



Office of Operations 2010 Fall Conference

**Future Phase Agency Implementation of
the Statewide Financial System (SFS)**

Michael McDermott, SFS Program

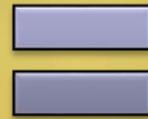
Agenda

- Statewide Financial System (SFS) Overview
- Definitions
- Responsibilities for Go-Live
- Business Functions
- Agency Implementation Guide

The Statewide Financial System (SFS) Program: An Overview



Two Projects Became One



Two Financial Components Comprise the SFS



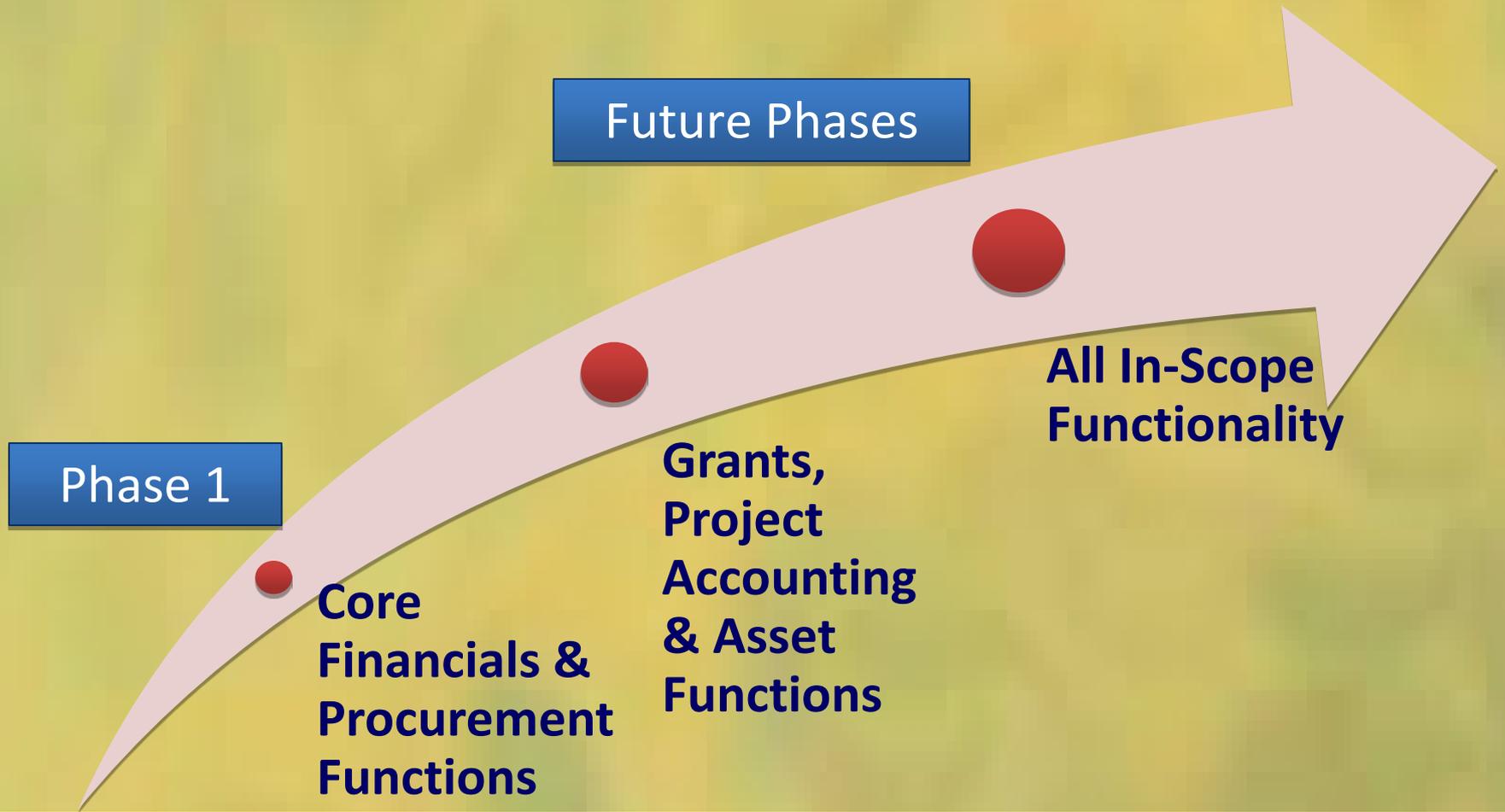
OSC

- CAS component:
 - “Official book of records” for State financial data
 - Supports OSC’s audit/control responsibilities

Agencies

- FMS component:
 - Supports agencies’ financial management system needs
 - Phased roll-out with increased system functionality in each phase

Phased SFS Rollout to State Agencies



SFS Functionality

**End Vision – All System Functions Fully-Implemented
(15,000+ core users)**

Asset Management

Inventory Management

Grantee, State as

Grantor, State as

Data Warehouse and
Business Intelligence

**Phase 1 Functional Scope
(7,000+ core users)**

Bid Development /
eProcurement (Strategic
Sourcing)

Treasury / Cash
Management

Contract Audit
Management

**Core Financial & Procurement
Functions**

General Ledger
/
Commitment
Control

Purchasing /
Statewide
Vendor File

Accounts
Payable /
Travel

Accounts
Receivable /
Non-Tax
Revenue

Project
Accounting
(Federal
Grants, Capital
& IT Projects)

