



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

AUGUST 2016

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
August 31, 2016

TABLE OF CONTENTS

Combined Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit A	Governmental Funds	2
Exhibit A Supplemental	Governmental Funds - State Operating	3
Exhibit A Footnotes	Governmental Funds Footnotes	4
Exhibit B	Proprietary Funds	5
Exhibit C	Trust Funds	6
Exhibit D Governmental	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Governmental	7
Exhibit D State Operating	Governmental Funds - Budgetary Basis - Financial Plan and Actual - State Operating	8
Exhibit D General Fund	Governmental Funds - Budgetary Basis - Financial Plan and Actual - General Fund	9
Exhibit D Special Revenue	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue	10
Exhibit D Special Revenue State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue State and Federal	11
Exhibit D Debt	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Debt Service	12
Exhibit D Capital Projects	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects	13
Exhibit D Capital Projects State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects State and Federal	14
Exhibit E	Comparative Schedule of Tax Receipts	15
Cash Flow - Governmental	Governmental Funds - Governmental	16
Cash Flow - State Operating	Governmental Funds - State Operating	18

Combining Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit F	General Fund - Statement of Cash Flow	20
Exhibit G	Special Revenue Funds Combined - Statement of Cash Flow	22
Exhibit G State	Special Revenue Funds State - Statement of Receipts and Disbursements	24
Exhibit G Federal	Special Revenue Funds Federal - Statement of Receipts and Disbursements	26
Exhibit H	Debt Service Funds - Statement of Cash Flow	28
Exhibit I	Capital Projects Funds Combined - Statement of Cash Flow	29
Exhibit I State	Capital Projects Funds State - Statement of Receipts and Disbursements	31
Exhibit I Federal	Capital Projects Funds Federal - Statement of Receipts and Disbursements	33
Exhibit J	Enterprise Funds - Statement of Cash Flow	34
Exhibit K	Internal Service Funds - Statement of Cash Flow	35
Exhibit L	Pension Trust Funds - Statement of Cash Flow	36
Exhibit M	Private Purpose Trust Funds - Statement of Cash Flow	37

Supplementary Schedules

Schedule 1	Governmental Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	38
Schedule 2	Proprietary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	41
Schedule 3	Fiduciary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	42
Schedule 4	Sole Custody and Investment Accounts - Statement of Cash Receipts and Disbursements	43
Schedule 5	Debt Service Funds - Statement of Direct State Debt Activity	44
Schedule 5a	Debt Service Funds - Financing Agreements	45
Schedule 6	Summary of the Operating Fund Investments	46
Appendix A	HCRA Resources Fund - Statement of Receipts and Disbursements by Account	47
Appendix B	HCRA Resources Fund - Statement of Program Disbursements	48
Appendix C	HCRA Public Goods Pool - Statement of Cash Flow	50
Appendix D	HCRA Medicaid Disproportionate Share - Statement of Cash Flow	51
Appendix E	Public Authority Off-Budget Spending Report	52
Appendix F	Schedule of Month-End Temporary Loans Outstanding	53
Appendix G	Dedicated Infrastructure Investment Fund - Statement of Receipts and Disbursements	57

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2015	5 MOS. ENDED AUG. 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax (4)	\$ 2,204.9	\$ 13,674.7	\$ -	\$ 421.5	\$ 734.9	\$ 4,698.7	\$ -	\$ -	\$ 2,939.8	\$ 18,794.9	\$ 2,544.8	\$ 19,110.7	\$ (315.8)	-1.7%
Consumption/Use Taxes	537.2	2,886.0	166.8	870.9	485.4	2,621.3	51.9	251.0	1,241.3	6,629.2	1,216.4	6,503.9	125.3	1.9%
Business Taxes	41.8	1,226.1	70.4	491.3	-	-	51.6	264.3	163.8	1,981.7	344.0	2,378.2	(396.5)	-16.7%
Other Taxes	124.7	460.9	107.8	504.2	90.5	448.0	11.9	35.7	334.9	1,448.8	349.1	1,759.8	(311.0)	-17.7%
Miscellaneous Receipts	320.2	1,165.1	1,506.5	6,935.6	52.3	189.6	86.6	1,121.7	1,965.6	9,412.0	1,556.9	10,836.4	(1,424.4)	-13.1%
Federal Receipts	-	0.3	3,940.8	19,603.4	35.1	36.7	229.5	892.8	4,205.4	20,533.2	4,470.8	19,355.0	1,178.2	6.1%
Total Receipts	3,228.8	19,413.1	5,792.3	28,826.9	1,398.2	7,994.3	431.5	2,565.5	10,850.8	58,799.8	10,482.0	59,944.0	(1,144.2)	-1.9%
DISBURSEMENTS:														
Local Assistance Grants: (3)														
Education	1,015.2	8,350.9	192.9	1,931.2	-	-	5.6	13.7	1,213.7	10,295.8	946.9	9,823.5	472.3	4.8%
Environment and Recreation	2.2	3.1	1.1	3.0	-	-	-	38.1	15.3	44.2	8.2	36.0	8.2	22.8%
General Government	2.3	587.4	37.1	120.6	-	-	-	123.6	58.0	831.6	23.8	708.2	123.4	17.4%
Public Health:														
Medicaid	1,281.1	5,963.2	3,433.8	15,768.7	-	-	-	-	4,714.9	21,731.9	3,654.0	19,668.1	2,063.8	10.5%
Other Public Health	44.4	597.3	527.3	2,780.2	-	-	3.4	34.8	575.1	3,412.3	687.4	2,662.7	749.6	28.2%
Public Safety	9.2	52.5	200.0	644.7	-	-	0.6	7.9	209.8	705.1	173.0	689.9	15.2	2.2%
Public Welfare	168.0	1,130.5	341.6	1,472.3	-	-	6.0	56.8	515.6	2,659.6	919.0	3,170.4	(510.8)	-16.1%
Support and Regulate Business	27.7	64.8	3.8	11.5	-	-	167.0	400.8	198.5	477.1	156.5	418.6	58.5	14.0%
Transportation	23.9	58.2	472.6	1,964.7	-	-	78.0	302.4	574.5	2,325.3	486.6	2,050.2	275.1	13.4%
Total Local Assistance Grants	2,574.0	16,807.9	5,210.2	24,696.9	-	-	291.2	978.1	8,075.4	42,482.9	7,055.4	39,227.6	3,255.3	8.3%
Departmental Operations:														
Personal Service	490.3	2,538.7	603.8	3,121.7	-	-	-	-	1,094.1	5,660.4	1,030.8	5,695.7	(35.3)	-0.6%
Non-Personal Service	186.4	718.2	477.1	1,861.3	3.2	20.7	-	-	666.7	2,600.2	614.1	2,509.7	90.5	3.6%
General State Charges	364.3	3,791.9	103.6	710.7	-	-	-	-	467.9	4,502.6	409.9	4,238.5	264.1	6.2%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	281.8	673.2	-	-	281.8	673.2	273.9	942.7	(269.5)	-28.6%
Capital Projects (1)	-	-	0.2	0.8	-	-	561.2	2,474.9	561.4	2,475.7	536.6	2,271.6	204.1	9.0%
Total Disbursements	3,615.0	23,856.7	6,394.9	30,391.4	285.0	693.9	852.4	3,453.0	11,147.3	58,395.0	9,920.7	54,885.8	3,509.2	6.4%
Excess (Deficiency) of Receipts over Disbursements	(386.2)	(4,443.6)	(602.6)	(1,564.5)	1,113.2	7,300.4	(420.9)	(887.5)	(296.5)	404.8	561.3	5,058.2	(4,653.4)	-92.0%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	747.5	6,851.6	683.6	4,186.6	50.7	1,040.7	352.8	1,199.7	1,834.6	13,278.6	1,953.1	13,989.6	(711.0)	-5.1%
Transfers to Other Funds (2)	(897.6)	(5,113.1)	(58.2)	(651.5)	(862.7)	(7,392.2)	(30.9)	(148.7)	(1,849.4)	(13,305.5)	(1,930.0)	(14,038.4)	(732.9)	-5.2%
Total Other Financing Sources (Uses)	(150.1)	1,738.5	625.4	3,535.1	(812.0)	(6,351.5)	321.9	1,051.0	(14.8)	(26.9)	23.1	(48.8)	21.9	44.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(536.3)	(2,705.1)	22.8	1,970.6	301.2	948.9	(99.0)	163.5	(311.3)	377.9	584.4	5,009.4	(4,631.5)	-92.5%
Beginning Fund Balances (Deficits)	6,765.3	8,934.1	5,554.9	3,607.1	807.4	159.7	(628.3)	(890.8)	12,499.3	11,810.1	13,780.6	9,355.6	2,454.5	26.2%
Ending Fund Balances (Deficits)	\$ 6,229.0	\$ 6,229.0	\$ 5,577.7	\$ 5,577.7	\$ 1,108.6	\$ 1,108.6	\$ (727.3)	\$ (727.3)	\$ 12,188.0	\$ 12,188.0	\$ 14,365.0	\$ 14,365.0	\$ (2,177.0)	-15.2%

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2015	5 MOS. ENDED AUG. 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax (4)	\$ 2,204.9	\$ 13,674.7	\$ -	\$ 421.5	\$ 734.9	\$ 4,698.7	\$ 2,939.8	\$ 18,794.9	\$ 2,544.8	\$ 19,110.7	\$ (315.8)	-1.7%
Consumption/Use Taxes	537.2	2,886.0	166.8	870.9	485.4	2,621.3	1,189.4	6,378.2	1,168.6	6,254.0	124.2	2.0%
Business Taxes	41.8	1,226.1	70.4	491.3	-	-	112.2	1,717.4	286.1	2,112.0	(394.6)	-18.7%
Other Taxes	124.7	460.9	107.8	504.2	90.5	448.0	323.0	1,413.1	337.2	1,724.1	(311.0)	-18.0%
Miscellaneous Receipts	320.2	1,165.1	1,490.0	6,839.6	52.3	189.6	1,862.5	8,194.3	1,438.0	9,331.4	(1,137.1)	-12.2%
Federal Receipts	-	0.3	(14.7)	(0.5)	35.1	36.7	20.4	36.5	34.9	36.6	(0.1)	-0.3%
Total Receipts	3,228.8	19,413.1	1,820.3	9,127.0	1,398.2	7,994.3	6,447.3	36,534.4	5,809.6	38,568.8	(2,034.4)	-5.3%
DISBURSEMENTS:												
Local Assistance Grants: (3)												
Education	1,015.2	8,350.9	1.5	724.2	-	-	1,016.7	9,075.1	803.1	8,453.1	622.0	7.4%
Environment and Recreation	2.2	3.1	0.6	1.0	-	-	2.8	4.1	0.9	4.4	(0.3)	-6.8%
General Government	2.3	587.4	34.0	103.5	-	-	36.3	690.9	16.3	651.2	39.7	6.1%
Public Health:												
Medicaid	1,281.1	5,963.2	477.1	2,380.0	-	-	1,758.2	8,343.2	1,492.6	7,703.4	639.8	8.3%
Other Public Health	44.4	597.3	95.9	848.8	-	-	140.3	1,446.1	282.6	1,345.6	100.5	7.5%
Public Safety	9.2	52.5	13.4	63.2	-	-	22.6	115.7	20.3	129.3	(13.6)	-10.5%
Public Welfare	168.0	1,130.5	0.6	2.5	-	-	168.6	1,133.0	127.0	1,049.6	83.4	7.9%
Support and Regulate Business	27.7	64.8	2.5	9.9	-	-	30.2	74.7	8.6	33.3	41.4	124.3%
Transportation	23.9	58.2	465.5	1,936.4	-	-	489.4	1,994.6	468.7	1,853.7	140.9	7.6%
Total Local Assistance Grants	2,574.0	16,807.9	1,091.1	6,069.5	-	-	3,665.1	22,877.4	3,220.1	21,223.6	1,653.8	7.8%
Departmental Operations:												
Personal Service	490.3	2,538.7	545.2	2,856.9	-	-	1,035.5	5,395.6	987.2	5,445.1	(49.5)	-0.9%
Non-Personal Service	186.4	718.2	325.1	1,373.6	3.2	20.7	514.7	2,112.5	516.9	2,095.0	17.5	0.8%
General State Charges	364.3	3,791.9	69.1	616.2	-	-	433.4	4,408.1	362.8	4,120.6	287.5	7.0%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	281.8	673.2	281.8	673.2	273.9	942.7	(269.5)	-28.6%
Capital Projects (1)	-	-	0.2	0.8	-	-	0.2	0.8	-	0.3	0.5	166.7%
Total Disbursements	3,615.0	23,856.7	2,030.7	10,917.0	285.0	693.9	5,930.7	35,467.6	5,360.9	33,827.3	1,640.3	4.8%
Excess (Deficiency) of Receipts over Disbursements	(386.2)	(4,443.6)	(210.4)	(1,790.0)	1,113.2	7,300.4	516.6	1,066.8	448.7	4,741.5	(3,674.7)	-77.5%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds (2)	747.5	6,851.6	708.0	4,385.4	50.7	1,040.7	1,506.2	12,277.7	1,822.2	13,632.5	(1,354.8)	-9.9%
Transfers to Other Funds (2)	(897.6)	(5,113.1)	(22.5)	(88.5)	(862.7)	(7,392.2)	(1,782.8)	(12,593.8)	(1,840.9)	(13,219.9)	(626.1)	-4.7%
Total Other Financing Sources (Uses)	(150.1)	1,738.5	685.5	4,296.9	(812.0)	(6,351.5)	(276.6)	(316.1)	(18.7)	412.6	(728.7)	-176.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(536.3)	(2,705.1)	475.1	2,506.9	301.2	948.9	240.0	750.7	430.0	5,154.1	(4,403.4)	-85.4%
Beginning Fund Balances (Deficits)	6,765.3	8,934.1	5,579.2	3,547.4	807.4	159.7	13,151.9	12,641.2	14,614.9	9,890.8	2,750.4	27.8%
Ending Fund Balances (Deficits)	\$ 6,229.0	\$ 6,229.0	\$ 6,054.3	\$ 6,054.3	\$ 1,108.6	\$ 1,108.6	\$ 13,391.9	\$ 13,391.9	\$ 15,044.9	\$ 15,044.9	\$ (1,653.0)	-11.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$99.8 million
Urban Development Corporation (Youth Facilities)	11.3
Housing Finance Agency (HFA)	197.6
Housing Assistance Fund	13.6
Dormitory Authority (Mental Hygiene)	448.7
Dormitory Authority and State University Income Fund	345.5
Federal Capital Projects	458.7
State bond and note proceeds	30.2

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$709.9 million
General Debt Service Fund	389.4
Banking Services Account	17.2
Court Facilities Incentive Aid Fund	60.4
Dedicated Highway & Bridge Trust Fund	16.5
Dedicated Infrastructure Investment Fund	363.6
Dedicated Mass Transportation - Railroad Account	2.2
Dedicated Mass Transportation - Transit Authority Account	12.2
Dedicated Mass Transportation (Non-MTA)	1.3
Environmental Protection Fund	38.0
Hazardous Waste Remediation Oversight and Assistance Account	5.0
Mental Hygiene Program Fund	850.0
Mental Hygiene Patient Income Account	850.0
MTA Operating Assistance Fund	19.3
MTA Financial Assistance Fund	189.8
NYC County Courts Operating Fund	4.4
SUNY - Hospital IFR	19.5
SUNY - Income Fund	816.9

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$5.9m), the State University Income Fund (\$161.9m), the Mental Hygiene Program Account (\$577.9m) and Miscellaneous State Special Revenue Account (\$0.4m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of August 31, 2016 - pursuant to a certification of the Budget Director - the reserve amount is (\$115.2m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of (\$511.3m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds (\$65.7m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Energy Research Account	\$3.9 million
Federal Dept of Health & Human Services Fund	41.4
Unemployment Insurance Administration Fund	9.5
SUNY Income Fund	4.4

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$4,045.7 million
Local Government Assistance Tax Fund	1,267.4
Sales Tax Revenue Bond Tax Fund	1,045.3
Clean Water/Clean Air Fund	419.7

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$58.8m) and Mental Hygiene (\$555.2m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$11.7m), the General Debt Service Fund (\$126.6m), and the Revenue Bond Tax Fund (\$4.3m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ 496,603
Medicaid Recoveries -Audit	-	785,600
Medicaid Recoveries - Third Parties	-	8,289,364
Pharmacy Rebates	-	1,754,760
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	<u>\$ -</u>	<u>\$ 11,326,327</u>

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$421.4m) as of August 31, 2016.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2015	5 MOS. ENDED AUG. 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.1	\$ 24.1	\$ 35.1	\$ 158.7	\$ 40.2	\$ 182.8	\$ 50.3	\$ 221.4	\$ (38.6)	-17.4%
Federal Receipts	1.6	7.1	-	-	1.6	7.1	1.9	10.7	(3.6)	-33.6%
Unemployment Taxes	208.0	851.5	-	-	208.0	851.5	173.8	924.0	(72.5)	-7.8%
Total Receipts	214.7	882.7	35.1	158.7	249.8	1,041.4	226.0	1,156.1	(114.7)	-9.9%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.5	1.6	8.7	40.6	9.2	42.2	6.8	39.0	3.2	8.2%
Non-Personal Service	2.6	19.8	47.5	225.1	50.1	244.9	43.1	202.4	42.5	21.0%
General State Charges	-	0.3	6.0	18.3	6.0	18.6	9.7	19.6	(1.0)	-5.1%
Unemployment Benefits	209.7	902.8	-	-	209.7	902.8	197.0	929.6	(26.8)	-2.9%
Total Disbursements	212.8	924.5	62.2	284.0	275.0	1,208.5	256.6	1,190.6	17.9	1.5%
Excess (Deficiency) of Receipts Over Disbursements	1.9	(41.8)	(27.1)	(125.3)	(25.2)	(167.1)	(30.6)	(34.5)	(132.6)	-384.3%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	5.0	17.2	5.0	17.2	10.2	17.7	(0.5)	-2.8%
Transfers to Other Funds	-	-	-	(0.2)	-	(0.2)	-	(0.1)	0.1	100.0%
Total Other Financing Sources (Uses)	-	-	5.0	17.0	5.0	17.0	10.2	17.6	(0.6)	-3.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.9	(41.8)	(22.1)	(108.3)	(20.2)	(150.1)	(20.4)	(16.9)	(133.2)	-788.2%
Beginning Fund Balances (Deficits)	22.4	66.1	(213.4)	(127.2)	(191.0)	(61.1)	(142.6)	(146.1)	85.0	58.2%
Ending Fund Balances (Deficits)	\$ 24.3	\$ 24.3	\$ (235.5)	\$ (235.5)	\$ (211.2)	\$ (211.2)	\$ (163.0)	\$ (163.0)	\$ (48.2)	-29.6%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2016	5 MOS. ENDED AUG. 31, 2016	MONTH OF AUG. 2015	5 MOS. ENDED AUG. 31, 2015	\$ Increase/ (Decrease)	% Increase Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.3	\$ 28.5	\$ -	\$ (1.3)	\$ 5.3	\$ 27.2	\$ 14.3	\$ 53.0	\$ (25.8)	-48.7%
Total Receipts	5.3	28.5	-	(1.3)	5.3	27.2	14.3	53.0	(25.8)	-48.7%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.2	26.0	-	0.1	5.2	26.1	4.7	26.7	(0.6)	-2.2%
Non-Personal Service	1.4	5.2	-	-	1.4	5.2	1.6	4.7	0.5	10.6%
General State Charges	9.5	11.5	-	-	9.5	11.5	8.1	14.4	(2.9)	-20.1%
Total Disbursements	16.1	42.7	-	0.1	16.1	42.8	14.4	45.8	(3.0)	-6.6%
Excess (Deficiency) of Receipts Over Disbursements	(10.8)	(14.2)	-	(1.4)	(10.8)	(15.6)	(0.1)	7.2	(22.8)	-316.7%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(10.8)	(14.2)	-	(1.4)	(10.8)	(15.6)	(0.1)	7.2	(22.8)	-316.7%
Beginning Fund Balances (Deficits)	(3.3)	0.1	10.2	11.6	6.9	11.7	1.9	(5.4)	17.1	316.7%
Ending Fund Balances (Deficits)	\$ (14.1)	\$ (14.1)	\$ 10.2	\$ 10.2	\$ (3.9)	\$ (3.9)	\$ 1.8	\$ 1.8	\$ (5.7)	-316.7%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR FIVE MONTHS ENDED AUGUST 31, 2016
(Amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 19,553.0	\$ 18,900.0	\$ 18,794.9	\$ (758.1)	\$ (105.1)
Consumption/Use	6,550.0	6,601.0	6,629.2	79.2	28.2
Business	1,970.0	1,850.0	1,981.7	11.7	131.7
Other	1,378.0	1,401.0	1,448.8	70.8	47.8
Miscellaneous Receipts	9,296.0	9,114.0	9,412.0	116.0	298.0
Federal Receipts	20,656.0	21,191.0	20,533.2	(122.8)	(657.8)
Total Receipts	59,403.0	59,057.0	58,799.8	(603.2)	(257.2)
DISBURSEMENTS:					
Local Assistance Grants	42,457.0	42,823.0	42,482.9	25.9	(340.1)
Departmental Operations	8,071.0	8,211.0	8,260.6	189.6	49.6
General State Charges	4,386.0	4,515.0	4,502.6	116.6	(12.4)
Debt Service	676.0	673.0	673.2	(2.8)	0.2
Capital Projects	2,998.0	2,541.0	2,475.7	(522.3)	(65.3)
Total Disbursements	58,588.0	58,763.0	58,395.0	(193.0)	(368.0)
Excess (Deficiency) of Receipts over Disbursements	815.0	294.0	404.8	(410.2)	110.8
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	8.0	6.0	-	(8.0)	(6.0)
Transfers from Other Funds	13,751.0	13,599.0	13,278.6	(472.4)	(320.4)
Transfers to Other Funds	(13,779.0)	(13,614.0)	(13,305.5)	(473.5)	(308.5)
Total Other Financing Sources (Uses)	(20.0)	(9.0)	(26.9)	(6.9)	(17.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	795.0	285.0	377.9	(417.1)	92.9
Fund Balances (Deficits) at April 1	11,810.0	11,810.0	11,810.1	0.1	0.1
Fund Balances (Deficits) at August 31, 2016	\$ 12,605.0	\$ 12,095.0	\$ 12,188.0	\$ (417.0)	\$ 93.0

