

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF STATE SERVICES
BUREAU OF ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
JANUARY 2004**



**ALAN G. HEVESI
COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(in millions)**

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
		MONTH OF	10 MO. ENDED	MONTH OF	10 MO. ENDED	MONTH OF	10 MO. ENDED	MONTH OF	10 MO. ENDED	MONTH OF	10 MO. ENDED	MONTH OF	10 MO. ENDED
		JAN. 2004	JAN. 31, 2004	JAN. 2004	JAN. 31, 2004	JAN. 2004	JAN. 31, 2004	JAN. 2004	JAN. 31, 2004	JAN. 2004	JAN. 31, 2004	JAN. 2003	JAN. 31, 2003
RECEIPTS:													
Personal Income Tax	(1)	\$2,977.4	\$14,258.5	\$ --	\$2,655.0	\$992.5	\$4,543.7	\$ --	\$ --	\$3,969.9	\$21,457.2	\$3,607.2	\$21,406.8
Consumption/Use Taxes and Fees	(2)	639.6	6,641.3	52.5	527.0	180.8	1,892.4	97.4	926.0	970.3	9,986.7	870.2	9,081.1
Business Taxes		62.2	2,352.8	47.5	761.9	--	--	53.3	484.9	163.0	3,599.6	174.2	3,648.9
Other Taxes		66.4	688.9	--	--	37.6	337.2	11.2	89.6	115.2	1,115.7	69.1	1,009.9
Miscellaneous Receipts	(8)	65.8	5,471.1	1,363.1	8,761.2	86.6	670.5	180.2	1,618.1	1,695.7	16,520.9	1,188.0	11,605.9
Federal Grants		0.7	652.4	2,845.2	28,202.3	--	--	148.2	1,256.1	2,994.1	30,110.8	2,443.7	26,890.7
Total Receipts		3,812.1	30,065.0	4,308.3	40,907.4	1,297.5	7,443.8	490.3	4,374.7	9,908.2	82,790.9	8,352.4	73,643.3
DISBURSEMENTS:													
Local Assistance Grants:	(3)												
General Purpose		2.1	673.9	--	--	--	--	--	--	2.1	673.9	--	709.0
Education		419.2	10,146.2	321.1	6,521.4	--	--	--	0.1	740.3	16,667.7	613.3	14,419.7
Social Services		670.6	7,716.0	2,596.0	22,251.7	--	--	1.5	1.5	3,268.1	29,969.2	2,667.6	27,668.4
Health and Environment		92.5	464.2	128.1	1,635.4	--	--	--	157.3	220.6	2,256.9	266.4	2,238.4
Mental Hygiene		120.3	874.1	42.6	221.7	--	--	3.1	19.6	166.0	1,115.4	150.4	1,079.3
Transportation		--	145.7	55.5	1,503.3	--	--	64.7	271.7	120.2	1,920.7	51.1	2,028.1
Criminal Justice		18.5	125.8	10.4	149.2	--	--	--	--	28.9	275.0	25.1	238.0
SEMO and Disaster Assistance		2.6	22.3	18.1	1,513.5	--	--	--	--	20.7	1,535.8	53.8	923.5
Miscellaneous		23.7	322.8	33.2	571.6	--	--	11.2	57.7	68.1	952.1	128.2	1,055.8
Total Local Assistance Grants		1,349.5	20,491.0	3,205.0	34,367.8	--	--	80.5	507.9	4,635.0	55,366.7	3,955.9	50,360.2
Departmental Operations:													
Personal Service		310.5	5,011.9	450.2	3,411.7	--	--	--	--	760.7	8,423.6	771.0	8,582.2
Non-Personal Service		162.2	1,537.9	234.6	2,468.6	--	6.9	--	--	396.8	4,013.4	452.8	4,057.3
General State Charges		245.2	2,761.8	48.0	472.5	--	--	--	--	293.2	3,234.3	340.7	2,837.8
Debt Service, Including Payments on													
Financing Agreements	(4)	--	--	--	--	100.9	2,502.6	--	--	100.9	2,502.6	83.7	2,308.7
Capital Projects	(5)	--	--	0.2	8.0	--	--	295.7	3,035.4	295.9	3,043.4	285.6	3,239.6
Total Disbursements		2,067.4	29,802.6	3,938.0	40,728.6	100.9	2,509.5	376.2	3,543.3	6,482.5	76,584.0	5,889.7	71,385.8
Excess (Deficiency) of Receipts over Disbursements		1,744.7	262.4	370.3	178.8	1,196.6	4,934.3	114.1	831.4	3,425.7	6,206.9	2,462.7	2,257.5
OTHER FINANCING SOURCES (USES):													
Bond Proceeds (net)		--	--	--	--	--	--	--	139.5	--	139.5	--	--
Transfers from Other Funds	(6)	1,245.6	6,639.1	271.6	2,527.0	316.0	4,009.3	25.2	207.4	1,858.4	13,382.8	1,669.1	12,137.1
Transfers to Other Funds	(6)	(66.8)	(1,781.4)	(268.4)	(2,123.0)	(1,452.6)	(8,717.0)	(71.1)	(823.3)	(1,858.9)	(13,444.7)	(1,668.9)	(12,182.6)
Total Other Financing Sources (Uses)		1,178.8	4,857.7	3.2	404.0	(1,136.6)	(4,707.7)	(45.9)	(476.4)	(0.5)	77.6	0.2	(45.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		2,923.5	5,120.1	373.5	582.8	60.0	226.6	68.2	355.0	3,425.2	6,284.5	2,462.9	2,212.0
Beginning Fund Balances (Deficit)	(7)	3,012.0	815.4	1,247.9	1,038.6	324.7	158.1	(503.7)	(790.5)	4,080.9	1,221.6	1,783.0	2,033.9
Ending Fund Balances (Deficit)	(7)	\$5,935.5	\$5,935.5	\$1,621.4	\$1,621.4	\$384.7	\$384.7	(\$435.5)	(\$435.5)	\$7,506.1	\$7,506.1	\$4,245.9	\$4,245.9

GOVERNMENTAL FUNDS FOOTNOTES

1. A portion of personal income tax receipts is also transferred to the State Special Revenue-School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners. Local Assistance Education grant payments total \$180.0m for the month of September, \$35.0m for October, \$99.7m for November, \$2,144.4m for December and \$180.0m for January.

For a complete analysis of tax receipts, please refer to Exhibit E.

2. More than 60% of the proceeds from the State cigarette tax of \$1.50 (per pack) are deposited in the Tobacco Control and Insurance Initiatives Pool established in the Health Care Reform Act of 2000 (HCRA). General Fund receipts do not include \$515.4m of cigarette tax receipts, which were collected by the State and transferred, off budget, to the Tobacco Control and Insurance Initiatives Pool.

3. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in February 2004:

Federal DHHS (Medicaid)	\$178.2 million
Federal DHHS (All Other)	73.4
Federal USDA/Food and Consumer Services	15.6
Federal DHHS/Block Grant	2.9
Federal Education	5.5
Federal Miscellaneous Operating Grants	-
Federal Employment and Training Grants	1.6
Federal WTC Grants	-

4. Total debt service disbursements include:

Principal and interest on general obligation bonds	\$333.5 million
Lease-purchase/contractual obligation payments	2,169.1

5. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Youth Facilities)	\$.9 million
Urban Development Corporation (Correctional Facilities)	23.3
Housing Finance Agency (HFA)	72.0
Dormitory Authority (MCFFA)	227.2
Dormitory Authority and State University Income Fund	16.9
Federal Capital Projects	138.1
State bond and note proceeds	4.4

6. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers To Other Funds" includes transfers to the following funds:

State Capital Projects	\$187.1 million
General Debt Service	1,200.0
Court Facilities Incentive Aid	45.2
New York City County Clerks' Operating	20.0
Miscellaneous Special Revenue	16.1
Indigent Legal Services	6.0

Judiciary Data Processing Offset	13.8
Banking Services	67.1
State University Income Fund	148.7

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$25.4m) and Special Revenue Funds (\$48.0m).

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds (\$1,966.9m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$23.2m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

Also included in Special Revenue Funds are transfers to the General Fund from the following:

Miscellaneous State Special Revenue Fund	\$61.6 million
Encon Special Revenue Fund	2.0
Training and Education Program on OSHA	4.6
Hazardous Waste Remedial Fund	23.4
Federal Operating Grants	11.0
Tribal State Compact Account	29.2

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,421.7 million
Local Government Assistance Tax	1,789.9
Clean Water/Clean Air	261.8
Housing Debt Fund	1.8

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$94.5m), Mental Hygiene (\$1,933.2m) and the State University (\$192.7m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Debt Service Fund (\$792.7m) and the General Fund (\$30.6m).

7. Governmental fund balances (current & prior year) reflect the implementation of GASB Statement 34. **The reclassifications are as follows:**

Non-Expendable Trust Fund Group to Special Revenue Fund Group

Expendable Trust Funds to Special Revenue Fund Group

- Mental Health Gifts and Donations
- Combined Expendable Trust
- Archives Partnership Trust
- Winter Sports Education Trust

Expendable Trust Funds to Private Purpose Trust Group

- Agriculture Producer's Security
- Milk Producer's Security

Expendable Trust Funds to Enterprise Fund

- Unemployment Insurance Benefit

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	10 Months Ended January 31		Increase/ (Decrease)
					2004	2003	
	(amounts in millions)						
Abandoned and Unclaimed Property	\$ 319.9	\$ --	\$ --	\$ --	\$ 319.9	\$ 568.5	\$ (248.6)
Interest Earnings	3.3	33.4	4.8	5.8	47.3	74.5	(27.2)
Receipts from Public Authorities:							
Bond Issuance Fees	94.0	19.4	--	--	113.4	129.6	(16.2)
Cost Recovery Assessments	--	13.8	--	--	13.8	9.5	4.3
Tobacco Settlement Financing Corporation (1)	4,200.0	--	--	--	4,200.0	--	4,200.0
Housing Finance Agency	--	--	--	--	0.0	50.0	(50.0)
State of New York Mortgage Agency	--	--	--	--	--	150.0	(150.0)
Bond Proceeds							
Dormitory Authority	--	--	--	47.4	47.4	72.4	(25.0)
Dormitory Authority for SUCF	--	82.1	--	171.0	253.1	164.4	88.7
Empire State Dev Corp	--	--	--	187.0	187.0	366.1	(179.1)
Environmental Fac Corp	--	--	--	12.9	12.9	--	12.9
Hudson River Park Trust	--	--	--	14.6	14.6	9.9	4.7
Housing Finance Agency	--	--	--	64.1	64.1	--	64.1
Thruway Authority	--	--	--	1,060.0	1,060.0	473.0	587.0
All Other	55.6	60.9	--	0.3	116.8	127.1	(10.3)
Refunds and Reimbursements:							
Return of Adelpia Project Funds	--	--	50.0	--	50.0	--	50.0
SUNY Contracts and Grants	--	240.1	--	--	240.1	275.1	(35.0)
Receipts from Municipalities	0.4	5.0	27.0	--	32.4	33.8	(1.4)
Women, Infants and Children Rebates	--	85.6	--	--	85.6	93.7	(8.1)
HESC Student Loan Recoveries	--	74.3	--	--	74.3	65.8	8.5
Admin Recoveries - Collection of Local Taxes	42.7	23.5	--	--	66.2	70.9	(4.7)
Indirect Cost Assessments	46.3	--	--	--	46.3	56.3	(10.0)
All Other	25.4	106.5	4.8	14.2	150.9	138.2	12.7
Health Care Reform Act Transfers From:							
Health Care Initiatives Pool	--	69.4	--	--	69.4	41.0	28.4
Loans from HCRA Pools	--	--	--	--	--	200.0	(200.0)
Tobacco Control & Insurance Initiatives Pool	--	1,548.7	--	--	1,548.7	1,022.2	526.5
Tobacco Settlement Fund	--	--	--	--	--	91.0	(91.0)
Revenues of State Departments:							
Patient/Client Care	52.4	888.3	365.0	--	1,305.7	1,100.5	205.2
Medical Care Provider Assessments	132.3	1,077.8	--	--	1,210.1	1,441.6	(231.5)
Child Health Plus	--	269.8	--	--	269.8	355.3	(85.5)
Assessments against Regulated Industries	--	502.5	--	--	502.5	452.2	50.3
Student Tuition and Fees	--	847.8	218.8	--	1,066.6	921.9	144.7
Rental on World Trade Center	8.8	--	--	--	8.8	8.8	--
EPIC Premiums and Fees	--	158.9	--	--	158.9	118.4	40.5
Rentals and Leases	6.2	6.9	--	5.8	18.9	15.4	3.5
Miscellaneous Sales	6.6	14.4	--	0.3	21.3	28.9	(7.6)
All Other	6.4	28.1	--	10.1	44.6	83.5	(38.9)
Gaming:							
Lottery - Education	--	1,319.9	--	--	1,319.9	1,260.3	59.6
Lottery - Administration	--	380.5	--	--	380.5	355.2	25.3
VLT - Education	--	--	--	--	--	--	--
VLT - Administration	--	0.1	--	--	0.1	--	0.1
Casinos	--	38.9	--	--	38.9	--	38.9
Licenses and Fees	283.3	771.4	0.1	24.2	1,079.0	903.1	175.9
Fines	187.5	93.2	--	0.4	281.1	277.8	3.3
TOTAL	<u>\$ 5,471.1</u>	<u>\$ 8,761.2</u>	<u>\$ 670.5</u>	<u>\$ 1,618.1</u>	<u>\$ 16,520.9</u>	<u>\$ 11,605.9</u>	<u>\$ 4,915.0</u>

