

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF MANAGEMENT AUDIT AND STATE FINANCIAL SERVICES
BUREAU OF ACCOUNTING OPERATIONS**

Comptroller's Monthly Report To The Legislature
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)

NOVEMBER 2001



**H. CARL McCALL
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(in millions)**

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
		MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED
		NOV. 2001	NOV. 30, 2001	NOV. 2001	NOV. 30, 2001	NOV. 2001	NOV. 30, 2001	NOV. 2001	NOV. 30, 2001	NOV. 2001	NOV. 30, 2001	NOV. 2000	NOV. 30, 2000
RECEIPTS:													
Personal Income Tax	(6)	\$1,144.1	\$19,044.4	\$207.7	\$394.4	\$ --	\$250.0	\$ --	\$ --	\$1,351.8	\$19,688.8	\$1,513.4	\$19,663.7
Consumption/Use Taxes and Fees	(5)(9)	560.4	4,636.5	35.0	324.2	166.4	1,402.2	66.7	590.9	828.5	6,953.8	827.3	7,093.9
Business Taxes		15.9	1,842.1	54.9	569.2	--	--	36.5	353.4	107.3	2,764.7	16.5	3,135.9
Other Taxes		67.7	483.0	--	--	17.5	196.3	11.2	67.2	96.4	746.5	73.7	793.6
Miscellaneous Receipts	(8)	259.9	1,120.1	429.0	4,432.0	51.9	396.5	527.5	879.3	1,268.3	6,827.9	994.6	6,571.2
Federal Grants		0.1	0.9	2,122.6	16,901.5	--	--	88.0	974.3	2,210.7	17,876.7	1,942.6	16,027.0
Total Receipts		2,048.1	27,127.0	2,849.2	22,621.3	235.8	2,245.0	729.9	2,865.1	5,863.0	54,858.4	5,368.1	53,285.3
DISBURSEMENTS:													
Local Assistance Grants:													
General Purpose		66.1	323.0	--	--	--	--	--	--	66.1	323.0	0.5	327.4
Education	(6)	671.5	6,557.4	1,276.5	4,020.7	--	--	--	1.1	1,948.0	10,579.2	1,311.9	9,055.3
Social Services	(4)	862.1	6,207.7	1,779.8	13,225.0	--	--	--	25.5	2,641.9	19,458.2	2,602.4	18,325.0
Health and Environment		22.8	382.9	148.1	1,014.3	--	--	1.0	233.1	171.9	1,630.3	96.0	1,549.3
Mental Hygiene		31.9	690.7	18.4	143.5	--	--	5.0	33.5	55.3	867.7	88.7	801.1
Transportation		22.7	126.8	197.0	1,010.9	--	--	2.6	44.6	222.3	1,182.3	173.3	1,070.9
Criminal Justice		17.2	113.6	6.3	76.2	--	--	--	--	23.5	189.8	33.3	173.9
Miscellaneous		45.7	318.9	76.0	769.9	--	--	1.7	78.7	123.4	1,167.5	99.7	728.3
Total Local Assistance Grants		1,740.0	14,721.0	3,502.1	20,260.5	--	--	10.3	416.5	5,252.4	35,398.0	4,405.8	32,031.2
Departmental Operations:													
Personal Service		489.9	4,434.2	420.5	2,098.8	--	--	--	--	910.4	6,533.0	897.9	6,396.5
Non-Personal Service		119.0	1,396.1	236.5	1,573.9	0.1	3.3	--	--	355.6	2,973.3	328.2	2,696.0
General State Charges		120.4	1,827.6	59.8	220.9	--	--	--	--	180.2	2,048.5	210.7	1,948.5
Debt Service, Including Payments on													
Financing Agreements	(2)	--	--	--	--	290.6	2,277.9	--	--	290.6	2,277.9	289.7	2,240.1
Capital Projects	(3)	--	--	0.5	4.2	--	--	328.6	2,524.7	329.1	2,528.9	333.0	2,613.2
Total Disbursements		2,469.3	22,378.9	4,219.4	24,158.3	290.7	2,281.2	338.9	2,941.2	7,318.3	51,759.6	6,465.3	47,925.5
Excess (Deficiency) of Receipts over Disbursements		(421.2)	4,748.1	(1,370.2)	(1,537.0)	(54.9)	(36.2)	391.0	(76.1)	(1,455.3)	3,098.8	(1,097.2)	5,359.8
OTHER FINANCING SOURCES (USES):													
Bond Proceeds (net)		--	--	--	--	--	--	--	93.0	--	93.0	--	63.5
Transfers from Other Funds	(1)(9)	177.1	1,411.4	302.3	1,489.0	385.5	3,017.0	(22.9)	258.6	842.0	6,176.0	654.7	6,093.1
Transfers to Other Funds	(1)(9)	(205.5)	(1,861.9)	(112.3)	(1,146.1)	(470.4)	(2,663.6)	(53.8)	(517.6)	(842.0)	(6,189.2)	(662.0)	(6,135.5)
Total Other Financing Sources (Uses)		(28.4)	(450.5)	190.0	342.9	(84.9)	353.4	(76.7)	(166.0)	--	79.8	(7.3)	21.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(449.6)	4,297.6	(1,180.2)	(1,194.1)	(139.8)	317.2	314.3	(242.1)	(1,455.3)	3,178.6	(1,104.5)	5,380.9
Beginning Fund Balances (Deficit)	(7)	5,856.9	1,109.7	2,184.8	2,198.7	878.8	421.8	(645.3)	(88.9)	8,275.2	3,641.3	8,594.0	2,108.6
Ending Fund Balances (Deficit)	\$	\$5,407.3	\$5,407.3	\$1,004.6	\$1,004.6	\$739.0	\$739.0	(\$331.0)	(\$331.0)	\$6,819.9	\$6,819.9	\$7,489.5	\$7,489.5

GOVERNMENTAL FUNDS FOOTNOTES

**Exhibit A Notes
November 2001**

1. Operating transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant transfers include the General Fund transfers "To" the State Capital Projects Fund (\$242.0m), the General Debt Service Fund (\$1,381.0m), the Court Facilities Incentive Aid Fund (\$42.5m), the SUNY Income Fund (\$46.0m), the Hazardous Waste Remedial Fund (\$13.7m), the Correctional Industries Fund (\$12.7m) and the Miscellaneous State Special Revenue Fund (\$15.4m).

Also included in General Fund transfers are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$19.1m) and Special Revenue Funds (\$65.0m).

In Special Revenue Funds, Transfers "To" Other Funds includes transfers to Debt Service Funds (\$1,127.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$11.0m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

In Debt Service Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Local Government Assistance Tax Fund (\$1,212.4m) (See footnote #9), the Clean Water/Clean Air Fund (\$151.0m), the Emergency Highway Reconditioning and Preservation Fund (\$25.0m) and the Emergency Highway Construction and Reconstruction Fund (\$15.3m).

Also included in Debt Service Fund transfers are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$59.7m), Mental Hygiene (\$1,069.8m) and the State University Fund (\$113.8m).

In addition, Debt Service Fund transfers include transfers to the Capital Projects Residence Halls Repair Fund from the State University Dormitory Income Fund (\$16.5m).

In Capital Projects Funds, Transfers "To" Other Funds includes transfers to the General Debt Service Fund (\$475.1m) and the Mass Transportation Operating Assistance Fund (\$37.0m).

2. Total debt service disbursements include:
- | | |
|--|-----------------|
| - Principal and interest on general obligation bonds | \$370.7 million |
| - Lease-purchase/contractual obligation payments | 1,907.2 |

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government.

The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

State bond and note proceeds	\$49.5 million
Urban Development Corporation (Youth Facilities)	17.2
Urban Development Corporation (Correctional Facilities)	82.2
Housing Finance Agency (HFA)	114.4
Dormitory Authority (MCFFA)	181.4
Dormitory Authority (Health Facilities)	18.6
Dormitory Authority and State University Income Fund	19.6
Federal Capital Projects	86.8

4. Special Revenue Federal Funds disbursements include the following payments made by the State which will be reimbursed by the Federal Government in December 2001:
- | | |
|---|---------------|
| Federal USDA/Food and Consumer Services | \$8.5 million |
| Federal DHHS (Medicaid) | 112.4 |
| Federal DHHS (All Other) | 156.0 |
| Federal DHHS/Block Grant | -- |
| Federal Education | 6.7 |
| Federal Miscellaneous Operating Grants | -- |
| Federal DOL Grants | -- |
5. General Fund receipts do not include \$343.0 million of cigarette tax receipts which are collected by the State and credited to the Tobacco Control and Insurance Initiatives Pool.
6. Beginning September 2001, a portion of personal income tax receipts are transferred to the State Special Revenue-School Tax Relief (STAR) Fund and used to reimburse school districts for the Star property tax exemptions for homeowners. During September, October and November STAR payments totalling \$186.7 million, \$36.4 million and \$1,147.4 million respectively were made and are included in Local Assistance Grants-Education.
7. The April 1, 2001 cash balance for the Agency Funds (-) and General Fund (+) have been adjusted to reflect the reclassification of the Fringe Benefit Escrow Fund.