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR FIVE MONTHS ENDED AUGUST 31, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 19,553.0	\$ 18,900.0	\$ 18,794.9	\$ (758.1)	\$ (105.1)
Consumption/Use	6,307.0	6,354.0	6,378.2	71.2	24.2
Business	1,713.0	1,587.0	1,717.4	4.4	130.4
Other	1,342.0	1,365.0	1,413.1	71.1	48.1
Miscellaneous Receipts	7,742.0	7,866.0	8,194.3	452.3	328.3
Federal Receipts	2.0	14.0	36.5	34.5	22.5
Total Receipts	36,659.0	36,086.0	36,534.4	(124.6)	448.4
DISBURSEMENTS:					
Local Assistance Grants	22,214.0	22,560.0	22,877.4	663.4	317.4
Departmental Operations	7,394.0	7,505.0	7,508.1	114.1	3.1
General State Charges	4,274.0	4,415.0	4,408.1	134.1	(6.9)
Debt Service	676.0	673.0	673.2	(2.8)	0.2
Capital Projects	-	-	0.8	0.8	0.8
Total Disbursements	34,558.0	35,153.0	35,467.6	909.6	314.6
Excess (Deficiency) of Receipts over Disbursements	2,101.0	933.0	1,066.8	(1,034.2)	133.8
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	12,180.0	12,359.0	12,277.7 (****)	97.7	(81.3)
Transfers to Other Funds	(12,907.0)	(12,549.0)	(12,593.8) (****)	(313.2)	44.8
Total Other Financing Sources (Uses)	(727.0)	(190.0)	(316.1)	410.9	(126.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,374.0	743.0	750.7	(623.3)	7.7
Fund Balances (Deficits) at April 1	12,641.0	12,641.0	12,641.2	0.2	0.2
Fund Balances (Deficits) at August 31, 2016	\$ 14,015.0	\$ 13,384.0	\$ 13,391.9	\$ (623.1)	\$ 7.9

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR FIVE MONTHS ENDED AUGUST 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 14,243.0	\$ 13,754.0	\$ 13,674.7	\$ (568.3)	\$ (79.3)
Consumption/Use	2,854.0	2,880.0	2,886.0	32.0	6.0
Business	1,257.0	1,136.0	1,226.1	(30.9)	90.1
Other	428.0	430.0	460.9	32.9	30.9
Miscellaneous Receipts	963.0	966.0	1,165.1	202.1	199.1
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PIT in excess of Revenue Bond Debt Service	4,228.0	4,069.0	4,045.7	(182.3)	(23.3)
Sales Tax in excess of LGAC / STRBF Debt Service	2,285.0	2,313.0	2,312.7	27.7	(0.3)
Real Estate Taxes in excess of CW/CA Debt Service	383.0	414.0	419.7	36.7	5.7
All Other	43.0	73.0	73.5	30.5	0.5
Total Receipts and Other Financing Sources	26,684.0	26,035.0	26,264.7	(419.3)	229.7
DISBURSEMENTS:					
Local Assistance Grants	16,216.0	16,445.0	16,807.9	591.9	362.9
Departmental Operations	3,171.0	3,252.0	3,256.9	85.9	4.9
General State Charges	3,558.0	3,621.0	3,791.9	233.9	170.9
Transfers To:					
Debt Service	392.0	404.0	389.4	(2.6)	(14.6)
Capital Projects	1,543.0	1,167.0	1,133.6	(409.4)	(33.4)
State Share Medicaid	561.0	622.0	746.1 (***)	185.1	124.1
SUNY Operations	818.0	817.0	816.9	(1.1)	(0.1)
Other Purposes	2,172.0	2,191.0	2,027.1	(144.9)	(163.9)
Total Disbursements and Other Financing Uses	28,431.0	28,519.0	28,969.8	538.8	450.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,747.0)	(2,484.0)	(2,705.1)	(958.1)	(221.1)
Fund Balances (Deficits) at April 1	8,934.0	8,934.0	8,934.1	0.1	0.1
Fund Balances (Deficits) at August 31, 2016	\$ 7,187.0	\$ 6,450.0	\$ 6,229.0	\$ (958.0)	\$ (221.0)

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR FIVE MONTHS ENDED AUGUST 31, 2016
(Amounts in millions)

EXHIBIT D
(continued)

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ 421.0	\$ 421.0	\$ 421.5	\$ -	\$ 421.5	\$ 0.5	\$ 0.5
Consumption/Use	859.0	853.0	870.9	-	870.9	11.9	17.9
Business	456.0	451.0	491.3	-	491.3	35.3	40.3
Other	502.0	494.0	504.2	-	504.2	2.2	10.2
Miscellaneous Receipts	6,663.0	6,890.0	6,935.6	-	6,935.6	272.6	45.6
Federal Receipts	19,873.0	20,358.0	19,603.4	-	19,603.4	(269.6)	(754.6)
Transfers from Other Funds(***)	4,217.0	4,307.0	4,385.4	(198.8)	4,186.6	(30.4)	(120.4)
Total Receipts and Other Financing Sources	32,991.0	33,774.0	33,212.3	(198.8)	33,013.5	22.5	(760.5)
DISBURSEMENTS:							
Local Assistance Grants	25,009.0	25,382.0	24,696.9	-	24,696.9	(312.1)	(685.1)
Departmental Operations	4,874.0	4,934.0	4,983.0	-	4,983.0	109.0	49.0
General State Charges	828.0	894.0	710.7	-	710.7	(117.3)	(183.3)
Capital Projects	-	-	0.8	-	0.8	0.8	0.8
Transfers to Other Funds(***)	773.0	1,004.0	850.3	(198.8)	651.5	(121.5)	(352.5)
Total Disbursements and Other Financing Uses	31,484.0	32,214.0	31,241.7	(198.8)	31,042.9	(441.1)	(1,171.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,507.0	1,560.0	1,970.6	-	1,970.6	463.6	410.6
Fund Balances (Deficits) at April 1	3,607.0	3,607.0	3,607.1	-	3,607.1	0.1	0.1
Fund Balances (Deficits) at August 31, 2016	\$ 5,114.0	\$ 5,167.0	\$ 5,577.7	\$ -	\$ 5,577.7	\$ 463.7	\$ 410.7

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR FIVE MONTHS ENDED AUGUST 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 421.0	\$ 421.0	\$ 421.5	\$ 0.5	\$ 0.5	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	859.0	853.0	870.9	11.9	17.9	-	-	-	-	-
Business	456.0	451.0	491.3	35.3	40.3	-	-	-	-	-
Other	502.0	494.0	504.2	2.2	10.2	-	-	-	-	-
Miscellaneous Receipts	6,576.0	6,799.0	6,839.6	263.6	40.6	87.0	91.0	96.0	9.0	5.0
Federal Receipts	-	12.0	(0.5)	(0.5)	(12.5)	19,873.0	20,346.0	19,603.9	(269.1)	(742.1)
Transfers from Other Funds	4,217.0	4,307.0	4,385.4	168.4	78.4	-	-	-	-	-
Total Receipts and Other Financing Sources	13,031.0	13,337.0	13,512.4	481.4	175.4	19,960.0	20,437.0	19,699.9	(260.1)	(737.1)
DISBURSEMENTS:										
Local Assistance Grants	5,998.0	6,115.0	6,069.5	71.5	(45.5)	19,011.0	19,267.0	18,627.4	(383.6)	(639.6)
Departmental Operations	4,197.0	4,228.0	4,230.5	33.5	2.5	677.0	706.0	752.5	75.5	46.5
General State Charges	716.0	794.0	616.2	(99.8)	(177.8)	112.0	100.0	94.5	(17.5)	(5.5)
Capital Projects	-	-	0.8	0.8	0.8	-	-	-	-	-
Transfers to Other Funds	38.0	85.0	88.5	50.5	3.5	735.0	919.0	761.8	26.8	(157.2)
Total Disbursements and Other Financing Uses	10,949.0	11,222.0	11,005.5	56.5	(216.5)	20,535.0	20,992.0	20,236.2	(298.8)	(755.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,082.0	2,115.0	2,506.9	424.9	391.9	(575.0)	(555.0)	(536.3)	38.7	18.7
Fund Balances (Deficits) at April 1	3,547.0	3,547.0	3,547.4	0.4	0.4	60.0	60.0	59.7	(0.3)	(0.3)
Fund Balances (Deficits) at August 31, 2016	\$ 5,629.0	\$ 5,662.0	\$ 6,054.3	\$ 425.3	\$ 392.3	\$ (515.0)	\$ (495.0)	\$ (476.6)	\$ 38.4	\$ 18.4

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR FIVE MONTHS ENDED AUGUST 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 4,889.0	\$ 4,725.0	\$ 4,698.7	\$ (190.3)	\$ (26.3)
Consumption/Use	2,594.0	2,621.0	2,621.3	27.3	0.3
Other	412.0	441.0	448.0	36.0	7.0
Miscellaneous Receipts	203.0	101.0	189.6	(13.4)	88.6
Federal Receipts	2.0	2.0	36.7	34.7	34.7
Transfers from Other Funds	1,024.0	1,183.0	1,040.7	16.7	(142.3)
Total Receipts and Other Financing Sources	9,124.0	9,073.0	9,035.0	(89.0)	(38.0)
DISBURSEMENTS:					
Departmental Operations	26.0	25.0	20.7	(5.3)	(4.3)
Debt Service	676.0	673.0	673.2	(2.8)	0.2
Transfers to Other Funds	7,383.0	7,263.0	7,392.2	9.2	129.2
Total Disbursements and Other Financing Uses	8,085.0	7,961.0	8,086.1	1.1	125.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,039.0	1,112.0	948.9	(90.1)	(163.1)
Fund Balances (Deficits) at April 1	160.0	160.0	159.7	(0.3)	(0.3)
Fund Balances (Deficits) at August 31, 2016	\$ 1,199.0	\$ 1,272.0	\$ 1,108.6	\$ (90.4)	\$ (163.4)

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR FIVE MONTHS ENDED AUGUST 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

CAPITAL PROJECTS FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 243.0	\$ 247.0	\$ 251.0	\$ -	\$ 251.0	\$ 8.0	\$ 4.0
Business	257.0	263.0	264.3	-	264.3	7.3	1.3
Other	36.0	36.0	35.7	-	35.7	(0.3)	(0.3)
Miscellaneous Receipts	1,467.0	1,157.0	1,121.7	-	1,121.7	(345.3)	(35.3)
Federal Receipts	781.0	831.0	892.8	-	892.8	111.8	61.8
Bond and Note Proceeds, net	8.0	6.0	-	-	-	(8.0)	(6.0)
Transfers from Other Funds	1,571.0	1,240.0	1,199.7	-	1,199.7	(371.3)	(40.3)
Total Receipts and Other Financing Sources	4,363.0	3,780.0	3,765.2	-	3,765.2	(597.8)	(14.8)
DISBURSEMENTS:							
Local Assistance Grants	1,232.0	996.0	978.1	-	978.1	(253.9)	(17.9)
Capital Projects	2,998.0	2,541.0	2,474.9	-	2,474.9	(523.1)	(66.1)
Transfers to Other Funds	137.0	146.0	148.7	-	148.7	11.7	2.7
Total Disbursements and Other Financing Uses	4,367.0	3,683.0	3,601.7	-	3,601.7	(765.3)	(81.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.0)	97.0	163.5	-	163.5	167.5	66.5
Fund Balances (Deficits) at April 1	(891.0)	(891.0)	(890.8)	-	(890.8)	0.2	0.2
Fund Balances (Deficits) at August 31, 2016	\$ (895.0)	\$ (794.0)	\$ (727.3)	\$ -	\$ (727.3)	\$ 167.7	\$ 66.7

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2017
 FOR FIVE MONTHS ENDED AUGUST 31, 2016
 (Amounts in millions)

EXHIBIT D
 (continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 243.0	\$ 247.0	\$ 251.0	\$ 8.0	\$ 4.0	\$ -	\$ -	\$ -	\$ -	\$ -
Business	257.0	263.0	264.3	7.3	1.3	-	-	-	-	-
Other	36.0	36.0	35.7	(0.3)	(0.3)	-	-	-	-	-
Miscellaneous Receipts	1,467.0	1,156.0	1,120.4	(346.6)	(35.6)	-	1.0	1.3	1.3	0.3
Federal Receipts	-	-	-	-	-	781.0	831.0	892.8	111.8	61.8
Bond and Note Proceeds, net	8.0	6.0	-	(8.0)	(6.0)	-	-	-	-	-
Transfers from Other Funds	1,572.0	1,240.0	1,199.7	(372.3)	(40.3)	(1.0)	-	-	1.0	-
Total Receipts and Other Financing Sources	3,583.0	2,948.0	2,871.1	(711.9)	(76.9)	780.0	832.0	894.1	114.1	62.1
DISBURSEMENTS:										
Local Assistance Grants	1,016.0	805.0	762.0	(254.0)	(43.0)	216.0	191.0	216.1	0.1	25.1
Capital Projects	2,522.0	2,030.0	1,903.6	(618.4)	(126.4)	476.0	511.0	571.3	95.3	60.3
Transfers to Other Funds	131.0	140.0	142.6	11.6	2.6	6.0	6.0	6.1	0.1	0.1
Total Disbursements and Other Financing Uses	3,669.0	2,975.0	2,808.2	(860.8)	(166.8)	698.0	708.0	793.5	95.5	85.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(86.0)	(27.0)	62.9	148.9	89.9	82.0	124.0	100.6	18.6	(23.4)
Fund Balances (Deficits) at April 1	(333.0)	(333.0)	(331.5)	1.5	1.5	(558.0)	(558.0)	(559.3)	(1.3)	(1.3)
Fund Balances (Deficits) at August 31, 2016	\$ (419.0)	\$ (360.0)	\$ (268.6)	\$ 150.4	\$ 91.4	\$ (476.0)	\$ (434.0)	\$ (458.7)	\$ 17.3	\$ (24.7)

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF AUG 2016	5 MOS. ENDED AUG 31, 2016	MONTH OF AUG 2016	5 MOS. ENDED AUG 31, 2016	MONTH OF AUG 2016	5 MOS. ENDED AUG 31, 2016	MONTH OF AUG 2016	5 MOS. ENDED AUG 31, 2016	MONTH OF AUG 2016	5 MOS. ENDED AUG 31, 2016	MONTH OF AUG 2015	5 MOS. ENDED AUG 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 2,914.8	\$ 13,564.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,914.8	\$ 13,564.3	\$ 2,498.8	\$ 13,211.6	\$ 352.7	2.7%
Estimated Payments	97.1	7,063.6	-	-	-	-	-	-	97.1	7,063.6	97.6	7,899.7	(836.1)	-10.6%
Returns	33.9	1,883.5	-	-	-	-	-	-	33.9	1,883.5	31.5	1,884.4	(0.9)	0.0%
State/City Offsets	(18.8)	(254.5)	-	-	-	-	-	-	(18.8)	(254.5)	(11.9)	(216.3)	38.2	17.7%
Other (Assessments/LLC)	90.8	567.7	-	-	-	-	-	-	90.8	567.7	73.5	505.6	62.1	12.3%
Gross Receipts	3,117.8	22,824.6	-	-	-	-	-	-	3,117.8	22,824.6	2,689.5	23,285.0	(460.4)	-2.0%
Transfers to School Tax Relief Fund	-	(421.5)	-	421.5	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(734.9)	(4,698.7)	-	-	734.9	4,698.7	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(178.0)	(4,029.7)	-	-	-	-	-	-	(178.0)	(4,029.7)	(144.7)	(4,174.3)	(144.6)	-3.5%
Total	2,204.9	13,674.7	-	421.5	734.9	4,698.7	-	-	2,939.8	18,794.9	2,544.8	19,110.7	(315.8)	-1.7%
CONSUMPTION/USE TAXES														
Sales and Use	485.7	2,622.6	65.8	381.3	485.4	2,621.3	-	-	1,036.9	5,625.2	1,033.7	5,507.8	117.4	2.1%
Auto Rental	-	-	4.4	23.0	-	-	0.1	19.4	4.5	42.4	0.1	33.4	9.0	26.9%
Cigarette/Tobacco Products	35.0	155.7	85.6	387.5	-	-	-	-	120.6	543.2	107.2	541.7	1.5	0.3%
Medical Marihuana	-	-	-	0.1	-	-	-	-	-	0.1	-	-	0.1	100.0%
Motor Fuel	-	-	10.5	45.9	-	-	39.5	171.2	50.0	217.1	46.6	213.1	4.0	1.9%
Alcoholic Beverage	16.5	107.7	-	-	-	-	-	-	16.5	107.7	17.4	108.7	(1.0)	-0.9%
Highway Use	-	-	-	-	-	-	12.3	60.4	12.3	60.4	11.1	60.6	(0.2)	-0.3%
Metropolitan Commuter Trans. Taxicab Trip	-	-	0.5	33.1	-	-	-	-	0.5	33.1	0.3	38.6	(5.5)	-14.2%
Total	537.2	2,886.0	166.8	870.9	485.4	2,621.3	51.9	251.0	1,241.3	6,629.2	1,216.4	6,503.9	125.3	1.9%
BUSINESS TAXES														
Corporation Franchise	(2.5)	760.0	20.0	200.2	-	-	-	-	17.5	960.2	119.2	1,283.4	(323.2)	-25.2%
Corporation and Utilities	0.2	105.6	0.3	35.6	-	-	-	3.0	0.5	144.2	6.5	148.2	(4.0)	-2.7%
Insurance	13.5	341.5	1.1	43.9	-	-	-	-	14.6	385.4	50.5	375.1	10.3	2.7%
Bank	30.6	19.0	7.5	2.1	-	-	-	-	38.1	21.1	63.7	97.6	(76.5)	-78.4%
Petroleum Business	-	-	41.5	209.5	-	-	51.6	261.3	93.1	470.8	104.1	473.9	(3.1)	-0.7%
Total	41.8	1,226.1	70.4	491.3	-	-	51.6	264.3	163.8	1,981.7	344.0	2,378.2	(396.5)	-16.7%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	122.0	452.9	-	-	-	-	-	-	122.0	452.9	162.1	784.9	(332.0)	-42.3%
Pari-Mutuel	2.3	7.4	-	-	-	-	-	-	2.3	7.4	2.6	8.1	(0.7)	-8.6%
Real Estate Transfer	-	-	-	-	90.5	448.0	11.9	35.7	102.4	483.7	99.0	469.7	14.0	3.0%
Racing and Exhibitions	0.4	0.6	-	-	-	-	-	-	0.4	0.6	0.3	0.6	-	0.0%
Metropolitan Commuter Trans. Mobility	-	-	107.8	504.2	-	-	-	-	107.8	504.2	85.1	496.5	7.7	1.6%
Total	124.7	460.9	107.8	504.2	90.5	448.0	11.9	35.7	334.9	1,448.8	349.1	1,759.8	(311.0)	-17.7%
Total Tax Receipts	\$ 2,908.6	\$ 18,247.7	\$ 345.0	\$ 2,287.9	\$ 1,310.8	\$ 7,768.0	\$ 115.4	\$ 551.0	\$ 4,679.8	\$ 28,854.6	\$ 4,454.3	\$ 29,752.6	\$ (898.0)	-3.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)**