(1) Tobacco securitization bond proceeds

(2) Restated to reflect fund reclassifications for GASB 34 (See Exhibit A Note # 7)

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY (1)
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2003	10 MO. ENDED JAN. 31, 2003
RECEIPTS:								
Miscellaneous Receipts	\$6.3	\$65.4	\$34.2	\$349.3	\$40.5	\$414.7	\$64.9	\$518.0
Federal Grants	58.4	761.4	--	--	58.4	761.4	74.0	1,087.6
Unemployment Taxes	269.0	2,321.7	--	--	269.0	2,321.7	298.6	2,449.9
TOTAL RECEIPTS	333.7	3,148.5	34.2	349.3	367.9	3,497.8	437.5	4,055.5
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.6	8.7	7.9	89.2	8.5	97.9	9.6	108.3
Non-Personal Service	5.4	55.8	22.5	315.1	27.9	370.9	37.2	413.8
General State Charges	0.1	1.4	6.0	27.5	6.1	28.9	0.7	33.9
Debt Service, Including Payments on Financing Agreements	--	--	5.5	36.2	5.5	36.2	14.5	64.4
Unemployment Benefits	301.4	3,056.6	--	--	301.4	3,056.6	351.8	3,526.8
TOTAL DISBURSEMENTS	307.5	3,122.5	41.9	468.0	349.4	3,590.5	413.8	4,147.2
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	26.2	26.0	(7.7)	(118.7)	18.5	(92.7)	23.7	(91.7)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	1.6	67.1	1.6	67.1	--	45.6
Transfers to Other Funds	--	--	(1.0)	(1.0)	(1.0)	(1.0)	--	(0.1)
NET SOURCES (USES)	--	--	0.6	66.1	0.6	66.1	--	45.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	26.2	26.0	(7.1)	(52.6)	19.1	(26.6)	23.7	(46.2)
BEGINNING FUND EQUITY (DEFICITS)	15.8	16.0	(149.6)	(104.1)	(133.8)	(88.1)	(145.8)	(75.9)
ENDING FUND EQUITY (DEFICITS)	\$42.0	\$42.0	(\$156.7)	(\$156.7)	(\$114.7)	(\$114.7)	(\$122.1)	(\$122.1)

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (1)
(in millions)

EXHIBIT C

	PRIVATE PURPOSE TRUST			
	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2003	10 MO. ENDED JAN. 31, 2003
RECEIPTS:				
Miscellaneous Receipts	\$ 0.2	\$ 0.6	\$ (0.1)	\$ 0.2
TOTAL RECEIPTS	<u>0.2</u>	<u>0.6</u>	<u>(0.1)</u>	<u>0.2</u>
DISBURSEMENTS:				
Departmental Operations:				
Personal Service	--	0.2	0.1	0.3
Non-Personal Service	0.3	0.8	--	--
General State Charges	--	0.1	--	--
TOTAL DISBURSEMENTS	<u>0.3</u>	<u>1.1</u>	<u>0.1</u>	<u>0.3</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(0.1)</u>	<u>(0.5)</u>	<u>(0.2)</u>	<u>(0.1)</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds	--	--	--	--
Transfers to Other Funds	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.1)	(0.5)	(0.2)	(0.1)
BEGINNING FUND BALANCES	<u>9.4</u>	<u>9.8</u>	<u>9.8</u>	<u>9.7</u>
ENDING FUND BALANCES	<u>\$ 9.3</u>	<u>\$ 9.3</u>	<u>\$ 9.6</u>	<u>\$ 9.6</u>

(1) See Exhibit A, Footnote #7.

**STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2004
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2004
 (amounts in millions)**

EXHIBIT "D"

	GENERAL FUND		
	Financial Plan (1)	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2003	\$815.0	\$815.4	\$0.4
RECEIPTS:			
Taxes:			
Personal Income Tax	14,228.0	14,258.5	30.5
Consumption/Use Taxes:			
User Taxes and Fees	6,624.0	6,641.3	17.3
Business Taxes	2,352.0	2,352.8	0.8
Other Taxes	689.0	688.9	(0.1)
Tobacco Bond Proceeds	4,200.0	4,200.0	--
Federal Grants	645.0	652.4	7.4
Miscellaneous Receipts	1,268.0	1,271.1	3.1
Total Receipts	<u>30,006.0</u>	<u>30,065.0</u>	<u>59.0</u>
DISBURSEMENTS:			
Local Assistance Grants	20,384.0	20,491.0	(107.0)
Departmental Operations	6,550.0	6,549.8	0.2
General State Charges	2,762.0	2,761.8	0.2
Total Disbursements	<u>29,696.0</u>	<u>29,802.6</u>	<u>(106.6)</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>310.0</u>	<u>262.4</u>	<u>(47.6)</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	6,621.0	6,639.1	18.1
Transfers To Other Funds	(1,905.0)	(1,781.4)	123.6
Total Other Financing Sources (Uses)	<u>4,716.0</u>	<u>4,857.7</u>	<u>141.7</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>5,026.0</u>	<u>5,120.1</u>	<u>94.1</u>
CLOSING CASH BALANCE-JANUARY 31, 2004	<u><u>\$5,841.0</u></u>	<u><u>\$5,935.5</u></u>	<u><u>\$94.5</u></u>

(1) Source: DOB, 2004-05 Executive Budget With 30-Day Changes dated February 12, 2004.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)**

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2004	10 MO. ENDED JAN. 31, 2004	MONTH OF JAN. 2003	10 MO. ENDED JAN. 31, 2003
PERSONAL INCOME TAX												
Withholding	\$ 2,641.2	\$ 17,434.4	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 2,641.2	\$ 17,434.4	\$ 2,456.0	\$ 16,371.5
Estimated payments	1,261.8	5,092.9	--	--	--	--	--	--	1,261.8	5,092.9	1,071.8	4,817.5
Final returns	11.5	1,180.2	--	--	--	--	--	--	11.5	1,180.2	23.8	1,287.9
Other	81.5	274.2	--	--	--	--	--	--	81.5	274.2	65.8	238.5
Gross Receipts	<u>3,996.0</u>	<u>23,981.7</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>3,996.0</u>	<u>23,981.7</u>	<u>3,617.4</u>	<u>22,715.4</u>
Transfers to School Tax Relief Fund	--	(2,655.0)	--	2,655.0	--	--	--	--	--	--	--	--
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(992.5)	(4,543.7)	--	--	992.5	4,543.7	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	627.5	--	--	--	--	--	--	--	627.5	--	1,677.4
Less: Refunds Issued	<u>(26.1)</u>	<u>(3,152.0)</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>(26.1)</u>	<u>(3,152.0)</u>	<u>(10.2)</u>	<u>(2,986.0)</u>
Total	<u>2,977.4</u>	<u>14,258.5</u>	<u>--</u>	<u>2,655.0</u>	<u>992.5</u>	<u>4,543.7</u>	<u>--</u>	<u>--</u>	<u>3,969.9</u>	<u>21,457.2</u>	<u>3,607.2</u>	<u>21,406.8</u>
CONSUMPTION / USE TAXES AND FEES												
Sales and Use	586.8	6,029.7	32.6	355.4	180.8	1,892.4	--	--	800.2	8,277.5	709.6	7,370.5
Auto Rental	--	--	--	--	--	--	0.5	33.0	0.5	33.0	--	31.7
Hotel / Motel	--	0.1	--	--	--	--	--	--	--	0.1	--	--
Motor Vehicle	(6.9)	39.7	9.5	84.7	--	--	46.2	420.4	48.8	544.8	45.1	505.8
Cigarette/Tobacco Products	34.3	363.8	--	--	--	--	--	--	34.3	363.8	33.5	391.8
Motor Fuel	--	--	10.4	86.9	--	--	39.8	348.0	50.2	434.9	42.4	460.7
Alcoholic Beverage	22.5	168.1	--	--	--	--	--	--	22.5	168.1	22.8	162.2
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	10.9	124.6	10.9	124.6	12.1	124.9
Alcoholic Beverage Control Licenses	<u>2.9</u>	<u>39.9</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>2.9</u>	<u>39.9</u>	<u>4.7</u>	<u>33.5</u>
Total	<u>639.6</u>	<u>6,641.3</u>	<u>52.5</u>	<u>527.0</u>	<u>180.8</u>	<u>1,892.4</u>	<u>97.4</u>	<u>926.0</u>	<u>970.3</u>	<u>9,986.7</u>	<u>870.2</u>	<u>9,081.1</u>
BUSINESS TAXES												
Corporation Franchise	44.7	953.4	7.9	141.4	--	--	--	--	52.6	1,094.8	58.1	1,132.7
Corporation and Utilities	8.8	520.5	(0.4)	104.7	--	--	--	--	8.4	625.2	6.6	765.2
Insurance	24.5	640.4	(0.6)	74.8	--	--	--	--	23.9	715.2	14.3	525.7
Bank	(15.8)	238.5	(2.8)	47.4	--	--	--	--	(18.6)	285.9	3.7	352.6
Petroleum Business	--	--	43.4	393.6	--	--	53.3	484.9	96.7	878.5	91.5	872.7
Lubricating Oil	--	--	--	--	--	--	--	--	--	--	--	--
Total	<u>62.2</u>	<u>2,352.8</u>	<u>47.5</u>	<u>761.9</u>	<u>--</u>	<u>--</u>	<u>53.3</u>	<u>484.9</u>	<u>163.0</u>	<u>3,599.6</u>	<u>174.2</u>	<u>3,648.9</u>
OTHER TAXES												
Real Property Gains	0.2	3.5	--	--	--	--	--	--	0.2	3.5	0.4	4.0
Estate and Gift	64.4	661.4	--	--	--	--	--	--	64.4	661.4	42.1	596.4
Pari-Mutuel	1.7	23.4	--	--	--	--	--	--	1.7	23.4	1.8	25.0
Real Estate Transfer	--	--	--	--	37.6	337.2	11.2	89.6	48.8	426.8	24.8	384.0
Racing and Exhibitions	0.1	0.6	--	--	--	--	--	--	0.1	0.6	--	0.5
Total	<u>66.4</u>	<u>688.9</u>	<u>--</u>	<u>--</u>	<u>37.6</u>	<u>337.2</u>	<u>11.2</u>	<u>89.6</u>	<u>115.2</u>	<u>1,115.7</u>	<u>69.1</u>	<u>1,009.9</u>
TOTAL TAX RECEIPTS	<u>\$ 3,745.6</u>	<u>\$ 23,941.5</u>	<u>\$ 100.0</u>	<u>\$ 3,943.9</u>	<u>\$ 1,210.9</u>	<u>\$ 6,773.3</u>	<u>\$ 161.9</u>	<u>\$ 1,500.5</u>	<u>\$ 5,218.4</u>	<u>\$ 36,159.2</u>	<u>\$ 4,720.7</u>	<u>\$ 35,146.7</u>

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT "F"