**Exhibit A Notes
November 2001
(continued)**

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	8 Months Ended November 30	
					2001	2000
			(amounts in millions)			
Abandoned and Unclaimed Property	\$ 300.0	\$ --	\$ --	\$ --	\$ 300.0	\$ 240.3
Interest Earnings	271.2	60.2	5.0	11.1	347.5	368.1
Receipts from Public Authorities:						
Bond Issuance Fees	--	8.4	--	--	8.4	3.3
Cost Recovery assessments	--	0.4	--	--	0.4	7.0
Bond Proceeds to reimburse capital spending	--	--	--	814.4	814.4	845.9
Thruway Authority	--	25.1	--	--	25.1	18.6
SUNY Construction Fund	--	8.2	--	--	8.2	8.5
All Other	--	7.0	--	1.7	8.7	9.2
Refunds and Reimbursements:						
Receipts from Municipalities	10.6	6.4	12.7	5.1	34.8	30.9
Women, Infants and Children Rebates	--	77.6	--	--	77.6	71.4
Health Care Initiatives Pool Transfers	--	--	--	--	--	41.0
HESC Student Loan Recoveries	--	47.4	--	--	47.4	47.7
Admin Recoveries - Collection of Local Taxes	31.4	18.9	--	--	50.3	47.5
Indirect Cost Assessments	53.5	--	--	--	53.5	37.2
All Other	3.2	153.4	4.0	7.5	168.1	109.8
Revenues of State Departments:						
Patient/Client Care	57.1	471.4	180.1	--	708.6	668.2
Medical Care Provider Assessments	83.1	751.5	--	--	834.6	824.2
Assessments against regulated industries	--	317.1	--	--	317.1	278.7
Tobacco Control & Insurance Initiatives Pool	--	303.2	--	--	303.2	241.3
Student Tuition and Fees	--	543.3	137.2	--	680.5	742.6
Sale of 270 Broadway	--	--	--	--	--	35.0
Rentals and Leases	8.7	4.1	--	5.6	18.4	17.5
Miscellaneous sales	6.7	51.4	--	0.2	58.3	49.9
Rental on World Trade Center	7.0	--	--	--	7.0	7.0
All Other	6.7	15.2	57.5	6.5	85.9	121.0
Lottery Receipts:						
Education	--	875.2	--	--	875.2	873.7
Administration	--	226.8	--	--	226.8	207.0
Licenses and Fees	176.4	405.3	--	27.0	608.7	471.0
Fines	104.5	54.5	--	0.2	159.2	147.7
TOTAL	<u>\$ 1,120.1</u>	<u>\$ 4,432.0</u>	<u>\$ 396.5</u>	<u>\$ 879.3</u>	<u>\$ 6,827.9</u>	<u>\$ 6,571.2</u>

GOVERNMENTAL FUNDS FOOTNOTES (continued)

**Exhibit A Notes
November 2001
(continued)**

9. An amount equal to one-cent of the State's four-cent Sales Tax, less refunds to taxpayers, is to be deposited in the Local Government Assistance Tax Fund (LGATF). The monies of such Fund are reserved for payment of principal and interest on bonds and notes issued by the New York Local Government Assistance Corporation.

Pursuant to Section 92r(5) of the State Finance Law, monies in the LGATF in excess of debt service requirements and administrative expenses of the New York Local Government Assistance Corporation are required to be transferred to the General Fund.

Following is a summary of the transactions in the LGATF during Fiscal Years 2001-02 and 2000-01 (amounts in millions):

FY 2001-02

	2001			2002									FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Sales Tax Receipts	\$146.249	\$151.129	\$206.532	\$168.900	\$152.842	\$157.599	\$181.303	\$158.683					\$1,323.237
Interest Income	0.015	0.016	0.019	0.014	0.018	0.315	0.008	0.011					0.417
Total Receipts	<u>146.264</u>	<u>151.145</u>	<u>206.551</u>	<u>168.914</u>	<u>152.860</u>	<u>157.914</u>	<u>181.311</u>	<u>158.694</u>					<u>1,323.654</u>
Contractual Services	--	--	1.000	--	--	1.000	--						2.000
Debt Service	1.830	2.570	2.010	1.040	2.180	91.616	0.510	1.58					103.336
Transfer to General Fund	139.390	147.575	204.704	167.223	47.873	168.593	180.639	156.439					1,212.436
Total Disbursements	<u>\$141.220</u>	<u>\$150.145</u>	<u>\$207.714</u>	<u>\$168.263</u>	<u>\$50.053</u>	<u>\$261.209</u>	<u>\$181.149</u>	<u>\$158.019</u>					<u>\$1,317.772</u>

FY 2000-01

	2000			2001									FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Sales Tax Receipts	\$157.996	\$141.055	\$212.359	\$172.435	\$157.044	\$206.886	\$170.329	\$160.322	\$199.547	\$174.479	\$128.100	\$211.349	\$2,091.901
Interest Income	0.017	0.022	0.028	0.02	0.053	0.129	0.024	0.023	0.031	0.023	0.019	0.57	0.959
Total Receipts	<u>158.013</u>	<u>141.077</u>	<u>212.387</u>	<u>172.455</u>	<u>157.097</u>	<u>207.015</u>	<u>170.353</u>	<u>160.345</u>	<u>199.578</u>	<u>174.502</u>	<u>128.119</u>	<u>211.919</u>	<u>2,092.860</u>
Contractual Services	--	--	1.000	--	1.264	6.412	--	--	1.000	--	--	1.000	10.676
Debt Service	3.850	3.375	3.025	2.400	6.746	88.389	3.225	2.555	2.955	1.510	2.190	203.411	323.631
Transfer to General Fund	149.491	136.149	210.581	162.826	154.261	113.377	167.128	157.627	195.786	173.318	8.070	\$129.939	1,758.553
Total Disbursements	<u>\$153.341</u>	<u>\$139.524</u>	<u>\$214.606</u>	<u>\$165.226</u>	<u>\$162.271</u>	<u>\$208.178</u>	<u>\$170.353</u>	<u>\$160.182</u>	<u>\$199.741</u>	<u>\$174.828</u>	<u>\$10.260</u>	<u>\$334.350</u>	<u>\$2,092.860</u>

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF
 CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN EQUITY
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF NOV. 2001	8 MO. ENDED NOV. 30, 2001	MONTH OF NOV. 2001	8 MO. ENDED NOV. 30, 2001	MONTH OF NOV. 2001	8 MO. ENDED NOV. 30, 2001	MONTH OF NOV. 2000	8 MO. ENDED NOV. 30, 2000
RECEIPTS:								
Miscellaneous Receipts	\$5.3	\$55.4	\$35.4	\$281.3	\$40.7	\$336.7	\$30.4	\$305.1
TOTAL RECEIPTS	<u>5.3</u>	<u>55.4</u>	<u>35.4</u>	<u>281.3</u>	<u>40.7</u>	<u>336.7</u>	<u>30.4</u>	<u>305.1</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	1.3	7.7	9.4	74.8	10.7	82.5	8.9	67.6
Non-Personal Service	5.3	45.5	24.6	263.8	29.9	309.3	35.3	265.0
General State Charges	0.3	0.9	8.2	21.3	8.5	22.2	1.6	10.1
Debt Service	--	--	--	61.7	--	61.7	--	54.6
TOTAL DISBURSEMENTS	<u>6.9</u>	<u>54.1</u>	<u>42.2</u>	<u>421.6</u>	<u>49.1</u>	<u>475.7</u>	<u>45.8</u>	<u>397.3</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(1.6)</u>	<u>1.3</u>	<u>(6.8)</u>	<u>(140.3)</u>	<u>(8.4)</u>	<u>(139.0)</u>	<u>(15.4)</u>	<u>(92.2)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	12.6	--	12.6	7.3	41.9
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>12.6</u>	<u>--</u>	<u>12.6</u>	<u>7.3</u>	<u>41.9</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1.6)	1.3	(6.8)	(127.7)	(8.4)	(126.4)	(8.1)	(50.3)
BEGINNING FUND EQUITY (DEFICITS)	<u>19.7</u>	<u>16.8</u>	<u>(203.0)</u>	<u>(82.1)</u>	<u>(183.3)</u>	<u>(65.3)</u>	<u>(91.7)</u>	<u>(49.5)</u>
ENDING FUND EQUITY (DEFICITS)	<u>\$18.1</u>	<u>\$18.1</u>	<u>(\$209.8)</u>	<u>(\$209.8)</u>	<u>(\$191.7)</u>	<u>(\$191.7)</u>	<u>(\$99.8)</u>	<u>(\$99.8)</u>

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF
CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
(in millions)

EXHIBIT C

	EXPENDABLE TRUST		NONEXPENDABLE TRUST		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED
	NOV. 2001	NOV. 30, 2001	NOV. 2001	NOV. 30, 2001	NOV. 2001	NOV. 30, 2001	NOV. 2000	NOV. 30, 2000
RECEIPTS:								
Miscellaneous Receipts	\$3.9	\$19.9	\$1.6	\$19.3	\$5.5	\$39.2	\$2.5	\$33.6
Federal Grants	3.1	19.2	0.3	0.5	3.4	19.7	2.8	16.4
Unemployment Taxes	241.0	1,704.3	--	--	241.0	1,704.3	126.9	971.7
TOTAL RECEIPTS	248.0	1,743.4	1.9	19.8	249.9	1,763.2	132.2	1,021.7
DISBURSEMENTS:								
Local Assistance Grants:								
Mental Hygiene	--	--	--	2.5	--	2.5	--	2.0
Miscellaneous	--	1.4	0.1	1.4	0.1	2.8	0.3	2.7
Departmental Operations:								
Personal Service	0.3	3.1	--	--	0.3	3.1	0.3	2.8
Non-Personal Service	1.0	9.5	1.3	16.2	2.3	25.7	2.7	23.2
General State Charges	0.4	1.3	--	--	0.4	1.3	--	0.8
Unemployment Benefits	251.7	1,706.5	--	--	251.7	1,706.5	123.7	993.5
Capital Projects	1.1	2.9	--	--	1.1	2.9	--	0.2
TOTAL DISBURSEMENTS	254.5	1,724.7	1.4	20.1	255.9	1,744.8	127.0	1,025.2
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	(6.5)	18.7	0.5	(0.3)	(6.0)	18.4	5.2	(3.5)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	0.6	--	--	--	0.6	--	1.3
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	--	0.6	--	--	--	0.6	--	1.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(6.5)	19.3	0.5	(0.3)	(6.0)	19.0	5.2	(2.2)
BEGINNING FUND BALANCES	61.9	36.1	25.9	26.7	87.8	62.8	52.9	60.3
ENDING FUND BALANCES	\$55.4	\$55.4	\$26.4	\$26.4	\$81.8	\$81.8	\$58.1	\$58.1

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2002
FOR THE EIGHT (8) MONTHS ENDED NOVEMBER 30, 2001
(amounts in millions)**

EXHIBIT "D"