	2016					2017							5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 11,810.1	\$ 14,231.2	\$ 12,089.8	\$ 12,220.4	\$ 12,499.3								\$ 11,810.1	\$ 9,355.6	\$ 2,454.5	26.2%
RECEIPTS:																
Taxes:																
Personal Income Tax :																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8								13,564.3	13,211.6	352.7	2.7%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1								7,063.6	7,899.7	(836.1)	-10.6%
Returns	1,717.3	63.3	38.8	30.2	33.9								1,883.5	1,884.4	(0.9)	0.0%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)								(254.5)	(216.3)	38.2	17.7%
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8								567.7	505.6	62.1	12.3%
Gross Receipts	9,136.2	2,881.3	4,955.5	2,733.8	3,117.8	-	-	-	-	-	-	-	22,824.6	23,285.0	(460.4)	-2.0%
Transfers to School Tax Relief Fund	-	-	-	-	-								-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-								-	-	-	0.0%
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)								(4,029.7)	(4,174.3)	(144.6)	-3.5%
Total Personal Income Tax	6,383.7	2,185.7	4,723.9	2,561.8	2,939.8	-	-	-	-	-	-	-	18,794.9	19,110.7	(315.8)	-1.7%
Consumption/Use Taxes:																
Sales and Use	1,087.0	1,014.8	1,400.0	1,086.5	1,036.9								5,625.2	5,507.8	117.4	2.1%
Auto Rental	1.0	-	32.3	4.6	4.5								42.4	33.4	9.0	26.9%
Cigarette/Tobacco Products	98.6	99.4	120.8	103.8	120.6								543.2	541.7	1.5	0.3%
Medical Marijuana	-	-	0.1	-	-								0.1	-	0.1	100.0%
Motor Fuel	39.0	37.3	44.3	46.5	50.0								217.1	213.1	4.0	1.9%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5								107.7	108.7	(1.0)	-0.9%
Highway Use	12.6	10.7	12.3	12.5	12.3								60.4	60.6	(0.2)	-0.3%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5								33.1	38.6	(5.5)	-14.2%
Total Consumption/Use Taxes	1,274.3	1,182.1	1,632.1	1,299.4	1,241.3	-	-	-	-	-	-	-	6,629.2	6,503.9	125.3	1.9%
Business Taxes:																
Corporation Franchise	155.7	83.5	628.5	75.0	17.5								960.2	1,283.4	(323.2)	-25.2%
Corporation and Utilities	11.2	1.2	126.0	5.3	0.5								144.2	148.2	(4.0)	-2.7%
Insurance	19.7	20.2	327.2	3.7	14.6								385.4	375.1	10.3	2.7%
Bank	6.2	(0.9)	(25.1)	2.8	38.1								21.1	97.6	(76.5)	-78.4%
Petroleum Business	87.5	77.9	93.6	118.7	93.1								470.8	473.9	(3.1)	-0.7%
Total Business Taxes	280.3	181.9	1,150.2	205.5	163.8	-	-	-	-	-	-	-	1,981.7	2,378.2	(396.5)	-16.7%
Other Taxes:																
Real Property Gains	-	-	-	-	-								-	-	-	0.0%
Estate and Gift	74.9	106.1	77.2	72.7	122.0								452.9	784.9	(332.0)	-42.3%
Pari-Mutuel	0.7	1.4	1.7	1.3	2.3								7.4	8.1	(0.7)	-8.6%
Real Estate Transfer	90.4	74.0	103.0	113.9	102.4								483.7	469.7	14.0	3.0%
Racing and Exhibitions	-	0.1	0.1	0.1	0.4								0.6	0.6	-	0.0%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8								504.2	496.5	7.7	1.6%
Total Other Taxes	282.6	275.6	282.5	273.2	334.9	-	-	-	-	-	-	-	1,448.8	1,759.8	(311.0)	-17.7%
Total Taxes	8,220.9	3,825.3	7,788.7	4,339.9	4,679.8	-	-	-	-	-	-	-	28,854.6	29,752.6	(898.0)	-3.0%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-								3.5	4.3	(0.8)	-18.6%
Bottle Bill	(0.3)	0.1	31.1	0.1	0.1								31.1	30.2	0.9	3.0%
Assessments:																
Business	41.8	318.2	123.7	19.8	46.4								549.9	576.9	(27.0)	-4.7%
Medical Care	423.0	482.1	490.3	468.7	455.7								2,319.8	2,203.1	116.7	5.3%
Public Utilities	5.7	0.2	(0.1)	-	0.7								6.5	1.5	5.0	333.3%
Other	20.5	18.9	19.3	19.8	19.2								97.7	93.5	4.2	4.5%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0								24.6	30.7	(6.1)	-19.9%
Audit Fees	-	0.9	1.0	0.1	-								2.0	-	2.0	100.0%
Business/Professional	51.2	51.5	106.7	47.5	69.7								326.6	422.4	(95.8)	-22.7%
Civil	24.6	18.1	25.0	31.0	13.7								112.4	123.6	(11.2)	-9.1%
Criminal	-	2.1	0.3	0.4	1.9								4.7	5.0	(0.3)	-6.0%
Motor Vehicle	122.9	123.3	130.6	106.1	121.2								604.1	597.1	7.0	1.2%
Recreational/Consumer	40.4	41.0	48.8	39.8	71.3								241.3	135.3	106.0	78.3%
Fines, Penalties and Forfeitures	9.2	75.6	33.5	26.8	241.0								386.1	1,612.1	(1,226.0)	-76.0%
Gaming:																
Casino	15.3	-	34.4	15.6	-								65.3	93.4	(28.1)	-30.1%
Lottery	188.8	202.5	244.8	200.9	228.0								1,065.0	996.4	68.6	6.9%
Video Lottery	78.4	77.4	90.8	76.6	93.1								416.3	403.2	13.1	3.2%
Interest Earnings	5.4	7.4	6.3	6.1	6.7								31.9	19.5	12.4	63.6%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0								682.1	987.2	(305.1)	-30.9%
Cost Recovery Assessments	-	-	22.6	-	-								22.6	25.2	(2.6)	-10.3%
Issuance Fees	15.6	6.1	3.2	8.4	5.2								38.5	37.9	0.6	1.6%
Non Bond Related	1.0	0.9	0.6	(0.4)	4.5								6.6	5.1	1.5	29.4%
Receipts from Municipalities	58.4	24.9	54.3	21.8	21.7								181.1	66.3	114.8	173.2%
Rentals	56.1	31.7	21.8	3.7	47.5								160.8	118.5	42.3	35.7%
Revenues of State Departments:																

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)**

													5 Months Ended August 31			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Administrative Recoveries	0.4	29.1	34.6	20.9	9.9								94.9	65.7	29.2	44.4%
Commissions	0.2	0.2	0.2	0.1	0.3								1.0	0.8	0.2	25.0%
Gifts, Grants and Donations	3.4	2.6	3.2	1.6	0.7								11.5	3.5	8.0	228.6%
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1								35.6	54.3	(18.7)	-34.4%
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9								936.4	198.0	738.4	372.9%
Rebates	9.2	12.7	11.4	22.4	10.1								65.8	66.1	(0.3)	-0.5%
Restitution and Settlements	7.2	132.7	63.3	35.5	2.4								241.1	1,178.6	(937.5)	-79.5%
Student Loans	8.2	6.9	6.2	7.4	6.4								35.1	31.3	3.8	12.1%
All Other	49.0	28.4	54.4	43.3	39.9								215.0	45.8	169.2	369.4%
Sales	1.8	1.4	1.6	1.4	1.6								7.8	14.2	(6.4)	-45.1%
Tuition	54.7	45.5	60.7	48.7	177.7								387.3	589.7	(202.4)	-34.3%
Total Miscellaneous Receipts	1,455.5	2,101.6	2,347.3	1,542.0	1,965.6	-	-	-	-	-	-	-	9,412.0	10,836.4	(1,424.4)	-13.1%
Federal Receipts	3,275.7	4,197.5	5,208.4	3,646.2	4,205.4								20,533.2	19,355.0	1,178.2	6.1%
Total Receipts	12,952.1	10,124.4	15,344.4	9,528.1	10,850.8	-	-	-	-	-	-	-	58,799.8	59,944.0	(1,144.2)	-1.9%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,097.9	3,326.5	4,064.1	593.6	1,213.7								10,295.8	9,823.5	472.3	4.8%
Environment and Recreation	3.4	4.3	8.5	12.7	15.3								44.2	36.0	8.2	22.8%
General Government	71.5	45.3	610.1	46.7	58.0								831.6	708.2	123.4	17.4%
Public Health:																
Medicaid	3,569.1	4,384.6	4,795.6	4,267.7	4,714.9								21,731.9	19,668.1	2,063.8	10.5%
Other Public Health	597.4	674.5	841.3	724.0	575.1								3,412.3	2,662.7	749.6	28.2%
Public Safety	90.9	158.0	107.6	138.8	209.8								705.1	689.9	15.2	2.2%
Public Welfare	370.1	429.1	748.3	596.5	515.6								2,659.6	3,170.4	(510.8)	-16.1%
Support and Regulate Business	5.0	15.9	192.8	64.9	198.5								477.1	418.6	58.5	14.0%
Transportation	226.0	525.0	612.0	387.8	574.5								2,325.3	2,050.2	275.1	13.4%
Total Local Assistance Grants	6,031.3	9,563.2	11,980.3	6,832.7	8,075.4	-	-	-	-	-	-	-	42,482.9	39,227.6	3,255.3	8.3%
Departmental Operations:																
Personal Service	1,074.8	1,060.3	1,386.2	1,045.0	1,094.1								5,660.4	5,695.7	(35.3)	-0.6%
Non-Personal Service	363.5	523.2	602.6	444.2	666.7								2,600.2	2,509.7	90.5	3.6%
General State Charges	2,629.2	466.2	509.1	430.2	467.9								4,502.6	4,238.5	264.1	6.2%
Debt Service, Including Payments on																
Financing Agreements	113.3	162.9	89.9	25.3	281.8								673.2	942.7	(269.5)	-28.6%
Capital Projects	313.6	486.0	643.8	470.9	561.4								2,475.7	2,271.6	204.1	9.0%
Total Disbursements	10,525.7	12,261.8	15,211.9	9,248.3	11,147.3	-	-	-	-	-	-	-	58,395.0	54,885.8	3,509.2	6.4%
Excess (Deficiency) of Receipts over Disbursements	2,426.4	(2,137.4)	132.5	279.8	(296.5)	-	-	-	-	-	-	-	404.8	5,058.2	(4,653.4)	-92.0%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-								-	-	-	0.0%
Transfers from Other Funds	3,182.1	2,615.0	2,979.9	2,667.0	1,834.6								13,278.6	13,989.6	(711.0)	-5.1%
Transfers to Other Funds	(3,187.4)	(2,619.0)	(2,981.8)	(2,667.9)	(1,849.4)								(13,305.5)	(14,038.4)	(732.9)	-5.2%
Total Other Financing Sources (Uses)	(5.3)	(4.0)	(1.9)	(0.9)	(14.8)	-	-	-	-	-	-	-	(26.9)	(48.8)	21.9	44.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,421.1	(2,141.4)	130.6	278.9	(311.3)	-	-	-	-	-	-	-	377.9	5,009.4	(4,631.5)	-92.5%
Ending Fund Balance	\$ 14,231.2	\$ 12,089.8	\$ 12,220.4	\$ 12,499.3	\$ 12,188.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 12,188.0	\$ 14,365.0	\$ (2,177.0)	-15.2%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2016-2017
(Amounts in millions)**

	2016					2017							5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 12,641.2	\$ 15,345.1	\$ 13,150.5	\$ 12,549.1	\$ 13,151.9								\$ 12,641.2	\$ 9,890.8	\$ 2,750.4	27.8%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8								13,564.3	13,211.6	352.7	2.7%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1								7,063.6	7,899.7	(836.1)	-10.6%
Returns	1,717.3	63.3	38.8	30.2	33.9								1,883.5	1,884.4	(0.9)	0.0%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)								(254.5)	(216.3)	38.2	17.7%
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8								567.7	505.6	62.1	12.3%
Gross Receipts	9,136.2	2,881.3	4,955.5	2,733.8	3,117.8	-	-	-	-	-	-	-	22,824.6	23,285.0	(460.4)	-2.0%
Transfers to School Tax Relief Fund	-	-	-	-	-								-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-								-	-	-	0.0%
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)								(4,029.7)	(4,174.3)	(144.6)	-3.5%
Total Personal Income Tax	6,383.7	2,185.7	4,723.9	2,561.8	2,939.8	-	-	-	-	-	-	-	18,794.9	19,110.7	(315.8)	-1.7%
Consumption/Use Taxes:																
Sales and Use	1,087.0	1,014.8	1,400.0	1,086.5	1,036.9								5,625.2	5,507.8	117.4	2.1%
Auto Rental	0.7	-	13.4	4.5	4.4								23.0	12.4	10.6	85.5%
Cigarette/Tobacco Products	98.6	99.4	120.8	103.8	120.6								543.2	541.7	1.5	0.3%
Medical Marijuana	-	-	0.1	-	-								0.1	-	0.1	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5								45.9	44.8	1.1	2.5%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5								107.7	108.7	(1.0)	-0.9%
Highway Use	-	-	-	-	-								-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5								33.1	38.6	(5.5)	-14.2%
Total Consumption/Use Taxes	1,230.6	1,142.0	1,565.8	1,250.4	1,189.4	-	-	-	-	-	-	-	6,378.2	6,254.0	124.2	2.0%
Business Taxes:																
Corporation Franchise	155.7	83.5	628.5	75.0	17.5								960.2	1,283.4	(323.2)	-25.2%
Corporation and Utilities	10.4	1.2	123.9	5.2	0.5								141.2	145.4	(4.2)	-2.9%
Insurance	19.7	20.2	327.2	3.7	14.6								385.4	375.1	10.3	2.7%
Bank	6.2	(0.9)	(25.1)	2.8	38.1								21.1	97.6	(76.5)	-78.4%
Petroleum Business	39.3	34.7	41.5	52.5	41.5								209.5	210.5	(1.0)	-0.5%
Total Business Taxes	231.3	138.7	1,096.0	139.2	112.2	-	-	-	-	-	-	-	1,717.4	2,112.0	(394.6)	-18.7%
Other Taxes:																
Real Property Gains	-	-	-	-	-								-	-	-	0.0%
Estate and Gift	74.9	106.1	77.2	72.7	122.0								452.9	784.9	(332.0)	-42.3%
Pari-Mutuel	0.7	1.4	1.7	1.3	2.3								7.4	8.1	(0.7)	-8.6%
Real Estate Transfer	90.4	74.0	91.1	102.0	90.5								448.0	434.0	14.0	3.2%
Racing and Exhibitions	-	0.1	-	0.1	0.4								0.6	0.6	-	0.0%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8								504.2	496.5	7.7	1.6%
Total Other Taxes	282.6	275.6	270.6	261.3	323.0	-	-	-	-	-	-	-	1,413.1	1,724.1	(311.0)	-18.0%
Total Taxes	8,128.2	3,742.0	7,656.3	4,212.7	4,564.4	-	-	-	-	-	-	-	28,303.6	29,200.8	(897.2)	-3.1%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-								3.5	4.3	(0.8)	-18.6%
Bottle Bill	(0.3)	0.1	8.1	0.1	0.1								8.1	7.2	0.9	12.5%
Assessments:																
Business	28.5	276.1	113.3	6.7	28.4								453.0	485.3	(32.3)	-6.7%
Medical Care	423.0	482.1	490.3	468.7	455.7								2,319.8	2,203.1	116.7	5.3%
Public Utilities	5.7	0.2	(0.1)	-	0.7								6.5	1.5	5.0	333.3%
Other	20.5	18.9	19.3	19.8	19.2								97.7	93.5	4.2	4.5%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0								24.6	30.7	(6.1)	-19.9%
Audit Fees	-	0.9	1.0	0.1	-								2.0	-	2.0	100.0%
Business/Professional	48.6	45.2	104.4	45.6	66.1								309.9	408.5	(98.6)	-24.1%
Civil	24.6	18.1	25.0	31.0	13.7								112.4	123.6	(11.2)	-9.1%
Criminal	-	2.1	0.3	0.4	1.9								4.7	5.0	(0.3)	-6.0%
Motor Vehicle	56.8	58.5	68.6	42.6	66.5								293.0	286.6	6.4	2.2%
Recreational/Consumer	40.3	40.7	48.7	39.6	70.4								239.7	131.7	108.0	82.0%
Fines, Penalties and Forfeitures	6.0	72.6	30.2	20.1	237.4								366.3	1,599.3	(1,233.0)	-77.1%
Gaming:																
Casino	15.3	-	34.4	15.6	-								65.3	93.4	(28.1)	-30.1%
Lottery	188.8	202.5	244.8	200.9	228.0								1,065.0	996.4	68.6	6.9%
Video Lottery	78.4	77.4	90.8	76.6	93.1								416.3	403.2	13.1	3.2%
Interest Earnings	5.0	7.1	5.9	5.9	6.2								30.1	19.0	11.1	58.4%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-								-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	-	-								22.6	25.2	(2.6)	-10.3%

**GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2016-2017
(Amounts in millions)**

												5 Months Ended August 31				
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	15.6	6.1	3.2	8.4	5.2								38.5	37.9	0.6	1.6%
Non Bond Related	0.9	0.9	-	(0.4)	0.5								1.9	2.3	(0.4)	-17.4%
Receipts from Municipalities	58.4	24.3	54.0	21.4	21.6								179.7	66.0	113.7	172.3%
Rentals	55.4	31.5	21.4	2.5	47.2								158.0	115.8	42.2	36.4%
Revenues of State Departments:																
Administrative Recoveries	0.4	29.1	34.5	20.9	9.9								94.8	65.0	29.8	45.8%
Commissions	0.2	0.2	0.2	0.1	0.3								1.0	0.8	0.2	25.0%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7								6.5	2.4	4.1	170.8%
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1								35.6	54.3	(18.7)	-34.4%
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9								936.4	198.0	738.4	372.9%
Rebates	1.3	3.5	3.6	14.0	0.7								23.1	23.4	(0.3)	-1.3%
Restitution and Settlements	7.2	132.2	62.8	33.8	0.3								236.3	1,176.3	(940.0)	-79.9%
Student Loans	8.2	6.9	6.2	7.4	6.4								35.1	31.3	3.8	12.1%
All Other	48.8	27.2	51.8	42.5	43.1								213.4	36.8	176.6	479.9%
Sales	0.7	1.4	1.3	1.3	1.5								6.2	13.9	(7.7)	-55.4%
Tuition	54.7	45.5	60.7	48.7	177.7								387.3	589.7	(202.4)	-34.3%
Total Miscellaneous Receipts	1,357.3	1,858.6	1,677.1	1,438.8	1,862.5	-	-	-	-	-	-	-	8,194.3	9,331.4	(1,137.1)	-12.2%
Federal Receipts	14.8	0.7	0.1	0.5	20.4								36.5	36.6	(0.1)	-0.3%
Total Receipts	9,500.3	5,601.3	9,333.5	5,652.0	6,447.3	-	-	-	-	-	-	-	36,534.4	38,568.8	(2,034.4)	-5.3%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	829.5	3,043.9	3,766.9	418.1	1,016.7								9,075.1	8,453.1	622.0	7.4%
Environment and Recreation	0.1	0.4	0.6	0.2	2.8								4.1	4.4	(0.3)	-6.8%
General Government	11.8	29.9	583.8	29.1	36.3								690.9	651.2	39.7	6.1%
Public Health:																
Medicaid	1,325.3	1,757.5	1,790.2	1,712.0	1,758.2								8,343.2	7,703.4	639.8	8.3%
Other Public Health	174.5	278.4	629.0	223.9	140.3								1,446.1	1,345.6	100.5	7.5%
Public Safety	19.0	30.4	19.3	24.4	22.6								115.7	129.3	(13.6)	-10.5%
Public Welfare	123.3	131.0	421.4	288.7	168.6								1,133.0	1,049.6	83.4	7.9%
Support and Regulate Business	2.3	7.6	16.0	18.6	30.2								74.7	33.3	41.4	124.3%
Transportation	192.1	481.0	524.2	307.9	489.4								1,994.6	1,853.7	140.9	7.6%
Total Local Assistance Grants	2,677.9	5,760.1	7,751.4	3,022.9	3,665.1	-	-	-	-	-	-	-	22,877.4	21,223.6	1,653.8	7.8%
Departmental Operations:																
Personal Service	1,026.0	1,016.1	1,314.3	1,003.7	1,035.5								5,395.6	5,445.1	(49.5)	-0.9%
Non-Personal Service	317.9	429.2	485.9	364.8	514.7								2,112.5	2,095.0	17.5	0.8%
General State Charges	2,618.7	431.1	494.9	430.0	433.4								4,408.1	4,120.6	287.5	7.0%
Debt Service, Including Payments on Financing Agreements	113.3	162.9	89.9	25.3	281.8								673.2	942.7	(269.5)	-28.6%
Capital Projects	0.1	0.1	0.2	0.2	0.2								0.8	0.3	0.5	166.7%
Total Disbursements	6,753.9	7,799.5	10,136.6	4,846.9	5,930.7	-	-	-	-	-	-	-	35,467.6	33,827.3	1,640.3	4.8%
Excess (Deficiency) of Receipts over Disbursements	2,746.4	(2,198.2)	(803.1)	805.1	516.6	-	-	-	-	-	-	-	1,066.8	4,741.5	(3,674.7)	-77.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	3,034.0	2,444.0	2,968.6	2,324.9	1,506.2								12,277.7	13,632.5	(1,354.8)	-9.9%
Transfers to Other Funds (**)	(3,076.5)	(2,440.4)	(2,766.9)	(2,527.2)	(1,782.8)								(12,593.8)	(13,219.9)	(626.1)	-4.7%
Total Other Financing Sources (Uses)	(42.5)	3.6	201.7	(202.3)	(276.6)	-	-	-	-	-	-	-	(316.1)	412.6	(728.7)	-176.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,703.9	(2,194.6)	(601.4)	602.8	240.0	-	-	-	-	-	-	-	750.7	5,154.1	(4,403.4)	-85.4%
Ending Fund Balance	\$ 15,345.1	\$ 13,150.5	\$ 12,549.1	\$ 13,151.9	\$ 13,391.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,391.9	\$ 15,044.9	\$ (1,653.0)	-11.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT F