	10 Months Ended Jan. 31											2004	2003	
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY			MARCH
OPENING CASH BALANCE	\$815.4	\$2,785.7	\$2,150.5	\$1,989.1	\$1,466.0	\$1,331.1	\$2,558.6	\$3,086.2	\$2,622.6	\$3,012.0			\$815.4	\$1,031.8
RECEIPTS:														
Personal Income Tax (1)	2,810.8	244.2	1,544.9	1,213.5	1,125.9	1,791.4	1,252.7	1,012.1	285.6	2,977.4			14,258.5	15,370.2
Consumption/Use Taxes and Fees (2)	552.9	534.7	732.4	620.9	609.0	877.5	621.2	588.5	864.6	639.6			6,641.3	5,915.8
Business Taxes	55.6	(133.4)	727.9	57.7	42.5	786.9	22.9	14.5	716.0	62.2			2,352.8	2,369.4
Other Taxes	49.2	93.6	33.3	59.6	67.5	96.2	104.9	47.6	70.6	66.4			688.9	625.9
Miscellaneous Receipts	70.4	52.9	2,316.6	94.0	80.6	185.9	84.8	270.6	2,249.5	65.8			5,471.1	1,753.6
Federal Grants	--	1.6	323.7	--	--	1.2	324.0	0.6	0.6	0.7			652.4	5.6
Total Receipts	<u>3,538.9</u>	<u>793.6</u>	<u>5,678.8</u>	<u>2,045.7</u>	<u>1,925.5</u>	<u>3,739.1</u>	<u>2,410.5</u>	<u>1,933.9</u>	<u>4,186.9</u>	<u>3,812.1</u>	<u>0.0</u>	<u>0.0</u>	<u>30,065.0</u>	<u>26,040.5</u>
DISBURSEMENTS:														
Local Assistance Grants:														
General Purpose	--	--	59.9	42.2	0.1	136.6	15.6	31.3	386.1	2.1			673.9	709.0
Education	236.4	50.1	4,556.9	616.3	889.7	829.3	768.6	494.6	1,285.1	419.2			10,146.2	8,356.8
Social Services	1,075.5	514.8	541.9	851.9	656.4	567.7	660.3	1,037.0	1,139.9	670.6			7,716.0	6,665.8
Health and Environment	9.0	1.4	50.9	123.2	43.9	18.7	44.4	8.8	71.4	92.5			464.2	345.8
Mental Hygiene	90.2	18.7	107.5	152.9	42.3	71.8	113.1	62.7	94.6	120.3			874.1	854.2
Transportation	0.1	0.1	71.5	0.2	23.5	2.9	0.2	25.0	22.2	--			145.7	145.2
Criminal Justice	9.0	6.5	13.4	13.2	8.1	13.0	11.5	13.2	19.4	18.5			125.8	136.1
SEMO and Disaster Assistance	8.4	1.3	4.3	0.6	--	1.3	0.8	0.4	2.6	2.6			22.3	22.5
Miscellaneous	33.4	11.0	19.7	33.1	59.3	61.3	41.5	15.8	24.0	23.7			322.8	310.0
Total Local Assistance Grants	<u>1,462.0</u>	<u>603.9</u>	<u>5,426.0</u>	<u>1,833.6</u>	<u>1,723.3</u>	<u>1,702.6</u>	<u>1,656.0</u>	<u>1,688.8</u>	<u>3,045.3</u>	<u>1,349.5</u>	<u>0.0</u>	<u>0.0</u>	<u>20,491.0</u>	<u>17,545.4</u>
Departmental Operations:														
Personal Service	553.1	680.0	475.9	676.3	439.7	482.8	360.7	473.3	559.6	310.5			5,011.9	5,477.4
Non-Personal Service	189.9	119.1	171.6	169.1	165.8	151.0	136.7	138.6	133.9	162.2			1,537.9	1,701.1
General State Charges	32.2	268.0	246.1	359.1	246.1	636.6	258.0	360.3	110.2	245.2			2,761.8	2,396.1
Total Disbursements	<u>2,237.2</u>	<u>1,671.0</u>	<u>6,319.6</u>	<u>3,038.1</u>	<u>2,574.9</u>	<u>2,973.0</u>	<u>2,411.4</u>	<u>2,661.0</u>	<u>3,849.0</u>	<u>2,067.4</u>	<u>0.0</u>	<u>0.0</u>	<u>29,802.6</u>	<u>27,120.0</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,301.7</u>	<u>(877.4)</u>	<u>(640.8)</u>	<u>(992.4)</u>	<u>(649.4)</u>	<u>766.1</u>	<u>(0.9)</u>	<u>(727.1)</u>	<u>337.9</u>	<u>1,744.7</u>	<u>0.0</u>	<u>0.0</u>	<u>262.4</u>	<u>(1,079.5)</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds (1)	897.8	296.7	770.5	585.3	560.8	815.8	640.5	445.7	380.4	1,245.6			6,639.1	5,933.1
Transfers to State Capital Projects	(24.1)	(10.7)	29.5	(38.7)	3.2	(17.9)	(43.0)	(16.6)	(43.6)	(25.2)			(187.1)	(395.4)
Transfers to General Debt Service	(127.5)	(28.8)	(221.0)	(36.0)	(31.4)	(292.3)	(49.7)	(143.5)	(254.2)	(15.6)			(1,200.0)	(1,224.9)
Transfers to All Other State Funds	(77.6)	(15.0)	(99.6)	(41.3)	(18.1)	(44.2)	(19.3)	(22.1)	(31.1)	(26.0)			(394.3)	(566.7)
Total Other Financing Sources (Uses)	<u>668.6</u>	<u>242.2</u>	<u>479.4</u>	<u>469.3</u>	<u>514.5</u>	<u>461.4</u>	<u>528.5</u>	<u>263.5</u>	<u>51.5</u>	<u>1,178.8</u>	<u>0.0</u>	<u>0.0</u>	<u>4,857.7</u>	<u>3,746.1</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>1,970.3</u>	<u>(635.2)</u>	<u>(161.4)</u>	<u>(523.1)</u>	<u>(134.9)</u>	<u>1,227.5</u>	<u>527.6</u>	<u>(463.6)</u>	<u>389.4</u>	<u>2,923.5</u>	<u>0.0</u>	<u>0.0</u>	<u>5,120.1</u>	<u>2,666.6</u>
CLOSING CASH BALANCE	<u>\$2,785.7</u>	<u>\$2,150.5</u>	<u>\$1,989.1</u>	<u>\$1,466.0</u>	<u>\$1,331.1</u>	<u>\$2,558.6</u>	<u>\$3,086.2</u>	<u>\$2,622.6</u>	<u>\$3,012.0</u>	<u>\$5,935.5</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$5,935.5</u>	<u>\$3,698.4</u>

(1) See Exhibit A, Footnote #1

(2) See Exhibit A, Footnote #2

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT " F "
(page 2)

	10 Months Ended January 31												2004	2003
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$1,695.5	\$1,434.3	\$1,474.2	\$1,626.2	\$1,509.2	\$1,611.9	\$1,604.6	\$1,623.6	\$2,213.7	\$2,641.2			\$17,434.4	\$16,371.5
Estimated payments	1,213.4	20.5	765.5	29.4	33.4	989.2	62.3	32.3	685.1	1,261.8			5,092.9	4,817.5
Final returns	933.6	25.2	20.2	15.5	33.5	17.4	104.4	9.6	9.3	11.5			1,180.2	1,287.9
Other	87.6	16.7	(46.6)	50.3	41.7	45.8	41.5	(15.3)	(29.0)	81.5			274.2	238.5
Gross Receipts	3,930.1	1,496.7	2,213.3	1,721.4	1,617.8	2,664.3	1,812.8	1,650.2	2,879.1	3,996.0	0.0	0.0	23,981.7	22,715.4
Transfers to School Tax Relief Fund	--	--	--	--	--	(180.0)	(45.6)	(110.8)	(2,318.6)	--			(2,655.0)	(2,476.0)
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Revenue Bond Tax Fund	(727.8)	(81.3)	(515.0)	(404.5)	(375.4)	(597.1)	(417.5)	(337.4)	(95.2)	(992.5)			(4,543.7)	(3,560.6)
Refund reserve reduction (increase)	627.5	--	--	--	--	--	--	--	--	--			627.5	1,677.4
Refunds issued	(1,019.0)	(1,171.2)	(153.4)	(103.4)	(116.5)	(95.8)	(97.0)	(189.9)	(179.7)	(26.1)			(3,152.0)	(2,986.0)
Total Personal Income Tax	2,810.8	244.2	1,544.9	1,213.5	1,125.9	1,791.4	1,252.7	1,012.1	285.6	2,977.4	0.0	0.0	14,258.5	15,370.2
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	449.8	460.8	691.8	547.4	557.2	812.8	560.1	544.7	818.3	586.8			6,029.7	5,280.3
Auto Rental	--	--	--	--	--	--	--	--	--	--			--	--
Hotel / Motel	--	--	--	--	--	--	--	0.1	--	--			0.1	--
Motor Vehicle	39.3	16.4	(12.6)	12.6	(5.9)	6.3	6.6	(6.1)	(10.0)	(6.9)			39.7	48.0
Cigarette/Tobacco Products	39.4	37.1	35.3	37.4	38.1	36.9	36.9	30.8	37.6	34.3			363.8	391.8
Motor Fuel	--	--	--	--	--	--	--	--	--	--			--	--
Alcoholic Beverage	19.7	15.9	13.0	18.8	15.1	16.5	14.2	16.0	16.4	22.5			168.1	162.2
Beverage Container	--	--	--	--	--	--	--	--	--	--			--	--
Highway Use	--	--	--	--	--	--	--	--	--	--			--	--
Alcoholic Beverage Control Licenses	4.7	4.5	4.9	4.7	4.5	5.0	3.4	3.0	2.3	2.9			39.9	33.5
Total Consumption/Use Taxes and Fees	552.9	534.7	732.4	620.9	609.0	877.5	621.2	588.5	864.6	639.6	0.0	0.0	6,641.3	5,915.8
BUSINESS TAXES														
Corporation Franchise	32.0	(104.1)	262.2	42.4	29.3	352.4	11.5	1.6	281.4	44.7			953.4	985.7
Corporation and Utilities	7.5	1.0	133.0	10.0	5.2	158.4	4.2	2.9	189.5	8.8			520.5	601.8
Insurance	6.9	0.3	197.4	9.8	6.6	210.0	5.8	10.4	168.7	24.5			640.4	480.1
Bank	9.2	(30.6)	135.3	(4.5)	1.4	66.1	1.4	(0.4)	76.4	(15.8)			238.5	301.3
Petroleum Business	--	--	--	--	--	--	--	--	--	--			--	0.5
Lubricating Oil	--	--	--	--	--	--	--	--	--	--			--	--
Total Business Taxes	55.6	(133.4)	727.9	57.7	42.5	786.9	22.9	14.5	716.0	62.2	0.0	0.0	2,352.8	2,369.4
OTHER TAXES														
Real Property Gains	1.1	0.8	--	0.1	0.6	0.6	--	0.1	--	0.2			3.5	4.0
Estate and Gift	46.8	90.4	30.5	57.0	63.4	92.2	102.6	45.3	68.8	64.4			661.4	596.4
Pari-Mutuel	1.3	2.4	2.8	2.4	3.5	3.3	2.1	2.2	1.7	1.7			23.4	25.0
Real Estate Transfer	--	--	--	--	--	--	--	--	--	--			--	--
Racing and Exhibitions	--	--	--	0.1	--	0.1	0.2	--	0.1	0.1			0.6	0.5
Total Other Taxes	49.2	93.6	33.3	59.6	67.5	96.2	104.9	47.6	70.6	66.4	0.0	0.0	688.9	625.9
TOTAL TAX RECEIPTS	\$3,468.5	\$739.1	\$3,038.5	\$1,951.7	\$1,844.9	\$3,552.0	\$2,001.7	\$1,662.7	\$1,936.8	\$3,745.6	\$0.0	\$0.0	\$23,941.5	\$24,281.3

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
STATEMENT OF CASH FLOW (1)
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT "G"