SFS Benefits

- Provides Value Through More Efficient Government
 - Timely, dependable, and transparent financial information
 - Promote accountability
 - Encourages creation of consolidated, customer-focused shared services



SFS Benefits

- A Catalyst for Process Improvements
 - Immediate, tangible benefits by integrating a previously fractured environment
 - Improves the budget process for all stakeholders
 - Improves the acquisition of goods and services
 - Provides a basis for making strategic procurement decisions
 - Expands the State's ability to account for revenue collections



SFS Benefits

- The SFS Empowers Stakeholders
 - Offers intelligent business features with a user friendly look and feel
 - Provides self-service functionality for travel requests and reimbursements
 - Extends system access beyond the main office to other locations and field users
 - Facilitates information exchange with vendors and not-for-profit providers



SFS Benefits

- Provides Solid Foundation for Future Business Improvements
 - Additional ERP functionality (e.g., human resources, time recording, compensation, etc.)
 - Potential fleet and facilities management capabilities
 - E-Procurement

Future Phase Agency Implementation



Definitions

■ Phase 1 Agencies

- Those transitioning to the SFS on April 1, 2011
- Over 50 agencies who currently use the financial systems of:
 - Department of Correctional Services (DOCS);
 - Office of General Services (OGS); or
 - Those that predominately use a direct-entry process to submit financial data to the current OSC Central Accounting System (CAS).

Definitions

- Future Phase Agencies
 - Those transitioning to the SFS after April 1, 2011
 - In the interim, maintaining their current financial management system (FMS) and feeder systems (any system other than the agency FMS that bulkloads data into the SFS)

Future Phase Approach to Data

- Transmit Financial Data to SFS by:
 - Using a SFS Program-certified bulkload file interface; or
 - Direct entry of financial data for agencies where bulkload capabilities are not supported.

Future Phase Agency Go-Live Responsibilities

- Choose FMS File Format to Bulkload Data to the SFS
 - Legacy (Cost center-based format revised to meet SFS requirements)
 - PeopleSoft (New Chart of Accounts-based format that support integration between the SFS/existing agency systems)

Future Phase Agency Go-Live Responsibilities

- Assess Level of Impact/Effort to Transition FMS to Utilize Selected File Format
- Work With SFS Program to Test/Certify FMS Interfaces
- Maintain Cost Center/COA Profile Information
- Build and Test FMS Modifications
- Manage/Complete Deployment Task List

Bulkload Interface Design

- Meet Current and Future Business Needs
- Minimize Impact to Existing Legacy Bulkload Interfaces
- Provide Bridge to Future Agency Interface Needs
- Consistent with Functionality Provided by SFS On-line User Interface



Direct-Entry Process

- Temporary Data Input Solution
 - Agencies Not Able to Produce Bulkload-Formatted Transactions From Their Local FMS
- Custom-Built, Web-Based Screens
 - Similar to Current CAS Direct-Entry Screens
- Basic Data Entry/Validation Capabilities in Legacy (Cost-Center Based) Formats
 - Minimizes User Learning Curve



Direct-Entry Process

- Access Provided to Limited Number of Agency Users Based on Specific Needs
- Vendor Portal/COA Profile Access Via Web User Interface

Direct-Entry Limitations

- No Ability to Query and Update Direct-Entry Transactions
- Budget Journal and Budget Transfer Processing Replaced with Budget Excel Upload Process
- Create Budget Journals for the Segregation and Agency Commitment Control Ledgers

Report Availability for Future Phase Agencies

- April 2011 Go-Live
 - Vendor look-up report by TIN or Municipality Code
 - Former CAS and budget reports critical to agency business operations
- Three-to-Six Months After Go-Live
 - CAS and the quarterly and year-end budget reports that are not considered immediate need



Statewide Master Vendor File for Future Phase Agencies

- Ability to Synchronize Internal Vendor Files with Statewide Master Vendor File Using the M131 Vendor Master File Extract
- Initial M131 Includes Both Vendor TIN/ PeopleSoft Vendor ID for Easier Vendor Identification
- Once Vendor Conversion is Completed by OSC, Only Vendor ID will be included in M131

Training for Future Phase Agencies

- Same User Training as Provided to Phase 1 Agencies
 - Train-the-Trainer approach
 - Just-In-Time instructor led and web-based training
 - Training availability after Go-Live for new hires and replacement staff



Training for Future Phase Agencies

- Modules Include
 - Introduction (web-based)
 - Accounts Payable
 - Accounts Receivable
 - Budget and General Ledger
 - Purchasing
 - Travel and Expense
- User Job Aids to be Provided for Direct-Entry Process, Budget Excel Upload, and Reporting

Agency Implementation Guide

- Primary Source of Information for State Agencies throughout SFS Planning, Development, and Implementation
- Assists in Identifying, Estimating, Scheduling, and Implementing Changes Required by Agencies to Interact with the SFS
- Information Intended for ALL Agencies
- Final Release and Future Guide
Supplements Posted to the SFS Website at www.sfs.ny.gov

What You Can Do Today

- Preparations for Go-Live Similar to Phase 1 Agencies
- Manage and Complete Task List Responsibilities
- Attend Future Phase Focus Groups, Appropriate Information and Q & A Sessions to Gain Needed Knowledge
- Complete an Implementation Question Submission (IQS) Form on the SFS Web Site
- Use the Agency Implementation Guide for Help
- Get Latest Information at www.sfs.ny.gov

Questions?



We're Changing the Future of New York State

