0	232.0	71.1	35.4	278.7	44.1	-	-	-	963.7	729.7	234.0	32.1%
Total Local Assistance Grants	93.5	97.5	288.9	207.0	291.2	353.4	184.0	176.7	566.7	215.9	-	-	-	2,474.8	1,825.3	649.5	35.6%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	313.5	485.9	643.6	470.7	561.2	689.1	587.2	647.8	538.0	465.2	-	-	-	5,402.2	5,238.1	164.1	3.1%
Total Disbursements	407.0	583.4	932.5	677.7	852.4	1,042.5	771.2	824.5	1,104.7	681.1	-	-	-	7,877.0	7,063.4	813.6	11.5%
Excess (Deficiency) of Receipts over Disbursements	(102.9)	(144.6)	88.5	(307.6)	(420.9)	115.3	(170.5)	(432.8)	(458.6)	(206.2)	-	-	-	(2,040.3)	(1,046.0)	(994.3)	-95.1%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9	286.9	300.9	455.0	256.2	-	-	(30.4)	2,498.2	1,634.1	864.1	52.9%
Transfers to Other Funds	(25.5)	(29.0)	(32.1)	(31.2)	(30.9)	(296.7)	(30.8)	(25.8)	(25.4)	(29.3)	-	-	30.4	(526.3)	(710.0)	(183.7)	-25.9%
Total Other Financing Sources (Uses)	136.7	154.8	106.3	331.3	321.9	(266.8)	256.1	275.1	429.6	226.9	-	-	-	1,971.9	924.1	1,047.8	113.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	33.8	10.2	194.8	23.7	(99.0)	(151.5)	85.6	(157.7)	(29.0)	20.7	-	-	-	(68.4)	(121.9)	53.5	43.9%
Ending Fund Balance	\$ (857.0)	\$ (846.8)	\$ (652.0)	\$ (628.3)	\$ (727.3)	\$ (878.8)	\$ (793.2)	\$ (950.9)	\$ (979.9)	\$ (959.2)	\$ -	\$ -	\$ -	\$ (959.2)	\$ (846.3)	\$ (112.9)	-13.3%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects- State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

	10 Months Ended January 31												2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (331.5)	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)	\$ (268.6)	\$ (431.0)	\$ (280.9)	\$ (453.0)	\$ (534.6)			\$ (331.5)	\$ (342.4)	\$ 10.9	3.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.3	-	18.9	0.1	0.1	23.2	-	0.1	21.7	5.9			70.3	65.5	4.8	7.3%
Motor Fuel	30.8	29.4	35.1	36.4	39.5	35.3	35.5	35.9	34.8	33.2			345.9	331.7	14.2	4.3%
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7	11.3	11.0	11.4	11.2			117.0	137.5	(20.5)	-14.9%
Total Consumption/Use Taxes	43.7	40.1	66.3	49.0	51.9	70.2	46.8	47.0	67.9	50.3			533.2	534.7	(1.5)	-0.3%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Corporation and Utilities	0.8	-	2.1	0.1	-	2.5	-	(0.1)	2.5	4.2			12.1	8.4	3.7	44.0%
Petroleum Business	48.2	43.2	52.1	66.2	51.6	55.0	54.9	52.1	55.9	49.7			528.9	527.4	1.5	0.3%
Total Business Taxes	49.0	43.2	54.2	66.3	51.6	57.5	54.9	52.0	58.4	53.9			541.0	535.8	5.2	1.0%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	11.9	12.0	11.9	11.9			95.3	95.3	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	11.9	12.0	11.9	11.9			95.3	95.3	-	0.0%
Total Taxes	92.7	83.3	132.4	127.2	115.4	139.6	113.6	111.0	138.2	116.1			1,169.5	1,165.8	3.7	0.3%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-			23.0	23.0	-	0.0%
Assessments:																
Business	9.4	8.7	10.3	11.1	7.8	11.7	9.3	7.9	7.8	7.9			91.9	83.4	8.5	10.2%
Fees, Licenses and Permits:																
Business/Professional	2.6	6.3	2.3	1.9	3.6	2.5	1.8	1.9	1.2	9.2			33.3	31.0	2.3	7.4%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	66.1	64.8	62.0	63.5	54.7	61.3	53.0	56.1	60.0	52.6			594.1	581.4	12.7	2.2%
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9	26.0	(0.7)	-	-	-			26.9	34.3	(7.4)	-21.6%
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9	2.8	5.9	0.9	1.5	2.9			27.7	18.6	9.1	48.9%
Interest Earnings	0.2	0.1	0.2	0.1	0.2	0.2	0.2	0.3	0.2	0.3			2.0	0.6	1.4	233.3%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5	251.4	3.8	48.0	144.7			1,666.5	2,228.8	(562.3)	-25.2%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	0.1	-	0.6	-	4.0	0.8	-	-	1.3	0.1			6.9	4.5	2.4	53.3%
Receipts from Municipalities	-	0.6	0.3	0.4	0.1	-	-	0.4	-	-			1.8	0.5	1.3	260.0%
Rentals	0.6	0.2	0.4	1.1	0.2	1.6	0.2	0.1	0.5	1.0			5.9	5.4	0.5	9.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-	3.2	1.1	2.5	6.6	-			18.4	3.9	14.5	371.8%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	0.2	(0.2)	-100.0%
Rebates	-	-	-	-	0.3	-	(0.1)	-	-	0.2			0.4	0.2	0.2	100.0%
Resitution and Settlements	-	0.5	0.5	1.7	2.1	2.8	0.2	0.1	0.4	0.2			8.5	4.0	4.5	112.5%
All Other	0.1	0.8	2.7	0.8	0.6	6.3	0.5	2.0	(0.5)	1.6			14.9	15.6	(0.7)	-4.5%
Sales	0.2	-	-	0.1	0.1	0.1	0.1	0.2	0.2	-			1.0	0.7	0.3	42.9%
Total Miscellaneous Receipts	84.2	199.1	661.2	89.4	86.5	655.8	322.9	76.2	127.2	220.7			2,523.2	3,036.1	(512.9)	-16.9%
Federal Receipts	-	-	-	-	-	2.5	-	-	-	-			2.5	2.5	-	0.0%
Total Receipts	176.9	282.4	793.6	216.6	201.9	797.9	436.5	187.2	265.4	336.8			3,695.2	4,204.4	(509.2)	-12.1%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

	10 Months Ended January 31												2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	0.2	0.1	7.8	5.6	2.7	2.6	2.9	7.5	0.5			29.9	27.1	2.8	10.3%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2	7.2	31.9	37.7	6.9			127.0	93.6	33.4	35.7%
General Government	58.9	11.5	18.0	16.6	18.6	35.4	66.2	30.7	82.9	38.0			376.8	90.2	286.6	317.7%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4	7.9	10.7	6.8	6.9	13.4			80.5	70.4	10.1	14.3%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	8.4	13.0	2.8	1.5	2.2			35.8	50.8	(15.0)	-29.5%
Public Welfare	-	15.8	-	35.0	6.0	15.7	9.2	12.5	0.5	29.9			124.6	82.2	42.4	51.6%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0	40.2	4.0	53.7	7.3	45.4			551.4	493.5	57.9	11.7%
Transportation	5.8	3.2	51.2	12.6	13.5	117.1	9.9	5.6	220.8	6.2			445.9	417.8	28.1	6.7%
Total Local Assistance Grants	71.5	60.3	261.2	142.3	226.7	232.6	122.8	146.9	365.1	142.5	-	-	1,771.9	1,325.6	446.3	33.7%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Capital Projects	257.6	376.4	515.4	344.3	409.9	491.4	421.8	487.5	411.5	340.7			4,056.5	3,954.6	101.9	2.6%
Total Disbursements	329.1	436.7	776.6	486.6	636.6	724.0	544.6	634.4	776.6	483.2	-	-	5,828.4	5,280.2	548.2	10.4%
Excess (Deficiency) of Receipts over Disbursements	(152.2)	(154.3)	17.0	(270.0)	(434.7)	73.9	(108.1)	(447.2)	(511.2)	(146.4)	-	-	(2,133.2)	(1,075.8)	(1,057.4)	-98.3%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9	286.9	300.9	455.0	256.2			2,528.6	1,634.1	894.5	54.7%
Transfers to Other Funds	(25.5)	(29.0)	(27.9)	(29.3)	(30.9)	(266.2)	(28.7)	(25.8)	(25.4)	(29.1)			(517.8)	(705.9)	(188.1)	-26.6%
Total Other Financing Sources (Uses)	136.7	154.8	110.5	333.2	321.9	(236.3)	258.2	275.1	429.6	227.1	-	-	2,010.8	928.2	1,082.6	116.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(15.5)	0.5	127.5	63.2	(112.8)	(162.4)	150.1	(172.1)	(81.6)	80.7	-	-	(122.4)	(147.6)	25.2	17.1%
Ending Fund Balance	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)	\$ (268.6)	\$ (431.0)	\$ (280.9)	\$ (453.0)	\$ (534.6)	\$ (453.9)	\$ -	\$ -	\$ (453.9)	\$ (490.0)	\$ 36.1	7.4%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

