



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

MARCH 2021

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
March 31, 2021

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**
(amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax (3)	\$ 1,647.1	\$ 25,456.0	\$ 18.8	\$ 2,027.4	\$ 1,665.8	\$ 27,483.3	\$ -	\$ -	\$ 3,331.7	\$ 54,966.7	\$ 3,746.2	\$ 53,659.4	\$ 1,307.3	2.4%
Consumption/Use Taxes	661.2	7,249.5	107.6	1,712.9	624.9	6,634.4	46.5	520.6	1,440.2	16,117.4	1,463.2	18,022.0	(1,904.6)	-10.6%
Business Taxes	1,919.2	6,420.5	423.4	1,836.0	-	-	46.2	535.9	2,388.8	8,792.4	2,364.8	8,995.8	(203.4)	-2.3%
Other Taxes	85.0	1,548.8	-	-	80.8	831.4	11.9	119.1	177.7	2,499.3	135.6	2,211.9	287.4	13.0%
Miscellaneous Receipts	662.0	7,515.3	1,896.8	17,375.2	40.6	400.9	281.6	5,480.8	2,881.0	30,772.2	3,770.0	29,466.4	1,305.8	4.4%
Federal Receipts	0.2	0.4	6,673.8	76,123.9	9.2	73.8	134.3	1,954.3	6,817.5	78,152.4	4,476.9	65,080.0	13,072.4	20.1%
Total Receipts	4,974.7	48,190.5	9,120.4	99,075.4	2,421.3	35,423.8	520.5	8,610.7	17,036.9	191,300.4	15,956.7	177,435.5	13,864.9	7.8%
DISBURSEMENTS:														
Local Assistance Grants:														
Education	10,535.7	28,211.8	555.4	8,701.9	-	-	3.2	122.1	11,094.3	37,035.8	10,292.8	37,846.6	(810.8)	-2.1%
Environment and Recreation	0.1	0.6	1.9	5.8	-	-	47.7	199.2	49.7	205.6	25.0	426.1	(220.5)	-51.7%
General Government	183.0	1,010.3	22.8	4,391.2	-	-	99.0	615.2	304.8	6,016.7	145.0	2,172.0	3,844.7	177.0%
Public Health:														
Medicaid	108.7	13,821.7	4,938.4	49,779.1	-	-	-	-	5,047.1	63,600.8	2,701.7	63,490.5	110.3	0.2%
Other Public Health	614.2	2,638.5	828.2	7,664.2	-	-	41.8	517.8	1,484.2	10,820.5	1,370.8	10,448.7	371.8	3.6%
Public Safety	13.7	127.2	250.8	2,178.4	-	-	1.6	52.8	266.1	2,358.4	195.0	1,853.7	504.7	27.2%
Public Welfare	569.7	2,944.7	862.3	4,166.1	-	-	100.8	604.6	1,532.8	7,715.4	1,110.1	7,593.5	121.9	1.6%
Support and Regulate Business	41.3	119.4	7.7	56.3	-	-	138.6	685.0	187.6	860.7	83.3	1,114.3	(253.6)	-22.8%
Transportation	21.3	106.6	614.9	3,606.8	-	-	239.2	2,444.1	875.4	6,157.5	182.2	5,173.1	984.4	19.0%
Total Local Assistance Grants	12,087.7	48,980.8	8,082.4	80,549.8	-	-	671.9	5,240.8	20,842.0	134,771.4	16,105.9	130,118.5	4,652.9	3.6%
Departmental Operations:														
Personal Service	789.9	7,154.4	691.8	7,637.6	-	-	-	-	1,481.7	14,792.0	1,105.9	14,726.5	65.5	0.4%
Non-Personal Service	435.1	2,950.3	516.0	4,364.0	12.2	61.4	-	-	963.3	7,375.7	1,194.4	7,477.1	(101.4)	-1.4%
General State Charges	1,438.3	7,031.6	116.6	2,227.9	-	-	-	-	1,554.9	9,259.5	567.4	8,756.8	502.7	5.7%
Debt Service, Including Payments on														
Financing Agreements	-	-	-	102.2	9,727.9	13,196.3	-	-	9,727.9	13,298.5	2,639.4	4,916.1	8,382.4	170.5%
Capital Projects (1)	-	-	-	-	-	-	702.0	7,090.0	702.0	7,090.0	532.1	6,985.3	104.7	1.5%
Total Disbursements	14,751.0	66,117.1	9,406.8	94,881.5	9,740.1	13,257.7	1,373.9	12,330.8	35,271.8	186,587.1	22,145.1	172,980.3	13,606.8	7.9%