	2016										2017										5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease								
Beginning Fund Balance	\$ 8,934.1	\$ 10,892.7	\$ 7,750.5	\$ 7,210.2	\$ 6,765.3								\$ 8,934.1	\$ 7,299.5	\$ 1,634.6	22.4%								
RECEIPTS:																								
Taxes:																								
Personal Income Tax:																								
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8								13,564.3	13,211.6	352.7	2.7%								
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1								7,063.6	7,899.7	(836.1)	-10.6%								
Returns	1,717.3	63.3	38.8	30.2	33.9								1,883.5	1,884.4	(0.9)	0.0%								
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)								(254.5)	(216.3)	38.2	17.7%								
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.6								587.7	505.6	82.1	12.3%								
Gross Receipts	9,136.2	2,881.3	4,955.5	2,733.8	3,117.8								22,824.6	23,285.0	(460.4)	-2.0%								
Transfers to School Tax Relief Fund	(1.3)	-	(420.2)	-	-								(421.5)	(434.3)	(12.8)	-2.9%								
Transfers to Revenue Bond Tax Fund	(1,595.9)	(546.5)	(1,180.9)	(640.5)	(734.9)								(4,698.7)	(4,777.7)	(79.0)	-1.7%								
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)								(4,029.7)	(4,174.3)	(144.6)	-3.5%								
Total Personal Income Tax	4,786.5	1,639.2	3,122.8	1,921.3	2,204.9								13,674.7	13,898.7	(224.0)	-1.6%								
Consumption/Use Taxes:																								
Sales and Use	497.9	474.7	655.4	508.9	485.7								2,622.6	2,562.2	60.4	2.4%								
Auto Rental	-	-	-	-	-								-	-	-	0.0%								
Cigarette/Tobacco Products	28.7	28.9	33.9	29.2	35.0								155.7	134.6	21.1	15.7%								
Motor Fuel	-	-	-	-	-								-	-	-	0.0%								
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5								107.7	108.7	(1.0)	-0.9%								
Highway Use	-	-	-	-	-								-	-	-	0.0%								
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-								-	-	-	0.0%								
Total Consumption/Use Taxes	547.0	522.9	711.0	567.9	537.2								2,886.0	2,805.5	80.5	2.9%								
Business Taxes:																								
Corporation Franchise	123.8	60.0	514.5	64.2	(2.5)								760.0	1,102.1	(342.1)	-31.0%								
Corporation and Utilities	8.1	1.1	94.7	1.5	0.2								105.6	112.2	(6.6)	-5.9%								
Insurance	18.2	20.0	286.9	2.9	13.5								341.5	334.1	7.4	2.2%								
Bank	7.9	2.5	(24.8)	2.8	30.6								19.0	66.2	(47.2)	-71.3%								
Petroleum Business	-	-	-	-	-								-	-	-	0.0%								
Total Business Taxes	158.0	83.6	871.3	71.4	41.8								1,226.1	1,614.6	(388.5)	-24.1%								
Other Taxes:																								
Real Property Gains	-	-	-	-	-								-	-	-	0.0%								
Estate and Gift	74.9	106.1	77.2	72.7	122.0								452.9	784.9	(332.0)	-42.3%								
Par-Mutuel	0.7	1.4	1.7	1.3	2.3								7.4	8.1	(0.7)	-8.6%								
Real Estate Transfer	-	-	-	-	-								-	-	-	0.0%								
Racing and Exhibitions	-	0.1	-	0.1	0.4								0.6	0.6	-	0.0%								
Metropolitan Commuter Trans. Mobility	-	-	-	-	-								-	-	-	0.0%								
Total Other Taxes	75.6	107.6	78.9	74.1	124.7								460.9	793.6	(332.7)	-41.9%								
Total Taxes	5,567.1	2,353.3	4,784.0	2,634.7	2,908.6								18,247.7	19,112.4	(864.7)	-4.5%								
Miscellaneous Receipts:																								
Abandoned Property:																								
Abandoned Property	-	-	-	-	-								-	-	-	0.0%								
Bottle Bill	(0.3)	0.1	8.1	0.1	0.1								8.1	7.2	0.9	12.5%								
Assessments:																								
Business	-	250.0	-	-	-								250.0	250.0	-	0.0%								
Medical Care	6.5	2.2	4.8	-	14.2								27.7	21.7	6.0	27.6%								
Public Utilities	-	-	-	-	-								-	-	-	0.0%								
Other	0.1	-	-	-	0.1								0.2	0.3	(0.1)	-33.3%								
Fees, Licenses and Permits:																								
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0								24.6	30.7	(6.1)	-19.9%								
Business/Professional	0.9	6.2	33.0	11.0	7.9								59.0	66.4	(7.4)	-11.1%								
Civil	19.2	13.9	20.5	26.5	8.9								89.0	100.5	(11.5)	-11.4%								
Criminal	-	0.1	-	0.1	-								0.3	0.3	-	0.0%								
Motor Vehicle	16.9	16.4	26.0	(4.0)	29.9								85.2	75.4	9.8	13.0%								
Recreational/Consumer	1.1	1.1	1.4	0.8	1.4								5.8	8.5	(2.7)	-31.8%								
Fines, Penalties and Forfeitures	1.8	48.3	19.7	10.9	222.3								303.0	1,207.6	(904.6)	-74.9%								
Interest Earnings	2.4	2.1	2.2	1.5	1.3								9.5	2.6	6.9	265.4%								
Receipts from Public Authorities:																								
Cost Recovery Assessments	-	-	-	2.2	-								2.2	4.8	(2.6)	-54.2%								
Issuance Fees	8.4	6.1	3.2	8.4	5.2								31.3	30.7	0.6	2.0%								
Non Bond Related	-	0.9	-	(0.6)	-								0.3	0.3	-	0.0%								
Receipts from Municipalities	-	16.7	16.6	16.7	16.7								66.7	-	66.7	100.0%								
Rentals	0.1	0.4	0.1	0.3	0.4								1.3	2.4	(1.1)	-45.8%								
Revenues of State Departments:																								
Administrative Recoveries	-	-	24.9	0.7	0.2								25.8	21.2	4.6	21.7%								
Gifts, Grants and Donations	-	-	-	-	-								-	0.1	(0.1)	-100.0%								
Indirect Cost Recoveries	0.4	20.7	6.8	(0.4)	3.1								30.6	50.3	(19.7)	-39.2%								
Rebates	(1.8)	-	1.4	-	(0.3)								(0.7)	1.2	(1.9)	-158.3%								
Restitution and Settlements	8.4	121.2	(0.1)	4.4	-								133.9	1,131.8	(997.9)	-88.2%								
Student Loans	-	-	-	-	-								-	-	-	0.0%								
All Other	(1.4)	1.6	3.7	3.7	3.6								11.2	11.2	-	0.0%								
Sales	-	-	-	-	0.1								0.1	5.0	(4.9)	-98.0%								
Total Miscellaneous Receipts	68.3	513.1	176.7	86.8	320.2								1,165.1	3,030.2	(1,865.1)	-61.6%								

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT F

	2016												2017				5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
Federal Receipts	-	0.2	0.1	-	-	-	-	-	-	-	-	-	0.3	0.1	0.2	200.0%				
Total Receipts	5,635.4	2,866.6	4,960.8	2,721.5	3,228.8	-	-	-	-	-	-	-	19,413.1	22,142.7	(2,729.6)	-12.3%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	828.1	3,043.4	3,045.9	418.3	1,015.2	-	-	-	-	-	-	-	8,350.9	7,710.0	640.9	8.3%				
Environment and Recreation	0.1	0.4	0.4	-	2.2	-	-	-	-	-	-	-	3.1	2.9	0.2	6.9%				
General Government	2.1	12.6	559.7	10.7	2.3	-	-	-	-	-	-	-	587.4	584.0	3.4	0.6%				
Public Health:																				
Medicaid	990.1	1,259.9	1,361.8	1,070.3	1,281.1	-	-	-	-	-	-	-	5,963.2	5,612.7	350.5	6.2%				
Other Public Health	24.7	210.8	255.3	62.1	44.4	-	-	-	-	-	-	-	597.3	399.7	197.6	49.4%				
Public Safety	7.2	11.0	8.1	17.0	9.2	-	-	-	-	-	-	-	52.5	79.0	(26.5)	-33.5%				
Public Welfare	122.9	130.1	420.9	288.6	168.0	-	-	-	-	-	-	-	1,130.5	1,047.1	83.4	8.0%				
Support and Regulate Business	2.2	6.4	11.3	17.2	27.7	-	-	-	-	-	-	-	64.8	25.2	39.6	157.1%				
Transportation	-	23.2	11.0	0.1	23.9	-	-	-	-	-	-	-	58.2	59.7	(1.5)	-2.5%				
Total Local Assistance Grants	1,977.4	4,697.8	5,674.4	1,884.3	2,574.0	-	-	-	-	-	-	-	16,807.9	15,520.3	1,287.6	8.3%				
Departmental Operations:																				
Personal Service	474.9	487.9	609.4	476.2	490.3	-	-	-	-	-	-	-	2,538.7	2,563.0	(24.3)	-0.9%				
Non-Personal Service	102.9	135.3	162.1	131.5	166.4	-	-	-	-	-	-	-	716.2	673.4	44.8	6.7%				
General State Charges	2,439.7	193.5	391.3	403.1	364.3	-	-	-	-	-	-	-	3,791.9	3,246.1	545.8	16.8%				
Total Disbursements	4,994.9	5,514.5	6,837.2	2,895.1	3,615.0	-	-	-	-	-	-	-	23,856.7	22,002.8	1,853.9	8.4%				
Excess (Deficiency) of Receipts over Disbursements	640.5	(2,647.9)	(1,876.4)	(173.6)	(386.2)	-	-	-	-	-	-	-	(4,443.6)	139.9	(4,583.5)	-3,276.3%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Revenue Bond Tax Fund	1,594.3	530.8	1,181.0	469.7	269.9	-	-	-	-	-	-	-	4,045.7	4,204.1	(158.4)	-3.8%				
Transfers from LGAC / STRBTF	442.8	295.7	727.0	455.1	392.1	-	-	-	-	-	-	-	2,312.7	2,357.5	(44.8)	-1.9%				
Transfers from CW/CA Fund	74.0	74.1	90.5	96.3	84.8	-	-	-	-	-	-	-	419.7	403.9	15.8	3.9%				
Transfers from Other Funds	5.0	38.8	23.0	6.0	0.7	-	-	-	-	-	-	-	73.5	339.6	(266.1)	-78.4%				
Transfers to State Capital Projects	(122.3)	(71.3)	39.6	(214.3)	(341.6)	-	-	-	-	-	-	-	(709.9)	(312.9)	397.0	126.9%				
Transfers to Federal Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Transfers to All Other Capital Projects	(40.0)	(108.0)	(145.7)	(130.0)	-	-	-	-	-	-	-	-	(423.7)	(238.4)	185.3	77.7%				
Transfers to General Debt Service	(245.3)	1.8	3.0	(166.9)	18.0	-	-	-	-	-	-	-	(389.4)	(425.8)	(36.4)	-8.5%				
Transfers to All Other State Funds	(390.4)	(1,256.2)	(582.3)	(787.2)	(574.0)	-	-	-	-	-	-	-	(3,590.1)	(4,278.4)	(688.3)	-16.1%				
Total Other Financing Sources (Uses)	1,318.1	(494.3)	1,336.1	(271.3)	(150.1)	-	-	-	-	-	-	-	1,738.5	2,049.6	(311.1)	-15.2%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,958.6	(3,142.2)	(540.3)	(444.9)	(536.3)	-	-	-	-	-	-	-	(2,705.1)	2,189.5	(4,894.6)	-223.5%				
Ending Fund Balance	\$ 10,892.7	\$ 7,750.5	\$ 7,210.2	\$ 6,765.3	\$ 6,229.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,229.0	\$ 9,489.0	\$ (3,260.0)	-34.4%				

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016					SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017			Intra-Fund Transfer Eliminations (*)	5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST					JANUARY	FEBRUARY	MARCH		2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 3,607.1	\$ 3,870.6	\$ 4,768.1	\$ 5,296.3	\$ 5,554.9								\$ -	\$ 3,607.1	\$ 2,661.8	\$ 945.3	35.5%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	1.3	-	420.2	-	-								-	421.5	434.3	(12.8)	-2.9%
Consumption/Use Taxes:																	
Sales and Use	90.9	65.8	89.5	69.3	65.8								-	381.3	380.2	1.1	0.3%
Auto Rental	0.7	-	13.4	4.5	4.4								-	23.0	12.4	10.6	85.5%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6								-	387.5	407.1	(19.6)	-4.8%
Medical Marijuana	-	-	0.1	-	-								-	0.1	-	0.1	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5								-	45.9	44.8	1.1	2.5%
Alcoholic Beverage	-	-	-	-	-								-	-	-	-	0.0%
Highway Use	-	-	-	-	-								-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5								-	33.1	38.6	(5.5)	-14.2%
Total Consumption/Use Taxes	185.4	144.8	199.7	174.2	166.8								-	870.9	883.1	(12.2)	-1.4%
Business Taxes:																	
Corporation Franchise	31.9	23.5	114.0	10.8	20.0								-	200.2	181.3	18.9	10.4%
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3								-	35.6	33.2	2.4	7.2%
Insurance	1.5	0.2	40.3	0.8	1.1								-	43.9	41.0	2.9	7.1%
Bank	(1.7)	(3.4)	(0.3)	-	7.5								-	2.1	31.4	(29.3)	-93.3%
Petroleum Business	39.3	34.7	41.5	52.5	41.5								-	209.5	210.5	(1.0)	-0.5%
Total Business Taxes	73.3	55.1	224.7	67.8	70.4								-	491.3	497.4	(6.1)	-1.2%
Other Taxes:																	
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8								-	504.2	496.5	7.7	1.6%
Total Other Taxes	116.6	94.0	100.6	85.2	107.8								-	504.2	496.5	7.7	1.6%
Total Taxes	376.6	293.9	945.2	327.2	345.0								-	2,287.9	2,311.3	(23.4)	-1.0%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	(0.1)	1.8	0.9	-								-	3.5	4.3	(0.8)	-18.6%
Assessments:																	
Business	32.4	59.5	113.4	8.7	38.6								-	252.6	282.7	(30.1)	-10.6%
Medical Care	416.5	479.9	485.5	468.7	441.5								-	2,292.1	2,181.4	110.7	5.1%
Public Utilities	5.7	0.2	(0.1)	-	0.7								-	6.5	1.5	5.0	333.3%
Other	20.4	18.9	19.3	19.8	19.1								-	97.5	93.2	4.3	4.6%
Fees, Licenses and Permits:																	
Audit Fees	-	0.9	1.0	0.1	-								-	2.0	-	2.0	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2								-	250.9	342.1	(91.2)	-26.7%
Civil	5.4	4.2	4.5	4.5	4.8								-	23.4	23.1	0.3	1.3%
Criminal	-	2.0	0.3	0.3	1.8								-	4.4	4.7	(0.3)	-6.4%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6								-	207.8	211.2	(3.4)	-1.6%
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0								-	233.9	123.2	110.7	89.9%
Fines, Penalties and Forfeitures	5.1	25.0	11.1	12.4	15.8								-	69.4	395.9	(326.5)	-82.5%
Gaming:																	
Casino	15.3	-	34.4	15.6	-								-	65.3	93.4	(28.1)	-30.1%
Lottery	188.8	202.5	244.8	200.9	228.0								-	1,065.0	996.4	68.6	6.9%
Video Lottery	78.4	77.4	90.8	76.6	93.1								-	416.3	403.2	13.1	3.2%
Interest Earnings	2.8	5.2	3.9	4.4	5.1								-	21.4	16.6	4.8	28.9%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-								-	-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	(2.2)	-								-	20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-								-	7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5								-	1.6	2.0	(0.4)	-20.0%
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1								-	111.7	84.3	27.4	32.5%
Rentals	55.3	31.1	21.3	2.2	46.8								-	156.7	113.4	43.3	38.2%
Revenues of State Departments:																	
Administrative Recoveries	0.4	29.1	9.7	20.2	9.7								-	69.1	44.4	24.7	55.6%
Commissions	0.2	0.2	0.2	0.1	0.3								-	1.0	0.8	0.2	25.0%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7								-	6.5	2.3	4.2	182.6%
Indirect Cost Recoveries	-	5.0	-	-	-								-	5.0	4.0	1.0	25.0%
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6								-	748.4	(33.7)	782.1	2,320.8%
Rebates	11.0	12.7	10.0	22.4	10.1								-	66.2	64.7	1.5	2.3%
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3								-	102.4	44.6	57.8	129.6%
Student Loans	8.2	6.9	6.2	7.4	6.4								-	35.1	31.3	3.8	12.1%
All Other	50.3	26.0	48.0	38.8	35.7								-	198.8	26.2	172.6	658.8%
Sales	0.7	1.4	1.5	1.3	1.3								-	6.2	8.8	(2.6)	-29.5%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016												Intra-Fund Transfer Eliminations (*)	5 Months Ended August 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017		2016		2015	\$ Increase/ (Decrease)	% Increase/ Decrease		
										JANUARY	FEBRUARY	MARCH						
Tuition	54.7	45.5	60.7	48.7	177.7	-	-	-	-	-	-	-	-	387.3	589.7	(202.4)	-34.3%	
Total Miscellaneous Receipts	1,291.3	1,363.1	1,547.3	1,227.4	1,506.5	-	-	-	-	-	-	-	-	6,935.6	6,163.3	772.3	12.5%	
Federal Receipts	3,149.5	4,040.9	4,981.0	3,491.2	3,940.8	-	-	-	-	-	-	-	-	19,603.4	18,549.7	1,053.7	5.7%	
Total Receipts	4,817.4	5,697.9	7,473.5	5,045.8	5,792.3	-	-	-	-	-	-	-	-	28,826.9	27,024.3	1,802.6	6.7%	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	269.8	282.9	1,018.1	167.5	192.9	-	-	-	-	-	-	-	-	1,931.2	2,112.9	(181.7)	-8.6%	
Environment and Recreation	0.6	-	0.9	0.4	1.1	-	-	-	-	-	-	-	-	3.0	3.2	(0.2)	-6.3%	
General Government	10.5	21.2	32.4	19.4	37.1	-	-	-	-	-	-	-	-	120.6	85.1	35.5	41.7%	
Public Health:																		
Medicaid	2,579.0	3,124.7	3,433.8	3,197.4	3,433.8	-	-	-	-	-	-	-	-	15,768.7	14,055.4	1,713.3	12.2%	
Other Public Health	570.0	452.2	578.6	652.1	527.3	-	-	-	-	-	-	-	-	2,780.2	2,232.5	547.7	24.5%	
Public Safety	85.0	141.1	99.0	119.6	200.0	-	-	-	-	-	-	-	-	644.7	610.9	33.8	5.5%	
Public Welfare	247.2	283.2	327.4	272.9	341.6	-	-	-	-	-	-	-	-	1,472.3	2,079.6	(607.3)	-29.2%	
Support and Regulate Business	0.1	1.2	4.7	1.7	3.8	-	-	-	-	-	-	-	-	11.5	11.0	0.5	4.5%	
Transportation	198.2	461.4	522.1	310.4	472.6	-	-	-	-	-	-	-	-	1,964.7	1,810.9	153.8	8.5%	
Total Local Assistance Grants	3,960.4	4,767.9	6,017.0	4,741.4	5,210.2	-	-	-	-	-	-	-	-	24,696.9	23,001.5	1,695.4	7.4%	
Departmental Operations:																		
Personal Service	599.9	572.4	776.8	568.8	603.8	-	-	-	-	-	-	-	-	3,121.7	3,132.7	(11.0)	-0.4%	
Non-Personal Service	260.1	386.2	439.7	298.2	477.1	-	-	-	-	-	-	-	-	1,861.3	1,820.1	41.2	2.3%	
General State Charges	189.5	272.7	117.8	27.1	103.6	-	-	-	-	-	-	-	-	710.7	992.4	(281.7)	-28.4%	
Capital Projects	0.1	0.1	0.2	0.2	0.2	-	-	-	-	-	-	-	-	0.8	0.3	0.5	166.7%	
Total Disbursements	5,010.0	5,999.3	7,351.5	5,635.7	6,394.9	-	-	-	-	-	-	-	-	30,391.4	28,947.0	1,444.4	5.0%	
Excess (Deficiency) of Receipts over Disbursements	(192.6)	(301.4)	122.0	(589.9)	(602.6)	-	-	-	-	-	-	-	-	(1,564.5)	(1,922.7)	358.2	18.6%	
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	-	-	-	-	-	-	-	-	(198.8)	4,186.6	4,827.2	(640.6)	-13.3%
Transfers to Other Funds	(103.9)	(166.7)	(348.8)	(148.3)	(82.6)	-	-	-	-	-	-	-	-	198.8	(651.5)	(897.8)	(246.3)	-27.4%
Total Other Financing Sources (Uses)	456.1	1,198.9	406.2	848.5	625.4	-	-	-	-	-	-	-	-	3,535.1	3,929.4	(394.3)	-10.0%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	263.5	897.5	528.2	258.6	22.8	-	-	-	-	-	-	-	-	1,970.6	2,006.7	(36.1)	-1.8%	
Ending Fund Balance	\$ 3,870.6	\$ 4,768.1	\$ 5,296.3	\$ 5,554.9	\$ 5,577.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,577.7	\$ 4,668.5	\$ 909.2	19.5%	