	GENERAL FUND			
	Financial Plan Sept. 2001	(1)	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2001	\$1,098.3	(2)	\$1,109.7	\$11.4
RECEIPTS:				
Taxes:				
Personal Income Tax	19,377.6		19,044.4	(333.2)
Consumption/Use Taxes:				
Sales and Use Taxes	4,086.3		3,973.5	(112.8)
Other Consumption/Use Taxes	662.3		663.0	(4)
Business Taxes	2,120.8		1,842.1	(278.7)
Other Taxes	504.6		483.0	(21.6)
Miscellaneous Receipts/Federal Grants	1,024.0		1,121.0	97.0
Total Receipts	27,775.6		27,127.0	(648.6)
DISBURSEMENTS:				
Local Assistance Grants	14,903.2		14,721.0	182.2
Departmental Operations	5,763.0		5,830.3	(67.3)
General State Charges	1,843.6		1,827.6	16.0
Total Disbursements	22,509.8		22,378.9	130.9
Excess (Deficiency) of Receipts Over Disbursements	5,265.8		4,748.1	(517.7)
OTHER FINANCING SOURCES (USES):				
Transfers From Other Funds	1,412.8		1,411.4	(1.4)
Transfers To Other Funds	(1,905.6)		(1,861.9)	43.7
Total Other Financing Sources (Uses)	(492.8)		(450.5)	42.3
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	4,773.0		4,297.6	(475.4)
CLOSING CASH BALANCE-NOVEMBER 30, 2001	<u>\$5,871.3</u>		<u>\$5,407.3</u>	<u>(\$464.0)</u>

- (1) On November 8, 2001 the Division of the Budget updated the General Fund financial plan. As of December 14, 2001, the Cash Flow to the updated plan has not been provided; therefore the Financial Plan comparison (above) is based on the General Fund Cash Flow presented with the September plan.
- (2) The September 2001 Financial Plan does not include the reclassification of the Fringe Benefit Escrow Fund from the Agency Fund Group to the General Fund Group. See Note #7 to Exhibit A.
- (3) See Note #9 to Exhibit A.
- (4) See Note #5 to Exhibit A.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)**

EXHIBIT E

	GENERAL				SPECIAL REVENUE			
	<u>MONTH OF NOV. 2001</u>	<u>8 MO. ENDED NOV. 30, 2001</u>	<u>MONTH OF NOV. 2000</u>	<u>8 MO. ENDED NOV. 30, 2000</u>	<u>MONTH OF NOV. 2001</u>	<u>8 MO. ENDED NOV. 30, 2001</u>	<u>MONTH OF NOV. 2000</u>	<u>8 MO. ENDED NOV. 30, 2000</u>
PERSONAL INCOME TAX								
Withholding	\$ 1,511.6	\$ 12,085.2	\$ 1,597.1	\$ 12,010.5	\$ --	\$ --	\$ --	\$ --
Estimated payments	31.2	4,236.2	28.5	4,145.0	--	--	--	--
Final returns	18.7	1,767.5	11.8	1,573.7	--	--	--	--
Other	(23.0)	219.0	35.9	269.8	--	--	--	--
Gross Receipts	<u>1,538.5</u>	<u>18,307.9</u>	<u>1,673.3</u>	<u>17,999.0</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
STAR Program	(207.7)	(394.4)	(483.0)	(1,092.7)	207.7	394.4	483.0	1,092.7
Debt Reduction Reserve	--	(250.0)	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	3,517.4	--	3,967.0	--	--	--	--
Less: Refunds Issued	(186.7)	(2,136.5)	(159.9)	(2,302.3)	--	--	--	--
Total	<u>1,144.1</u>	<u>19,044.4</u>	<u>1,030.4</u>	<u>18,571.0</u>	<u>207.7</u>	<u>394.4</u>	<u>483.0</u>	<u>1,092.7</u>
CONSUMPTION / USE TAXES AND FEES								
Sales and Use	476.1	3,973.5	481.2	4,137.7	28.7	261.2	27.7	257.4
Auto Rental	--	20.4	--	20.6	--	--	--	--
Hotel / Motel	--	--	--	--	--	--	--	--
Motor Vehicle	17.2	132.8	22.3	231.2	2.1	18.6	--	--
Cigarette/Tobacco Products	49.4	366.2	46.4	370.3	--	--	--	--
Motor Fuel	--	--	1.4	12.3	4.2	44.4	4.7	39.7
Alcoholic Beverage	15.5	119.7	16.8	122.6	--	--	--	--
Beverage Container	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	--	--
Alcoholic Beverage Control Licenses	2.2	23.9	2.3	19.7	--	--	--	--
Total	<u>560.4</u>	<u>4,636.5</u>	<u>570.4</u>	<u>4,914.4</u>	<u>35.0</u>	<u>324.2</u>	<u>32.4</u>	<u>297.1</u>
BUSINESS TAXES								
Corporation Franchise	24.9	808.2	(22.6)	1,262.9	1.3	101.9	4.6	168.9
Corporation and Utilities	7.8	500.9	5.5	366.0	22.5	120.6	(0.3)	90.6
Insurance	2.4	302.5	(5.0)	255.4	1.3	29.9	0.1	28.3
Bank	(19.2)	230.5	(35.3)	272.9	0.6	36.7	(6.7)	48.1
Petroleum Business	--	--	5.8	56.9	29.2	280.1	31.5	262.6
Lubricating Oil	--	--	--	--	--	--	--	--
Total	<u>15.9</u>	<u>1,842.1</u>	<u>(51.6)</u>	<u>2,214.1</u>	<u>54.9</u>	<u>569.2</u>	<u>29.2</u>	<u>598.5</u>
OTHER TAXES								
Real Property Gains	--	4.1	--	2.6	--	--	--	--
Estate and Gift	65.5	457.9	43.1	509.7	--	--	--	--
Pari-Mutuel	2.2	20.5	2.7	20.0	--	--	--	--
Real Estate Transfer	--	--	--	--	--	--	--	--
Racing and Exhibitions	--	0.5	0.1	0.6	--	--	--	--
Total	<u>67.7</u>	<u>483.0</u>	<u>45.9</u>	<u>532.9</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
TOTAL TAX RECEIPTS	<u>\$ 1,788.1</u>	<u>\$ 26,006.0</u>	<u>\$ 1,595.1</u>	<u>\$ 26,232.4</u>	<u>\$ 297.6</u>	<u>\$ 1,287.8</u>	<u>\$ 544.6</u>	<u>\$ 1,988.3</u>

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E
(page 2)

	DEBT SERVICE				CAPITAL PROJECTS			
	MONTH OF NOV. 2001	8 MO. ENDED NOV. 30, 2001	MONTH OF NOV. 2000	8 MO. ENDED NOV. 30, 2000	MONTH OF NOV. 2001	8 MO. ENDED NOV. 30, 2001	MONTH OF NOV. 2000	8 MO. ENDED NOV. 30, 2000
PERSONAL INCOME TAX								
Withholding	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
Estimated payments	--	--	--	--	--	--	--	--
Final returns	--	--	--	--	--	--	--	--
Other	--	--	--	--	--	--	--	--
Gross Receipts	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Debt Reduction Reserve	--	250.0	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	--	--	--	--	--	--	--
Less: Refunds Issued	--	--	--	--	--	--	--	--
Total	<u>--</u>	<u>250.0</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
CONSUMPTION / USE TAXES AND FEES								
Sales and Use	158.6	1,323.2	160.3	1,378.4	--	--	--	--
Auto Rental	--	--	--	--	--	--	--	--
Hotel / Motel	--	--	--	--	--	--	--	--
Motor Vehicle	--	--	--	--	32.2	250.4	16.4	102.5
Cigarette/Tobacco Products	--	--	--	--	--	--	--	--
Motor Fuel	7.8	79.0	9.1	76.0	23.5	237.6	26.3	219.4
Alcoholic Beverage	--	--	--	--	--	--	--	--
Beverage Container	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	11.0	102.9	12.4	106.1
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--
Total	<u>166.4</u>	<u>1,402.2</u>	<u>169.4</u>	<u>1,454.4</u>	<u>66.7</u>	<u>590.9</u>	<u>55.1</u>	<u>428.0</u>
BUSINESS TAXES								
Corporation Franchise	--	--	--	--	--	--	--	--
Corporation and Utilities	--	--	--	--	--	--	--	--
Insurance	--	--	--	--	--	--	--	--
Bank	--	--	--	--	--	--	--	--
Petroleum Business	--	--	--	--	36.5	353.4	38.9	323.3
Lubricating Oil	--	--	--	--	--	--	--	--
Total	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>36.5</u>	<u>353.4</u>	<u>38.9</u>	<u>323.3</u>
OTHER TAXES								
Real Property Gains	--	--	--	--	--	--	--	--
Estate and Gift	--	--	--	--	--	--	--	--
Pari-Mutuel	--	--	--	--	--	--	--	--
Real Estate Transfer	17.5	196.3	16.6	193.5	11.2	67.2	11.2	67.2
Racing and Exhibitions	--	--	--	--	--	--	--	--
Total	<u>17.5</u>	<u>196.3</u>	<u>16.6</u>	<u>193.5</u>	<u>11.2</u>	<u>67.2</u>	<u>11.2</u>	<u>67.2</u>
TOTAL TAX RECEIPTS	<u>\$ 183.9</u>	<u>\$ 1,848.5</u>	<u>\$ 186.0</u>	<u>\$ 1,647.9</u>	<u>\$ 114.4</u>	<u>\$ 1,011.5</u>	<u>\$ 105.2</u>	<u>\$ 818.5</u>

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2001-2002
(in millions)

EXHIBIT " F "
(page 2)