Excess (Deficiency) of Receipts over Disbursements	(9,776.3)	(17,926.6)	(286.4)	4,193.9	(7,318.8)	22,166.1	(853.4)	(3,720.1)	(18,234.9)	4,713.3	(6,188.4)	4,455.2	258.1	5.8%
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds (net)	-	-	-	-	-	-	202.6	202.6	202.6	202.6	-	-	202.6	100.0%
Transfers from Other Funds (2)	1,127.3	26,121.4	1,159.7	3,088.4	1,093.1	3,223.9	1,581.8	4,855.1	4,961.9	37,288.8	5,502.6	45,464.7	(8,175.9)	-18.0%
Transfers to Other Funds (2)	(2,520.4)	(7,978.2)	(967.5)	(2,727.6)	(594.1)	(25,388.4)	(914.1)	(1,446.7)	(4,996.1)	(37,540.9)	(5,522.5)	(45,610.1)	(8,069.2)	-17.7%
Total Other Financing Sources (Uses)	(1,393.1)	18,143.2	192.2	360.8	499.0	(22,164.5)	870.3	3,611.0	168.4	(49.5)	(19.9)	(145.4)	95.9	66.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(11,169.4)	216.6	(94.2)	4,554.7	(6,819.8)	1.6	16.9	(109.1)	(18,066.5)	4,663.8	(6,208.3)	4,309.8	354.0	8.2%
Beginning Fund Balances (Deficits)	20,330.2	8,944.2	10,961.0	6,312.1	6,884.8	63.4	(1,160.9)	(1,034.9)	37,015.1	14,284.8	20,493.1	9,975.0	4,309.8	43.2%
Ending Fund Balances (Deficits)	\$ 9,160.8	\$ 9,160.8	\$ 10,866.8	\$ 10,866.8	\$ 65.0	\$ 65.0	\$ (1,144.0)	\$ (1,144.0)	\$ 18,948.6	\$ 18,948.6	\$ 14,284.8	\$ 14,284.8	\$ 4,663.8	32.6%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax (3)	\$ 1,647.1	\$ 25,456.0	\$ 18.8	\$ 2,027.4	\$ 1,665.8	\$ 27,483.3	\$ 3,331.7	\$ 54,966.7	\$ 3,746.2	\$ 53,659.4	\$ 1,307.3	2.4%
Consumption/Use Taxes	661.2	7,249.5	107.6	1,712.9	624.9	6,634.4	1,393.7	15,596.8	1,406.1	17,390.0	(1,793.2)	-10.3%
Business Taxes	1,919.2	6,420.5	423.4	1,836.0	-	-	2,342.6	8,256.5	2,313.1	8,329.4	(72.9)	-0.9%
Other Taxes	85.0	1,548.8	-	-	80.8	831.4	165.8	2,380.2	123.7	2,092.8	287.4	13.7%
Miscellaneous Receipts	662.0	7,515.3	1,887.3	17,193.2	40.6	400.9	2,589.9	25,109.4	1,824.5	22,700.6	2,408.8	10.6%
Federal Receipts	0.2	0.4	(0.7)	(13.6)	9.2	73.8	8.7	60.6	(4.1)	61.2	(0.6)	-1.0%
Total Receipts	4,974.7	48,190.5	2,436.4	22,755.9	2,421.3	35,423.8	9,832.4	106,370.2	9,409.5	104,233.4	2,136.8	2.1%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	10,535.7	28,211.8	328.2	5,697.9	-	-	10,863.9	33,909.7	9,974.6	34,142.3	(232.6)	-0.7%
Environment and Recreation	0.1	0.6	1.8	4.7	-	-	1.9	5.3	1.0	7.8	(2.5)	-32.1%
General Government	183.0	1,010.3	14.7	164.9	-	-	197.7	1,175.2	77.4	1,267.9	(92.7)	-7.3%
Public Health:												
Medicaid	108.7	13,821.7	431.9	5,470.9	-	-	540.6	19,292.6	9.2	23,241.2	(3,948.6)	-17.0%
Other Public Health	614.2	2,638.5	153.2	974.2	-	-	767.4	3,612.7	792.9	3,591.0	21.7	0.6%
Public Safety	13.7	127.2	73.0	202.5	-	-	86.7	329.7	41.5	363.6	(33.9)	-9.3%
Public Welfare	569.7	2,944.7	(0.2)	0.4	-	-	569.5	2,945.1	113.9	2,322.9	622.2	26.8%
Support and Regulate Business	41.3	119.4	7.4	49.1	-	-	48.7	168.5	37.1	227.3	(58.8)	-25.9%
Transportation	21.3	106.6	607.6	3,541.5	-	-	628.9	3,648.1	41.0	3,488.3	159.8	4.6%
Total Local Assistance Grants	12,087.7	48,980.8	1,617.6	16,106.1	-	-	13,705.3	65,086.9	11,088.6	68,652.3	(3,565.4)	-5.2%