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue- State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	5 Months Ended August 31												2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 3,547.4	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2								\$ 3,547.4	\$ 2,472.6	\$ 1,074.8	43.5%
RECEIPTS:																
Taxes:																
Personal Income Tax	1.3	-	420.2	-	-								421.5	434.3	(12.8)	-2.9%
Consumption/Use Taxes:																
Sales and Use	90.9	65.8	89.5	69.3	65.8								381.3	380.2	1.1	0.3%
Auto Rental	0.7	-	13.4	4.5	4.4								23.0	12.4	10.6	85.5%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6								387.5	407.1	(19.6)	-4.8%
Medical Marijuana	-	-	0.1	-	-								0.1	-	0.1	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5								45.9	44.8	1.1	2.5%
Alcoholic Beverage	-	-	-	-	-								-	-	-	0.0%
Highway Use	-	-	-	-	-								-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5								33.1	38.6	(5.5)	-14.2%
Total Consumption/Use Taxes	185.4	144.8	199.7	174.2	166.8								870.9	883.1	(12.2)	-1.4%
Business Taxes																
Corporation Franchise	31.9	23.5	114.0	10.8	20.0								200.2	181.3	18.9	10.4%
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3								35.6	33.2	2.4	7.2%
Insurance	1.5	0.2	40.3	0.8	1.1								43.9	41.0	2.9	7.1%
Bank	(1.7)	(3.4)	(0.3)	-	7.5								2.1	31.4	(29.3)	-93.3%
Petroleum Business	39.3	34.7	41.5	52.5	41.5								209.5	210.5	(1.0)	-0.5%
Total Business Taxes	73.3	55.1	224.7	67.8	70.4								491.3	497.4	(6.1)	-1.2%
Other Taxes																
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8								504.2	496.5	7.7	1.6%
Total Other Taxes	116.6	94.0	100.6	85.2	107.8								504.2	496.5	7.7	1.6%
Total Taxes	376.6	293.9	945.2	327.2	345.0								2,287.9	2,311.3	(23.4)	-1.0%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-								3.5	4.3	(0.8)	-18.6%
Assessments:																
Business	28.5	26.1	113.3	6.7	28.4								203.0	235.3	(32.3)	-13.7%
Medical Care	416.5	479.9	485.5	468.7	441.5								2,292.1	2,181.4	110.7	5.1%
Public Utilities	5.7	0.2	(0.1)	-	0.7								6.5	1.5	5.0	333.3%
Other	20.4	18.9	19.3	19.8	19.1								97.5	93.2	4.3	4.6%
Fees, Licenses and Permits:																
Audit Fees	-	0.9	1.0	0.1	-								2.0	-	2.0	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2								250.9	342.1	(91.2)	-26.7%
Civil	5.4	4.2	4.5	4.5	4.8								23.4	23.1	0.3	1.3%
Criminal	-	2.0	0.3	0.3	1.8								4.4	4.7	(0.3)	-6.4%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6								207.8	211.2	(3.4)	-1.6%
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0								233.9	123.2	110.7	89.9%
Fines, Penalties and Forfeitures	4.2	24.3	10.5	9.2	15.1								63.3	391.7	(328.4)	-83.8%
Gaming:																
Casino	15.3	-	34.4	15.6	-								65.3	93.4	(28.1)	-30.1%
Lottery	188.8	202.5	244.8	200.9	228.0								1,065.0	996.4	68.6	6.9%
Video Lottery	78.4	77.4	90.8	76.6	93.1								416.3	403.2	13.1	3.2%
Interest Earnings	2.6	5.0	3.7	4.3	4.8								20.4	16.4	4.0	24.4%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-								-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	(2.2)	-								20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-								7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5								1.6	2.0	(0.4)	-20.0%
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1								111.7	64.3	47.4	73.7%
Rentals	55.3	31.1	21.3	2.2	46.8								156.7	113.4	43.3	38.2%
Revenues of State Departments:																
Administrative Recoveries	0.4	29.1	9.6	20.2	9.7								69.0	43.8	25.2	57.5%
Commissions	0.2	0.2	0.2	0.1	0.3								1.0	0.8	0.2	25.0%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7								6.5	2.3	4.2	182.6%
Indirect Cost Recoveries	-	5.0	-	-	-								5.0	4.0	1.0	25.0%
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6								748.4	(33.7)	782.1	2,320.8%
Rebates	3.1	3.5	2.2	14.0	1.0								23.8	22.2	1.6	7.2%
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3								102.4	44.5	57.9	130.1%
Student Loans	8.2	6.9	6.2	7.4	6.4								35.1	31.3	3.8	12.1%
All Other	50.2	25.6	48.1	38.8	39.5								202.2	25.6	176.6	689.9%
Sales	0.7	1.4	1.3	1.3	1.3								6.0	8.8	(2.8)	-31.8%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

													5 Months Ended August 31			
	2016					2017										
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Tuition	54.7	45.5	60.7	48.7	177.7								387.3	589.7	(202.4)	-34.3%
Total Miscellaneous Receipts	1,278.3	1,319.2	1,538.4	1,213.7	1,490.0	-	-	-	-	-	-	-	6,839.6	6,067.7	771.9	12.7%
Federal Receipts	14.8	0.5	-	(1.1)	(14.7)								(0.5)	-	(0.5)	-100.0%
Total Receipts	1,669.7	1,613.6	2,483.6	1,539.8	1,820.3	-	-	-	-	-	-	-	9,127.0	8,379.0	748.0	8.9%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1.4	0.5	721.0	(0.2)	1.5								724.2	743.1	(18.9)	-2.5%
Environment and Recreation	-	-	0.2	0.2	0.6								1.0	1.5	(0.5)	-33.3%
General Government	9.7	17.3	24.1	18.4	34.0								103.5	67.2	36.3	54.0%
Public Health:																
Medicaid	335.2	497.6	428.4	641.7	477.1								2,380.0	2,090.7	289.3	13.8%
Other Public Health	149.8	67.6	373.7	161.8	95.9								848.8	945.9	(97.1)	-10.3%
Public Safety	11.8	19.4	11.2	7.4	13.4								63.2	50.3	12.9	25.6%
Public Welfare	0.4	0.9	0.5	0.1	0.6								2.5	2.5	-	0.0%
Support and Regulate Business	0.1	1.2	4.7	1.4	2.5								9.9	8.1	1.8	22.2%
Transportation	192.1	457.8	513.2	307.8	465.5								1,936.4	1,794.0	142.4	7.9%
Total Local Assistance Grants	700.5	1,062.3	2,077.0	1,138.6	1,091.1	-	-	-	-	-	-	-	6,069.5	5,703.3	366.2	6.4%
Departmental Operations:																
Personal Service	551.1	528.2	704.9	527.5	545.2								2,856.9	2,882.1	(25.2)	-0.9%
Non-Personal Service	214.5	292.2	323.0	216.8	325.1								1,373.6	1,405.4	(31.8)	-2.3%
General State Charges	179.0	237.6	103.6	26.9	69.1								616.2	874.5	(258.3)	-29.5%
Capital Projects	0.1	0.1	0.2	0.2	0.2								0.8	0.3	0.5	166.7%
Total Disbursements	1,645.2	2,120.4	3,208.7	1,912.0	2,030.7	-	-	-	-	-	-	-	10,917.0	10,865.6	51.4	0.5%
Excess (Deficiency) of Receipts over Disbursements	24.5	(506.8)	(725.1)	(372.2)	(210.4)	-	-	-	-	-	-	-	(1,790.0)	(2,486.6)	696.6	28.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0								4,385.4	5,068.0	(682.6)	-13.5%
Transfers to Other Funds	(4.4)	(4.3)	(38.9)	(18.4)	(22.5)								(88.5)	(428.0)	(339.5)	-79.3%
Total Other Financing Sources (Uses)	555.6	1,361.3	716.1	978.4	685.5	-	-	-	-	-	-	-	4,296.9	4,640.0	(343.1)	-7.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	580.1	854.5	(9.0)	606.2	475.1	-	-	-	-	-	-	-	2,506.9	2,153.4	353.5	16.4%
Ending Fund Balance	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2	\$ 6,054.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,054.3	\$ 4,626.0	\$ 1,428.3	30.9%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	5 Months Ended August 31												2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 59.7	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)								\$ 59.7	\$ 189.2	\$ (129.5)	-68.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-								-	-	-	0.0%
Assessments:																
Business	3.9	33.4	0.1	2.0	10.2								49.6	47.4	2.2	4.6%
Medical Care	-	-	-	-	-								-	-	-	0.0%
Public Utilities	-	-	-	-	-								-	-	-	0.0%
Other	-	-	-	-	-								-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-								-	-	-	0.0%
Civil	-	-	-	-	-								-	-	-	0.0%
Criminal	-	-	-	-	-								-	-	-	0.0%
Motor Vehicle	-	-	-	-	-								-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-								-	-	-	0.0%
Fines, Penalties and Forfeitures	0.9	0.7	0.6	3.2	0.7								6.1	4.2	1.9	45.2%
Interest Earnings	0.2	0.2	0.2	0.1	0.3								1.0	0.2	0.8	400.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-								-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-								-	-	-	0.0%
Issuance Fees	-	-	-	-	-								-	-	-	0.0%
Non Bond Related	-	-	-	-	-								-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-								-	-	-	0.0%
Rentals	-	-	-	-	-								-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	0.1	-	-								0.1	0.6	(0.5)	-83.3%
Commissions	-	-	-	-	-								-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-								-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-								-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-								-	-	-	0.0%
Rebates	7.9	9.2	7.8	8.4	9.1								42.4	42.5	(0.1)	-0.2%
Restitution and Settlements	-	-	-	-	-								-	0.1	(0.1)	-100.0%
Student Loans	-	-	-	-	-								-	-	-	0.0%
All Other	0.1	0.4	(0.1)	-	(3.8)								(3.4)	0.6	(4.0)	-666.7%
Sales	-	-	0.2	-	-								0.2	-	0.2	100.0%
Tuition	-	-	-	-	-								-	-	-	0.0%
Total Miscellaneous Receipts	13.0	43.9	8.9	13.7	16.5	-	-	-	-	-	-	-	96.0	95.6	0.4	0.4%
Federal Receipts	3,134.7	4,040.4	4,981.0	3,492.3	3,955.5								19,603.9	18,549.7	1,054.2	5.7%
Total Receipts	3,147.7	4,084.3	4,989.9	3,506.0	3,972.0	-	-	-	-	-	-	-	19,699.9	18,645.3	1,054.6	5.7%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	5 Months Ended August 31												2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
DISBURSEMENTS:																
Local Assistance Grants:																
Education	268.4	282.4	297.1	167.7	191.4								1,207.0	1,369.8	(162.8)	-11.9%
Environment and Recreation	0.6	-	0.7	0.2	0.5								2.0	1.7	0.3	17.6%
General Government	0.8	3.9	8.3	1.0	3.1								17.1	17.9	(0.8)	-4.5%
Public Health:																
Medicaid	2,243.8	2,627.1	3,005.4	2,555.7	2,956.7								13,388.7	11,964.7	1,424.0	11.9%
Other Public Health	420.2	384.6	204.9	490.3	431.4								1,931.4	1,286.6	644.8	50.1%
Public Safety	73.2	121.7	87.8	112.2	186.6								581.5	560.6	20.9	3.7%
Public Welfare	246.8	282.3	326.9	272.8	341.0								1,469.8	2,077.1	(607.3)	-29.2%
Support and Regulate Business	-	-	-	0.3	1.3								1.6	2.9	(1.3)	-44.8%
Transportation	6.1	3.6	8.9	2.6	7.1								28.3	16.9	11.4	67.5%
Total Local Assistance Grants	3,259.9	3,705.6	3,940.0	3,602.8	4,119.1	-	-	-	-	-	-	-	18,627.4	17,298.2	1,329.2	7.7%
Departmental Operations:																
Personal Service	48.8	44.2	71.9	41.3	58.6								264.8	250.6	14.2	5.7%
Non-Personal Service	45.6	94.0	116.7	79.4	152.0								487.7	414.7	73.0	17.6%
General State Charges	10.5	35.1	14.2	0.2	34.5								94.5	117.9	(23.4)	-19.8%
Capital Projects	-	-	-	-	-								-	-	-	0.0%
Total Disbursements	3,364.8	3,878.9	4,142.8	3,723.7	4,364.2	-	-	-	-	-	-	-	19,474.4	18,081.4	1,393.0	7.7%
Excess (Deficiency) of Receipts over Disbursements	(217.1)	205.4	847.1	(217.7)	(392.2)	-	-	-	-	-	-	-	225.5	563.9	(338.4)	-60.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-								-	-	-	0.0%
Transfers to Other Funds	(99.5)	(162.4)	(309.9)	(129.9)	(60.1)								(761.8)	(710.6)	51.2	7.2%
Total Other Financing Sources (Uses)	(99.5)	(162.4)	(309.9)	(129.9)	(60.1)	-	-	-	-	-	-	-	(761.8)	(710.6)	51.2	7.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(316.6)	43.0	537.2	(347.6)	(452.3)	-	-	-	-	-	-	-	(536.3)	(146.7)	(389.6)	-265.6%
Ending Fund Balance	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (476.6)	\$ 42.5	\$ (519.1)	-1,221.4%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT H

	2016					2017							5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 159.7	\$ 324.9	\$ 418.0	\$ 365.9	\$ 807.4								\$ 159.7	\$ 118.7	\$ 41.0	34.5%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,595.9	546.5	1,180.9	640.5	734.9								4,698.7	4,777.7	(79.0)	-1.7%
Consumption/Use Taxes:																
Sales and Use	498.2	474.3	655.1	508.3	485.4								2,621.3	2,565.4	55.9	2.2%
Total Consumption/Use Taxes	498.2	474.3	655.1	508.3	485.4	-	-	-	-	-	-	-	2,621.3	2,565.4	55.9	2.2%
Other Taxes:																
Real Estate Transfer	90.4	74.0	91.1	102.0	90.5								448.0	434.0	14.0	3.2%
Total Other Taxes	90.4	74.0	91.1	102.0	90.5	-	-	-	-	-	-	-	448.0	434.0	14.0	3.2%
Total Taxes	2,184.5	1,094.8	1,927.1	1,250.8	1,310.8	-	-	-	-	-	-	-	7,768.0	7,777.1	(9.1)	-0.1%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-								-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-								-	-	-	0.0%
Business/Professional	-	-	-	-	-								-	-	-	0.0%
Civil	-	-	-	-	-								-	-	-	0.0%
Criminal	-	-	-	-	-								-	-	-	0.0%
Motor Vehicle	-	-	-	-	-								-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-								-	-	-	0.0%
Interest Earnings	-	-	-	0.1	0.1								0.2	-	0.2	100.0%
Receipts from Municipalities	-	0.4	0.1	-	0.8								1.3	1.7	(0.4)	-23.5%
Rentals	-	-	-	-	-								-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	10.7	25.9	(38.1)	138.2	51.3								188.0	231.7	(43.7)	-18.9%
Sales	-	-	-	-	0.1								0.1	0.1	-	0.0%
Total Miscellaneous Receipts	10.7	26.3	(38.0)	138.3	52.3	-	-	-	-	-	-	-	189.6	233.5	(43.9)	-18.8%
Federal Receipts	-	-	-	1.6	35.1								36.7	36.5	0.2	0.5%
Total Receipts	2,195.2	1,121.1	1,889.1	1,390.7	1,398.2	-	-	-	-	-	-	-	7,994.3	8,047.1	(52.8)	-0.7%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.5	1.7	0.8	14.5	3.2								20.7	16.2	4.5	27.8%
Debt Service, Including Payments On Financing Agreements	113.3	162.9	89.9	25.3	281.8								673.2	942.7	(269.5)	-28.6%
Total Disbursements	113.8	164.6	90.7	39.8	285.0	-	-	-	-	-	-	-	693.9	958.9	(265.0)	-27.6%
Excess (Deficiency) of Receipts over Disbursements	2,081.4	956.5	1,798.4	1,350.9	1,113.2	-	-	-	-	-	-	-	7,300.4	7,088.2	212.2	3.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	357.9	139.0	192.1	301.0	50.7								1,040.7	1,259.4	(218.7)	-17.4%
Transfers to Other Funds	(2,274.1)	(1,002.4)	(2,042.6)	(1,210.4)	(862.7)								(7,392.2)	(7,536.4)	(144.2)	-1.9%
Total Other Financing Sources (Uses)	(1,916.2)	(863.4)	(1,850.5)	(909.4)	(812.0)	-	-	-	-	-	-	-	(6,351.5)	(6,277.0)	(74.5)	-1.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	165.2	93.1	(52.1)	441.5	301.2	-	-	-	-	-	-	-	948.9	811.2	137.7	17.0%
Ending Fund Balance	\$ 324.9	\$ 418.0	\$ 365.9	\$ 807.4	\$ 1,108.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,108.6	\$ 929.9	\$ 178.7	19.2%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

	2016					2017							Intra-Fund Transfer Eliminations (*)	5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (890.8)	\$ (857.0)	\$ (846.8)	\$ (652.0)	\$ (628.3)								\$ (890.8)	\$ (724.4)	\$ (166.4)	-23.0%	
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.3	-	18.9	0.1	0.1								19.4	21.0	(1.6)	-7.6%	
Motor Fuel	30.8	29.4	35.1	36.4	39.5								171.2	168.3	2.9	1.7%	
Highway Use	12.6	10.7	12.3	12.5	12.3								60.4	60.6	(0.2)	-0.3%	
Total Consumption/Use Taxes	43.7	40.1	66.3	49.0	51.9								251.0	249.9	1.1	0.4%	
Business Taxes:																	
Corporation Franchise	-	-	-	-	-								-	-	-	0.0%	
Corporation and Utilities	0.8	-	2.1	0.1	-								3.0	2.8	0.2	7.1%	
Petroleum Business	48.2	43.2	52.1	66.2	51.6								261.3	263.4	(2.1)	-0.8%	
Total Business Taxes	49.0	43.2	54.2	66.3	51.6								264.3	266.2	(1.9)	-0.7%	
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9								35.7	35.7	-	0.0%	
Total Other Taxes	-	-	11.9	11.9	11.9								35.7	35.7	-	0.0%	
Total Taxes	92.7	83.3	132.4	127.2	115.4								551.0	551.8	(0.8)	-0.1%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-								23.0	23.0	-	0.0%	
Assessments:																	
Business	9.4	8.7	10.3	11.1	7.8								47.3	44.2	3.1	7.0%	
Fees, Licenses and Permits:																	
Business/Professional	2.6	6.3	2.3	1.9	3.6								16.7	13.9	2.8	20.1%	
Civil	-	-	-	-	-								-	-	-	0.0%	
Motor Vehicle	66.1	64.8	62.0	63.5	54.7								311.1	310.5	0.6	0.2%	
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9								1.6	3.6	(2.0)	-55.6%	
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9								13.7	8.6	5.1	59.3%	
Interest Earnings	0.2	0.1	0.2	0.1	0.2								0.8	0.3	0.5	166.7%	
Receipts from Public Authorities:																	
Bond Proceeds	-	112.9	556.0	4.2	9.0								682.1	987.2	(305.1)	-30.9%	
Issuance Fees	-	-	-	-	-								-	-	-	0.0%	
Non Bond Related	0.1	-	0.6	-	4.0								4.7	2.8	1.9	67.9%	
Receipts from Municipalities	-	0.6	0.3	0.4	0.1								1.4	0.3	1.1	366.7%	
Rentals	0.7	0.2	0.4	1.2	0.3								2.8	2.7	0.1	3.7%	
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-								-	0.1	(0.1)	-100.0%	
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-								5.0	1.1	3.9	354.5%	
Indirect Cost Recoveries	-	-	-	-	-								-	-	-	0.0%	
Rebates	-	-	-	-	0.3								0.3	0.2	0.1	50.0%	
Restitution and Settlements	-	0.5	0.5	1.7	2.1								4.8	2.2	2.6	118.2%	
All Other	0.1	0.8	2.7	0.8	0.6								5.0	8.4	(3.4)	-40.5%	
Sales	1.1	-	0.1	0.1	0.1								1.4	0.3	1.1	366.7%	
Total Miscellaneous Receipts	85.2	199.1	661.3	89.5	86.6								1,121.7	1,409.4	(287.7)	-20.4%	
Federal Receipts	126.2	156.4	227.3	153.4	229.5								892.8	768.7	124.1	16.1%	
Total Receipts	304.1	438.8	1,021.0	370.1	431.5								2,565.5	2,729.9	(164.4)	-6.0%	