	10 Months Ended January 31												2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (559.3)	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)	\$ (447.8)	\$ (512.3)	\$ (497.9)	\$ (445.3)			\$ (559.3)	\$ (382.0)	\$ (177.3)	-46.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	-	0.1	0.1	-	0.1	-	-	-	-	-	0.4	0.5	(0.1)	-20.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	0.9	-	0.1	-	-	-	-	-	0.4	-	-	-	1.4	0.2	1.2	600.0%
Total Miscellaneous Receipts	1.0	-	0.1	0.1	0.1	-	0.1	-	0.4	-	-	-	1.8	0.7	1.1	157.1%
Federal Receipts	126.2	156.4	227.3	153.4	229.5	359.9	164.1	204.5	380.3	138.1	-	-	2,139.7	1,812.3	327.4	18.1%
Total Receipts	127.2	156.4	227.4	153.5	229.6	359.9	164.2	204.5	380.7	138.1	-	-	2,141.5	1,813.0	328.5	18.1%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	140.3	-	-	-	140.3	148.9	(8.6)	-5.8%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	3.9	-	-	3.4	35.5	-	-	42.8	38.9	3.9	10.0%
Public Safety	-	-	-	-	-	2.0	-	-	-	-	-	-	2.0	-	2.0	100.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	37.2	27.7	64.7	64.5	114.9	61.2	29.8	57.9	37.9	-	-	517.8	311.9	205.9	66.0%
Total Local Assistance Grants	22.0	37.2	27.7	64.7	64.5	120.8	61.2	29.8	201.6	73.4	-	-	702.9	499.7	203.2	40.7%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	55.9	109.5	128.2	126.4	151.3	197.7	165.4	160.3	126.5	124.5	-	-	1,345.7	1,283.5	62.2	4.8%
Total Disbursements	77.9	146.7	155.9	191.1	215.8	318.5	226.6	190.1	328.1	197.9	-	-	2,048.6	1,783.2	265.4	14.9%
Excess (Deficiency) of Receipts over Disbursements	49.3	9.7	71.5	(37.6)	13.8	41.4	(62.4)	14.4	52.6	(59.8)	-	-	92.9	29.8	63.1	211.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	(4.2)	(1.9)	-	(30.5)	(2.1)	-	-	(0.2)	-	-	(38.9)	(4.1)	34.8	848.8%
Total Other Financing Sources (Uses)	-	-	(4.2)	(1.9)	-	(30.5)	(2.1)	-	-	(0.2)	-	-	(38.9)	(4.1)	34.8	848.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	49.3	9.7	67.3	(39.5)	13.8	10.9	(64.5)	14.4	52.6	(60.0)	-	-	54.0	25.7	28.3	110.1%
Ending Fund Balance	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)	\$ (447.8)	\$ (512.3)	\$ (497.9)	\$ (445.3)	\$ (505.3)	\$ -	\$ -	\$ (505.3)	\$ (356.3)	\$ (149.0)	-41.8%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT J

													10 Months Ended January 31			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 66.1	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3	\$ 27.1	\$ 25.4	\$ 24.4	\$ 23.4			\$ 66.1	\$ 50.6	\$ 15.5	30.6%
RECEIPTS:																
Miscellaneous Receipts	4.1	4.5	5.9	4.5	5.1	8.6	4.9	3.8	3.6	5.9			50.9	56.8	(5.9)	-10.4%
Federal Receipts	1.4	1.3	1.5	1.3	1.6	1.5	1.5	2.1	3.1	1.3			16.6	26.6	(10.0)	-37.6%
Unemployment Taxes	132.0	184.1	159.6	167.8	208.0	150.9	154.5	165.1	175.7	250.7			1,748.4	1,831.4	(83.0)	-4.5%
Total Receipts	137.5	189.9	167.0	173.6	214.7	161.0	160.9	171.0	182.4	257.9	-	-	1,815.9	1,914.8	(98.9)	-5.2%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4	0.1	0.4	0.2	0.5	0.1	1.7	0.5	0.4	0.4			4.7	4.8	(0.1)	-2.1%
Non-Personal Service	2.4	4.1	4.1	6.6	2.6	5.9	5.1	3.9	3.9	4.2			42.8	62.6	(19.8)	-31.6%
General State Charges	0.2	0.1	-	-	-	0.1	-	0.2	-	-			0.6	0.5	0.1	20.0%
Unemployment Benefits	177.6	185.2	161.3	169.0	209.7	152.1	155.8	167.4	179.1	252.4			1,809.6	1,832.5	(22.9)	-1.2%
Total Disbursements	180.6	189.5	165.8	175.8	212.8	158.2	162.6	172.0	183.4	257.0	-	-	1,857.7	1,900.4	(42.7)	-2.2%
Excess (Deficiency) of Receipts over Disbursements	(43.1)	0.4	1.2	(2.2)	1.9	2.8	(1.7)	(1.0)	(1.0)	0.9	-	-	(41.8)	14.4	(56.2)	-390.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(43.1)	0.4	1.2	(2.2)	1.9	2.8	(1.7)	(1.0)	(1.0)	0.9	-	-	(41.8)	14.4	(56.2)	-390.3%
Ending Fund Balance	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3	\$ 27.1	\$ 25.4	\$ 24.4	\$ 23.4	\$ 24.3	\$ -	\$ -	\$ 24.3	\$ 65.0	\$ (40.7)	-62.6%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT K

											10 Months Ended January 31					
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (127.2)	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)	\$ (235.5)	\$ (258.6)	\$ (201.8)	\$ (223.3)	\$ (242.0)			\$ (127.2)	\$ (196.7)	\$ 69.5	35.3%
RECEIPTS:																
Miscellaneous Receipts	17.5	30.1	43.1	32.9	35.1	29.5	28.5	28.0	43.6	31.5			319.8	390.1	(70.3)	-18.0%
Total Receipts	17.5	30.1	43.1	32.9	35.1	29.5	28.5	28.0	43.6	31.5	-	-	319.8	390.1	(70.3)	-18.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.4	7.2	10.4	6.9	8.7	7.7	7.2	10.1	7.1	7.1			79.8	72.7	7.1	9.8%
Non-Personal Service	79.5	41.1	36.1	20.9	47.5	39.0	(32.4)	30.8	54.1	27.8			344.4	395.1	(50.7)	-12.8%
General State Charges	-	8.2	4.1	-	6.0	2.9	-	11.7	2.4	2.1			37.4	31.5	5.9	18.7%
Total Disbursements	86.9	56.5	50.6	27.8	62.2	49.6	(25.2)	52.6	63.6	37.0	-	-	461.6	499.3	(37.7)	-7.6%
Excess (Deficiency) of Receipts over Disbursements	(69.4)	(26.4)	(7.5)	5.1	(27.1)	(20.1)	53.7	(24.6)	(20.0)	(5.5)	-	-	(141.8)	(109.2)	(32.6)	-29.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	5.3	4.3	1.8	0.8	5.0	4.5	3.1	3.2	1.4	2.2			31.6	48.4	(16.8)	-34.7%
Transfers to Other Funds	-	-	(0.2)	-	-	(7.5)	-	(0.1)	(0.1)	(2.7)			(10.6)	(10.0)	(0.6)	-6.0%
Total Other Financing Sources (Uses)	5.3	4.3	1.6	0.8	5.0	(3.0)	3.1	3.1	1.3	(0.5)	-	-	21.0	38.4	(16.2)	-42.2%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(64.1)	(22.1)	(5.9)	5.9	(22.1)	(23.1)	56.8	(21.5)	(18.7)	(6.0)	-	-	(120.8)	(70.8)	(48.8)	-68.9%
Ending Fund Balance	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)	\$ (235.5)	\$ (258.6)	\$ (201.8)	\$ (223.3)	\$ (242.0)	\$ (248.0)	\$ -	\$ -	\$ (248.0)	\$ (267.5)	\$ 19.5	7.3%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT L

	2016										2017			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 0.1	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)	\$ (14.1)	\$ (14.9)	\$ (15.8)	\$ (16.9)	\$ (11.9)			\$ 0.1	\$ (16.9)	\$ 17.0	100.6%	
RECEIPTS:																	
Miscellaneous Receipts	7.2	4.5	6.9	4.6	5.3	4.8	4.8	7.0	21.9	4.8			71.8	95.4	(23.6)	-24.7%	
Total Receipts	7.2	4.5	6.9	4.6	5.3	4.8	4.8	7.0	21.9	4.8	-	-	71.8	95.4	(23.6)	-24.7%	
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	4.8	4.6	6.9	4.5	5.2	4.7	4.8	7.0	4.7	1.4			48.6	49.2	(0.6)	-1.2%	
Non-Personal Service	0.7	0.7	1.1	1.3	1.4	0.9	0.9	1.1	3.7	7.9			19.7	14.6	5.1	34.9%	
General State Charges	-	-	2.0	-	9.5	-	-	-	8.5	9.6			29.6	23.6	6.0	25.4%	
Total Disbursements	5.5	5.3	10.0	5.8	16.1	5.6	5.7	8.1	16.9	18.9	-	-	97.9	87.4	10.5	12.0%	
Excess (Deficiency) of Receipts over Disbursements	1.7	(0.8)	(3.1)	(1.2)	(10.8)	(0.8)	(0.9)	(1.1)	5.0	(14.1)	-	-	(26.1)	8.0	(34.1)	-426.3%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.7	(0.8)	(3.1)	(1.2)	(10.8)	(0.8)	(0.9)	(1.1)	5.0	(14.1)	-	-	(26.1)	8.0	(34.1)	-426.3%	
Ending Fund Balance	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)	\$ (14.1)	\$ (14.9)	\$ (15.8)	\$ (16.9)	\$ (11.9)	\$ (26.0)	\$ -	\$ -	\$ (26.0)	\$ (8.9)	\$ (17.1)	-192.1%	