8 Months Ended Nov. 30

	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY	FEBRUARY	MARCH	2001	2000
PERSONAL INCOME TAX														
Withholdings	\$1,534.2	\$1,584.9	\$1,478.2	\$1,540.9	\$1,535.5	\$1,277.4	\$1,622.5	\$1,511.6					\$12,085.2	\$12,010.5
Estimated payments	2,200.7	33.6	995.2	40.4	38.1	829.2	67.8	31.2					4,236.2	4,145.0
Final returns	1,520.1	44.2	26.2	21.3	45.8	13.1	78.1	18.7					1,767.5	1,573.7
Other	60.1	(21.7)	40.6	57.8	49.0	32.6	23.6	(23.0)					219.0	269.8
Gross Receipts	5,315.1	1,641.0	2,540.2	1,660.4	1,668.4	2,152.3	1,792.0	1,538.5	0.0	0.0	0.0	0.0	18,307.9	17,999.0
STAR Program	--	--	--	--	--	(186.7)	--	(207.7)					(394.4)	(1,092.7)
Debt Reduction Reserve	--	--	--	--	(250.0)	--	--	--					(250.0)	--
Refund reserve reduction (increase)	3,517.4	--	--	--	--	--	--	--					3,517.4	3,967.0
Refunds issued	(674.8)	(786.8)	(154.4)	(87.6)	(94.1)	(73.6)	(78.5)	(186.7)					(2,136.5)	(2,302.3)
Total Personal Income Tax	8,157.7	854.2	2,385.8	1,572.8	1,324.3	1,892.0	1,713.5	1,144.1	0.0	0.0	0.0	0.0	19,044.4	18,571.0
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	441.3	453.4	620.2	506.8	458.5	473.2	544.0	476.1					3,973.5	4,137.7
Auto Rental	2.2	--	6.2	0.2	--	10.2	1.6	--					20.4	20.6
Hotel / Motel	--	--	--	--	--	--	--	--					--	--
Motor Vehicle	38.4	32.3	38.8	17.1	(34.4)	(5.6)	29.0	17.2					132.8	231.2
Cigarette/Tobacco Products	48.2	41.9	47.0	42.7	51.0	42.6	43.4	49.4					366.2	370.3
Motor Fuel	--	--	--	--	--	--	--	--					--	12.3
Alcoholic Beverage	15.1	13.9	14.8	17.5	13.6	16.3	13.0	15.5					119.7	122.6
Beverage Container	--	--	--	--	--	--	--	--					--	--
Highway Use	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage Control Licenses	3.2	3.2	2.8	2.7	3.7	3.3	2.8	2.2					23.9	19.7
Total Consumption/Use Taxes and Fees	548.4	544.7	729.8	587.0	492.4	540.0	633.8	560.4	0.0	0.0	0.0	0.0	4,636.5	4,914.4
BUSINESS TAXES														
Corporation Franchise	58.3	22.6	373.8	46.3	18.1	259.0	5.2	24.9					808.2	1,262.9
Corporation and Utilities	(10.7)	(3.9)	244.0	13.7	4.0	235.1	10.9	7.8					500.9	366.0
Insurance	16.1	7.7	130.7	1.5	18.1	115.4	10.6	2.4					302.5	255.4
Bank	9.5	9.4	139.5	13.3	4.1	70.9	3.0	(19.2)					230.5	272.9
Petroleum Business	--	--	--	--	--	--	--	--					--	56.9
Lubricating Oil	--	--	--	--	--	--	--	--					--	--
Total Business Taxes	73.2	35.8	888.0	74.8	44.3	680.4	29.7	15.9	0.0	0.0	0.0	0.0	1,842.1	2,214.1
OTHER TAXES														
Real Property Gains	1.6	0.5	0.9	0.3	0.2	0.8	(0.2)	--					4.1	2.6
Estate and Gift	55.3	55.4	87.1	35.2	65.5	39.6	54.3	65.5					457.9	509.7
Pari-Mutuel	1.6	2.4	2.5	2.8	3.4	3.3	2.3	2.2					20.5	20.0
Real Estate Transfer	--	--	--	--	--	--	--	--					--	--
Racing and Exhibitions	0.1	--	0.1	--	0.1	--	0.2	--					0.5	0.6
Total Other Taxes	58.6	58.3	90.6	38.3	69.2	43.7	56.6	67.7	0.0	0.0	0.0	0.0	483.0	532.9
TOTAL TAX RECEIPTS	\$8,837.9	\$1,493.0	\$4,094.2	\$2,272.9	\$1,930.2	\$3,156.1	\$2,433.6	\$1,788.1	\$0.0	\$0.0	\$0.0	\$0.0	\$26,006.0	\$26,232.4

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2001-2002
(in millions)**

EXHIBIT "G"

	8 Months Ended Nov. 30												2001	2000
	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$2,198.7	\$2,688.5	\$2,516.2	\$2,847.2	\$3,054.8	\$2,114.2	\$2,481.1	\$2,184.8					\$2,198.7	\$761.9
RECEIPTS:														
Personal Income Tax	--	--	--	--	--	186.7	--	207.7					394.4	1,092.7
Consumption/Use Taxes and Fees	57.9	32.4	42.8	45.1	34.8	36.4	39.8	35.0					324.2	297.1
Business Taxes	49.1	34.1	180.3	42.9	44.3	124.1	39.5	54.9					569.2	598.5
Other Taxes	--	--	--	--	--	--	--	--					--	--
Miscellaneous Receipts	509.7	442.9	718.0	395.4	635.4	648.5	653.1	429.0					4,432.0	4,251.9
Federal Grants	1,585.5	2,082.0	2,094.1	1,944.8	2,383.5	2,723.5	1,965.5	2,122.6					16,901.5	15,085.7
Total Receipts	2,202.2	2,591.4	3,035.2	2,428.2	3,098.0	3,719.2	2,697.9	2,849.2	0.0	0.0	0.0	0.0	22,621.3	21,325.9
DISBURSEMENTS:														
Local Assistance Grants:														
Education	102.4	125.3	568.0	165.2	1,345.7	284.1	153.5	1,276.5					4,020.7	3,231.2
Social Services	1,217.7	1,819.7	1,355.3	1,525.6	1,861.8	2,208.1	1,457.0	1,779.8					13,225.0	12,138.6
Health and Environment	95.7	123.1	130.5	107.6	152.6	121.9	134.8	148.1					1,014.3	975.0
Mental Hygiene	6.0	15.8	17.9	18.4	22.9	27.4	16.7	18.4					143.5	106.2
Transportation	90.1	144.6	128.7	107.6	95.4	163.4	84.1	197.0					1,010.9	871.8
Criminal Justice	10.3	5.0	6.8	9.7	12.6	14.0	11.5	6.3					76.2	55.8
Miscellaneous	28.0	30.8	54.0	34.0	56.6	207.3	283.2	76.0					769.9	418.7
Total Local Assistance Grants	1,550.2	2,264.3	2,261.2	1,968.1	3,547.6	3,026.2	2,140.8	3,502.1	0.0	0.0	0.0	0.0	20,260.5	17,797.3
Departmental Operations:														
Personal Service	81.9	227.9	262.3	155.4	267.2	223.5	460.1	420.5					2,098.8	2,151.1
Non-Personal Service	105.6	190.8	197.4	128.3	206.6	236.9	271.8	236.5					1,573.9	1,364.9
General State Charges	29.9	35.7	10.6	4.0	27.2	17.2	36.5	59.8					220.9	155.9
Capital Projects	0.2	0.6	0.5	0.7	0.8	0.7	0.2	0.5					4.2	7.1
Total Disbursements	1,767.8	2,719.3	2,732.0	2,256.5	4,049.4	3,504.5	2,909.4	4,219.4	0.0	0.0	0.0	0.0	24,158.3	21,476.3
Excess (Deficiency) of Receipts over Disbursements	434.4	(127.9)	303.2	171.7	(951.4)	214.7	(211.5)	(1,370.2)	0.0	0.0	0.0	0.0	(1,537.0)	(150.4)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	125.4	53.6	174.4	171.8	336.1	191.0	134.4	302.3					1,489.0	1,416.4
Transfers to Other Funds	(70.0)	(98.0)	(146.6)	(135.9)	(325.3)	(38.8)	(219.2)	(112.3)					(1,146.1)	(1,139.5)
Total Other Financing Sources (Uses)	55.4	(44.4)	27.8	35.9	10.8	152.2	(84.8)	190.0	0.0	0.0	0.0	0.0	342.9	276.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	489.8	(172.3)	331.0	207.6	(940.6)	366.9	(296.3)	(1,180.2)	0.0	0.0	0.0	0.0	(1,194.1)	126.5
CLOSING CASH BALANCE	\$2,688.5	\$2,516.2	\$2,847.2	\$3,054.8	\$2,114.2	\$2,481.1	\$2,184.8	\$1,004.6	\$0.0	\$0.0	\$0.0	\$0.0	\$1,004.6	\$888.4

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2001-2002
(in millions)

EXHIBIT "G"
(page 2)

	8 Months Ended Nov. 30												2001	2000
	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX	\$ --	\$ --	\$ --	\$ --	\$ --	\$186.7	\$ --	\$207.7					\$394.4	\$1,092.7
Total Personal Income Tax	--	--	--	--	--	186.7	--	207.7	0.0	0.0	0.0	0.0	394.4	1,092.7
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	52.7	26.8	37.1	29.6	27.3	26.8	32.2	28.7					261.2	257.4
Auto Rental	--	--	--	--	--	--	--	--					--	--
Hotel / Motel	--	--	--	--	--	--	--	--					--	--
Motor Vehicle	--	--	--	9.6	2.4	2.3	2.2	2.1					18.6	--
Cigarette/Tobacco Products	--	--	--	--	--	--	--	--					--	--
Motor Fuel	5.2	5.6	5.7	5.9	5.1	7.3	5.4	4.2					44.4	39.7
Alcoholic Beverage	--	--	--	--	--	--	--	--					--	--
Beverage Container	--	--	--	--	--	--	--	--					--	--
Highway Use	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--					--	--
Total Consumption/Use Taxes and Fees	57.9	32.4	42.8	45.1	34.8	36.4	39.8	35.0	0.0	0.0	0.0	0.0	324.2	297.1
BUSINESS TAXES														
Corporation Franchise	8.9	(0.3)	50.7	5.2	1.5	32.2	2.4	1.3					101.9	168.9
Corporation and Utilities	4.8	(1.9)	54.9	(0.3)	4.5	36.7	(0.6)	22.5					120.6	90.6
Insurance	0.8	(1.2)	15.7	(0.5)	0.4	12.4	1.0	1.3					29.9	28.3
Bank	2.0	0.1	21.5	1.4	1.1	10.2	(0.2)	0.6					36.7	48.1
Petroleum Business	32.6	37.4	37.5	37.1	36.8	32.6	36.9	29.2					280.1	262.6
Lubricating Oil	--	--	--	--	--	--	--	--					--	--
Total Business Taxes	49.1	34.1	180.3	42.9	44.3	124.1	39.5	54.9	0.0	0.0	0.0	0.0	569.2	598.5
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--					--	--
Estate and Gift	--	--	--	--	--	--	--	--					--	--
Pari-Mutuel	--	--	--	--	--	--	--	--					--	--
Real Estate Transfer	--	--	--	--	--	--	--	--					--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--					--	--
Total Other Taxes	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$107.0	\$66.5	\$223.1	\$88.0	\$79.1	\$347.2	\$79.3	\$297.6	\$0.0	\$0.0	\$0.0	\$0.0	\$1,287.8	\$1,988.3

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2001-2002
(in millions)**

EXHIBIT "H"