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

													5 Months Ended August 31				
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-	0.2	0.1	7.8	5.6	-	-	-	-	-	-	-	-	13.7	0.6	13.1	2,183.3%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	-	-	-	-	-	-	-	-	38.1	29.9	8.2	27.4%
General Government	58.9	11.5	18.0	16.6	18.6	-	-	-	-	-	-	-	-	123.6	39.1	84.5	216.1%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4	-	-	-	-	-	-	-	-	34.8	30.5	4.3	14.1%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	-	-	-	-	-	-	-	-	7.9	-	7.9	100.0%
Public Welfare	-	15.8	-	35.0	6.0	-	-	-	-	-	-	-	-	56.8	43.7	13.1	30.0%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0	-	-	-	-	-	-	-	-	400.8	382.4	18.4	4.8%
Transportation	27.8	40.4	78.9	77.3	78.0	-	-	-	-	-	-	-	-	302.4	179.6	122.8	68.4%
Total Local Assistance Grants	93.5	97.5	288.9	207.0	291.2	-	-	-	-	-	-	-	-	978.1	705.8	272.3	38.6%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	313.5	485.9	643.6	470.7	561.2	-	-	-	-	-	-	-	-	2,474.9	2,271.3	203.6	9.0%
Total Disbursements	407.0	583.4	932.5	677.7	852.4	-	-	-	-	-	-	-	-	3,453.0	2,977.1	475.9	16.0%
Excess (Deficiency) of Receipts over Disbursements	(102.9)	(144.6)	88.5	(307.6)	(420.9)	-	-	-	-	-	-	-	-	(887.5)	(247.2)	(640.3)	-259.0%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	-	-	-	-	-	-	-	-	1,199.7	597.9	601.8	100.7%
Transfers to Other Funds	(25.5)	(29.0)	(32.1)	(31.2)	(30.9)	-	-	-	-	-	-	-	-	(148.7)	(348.7)	(200.0)	-57.4%
Total Other Financing Sources (Uses)	136.7	154.8	106.3	331.3	321.9	-	-	-	-	-	-	-	-	1,051.0	249.2	801.8	321.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	33.8	10.2	194.8	23.7	(99.0)	-	-	-	-	-	-	-	-	163.5	2.0	161.5	8,075.0%
Ending Fund Balance	\$ (857.0)	\$ (846.8)	\$ (652.0)	\$ (628.3)	\$ (727.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (727.3)	\$ (722.4)	\$ (4.9)	-0.7%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects- State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

	2016					2017							5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (331.5)	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)								\$ (331.5)	\$ (342.4)	\$ 10.9	3.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.3	-	18.9	0.1	0.1								19.4	21.0	(1.6)	-7.6%
Motor Fuel	30.8	29.4	35.1	36.4	39.5								171.2	168.3	2.9	1.7%
Highway Use	12.6	10.7	12.3	12.5	12.3								60.4	60.6	(0.2)	-0.3%
Total Consumption/Use Taxes	43.7	40.1	66.3	49.0	51.9	-	-	-	-	-	-	-	251.0	249.9	1.1	0.4%
Business Taxes																
Corporation Franchise	-	-	-	-	-								-	-	-	0.0%
Corporation and Utilities	0.8	-	2.1	0.1	-								3.0	2.8	0.2	7.1%
Petroleum Business	48.2	43.2	52.1	66.2	51.6								261.3	263.4	(2.1)	-0.8%
Total Business Taxes	49.0	43.2	54.2	66.3	51.6	-	-	-	-	-	-	-	264.3	266.2	(1.9)	-0.7%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9								35.7	35.7	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	-	-	-	-	-	-	-	35.7	35.7	-	0.0%
Total Taxes	92.7	83.3	132.4	127.2	115.4	-	-	-	-	-	-	-	551.0	551.8	(0.8)	-0.1%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-								23.0	23.0	-	0.0%
Assessments:																
Business	9.4	8.7	10.3	11.1	7.8								47.3	44.2	3.1	7.0%
Fees, Licenses and Permits:																
Business/Professional	2.6	6.3	2.3	1.9	3.6								16.7	13.9	2.8	20.1%
Civil	-	-	-	-	-								-	-	-	0.0%
Motor Vehicle	66.1	64.8	62.0	63.5	54.7								311.1	310.5	0.6	0.2%
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9								1.6	3.6	(2.0)	-55.6%
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9								13.7	8.6	5.1	59.3%
Interest Earnings	0.2	0.1	0.2	0.1	0.2								0.8	0.3	0.5	166.7%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0								682.1	987.2	(305.1)	-30.9%
Issuance Fees	-	-	-	-	-								-	-	-	0.0%
Non Bond Related	0.1	-	0.6	-	4.0								4.7	2.8	1.9	67.9%
Receipts from Municipalities	-	0.6	0.3	0.4	0.1								1.4	0.3	1.1	366.7%
Rentals	0.6	0.2	0.4	1.1	0.2								2.5	2.5	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-								-	0.1	(0.1)	-100.0%
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-								5.0	1.1	3.9	354.5%
Indirect Cost Recoveries	-	-	-	-	-								-	-	-	0.0%
Rebates	-	-	-	-	0.3								0.3	0.2	0.1	50.0%
Restitution and Settlements	-	0.5	0.5	1.7	2.1								4.8	2.2	2.6	118.2%
All Other	0.1	0.8	2.7	0.8	0.6								5.0	8.4	(3.4)	-40.5%
Sales	0.2	-	-	0.1	0.1								0.4	0.1	0.3	300.0%
Total Miscellaneous Receipts	84.2	199.1	661.2	89.4	86.5	-	-	-	-	-	-	-	1,120.4	1,409.0	(288.6)	-20.5%
Federal Receipts	-	-	-	-	-								-	-	-	0.0%
Total Receipts	176.9	282.4	793.6	216.6	201.9	-	-	-	-	-	-	-	1,671.4	1,960.8	(289.4)	-14.8%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

													5 Months Ended August 31			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	0.2	0.1	7.8	5.6								13.7	0.6	13.1	2,183.3%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0								38.1	29.9	8.2	27.4%
General Government	58.9	11.5	18.0	16.6	18.6								123.6	39.1	84.5	216.1%
Public Health:																
Medicaid	-	-	-	-	-								-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4								34.8	30.5	4.3	14.1%
Public Safety	(1.3)	5.9	0.5	2.2	0.6								7.9	-	7.9	100.0%
Public Welfare	-	15.8	-	35.0	6.0								56.8	43.7	13.1	30.0%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0								400.8	382.4	18.4	4.8%
Transportation	5.8	3.2	51.2	12.6	13.5								86.3	49.3	37.0	75.1%
Total Local Assistance Grants	71.5	60.3	261.2	142.3	226.7	-	-	-	-	-	-	-	762.0	575.5	186.5	32.4%
Departmental Operations:																
Personal Service	-	-	-	-	-								-	-	-	0.0%
Non-Personal Service	-	-	-	-	-								-	-	-	0.0%
General State Charges	-	-	-	-	-								-	-	-	0.0%
Capital Projects	257.6	376.4	515.4	344.3	409.9								1,903.6	1,663.2	240.4	14.5%
Total Disbursements	329.1	436.7	776.6	486.6	636.6	-	-	-	-	-	-	-	2,665.6	2,238.7	426.9	19.1%
Excess (Deficiency) of Receipts over Disbursements	(152.2)	(154.3)	17.0	(270.0)	(434.7)	-	-	-	-	-	-	-	(994.2)	(277.9)	(716.3)	-257.8%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-								-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8								1,199.7	597.9	601.8	100.7%
Transfers to Other Funds	(25.5)	(29.0)	(27.9)	(29.3)	(30.9)								(142.6)	(346.0)	(203.4)	-58.8%
Total Other Financing Sources (Uses)	136.7	154.8	110.5	333.2	321.9	-	-	-	-	-	-	-	1,057.1	251.9	805.2	319.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(15.5)	0.5	127.5	63.2	(112.8)	-	-	-	-	-	-	-	62.9	(26.0)	88.9	341.9%
Ending Fund Balance	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)	\$ (268.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (268.6)	\$ (368.4)	\$ 99.8	27.1%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-2017
 (Amounts in millions)

EXHIBIT I

													5 Months Ended August 31			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (559.3)	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)								\$ (559.3)	\$ (382.0)	\$ (177.3)	-46.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-								-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-								-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-								-	-	-	0.0%
Civil	-	-	-	-	-								-	-	-	0.0%
Motor Vehicle	-	-	-	-	-								-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-								-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-								-	-	-	0.0%
Interest Earnings	-	-	-	-	-								-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-								-	-	-	0.0%
Issuance Fees	-	-	-	-	-								-	-	-	0.0%
Non Bond Related	-	-	-	-	-								-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-								-	-	-	0.0%
Rentals	0.1	-	-	0.1	0.1								0.3	0.2	0.1	50.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-								-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-								-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-								-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-								-	-	-	0.0%
All Other	-	-	-	-	-								-	-	-	0.0%
Sales	0.9	-	0.1	-	-								1.0	0.2	0.8	400.0%
Total Miscellaneous Receipts	1.0	-	0.1	0.1	0.1	-	-	-	-	-	-	-	1.3	0.4	0.9	225.0%
Federal Receipts	126.2	156.4	227.3	153.4	229.5								892.8	768.7	124.1	16.1%
Total Receipts	127.2	156.4	227.4	153.5	229.6	-	-	-	-	-	-	-	894.1	769.1	125.0	16.3%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-								-	-	-	0.0%
Environment and Recreation	-	-	-	-	-								-	-	-	0.0%
General Government	-	-	-	-	-								-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-								-	-	-	0.0%
Other Public Health	-	-	-	-	-								-	-	-	0.0%
Public Safety	-	-	-	-	-								-	-	-	0.0%
Public Welfare	-	-	-	-	-								-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-								-	-	-	0.0%
Transportation	22.0	37.2	27.7	64.7	64.5								216.1	130.3	85.8	65.8%
Total Local Assistance Grants	22.0	37.2	27.7	64.7	64.5	-	-	-	-	-	-	-	216.1	130.3	85.8	65.8%
Departmental Operations:																
Personal Service	-	-	-	-	-								-	-	-	0.0%
Non-Personal Service	-	-	-	-	-								-	-	-	0.0%
General State Charges	-	-	-	-	-								-	-	-	0.0%
Capital Projects	55.9	109.5	128.2	126.4	151.3								571.3	608.1	(36.8)	-6.1%
Total Disbursements	77.9	146.7	155.9	191.1	215.8	-	-	-	-	-	-	-	787.4	738.4	49.0	6.6%
Excess (Deficiency) of Receipts over Disbursements	49.3	9.7	71.5	(37.6)	13.8	-	-	-	-	-	-	-	106.7	30.7	76.0	247.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-								-	-	-	0.0%
Transfers to Other Funds	-	-	(4.2)	(1.9)	-								(6.1)	(2.7)	3.4	125.9%
Total Other Financing Sources (Uses)	-	-	(4.2)	(1.9)	-	-	-	-	-	-	-	-	(6.1)	(2.7)	3.4	125.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	49.3	9.7	67.3	(39.5)	13.8	-	-	-	-	-	-	-	100.6	28.0	72.6	259.3%
Ending Fund Balance	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (458.7)	\$ (354.0)	\$ (104.7)	-29.6%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT J

	2016					2017							5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 66.1	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4								\$ 66.1	\$ 50.6	\$ 15.5	30.6%
RECEIPTS:																
Miscellaneous Receipts	4.1	4.5	5.9	4.5	5.1								24.1	27.5	(3.4)	-12.4%
Federal Receipts	1.4	1.3	1.5	1.3	1.6								7.1	10.7	(3.6)	-33.6%
Unemployment Taxes	132.0	184.1	159.6	167.8	208.0								851.5	924.0	(72.5)	-7.8%
Total Receipts	137.5	189.9	167.0	173.6	214.7	-	-	-	-	-	-	-	882.7	962.2	(79.5)	-8.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4	0.1	0.4	0.2	0.5								1.6	2.0	(0.4)	-20.0%
Non-Personal Service	2.4	4.1	4.1	6.6	2.6								19.8	19.8	-	0.0%
General State Charges	0.2	0.1	-	-	-								0.3	0.3	-	0.0%
Unemployment Benefits	177.6	185.2	161.3	169.0	209.7								902.8	929.6	(26.8)	-2.9%
Total Disbursements	180.6	189.5	165.8	175.8	212.8	-	-	-	-	-	-	-	924.5	951.7	(27.2)	-2.9%
Excess (Deficiency) of Receipts over Disbursements	(43.1)	0.4	1.2	(2.2)	1.9	-	-	-	-	-	-	-	(41.8)	10.5	(52.3)	-498.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-								-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-								-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(43.1)	0.4	1.2	(2.2)	1.9	-	-	-	-	-	-	-	(41.8)	10.5	(52.3)	-498.1%
Ending Fund Balance	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 24.3	\$ 61.1	\$ (36.8)	-60.2%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT K

													5 Months Ended August 31			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (127.2)	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)								\$ (127.2)	\$ (196.7)	\$ 69.5	35.3%
RECEIPTS:																
Miscellaneous Receipts	17.5	30.1	43.1	32.9	35.1								158.7	193.9	(35.2)	-18.2%
Total Receipts	17.5	30.1	43.1	32.9	35.1	-	-	-	-	-	-	-	158.7	193.9	(35.2)	-18.2%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.4	7.2	10.4	6.9	8.7								40.6	37.0	3.6	9.7%
Non-Personal Service	79.5	41.1	36.1	20.9	47.5								225.1	182.6	42.5	23.3%
General State Charges	-	8.2	4.1	-	6.0								18.3	19.3	(1.0)	-5.2%
Total Disbursements	86.9	56.5	50.6	27.8	62.2	-	-	-	-	-	-	-	284.0	238.9	45.1	18.9%
Excess (Deficiency) of Receipts over Disbursements	(69.4)	(26.4)	(7.5)	5.1	(27.1)	-	-	-	-	-	-	-	(125.3)	(45.0)	(80.3)	-178.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	5.3	4.3	1.8	0.8	5.0								17.2	17.7	(0.5)	-2.8%
Transfers to Other Funds	-	-	(0.2)	-	-								(0.2)	(0.1)	(0.1)	-100.0%
Total Other Financing Sources (Uses)	5.3	4.3	1.6	0.8	5.0	-	-	-	-	-	-	-	17.0	17.6	(0.4)	-2.3%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(64.1)	(22.1)	(5.9)	5.9	(22.1)	-	-	-	-	-	-	-	(108.3)	(27.4)	(80.7)	-294.5%
Ending Fund Balance	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)	\$ (235.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (235.5)	\$ (224.1)	\$ (11.4)	-5.1%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT L

	2016					2017							5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 0.1	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)								\$ 0.1	\$ (16.9)	\$ 17.0	100.6%
RECEIPTS:																
Miscellaneous Receipts	7.2	4.5	6.9	4.6	5.3								28.5	53.1	(24.6)	-46.3%
Total Receipts	7.2	4.5	6.9	4.6	5.3	-	-	-	-	-	-	-	28.5	53.1	(24.6)	-46.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	4.8	4.6	6.9	4.5	5.2								26.0	26.5	(0.5)	-1.9%
Non-Personal Service	0.7	0.7	1.1	1.3	1.4								5.2	4.7	0.5	10.6%
General State Charges	-	-	2.0	-	9.5								11.5	14.4	(2.9)	-20.1%
Total Disbursements	5.5	5.3	10.0	5.8	16.1	-	-	-	-	-	-	-	42.7	45.6	(2.9)	-6.4%
Excess (Deficiency) of Receipts over Disbursements	1.7	(0.8)	(3.1)	(1.2)	(10.8)	-	-	-	-	-	-	-	(14.2)	7.5	(21.7)	-289.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-								-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-								-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.7	(0.8)	(3.1)	(1.2)	(10.8)	-	-	-	-	-	-	-	(14.2)	7.5	(21.7)	-289.3%
Ending Fund Balance	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)	\$ (14.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (14.1)	\$ (9.4)	\$ (4.7)	-50.0%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT M

	2016					2017								5 Months Ended August 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 11.6	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2								\$ 11.6	\$ 11.5	\$ 0.1	0.9%	
RECEIPTS:																	
Miscellaneous Receipts	(1.5)	1.7	(1.5)	-	-								(1.3)	(0.1)	(1.2)	-1,200.0%	
Total Receipts	(1.5)	1.7	(1.5)	-	-	-	-	-	-	-	-	-	(1.3)	(0.1)	(1.2)	-1,200.0%	
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	0.1	-	-	-	-								0.1	0.2	(0.1)	-50.0%	
Non-Personal Service	-	-	-	-	-								-	-	-	0.0%	
General State Charges	-	-	-	-	-								-	-	-	0.0%	
Total Disbursements	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%	
Excess (Deficiency) of Receipts over Disbursements	(1.6)	1.7	(1.5)	-	-	-	-	-	-	-	-	-	(1.4)	(0.3)	(1.1)	-366.7%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-								-	-	-	0.0%	
Transfers to Other Funds	-	-	-	-	-								-	-	-	0.0%	
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(1.6)	1.7	(1.5)	-	-	-	-	-	-	-	-	-	(1.4)	(0.3)	(1.1)	-366.7%	
Ending Fund Balance	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2	\$ 10.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10.2	\$ 11.2	\$ (1.0)	-8.9%	

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2016
(Amounts in millions)**

SCHEDULE 1

	<u>BALANCE</u> <u>AUGUST 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>AUGUST 31, 2016</u>
<u>GENERAL FUND</u>					
10000-10049-Local Assistance Account	\$ -	\$ 0.004	\$ 2,572.473	\$ 2,572.469	\$ -
10050-10099-State Operations Account	6,704.440	3,131.605	943.757	(2,722.552)	6,169.736
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	60.872	-	1.578	-	59.294
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	97.224	97.224	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	6,765.312	3,228.833	3,615.032	(150.083)	6,229.030
<u>SPECIAL REVENUE FUNDS-STATE</u>					
20000-20099-Mental Health Gifts and Donations	2.236	0.001	0.027	-	2.210
20100-20299-Combined Expendable Trust	65.593	0.574	0.966	-	65.201
20300-20349-New York Interest on Lawyer Account	42.198	1.023	0.838	-	42.383
20350-20399-NYS Archives Partnership Trust	0.324	-	0.073	(0.012)	0.239
20400-20449-Child Performer's Protection	0.275	0.009	0.039	-	0.245
20450-20499-Tuition Reimbursement	6.083	0.361	0.441	(0.072)	5.931
20500-20549-New York State Local Government Records Management Improvement	4.322	0.764	1.700	(0.069)	3.317
20550-20599-School Tax Relief	4.613	-	(0.280)	-	4.893
20600-20649-Charter Schools Stimulus	3.846	0.002	0.558	-	3.290
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	135.671	479.443	454.095	(2.510)	158.509
20850-20899-Dedicated Mass Transportation Trust	75.513	51.769	67.875	-	59.407
20900-20949-State Lottery	1,025.924	321.171	9.858	-	1,337.237
20950-20999-Combined Student Loan	18.173	1.414	1.261	-	18.326
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.076)	-	0.121	-	(4.197)
21050-21149-Encon Special Revenue	(20.901)	6.784	12.485	1.784	(24.818)
21150-21199-Conservation	73.098	3.107	8.095	(1.784)	66.326
21200-21249-Environmental Protection and Oil Spill Compensation	33.245	4.806	4.864	-	33.187
21250-21299-Training and Education Program on OSHA	10.162	0.005	(0.239)	-	10.406
21300-21349-Lawyers' Fund for Client Protection	2.407	0.882	0.352	-	2.937
21350-21399-Equipment Loan for the Disabled	0.518	0.003	0.006	-	0.515
21400-21449-Mass Transportation Operating Assistance	217.181	106.009	292.581	0.130	30.739
21450-21499-Clean Air	(18.520)	2.382	3.721	-	(19.859)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	10.943	0.142	0.070	-	11.015
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.457	-	-	-	0.457
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.836	0.001	-	-	0.837
21900-22499-Miscellaneous State Special Revenue	1,778.408	186.001	521.603	618.773	2,061.579

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2016
(Amounts in millions)**