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT M

	2016												2017				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2017	2016	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 11.6	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2	\$ 10.2	\$ 10.2	\$ 10.3	\$ 10.3	\$ 10.6			\$ 11.6	\$ 11.5	\$ 0.1	0.9%				
RECEIPTS:																				
Miscellaneous Receipts	(1.5)	1.7	(1.5)	-	-	0.1	0.1	-	0.3	0.1			(0.7)	0.3	(1.0)	-333.3%				
Total Receipts	(1.5)	1.7	(1.5)	-	-	0.1	0.1	-	0.3	0.1	-	-	(0.7)	0.3	(1.0)	-333.3%				
DISBURSEMENTS:																				
Departmental Operations:																				
Personal Service	0.1	-	-	-	-	-	-	-	-	-			0.1	0.2	(0.1)	-50.0%				
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
General State Charges	-	-	-	-	-	0.1	-	-	-	-			0.1	0.1	-	0.0%				
Total Disbursements	0.1	-	-	-	-	0.1	-	-	-	-	-	-	0.2	0.3	(0.1)	-33.3%				
Excess (Deficiency) of Receipts over Disbursements	(1.6)	1.7	(1.5)	-	-	-	0.1	-	0.3	0.1	-	-	(0.9)	-	(0.9)	-100.0%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(1.6)	1.7	(1.5)	-	-	-	0.1	-	0.3	0.1	-	-	(0.9)	-	(0.9)	-100.0%				
Ending Fund Balance	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2	\$ 10.2	\$ 10.2	\$ 10.3	\$ 10.3	\$ 10.6	\$ 10.7	\$ -	\$ -	\$ 10.7	\$ 11.5	\$ (0.8)	-7.0%				

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2017
(Amounts in millions)**

SCHEDULE 1

	BALANCE JANUARY 1, 2017	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2017
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.024	\$ 1,735.769	\$ 1,735.745	\$ -
10050-10099-State Operations Account	8,996.002	4,128.304	817.211	(1,278.034)	11,029.061
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	57.728	-	0.690	-	57.038
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	113.413	113.413	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	9,053.730	4,241.741	2,667.083	457.711	11,086.099
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.304	0.012	0.015	-	2.301
20100-20299-Combined Expendable Trust	63.867	0.280	0.400	-	63.747
20300-20349-New York Interest on Lawyer Account	41.358	1.249	1.473	-	41.134
20350-20399-NYS Archives Partnership Trust	0.084	-	0.033	-	0.051
20400-20449-Child Performer's Protection	0.132	0.002	0.024	-	0.110
20450-20499-Tuition Reimbursement	6.430	0.181	0.119	-	6.492
20500-20549-New York State Local Government Records Management Improvement	2.875	0.768	0.196	-	3.447
20550-20599-School Tax Relief	0.288	2,243.601	2,243.066	-	0.823
20600-20649-Charter Schools Stimulus	6.553	0.003	-	-	6.556
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	251.221	465.901	481.876	(1.242)	234.004
20850-20899-Dedicated Mass Transportation Trust	80.014	49.297	70.158	-	59.153
20900-20949-State Lottery	(228.274)	256.663	150.250	(2.513)	(124.374)
20950-20999-Combined Student Loan	11.294	2.437	4.489	-	9.242
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.014)	-	0.024	-	(4.038)
21050-21149-Encon Special Revenue	(13.618)	8.308	11.088	(5.376)	(21.774)
21150-21199-Conservation	84.692	0.974	7.167	-	78.499
21200-21249-Environmental Protection and Oil Spill Compensation	38.778	4.107	3.811	(3.492)	35.582
21250-21299-Training and Education Program on OSHA	1.158	7.975	0.055	(0.057)	9.021
21300-21349-Lawyers' Fund for Client Protection	4.204	0.861	2.768	-	2.297
21350-21399-Equipment Loan for the Disabled	0.525	0.003	0.001	-	0.527
21400-21449-Mass Transportation Operating Assistance	(362.325)	133.054	0.251	0.135	(229.387)
21450-21499-Clean Air	(12.417)	2.592	3.394	(2.941)	(16.160)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	10.387	0.201	0.106	-	10.482
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.458	-	-	-	0.458
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.842	0.001	-	-	0.843
21900-22499-Miscellaneous State Special Revenue	1,632.714	146.897	582.863	113.392	1,310.140

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2017
(Amounts in millions)**

SCHEDULE 1

	BALANCE JANUARY 1, 2017	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2017
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22500-22549-Court Facilities Incentive Aid	22.159	0.008	3.682	-	18.485
22550-22599-Employment Training	0.050	-	-	-	0.050
22650-22699-State University Income	982.544	398.810	473.142	242.783	1,150.995
22700-22749-Chemical Dependence Service	42.952	0.101	2.008	-	41.045
22750-22799-Lake George Park Trust	0.139	-	0.399	-	(0.260)
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	115.557	0.956	0.857	(39.050)	76.606
22850-22899-New York Great Lakes Protection	0.457	-	0.005	-	0.452
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.271	0.008	(1.483)	-	10.762
23000-23049-NYS/DOT Highway Safety Program	(9.374)	0.230	0.241	-	(9.385)
23050-23099-Vocational Rehabilitation	0.134	0.002	-	-	0.136
23100-23149-Drinking Water Program Management and Administration	(5.984)	0.633	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(46.655)	-	2.177	-	(48.832)
23200-23249-Judiciary Data Processing Offset	10.469	3.148	3.842	-	9.775
23250-23449-IFR/CUTRA	165.824	19.915	15.449	-	170.290
23500-23549-USOC Lake Placid Training	0.082	0.001	-	-	0.083
23550-23599-Indigent Legal Services	229.952	8.316	1.162	-	237.106
23600-23649-Unemployment Insurance Interest and Penalty	23.443	1.590	0.160	-	24.873
23650-23699-MTA Financial Assistance Fund	86.857	212.072	136.000	2.553	165.482
23700-23749-New York State Commercial Gaming Fund	130.602	2.596	0.544	-	132.654
23750-23799-Medical Marijuana Trust Fund	2.214	0.069	0.349	(0.080)	1.854
23800-23899-Dedicated Miscellaneous State Special Revenue	2.246	(0.109)	0.251	-	1.886
24950-24999-Interactive Fantasy Sports	-	-	-	-	-
40350-40399-State University Dormitory Income	169.818	28.251	-	(21.751)	176.318
TOTAL SPECIAL REVENUE FUNDS-STATE	3,552.378	4,001.964	4,202.412	282.361	3,634.291
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	12.637	133.079	147.849	(0.755)	(2.888)
25100-25199-Federal Health and Human Services	845.992	3,301.267	3,400.463	(155.719)	591.077
25200-25249-Federal Education	(14.386)	175.951	197.847	-	(36.282)
25300-25899-Federal Miscellaneous Operating Grants	(307.866)	306.135	157.533	(0.084)	(159.348)
25900-25949-Unemployment Insurance Administration	93.204	15.892	14.991	0.057	94.162
25950-25999-Unemployment Insurance Occupational Training	1.309	0.585	0.528	-	1.366
26000-26049-Federal Employment and Training Grants	(1.990)	12.557	11.753	-	(1.186)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	628.900	3,945.466	3,930.964	(156.501)	486.901
TOTAL SPECIAL REVENUE FUNDS	4,181.278	7,947.430	8,133.376	125.860	4,121.192
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	320.903	61.894	0.279	(25.840)	356.678
40150-40199-General Debt Service	352.451	2,167.858	26.233	(423.866)	2,070.210
40250-40299-State Housing Debt Service	-	0.072	-	(0.072)	-
40300-40349-Department of Health Income	20.865	19.554	-	(14.536)	25.883
40400-40449-Clean Water/Clean Air	23.321	83.338	-	(77.901)	28.758
40450-40499-Local Government Assistance Tax	2.163	267.526	0.076	(267.709)	1.904
TOTAL DEBT SERVICE FUNDS	719.703	2,600.242	26.588	(809.924)	2,483.433

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2017
(Amounts in millions)**