	10 Months Ended Jan. 31											2004	2003	
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2004	2003
OPENING CASH BALANCE	\$1,038.6	\$1,287.9	\$2,097.8	\$1,828.1	\$1,993.9	\$451.5	\$1,527.2	\$1,014.3	\$1,067.0	\$1,247.9			\$1,038.6	\$1,100.5
RECEIPTS:														
Personal Income Tax	--	--	--	--	--	180.0	45.6	110.8	2,318.6	--			2,655.0	2,476.0
Consumption/Use Taxes and Fees	63.2	41.5	59.5	45.5	48.5	58.3	48.7	48.6	60.7	52.5			527.0	454.4
Business Taxes	48.9	26.0	146.9	49.4	49.9	162.9	44.5	35.6	150.3	47.5			761.9	795.2
Other Taxes	--	--	--	--	--	--	--	--	--	--			--	--
Miscellaneous Receipts	623.7	1,271.3	614.1	685.7	711.3	1,246.6	843.3	529.5	872.6	1,363.1			8,761.2	8,019.8
Federal Grants	2,534.8	2,539.5	2,851.7	2,902.1	3,255.1	3,847.8	2,304.2	2,322.4	2,799.5	2,845.2			28,202.3	25,537.0
Total Receipts	3,270.6	3,878.3	3,672.2	3,682.7	4,064.8	5,495.6	3,286.3	3,046.9	6,201.7	4,308.3	0.0	0.0	40,907.4	37,282.4
DISBURSEMENTS:														
Local Assistance Grants:														
Education	383.1	325.7	529.1	244.1	1,653.2	312.2	172.7	269.9	2,310.3	321.1			6,521.4	6,061.3
Social Services	1,801.8	2,024.6	2,340.2	2,458.3	2,097.0	2,558.0	2,393.0	1,885.6	2,097.2	2,596.0			22,251.7	20,998.9
Health and Environment	125.8	133.8	161.7	161.5	175.4	271.0	124.6	144.8	208.7	128.1			1,635.4	1,633.8
Mental Hygiene	10.2	8.3	39.5	19.8	15.2	17.3	28.4	13.2	27.2	42.6			221.7	205.4
Transportation	32.8	41.2	169.3	52.2	268.5	124.7	116.5	191.7	450.9	55.5			1,503.3	1,643.9
Criminal Justice	10.8	5.6	6.6	13.5	43.2	33.2	10.9	6.8	8.2	10.4			149.2	101.9
SEMO and Disaster Assistance	118.7	29.9	142.2	4.9	721.3	436.3	22.5	0.3	19.3	18.1			1,513.5	901.0
Miscellaneous	70.7	44.1	46.3	60.4	42.1	64.0	97.8	27.3	85.7	33.2			571.6	606.8
Total Local Assistance Grants	2,553.9	2,613.2	3,434.9	3,014.7	5,015.9	3,816.7	2,966.4	2,539.6	5,207.5	3,205.0	0.0	0.0	34,367.8	32,153.0
Departmental Operations:														
Personal Service	280.2	217.4	280.4	309.3	316.9	320.0	502.1	278.7	456.5	450.2			3,411.7	3,104.8
Non-Personal Service	214.9	175.0	267.4	241.4	194.0	303.6	330.6	244.8	262.3	234.6			2,468.6	2,350.8
General State Charges	35.2	58.4	34.7	41.3	55.4	65.7	52.0	44.3	37.5	48.0			472.5	441.7
Capital Projects	5.9	0.2	0.3	0.3	0.2	0.3	0.1	0.4	0.1	0.2			8.0	6.0
Total Disbursements	3,090.1	3,064.2	4,017.7	3,607.0	5,582.4	4,506.3	3,851.2	3,107.8	5,963.9	3,938.0	0.0	0.0	40,728.6	38,056.3
Excess (Deficiency) of Receipts over Disbursements	180.5	814.1	(345.5)	75.7	(1,517.6)	989.3	(564.9)	(60.9)	237.8	370.3	0.0	0.0	178.8	(773.9)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	243.1	197.4	243.4	311.4	219.9	348.6	267.9	252.6	171.1	271.6			2,527.0	2,339.4
Transfers to Other Funds	(174.3)	(201.6)	(167.6)	(221.3)	(244.7)	(262.2)	(215.9)	(139.0)	(228.0)	(268.4)			(2,123.0)	(1,691.2)
Total Other Financing Sources (Uses)	68.8	(4.2)	75.8	90.1	(24.8)	86.4	52.0	113.6	(56.9)	3.2	0.0	0.0	404.0	648.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	249.3	809.9	(269.7)	165.8	(1,542.4)	1,075.7	(512.9)	52.7	180.9	373.5	0.0	0.0	582.8	(125.7)
CLOSING CASH BALANCE	\$1,287.9	\$2,097.8	\$1,828.1	\$1,993.9	\$451.5	\$1,527.2	\$1,014.3	\$1,067.0	\$1,247.9	\$1,621.4	\$0.0	\$0.0	\$1,621.4	\$974.8

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT "G"
(page 2)

	10 Months Ended January 31												2004	2003
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX	\$ --	\$ --	\$ --	\$ --	\$ --	\$180.0	\$45.6	\$110.8	\$2,318.6	\$ --			\$2,655.0	\$2,476.0
Total Personal Income Tax	--	--	--	--	--	180.0	45.6	110.8	2,318.6	--	0.0	0.0	2,655.0	2,476.0
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	53.0	27.4	38.0	29.9	29.9	41.3	30.7	29.9	42.7	32.6			355.4	332.8
Auto Rental	--	--	--	--	--	--	--	--	--	--			--	--
Hotel / Motel	--	--	--	--	--	--	--	--	--	--			--	--
Motor Vehicle	2.1	8.1	13.0	8.6	8.6	8.1	8.9	8.1	9.7	9.5			84.7	63.4
Cigarette/Tobacco Products	--	--	--	--	--	--	--	--	--	--			--	--
Motor Fuel	8.1	6.0	8.5	7.0	10.0	8.9	9.1	10.6	8.3	10.4			86.9	58.2
Alcoholic Beverage	--	--	--	--	--	--	--	--	--	--			--	--
Beverage Container	--	--	--	--	--	--	--	--	--	--			--	--
Highway Use	--	--	--	--	--	--	--	--	--	--			--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--	--	--			--	--
Total Consumption/Use Taxes and Fees	63.2	41.5	59.5	45.5	48.5	58.3	48.7	48.6	60.7	52.5	0.0	0.0	527.0	454.4
BUSINESS TAXES														
Corporation Franchise	6.2	(11.8)	37.5	5.2	3.6	43.1	6.8	1.5	41.4	7.9			141.4	147.0
Corporation and Utilities	6.3	(2.0)	20.6	1.6	(0.3)	42.0	(1.5)	(0.1)	38.5	(0.4)			104.7	163.4
Insurance	(0.3)	1.9	24.3	1.6	0.8	23.6	2.9	(0.1)	20.7	(0.6)			74.8	45.6
Bank	1.6	(3.3)	24.6	(0.5)	0.4	14.4	(0.2)	(0.1)	13.3	(2.8)			47.4	51.3
Petroleum Business	35.1	41.2	39.9	41.5	45.4	39.8	36.5	34.4	36.4	43.4			393.6	387.9
Lubricating Oil	--	--	--	--	--	--	--	--	--	--			--	--
Total Business Taxes	48.9	26.0	146.9	49.4	49.9	162.9	44.5	35.6	150.3	47.5	0.0	0.0	761.9	795.2
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--			--	--
Estate and Gift	--	--	--	--	--	--	--	--	--	--			--	--
Pari-Mutuel	--	--	--	--	--	--	--	--	--	--			--	--
Real Estate Transfer	--	--	--	--	--	--	--	--	--	--			--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Taxes	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$112.1	\$67.5	\$206.4	\$94.9	\$98.4	\$401.2	\$138.8	\$195.0	\$2,529.6	\$100.0	\$0.0	\$0.0	\$3,943.9	\$3,725.6

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT "H"

													10 Months Ended Jan. 31	
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2004	2003
OPENING CASH BALANCE	\$158.1	\$236.3	\$258.6	\$263.6	\$210.3	\$264.8	\$229.8	\$255.3	\$280.4	\$324.7			\$158.1	\$169.0
RECEIPTS:														
Personal Income Tax	727.8	81.3	515.0	404.5	375.4	597.1	417.5	337.4	95.2	992.5			4,543.7	3,560.6
Consumption/Use Taxes and Fees														
Sales and Use	149.0	154.3	224.8	169.0	172.2	250.2	172.7	167.8	251.6	180.8			1,892.4	1,757.4
Motor Fuel	--	--	--	--	--	--	--	--	--	--			--	100.8
Other Taxes	37.4	33.9	28.6	14.7	49.1	29.3	47.6	26.0	33.0	37.6			337.2	294.4
Miscellaneous Receipts	124.1	59.9	56.9	42.1	50.3	77.3	64.4	56.3	52.6	86.6			670.5	653.4
Total Receipts	<u>1,038.3</u>	<u>329.4</u>	<u>825.3</u>	<u>630.3</u>	<u>647.0</u>	<u>953.9</u>	<u>702.2</u>	<u>587.5</u>	<u>432.4</u>	<u>1,297.5</u>	<u>0.0</u>	<u>0.0</u>	<u>7,443.8</u>	<u>6,366.6</u>
DISBURSEMENTS:														
Departmental Operations:														
Non-Personal Service	0.4	0.3	1.7	0.1	0.1	1.9	0.3	--	2.1	--			6.9	5.4
Debt Service, including payments on financing agreements	263.4	112.9	333.7	136.4	174.2	595.2	126.6	237.7	421.6	100.9			2,502.6	2,308.7
Total Disbursements	<u>263.8</u>	<u>113.2</u>	<u>335.4</u>	<u>136.5</u>	<u>174.3</u>	<u>597.1</u>	<u>126.9</u>	<u>237.7</u>	<u>423.7</u>	<u>100.9</u>	<u>0.0</u>	<u>0.0</u>	<u>2,509.5</u>	<u>2,314.1</u>
Excess (Deficiency) of Receipts over Disbursements	<u>774.5</u>	<u>216.2</u>	<u>489.9</u>	<u>493.8</u>	<u>472.7</u>	<u>356.8</u>	<u>575.3</u>	<u>349.8</u>	<u>8.7</u>	<u>1,196.6</u>	<u>0.0</u>	<u>0.0</u>	<u>4,934.3</u>	<u>4,052.5</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	372.3	237.6	464.6	327.1	351.3	682.9	341.9	357.8	557.8	316.0			4,009.3	3,458.4
Transfers to Other Funds	(1,068.6)	(431.5)	(949.5)	(874.2)	(769.5)	(1,074.7)	(891.7)	(682.5)	(522.2)	(1,452.6)			(8,717.0)	(7,368.6)
Total Other Financing Sources (Uses)	<u>(696.3)</u>	<u>(193.9)</u>	<u>(484.9)</u>	<u>(547.1)</u>	<u>(418.2)</u>	<u>(391.8)</u>	<u>(549.8)</u>	<u>(324.7)</u>	<u>35.6</u>	<u>(1,136.6)</u>	<u>0.0</u>	<u>0.0</u>	<u>(4,707.7)</u>	<u>(3,910.2)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>78.2</u>	<u>22.3</u>	<u>5.0</u>	<u>(53.3)</u>	<u>54.5</u>	<u>(35.0)</u>	<u>25.5</u>	<u>25.1</u>	<u>44.3</u>	<u>60.0</u>	<u>0.0</u>	<u>0.0</u>	<u>226.6</u>	<u>142.3</u>
CLOSING CASH BALANCE	<u>\$236.3</u>	<u>\$258.6</u>	<u>\$263.6</u>	<u>\$210.3</u>	<u>\$264.8</u>	<u>\$229.8</u>	<u>\$255.3</u>	<u>\$280.4</u>	<u>\$324.7</u>	<u>\$384.7</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$384.7</u>	<u>\$311.3</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT "I"