													8 Months Ended Nov. 30	
	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY	FEBRUARY	MARCH	2001	2000
OPENING CASH BALANCE (1)	\$421.8	\$507.1	\$583.9	\$569.6	\$581.2	\$819.8	\$676.0	\$878.8					\$421.8	\$448.0
RECEIPTS:														
Personal Income Tax	--	--	--	--	250.0	--	--	--					250.0	--
Consumption/Use Taxes and Fees (2)														
Sales and Use	146.2	151.2	206.5	168.9	152.9	157.6	181.3	158.6					1,323.2	1,378.4
Motor Fuel	9.3	9.9	9.9	10.0	9.7	12.4	10.0	7.8					79.0	76.0
Other Taxes	17.8	31.6	23.7	30.2	12.3	32.0	31.2	17.5					196.3	193.5
Miscellaneous Receipts	69.1	37.2	34.8	44.2	44.1	57.7	57.5	51.9					396.5	387.6
Total Receipts	<u>242.4</u>	<u>229.9</u>	<u>274.9</u>	<u>253.3</u>	<u>469.0</u>	<u>259.7</u>	<u>280.0</u>	<u>235.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,245.0</u>	<u>2,035.5</u>
DISBURSEMENTS:														
Departmental Operations:														
Non-Personal Service	0.1	0.1	1.3	0.2	0.1	1.2	0.2	0.1					3.3	9.9
Debt Service, including payments on financing agreements	242.8	250.5	371.3	110.3	299.1	627.1	86.2	290.6					2,277.9	2,239.2
Total Disbursements	<u>242.9</u>	<u>250.6</u>	<u>372.6</u>	<u>110.5</u>	<u>299.2</u>	<u>628.3</u>	<u>86.4</u>	<u>290.7</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,281.2</u>	<u>2,249.1</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(0.5)</u>	<u>(20.7)</u>	<u>(97.7)</u>	<u>142.8</u>	<u>169.8</u>	<u>(368.6)</u>	<u>193.6</u>	<u>(54.9)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(36.2)</u>	<u>(213.6)</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	302.4	319.6	475.5	225.1	450.5	556.6	301.8	385.5					3,017.0	2,968.5
Transfers to Other Funds (2)	(216.6)	(222.1)	(392.1)	(356.3)	(381.7)	(331.8)	(292.6)	(470.4)					(2,663.6)	(2,698.8)
Total Other Financing Sources (Uses)	<u>85.8</u>	<u>97.5</u>	<u>83.4</u>	<u>(131.2)</u>	<u>68.8</u>	<u>224.8</u>	<u>9.2</u>	<u>(84.9)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>353.4</u>	<u>269.7</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>85.3</u>	<u>76.8</u>	<u>(14.3)</u>	<u>11.6</u>	<u>238.6</u>	<u>(143.8)</u>	<u>202.8</u>	<u>(139.8)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>317.2</u>	<u>56.1</u>
CLOSING CASH BALANCE	<u>\$507.1</u>	<u>\$583.9</u>	<u>\$569.6</u>	<u>\$581.2</u>	<u>\$819.8</u>	<u>\$676.0</u>	<u>\$878.8</u>	<u>\$739.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$739.0</u>	<u>\$504.1</u>

(1) Pursuant to the Enacted Budget and Financial Plans prepared by the Division of Budget, the April 2000 beginning balance reflects the reclassification of the Debt Reduction Reserve Fund from the General Fund group to the Debt Service Fund group.
(2) See Note #9 to Exhibit A.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2001-2002
(in millions)**

EXHIBIT "I"

													8 Months Ended Nov. 30	
	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY	FEBRUARY	MARCH	2001	2000
OPENING CASH BALANCE (DEFICITS)	(\$88.9)	(\$160.5)	(\$217.7)	(\$213.5)	(\$179.8)	(\$280.2)	(\$529.6)	(\$645.3)					(\$88.9)	(\$18.7)
RECEIPTS:														
Consumption/Use Taxes and Fees														
Motor Vehicle	13.0	14.4	15.6	26.3	89.9	31.8	27.2	32.2					250.4	102.5
Motor Fuel	28.1	29.7	29.5	29.9	29.7	37.0	30.2	23.5					237.6	219.4
Highway Use	14.8	13.1	12.0	13.4	12.9	12.4	13.3	11.0					102.9	106.1
Business Taxes														
Petroleum Business	41.2	47.1	47.3	47.5	46.1	41.2	46.5	36.5					353.4	323.3
Other Taxes	--	--	11.2	11.2	11.2	11.2	11.2	11.2					67.2	67.2
Miscellaneous Receipts	19.5	5.8	175.2	27.3	50.2	59.4	14.4	527.5					879.3	909.4
Federal Grants	91.1	99.8	79.6	112.8	105.7	268.9	128.4	88.0					974.3	938.4
Total Receipts	207.7	209.9	370.4	268.4	345.7	461.9	271.2	729.9	0.0	0.0	0.0	0.0	2,865.1	2,666.3
DISBURSEMENTS:														
Local Assistance Grants:														
Education	0.9	0.2	--	--	--	--	--	--					1.1	1.7
Social Services	0.2	--	--	--	0.4	24.9	--	--					25.5	0.3
Health and Environment	0.2	0.5	1.9	1.3	50.0	176.6	1.6	1.0					233.1	243.1
Mental Hygiene	2.6	2.1	2.2	4.0	10.6	4.8	2.2	5.0					33.5	19.2
Transportation	2.2	1.8	1.0	3.3	4.5	18.0	11.2	2.6					44.6	1.5
Miscellaneous	11.2	1.3	0.8	12.3	5.8	43.4	2.2	1.7					78.7	51.8
Total Local Assistance Grants	17.3	5.9	5.9	20.9	71.3	267.7	17.2	10.3	0.0	0.0	0.0	0.0	416.5	317.6
Departmental Operations:														
Personal Service	--	--	--	--	--	--	--	--					--	--
Non-Personal Service	--	--	--	--	--	--	--	--					--	--
General State Charges	--	--	--	--	--	--	--	--					--	--
Capital Projects	264.1	244.0	308.6	287.3	426.4	327.4	338.3	328.6					2,524.7	2,606.1
Total Disbursements	281.4	249.9	314.5	308.2	497.7	595.1	355.5	338.9	0.0	0.0	0.0	0.0	2,941.2	2,923.7
Excess (Deficiency) of Receipts over Disbursements	(73.7)	(40.0)	55.9	(39.8)	(152.0)	(133.2)	(84.3)	391.0	0.0	0.0	0.0	0.0	(76.1)	(257.4)
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	--	93.0	--	--	--	--					93.0	63.5
Transfers from Other Funds	50.6	31.4	2.7	29.0	100.1	15.2	52.5	(22.9)					258.6	244.0
Transfers to Other Funds	(48.5)	(48.6)	(54.4)	(48.5)	(48.5)	(131.4)	(83.9)	(53.8)					(517.6)	(415.0)
Total Other Financing Sources (Uses)	2.1	(17.2)	(51.7)	73.5	51.6	(116.2)	(31.4)	(76.7)	0.0	0.0	0.0	0.0	(166.0)	(107.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(71.6)	(57.2)	4.2	33.7	(100.4)	(249.4)	(115.7)	314.3	0.0	0.0	0.0	0.0	(242.1)	(364.9)
CLOSING CASH BALANCE (DEFICITS)	(\$160.5)	(\$217.7)	(\$213.5)	(\$179.8)	(\$280.2)	(\$529.6)	(\$645.3)	(\$331.0)	\$0.0	\$0.0	\$0.0	\$0.0	(\$331.0)	(\$383.6)

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2001-2002
(in millions)

EXHIBIT J

													8 Months Ended Nov. 30	
	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY FEBRUARY MARCH			2001	2000
OPENING CASH BALANCE (DEFICITS)	\$16.8	\$17.9	\$19.4	\$20.1	\$21.4	\$23.3	\$19.8	\$19.7					\$16.8	\$17.0
RECEIPTS:														
Miscellaneous Receipts	6.4	6.0	6.8	6.9	8.4	7.0	8.6	5.3					55.4	53.6
Total Receipts	6.4	6.0	6.8	6.9	8.4	7.0	8.6	5.3	0.0	0.0	0.0	0.0	55.4	53.6
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.7	0.8	0.6	0.7	1.0	1.8	0.8	1.3					7.7	7.5
Non-Personal Service	4.5	3.6	5.4	4.9	5.4	8.6	7.8	5.3					45.5	42.3
General State Charges	0.1	0.1	0.1	--	0.1	0.1	0.1	0.3					0.9	0.5
Total Disbursements	5.3	4.5	6.1	5.6	6.5	10.5	8.7	6.9	0.0	0.0	0.0	0.0	54.1	50.3
Excess (Deficiency) of Receipts over Disbursements	1.1	1.5	0.7	1.3	1.9	(3.5)	(0.1)	(1.6)	0.0	0.0	0.0	0.0	1.3	3.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.1	1.5	0.7	1.3	1.9	(3.5)	(0.1)	(1.6)	0.0	0.0	0.0	0.0	1.3	3.3
CLOSING CASH BALANCE	\$17.9	\$19.4	\$20.1	\$21.4	\$23.3	\$19.8	\$19.7	\$18.1	\$0.0	\$0.0	\$0.0	\$0.0	\$18.1	\$20.3

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2001-2002
(in millions)**

EXHIBIT K

													8 Months Ended Nov. 30	
	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY	FEBRUARY	MARCH	2001	2000
OPENING CASH BALANCE (DEFICITS)	(\$82.1)	(\$87.4)	(\$110.0)	(\$133.7)	(\$170.5)	(\$201.6)	(\$198.3)	(\$203.0)					(\$82.1)	(\$66.5)
RECEIPTS:														
Miscellaneous Receipts	20.9	22.1	44.4	41.7	39.3	42.1	35.4	35.4					281.3	251.5
Total Receipts	20.9	22.1	44.4	41.7	39.3	42.1	35.4	35.4	0.0	0.0	0.0	0.0	281.3	251.5
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.3	9.2	8.5	8.8	12.5	8.7	8.4	9.4					74.8	60.1
Non-Personal Service	16.9	30.0	57.1	42.9	19.3	29.9	43.1	24.6					263.8	222.7
General State Charges	--	5.5	2.5	0.1	3.6	0.2	1.2	8.2					21.3	9.6
Debt Service, Including Payments on Financing Agreements	--	--	--	26.7	35.0	--	--	--					61.7	54.6
Total Disbursements	26.2	44.7	68.1	78.5	70.4	38.8	52.7	42.2	0.0	0.0	0.0	0.0	421.6	347.0
Excess (Deficiency) of Receipts over Disbursements	(5.3)	(22.6)	(23.7)	(36.8)	(31.1)	3.3	(17.3)	(6.8)	0.0	0.0	0.0	0.0	(140.3)	(95.5)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	12.6	--					12.6	41.9
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	12.6	--	0.0	0.0	0.0	0.0	12.6	41.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(5.3)	(22.6)	(23.7)	(36.8)	(31.1)	3.3	(4.7)	(6.8)	0.0	0.0	0.0	0.0	(127.7)	(53.6)
CLOSING CASH BALANCE (DEFICITS)	(\$87.4)	(\$110.0)	(\$133.7)	(\$170.5)	(\$201.6)	(\$198.3)	(\$203.0)	(\$209.8)	\$0.0	\$0.0	\$0.0	\$0.0	(\$209.8)	(\$120.1)