SCHEDULE 1

	BALANCE JANUARY 1, 2017	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2017
<u>CAPITAL PROJECTS FUNDS</u>					
30000-30049-State Capital Projects	-	0.082	169.233	169.151	-
30050-30099-Dedicated Highway and Bridge Trust	(26.756)	301.857	142.791	(25.168)	107.142
30100-30299-SUNY Residence Halls Rehabilitation and Repair	144.113	0.069	4.205	2.000	141.977
30300-30349-New York State Canal System Development	5.860	0.131	-	-	5.991
30350-30399-Parks Infrastructure	(65.881)	-	11.141	-	(77.022)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	100.617	11.818	16.288	-	96.147
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	21.992	-	-	(0.116)	21.876
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.576	-	-	-	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	3.257	-	-	(1.829)	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(445.265)	138.124	197.945	(0.181)	(505.267)
31450-31499-Forest Preserve Expansion	0.904	-	-	-	0.904
31500-31549-Hazardous Waste Remedial	(143.195)	7.789	14.542	(0.488)	(150.436)
31650-31699-Suburban Transportation	0.509	0.001	-	-	0.510
31700-31749-Division for Youth Facilities Improvement	(20.309)	0.014	2.273	-	(22.568)
31800-31849-Housing Assistance	(13.631)	-	-	-	(13.631)
31850-31899-Housing Program	(224.163)	-	15.750	-	(239.913)
31900-31949-Natural Resource Damage	19.210	0.154	0.047	-	19.317
31950-31999-DOT Engineering Services	(12.539)	-	-	-	(12.539)
32200-32249-Miscellaneous Capital Projects	48.350	0.233	3.375	5.000	50.208
32250-32299-CUNY Capital Projects	(0.024)	-	-	-	(0.024)
32300-32349-Mental Hygiene Facilities Capital Improvement	(447.438)	14.611	21.630	-	(454.457)
32350-32399-Correction Facilities Capital Improvement	(139.389)	-	24.727	-	(164.116)
32400-32999-State University Capital Projects	165.158	0.083	4.218	2.494	163.517
33000-33049-NYS Storm Recovery Fund	(48.586)	-	1.600	-	(50.186)
33050-33099 Dedicated Infrastructure Investment Fund	79.114	-	51.392	76.000	103.722
TOTAL CAPITAL PROJECTS FUNDS	(979.858)	474.966	681.157	226.863	(959.186)
TOTAL GOVERNMENTAL FUNDS	\$ 12,974.853	\$ 15,264.379	\$ 11,508.204	\$ 0.510	\$ 16,731.538

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF JANUARY 2017
 (Amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2017</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2017</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.146	\$ 0.002	\$ 0.006	\$ -	\$ 0.142
50050-50099-State Exposition Special	1.313	1.687	0.726	-	2.274
50100-50299-Correctional Services Commissary	3.009	3.492	3.488	-	3.013
50300-50399-Agencies Enterprise	3.463	0.289	0.270	-	3.482
50400-50449-Sheltered Workshop	2.148	0.056	0.024	-	2.180
50450-50499-Patient Workshop	1.629	0.174	0.046	-	1.757
50500-50599-Mental Hygiene Community Stores	4.276	0.130	0.123	-	4.283
50650-50699-Unemployment Insurance Benefit	7.426	252.136	252.372	-	7.190
TOTAL ENTERPRISE FUNDS	23.410	257.966	257.055	-	24.321
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(74.795)	14.890	21.294	(1.181)	(82.380)
55050-55099-Agency Internal Service	(100.710)	6.157	6.363	0.678	(100.238)
55100-55149-Mental Hygiene Revolving	0.374	0.080	0.105	-	0.349
55150-55199-Youth Vocational Education	0.057	0.001	-	-	0.058
55200-55249-Joint Labor and Management Administration	1.640	0.001	0.104	-	1.537
55250-55299-Audit and Control Revolving	(15.392)	6.655	4.942	-	(13.679)
55300-55349-Health Insurance Revolving	(22.910)	0.145	0.799	(0.003)	(23.567)
55350-55399-Correctional Industries Revolving	(30.239)	3.610	3.406	(0.004)	(30.039)
TOTAL INTERNAL SERVICE FUNDS	(241.975)	31.539	37.013	(0.510)	(247.959)
TOTAL PROPRIETARY FUNDS	\$ (218.565)	\$ 289.505	\$ 294.068	\$ (0.510)	\$ (223.638)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF JANUARY 2017
 (Amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2017</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2017</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (11.886)	\$ 4.775	\$ 18.896	\$ -	\$ (26.007)
TOTAL PENSION TRUST FUNDS	(11.886)	4.775	18.896	-	(26.007)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.455	0.001	0.004	-	2.452
66050-66099-Milk Producers' Security	8.161	0.073	0.005	-	8.229
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.616	0.074	0.009	-	10.681
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	19.425	0.309	-	-	19.734
60150-60199-Child Performer's Holding	0.492	0.005	0.009	-	0.488
60200-60249-Employees Health Insurance	850.399	758.067	827.496	-	780.970
60250-60299-Social Security Contribution	14.721	109.923	109.651	-	14.993
60300-60399-Employee Payroll Withholding	29.112	459.808	482.488	-	6.432
60400-60449-Employees Dental Insurance	11.448	5.311	5.568	-	11.191
60450-60499-Management Confidential Group Insurance	0.637	0.883	0.866	-	0.654
60500-60549-Lottery Prize	501.966	49.566	76.078	-	475.454
60550-60599-Health Insurance Reserve Receipts	0.121	0.016	-	-	0.137
60600-60799-Miscellaneous New York State Agency	1,430.478	20.494	46.704	-	1,404.268
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.706	11.540	16.044	-	24.202
60850-60899-CUNY Senior College Operating	532.898	0.124	483.342	-	49.680
60900-60949-Medicaid Management Information System (MMIS) Escrow	324.671	5,124.550	4,976.701	-	472.520
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	114.608	290.495	-	-	405.103
61100-61999-State University Federal Direct Lending Program	(0.336)	277.030	336.389	-	(59.695)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,859.346	7,108.121	7,361.336	-	3,606.131
TOTAL FIDUCIARY FUNDS	\$ 3,858.076	\$ 7,112.970	\$ 7,380.241	\$ -	\$ 3,590.805

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF JANUARY 2017
(Amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2017</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE JANUARY 31, 2017</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.726	\$ 0.001	\$ -	\$ 2.727
70050-70149-Sole Custody Investment (*)	1,826.482	7,499.958	7,290.516	2,035.924
70200-Comptroller's Refund	-	238.528	238.528	-
TOTAL ACCOUNTS	\$ 1,829.208	\$ 7,738.487	\$ 7,529.044	\$ 2,038.651

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of January 31, 2017, \$9,010,335.57 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2016	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JANUARY 31, 2017	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2017	MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2017		MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2017
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 106,955,627.05	\$ -	\$ -	\$ -	\$ 39,577,627.63	\$ 67,377,999.42	\$ -	\$ 3,644,904.68
Clean Water/Clean Air:								
Air Quality	3,010,952.09	-	-	-	173,397.54	2,837,554.55	-	79,546.78
Safe Drinking Water	-	-	-	-	-	-	-	-
Water	402,544,044.61	-	-	-	11,841,257.61	390,702,787.00	33,431.70	10,040,010.50
Solid Waste	37,125,908.42	-	-	-	1,750,727.22	35,375,181.20	13,483.90	700,597.08
Environmental Restoration	79,264,390.55	-	-	-	1,124,219.03	78,140,171.52	1,932.37	1,922,486.76
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	2,574,158.76	-	-	-	641,630.73	1,932,528.03	-	91,446.21
Environmental Quality (1972):								
Air	494,853.29	-	-	-	160,000.00	334,853.29	-	20,265.14
Land and Wetlands	6,671,868.18	-	-	-	2,330,465.99	4,341,402.19	-	185,791.27
Water	29,701,680.75	-	-	-	7,787,062.80	21,914,617.95	-	800,338.23
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	15,489,192.93	-	-	-	2,349,417.38	13,139,775.55	2,874.52	402,317.02
Solid Waste Management	179,135,421.94	-	-	-	6,897,886.39	172,237,535.55	9,800.76	5,382,247.39
Housing:								
Low Cost	16,120,000.00	-	-	-	2,880,000.00	13,240,000.00	-	468,800.00
Middle Income	13,975,000.00	-	-	-	3,455,000.00	10,520,000.00	-	233,745.00
Park and Recreation Land Acquisition	6,351.45	-	-	-	-	6,351.45	-	127.03
Pure Waters	31,246,366.40	-	-	-	4,883,329.61	26,363,036.79	-	943,472.73
Rail Preservation Development	297,438.64	-	-	-	297,438.64	-	-	7,867.25
Rebuild and Renew New York Transportation:								
Highway Facilities	802,029,290.37	-	-	-	5,068,000.04	796,961,290.33	-	19,730,025.78
Canals and Waterways	15,019,108.04	-	-	-	417,240.38	14,601,867.66	-	422,898.61
Aviation	48,703,092.50	-	-	-	-	48,703,092.50	-	1,049,387.84
Rail and Port	79,651,413.07	-	-	-	-	79,651,413.07	-	1,822,052.37
Mass Transit - Dept. of Transportation	6,268,331.08	-	-	-	-	6,268,331.08	-	141,704.35
Mass Transit - Metropolitan Transportation Authority	838,086,893.13	-	-	-	5,697,957.50	832,388,935.63	-	21,709,267.16
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	1,427,346.08	-	-	-	13,669.06	1,413,677.02	-	35,658.86
Rapid Transit, Rail and Aviation	5,775,985.38	-	-	-	1,304,038.11	4,471,947.27	-	214,607.77
Transportation Capital Facilities:								
Aviation	5,846,614.98	-	-	-	1,455,964.57	4,390,650.41	-	190,364.77
Mass Transportation	38,669.77	-	-	-	38,669.77	-	-	996.40
Total General Obligation Bonded Debt	\$ 2,727,459,999.46	\$ -	\$ -	\$ -	\$ 100,145,000.00	\$ 2,627,314,999.46	\$ 61,523.25	\$ 70,240,926.98