SCHEDULE 1

	BALANCE AUGUST 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE AUGUST 31, 2016
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22500-22549-Court Facilities Incentive Aid	45.230	0.020	28.590	-	16.660
22550-22599-Employment Training	0.050	-	-	-	0.050
22650-22699-State University Income	1,259.220	438.704	494.294	28.029	1,231.659
22700-22749-Chemical Dependence Service	39.211	3.538	0.239	-	42.510
22750-22799-Lake George Park Trust	0.541	-	0.097	-	0.444
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	63.807	8.142	1.157	-	70.792
22850-22899-New York Great Lakes Protection	0.459	-	-	-	0.459
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.757	0.008	0.200	-	9.565
23000-23049-NYS/DOT Highway Safety Program	(8.417)	0.267	0.385	-	(8.535)
23050-23099-Vocational Rehabilitation	0.141	-	0.004	-	0.137
23100-23149-Drinking Water Program Management and Administration	(5.984)	-	-	-	(5.984)
23150-23199-NYC County Clerks' Operations Offset	(34.350)	-	2.204	-	(36.554)
23200-23249-Judiciary Data Processing Offset	2.164	3.663	1.758	-	4.069
23250-23449-IFR/CUTRA	164.048	10.579	10.790	-	163.837
23500-23549-USOC Lake Placid Training	0.075	0.001	-	-	0.076
23550-23599-Indigent Legal Services	172.590	10.971	3.721	-	179.840
23600-23649-Unemployment Insurance Interest and Penalty	23.690	1.679	0.220	-	25.149
23650-23699-MTA Financial Assistance Fund	101.117	127.518	105.396	61.785	185.024
23700-23749-New York State Commercial Gaming Fund	115.607	2.063	0.250	-	117.420
23750-23799-Medical Marihuana Trust Fund	1.497	0.043	0.243	-	1.297
23800-23899-Dedicated Miscellaneous State Special Revenue	-	-	-	-	-
40350-40399-State University Dormitory Income	160.126	46.412	-	(20.539)	185.999
TOTAL SPECIAL REVENUE FUNDS-STATE	5,579.199	1,820.261	2,030.658	685.515	6,054.317
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	8.950	170.457	164.102	0.769	16.074
25100-25199-Federal Health and Human Services	68.719	3,362.753	3,752.590	(58.222)	(379.340)
25200-25249-Federal Education	(9.917)	195.592	198.852	(2.637)	(15.814)
25300-25899-Federal Miscellaneous Operating Grants	(176.364)	195.569	216.028	(0.064)	(196.887)
25900-25949-Unemployment Insurance Administration	89.696	28.460	18.693	-	99.463
25950-25999-Unemployment Insurance Occupational Training	1.356	0.650	0.445	-	1.561
26000-26049-Federal Employment and Training Grants	(6.775)	18.520	13.398	-	(1.653)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(24.335)	3,972.001	4,364.108	(60.154)	(476.596)
TOTAL SPECIAL REVENUE FUNDS	5,554.864	5,792.262	6,394.766	625.361	5,577.721
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	240.023	31.947	70.170	(135.730)	66.070
40150-40199-General Debt Service	537.416	1,012.770	213.539	(376.891)	959.756
40250-40299-State Housing Debt Service	-	0.786	1.040	0.254	-
40300-40349-Department of Health Income	21.924	19.583	-	(9.356)	32.151
40400-40449-Clean Water/Clean Air	5.770	90.509	-	(87.728)	8.551
40450-40499-Local Government Assistance Tax	2.220	242.671	0.221	(202.553)	42.117
TOTAL DEBT SERVICE FUNDS	807.353	1,398.266	284.970	(812.004)	1,108.645

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2016
(Amounts in millions)**

SCHEDULE 1

	<u>BALANCE AUGUST 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE AUGUST 31, 2016</u>
<u>CAPITAL PROJECTS FUNDS</u>					
30000-30049-State Capital Projects	-	0.050	343.537	343.487	-
30050-30099-Dedicated Highway and Bridge Trust	154.447	170.023	152.452	(29.402)	142.616
30100-30299-SUNY Residence Halls Rehabilitation and Repair	136.379	0.126	2.047	0.500	134.958
30300-30349-New York State Canal System Development	4.707	0.325	-	-	5.032
30350-30399-Parks Infrastructure	(124.445)	0.002	13.286	-	(137.729)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	99.209	13.090	10.482	-	101.817
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	25.706	-	-	(1.867)	23.839
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.576	-	-	-	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	5.067	-	-	(0.054)	5.013
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(472.521)	229.509	215.657	-	(458.669)
31450-31499-Forest Preserve Expansion	0.901	0.001	-	-	0.902
31500-31549-Hazardous Waste Remedial	(156.745)	4.094	7.861	(1.511)	(162.023)
31650-31699-Suburban Transportation	0.508	-	-	-	0.508
31700-31749-Division for Youth Facilities Improvement	(9.507)	-	1.764	-	(11.271)
31800-31849-Housing Assistance	(13.631)	-	-	-	(13.631)
31850-31899-Housing Program	(191.588)	-	6.000	-	(197.588)
31900-31949-Natural Resource Damage	15.649	0.017	0.084	-	15.582
31950-31999-DOT Engineering Services	(12.661)	-	-	-	(12.661)
32200-32249-Miscellaneous Capital Projects	36.961	5.040	1.972	10.000	50.029
32250-32299-CUNY Capital Projects	(0.024)	-	-	-	(0.024)
32300-32349-Mental Hygiene Facilities Capital Improvement	(448.009)	9.129	9.862	-	(448.742)
32350-32399-Correction Facilities Capital Improvement	(66.442)	-	33.346	-	(99.788)
32400-32999-State University Capital Projects	175.047	0.057	4.272	0.700	171.532
33000-33049-NYS Storm Recovery Fund	(51.077)	-	2.675	-	(53.752)
33050-33099-Dedicated Infrastructure Investment Fund	245.595	-	47.123	-	198.472
TOTAL CAPITAL PROJECTS FUNDS	(628.240)	431.463	852.420	321.853	(727.344)
TOTAL GOVERNMENTAL FUNDS	\$ 12,499.289	\$ 10,850.824	\$ 11,147.188	\$ (14.873)	\$ 12,188.052

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF AUGUST 2016
 (Amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE AUGUST 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE AUGUST 31, 2016</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.153	\$ 0.001	\$ 0.001	\$ -	\$ 0.153
50050-50099-State Exposition Special	0.157	1.172	(0.965)	-	2.294
50100-50299-Correctional Services Commissary	3.118	3.445	3.437	-	3.126
50300-50399-Agencies Enterprise	3.477	0.126	0.268	-	3.335
50400-50449-Sheltered Workshop	2.047	0.120	0.039	-	2.128
50450-50499-Patient Workshop	1.768	0.037	0.220	-	1.585
50500-50599-Mental Hygiene Community Stores	4.232	0.118	0.076	-	4.274
50650-50699-Unemployment Insurance Benefit	7.428	209.644	209.679	-	7.393
TOTAL ENTERPRISE FUNDS	22.380	214.663	212.755	-	24.288
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(33.852)	25.373	41.159	0.082	(49.556)
55050-55099-Agency Internal Service	(133.338)	4.476	11.745	4.973	(135.634)
55100-55149-Mental Hygiene Revolving	0.338	0.284	0.083	-	0.539
55150-55199-Youth Vocational Education	0.056	-	-	-	0.056
55200-55249-Joint Labor and Management Administration	1.107	-	0.141	(0.001)	0.965
55250-55299-Audit and Control Revolving	(6.705)	0.001	3.293	-	(9.997)
55300-55349-Health Insurance Revolving	(16.699)	0.135	2.182	-	(18.746)
55350-55399-Correctional Industries Revolving	(24.283)	4.881	3.663	(0.081)	(23.146)
TOTAL INTERNAL SERVICE FUNDS	(213.376)	35.150	62.266	4.973	(235.519)
TOTAL PROPRIETARY FUNDS	\$ (190.996)	\$ 249.813	\$ 275.021	\$ 4.973	\$ (211.231)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF AUGUST 2016
 (Amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE AUGUST 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE AUGUST 31, 2016</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (3.308)	\$ 5.324	\$ 16.075	\$ -	\$ (14.059)
TOTAL PENSION TRUST FUNDS	(3.308)	5.324	16.075	-	(14.059)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.494	-	0.014	-	2.480
66050-66099-Milk Producers' Security	7.697	0.050	0.012	-	7.735
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.191	0.050	0.026	-	10.215
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	20.140	0.577	-	-	20.717
60150-60199-Child Performer's Holding	0.436	0.027	0.009	-	0.454
60200-60249-Employees Health Insurance	669.922	799.150	770.004	-	699.068
60250-60299-Social Security Contribution	14.956	94.638	94.641	-	14.953
60300-60399-Employee Payroll Withholding	35.536	370.195	394.778	-	10.953
60400-60449-Employees Dental Insurance	10.179	6.931	7.132	-	9.978
60450-60499-Management Confidential Group Insurance	0.669	0.830	1.006	-	0.493
60500-60549-Lottery Prize	399.278	142.092	117.238	-	424.132
60550-60599-Health Insurance Reserve Receipts	0.120	0.001	-	-	0.121
60600-60799-Miscellaneous New York State Agency	1,490.396	98.020	66.390	-	1,522.026
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	33.043	4.716	18.282	-	19.477
60850-60899-CUNY Senior College Operating	118.200	200.000	148.731	-	169.469
60900-60949-Medicaid Management Information System (MMIS) Escrow	429.846	5,412.658	4,888.535	10.301	964.270
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	190.246	231.310	-	(0.400)	421.156
61100-61999-State University Federal Direct Lending Program	(5.627)	197.358	200.790	-	(9.059)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,407.340	7,558.503	6,707.536	9.901	4,268.208
TOTAL FIDUCIARY FUNDS	\$ 3,414.223	\$ 7,563.877	\$ 6,723.637	\$ 9.901	\$ 4,264.364

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF AUGUST 2016
(Amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE AUGUST 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE AUGUST 31, 2016</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.720	\$ 0.001	\$ -	\$ 2.721
70050-70149-Sole Custody Investment (*)	1,806.408	6,593.055	6,705.160	1,694.303
70200-Comptroller's Refund	-	230.826	230.826	-
TOTAL ACCOUNTS	\$ 1,809.128	\$ 6,823.882	\$ 6,935.986	\$ 1,697.024

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of August 31, 2016, \$8,989,971.17 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2016	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING AUGUST 31, 2016	INTEREST DISBURSED	
		MONTH OF AUGUST	5 MONTHS ENDED AUGUST 31, 2016	MONTH OF AUGUST	5 MONTHS ENDED AUGUST 31, 2016		MONTH OF AUGUST	5 MONTHS ENDED AUGUST 31, 2016
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 106,955,627.05	\$ -	\$ -	\$ -	\$ 39,550,487.04	\$ 67,405,140.01	\$ 449,809.37	\$ 2,479,460.46
Clean Water/Clean Air:								
Air Quality	3,010,952.09	-	-	-	142,894.15	2,868,057.94	19,472.84	36,908.99
Safe Drinking Water	-	-	-	-	-	-	-	-
Water	402,544,044.61	-	-	-	11,662,595.73	390,881,448.88	2,276,442.93	4,848,683.70
Solid Waste	37,125,908.42	-	-	-	1,749,960.93	35,375,947.49	69,534.83	342,634.88
Environmental Restoration	79,264,390.55	-	-	-	150,000.00	79,114,390.55	508,635.83	795,151.60
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	2,574,158.76	-	-	-	466,630.73	2,107,528.03	-	46,992.96
Environmental Quality (1972):								
Air	494,853.29	-	-	-	160,000.00	334,853.29	265.14	12,265.14
Land and Wetlands	6,671,868.18	-	-	-	2,303,107.56	4,368,760.62	30,713.67	111,793.32
Water	29,701,680.75	-	-	-	7,157,062.80	22,544,617.95	26,606.84	392,338.63
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	15,489,192.93	-	-	-	2,346,200.74	13,142,992.19	60,491.23	234,784.01
Solid Waste Management	179,135,421.94	-	-	-	6,579,393.52	172,556,028.42	1,069,469.97	2,768,426.01
Housing:								
Low Cost	16,120,000.00	-	-	1,020,000.00	1,820,000.00	14,300,000.00	20,400.00	255,600.00
Middle Income	13,975,000.00	-	-	-	-	13,975,000.00	-	-
Park and Recreation Land Acquisition	6,351.45	-	-	-	-	6,351.45	-	-
Pure Waters	31,246,366.40	-	-	-	4,380,555.71	26,865,810.69	68,155.97	454,485.98
Rail Preservation Development	297,438.64	-	-	-	297,438.64	-	-	7,867.25
Rebuild and Renew New York Transportation:								
Highway Facilities	802,029,290.37	-	-	-	-	802,029,290.37	6,094,225.10	7,916,882.37
Canals and Waterways	15,019,108.04	-	-	-	-	15,019,108.04	59,958.35	130,909.16
Aviation	48,703,092.50	-	-	-	-	48,703,092.50	535,514.29	535,514.29
Rail and Port	79,651,413.07	-	-	-	-	79,651,413.07	1,300,131.11	1,300,131.11
Mass Transit - Dept. of Transportation	6,268,331.08	-	-	-	-	6,268,331.08	123,557.38	123,557.38
Mass Transit - Metropolitan Transportation Authority	838,086,893.13	-	-	-	-	838,086,893.13	7,979,483.02	11,316,790.65
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	1,427,346.08	-	-	-	-	1,427,346.08	2,815.17	5,139.56
Rapid Transit, Rail and Aviation	5,775,985.38	-	-	-	1,304,038.11	4,471,947.27	-	115,202.34
Transportation Capital Facilities:								
Aviation	5,846,614.98	-	-	-	1,455,964.57	4,390,650.41	-	99,373.06
Mass Transportation	38,669.77	-	-	-	38,669.77	-	-	996.40
Total General Obligation Bonded Debt	\$ 2,727,459,999.46	\$ -	\$ -	\$ 1,020,000.00	\$ 81,565,000.00	\$ 2,645,894,999.46	\$ 20,695,683.04	\$ 34,331,889.25

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE FIVE MONTHS ENDED AUGUST 31, 2016

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION RESERVE (40000-40049)	DEBT SERVICE (40151)	OF HEALTH INCOME (40300-40349)	GOVERNMENT ASSISTANCE TAX (40450-40499)	HEALTH SERVICES (40100-40149)	BOND TAX (40152)	REVENUE BOND TAX (40154)	5 MONTHS ENDED AUGUST 31 2016	2015	
Payments to Public Authorities:										
City University Construction	\$ -	\$ 123,698,459	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 123,698,459	\$ 166,518,520	\$ (42,820,061)
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	163,886,551	-	163,886,551	151,425,995	12,460,556
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	14,206,759	-	-	-	-	14,206,759	14,100,513	106,246
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Education	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	69,156,250	-	-	69,156,250	71,839,317	(2,683,067)
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	1,616,684	-	-	-	-	-	1,616,684	2,711,049	(1,094,365)
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	7,391,891	-	7,391,891	13,734,524	(6,342,633)
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	-	-	340,027	-	-	-	340,027	-	340,027
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	42,043,432	-	-	-	-	-	42,043,432	42,041,932	1,500
Thruway Authority:										
Dedicated Highway and Bridge	-	125,426,685	-	-	-	-	-	125,426,685	330,625,840	(205,199,155)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	9,505,626	-	9,505,626	28,577,186	(19,071,560)
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 292,785,260	\$ 14,206,759	\$ 340,027	\$ 69,156,250	\$ 180,784,068	\$ -	\$ 557,272,364	\$ 821,574,876	\$ (264,302,512)

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF AUGUST 2016
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)**

SCHEDULE 6

	<u>AUGUST 2016</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE AUGUST 2015</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 11,927.1	\$ 12,839.4	\$ 13,640.1
AVERAGE YIELD (**)	0.483%	0.472%	0.120%
TOTAL INVESTMENT EARNINGS	\$ 4.701	\$ 24.530	\$ 7.042

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>AUGUST 2016 PAR AMOUNT</u>	<u>AUGUST 2015 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 236.0	\$ 410.0
REPURCHASE AGREEMENTS	33.4	29.1
COMMERCIAL PAPER	10,754.3	10,960.4
CERTIFICATES OF DEPOSIT/SAVINGS	1,717.7	2,478.5
0% COMPENSATING BALANCE CDs	5,220.0	5,155.0
	<u>\$ 17,961.4</u>	<u>\$ 19,033.0</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2016-17

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	5 Months Ended August 31, 2016
OPENING CASH BALANCE	\$ 77,568,773	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242								\$ 77,568,773
RECEIPTS:													
Cigarette Tax	69,906,681	70,465,929	86,962,921	74,545,709	85,674,331								387,555,571
State Share of NYC Cigarette Tax	2,868,000	2,696,000	3,730,000	3,008,000	3,533,000								15,835,000
STIP Interest	157,862	85,296	108,226	165,893	146,816								664,093
Public Asset Transfers	-	-	-	-	-								-
Assessments	360,143,517	426,849,020	432,946,966	417,613,538	384,220,036								2,021,773,077
Fees	466,000	1,000,000	2,485,086	384,000	4,816,844								9,151,930
Rebates	2,758,000	2,854,691	970,588	13,794,356	1,052,475								21,430,110
Restitution and Settlements	-	-	-	-	-								-
Miscellaneous	-	1,669	51	-	-								1,720
Total Receipts	436,300,060	503,952,605	527,203,838	509,511,496	479,443,502	-	-	-	-	-	-	-	2,456,411,501
DISBURSEMENTS:													
Grants	321,080,063	525,480,383	418,899,454	624,008,882	449,917,568								2,339,386,350
Interest - Late Payments	2,682	597	308	(1,371)	104								2,320
Personal Service	471,992	841,268	1,134,203	871,453	1,204,427								4,523,343
Non-Personal Service	955,757	1,058,414	3,755,806	1,034,274	2,924,856								9,729,107
Employee Benefits/Indirect Costs	-	764,454	787,216	-	48,218								1,599,888
Total Disbursements	322,510,494	528,145,116	424,576,987	625,913,238	454,095,173	-	-	-	-	-	-	-	2,355,241,008
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-								-
Transfers to General Fund	-	-	17,526	-	-								17,526
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200								1,306,200
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-								-
Empire State Stem Cell Trust Account	15,148,000	-	-	-	-								15,148,000
Transfers to SUNY Income Fund	1,329,292	435,830	662,262	126,785	1,204,004								3,758,173
Total Operating Transfers	16,477,292	435,830	679,788	126,785	2,510,204	-	-	-	-	-	-	-	20,229,899
Total Disbursements and Transfers	338,987,786	528,580,946	425,256,775	626,040,023	456,605,377	-	-	-	-	-	-	-	2,375,470,907
CLOSING CASH BALANCE	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242	\$ 158,509,367	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 158,509,367

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	5 Months Ended August 31, 2016 (**)
AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM	\$ 4,095,000				
CIGARETTE STRIKE TASK FORCE		\$ 328,395	\$ -	\$ -	\$ 328,395
CENTER FOR COMMUNITY HEALTH PROGRAM	144,408,082				
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-
CENTER FOR COMMUNITY HLTH		757,361	163,909	217,654	1,138,924
EVIDENCE BASED CANCER SVC		-	-	-	-
FAMILY PLANNING		-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-
INDIAN HEALTH PROGRAM		-	-	-	-
LEAD POISONING PREVENTION		-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-
RAPE CRISIS		-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-
TUBERCULOSIS		-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	992,662,000				
CHILD HEALTH INSURANCE		41,581,936	15,540,089	12,385,168	69,507,193
COMMUNITY SUPPORT PROGRAM	225,000				
COMMUNITY SUPPORT		15,000	-	15,000	30,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	283,964,000				
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		26,862,218	12,830,063	13,827,006	53,519,287
HEALTH CARE REFORM ACT PROGRAM	1,656,797,714				
AIDS DRUG ASSISTANCE		-	-	20,000,000	20,000,000
AMBULATORY CARE TRAINING		39,778	396,594	164,465	600,837
AREA HEALTH EDUCATION CENTER		-	-	973,510	973,510
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		208,955	-	-	208,955
DIVERSITY IN MEDICINE		-	-	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		-	-	-	-
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		881,738	163,090	1,093,747	2,138,575
INFERTILITY SERVICES GRANTS		217,593	312,788	127,491	657,872
MEDICAL INDEMNITY FUND		-	-	-	-
PART 405.4 HOSPITAL AUDITS		-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		356,308	-	194,032	550,340
PAY FOR PERFORMANCE		-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		112,400,000	-	-	112,400,000
PHYSICIAN LOAN REPAYMENT		312,030	300,284	74,874	687,188
PHYSICIAN PRACTICE SUPPORT		-	100,208	-	100,208
PHYSICIAN WORKFORCE STUDIES		-	179,139	-	179,139
POISON CONTROL CENTERS		-	-	-	-
POOL ADMINISTRATION		675,087	203,538	436,861	1,315,486

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	5 Months Ended August 31, 2016 (**)
ROSWELL PARK CANCER INSTITUTE		21,777,000	21,777,000	-	43,554,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-
RURAL HEALTH CARE ACCESS		1,741,691	784,781	1,013,614	3,540,086
RURAL HEALTH NETWORK		1,400,264	310,692	794,915	2,505,871
SCHOOL BASED HEALTH CENTERS		-	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	-	-
TOBACCO USE PREVENTION/CONTROL		-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-
MEDICAL ASSISTANCE PROGRAM	26,993,428,000				
BREAST AND CERVICAL CANCER		-	-	-	-
DISABLED PERSONS		-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-
MEDICAID INDIGENT CARE		178,896,179	156,060,320	64,269,387	399,225,886
MEDICAL ASSISTANCE		864,000,000	415,000,000	338,000,000	1,617,000,000
NYC MEDICAID		-	-	-	-
PHYSICIAN SERVICES		-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	5,834,000				
OFFICE OF HEALTH INSURANCE		347,101	68,572	89,238	504,911
OFFICE OF HEALTH SYSTEMS MANAGEMENT	61,229,975				
OFFICE HEALTH SYSTEMS MANAGEMENT		4,790,711	1,659,847	1,261,615	7,712,173
OFFICE OF LONG TERM CARE	6,748,101				
ADULT HOME INITIATIVE		-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-
REVENUE, PROCESSING & RECONCILIATION	4,095,000				
REVENUE, PROCESSING & RECONCILIATION		469,734	189,234	359,892	1,018,860
TOTAL	30,153,486,872	1,277,659,079	626,040,148	455,298,469	2,358,997,696
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000				
Reclass of SUNY Hospital Disprop Share to Transfer		(2,427,384)	(126,785)	(1,204,004)	(3,758,173)
Reconciling Adjustment (P-Card and T-Card)		902	(125)	708	1,485
TOTAL APPROPRIATED AMOUNT	\$ 30,153,575,872	\$ 1,275,232,597	\$ 625,913,238	\$ 454,095,173	\$ 2,355,241,008