STATE OF NEW YORK
EXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2001-2002
(in millions)

EXHIBIT L

	2001								2002				8 Months Ended Nov. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2001	2000
OPENING CASH BALANCE	\$36.1	\$5.1	\$5.5	\$12.6	\$7.9	\$10.3	\$17.9	\$61.9					\$36.1	\$36.1
RECEIPTS:														
Miscellaneous Receipts	1.2	0.4	0.8	6.8	0.4	0.5	5.9	3.9					19.9	14.2
Federal Grants	1.7	1.7	1.4	3.8	3.6	1.4	2.5	3.1					19.2	16.0
Unemployment Taxes	163.4	184.3	179.3	209.5	214.0	206.0	306.8	241.0					1,704.3	971.7
Total Receipts	166.3	186.4	181.5	220.1	218.0	207.9	315.2	248.0	0.0	0.0	0.0	0.0	1,743.4	1,001.9
DISBURSEMENTS:														
Local Assistance Grants:														
Miscellaneous	--	0.2	0.8	0.1	0.1	--	0.2	--					1.4	2.0
Departmental Operations:														
Personal Service	0.5	0.4	0.3	0.3	0.5	0.4	0.4	0.3					3.1	2.8
Non-Personal Service	1.7	1.3	1.4	0.4	2.1	0.6	1.0	1.0					9.5	9.1
General State Charges	0.5	0.1	--	--	0.3	--	--	0.4					1.3	0.8
Unemployment Benefits	194.5	184.0	171.7	224.0	212.6	199.3	268.7	251.7					1,706.5	993.5
Capital Projects	0.1	--	0.2	--	--	0.3	1.2	1.1					2.9	0.2
Total Disbursements	197.3	186.0	174.4	224.8	215.6	200.6	271.5	254.5	0.0	0.0	0.0	0.0	1,724.7	1,008.4
Excess (Deficiency) of Receipts over Disbursements	(31.0)	0.4	7.1	(4.7)	2.4	7.3	43.7	(6.5)	0.0	0.0	0.0	0.0	18.7	(6.5)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	0.3	0.3	--					0.6	1.3
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	0.3	0.3	--	0.0	0.0	0.0	0.0	0.6	1.3
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(31.0)	0.4	7.1	(4.7)	2.4	7.6	44.0	(6.5)	0.0	0.0	0.0	0.0	19.3	(5.2)
CLOSING CASH BALANCE	\$5.1	\$5.5	\$12.6	\$7.9	\$10.3	\$17.9	\$61.9	\$55.4	\$0.0	\$0.0	\$0.0	\$0.0	\$55.4	\$30.9

**STATE OF NEW YORK
NONEXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2001-2002
(in millions)**

EXHIBIT M

	8 Months Ended Nov. 30												2001	2000
	2001 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2002 JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$26.7	\$27.1	\$28.2	\$30.5	\$30.5	\$32.6	\$31.3	\$25.9					\$26.7	\$24.2
RECEIPTS:														
Miscellaneous Receipts	3.2	2.3	2.6	2.5	2.8	1.8	2.5	1.6					19.3	19.4
Federal Grants	--	0.2	--	--	--	--	--	0.3					0.5	0.4
Total Receipts	<u>3.2</u>	<u>2.5</u>	<u>2.6</u>	<u>2.5</u>	<u>2.8</u>	<u>1.8</u>	<u>2.5</u>	<u>1.9</u>	0.0	0.0	0.0	0.0	<u>19.8</u>	<u>19.8</u>
DISBURSEMENTS:														
Local Assistance Grants:														
Mental Hygiene	--	--	--	2.4	0.1	--	--	--					2.5	2.0
Miscellaneous	--	--	0.1	0.1	0.5	0.1	0.5	0.1					1.4	0.7
Departmental Operations:														
Personal Service	--	--	--	--	--	--	--	--					--	--
Non-Personal Service	<u>2.8</u>	<u>1.4</u>	<u>0.2</u>	<u>--</u>	<u>0.1</u>	<u>3.0</u>	<u>7.4</u>	<u>1.3</u>					<u>16.2</u>	<u>14.1</u>
Total Disbursements	<u>2.8</u>	<u>1.4</u>	<u>0.3</u>	<u>2.5</u>	<u>0.7</u>	<u>3.1</u>	<u>7.9</u>	<u>1.4</u>	0.0	0.0	0.0	0.0	<u>20.1</u>	<u>16.8</u>
Excess (Deficiency) of Receipts over Disbursements	<u>0.4</u>	<u>1.1</u>	<u>2.3</u>	<u>--</u>	<u>2.1</u>	<u>(1.3)</u>	<u>(5.4)</u>	<u>0.5</u>	0.0	0.0	0.0	0.0	<u>(0.3)</u>	<u>3.0</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	0.0	0.0	0.0	0.0	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>0.4</u>	<u>1.1</u>	<u>2.3</u>	<u>--</u>	<u>2.1</u>	<u>(1.3)</u>	<u>(5.4)</u>	<u>0.5</u>	0.0	0.0	0.0	0.0	<u>(0.3)</u>	<u>3.0</u>
CLOSING CASH BALANCE	<u>\$27.1</u>	<u>\$28.2</u>	<u>\$30.5</u>	<u>\$30.5</u>	<u>\$32.6</u>	<u>\$31.3</u>	<u>\$25.9</u>	<u>\$26.4</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$26.4</u>	<u>\$27.2</u>

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2001
(amounts in millions)

SCHEDULE 1

	BALANCE 11/1/01	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/01
GENERAL FUND					
001-Local Assistance	\$ --	\$ 0.468	\$ 1,734.207	\$ 1,733.739	\$ --
003-State Operations	5,495.225	2,047.628	729.170	(1,762.114)	5,051.569
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	151.211	--	--	--	151.211
006-Universal Pre-K	25.591	--	0.412	--	25.179
007-Community Projects	184.843	--	5.507	--	179.336
166-Fringe Benefits Escrow	--	--	--	--	--
TOTAL GENERAL FUND	5,856.870	2,048.096	2,469.296	(28.375)	5,407.295
SPECIAL REVENUE FUNDS-GENERAL					
023-New York Interest on Lawyer Account	6.988	1.125	0.084	--	8.029
050-Tuition Reimbursement	0.775	0.250	0.088	--	0.937
052-Local Government Records Management Improvement	4.771	0.976	0.334	--	5.413
053-School Tax Relief	1,163.628	207.700	1,147.360	--	223.968
054-Charter Schools Stimulus	4.072	0.011	--	--	4.083
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	39.695	0.111	3.819	--	35.987
062-Tobacco Transfer	--	--	--	--	--
068-Indigent Care	140.581	64.324	181.853	--	23.052
073-Dedicated Mass Transportation Trust	58.644	27.814	37.179	--	49.279
160-State Lottery	(568.150)	124.373	14.455	--	(458.232)
300-Sewage Treatment Program Mgmt. & Administration	1.643	0.005	0.381	--	1.267
301-EnCon Special Revenue	34.717	5.265	8.509	2.139	33.612
302-Conservation	19.439	5.185	3.216	--	21.408
303-Environmental Protection and Oil Spill Compensation	15.688	3.444	3.656	(2.139)	13.337
305-Training and Education Program on OSHA	7.589	0.019	2.397	--	5.211
306-Lawyers' Fund for Client Protection	2.501	0.371	0.031	--	2.841
312-Hazardous Waste Remedial	10.935	1.336	2.920	(1.075)	8.276
313-Mass Transportation Operating Assistance	195.563	62.456	159.484	--	98.535
314-Clean Air	1.898	2.173	4.207	--	(0.136)
318-New York State Infrastructure Trust	0.054	--	--	--	0.054
321-Legislative Computer Services	6.508	0.119	--	--	6.627
328-Biodiversity Stewardship and Research	--	--	--	--	--
337-Rural Housing Assistance	--	--	--	--	--
339-Miscellaneous State Special Revenue	976.935	72.307	406.419	290.383	933.206
340-Court Facilities Incentive Aid	25.050	0.086	1.725	--	23.411
341-Employment Training	0.026	--	--	--	0.026
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	242.441	133.393	225.122	17.829	168.541
346-Substance Abuse Service	3.120	0.216	0.030	--	3.306
349-Lake George Park Trust	0.508	0.001	0.096	--	0.413
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	7.428	0.968	0.282	--	8.114

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF NOVEMBER 2001
 (amounts in millions)

SCHEDULE 1
 (continued)