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TEN MONTHS ENDED JANUARY 31, 2017

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	10 MONTHS ENDED JANUARY 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	TAX	2017	2016	
(40000-40049)	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)	(40154)			
Payments to Public Authorities:										
City University Construction	\$ -	\$ 161,996,462	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 161,996,462	\$ 219,529,219	\$ (57,532,757)
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	11,508,925	(11,508,925)
DASNY Revenue Bond	-	-	-	-	-	435,668,263	104,256,222	539,924,485	441,024,229	98,900,256
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	25,572,497	-	-	-	-	25,572,497	28,307,274	(2,734,777)
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Education	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	69,181,688	-	-	69,181,688	71,839,317	(2,657,629)
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	1,616,683	-	-	-	-	-	1,616,683	2,711,049	(1,094,366)
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	557,596	-	-	-	53,436,166	-	53,993,762	76,398,012	(22,404,250)
Housing Finance Agency	-	13,990,856	-	-	-	15,310,854	-	29,301,510	54,724,821	(25,423,311)
Local Government Assistance Corporation	-	-	-	39,130,075	-	-	-	39,130,075	45,915,369	(6,785,294)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	84,088,774	-	-	-	-	-	84,088,774	84,084,181	4,593
Thruway Authority:										
Dedicated Highway and Bridge	-	239,787,633	-	-	-	-	-	239,787,633	487,181,380	(247,393,747)
Local Highway and Bridge	-	64,908,450	-	-	-	-	-	64,908,450	63,661,450	1,247,000
Transportation	-	-	-	-	-	46,208,075	-	46,208,075	60,259,075	(14,051,000)
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	905,175	(905,175)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and										
Technology Center	-	-	-	-	-	-	-	-	2,509,475	(2,509,475)
UDC Revenue Bond	-	-	-	-	-	433,935,968	-	433,935,968	296,111,963	137,824,005
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	1,548,884	(1,548,884)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 566,946,254	\$ 25,572,497	\$ 39,130,075	\$ 69,181,688	\$ 984,559,326	\$ 104,256,222	\$ 1,789,646,062	\$ 1,948,219,798	\$ (158,573,736)

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JANUARY 2017
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)

SCHEDULE 6

	<u>JANUARY 2017</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE JANUARY 2016</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 14,912.6	\$ 12,951.4	\$ 14,447.5
AVERAGE YIELD (**)	0.748%	0.600%	0.176%
TOTAL INVESTMENT EARNINGS	\$ 8.600	\$ 55.396	\$ 21.294

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>JANUARY 2017 PAR AMOUNT</u>	<u>JANUARY 2016 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ -	\$ 125.0
REPURCHASE AGREEMENTS	824.3	1,082.7
COMMERCIAL PAPER	14,233.0	16,255.0
CERTIFICATES OF DEPOSIT/SAVINGS	1,838.3	1,974.6
0% COMPENSATING BALANCE CDs	5,030.0	4,855.0
	<u>\$ 21,925.6</u>	<u>\$ 24,292.3</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2016-17

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2017
OPENING CASH BALANCE	\$ 77,568,773	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242	\$ 158,509,367	\$ 213,727,325	\$ 226,863,741	\$ 153,764,536	\$ 251,221,192			\$ 77,568,773
RECEIPTS:													
Cigarette Tax	69,906,681	70,465,929	86,962,921	74,545,709	85,674,331	75,587,194	72,589,606	78,538,465	72,252,332	72,800,846			759,324,014
State Share of NYC Cigarette Tax	2,868,000	2,696,000	3,730,000	3,008,000	3,533,000	2,801,000	2,624,000	3,444,000	2,689,000	2,733,000			30,126,000
STIP Interest	157,862	85,296	108,226	165,893	146,816	126,403	139,627	167,560	186,546	178,713			1,462,942
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-			-
Assessments	360,143,517	426,849,020	432,946,966	417,613,538	384,220,036	400,171,392	414,180,815	386,958,745	445,774,206	388,365,962			4,057,224,197
Fees	466,000	1,000,000	2,485,086	384,000	4,816,844	(3,675,844)	408,000	179,000	2,913,635	932,000			9,908,721
Rebates	2,758,000	2,854,691	970,588	13,794,356	1,052,475	5,506,892	339,711	3,139,532	3,371,345	3,336,037			37,123,627
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-
Miscellaneous	-	1,669	51	-	-	-	18,215	52,700	-	(2,445,590)			(2,372,955)
Total Receipts	436,300,060	503,952,605	527,203,838	509,511,496	479,443,502	480,517,037	490,299,974	472,480,002	527,187,064	465,900,968	-	-	4,892,796,546
DISBURSEMENTS:													
Grants	321,080,063	525,480,383	418,899,454	624,008,882	449,917,568	406,367,773	474,483,648	541,309,034	418,887,634	478,373,081			4,658,807,520
Interest - Late Payments	2,682	597	308	(1,371)	104	(500)	42	68	5	34			1,969
Personal Service	471,992	841,268	1,134,203	871,453	1,204,427	141,107	725,399	1,269,321	883,215	879,050			8,421,435
Non-Personal Service	955,757	1,058,414	3,755,806	1,034,274	2,924,856	869,856	1,380,080	1,469,222	1,469,579	1,244,151			16,161,995
Employee Benefits/Indirect Costs	-	764,454	787,216	-	48,218	269,449	35,780	755,541	171,990	1,379,145			4,211,793
Total Disbursements	322,510,494	528,145,116	424,576,987	625,913,238	454,095,173	407,647,685	476,624,949	544,803,186	421,412,423	481,875,461	-	-	4,687,604,712
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-			-
Transfers to General Fund	-	-	17,526	-	-	-	-	-	-	350,000			367,526
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200	9,285,215	-	-	-	-			10,591,415
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-	-	-	-	185,490	-			185,490
Empire State Stem Cell Trust Account	15,148,000	-	-	-	-	7,574,000	-	-	7,573,000	-			30,295,000
Transfers to SUNY Income Fund	1,329,292	435,830	662,262	126,785	1,204,004	792,179	538,609	776,021	559,495	892,252			7,316,729
Total Operating Transfers	16,477,292	435,830	679,788	126,785	2,510,204	17,651,394	538,609	776,021	8,317,985	1,242,252	-	-	48,756,160
Total Disbursements and Transfers	338,987,786	528,580,946	425,256,775	626,040,023	456,605,377	425,299,079	477,163,558	545,579,207	429,730,408	483,117,713	-	-	4,736,360,872
CLOSING CASH BALANCE	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242	\$ 158,509,367	\$ 213,727,325	\$ 226,863,741	\$ 153,764,536	\$ 251,221,192	\$ 234,004,447	\$ -	\$ -	\$ 234,004,447

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October - December	January	10 Months Ended January 31, 2017 (**)
AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM	\$ 4,095,000					
CIGARETTE STRIKE TASK FORCE		\$ 328,395	\$ -	\$ -	\$ -	\$ 328,395
CENTER FOR COMMUNITY HEALTH PROGRAM	144,587,082					
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-
CENTER FOR COMMUNITY HLTH		757,361	550,465	557,025	773,211	2,638,062
EVIDENCE BASED CANCER SVC		-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-	-
INDIAN HEALTH PROGRAM		-	-	-	-	-
LEAD POISONING PREVENTION		-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-
RAPE CRISIS		-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	992,662,000					
CHILD HEALTH INSURANCE		41,581,936	69,842,138	56,312,662	12,362,186	180,098,922
COMMUNITY SUPPORT PROGRAM	225,000					
COMMUNITY SUPPORT		15,000	15,000	-	-	30,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	283,964,000					
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		26,862,218	40,615,166	39,371,548	15,226,872	122,075,804
HEALTH CARE REFORM ACT PROGRAM	1,656,797,714					
AIDS DRUG ASSISTANCE		-	20,000,000	-	10,000,000	30,000,000
AMBULATORY CARE TRAINING		39,778	579,817	101,754	-	721,349
AREA HEALTH EDUCATION CENTER		-	973,510	(749)	-	972,761
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		208,955	-	-	-	208,955
DIVERSITY IN MEDICINE		-	-	806,429	-	806,429
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	5,641,328	5,641,328
HCRA PAYOR/PROVIDER AUDITS		-	-	53,039	107,500	160,539
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		881,738	1,340,208	1,150,358	889,634	4,261,938
INFERTILITY SERVICES GRANTS		217,593	557,799	647,602	368,992	1,791,986
MEDICAL INDEMNITY FUND		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		356,308	194,032	-	-	550,340
PAY FOR PERFORMANCE		-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		112,400,000	-	-	-	112,400,000
PHYSICIAN LOAN REPAYMENT		312,030	375,158	-	251,755	938,943
PHYSICIAN PRACTICE SUPPORT		-	100,208	-	100,000	200,208
PHYSICIAN WORKFORCE STUDIES		-	179,139	-	-	179,139
POISON CONTROL CENTERS		-	-	-	-	-
POOL ADMINISTRATION		675,087	640,399	791,447	-	2,106,933