											10 Months Ended Jan. 31			
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2004	2003
OPENING CASH BALANCE (DEFICITS)	(\$790.5)	(\$392.3)	(\$452.0)	(\$297.7)	(\$209.2)	(\$274.8)	(\$428.6)	(\$497.6)	(\$394.5)	(\$503.7)			(\$790.5)	(\$267.4)
RECEIPTS:														
Consumption/Use Taxes and Fees														
Auto Rental	2.5	--	8.8	--	--	12.1	--	--	9.1	0.5			33.0	31.7
Motor Vehicle	19.4	39.8	57.2	45.4	42.8	40.2	44.3	40.9	44.2	46.2			420.4	394.4
Motor Fuel	29.5	33.0	34.1	32.9	38.3	33.5	35.1	40.2	31.6	39.8			348.0	301.7
Highway Use	13.0	11.1	13.6	12.2	11.7	14.9	11.9	12.3	13.0	10.9			124.6	124.9
Business Taxes														
Petroleum Business	42.8	51.0	49.2	51.1	55.3	49.1	45.4	42.3	45.4	53.3			484.9	484.3
Other Taxes	--	--	11.2	11.2	11.2	11.2	11.2	11.2	11.2	11.2			89.6	89.6
Miscellaneous Receipts	507.1	36.6	134.8	176.5	49.0	167.7	100.0	214.2	52.0	180.2			1,618.1	1,179.1
Federal Grants	78.8	73.6	105.7	118.0	117.1	141.6	165.7	101.4	206.0	148.2			1,256.1	1,348.1
Total Receipts	693.1	245.1	414.6	447.3	325.4	470.3	413.6	462.5	412.5	490.3	0.0	0.0	4,374.7	3,953.8
DISBURSEMENTS:														
Local Assistance Grants:														
Education	0.1	--	--	--	--	--	--	--	--	--			0.1	1.6
Social Services	--	--	--	--	--	--	--	--	--	1.5			1.5	3.7
Health and Environment	--	1.4	2.2	1.4	0.6	46.6	3.7	1.8	99.6	--			157.3	258.8
Mental Hygiene	0.4	0.1	4.0	0.7	0.7	1.4	4.2	2.1	2.9	3.1			19.6	19.7
Transportation	24.3	14.7	14.9	13.7	22.4	23.9	45.3	14.3	33.5	64.7			271.7	239.0
Miscellaneous	4.5	0.8	1.6	9.7	1.0	0.8	23.9	0.7	3.5	11.2			57.7	139.0
Total Local Assistance Grants	29.3	17.0	22.7	25.5	24.7	72.7	77.1	18.9	139.5	80.5	0.0	0.0	507.9	661.8
Departmental Operations:														
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--
Capital Projects	224.5	233.2	286.1	306.7	290.3	392.7	372.0	284.9	349.3	295.7			3,035.4	3,233.6
Total Disbursements	253.8	250.2	308.8	332.2	315.0	465.4	449.1	303.8	488.8	376.2	0.0	0.0	3,543.3	3,895.4
Excess (Deficiency) of Receipts over Disbursements	439.3	(5.1)	105.8	115.1	10.4	4.9	(35.5)	158.7	(76.3)	114.1	0.0	0.0	831.4	58.4
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	139.5	--	--	--	--	--	--	--			139.5	--
Transfers from Other Funds	24.7	10.7	(19.8)	38.6	(2.5)	19.1	42.9	18.6	49.9	25.2			207.4	406.2
Transfers to Other Funds	(65.8)	(65.3)	(71.2)	(65.2)	(73.5)	(177.8)	(76.4)	(74.2)	(82.8)	(71.1)			(823.3)	(935.8)
Total Other Financing Sources (Uses)	(41.1)	(54.6)	48.5	(26.6)	(76.0)	(158.7)	(33.5)	(55.6)	(32.9)	(45.9)	0.0	0.0	(476.4)	(529.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	398.2	(59.7)	154.3	88.5	(65.6)	(153.8)	(69.0)	103.1	(109.2)	68.2	0.0	0.0	355.0	(471.2)
CLOSING CASH BALANCE (DEFICITS)	(\$392.3)	(\$452.0)	(\$297.7)	(\$209.2)	(\$274.8)	(\$428.6)	(\$497.6)	(\$394.5)	(\$503.7)	(\$435.5)	\$0.0	\$0.0	(\$435.5)	(\$738.6)

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW (1)
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT J

													10 Months Ended Jan. 31	
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004			2004	2003
										JANUARY	FEBRUARY	MARCH		
BEGINNING FUND EQUITY (DEFICITS)	\$16.0	\$27.6	\$45.5	\$34.5	\$32.5	\$44.7	\$33.5	\$53.9	\$45.1	\$15.8			\$16.0	\$25.6
RECEIPTS:														
Miscellaneous Receipts	6.0	5.8	5.6	7.7	7.4	10.5	6.3	5.1	4.7	6.3			65.4	62.3
Federal Grants	73.2	69.5	82.5	79.8	73.1	89.8	75.0	72.2	87.9	58.4			761.4	1,087.6
Unemployment Taxes	274.4	233.8	225.4	246.1	225.3	225.1	214.8	179.0	228.8	269.0			2,321.7	2,449.9
Total Receipts	353.6	309.1	313.5	333.6	305.8	325.4	296.1	256.3	321.4	333.7	0.0	0.0	3,148.5	3,599.8
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.8	0.6	0.7	1.0	0.7	1.9	0.8	0.7	0.9	0.6			8.7	10.0
Non-Personal Service	4.0	2.0	7.5	7.9	4.8	8.5	5.7	4.6	5.4	5.4			55.8	57.2
General State Charges	0.3	0.1	--	0.1	0.1	0.1	0.1	0.4	0.1	0.1			1.4	1.0
Debt Service, Including Payments on Financing Agreements	--	--	--	--	--	--	--	--	--	--			--	--
Unemployment Benefits	336.9	288.5	316.3	326.6	288.0	326.1	269.1	259.4	344.3	301.4			3,056.6	3,526.8
Total Disbursements	342.0	291.2	324.5	335.6	293.6	336.6	275.7	265.1	350.7	307.5	0.0	0.0	3,122.5	3,595.0
Excess (Deficiency) of Receipts over Disbursements	11.6	17.9	(11.0)	(2.0)	12.2	(11.2)	20.4	(8.8)	(29.3)	26.2	0.0	0.0	26.0	4.8
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	11.6	17.9	(11.0)	(2.0)	12.2	(11.2)	20.4	(8.8)	(29.3)	26.2	0.0	0.0	26.0	4.8
CLOSING CASH BALANCE	\$27.6	\$45.5	\$34.5	\$32.5	\$44.7	\$33.5	\$53.9	\$45.1	\$15.8	\$42.0	\$0.0	\$0.0	\$42.0	\$30.4

(1) See Exhibit A, Footnote #7.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT K

											10 Months Ended Jan. 31			
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2004	2003
BEGINNING FUND EQUITY (DEFICITS)	(\$104.1)	(\$120.1)	(\$126.0)	(\$118.1)	(\$136.2)	(\$149.7)	(\$130.1)	(\$144.7)	(\$146.2)	(\$149.6)			(\$104.1)	(\$101.5)
RECEIPTS:														
Miscellaneous Receipts	23.6	31.1	47.5	29.7	41.3	49.7	31.6	26.7	33.9	34.2			349.3	455.7
Total Receipts	23.6	31.1	47.5	29.7	41.3	49.7	31.6	26.7	33.9	34.2	0.0	0.0	349.3	455.7
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	8.5	9.2	8.2	11.5	8.0	7.4	8.9	8.1	11.5	7.9			89.2	98.3
Non-Personal Service	25.8	37.0	55.6	39.2	26.8	23.3	35.9	20.6	28.4	22.5			315.1	356.6
General State Charges	5.3	1.4	0.2	1.2	3.9	2.6	4.2	2.7	--	6.0			27.5	32.9
Debt Service, Including Payments on Financing Agreements	--	--	--	10.1	20.6	--	--	--	--	5.5			36.2	64.4
Total Disbursements	39.6	47.6	64.0	62.0	59.3	33.3	49.0	31.4	39.9	41.9	0.0	0.0	468.0	552.2
Excess (Deficiency) of Receipts over Disbursements	(16.0)	(16.5)	(16.5)	(32.3)	(18.0)	16.4	(17.4)	(4.7)	(6.0)	(7.7)	0.0	0.0	(118.7)	(96.5)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	10.6	24.4	14.2	4.5	3.2	2.8	3.2	2.6	1.6			67.1	45.6
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	(1.0)			(1.0)	(0.1)
Total Other Financing Sources (Uses)	--	10.6	24.4	14.2	4.5	3.2	2.8	3.2	2.6	0.6	0.0	0.0	66.1	45.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(16.0)	(5.9)	7.9	(18.1)	(13.5)	19.6	(14.6)	(1.5)	(3.4)	(7.1)	0.0	0.0	(52.6)	(51.0)
ENDING FUND EQUITY(DEFICITS)	<u>(\$120.1)</u>	<u>(\$126.0)</u>	<u>(\$118.1)</u>	<u>(\$136.2)</u>	<u>(\$149.7)</u>	<u>(\$130.1)</u>	<u>(\$144.7)</u>	<u>(\$146.2)</u>	<u>(\$149.6)</u>	<u>(\$156.7)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$156.7)</u>	<u>(\$152.5)</u>

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW (1)
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT L

	2003												2004	
	APRIL	MAY	JUNE	JULY	AUGUST (2)	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2004	2003
OPENING CASH BALANCE	\$9.8	\$9.9	\$9.9	\$9.9	\$9.9	\$9.8	\$9.8	\$9.6	\$9.6	\$9.4			\$9.8	\$9.7
RECEIPTS:														
Miscellaneous Receipts	0.2	--	--	0.1	--	--	0.1	--	--	0.2			0.6	0.2
Total Receipts	0.2	--	--	0.1	--	--	0.1	--	--	0.2	0.0	0.0	0.6	0.2
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.1	--	--	--	--	--	--	--	0.1	--			0.2	0.3
Non-Personal Service	--	--	--	--	0.1	--	0.3	--	0.1	0.3			0.8	--
General State Charges	--	--	--	0.1	--	--	--	--	--	--			0.1	--
Total Disbursements	0.1	--	--	0.1	0.1	--	0.3	--	0.2	0.3	0.0	0.0	1.1	0.3
Excess (Deficiency) of Receipts over Disbursements	0.1	--	--	--	(0.1)	--	(0.2)	--	(0.2)	(0.1)	0.0	0.0	(0.5)	(0.1)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	--	--	--	(0.1)	--	(0.2)	--	(0.2)	(0.1)	0.0	0.0	(0.5)	(0.1)
CLOSING CASH BALANCE	\$9.9	\$9.9	\$9.9	\$9.9	\$9.8	\$9.8	\$9.6	\$9.6	\$9.4	\$9.3	\$0.0	\$0.0	\$9.3	\$9.6

(1) See Exhibit A, Footnote #7.

(2) Miscellaneous Receipts and Non-Personal Service (Refunds) are adjusted to reflect a prior period adjustment processed by the Department of Agriculture and Markets.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES (1)
FOR THE MONTH OF JANUARY 2004
(amounts in millions)

SCHEDULE 1

	BALANCE 1/1/04	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 1/31/04
GENERAL FUND					
001-Local Assistance	\$ --	\$ 0.080	\$ 1,345.505	\$ 1,345.425	\$ --
003-State Operations	2,971.831	3,770.620	680.693	(168.732)	5,893.026
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	20.624	--	--	--	20.624
006-Universal Pre-K	--	--	--	--	--
007-Community Projects	19.595	--	4.175	2.100	17.520
166-Fringe Benefits Escrow	--	41.393	37.070	--	4.323
TOTAL GENERAL FUND	3,012.050	3,812.093	2,067.443	1,178.793	5,935.493
SPECIAL REVENUE FUNDS-GENERAL					
019-Mental Health Gifts and Donations	1.719	0.015	0.034	--	1.700
020-Combined Expendable Trust	24.113	0.439	1.962	0.400	22.990
023-New York Interest on Lawyer Account	4.998	0.876	0.140	--	5.734
024-Archives Partnership Trust	0.291	--	0.032	--	0.259
050-Tuition Reimbursement	1.677	0.213	0.193	--	1.697
052-Local Government Records Management Improvement	10.791	1.166	0.530	--	11.427
053-School Tax Relief	195.895	--	180.000	--	15.895
054-Charter Schools Stimulus	4.214	0.004	--	--	4.218
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	154.196	224.607	331.630	--	47.173
062-Tobacco Transfer	--	--	--	--	--
068-Indigent Care	110.869	59.300	152.598	--	17.571
073-Dedicated Mass Transportation Trust	66.877	51.260	53.305	--	64.832
160-State Lottery	(421.586)	157.103	9.134	--	(273.617)
221-Combined Student Loan	19.234	3.771	4.391	--	18.614
300-Sewage Treatment Program Mgmt. & Administration	(1.342)	(0.002)	0.527	--	(1.871)
301-EnCon Special Revenue	23.522	7.655	5.200	--	25.977
302-Conservation	30.643	2.023	3.075	--	29.591
303-Environmental Protection and Oil Spill Compensation	18.671	5.121	2.011	(3.289)	18.492
305-Training and Education Program on OSHA	11.688	5.831	2.497	--	15.022
306-Lawyers' Fund for Client Protection	5.533	0.581	0.839	--	5.275
307-Equipment Loan for the Disabled	0.340	0.002	--	--	0.342
312-Hazardous Waste Remedial	(13.439)	7.892	1.525	(6.116)	(13.188)
313-Mass Transportation Operating Assistance	(207.794)	49.419	0.291	0.681	(157.985)
314-Clean Air	4.209	4.369	1.892	--	6.686
318-New York State Infrastructure Trust	0.056	--	--	--	0.056
321-Legislative Computer Services	4.876	0.141	--	--	5.017
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	4.196	0.053	0.012	--	4.237
333-Winter Sports Education Trust	1.206	0.001	--	--	1.207
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.493	0.003	--	--	0.496
339-Miscellaneous State Special Revenue	888.288	330.540	466.780	223.343	975.391
340-Court Facilities Incentive Aid	21.074	0.019	1.715	--	19.378
341-Employment Training	0.803	--	--	--	0.803