(*) Includes amounts appropriated in SFY 2016-17, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016-17
OPENING CASH BALANCE	\$ 299,817,850.44	\$ 318,000,880.07	\$ 188,358,671.38	\$ 299,817,850.44
RECEIPTS:				
Patient Services	814,872,120.28	188,476,958.36	339,202,422.12	1,342,551,500.76
Covered Lives	292,485,785.74	59,392,723.25	136,621,362.36	488,499,871.35
Provider Assessments	27,190,651.94	6,686,395.83	11,696,346.66	45,573,394.43
1% Assessments	93,651,580.00	29,906,767.12	35,308,628.00	158,866,975.12
DASNY- MOE/Recast receivables	-	-	-	-
Interest Income	46,323.73	18,915.41	27,683.90	92,923.04
Unassigned	(191,258.37)	(21,319.74)	119,432.00	(93,146.11)
Total Receipts	1,228,055,203.32	284,460,440.23	522,975,875.04	2,035,491,518.59
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	-	-
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,228,055,203.32	284,460,440.23	522,975,875.04	2,035,491,518.59
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,063,288.00	3,510,889.00	3,215,254.00	16,789,431.00
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	10,063,288.00	3,510,889.00	3,215,254.00	16,789,431.00
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(1,074,026,803.03)	(225,025,113.93)	(449,004,993.65)	(1,748,056,910.61)
Indigent Care Fund (matched)	(159,632,267.81)	(191,729,594.82)	64,660,467.45	(286,701,395.18)
Indigent Care Fund (non-matched)	13,723,609.15	(858,829.17)	125,266.88	12,990,046.86
Total Other Financing Uses	(1,219,935,461.69)	(417,613,537.92)	(384,219,259.32)	(2,021,768,258.93)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	18,183,029.63	(129,642,208.69)	141,971,869.72	30,512,690.66
CLOSING CASH BALANCE	\$ 318,000,880.07	\$ 188,358,671.38	\$ 330,330,541.10	\$ 330,330,541.10

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2016-17**

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016-17
OPENING CASH BALANCE	\$ 3,139.74	\$ -	\$ 777.11	\$ 3,139.74
RECEIPTS:				
Interest Income	901.20	777.11	1,146.09	2,824.40
Total Receipts	<u>901.20</u>	<u>777.11</u>	<u>1,146.09</u>	<u>2,824.40</u>
PROGRAM DISBURSEMENTS:				
Indigent Care	(150,207,124.26)	(191,032,138.82)	(64,597,100.89)	(405,836,363.97)
High Need Indigent Care	-	-	-	-
Other	(887,410.66)	58,694,418.83	6,113,839.37	63,920,847.54
Total Program Disbursements	<u>(151,094,534.92)</u>	<u>(132,337,719.99)</u>	<u>(58,483,261.52)</u>	<u>(341,915,516.43)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(151,093,633.72)</u>	<u>(132,336,942.88)</u>	<u>(58,482,115.43)</u>	<u>(341,912,692.03)</u>
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	79,816,133.91	95,864,797.41	32,330,233.73	208,011,165.05
HCRA Resources Indigent Care - Unmatched	(8,437,132.99)	481,562.29	(63,366.56)	(8,018,937.26)
HCRA Resources Indigent Care - ATB	(25,357.85)	(1,172,080.00)	-	(1,197,437.85)
Federal DHHS Fund	79,816,133.90	95,864,797.41	32,330,233.72	208,011,165.03
Other	-	-	361,570.00	361,570.00
Total Other Financing Sources	<u>151,169,776.97</u>	<u>191,039,077.11</u>	<u>64,958,670.89</u>	<u>407,167,524.97</u>
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(79,282.99)	(58,701,357.12)	(6,114,616.48)	(64,895,256.59)
Total Other Financing Uses	<u>(79,282.99)</u>	<u>(58,701,357.12)</u>	<u>(6,114,616.48)</u>	<u>(64,895,256.59)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(3,139.74)</u>	<u>777.11</u>	<u>361,938.98</u>	<u>359,576.35</u>
CLOSING CASH BALANCE	<u>\$ -</u>	<u>\$ 777.11</u>	<u>\$ 362,716.09</u>	<u>\$ 362,716.09</u>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2016-2017
(Amounts in thousands)

APPENDIX E

	2016 APRIL	2016 MAY	2016 JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016 OCTOBER	2016 NOVEMBER	2016 DECEMBER	2017 JANUARY	2017 FEBRUARY	2017 MARCH	2016-2017 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 1								\$ 1
Education - EXCEL	1,748	4,817	5,133	1,356	106								13,160
Department of Health - All Other	-	2	38	-	14								54
Community Enhancement Facilities Assistance Program (CEFAP)	1,084	-	-	-	90								1,174
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	165	712	302	61	520								1,760
Multi-modal	-	-	-	-	-								-
GenNysis	-	-	-	-	-								-
CUNY Senior Colleges	17,760	36,784	15,736	16,679	38,084								125,043
CUNY Community Colleges	995	3,479	3,603	1,042	7,491								16,610
SUNY Dormitories	64	157	10	-	-								231
Upstate Community Colleges	1,123	9,803	9,367	3,550	4,066								27,909
Mental Health	4,050	7,079	5,820	1,738	9,595								28,282
Developmental Disabilities	404	1,628	1,543	144	1,812								5,531
Alcoholism and Substance Abuse	158	843	278	44	278								1,601
Brooklyn Court Officer Training Academy	219	925	1,570	879	2,113								5,706
TOTAL DORMITORY AUTHORITY	27,770	66,229	43,400	25,493	64,170	-	-	-	-	-	-	-	227,062
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-								-
Community Capital Assistance Program (CCAP)	-	1	-	-	364								365
Empire Opportunity	-	-	-	-	-								-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-								-
State Facilities and Equipment	-	-	-	-	-								-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	1	-	-	364	-	-	-	-	-	-	-	365
TOTAL OFF-BUDGET	\$ 27,770	\$ 66,230	\$ 43,400	\$ 25,493	\$ 64,534	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 227,427

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	May 31, 2016	June 30, 2016	July 31, 2016	Change	August 31, 2016
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	-	7,779,728.57	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	35,521.45	(35,521.45)	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	103,199,151.01	118,543,724.65	124,445,011.27	13,283,970.34	137,728,981.61
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	161,176,566.50	169,902,868.47	177,750,135.01	6,888,800.75	184,638,935.76
31701	YOUTH FACILITIES IMPROVEMENT	25,085,895.77	7,778,140.12	9,506,845.79	1,763,779.75	11,270,625.54
31801	HOUSING ASSISTANCE	10,815,607.54	10,815,607.54	13,630,607.54	-	13,630,607.54
31851	HOUSING PROG FD-HSG TR FD CORP	19,608,622.21	19,608,622.21	45,010,122.21	-	45,010,122.21
31852	HOUSING PROG FD AFFORD HSG CORP	29,437,271.89	29,437,271.89	36,233,521.89	6,000,000.00	42,233,521.89
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	111,326,595.97	110,636,927.07	110,636,927.07	-	110,636,927.07
31854	HOUSING PROG FD-HFA	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	May 31, 2016	June 30, 2016	July 31, 2016	Change	August 31, 2016
31951	HIGHWAY FAC PURPOSE	12,567,319.73	12,645,959.92	12,661,414.24	-	12,661,414.24
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32215	IT CAPITAL FINANCING ACCT	46,594.92	46,611.15	46,629.07	6,759.28	53,388.35
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	145,319,688.05	145,893,117.44	145,091,797.37	1,880,159.23	146,971,956.60
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	212,784,387.15	218,484,387.15	222,214,387.15	1,250,000.00	223,464,387.15
32306	DASNYS - OMH ADMIN	36,475,537.35	36,479,662.82	36,479,662.82	(7,862,914.06)	28,616,748.76
32307	DASNYS - OPWDD ADMIN	2,906,639.89	5,516,639.89	5,516,639.89	(998,146.57)	4,518,493.32
32308	DASNYS - OASAS ADMIN	753,554.67	753,554.67	753,554.67	(197,277.79)	556,276.88
32309	OMH -STATE FACILITIES	47,063,795.51	51,068,982.49	53,897,821.72	6,566,355.02	60,464,176.74
32310	OPWDD -STATE FACILITIES	-	-	-	-	-
32311	OASAS -STATE FACILITIES	1,759,653.38	1,778,970.38	176,494.68	166,280.00	342,774.68
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	63,490,929.65	44,179,748.32	66,441,946.92	33,345,574.39	99,787,521.31
33001	STORM RECOVERY ACCOUNT	54,134,551.17	55,560,010.08	51,076,487.20	2,675,449.41	53,751,936.61
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,038,106,112.36	1,047,064,284.83	1,111,759,277.96	64,733,268.30	1,176,492,546.26
	STATE SPECIAL REVENUE FUNDS					
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	8,294,836.49	23,834,925.59	(23,834,925.59)	-
20818	EPIC PREMIUM ACCOUNT	-	6,964,230.67	5,660,937.29	(5,660,937.29)	-
20901	LOTTERY-EDUCATION	-	-	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,703,245.38	3,937,938.13	4,076,204.77	120,933.23	4,197,138.00
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	360,880.65	1,358,365.80	2,009,583.71	814,391.10	2,823,974.81
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,279,283.68	4,418,630.19	3,920,670.30	312,089.83	4,232,760.13
21067	ENCON-RECREATION	10,540,072.58	10,248,517.17	9,237,060.13	(790,096.43)	8,446,963.70
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	32,079,319.82	32,218,470.80	31,414,765.56	5,635,612.75	37,050,378.31
21082	NATURAL RESOURCES ACCOUNT	18,385,407.15	18,322,779.81	17,555,781.23	425,332.46	17,981,113.69
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	149,473.69	200,546.61	244,011.26	126,938.47	370,949.73
21202	HEALTH DEPT OIL SPILL	50,225.06	76,757.73	90,472.78	18,575.96	109,048.74
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	3,223,838.00	4,545,362.83	5,440,132.32	3,075,031.33	8,515,163.65
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	55,878,708.91	55,878,708.91
21451	OPERATING PERMIT PROGRAM	20,400,287.99	21,317,194.84	21,718,252.98	1,077,753.43	22,796,006.41
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	-	8,371,336.25	10,960,986.87	2,546,959.13	13,507,946.00
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	368,010.26	595,625.18	141,782.67	145,392.81	287,175.48
21912	RACING REGULATION ACCOUNT	5,941,043.57	6,261,055.63	5,827,751.51	(180,219.89)	5,647,531.62
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	20,050,602.14	17,164,549.34	16,403,065.34	818,242.04	17,221,307.38
21937	SU DORM INCOME REIMBURSE	154,681.79	530,020.04	392,035.90	(154,531.38)	237,504.52
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	12,711,830.57	13,437,945.40	11,402,888.40	(460,494.01)	10,942,394.39
21978	INDIRECT COST RECOVERY	-	-	257,835.36	(257,835.36)	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	16,064.18	60,049.78	(60,049.78)	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	840,047.33	860,499.39	887,461.29	13,822.07	901,283.36
22007	PARKING ACCOUNT	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	205,723.13	218,180.56	188,784.50	9,120.90	197,905.40
22032	BATAVIA SCHOOL FOR THE BLIND	7,148,922.77	7,335,375.84	5,126,660.39	1,187,349.87	6,314,010.26
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	382,872.82	932,434.76	178,319.91	568,033.32	746,353.23
22046	REGULATION INDIAN GAMING	68,647,088.38	60,819,235.04	60,219,332.03	1,185,218.27	61,404,550.30
22053	ROME SCHOOL FOR THE DEAF	148,848.09	1,075,274.22	133,076.60	994,267.09	1,127,343.69
22054	DSP-SEIZED ASSETS	10,953,828.51	8,654,245.04	8,569,357.87	(63,735.66)	8,505,622.21

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	May 31, 2016	June 30, 2016	July 31, 2016	Change	August 31, 2016
22055	ADMINISTRATIVE ADJUDICATION	2,565,087.29	1,889,782.35	290,050.78	(290,050.78)	-
22056	FEDERAL SALARY SHARING	470,086.70	609,734.03	793,804.99	99,236.91	893,041.90
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	3,928,552.08	3,494,748.96	2,625,727.79	1,498,736.63	4,124,464.42
22078	LOCAL SERVICE ACCOUNT	289,690.46	449,018.03	497,223.29	173,742.92	670,966.21
22085	DHCR MORTGAGE SERVICES	4,082,894.46	4,647,626.58	5,045,782.85	(468,485.77)	4,577,297.08
22087	DMV-COMPULSORY INS PRGM	1,955,337.34	646,762.72	646,762.72	(296,892.00)	349,870.72
22090	HOUSING INDIRECT COST RECOVERY	2,927,205.08	3,321,780.02	3,608,536.04	279,318.26	3,887,854.30
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	217,477.87	451,290.00	501,545.17	89,138.41	590,683.58
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	52,784.67	91,811.33	123,695.92	79,895.62	203,591.54
22156	RENT REVENUE OTHER - NYC	1,043,819.27	4,349,598.58	-	-	-
22158	RENT REVENUE	845,478.34	853,261.39	699,587.03	(210,119.88)	489,467.15
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	19,450,416.26	19,457,957.42	19,465,437.17	8,047.26	19,473,484.43
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	8,055,568.84	8,336,737.24	8,417,198.55	117,421.65	8,534,620.20
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-
23102	DOH DRINKING WATER PROGRAM	6,048,175.83	5,984,237.62	5,984,237.62	-	5,984,237.62
23151	NYCCC OPERATING OFFSET	29,040,681.87	32,063,246.87	34,350,006.38	2,203,571.88	36,553,578.26
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	5,524,951.87	5,742,861.66	5,795,003.40	(1,813,706.99)	3,981,296.41
	TOTAL STATE SPECIAL REVENUE FUNDS	307,223,741.59	330,565,926.74	334,796,786.04	44,960,801.70	379,757,587.74
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	9,505,144.35	8,406,449.76	10,467,989.40	(5,922,843.74)	4,545,145.66
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	609,156,954.80	211,857,112.37	190,056,843.23	173,922,918.37	363,979,761.60
25200-25249	FEDERAL EDUCATION GRANTS FUND	18,777,934.75	7,248,015.03	11,495,965.04	6,253,913.56	17,749,878.60
25300-25899	FEDERAL OPERATING GRANTS FUND	344,884,009.54	342,474,625.73	338,234,665.40	22,744,567.82	360,979,233.22
31351	MILITARY AND NAVAL AFFAIRS	6,982,327.77	7,003,440.77	7,059,946.77	1,660.00	7,061,606.77
31354	DEPARTMENT OF TRANSPORTATION	381,537,564.49	382,175,659.36	406,200,828.13	8,776,757.06	414,977,585.19
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	124,074,996.47	49,070,288.02	64,522,002.54	(22,630,322.19)	41,891,680.35
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	12,099,077.97	13,674,553.42	13,514,045.13	(1,494,729.15)	12,019,315.98
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	954,061.67	4,828,639.15	6,774,549.35	(5,121,993.02)	1,652,556.33
	TOTAL FEDERAL FUNDS	1,507,972,071.81	1,026,738,783.61	1,048,326,834.99	176,529,928.71	1,224,856,763.70
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	-	-	-	-	-
50327	EMPIRE PLAZA GIFT SHOP	-	-	12,580.89	9,001.91	21,582.80
	TOTAL ENTERPRISE FUND	-	-	12,580.89	9,001.91	21,582.80
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	1,141,068.38	1,315,834.80	1,419,601.70	40,115.20	1,459,716.90
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	2,982,982.56	2,757,675.91	2,641,698.98	328,648.08	2,970,347.06
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	402,709.80	-	24,401.84	(24,401.84)	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	276,484.70	566,164.30	226,195.48	(10,201.05)	215,994.43
55008	CENTRALIZED SERVICES-PASNY	20,339,280.51	19,879,908.63	17,226,524.95	12,110,935.84	29,337,460.79
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	-	-	-
55011	CENTRALIZED SERVICES-INSURANCE	-	-	-	299,479.02	299,479.02
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	101,047.21	179,512.21	166,746.71	41,435.98	208,182.69
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54
55017	DOWNSTATE WAREHOUSE	407,381.27	599,990.99	580,982.29	117,429.04	698,411.33
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	35,581,741.94	39,042,427.44	39,480,985.54	9,674,162.85	49,155,148.39
55021	NYS MEDIA CENTER	3,924,507.63	3,974,079.91	4,125,376.16	146,317.80	4,271,693.96

(**)

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	May 31, 2016	June 30, 2016	July 31, 2016	Change	August 31, 2016
55022	BUSINESS SERVICES CENTER	-	-	-	-	-
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	123,310.29	123,310.29
55057	BANKING SERVICES ACCOUNT	19,202.97	239,912.22	17,371.19	86,430.98	103,802.17
55058	CULTURAL RESOURCE SURVEY	3,590,254.15	3,986,495.98	4,110,951.25	347,591.81	4,458,543.06
55060	AUTOMATIC/PRINT CHARGBACKS	1,412,819.67	2,854,245.50	1,738,062.92	(459,852.22)	1,278,210.70
55061	OFT NYT ACCT	3,910,747.97	5,669,746.06	5,710,073.80	105,211.90	5,815,285.70
55062	DATA CENTER ACCOUNT	52,387,777.73	52,387,777.73	52,338,552.49	-	52,338,552.49
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	100,459.24	94,519.05	140,724.23	26,436.80	167,161.03
55069	CENTRALIZED TECHNOLOGY SERVICES	76,142,113.96	74,186,236.34	71,929,261.53	1,209,575.42	73,138,836.95
55071	LABOR CONTACT CENTER ACCT	266,662.08	449,003.98	534,539.65	97,262.65	631,802.30
55072	HUMAN SERVICES CONTACT CNTR ACCT	1,506,171.55	2,590,161.63	600,721.91	(124,083.58)	476,638.33
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	3,562,203.93	4,098,577.26	4,264,929.67	563,194.42	4,828,124.09
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	-	1,237,412.89	2,440,355.73	2,728,359.37	5,168,715.10
55300	HEALTH INSURANCE INTERNAL SERVICE	8,012,861.67	8,351,918.23	9,244,585.79	1,640,477.26	10,885,063.05
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	7,118,842.12	7,320,524.00	7,454,183.21	406,732.33	7,860,915.54
55350	CORR INDUSTRIES INTERNAL SERVICE	23,821,462.61	25,002,455.88	24,283,143.08	(1,137,376.18)	23,145,766.90
	TOTAL INTERNAL SERVICE FUNDS	248,297,329.46	258,073,126.75	251,988,515.91	28,337,192.17	260,325,708.08
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 3,101,599,255.22	\$ 2,662,442,121.93	\$ 2,746,883,995.79	\$ 314,570,192.79	\$ 3,061,454,188.58

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
 DEDICATED INFRASTRUCTURE INVESTMENT FUND (*)
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2016-17

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	5 Months Ended August 31, 2016
OPENING CASH BALANCE	\$ 110,333,290	\$ 102,733,518	\$ 137,375,142	\$ 155,295,707	\$ 245,594,653								\$ 110,333,290
RECEIPTS:													
Transfers from General Fund (**)	40,000,000	100,000,000	98,550,000	125,000,000	-								363,550,000
Total Receipts	40,000,000	100,000,000	98,550,000	125,000,000	-	-	-	-	-	-	-	-	363,550,000
DISBURSEMENTS:													
Broadband Initiative	-	-	-	-	-								-
Health Care / Hospital Initiatives	-	-	-	-	-								-
Information Technology/Infrastructure for Behavioral Sciences	-	1,395	14,305	12,360	22,780								50,840
Infrastructure Improvements	159,949	1,997,342	13,030,085	2,512,984	1,734,050								19,434,410
Municipal Restructuring	-	1,909,118	205,840	410,631	259,424								2,785,013
Penn Station Access	-	-	-	-	-								-
Resiliency, Mitigation, Security and Emergency Response	1,340,487	2,853,343	8,001,370	7,403,988	9,471,695								29,070,883
Southern Tier / Hudson Valley Farm Initiative	-	32,914	-	5,875	2,993,397								3,032,186
Thruway Stabilization Program	46,099,336	58,564,264	59,377,835	24,355,216	32,641,445								221,038,096
Transformative Economic Development Projects	-	-	-	-	-								-
Upstate Revitalization Program	-	-	-	-	-								-
Total Disbursements	47,599,772	65,358,376	80,629,435	34,701,054	47,122,791	-	-	-	-	-	-	-	275,411,428
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-								-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	47,599,772	65,358,376	80,629,435	34,701,054	47,122,791	-	-	-	-	-	-	-	275,411,428
CLOSING CASH BALANCE	\$ 102,733,518	\$ 137,375,142	\$ 155,295,707	\$ 245,594,653	\$ 198,471,862	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 198,471,862

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL§ 93-b
 (**) Pursuant to Chapter 54, Laws of 2016-17, Part UU