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October - December	January	10 Months Ended January 31, 2017 (**)
ROSWELL PARK CANCER INSTITUTE		21,777,000	21,777,000	21,777,000	21,776,000	87,107,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-	-
RURAL HEALTH CARE ACCESS		1,741,691	2,195,278	2,727,904	1,585,984	8,250,857
RURAL HEALTH NETWORK		1,400,264	1,178,214	1,501,510	293,269	4,373,257
SCHOOL BASED HEALTH CENTERS		-	-	2,644,000	-	2,644,000
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	5,288,000	-	5,288,000
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-
MEDICAL ASSISTANCE PROGRAM	26,993,428,000					
BREAST AND CERVICAL CANCER		-	-	-	-	-
DISABLED PERSONS		-	-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-	-
MEDICAID INDIGENT CARE		178,896,179	283,668,886	224,026,477	59,480,210	746,071,752
MEDICAL ASSISTANCE		864,000,000	1,040,000,000	1,082,000,000	351,564,000	3,337,564,000
NYC MEDICAID		-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (****)		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	5,834,000					
OFFICE OF HEALTH INSURANCE		347,101	225,670	17,954	211,891	802,616
OFFICE OF HEALTH SYSTEMS MANAGEMENT	61,600,975					
OFFICE HEALTH SYSTEMS MANAGEMENT		4,790,711	3,720,098	3,709,346	1,449,422	13,669,577
OFFICE OF LONG TERM CARE	6,748,101					
ADULT HOME INITIATIVE		-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-
REVENUE, PROCESSING & RECONCILIATION	4,095,000					
REVENUE, PROCESSING & RECONCILIATION		469,734	1,055,918	1,227,205	685,631	3,438,488
TOTAL	30,154,036,872	1,277,659,079	1,489,784,103	1,444,710,511	482,767,885	4,694,921,578
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,427,384)	(2,122,968)	(1,874,124)	(892,253)	(7,316,729)
Reconciling Adjustment (P-Card and T-Card)		902	(5,039)	4,171	(171)	(137)
TOTAL APPROPRIATED AMOUNT	\$ 30,154,125,872	\$ 1,275,232,597	\$ 1,487,656,096	\$ 1,442,840,558	\$ 481,875,461	\$ 4,687,604,712

(*) Includes amounts appropriated in SFY 2016-17, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(****) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(*****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2017 JANUARY	2016-17
OPENING CASH BALANCE	\$ 299,817,850.44	\$ 318,000,880.07	\$ 247,676,076.45	\$ 329,764,094.06	\$ 299,817,850.44
RECEIPTS:					
Patient Services	814,872,120.28	737,105,769.23	871,086,861.52	276,176,923.62	2,699,241,674.65
Covered Lives	292,485,785.74	261,404,964.87	326,929,800.04	100,987,741.44	981,808,292.09
Provider Assessments	27,190,651.94	27,939,132.59	25,385,122.28	8,260,748.48	88,775,655.29
1% Assessments	93,651,580.00	94,705,328.12	94,724,521.00	30,282,732.00	313,364,161.12
DASNY- MOE/Recast Receivables	-	-	-	-	-
Interest Income	46,323.73	70,998.31	91,576.55	28,267.36	237,165.95
Unassigned	(191,258.37)	(17,042.74)	19,712.97	(23,573.97)	(212,162.11)
Total Receipts	1,228,055,203.32	1,121,209,150.38	1,318,237,594.36	415,712,838.93	4,083,214,786.99
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	(5,288,000.00)	-	(5,288,000.00)
ECRIP Distributions	-	-	-	(5,641,328.00)	(5,641,328.00)
Total Program Disbursements	-	-	(5,288,000.00)	(5,641,328.00)	(10,929,328.00)
Excess (Deficiency) of Receipts over Disbursements	1,228,055,203.32	1,121,209,150.38	1,312,949,594.36	410,071,510.93	4,072,285,458.99
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,063,288.00	10,469,089.00	10,760,031.00	3,357,296.00	34,649,704.00
Transfers From State Funds:					
HCRA Resources Fund	-	-	5,288,000.00	5,641,328.00	10,929,328.00
Total Other Financing Sources	10,063,288.00	10,469,089.00	16,048,031.00	8,998,624.00	45,579,032.00
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(1,074,026,803.03)	(880,950,526.74)	(1,025,187,167.95)	(328,405,132.91)	(3,308,569,630.63)
Indigent Care Fund (matched)	(159,632,267.81)	(319,691,153.33)	(216,174,713.79)	(59,586,612.84)	(755,084,747.77)
Indigent Care Fund (non-matched)	13,723,609.15	(1,361,362.93)	(5,547,726.01)	(373,806.84)	6,440,713.37
Total Other Financing Uses	(1,219,935,461.69)	(1,202,003,043.00)	(1,246,909,607.75)	(388,365,552.59)	(4,057,213,665.03)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	18,183,029.63	(70,324,803.62)	82,088,017.61	30,704,582.34	60,650,825.96
CLOSING CASH BALANCE	\$ 318,000,880.07	\$ 247,676,076.45	\$ 329,764,094.06	\$ 360,468,676.40	\$ 360,468,676.40

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2017 JANUARY	2016-17
OPENING CASH BALANCE	\$ 3,139.74	\$ -	\$ 362,003.38	\$ 409.59	\$ 3,139.74
RECEIPTS:					
Interest Income	901.20	2,356.58	4,134.73	405.43	7,797.94
Total Receipts	901.20	2,356.58	4,134.73	405.43	7,797.94
PROGRAM DISBURSEMENTS:					
Indigent Care	(150,207,124.26)	(319,118,964.21)	(219,528,939.66)	(59,773,516.26)	(748,628,544.39)
High Need Indigent Care	-	-	-	-	-
Other	(887,410.66)	68,839,746.36	12,403,316.74	3,801,061.68	84,156,714.12
Total Program Disbursements	(151,094,534.92)	(250,279,217.85)	(207,125,622.92)	(55,972,454.58)	(664,471,830.27)
Excess (Deficiency) of Receipts over Disbursements	(151,093,633.72)	(250,276,861.27)	(207,121,488.19)	(55,972,049.15)	(664,464,032.33)
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	79,816,133.91	159,845,576.67	108,087,356.90	29,793,306.42	377,542,373.90
HCRA Resources Indigent Care - Unmatched	(8,437,132.99)	606,829.17	3,351,109.71	186,903.42	(4,292,290.69)
HCRA Resources Indigent Care - ATB	(25,357.85)	(1,172,080.00)	3,116.16	-	(1,194,321.69)
Federal DHHS Fund	79,816,133.90	159,845,576.66	108,087,356.89	-	347,749,067.45
Other	-	361,570.00	(361,570.00)	29,793,306.42	29,793,306.42
Total Other Financing Sources	151,169,776.97	319,487,472.50	219,167,369.66	59,773,516.26	749,598,135.39
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(79,282.99)	(68,848,607.85)	(12,407,475.26)	(3,801,471.27)	(85,136,837.37)
Total Other Financing Uses	(79,282.99)	(68,848,607.85)	(12,407,475.26)	(3,801,471.27)	(85,136,837.37)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,139.74)	362,003.38	(361,593.79)	(4.16)	(2,734.31)
CLOSING CASH BALANCE	\$ -	\$ 362,003.38	\$ 409.59	\$ 405.43	\$ 405.43

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2016-2017
(Amounts in thousands)