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES (1)
FOR THE MONTH OF JANUARY 2004
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 1/1/04	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 1/31/04
SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)					
342-Homeless Housing and Assistance	\$ --	\$ --	\$ --	\$ --	\$ --
345-State University Income	195.991	523.853	206.063	30.483	544.264
346-Substance Abuse Service	2.188	0.187	1.342	--	1.033
349-Lake George Park Trust	0.558	0.002	0.190	--	0.370
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	28.362	10.862	0.082	--	39.142
355-New York Great Lakes Protection	3.550	0.003	0.007	--	3.546
359-Federal Revenue Maximization	0.051	--	--	--	0.051
360-Housing Development	10.487	3.010	(0.291)	--	13.788
362-NYS/DOT Highway Safety Program	(0.131)	--	0.211	--	(0.342)
365-Vocational Rehabilitation	0.059	--	0.043	--	0.016
366-Drinking Water Program Management and Administration	(1.336)	--	0.398	--	(1.734)
368-NYC County Clerks' Operations Offset	0.268	--	1.208	--	(0.940)
369-Judiciary Data Processing Offset	(4.614)	--	0.871	--	(5.485)
377-IFR / CUTRA	35.373	3.312	3.819	--	34.866
379-Racing Preservation	--	--	--	--	--
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.113	0.013	--	--	0.126
390-Indigent Legal Services	12.986	2.873	--	--	15.859
482-Unemployment Insurance Interest and Penalty	5.552	0.786	0.930	--	5.408
TOTAL SPECIAL REVENUE FUNDS-GENERAL	1,255.785	1,457.303	1,435.186	245.502	1,523.404
SPECIAL REVENUE FUNDS-FEDERAL					
261-Federal USDA / Food and Consumer Services	(0.776)	102.512	115.317	(2.016)	(15.597)
265-Federal Health and Human Services	(351.196)	2,473.216	2,137.660	(235.973)	(251.613)
267-Federal Education	(15.834)	129.228	117.005	(1.884)	(5.495)
269-Federal DHHS Block Grant	(1.069)	46.918	48.798	--	(2.949)
290-Federal Miscellaneous Operating Grants	332.225	66.676	51.392	(2.505)	345.004
480-Unemployment Insurance Administration	23.501	18.794	18.151	--	24.144
484-Unemployment Insurance Occupational Training	5.726	1.065	0.712	--	6.079
486-Federal Employment and Training Grants	(0.435)	12.450	13.618	--	(1.603)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(7.858)	2,850.859	2,502.653	(242.378)	97.970
TOTAL SPECIAL REVENUE FUNDS	1,247.927	4,308.162	3,937.839	3.124	1,621.374
DEBT SERVICE FUNDS					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	211.193	35.201	6.283	11.649	251.760
311-General Obligation Debt Service	--	992.461	91.184	(901.277)	--
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	1.988	2.740	0.752	--
319-Department of Health Income	21.955	11.236	--	(10.118)	23.073
330-State University Dormitory Income	54.688	38.147	--	(21.478)	71.357
361-Clean Water/Clean Air	29.014	37.595	--	(34.462)	32.147
364-Local Government Assistance Tax	7.863	180.853	0.760	(181.643)	6.313
TOTAL DEBT SERVICE FUNDS	\$ 324.713	\$ 1,297.481	\$ 100.967	\$ (1,136.577)	\$ 384.650

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES (1)
FOR THE MONTH OF JANUARY 2004
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 1/1/04	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 1/31/04
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	\$ --	\$ 40.183	\$ 72.219	\$ 32.036	\$ --
072-Dedicated Highway and Bridge Trust	(236.762)	266.967	131.062	(25.860)	(126.717)
074-SUNY Residence Halls Rehabilitation and Repair	77.341	0.067	1.882	--	75.526
075-New York State Canal System Development	1.624	0.460	--	--	2.084
076-Parks Infrastructure	(0.459)	--	1.467	--	(1.926)
077-Passenger Facility Charge	0.388	0.039	--	--	0.427
078-Environmental Protection	27.896	11.450	4.386	--	34.960
079-Clean Water/Clean Air Implementation	(4.188)	--	0.011	--	(4.199)
080-Hudson River Park	0.074	--	--	--	0.074
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.565	--	--	--	3.565
115-Environmental Quality Protection Bond	13.383	--	--	(0.091)	13.292
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	12.148	--	--	(0.272)	11.876
124-1986 Environmental Quality Bond Act	39.233	--	--	(2.469)	36.764
126-Accelerated Capacity and Transportation Improvement Bond	0.719	--	--	(0.118)	0.601
127-Clean Water/Clean Air Bond	59.998	--	--	(3.835)	56.163
291-Federal Capital Projects	(116.330)	148.140	124.576	(45.290)	(138.056)
310-Forest Preserve Expansion	0.287	0.001	--	--	0.288
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.327	--	--	--	0.327
357-Division for Youth Facilities Improvement	(1.968)	1.548	0.497	--	(0.917)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(0.747)	--	--	--	(0.747)
376-Housing Program	(61.276)	--	10.005	--	(71.281)
378-Natural Resource Damage	8.386	0.007	0.004	--	8.389
380-DOT Engineering Services	(103.665)	--	10.200	--	(113.865)
384-State University Capital Projects	14.519	0.133	1.032	--	13.620
387-Miscellaneous Capital Projects	16.126	(0.029)	0.401	--	15.696
388-CUNY Capital Projects	(1.122)	(0.001)	--	--	(1.123)
389-Mental Hygiene Facilities Capital Improvement	(225.422)	4.964	6.766	--	(227.224)
399-Correction Facilities Capital Improvement	(27.978)	16.395	11.719	--	(23.302)
TOTAL CAPITAL PROJECTS FUNDS	<u>(503.737)</u>	<u>490.324</u>	<u>376.227</u>	<u>(45.899)</u>	<u>(435.539)</u>
TOTAL GOVERNMENTAL FUNDS	<u>\$ 4,080.953</u>	<u>\$ 9,908.060</u>	<u>\$ 6,482.476</u>	<u>\$ (0.559)</u>	<u>\$ 7,505.978</u>

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
 PROPRIETARY FUNDS

SCHEDULE 2

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY (1)
 FOR THE MONTH OF JANUARY 2004
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND EQUITY 1/1/04</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 1/31/04</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$ 0.315	\$ 0.003	\$ 0.005	\$ --	\$ 0.313
325-State Exposition Special	1.503	0.277	0.323	--	1.457
326-Correctional Services Commissary	1.258	2.801	3.021	--	1.038
329-Correctional Services Family Benefit	1.659	1.732	1.767	--	1.624
331-Agency Enterprise	0.841	0.575	0.236	--	1.180
351-Mental Health Sheltered Workshop	2.107	0.287	0.211	--	2.183
352-Mental Retardation Sheltered Workshop	0.449	0.076	0.083	--	0.442
353-Mental Hygiene Community Stores	2.301	0.181	0.202	--	2.280
450-Industrial Exhibit Authority	0.806	0.361	0.272	--	0.895
481-Unemployment Insurance Benefit	4.550	327.401	301.379	--	30.572
TOTAL ENTERPRISE FUNDS	15.789	333.694	307.499	--	41.984
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	(16.393)	13.439	14.599	(1.000)	(18.553)
334-Agency Internal Service	(106.788)	16.901	22.520	1.560	(110.847)
343-Mental Hygiene Revolving	0.907	0.133	0.092	--	0.948
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	0.534	--	0.115	--	0.419
395-Audit and Control Revolving	(1.123)	--	0.188	--	(1.311)
396-Health Insurance Revolving	(22.606)	0.483	0.665	--	(22.788)
397-Correctional Industries Revolving	(4.129)	3.293	3.764	--	(4.600)
TOTAL INTERNAL SERVICE FUNDS	(149.595)	34.249	41.943	0.560	(156.729)
TOTAL PROPRIETARY FUNDS	\$ (133.806)	\$ 367.943	\$ 349.442	\$ 0.560	\$ (114.745)

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (1)
 FOR THE MONTH OF JANUARY 2004

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 1/1/04</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 1/31/04</u>
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers Security	\$ 3.989	\$ 0.010	\$ 0.010	\$ --	\$ 3.989
022-Milk Producers Security	5.449	0.201	0.309	--	5.341
TOTAL PRIVATE PURPOSE TRUST FUNDS	9.438	0.211	0.319	--	9.330
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	29.944	1.922	--	--	31.866
152-Employees Health Insurance	100.386	366.867	357.443	--	109.810
153-Social Security Contribution	18.890	77.594	66.043	--	30.441
154-Employee Payroll Withholding Escrow	23.535	282.387	254.215	--	51.707
162-Employees Dental Insurance	0.546	5.456	2.497	--	3.505
163-Management Confidential Group Insurance	0.980	0.635	0.206	--	1.409
165-Lottery Prize	294.010	54.154	75.820	--	272.344
167-Health Insurance Reserve Receipts	0.014	0.004	--	--	0.018
169-Miscellaneous New York State Agency	604.079	131.377	124.017	--	611.439
175-Elderly Pharmaceutical Insurance Coverage Escrow	32.440	30.528	61.800	--	1.168
176-City University Senior College Operating	17.522	82.779	71.378	--	28.923
179-Medicaid Management Information System Escrow	699.999	3,164.157	3,574.361	--	289.795
309-Special Education	--	--	--	--	--
344-State University Collection	129.360	146.749	--	--	276.109
382-SUNY Federal Direct Lending Program	(14.865)	10.049	--	--	(4.816)
TOTAL AGENCY FUNDS	1,936.840	4,354.658	4,587.780	--	1,703.718
TOTAL FIDUCIARY FUNDS	\$ 1,946.278	\$ 4,354.869	\$ 4,588.099	\$ --	\$ 1,713.048

(1) See Exhibit A, Footnote # 7.

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FOR THE MONTH OF JANUARY 2004
 (amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 1/1/04</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 1/31/04</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$ 0.133	\$ --	\$ --	\$ 0.133
149-Sole Custody Investment	1,111.239	1,122.262	1,083.412	1,150.089
650-Comptroller's Refund	--	116.222	116.222	--
750-NYS Thruway Authority Operating	1.601	24.590	25.359	0.832
TOTAL ACCOUNTS	\$ 1,112.973	\$ 1,263.074	\$ 1,224.993	\$ 1,151.054

**STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2004**

PURPOSE	DEBT OUTSTANDING APR. 1, 2003	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JAN. 31, 2004	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2004 (1)	MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2004		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2004
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 1,172,175,921.03	\$ --	\$ 10,476,959.39	\$ --	\$ 67,118,528.88	\$ 1,115,534,351.54	\$ 2,494,327.57	\$ 33,167,765.20
Clean Water/Clean Air:								
Air Quality	137,285,573.80	--	885,957.32	--	6,567,907.99	131,603,623.13	1,431,875.67	5,155,859.91
Safe Drinking Water	255,121,346.43	--	29,899,733.18	--	8,569,687.87	276,451,391.74	1,267,318.43	8,972,245.73
Water	390,096,295.13	--	18,169,048.00	--	1,647,910.98	406,617,432.15	882,983.79	7,713,221.02
Solid Waste	114,517,979.66	--	34,373,874.00	--	1,349,207.01	147,542,646.65	822,688.56	2,885,731.73
Environmental Restoration	23,266,564.93	--	--	--	90,086.21	23,176,478.72	7,871.87	357,711.74
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	56,658,501.89	--	97,984.72	--	1,786,501.56	54,969,985.05	21,726.44	1,638,425.54
Environmental Quality Protection (1972):								
Air	31,388,125.12	--	15,380,964.01	--	2,047,915.22	44,721,173.91	552,387.44	1,632,968.54
Land	88,162,055.09	--	15,511,527.50	--	6,458,125.62	97,215,456.97	258,176.38	3,000,962.40
Wet Lands	--	--	--	--	--	--	--	--
Water	226,151,206.39	--	78,044.14	--	11,459,925.27	214,769,325.26	1,072,666.74	8,425,851.66
Environmental Quality (1986):								
Land and Forests	130,044,664.43	--	502,241.44	--	9,893,642.78	120,653,263.09	703,367.65	4,814,572.09
Solid Waste Management	667,950,442.71	--	30,588,848.30	4,940,000.00	25,386,685.79	673,152,605.22	1,461,947.11	12,951,222.85
Higher Education Construction	2,280,000.00	--	--	--	1,470,000.00	810,000.00	--	98,100.00
Housing								
Low Cost	137,962,932.62	--	--	1,245,000.00	18,349,000.00	119,613,932.62	299,232.77	4,040,850.04
Middle Income	71,752,000.00	--	--	1,013,000.00	3,878,000.00	67,874,000.00	183,278.50	3,119,692.00
Urban Renewal	606,777.43	--	--	--	32,997.05	573,780.38	--	13,720.58
Outdoor Recreation Development	677,705.88	--	--	--	102,682.00	575,023.88	235.29	36,633.83
Park and Recreation Land Acquisition	156,439.64	--	--	--	35,083.16	121,356.48	--	4,368.20
Pure Waters	179,518,080.68	--	22,666.96	--	10,465,533.27	169,075,214.37	734,532.08	6,816,456.18
Rail Preservation Development	55,969,340.17	--	9,064.61	--	6,189,952.99	49,788,451.79	44,726.37	2,487,529.28
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	8,769,438.23	--	--	--	1,351,176.17	7,418,262.06	60,131.36	260,289.26
Ports, Canals, and Waterways	4,025,548.24	--	1,564.30	--	903,171.74	3,123,940.80	3,492.05	161,638.59
Rapid Transit, Rail, and Aviation	61,037,594.23	--	354,822.12	--	4,164,529.59	57,227,886.76	156,211.30	2,031,871.49
Transportation Capital Facilities:								
Aviation	63,481,258.11	--	231,433.19	--	5,865,520.79	57,847,170.51	180,592.48	2,479,741.23
Mass Transportation	116,962,207.44	--	--	--	20,278,228.06	96,683,979.38	1,782.66	5,741,589.41
Total General Obligation Bonded Debt	\$ 3,996,017,999.28	\$ --	\$ 156,584,733.18	\$ 7,198,000.00	\$ 215,462,000.00	\$ 3,937,140,732.46	\$ 12,641,552.51	\$ 118,009,018.50

(1) Includes June 2003 Refunding Bonds as follows: Refunding \$362,905,000 and Refunded \$345,320,000.

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TEN (10) MONTHS ENDED JANUARY 31, 2004

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		INCREASE (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY			
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	10 MONTHS ENDED JAN. 31		
FUND	(311- 01)	(319)	(364)	(304)	(311- 02)	(330)	2004	2003		
(064)										
Special Contractual Financing Obligations:										
City University Construction	\$ --	\$ 161,048,023	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 161,048,023	\$ 215,923,891	\$ (54,875,868)
Community Enhancement Facilities Program	--	1,664,116	--	--	--	--	--	1,664,116	1,060,616	603,500
County of Albany	--	--	--	--	--	--	--	--	--	--
Department of TransRegion 1 Schenectady	--	873,079	--	--	--	--	--	873,079	918,379	(45,300)
Dormitory Authority	53,183,736	351,692,156	29,725,074	--	85,352,167	16,915,871	33,392,948	570,261,952	576,059,109	(5,797,157)
Environmental Conservation - Broadway Albany	--	3,278,392	--	--	--	--	--	3,278,392	3,185,973	92,419
Environmental Conservation - 50 Wolf Rd Albany	--	1,324,183	--	--	--	--	--	1,324,183	1,301,250	22,933
Energy Research & Development Authority	--	7,551,458	--	--	--	--	--	7,551,458	7,094,925	456,533
Environmental Facilities Corporation	--	8,907,123	--	--	--	13,866,780	--	22,773,903	13,254,447	9,519,456
Hampton Plaza	--	175,000	--	--	--	--	--	175,000	181,188	(6,188)
Hanson Place	--	3,642,500	--	--	--	--	--	3,642,500	3,950,013	(307,513)
44 Holland Avenue	--	834,432	--	--	--	--	--	834,432	830,016	4,416
Housing Finance Agency	--	20,766,079	--	--	--	--	--	20,766,079	39,183,913	(18,417,834)
Local Government Assistance Corporation	--	--	--	93,326,150	--	--	--	93,326,150	96,265,348	(2,939,198)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	131,881,741	--	--	--	--	--	131,881,741	10,685,503	121,196,238
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	41,933,166	--	--	--	--	--	41,933,166	41,881,232	51,934
Thruway Authority	--	786,625,000	--	--	--	5,365,000	--	791,990,000	671,899,000	120,091,000
Urban Development Corporation:										
Correctional Facilities	--	159,617,564	--	--	--	--	--	159,617,564	160,208,153	(590,589)
Center for Industrial Innovation at RPI	--	3,465,961	--	--	--	--	--	3,465,961	3,460,021	5,940
Syracuse University Science and Technology Center	--	2,525,932	--	--	--	--	--	2,525,932	2,596,067	(70,135)
Cornell Univer. Supercomputer Center	--	1,601,031	--	--	--	--	--	1,601,031	1,684,013	(82,982)
Columbia Univer. Telecommunications Center	--	4,187,617	--	--	--	--	--	4,187,617	4,187,219	398
Onondaga Convention Center	--	3,857,370	--	--	--	--	--	3,857,370	3,758,568	98,802
Clarkson University	--	685,794	--	--	--	--	--	685,794	666,494	19,300
Alfred University	--	885,140	--	--	--	--	--	885,140	872,749	12,391
New York University	--	--	--	--	--	--	--	--	--	--
Rochester University	--	--	--	--	--	--	--	--	--	--
Higher Education	--	1,270,338	--	--	--	--	--	1,270,338	1,338,053	(67,715)
Youth Facilities	--	5,570,155	--	--	--	--	--	5,570,155	4,515,471	1,054,684
University Facilities Grant 95 Refunding	--	1,531,843	--	--	--	--	--	1,531,843	1,528,293	3,550
Economic Development Heritage Trail Project	--	376,740	--	--	--	--	--	376,740	488,743	(112,003)
Economic Development Housing	--	--	--	--	--	77,106,264	--	77,106,264	--	77,106,264
Sports Facility	--	3,298,417	--	--	--	--	--	3,298,417	3,438,044	(139,627)
Ten Eyck Project Albany	--	822,416	--	--	--	--	--	822,416	822,416	--
Long Island and Pine Barren	--	337,367	--	--	--	--	--	337,367	352,048	(14,681)
South Mall	--	34,436,242	--	--	--	--	--	34,436,242	34,431,000	5,242
State Facilities and Equipment	--	--	--	--	--	14,243,895	--	14,243,895	--	14,243,895
State Office Building	--	--	--	--	--	--	--	--	--	--
Strategic Investment Program	--	--	--	--	--	--	--	--	3,943,180	(3,943,180)
Total Disbursements for Special Contractual Financing Obligations	\$ 53,183,736	\$ 1,746,666,375	\$ 29,725,074	\$ 93,326,150	\$ 85,352,167	\$ 127,497,810	\$ 33,392,948	\$ 2,169,144,260	\$ 1,911,965,335	\$ 257,178,925

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JANUARY 2004
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	JANUARY 2004	FISCAL YEAR TO DATE
<u>SHORT TERM INVESTMENT POOL</u>		
AVERAGE DAILY INVESTMENT BALANCE*	\$6,705.9	\$4,585.1
AVERAGE YIELD*	1.057%	1.099%
TOTAL INVESTMENT EARNINGS	\$6.021	\$42.264

<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>
TREASURY BILLS	\$0.0
GOVT. AGENCY BILLS/NOTES	\$292.1
REPURCHASE AGREEMENTS	\$12.3
COMMERCIAL PAPER	\$7,909.8
CERTIFICATES OF DEPOSIT	\$355.7
0% COMPENSATING BALANCE CD's	\$1,900.4
	\$10,470.3

*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - TOBACCO CONTROL AND INSURANCE INITIATIVES POOL
FISCAL YEAR 2003-2004**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>3rd Quarter</u> <u>October-December</u>	2003-2004
OPENING CASH BALANCE	\$450,213,112.01	\$148,456,248.85	\$131,143,348.79	\$450,213,112.01
RECEIPTS:				
UPL Receipts				0.00
Interest Income	940,405.56	294,688.58	159,478.27	1,394,572.41
Cigarette Tax Receipts	188,083,065.85	193,528,468.29	172,606,184.36	554,217,718.50
Other Receipts				0.00
Total Receipts	<u>189,023,471.41</u>	<u>193,823,156.87</u>	<u>172,765,662.63</u>	<u>555,612,290.91</u>
DISBURSEMENTS:				
Program Disbursements:				
Audit Services	(269,962.00)	(2,151,360.00)	(705,794.00)	(3,127,116.00)
Grants to Residential Health Care Facilities				
Grants to Medical Schools	(307,322.75)	(268,187.20)	(456,807.50)	(1,032,317.45)
Grants to School Based Health Centers			(5,405,398.34)	(5,405,398.34)
Health Care Recruitment & Retention	(15,704,699.69)	(13,406,738.21)	(8,260,194.65)	(37,371,632.55)
Direct Pay Marketing				
Tobacco Use Prevention & Control	(9,646,013.05)	(7,983,857.31)	(4,513,969.29)	(22,143,839.65)
Roswell Park Cancer Institute		(25,125,000.00)	(25,125,000.00)	(50,250,000.00)
Healthy NY - Individual	(197,163.99)	(119,489.53)	(11,875.00)	(328,528.52)
Healthy NY - Group	(301,975.13)	(47,500.00)	(11,875.00)	(361,350.13)
Excess Medical Malpractice	(47,400,000.00)			(47,400,000.00)
High Need Indigent Care Adjustment				
DSH Share Rural Hospital Adjustment				
Non-DSH Share Rural Hospital Adjustment				
Infertility Grant Program	(905,410.25)	(469,198.38)	(533,842.27)	(1,908,450.90)
Other				
Total Program Disbursements	<u>(74,732,546.86)</u>	<u>(49,571,330.63)</u>	<u>(45,024,756.05)</u>	<u>(169,328,633.54)</u>
Administrative Expenses	(162,648.42)	(104,099.08)	(220,534.03)	(487,281.53)
Healthy New York Individual/Group Administration			(2,884,071.25)	(2,884,071.25)
Investment Purchases				0.00
Total Disbursements	<u>(74,895,195.28)</u>	<u>(49,675,429.71)</u>	<u>(48,129,361.33)</u>	<u>(172,699,986.32)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>114,128,276.13</u>	<u>144,147,727.16</u>	<u>124,636,301.30</u>	<u>382,912,304.59</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Regional Escrow	309,353.32	64,554.95	17.56	373,925.83
Medicaid Disproportionate Share			2,380,161.02	2,380,161.02
Public Goods Pool	182.00		232,925,000.00	232,925,182.00
Transfers From State Funds:				
060-Tobacco Settlement Fund	259,000,000.00		93,016,427.00	352,016,427.00
068-Indigent Care Fund				
Other				
Total Other Financing Sources	<u>259,309,535.32</u>	<u>64,554.95</u>	<u>328,321,605.58</u>	<u>587,695,695.85</u>
Transfers to Other Pools:				
Medicaid Disproportionate Share	(1,018,094.39)	(148,039.00)	(1,618,572.86)	(2,784,706.25)
Health Facility Assessment Fund				0.00
Public Goods Pool			(1,181,588.16)	(1,181,588.16)
Statewide Bad Debt & Charity Care Pool				0.00
Regional Distribution Account				0.00
Escrow	(11,893.58)	(76,031.91)	(91,630.94)	(179,556.43)
Other				0.00
Transfers to State Funds:				
061-HCRA Transfer Fund	(527,741,000.00)	(35,308,000.00)	(335,200,000.00)	(898,249,000.00)
068-Indigent Care Fund	(24,423,686.64)	(25,493,111.26)	(13,583,011.45)	(63,499,809.35)
339-DN-Provider Collection Monitoring Account				(500,000.00)
339-J6-EPIC Program	(122,000,000.00)	(100,000,000.00)	(111,300,000.00)	(333,300,000.00)
Other				0.00
Total Other Financing Uses	<u>(675,194,674.61)</u>	<u>(161,025,182.17)</u>	<u>(462,974,803.41)</u>	<u>(1,299,694,660.19)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(301,756,863.16)</u>	<u>(17,312,900.06)</u>	<u>(10,016,896.53)</u>	<u>(329,086,659.75)</u>
CLOSING CASH BALANCE	<u>\$148,456,248.85</u>	<u>\$131,143,348.79</u>	<u>\$121,126,452.26</u>	<u>\$121,126,452.26</u>