	BALANCE 11/1/01	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/01
<u>SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)</u>					
355-New York Great Lakes Protection	\$ 4.228	\$ 0.120	\$ 0.015	\$ --	\$ 4.333
359-Federal Revenue Maximization	5.168	1.020	--	--	6.188
362-NYS/DOT Highway Safety Program	0.432	0.870	0.231	--	1.071
365-Vocational Rehabilitation	0.572	0.002	--	--	0.574
366-Drinking Water Program Management and Administration	(0.058)	0.002	0.304	--	(0.360)
368-NYC County Clerks' Operations Offset	(3.456)	--	1.354	--	(4.810)
369-Judiciary Data Processing Offset	(5.059)	--	0.792	--	(5.851)
377-IFR / CUTRA	14.478	3.162	3.585	--	14.055
379-Racing Preservation	0.084	--	--	--	0.084
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.083	0.007	--	--	0.090
482-Unemployment Insurance Interest and Penalty	0.326	0.927	0.040	--	1.213
TOTAL SPECIAL REVENUE FUNDS-GENERAL	2,419.861	720.138	2,209.968	307.137	1,237.168
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(4.362)	88.266	92.451	--	(8.547)
265-Federal Health and Human Services	(216.512)	1,632.000	1,566.823	(117.100)	(268.435)
267-Federal Education	(7.111)	108.966	108.474	(0.046)	(6.665)
269-Federal DHHS Block Grant	0.831	107.568	108.182	--	0.217
290-Federal Miscellaneous Operating Grants	(42.722)	137.423	79.293	--	15.408
480-Unemployment Insurance Administration	30.282	43.929	42.800	--	31.411
484-Unemployment Insurance Occupational Training	1.706	1.998	2.275	--	1.429
486-DOL Federal Grants	2.829	8.944	9.179	--	2.594
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(235.059)	2,129.094	2,009.477	(117.146)	(232.588)
TOTAL SPECIAL REVENUE FUNDS	2,184.802	2,849.232	4,219.445	189.991	1,004.580
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	500.000	--	--	--	500.000
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	264.255	19.974	--	(161.957)	122.272 (1)
311-General Obligation Debt Service	--	--	271.917	271.917	--
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	3.102	3.054	(0.048)	--
319-Department of Health Income	25.980	7.996	14.102	(2.618)	17.256 (1)
320-Emergency Highway Reconditioning & Preservation	--	3.872	--	(3.872)	-- (1)
330-State University Dormitory Income	72.650	20.919	--	(16.079)	77.490 (1)
336-Emergency Highway Construction & Reconstruction	--	3.872	--	(3.872)	-- (1)
361-Clean Water/Clean Air	10.678	17.296	--	(11.885)	16.089 (1)
364-Local Government Assistance Tax	5.207	158.694	1.580	(156.439)	5.882 (1)
TOTAL DEBT SERVICE FUNDS	\$ 878.770	\$ 235.725	\$ 290.653	\$ (84.853)	\$ 738.989

(1) Ending cash balance represents statutory impoundment for scheduled debt service payments.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2001
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 11/1/01	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/01
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	\$ --	\$ 64.828	\$ 42.359	\$ (22.469)	\$ --
071-Health Facilities Capital Improvement	(3.280)	3.280	--	--	--
072-Dedicated Highway and Bridge Trust	(188.296)	438.829	109.726	(178.910)	(38.103)
074-SUNY Residence Halls Rehabilitation and Repair	79.333	0.205	0.820	4.473	83.191
075-New York State Canal System Development	1.356	0.143	--	--	1.499
076-Parks Infrastructure	(14.626)	11.082	2.536	--	(6.080)
077-Passenger Facility Charge	0.212	--	--	--	0.212
078-Environmental Protection	280.761	12.142	4.169	--	288.734
079-Clean Water/Clean Air Implementation	(5.510)	--	0.031	--	(5.541)
080-Hudson River Park	0.071	--	--	--	0.071
101-Energy Conservation Thru Improved Transportation Bond	0.357	--	--	(0.013)	0.344
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	0.159	--	--	--	0.159
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	5.338	--	--	--	5.338
115-Environmental Quality Protection Bond	7.365	--	--	--	7.365
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	18.129	--	--	(0.020)	18.109
124-1986 Environmental Quality Bond Act	13.134	--	--	(4.126)	9.008
126-Accelerated Capacity and Transportation Improvement Bond	12.236	--	--	(0.312)	11.924
127-Clean Water/Clean Air Bond	17.509	--	--	(0.386)	17.123
291-Federal Capital Projects	(50.297)	88.089	80.378	(44.163)	(86.749)
310-Forest Preserve Expansion	0.256	0.001	--	--	0.257
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	0.550	0.001	--	--	0.551
327-Suburban Transportation	20.428	--	0.103	--	20.325
357-Division for Youth Facilities Improvement	(17.032)	--	0.117	--	(17.149)
358-Youth Centers Facility	(0.003)	--	--	--	(0.003)
374-Housing Assistance	(0.752)	1.843	--	--	1.091
376-Housing Program	(223.396)	107.867	0.005	--	(115.534)
378-Natural Resource Damage	8.247	0.051	0.017	--	8.281
380-DOT Engineering Services	(390.013)	(0.090)	76.856	169.301	(297.658)
384-State University Capital Projects	11.267	0.038	0.856	--	10.449
387-Miscellaneous Capital Projects	21.344	0.553	0.478	--	21.419
388-CUNY Capital Projects	(1.220)	--	0.050	--	(1.270)
389-Mental Hygiene Facilities Capital Improvement	(181.691)	1.063	5.491	--	(186.119)
399-Correction Facilities Capital Improvement	(67.253)	--	14.976	--	(82.229)
TOTAL CAPITAL PROJECTS FUNDS	(645.315)	729.925	338.968	(76.625)	(330.983)
TOTAL GOVERNMENTAL FUNDS	\$ 8,275.127	\$ 5,862.978	\$ 7,318.362	\$ 0.138	\$ 6,819.881

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF NOVEMBER 2001
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 11/1/01</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 11/30/01</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$ 0.279	\$ 0.021	\$ 0.015	\$ --	\$ 0.285
325-State Exposition Special	2.158	(0.186)	0.375	--	1.597
326-Correctional Services Commissary	1.477	2.704	2.926	--	1.255
329-Correctional Services Family Benefit	5.584	1.885	2.174	--	5.295
331-Agency Enterprise	0.969	0.190	0.213	--	0.946
351-Mental Health Sheltered Workshop	3.352	0.326	0.733	--	2.945
352-Mental Retardation Sheltered Workshop	0.651	0.037	(0.006)	--	0.694
353-Mental Hygiene Community Stores	2.584	0.202	0.177	--	2.609
450-Industrial Exhibit Authority	2.608	0.204	0.295	--	2.517
TOTAL ENTERPRISE FUNDS	<u>19.662</u>	<u>5.383</u>	<u>6.902</u>	<u>--</u>	<u>18.143</u>
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	(48.201)	14.877	16.284	--	(49.608)
334-Agency Internal Service	(133.212)	11.211	18.610	--	(140.611)
343-Mental Hygiene Revolving	0.578	0.174	0.149	--	0.603
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	0.887	--	0.143	--	0.744
395-Audit and Control Revolving	(0.671)	--	0.067	--	(0.738)
396-Health Insurance Revolving	(25.440)	3.087	1.328	--	(23.681)
397-Correctional Industries Revolving	3.093	6.022	5.608	--	3.507
TOTAL INTERNAL SERVICE FUNDS	<u>(202.963)</u>	<u>35.371</u>	<u>42.189</u>	<u>--</u>	<u>(209.781)</u>
TOTAL PROPRIETARY FUNDS	<u>\$ (183.301)</u>	<u>\$ 40.754</u>	<u>\$ 49.091</u>	<u>\$ --</u>	<u>\$ (191.638)</u>

SCHEDULE 3

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF NOVEMBER 2001
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 11/01/01</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 11/30/01</u>
<u>EXPENDABLE TRUST FUNDS</u>					
019-Mental Health Gifts and Donations	\$ 2.003	\$ 0.016	\$ 0.014	\$ --	\$ 2.005
020-Combined Expendable Trust	25.128	3.528	2.660	--	25.996
021-Agriculture Producer's Security	4.095	0.011	0.010	--	4.096
022-Milk Producers Security	5.947	0.066	0.010	--	6.003
024-Archives Partnership Trust	0.596	0.001	0.024	--	0.573
333-Winter Sports Education Trust	1.260	0.003	--	--	1.263
481-Unemployment Insurance Benefit	22.824	244.379	251.755	--	15.448
TOTAL EXPENDABLE TRUST FUNDS	<u>61.853</u>	<u>248.004</u>	<u>254.473</u>	<u>--</u>	<u>55.384</u>
<u>NONEXPENDABLE TRUST FUNDS</u>					
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
221-Combined Student Loan	8.695	1.911	1.287	--	9.319
307-Equipment Loan for the Disabled	0.195	0.006	--	--	0.201
332-Combined Non-Expendable Trust	4.262	0.011	0.057	--	4.216
335-Musical Instrument Revolving	0.001	--	--	--	0.001
338-Arts Capital Revolving	0.507	0.002	--	--	0.509
360-Housing Development	12.219	0.032	0.084	--	12.167
TOTAL NONEXPENDABLE TRUST FUNDS	<u>\$ 25.879</u>	<u>\$ 1.962</u>	<u>\$ 1.428</u>	<u>\$ --</u>	<u>\$ 26.413</u>

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF NOVEMBER 2001
 (amounts in millions)

SCHEDULE 3
 (continued)

<u>FUND TYPE</u>	<u>FUND BALANCE 11/01/01</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 11/30/01</u>
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	\$ --	\$ --	\$ --	\$ --	\$ --
130-School Capital Facilities Financing Reserve	40.146	2.311	11.832	--	30.625
152-Employees Health Insurance	65.014	233.854	248.596	--	50.272
153-Social Security Contribution	29.989	79.771	72.219	--	37.541
154-Employee Payroll Withholding Escrow	54.060	319.464	287.117	--	86.407
162-Employees Dental Insurance	13.810	5.223	8.389	--	10.644
163-Management Confidential Group Insurance	3.504	0.427	0.389	--	3.542
165-Lottery Prize	103.971	60.516	48.649	26.588	142.426
167-Health Insurance Reserve Receipts	4.540	0.012	--	--	4.552
169-Miscellaneous New York State Agency	563.710	37.911	35.605	--	566.016
175-Elderly Pharmaceutical Insurance Coverage Escrow	19.571	18.081	35.950	--	1.702
176-City University Senior College Operating	18.829	100.000	96.712	--	22.117
179-Medicaid Management Information System Escrow	592.989	2,065.182	2,245.471	--	412.700
309-Special Education	--	--	--	--	--
344-State University Collection	126.001	(41.308)	--	--	84.693
382-SUNY Federal Direct Lending Program	(0.119)	(0.250)	--	--	(0.369)
TOTAL AGENCY FUNDS	<u>1,636.015</u>	<u>2,881.194</u>	<u>3,090.929</u>	<u>26.588</u>	<u>1,452.868</u>
TOTAL FIDUCIARY FUNDS	<u>\$ 1,723.747</u>	<u>\$ 3,131.160</u>	<u>\$ 3,346.830</u>	<u>\$ 26.588</u>	<u>\$ 1,534.665</u>