APPENDIX E

	2016 APRIL	2016 MAY	2016 JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016 OCTOBER	2016 NOVEMBER	2016 DECEMBER	2017 JANUARY	2017 FEBRUARY	2017 MARCH	2016-2017 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 1	\$ -	\$ 13	\$ -	\$ -				\$ 14
Education - EXCEL	1,748	4,817	5,133	1,356	106	-	161	-	125				13,446
Department of Health - All Other	-	2	38	-	14	-	120	42	69				285
Community Enhancement Facilities Assistance Program (CEFAP)	1,084	-	-	-	90	87	-	-	-				1,261
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	165	712	302	61	520	357	2,259	454	475				5,305
Multi-modal	-	-	-	-	-	-	-	-	-				-
GenNYsis	-	-	-	-	-	-	-	-	-				-
CUNY Senior Colleges	17,760	36,784	15,736	16,679	38,084	21,416	19,280	17,311	27,889				210,939
CUNY Community Colleges	995	3,479	3,603	1,042	7,491	3,435	3,368	3,355	8,191				34,959
SUNY Dormitories	64	157	10	-	-	-	-	(1)	-				230
Upstate Community Colleges	1,123	9,803	9,367	3,550	4,066	3,529	12,623	6,201	10,433				60,695
Mental Health	4,050	7,079	5,820	1,738	9,595	4,804	6,266	5,451	11,684				56,487
Developmental Disabilities	404	1,628	1,543	144	1,812	737	624	1,338	1,657				9,887
Alcoholism and Substance Abuse	158	843	278	44	278	40	81	448	502				2,672
Brooklyn Court Officer Training Academy	219	925	1,570	879	2,113	1,589	1,163	2,089	167				10,714
TOTAL DORMITORY AUTHORITY	27,770	66,229	43,400	25,493	64,170	35,994	45,958	36,688	61,192	-	-	-	406,894
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-				-
Community Capital Assistance Program (CCAP)	-	1	-	-	364	1	49	-	-				415
Empire Opportunity	-	-	-	-	-	-	-	-	-				-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-				-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-				-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	1	-	-	364	1	49	-	-	-	-	-	415
TOTAL OFF-BUDGET	\$ 27,770	\$ 66,230	\$ 43,400	\$ 25,493	\$ 64,534	\$ 35,995	\$ 46,007	\$ 36,688	\$ 61,192	\$ -	\$ -	\$ -	\$ 407,309

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2016	November 30, 2016	December 31, 2016	Change	January 31, 2017
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	161,508,589.39	92,461,663.48	93,696,955.17	(93,696,955.17)	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	39,908,822.06	51,297,708.77	65,881,080.65	11,141,395.74	77,022,476.39
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	128,720,516.67	139,703,358.28	163,163,317.76	11,366,073.23	174,529,390.99
31701	YOUTH FACILITIES IMPROVEMENT	16,107,829.09	17,740,051.67	20,308,507.60	2,259,022.96	22,567,530.56
31801	HOUSING ASSISTANCE	13,630,607.54	13,630,607.54	13,630,607.54	-	13,630,607.54
31851	HOUSING PROG FD-HSG TR FD CORP	46,865,527.54	53,231,385.54	53,731,018.54	-	53,731,018.54
31852	HOUSING PROG FD AFFORD HSG CORP	42,233,521.89	48,423,521.89	48,423,521.89	-	48,423,521.89
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	122,301,229.85	122,301,229.85	122,301,229.85	15,750,000.00	138,051,229.85
31854	HOUSING PROG FD-HFA	-	-	-	-	-

STATE OF NEW YORK
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SFS Fund	ACCOUNT TITLE	October 31, 2016	November 30, 2016	December 31, 2016	Change	January 31, 2017
31951	HIGHWAY FAC PURPOSE	12,627,887.24	12,538,510.48	12,538,510.48	-	12,538,510.48
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32215	IT CAPITAL FINANCING ACCT	53,430.02	53,455.09	53,480.01	26.81	53,506.82
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	157,591,524.85	160,273,697.51	164,651,471.51	(1,114,583.72)	163,536,887.79
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	225,251,309.48	229,241,309.48	231,919,309.48	3,341,248.40	235,260,557.88
32306	DASNY - OMH ADMIN	25,499,702.49	25,499,702.49	22,350,698.17	(3,228,132.46)	19,122,565.71
32307	DASNY - OPWDD ADMIN	6,345,493.32	6,345,493.32	5,216,248.34	(1,738,270.23)	3,477,978.11
32308	DASNY - OASAS ADMIN	556,276.88	821,776.88	600,664.89	(429,080.99)	171,583.90
32309	OMH - STATE FACILITIES	32,882,499.21	36,912,272.62	38,464,477.23	10,026,006.03	48,490,483.26
32310	OPWDD - STATE FACILITIES	-	-	-	-	-
32311	OASAS - STATE FACILITIES	447,106.05	843,511.99	921,049.60	197,150.38	1,118,199.98
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	90,664,666.23	114,635,162.58	139,388,794.77	24,727,352.91	164,116,147.68
33001	STORM RECOVERY ACCOUNT	49,167,988.40	50,353,713.54	48,585,949.08	1,599,978.87	50,185,927.95
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,172,518,278.20	1,176,461,883.00	1,245,980,642.56	(19,798,767.24)	1,226,181,875.32
	STATE SPECIAL REVENUE FUNDS					
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	-	14,449,636.24	12,378,186.39	26,827,822.63
20818	EPIC PREMIUM ACCOUNT	-	-	-	-	-
20901	LOTTERY-EDUCATION	1,141,537,728.66	1,007,235,090.15	869,828,926.77	(173,299,265.07)	696,529,661.70
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,746,134.47	3,911,404.63	4,014,381.03	24,042.94	4,038,423.97
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	-	717,331.59	1,398,873.71	1,737,448.45	3,136,322.16
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,916,373.14	3,705,535.32	3,849,507.88	445,008.83	4,294,516.71
21067	ENCON-RECREATION	7,415,072.32	6,375,989.38	5,772,739.10	495,772.88	6,268,511.98
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	30,023,270.56	31,229,408.96	30,800,323.18	3,040,040.49	33,840,363.67
21082	NATURAL RESOURCES ACCOUNT	17,413,960.03	17,841,122.71	17,776,147.61	27,878.49	17,804,026.10
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	18,186.55	18,186.55	-	18,186.55
21201	AUDIT AND CONTROL OIL SPILL	449,243.06	590,920.34	635,881.76	133,939.16	769,820.92
21202	HEALTH DEPT OIL SPILL	139,645.25	160,802.21	175,337.88	69,261.73	244,599.61
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	10,195,714.18	13,071,558.80	13,895,340.53	2,468,359.57	16,363,700.10
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	182,877,083.49	570,731,664.45	(207,715,309.20)	363,016,355.25
21451	OPERATING PERMIT PROGRAM	17,194,157.90	17,746,930.27	18,101,939.00	1,175,042.18	19,276,981.18
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	23,642,544.18	27,579,532.17	8,885,389.84	(8,885,389.84)	-
21907	MENTAL HYGIENE PROGRAM	58,171,943.95	87,883,046.62	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	299,555.04	493,341.66	806,791.49	(503,239.24)	303,552.25
21912	RACING REGULATION ACCOUNT	4,908,888.03	5,368,011.29	5,246,341.15	(259,526.34)	4,986,814.81
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	17,570,429.17	19,837,382.49	20,990,729.60	487,818.57	21,478,548.17
21937	SU DORM INCOME REIMBURSE	881,134.14	5,368,903.82	238,210.84	292,684.61	530,895.45
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	170,097.78	388,462.23	-	-	-
21962	CLINICAL LAB FEE	8,388,930.28	8,875,306.65	10,449,558.62	23,847.09	10,473,405.71
21978	INDIRECT COST RECOVERY	73,538.18	987,771.20	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	773,089.69	820,467.87	828,515.27	1,570.96	830,086.23
22007	PARKING ACCOUNT	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	205,771.42	177,575.36	173,393.32	47,965.07	221,358.39
22032	BATAVIA SCHOOL FOR THE BLIND	7,519,394.19	8,132,096.38	9,731,192.66	1,206,805.47	10,937,998.13
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	176,327.99	760,570.14	935,685.95	(395,252.94)	540,433.01
22046	REGULATION INDIAN GAMING	62,387,578.12	63,250,513.54	63,256,225.76	1,353,886.04	64,610,111.80
22053	ROME SCHOOL FOR THE DEAF	2,228,727.50	2,691,270.92	3,968,614.60	421,555.57	4,390,170.17
22054	DSP-SEIZED ASSETS	8,255,553.53	8,148,853.58	8,024,801.64	(89,944.91)	7,934,856.73