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2003-2004**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>3rd Quarter</u> <u>October-December</u>	2003-2004
OPENING CASH BALANCE	\$689,534,250.63	\$692,841,949.27	\$539,145,294.01	\$689,534,250.63
RECEIPTS:				
Assessments	531,539,566.83	530,986,295.68	615,990,507.35	1,678,516,369.86
Interest Income	1,947,787.62	1,415,702.53	1,539,377.09	4,902,867.24
Other Receipts				0.00
Total Receipts	<u>533,487,354.45</u>	<u>532,401,998.21</u>	<u>617,529,884.44</u>	<u>1,683,419,237.10</u>
DISBURSEMENTS:				
Program Disbursements:				
Senate/Assembly Discretionary	(1,881,325.11)	(1,936,357.55)	(1,968,838.57)	(5,786,521.23)
Commissioner of Health Discretionary	(6,971,774.07)	(6,092,965.24)	(4,906,803.56)	(17,971,542.87)
Rural Health Care Initiatives	(3,028,467.05)	(3,410,406.42)	(1,595,451.72)	(8,034,325.19)
Health Facilities Restructuring	(20,000,000.00)			(20,000,000.00)
Poison Control		(2,387,817.00)	(2,506,575.00)	(4,894,392.00)
ADAP/HIV Uninsured Care Program	(10,000,000.00)		(3,000,000.00)	(13,000,000.00)
Cancer Related Services	(1,785,869.71)	(1,770,531.41)	(2,352,931.97)	(5,909,333.09)
Diagnostic and Treatment Centers	(36,409.85)	(12,906,526.91)	(6,258,030.93)	(19,200,967.69)
Supplemental BDCC Awards			(1,334,332.83)	(1,334,332.83)
Health Information & Health Care Quality	(19,863.80)	(100,919.83)		(120,783.63)
Health Work Force Retraining Program	(540,794.74)	(1,186,362.71)	(276,968.99)	(2,004,126.44)
Minority Partnership in Medical Education Grants	(116,978.68)			(116,978.68)
Voucher Insurance Program				
Specialty Children & Cancer Hospital				
Small Business Health Insurance	(779,683.73)	(474,924.27)	(82,889.88)	(1,337,497.88)
Catastrophic Health Care Expense	(824,108.00)	(553,520.00)	(745,885.00)	(2,123,513.00)
Cancer Mapping				
Individual Subsidy Program	(1,169,988.51)	(258,084.57)		(1,428,073.08)
Area Health Education Center				
PEP Distributions	(127,733,142.68)	(108,761,245.77)	(100,149,663.48)	(336,644,051.93)
Primary Health Care Services	(2,093,689.00)	(1,117,012.00)	(1,131,714.00)	(5,342,415.00)
Other				
Total Program Disbursements	<u>(176,982,094.93)</u>	<u>(140,956,673.68)</u>	<u>(127,310,085.93)</u>	<u>(445,248,854.54)</u>
Administrative Expenses	<u>(614,737.82)</u>	<u>(375,840.79)</u>	<u>(821,442.82)</u>	<u>(1,812,021.43)</u>
Total Disbursements	<u>(177,596,832.75)</u>	<u>(141,332,514.47)</u>	<u>(128,131,528.75)</u>	<u>(447,060,875.97)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>355,890,521.70</u>	<u>391,069,483.74</u>	<u>489,398,355.69</u>	<u>1,236,358,361.13</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Tobacco Control and Insurance Initiatives			1,181,588.16	1,181,588.16
Escrow	175,234.37	4,211,590.00	2,589,601.18	6,976,425.55
Medicaid Disproportionate Share	0.10		19,999,538.96	19,999,539.06
Health Facility Assessment Fund	71,468.79	23,830.57		95,299.36
Hospital Regional Pool Contribution	9,462.26	69,270.54	6,661.32	85,394.12
Statewide Bad Debt & Charity Care Pool				0.00
SPRCF	1,500.00		1,750.00	3,250.00
SHMO	1,500.00		1,750.00	3,250.00
Transfers From State Funds:				0.00
060-Tobacco Settlement Fund				0.00
068-Indigent Care Fund				0.00
Other				0.00
Total Other Financing Sources	<u>259,165.52</u>	<u>4,304,691.11</u>	<u>23,780,889.62</u>	<u>28,344,746.25</u>
Transfers to Other Pools:				
Medicaid Disproportionate Share	(850,076.87)	(2,443,957.93)	(3,595,015.80)	(6,889,050.60)
Tobacco Control & Insurance Initiatives	(182.00)		(232,925,000.00)	(232,925,182.00)
Public Goods Pool				
Statewide Bad Debt & Charity Care Pool				
Regional Distribution Account				
Escrow	(4,131,210.81)	(1,457,206.33)		(5,588,417.14)
Other				
Transfers to State Funds:				
061-HCRA Transfer Fund	(41,000,000.00)		(3,652,735.00)	(44,652,735.00)
068-Indigent Care Fund	(257,360,518.90)	(420,669,665.85)	(4,873,980.93)	(682,904,165.68)
339-AF - Hospital Based Grants		(12,000,000.00)		(12,000,000.00)
339-AK - Insurance Voucher- Admin				
339-BO - Primary Care Initiatives Monitoring				
339-H3 - Small Business Health Insurance Partnership				
339-H3 - Pilot Health Ins. Program		(1,050,000.00)		(1,050,000.00)
339-K3 - Catastrophic Health Care				
339-LB - Health Care Planning		(450,000.00)		(450,000.00)
339-LD - Rural Health Care Delivery				
339-22 - Emergency Medical Services	(5,000,000.00)	(4,000,000.00)	(5,000,000.00)	(14,000,000.00)
339-DN-Provider Collection Monitoring Account	(500,000.00)		(1,000,000.00)	(1,500,000.00)
339-J6-EPIC Program				
339-29 - Child Health Insurance	(43,000,000.00)	(105,000,000.00)	(55,000,000.00)	(203,000,000.00)
339-LC - Maternal & Child HIV Services	(1,000,000.00)	(2,000,000.00)	(2,500,000.00)	(5,500,000.00)
339-LE - Health Care Delivery Improvement				
Other				
Total Other Financing Uses	<u>(352,841,988.58)</u>	<u>(549,070,830.11)</u>	<u>(308,546,731.73)</u>	<u>(1,210,459,550.42)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>3,307,698.64</u>	<u>(153,696,655.26)</u>	<u>204,632,513.58</u>	<u>54,243,556.96</u>
CLOSING CASH BALANCE	<u>\$692,841,949.27</u>	<u>\$539,145,294.01</u>	<u>\$743,777,807.59</u>	<u>\$743,777,807.59</u>

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2003-2004**

	<u>1st Quarter April-June</u>	<u>2nd Quarter July-September</u>	<u>3rd Quarter October-December</u>	<u>2003-2004</u>
OPENING CASH BALANCE	\$8,045,785.41	\$8,251,644.16	\$10,692,689.52	\$8,045,785.41
RECEIPTS:				
Assessments				0.00
Interest Income	81,964.89	98,672.82	59,538.18	240,175.89
Total Receipts	<u>81,964.89</u>	<u>98,672.82</u>	<u>59,538.18</u>	<u>240,175.89</u>
DISBURSEMENTS:				
Program Disbursements:				
Maintenance of Effort - Phase 3				0.00
Indigent Care(PGP)/Regional and Statewide (BDCCP)	(284,694,927.94)	(446,412,401.50)	(20,889,306.35)	(751,996,635.79)
Other				0.00
Total Program Disbursements	<u>(284,694,927.94)</u>	<u>(446,412,401.50)</u>	<u>(20,889,306.35)</u>	<u>(751,996,635.79)</u>
Investment Purchases				0.00
Total Disbursements	<u>(284,694,927.94)</u>	<u>(446,412,401.50)</u>	<u>(20,889,306.35)</u>	<u>(751,996,635.79)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(284,612,963.05)</u>	<u>(446,313,728.68)</u>	<u>(20,829,768.17)</u>	<u>(751,756,459.90)</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Tobacco Control and Insurance Initiatives	1,018,094.39	148,039.00	1,618,572.86	2,784,706.25
Public Goods Pool	850,076.87	2,443,957.93	3,595,015.80	6,889,050.60
Regional Medicaid Disproportionate Share			24,787,265.00	24,787,265.00
Statewide Bad Debt & Charity Care Pool				0.00
Hospital Regional Contribution Account			101.99	101.99
Regional Escrow Account	1,166,445.10	0.00		1,166,445.10
Transfers From State Funds:				0.00
068-Indigent Care Fund	281,784,205.54	446,162,777.11	18,456,992.38	746,403,975.03
Other				0.00
Total Other Financing Sources	<u>284,818,821.90</u>	<u>448,754,774.04</u>	<u>48,457,948.03</u>	<u>782,031,543.97</u>
Transfers to Other Pools:				
Tobacco Control & Insurance Initiatives			(2,380,161.02)	(2,380,161.02)
Public Goods Pool	(0.10)	0.00	(19,999,538.96)	(19,999,539.06)
Health Facility Assessment			(1,665,314.77)	(1,665,314.77)
Regional Medicaid Disproportionate Share				0.00
Other				0.00
Transfers to State Funds:				0.00
Other				0.00
Total Other Financing Uses	<u>(0.10)</u>	<u>0.00</u>	<u>(24,045,014.75)</u>	<u>(24,045,014.85)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>205,858.75</u>	<u>2,441,045.36</u>	<u>3,583,165.11</u>	<u>6,230,069.22</u>
CLOSING CASH BALANCE	<u>\$8,251,644.16</u>	<u>\$10,692,689.52</u>	<u>\$14,275,854.63</u>	<u>\$14,275,854.63</u>

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - BDCCP and INDIGENT CARE ESCROW
FISCAL YEAR 2003-2004**

	<i>1st Quarter April-June</i>	<i>2nd Quarter July-September</i>	<i>3rd Quarter October-December</i>	2003-2004
OPENING CASH BALANCE	\$45,793,314.80	\$48,416,608.49	\$45,783,050.01	\$45,793,314.80
RECEIPTS:				
Assessments				0.00
Interest Income	131,220.09	109,348.23	103,064.69	343,633.01
Total Receipts	<u>131,220.09</u>	<u>109,348.23</u>	<u>103,064.69</u>	<u>343,633.01</u>
DISBURSEMENTS:				
Program Disbursements:				
Other				0.00
Total Program Disbursements	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Investment Purchases				0.00
Total Disbursements	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Excess (Deficiency) of Receipts over Disbursements	<u>131,220.09</u>	<u>109,348.23</u>	<u>103,064.69</u>	<u>343,633.01</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Tobacco Control and Insurance Initiatives	11,893.58	76,031.91	91,630.94	179,556.43
Public Goods	4,131,210.81	1,457,206.33	(1,903,280.00)	3,685,137.14
Hospital Regional	2.00			2.00
Other				0.00
Transfers From State Funds:				0.00
Other				0.00
Total Other Financing Sources	<u>4,143,106.39</u>	<u>1,533,238.24</u>	<u>(1,811,649.06)</u>	<u>3,864,695.57</u>
Transfers to Other Pools:				
Tobacco Control & Insurance Initiatives	(309,353.32)	(64,554.95)	(17.56)	(373,925.83)
Public Goods Pool	(175,234.37)	(4,211,590.00)	(686,321.18)	(5,073,145.55)
Hospital Regional				0.00
Regional Medicaid Disproportionate Share				0.00
Medicaid Disproportionate Share	(1,166,445.10)			(1,166,445.10)
Statewide Bad Debt & Charity Care				0.00
Other				0.00
Transfers to State Funds:				0.00
068-Indigent Care Fund				0.00
Other				0.00
Total Other Financing Uses	<u>(1,651,032.79)</u>	<u>(4,276,144.95)</u>	<u>(686,338.74)</u>	<u>(6,613,516.48)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>2,623,293.69</u>	<u>(2,633,558.48)</u>	<u>(2,394,923.11)</u>	<u>(2,405,187.90)</u>
CLOSING CASH BALANCE	<u>\$48,416,608.49</u>	<u>\$45,783,050.01</u>	<u>\$43,388,126.90</u>	<u>\$43,388,126.90</u>

Source: HCRA - Office of Pool Administration