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF NOVEMBER 2001
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 11/1/01</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 11/30/01</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$ 32.886	\$ 3.333	\$ --	\$ 36.219
149-Sole Custody Investment	1,018.182	1,347.445	1,224.539	1,141.088
650-Comptroller's Refund	--	80.530	80.530	--
750-NYS Thruway Authority Operating	4.950	56.134	57.186	3.898
TOTAL ACCOUNTS	\$ 1,056.018	\$ 1,487.442	\$ 1,362.255	\$ 1,181.205

STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2002

PURPOSE	DEBT OUTSTANDING APR. 1, 2001	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING NOV. 30, 2001	INTEREST DISBURSED	
		MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2001	MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2001		MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2001
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 1,466,014,651.87	\$ --	\$ --	\$ 18,050,000.00	\$ 95,340,255.61	\$ 1,370,674,396.26	\$ 763,812.40	\$ 39,472,914.92
Clean Water/Clean Air:								
Air Quality	136,148,179.47	--	10,976,872.86	--	5,334,587.12	141,790,465.21	34,899.60	3,408,877.78
Safe Drinking Water	197,524,539.94	--	50,069,060.77	--	3,974,137.93	243,619,462.78	103,336.62	6,029,117.35
Water	218,192,192.73	--	25,889,716.08	--	860,676.37	243,221,232.44	67,473.12	7,018,160.33
Solid Waste	44,439,018.47	--	5,325,467.44	--	1,009,059.45	48,755,426.46	10,149.94	969,902.84
Environmental Restoration	15,523,514.58	--	--	--	79,482.76	15,444,031.82	14,039.74	358,680.46
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	93,220,182.76	--	--	11,175,000.00	18,277,514.95	74,942,667.81	917,887.50	3,605,156.90
Environmental Quality Protection (1972):								
Air	37,027,331.88	--	--	280,000.00	2,084,656.38	34,942,675.50	9,520.00	1,090,838.27
Land	103,205,264.57	--	--	2,400,000.00	8,881,623.38	94,323,641.19	211,685.00	3,149,524.12
Wet Lands	2,000.00	--	--	--	--	2,000.00	--	58.75
Water	271,918,543.25	--	--	4,770,000.00	20,184,126.02	251,734,417.23	908,725.00	9,495,914.70
Environmental Quality (1986):								
Land and Forests	148,968,319.82	--	1,008,882.84	700,000.00	6,633,229.53	143,343,973.13	38,979.13	4,682,797.50
Solid Waste Management	690,207,779.69	--	--	5,500,000.00	6,740,498.96	683,467,280.73	820,303.74	17,547,071.52
Higher Education Construction	10,480,000.00	--	--	--	1,800,000.00	8,680,000.00	--	246,425.00
Housing								
Low Cost	167,076,423.13	--	--	584,000.00	10,445,560.13	156,630,863.00	88,592.00	4,004,008.52
Middle Income	79,076,000.00	--	--	1,220,000.00	1,580,000.00	77,496,000.00	925,823.75	2,636,291.50
Urban Renewal	1,126,707.58	--	--	220,000.00	259,857.40	866,850.18	15,950.00	48,175.87
Outdoor Recreation Development	1,056,768.91	--	--	35,000.00	217,925.43	838,843.48	2,345.00	51,355.05
Park and Recreation Land Acquisition	335,641.58	--	--	30,000.00	116,324.00	219,317.58	2,175.00	11,841.47
Pure Waters	235,150,564.17	--	--	2,390,000.00	17,711,537.26	217,439,026.91	440,362.50	7,567,233.90
Rail Preservation Development	72,487,038.70	--	--	1,030,000.00	8,485,014.74	64,002,023.96	569,975.00	3,037,023.11
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	19,225,933.85	--	--	--	2,570,430.18	16,655,503.67	--	443,868.31
Ports, Canals, and Waterways	7,167,117.71	--	--	390,000.00	2,167,561.74	4,999,555.97	15,255.00	260,183.58
Rapid Transit, Rail, and Aviation	75,119,690.67	--	--	370,000.00	3,535,701.16	71,583,989.51	18,980.00	2,351,275.49
Transportation Capital Facilities:								
Aviation	81,100,920.20	--	--	390,000.00	4,757,633.91	76,343,286.29	247,036.25	2,746,110.05
Mass Transportation	174,260,673.81	--	--	1,970,000.00	20,656,605.58	153,604,068.23	1,314,037.50	6,783,389.48
Total General Obligation Bonded Debt	\$ 4,346,054,999.34	\$ --	\$ 93,269,999.99	\$ 51,504,000.00	\$ 243,703,999.99	\$ 4,195,620,999.34	\$ 7,541,343.79	\$ 127,016,196.77

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE EIGHT (8) MONTHS ENDED NOVEMBER 30, 2001

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	EMERGENCY HIGHWAY CONSTRUCTION AND RECONSTRUCTION (336)	EMERGENCY HIGHWAY RECONDITIONING AND PRESERVATION (320)	GENERAL DEBT SERVICE (311)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		INCREASE (DECREASE)
									8 MONTHS ENDED NOVEMBER 30		
									2001	2000	
Special Contractual Financing Obligations:											
City University Construction	\$ --	\$ --	\$ --	\$ 318,777,430	\$ --	\$ --	\$ --	\$ --	\$ 318,777,430	\$ 288,919,199	\$ 29,858,231
Community Enhancement Facilities Program	--	--	--	5,527,455	--	--	--	--	5,527,455	2,190,338	3,337,117
County of Albany	--	--	--	2,076,631	--	--	--	--	2,076,631	6,633,894	(4,557,263)
Department of TransRegion 1 Schenectady	--	--	--	109,704	--	--	--	--	109,704	--	109,704
Dormitory Authority	--	--	--	464,238,355	30,942,300	--	161,203,986	20,482,355	676,866,996	675,322,584	1,544,412
Environmental Conservation - Broadway Albat	--	--	--	3,188,628	--	--	--	--	3,188,628	3,168,640	19,988
Environmental Conservation - 50 Wolf Rd Alb.	--	--	--	1,290,274	--	--	--	--	1,290,274	1,428,330	(138,056)
Energy Research & Development Authority	--	--	--	7,253,024	--	--	--	--	7,253,024	7,219,572	33,452
Environmental Facilities Corporation	--	--	--	14,406,866	--	--	--	--	14,406,866	14,600,750	(193,884)
Hampton Plaza	--	--	--	186,858	--	--	--	--	186,858	38,000	148,858
Hanson Place	--	--	--	2,514,000	--	--	--	--	2,514,000	2,514,000	--
44 Holland Avenue	--	--	--	809,800	--	--	--	--	809,800	1,017,878	(208,078)
Housing Finance Agency	--	--	--	38,656,381	--	--	--	--	38,656,381	51,456,606	(12,800,225)
Local Government Assistance Corporation	--	--	--	--	--	103,335,533	--	--	103,335,533	113,565,124	(10,229,591)
Metropolitan Transportation Authority:											
Transit and Commuter Rail Projects	--	--	--	84,841,624	--	--	--	--	84,841,624	82,456,792	2,384,832
Triborough Bridge & Tunnel Authority:											
Javits Convention Center Project	--	--	--	8,826,793	--	--	--	--	8,826,793	8,813,802	12,991
Thruway Authority	--	28,895,770	19,211,368	474,405,833	--	--	--	--	522,512,971	446,982,300	75,530,671
Urban Development Corporation:											
Correctional Facilities	--	--	--	96,518,255	--	--	--	--	96,518,255	95,352,705	1,165,550
Center for Industrial Innovation at RPI	--	--	--	1,055,670	--	--	--	--	1,055,670	1,116,612	(60,942)
Syracuse University Science and Technology Center	--	--	--	252,869	--	--	--	--	252,869	284,247	(31,378)
Cornell Univer. Supercomputer Center	--	--	--	809,754	--	--	--	--	809,754	822,684	(12,930)
Columbia Univer. Telecommunications Cent	--	--	--	3,842,938	--	--	--	--	3,842,938	3,843,858	(920)
Onondaga Convention Center	--	--	--	1,375,925	--	--	--	--	1,375,925	1,400,351	(24,426)
Clarkson University	--	--	--	333,639	--	--	--	--	333,639	337,149	(3,510)
Alfred University	--	--	--	114,653	--	--	--	--	114,653	202,025	(87,372)
New York University	--	--	--	26,494	--	--	--	--	26,494	49,594	(23,100)
Rochester University	--	--	--	--	--	--	--	--	--	--	--
Higher Education	--	--	--	1,404,186	--	--	--	--	1,404,186	1,463,018	(58,832)
Youth Facilities	--	--	--	4,349,848	--	--	--	--	4,349,848	4,593,200	(243,352)
University Facilities Grant	--	--	--	583,421	--	--	--	--	583,421	594,354	(10,933)
Economic Development Heritage Trail Proje	--	--	--	593,866	--	--	--	--	593,866	682,712	(88,846)
Sports Facility	--	--	--	3,568,451	--	--	--	--	3,568,451	3,691,929	(123,478)
Ten Eyck Project Albany	--	--	--	822,416	--	--	--	--	822,416	1,656,636	(834,220)
Long Island and Pine Barren	--	--	--	368,531	--	--	--	--	368,531	378,151	(9,620)
South Mall	--	--	--	--	--	--	--	--	--	--	--
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$ 28,895,770	\$ 19,211,368	\$ #####	\$ 30,942,300	\$ 103,335,533	\$ 161,203,986	\$ 20,482,355	\$ #####	\$ 1,822,797,034	\$ 84,404,851

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF NOVEMBER 2001
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	NOVEMBER 2001	FISCAL YEAR TO DATE
<u>SHORT TERM INVESTMENT POOL</u>		
AVERAGE DAILY INVESTMENT BALANCE	\$8,892.2	\$10,138.3
AVERAGE YIELD	2.648%	3.873%
TOTAL INVESTMENT EARNINGS	\$19.377	\$262.430

DESCRIPTION	PAR AMOUNT
TREASURY BILLS	\$200.0
GOVT. AGENCY BILLS/NOTES	\$305.0
REPURCHASE AGREEMENTS	\$13.2
COMMERCIAL PAPER	\$7,799.9
CERTIFICATES OF DEPOSIT	\$324.8
	\$8,642.9

POWER AUTHORITY ACCOUNT

AVERAGE DAILY INVESTMENT BALANCE	\$0.0	\$1.1
AVERAGE YIELD	0.000%	4.816%
TOTAL INVESTMENT EARNINGS	\$0.000	\$0.035

DESCRIPTION	PAR AMOUNT
REPURCHASE AGREEMENTS	\$0.0