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SFS Fund	ACCOUNT TITLE	October 31, 2016	November 30, 2016	December 31, 2016	Change	January 31, 2017
22055	ADMINISTRATIVE ADJUDICATION	2,178,607.53	1,504,527.29	7,500,528.81	(412,336.62)	7,088,192.19
22056	FEDERAL SALARY SHARING	1,054,154.66	1,333,111.87	1,518,765.37	414,669.36	1,933,434.73
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	1,871,453.20	2,892,764.56	2,119,652.44	(95,771.58)	2,023,880.86
22078	LOCAL SERVICE ACCOUNT	863,456.19	932,508.16	-	-	-
22085	DHCR MORTGAGE SERVICES	5,318,239.58	5,870,986.72	6,228,251.11	376,157.30	6,604,408.41
22087	DMV-COMPULSORY INS PRGM	646,762.72	646,762.72	646,762.72	-	646,762.72
22090	HOUSING INDIRECT COST RECOVERY	4,377,138.21	4,721,677.75	4,968,995.03	247,458.36	5,216,453.39
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	1,122,131.25	1,640,941.07	1,859,544.26	4,575.18	1,864,119.44
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	267,520.31	75,719.83	102,672.32	85,559.30	188,231.62
22156	RENT REVENUE OTHER - NYC	1,503,563.70	4,636,275.63	-	-	-
22158	RENT REVENUE	487,091.72	450,419.82	433,837.44	(10,072.38)	423,765.06
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	19,489,583.65	19,498,725.19	19,507,812.24	9,776.00	19,517,588.24
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	259,790.60	259,790.60
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	8,831,498.76	9,194,091.92	9,374,297.39	10,762.34	9,385,059.73
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-
23102	DOH DRINKING WATER PROGRAM	5,984,237.62	5,984,237.62	5,984,237.62	-	5,984,237.62
23151	NYCCC OPERATING OFFSET	41,272,837.54	44,379,546.51	46,654,602.67	2,177,872.95	48,832,475.62
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	3,434,954.75	3,535,345.23	3,690,455.94	205,568.62	3,896,024.56
	TOTAL STATE SPECIAL REVENUE FUNDS	1,526,388,034.15	1,633,542,112.21	1,795,574,751.79	(362,042,803.62)	1,433,531,948.17
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	20,684,562.04	6,351,782.06	5,757,920.67	17,093,711.83	22,851,632.50
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	162,270,256.91	536,358,151.81	29,589,381.84	423,798,551.35	453,387,933.19
25200-25249	FEDERAL EDUCATION GRANTS FUND	27,039,550.81	13,051,954.72	16,657,683.72	20,669,962.16	37,327,645.88
25300-25899	FEDERAL OPERATING GRANTS FUND	355,325,393.06	439,178,385.79	501,223,294.07	(150,910,659.49)	350,312,634.58
31351	MILITARY AND NAVAL AFFAIRS	7,066,993.77	7,100,881.77	7,617,460.97	129,991.58	7,747,452.55
31354	DEPARTMENT OF TRANSPORTATION	379,405,057.62	328,931,944.89	283,639,677.79	(17,220,349.50)	266,419,328.29
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	131,085,301.78	167,096,335.45	159,269,936.76	77,091,753.10	236,361,689.86
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	14,737,297.20	34,551,111.98	12,928,308.17	(1,071,682.62)	11,856,625.55
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	2,876,451.68	1,283,829.77	1,990,363.14	(804,465.67)	1,185,897.47
	TOTAL FEDERAL FUNDS	1,100,490,864.87	1,533,904,378.24	1,018,674,027.13	368,776,812.74	1,387,450,839.87
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	-	-	-	-	-
50327	EMPIRE PLAZA GIFT SHOP	-	17,102.67	28,666.07	(26,371.61)	2,294.46
	TOTAL ENTERPRISE FUND	-	17,102.67	28,666.07	(26,371.61)	2,294.46
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	1,680,035.96	1,862,300.63	1,120,260.70	135,694.02	1,255,954.72
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	2,950,107.97	3,310,194.08	3,588,283.95	(130,841.59)	3,457,442.36
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	130,089.63	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	377,100.87	215,930.09	372,238.88	(39,635.48)	332,603.40
55008	CENTRALIZED SERVICES-PASNY	23,153,850.06	18,605,320.42	15,244,417.24	5,521,736.75	20,766,153.99
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	-	-	-
55011	CENTRALIZED SERVICES-INSURANCE	41,201.15	-	-	-	-
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	231,967.19	232,018.27	224,450.27	(677.35)	223,772.92
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54
55017	DOWNSTATE WAREHOUSE	517,507.85	623,764.29	458,573.92	(28,987.66)	429,586.26
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	64,058,786.56	75,760,440.69	72,080,680.70	1,904,425.90	73,985,106.60
55021	NYS MEDIA CENTER	4,507,744.52	4,467,849.38	4,800,722.94	(1,811.36)	4,798,911.58

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	October 31, 2016	November 30, 2016	December 31, 2016	Change	January 31, 2017
55022	BUSINESS SERVICES CENTER	-	-	-	-	-
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	67,862.01	214,003.61	253,500.02	(185,845.27)	67,654.75
55057	BANKING SERVICES ACCOUNT	384,117.59	71,815.12	-	57,291.80	57,291.80
55058	CULTURAL RESOURCE SURVEY	5,233,767.43	5,611,888.10	6,011,869.28	148,153.54	6,160,022.82
55059	NEIGHBOR WORK PROJECT	11,554,263.45	11,535,863.45	11,535,863.45	383,797.88	11,919,661.33
55060	AUTOMATIC/PRINT CHARGBACKS	-	650,433.40	-	-	-
55061	OFT NYT ACCT	5,798,178.55	5,781,057.19	5,778,264.19	(2,847,676.58)	2,930,587.61
55062	DATA CENTER ACCOUNT	52,338,552.49	52,338,552.49	52,338,552.49	(5,985.07)	52,332,567.42
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	167,682.60	223,823.05	261,253.91	(10,788.87)	250,465.04
55069	CENTRALIZED TECHNOLOGY SERVICES	11,491,597.91	10,950,585.19	37,126,954.84	(1,903,133.34)	35,223,821.50
55071	LABOR CONTACT CENTER ACCT	1,011,181.30	1,194,049.69	475,858.83	100,263.13	576,121.96
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,039,600.13	696,350.54	1,151,785.96	385,834.50	1,537,620.46
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	5,164,952.93	5,742,533.20	5,923,146.55	539,487.56	6,462,634.11
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	7,492,381.59	10,125,126.89	9,469,253.24	(2,253,371.88)	7,215,881.36
55300	HEALTH INSURANCE INTERNAL SERVICE	11,792,002.76	13,970,775.14	14,224,295.46	522,204.74	14,746,500.20
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	8,124,053.08	8,551,420.14	8,685,869.35	134,388.76	8,820,258.11
55350	CORR INDUSTRIES INTERNAL SERVICE	28,133,147.83	29,543,066.13	30,239,197.25	(200,171.35)	30,039,025.90
	TOTAL INTERNAL SERVICE FUNDS	249,600,189.59	263,697,796.62	282,653,839.23	2,224,352.78	284,878,192.01
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 4,048,997,366.81	\$ 4,607,623,272.74	\$ 4,342,911,926.78	\$ (10,866,776.95)	\$ 4,332,045,149.83

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
 DEDICATED INFRASTRUCTURE INVESTMENT FUND (*)
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-17

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2017
OPENING CASH BALANCE	\$ 110,333,290	\$ 102,733,518	\$ 137,375,142	\$ 155,295,707	\$ 245,594,653	\$ 198,471,862	\$ 119,583,273	\$ 101,830,972	\$ 107,148,184	\$ 79,113,688			\$ 110,333,290
RECEIPTS:													
Transfers from General Fund (**)	40,000,000	100,000,000	98,550,000	125,000,000	-	-	67,170,000	60,500,000	55,500,000	76,000,000			622,720,000
Total Receipts	40,000,000	100,000,000	98,550,000	125,000,000	-	-	67,170,000	60,500,000	55,500,000	76,000,000	-	-	622,720,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	-	-	-	-	-	-	-	-	14,156,000			14,156,000
Broadband Initiative	-	-	-	-	-	-	-	-	-	-			-
Health Care / Hospital Initiatives	-	-	-	-	-	-	57,793,164	24,985,730	20,897,928	20,487,557			124,164,379
Information Technology/Infrastructure for Behavioral Sciences	-	1,395	14,305	12,360	22,780	14,454	9,743	17,825	22,200	41,048			156,110
Infrastructure Improvements	159,949	1,997,342	13,030,085	2,512,984	1,734,050	347,870	1,864,924	1,684,778	67,928	157,446			23,557,356
Municipal Restructuring	-	1,909,118	205,840	410,631	259,424	2,002,167	326,548	207,286	495,330	2,637,147			8,453,491
Penn Station Access	-	-	-	-	-	-	-	-	-	-			-
Resiliency, Mitigation, Security and Emergency Response	1,340,487	2,853,343	8,001,370	7,403,988	9,471,695	4,185,619	500,777	297,753	543,682	8,638,876			43,237,590
Southern Tier / Hudson Valley Farm Initiative	-	32,914	-	5,875	2,993,397	954,947	3,749,999	450,000	38,000	304,454			8,529,586
Thruway Stabilization Program	46,099,336	58,564,264	59,377,835	24,355,216	32,641,445	31,674,986	19,927,146	10,539,416	20,971,565	4,968,947			309,120,156
Transformative Economic Development Projects	-	-	-	-	-	-	750,000	-	-	-			750,000
Transportation Capital Plan	-	-	-	-	-	18,708,546	-	-	40,497,863	-			59,206,409
Upstate Revitalization Program	-	-	-	-	-	21,000,000	-	17,000,000	-	-			38,000,000
Total Disbursements	47,599,772	65,358,376	80,629,435	34,701,054	47,122,791	78,888,589	84,922,301	55,182,788	83,534,496	51,391,475	-	-	629,331,077
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-			-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	47,599,772	65,358,376	80,629,435	34,701,054	47,122,791	78,888,589	84,922,301	55,182,788	83,534,496	51,391,475	-	-	629,331,077
CLOSING CASH BALANCE	\$ 102,733,518	\$ 137,375,142	\$ 155,295,707	\$ 245,594,653	\$ 198,471,862	\$ 119,583,273	\$ 101,830,972	\$ 107,148,184	\$ 79,113,688	\$ 103,722,213	\$ -	\$ -	\$ 103,722,213

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Chapter 54, Laws of 2016-17, Part UU