Departmental Operations:												
Personal Service	789.9	7,154.4	609.6	5,200.2	-	-	1,399.5	12,354.6	1,057.0	14,089.7	(1,735.1)	-12.3%
Non-Personal Service	435.1	2,950.3	297.7	2,639.5	12.2	61.4	745.0	5,651.2	1,047.1	6,078.2	(427.0)	-7.0%
General State Charges	1,438.3	7,031.6	92.4	886.3	-	-	1,530.7	7,917.9	541.3	8,423.2	(505.3)	-6.0%
Debt Service, Including Payments on												
Financing Agreements	-	-	-	-	9,727.9	13,196.3	9,727.9	13,196.3	2,639.4	4,916.1	8,280.2	168.4%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	14,751.0	66,117.1	2,617.3	24,832.1	9,740.1	13,257.7	27,108.4	104,206.9	16,373.4	102,159.5	2,047.4	2.0%
Excess (Deficiency) of Receipts over Disbursements	(9,776.3)	(17,926.6)	(180.9)	(2,076.2)	(7,318.8)	22,166.1	(17,276.0)	2,163.3	(6,963.9)	2,073.9	89.4	4.3%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds (2)	1,127.3	26,121.4	1,179.0	3,685.4	1,093.1	3,223.9	3,399.4	33,030.7	6,045.7	42,428.5	(9,397.8)	-22.1%
Transfers to Other Funds (2)	(2,520.4)	(7,978.2)	(759.8)	(1,103.8)	(594.1)	(25,388.4)	(3,874.3)	(34,470.4)	(4,684.7)	(42,455.4)	(7,985.0)	-18.8%
Total Other Financing Sources (Uses)	(1,393.1)	18,143.2	419.2	2,581.6	499.0	(22,164.5)	(474.9)	(1,439.7)	1,361.0	(26.9)	(1,412.8)	-5,252.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(11,169.4)	216.6	238.3	505.4	(6,819.8)	1.6	(17,750.9)	723.6	(5,602.9)	2,047.0	(1,323.4)	-64.7%
Beginning Fund Balances (Deficits)	20,330.2	8,944.2	5,667.8	5,400.7	6,884.8	63.4	32,882.8	14,408.3	20,011.2	12,361.3	2,047.0	16.6%
Ending Fund Balances (Deficits)	\$ 9,160.8	\$ 9,160.8	\$ 5,906.1	\$ 5,906.1	\$ 65.0	\$ 65.0	\$ 15,131.9	\$ 15,131.9	\$ 14,408.3	\$ 14,408.3	\$ 723.6	5.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$169.1 million
Urban Development Corporation (Youth Facilities)	14.3
Housing Finance Agency (HFA)	377.4
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	426.7
Dormitory Authority and State University Income Fund	538.8
Federal Capital Projects	580.3
State bond and note proceeds	25.6

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$3,108.2 million
General Debt Service Fund	326.0
Banking Services Account	36.7
Batavia School For Blind	0.9
Building Administration Account	9.5
Business Services Center	26.9
Centralized Tech Services Account	11.5
Certificates of Participation Account	9.6
Combined Expendable Trust	1.3
Commercial Gaming Revenue	96.2
Correctional Facilities Capital Improvement Fund	102.8
Correctional Industries Revolving Fund	20.8
Court Facilities Incentive Aid Fund	116.2
Dedicated Highway & Bridge Trust Fund	786.0
Dedicated Infrastructure Investment Fund	513.0
Dedicated Mass Transportation - Railroad Account	8.9
Dedicated Mass Transportation - Transit Authority Account	49.8
Dedicated Mass Transportation - (Non MTA)	5.1
Entertainment Diversity Job Training and Development	1.5
Environmental Protection Fund	28.0
Federal Salary Sharing Account	1.9
Hazardous Waste Oversight & Assistance Account	1.8
Health Insurance Revolving Fund	12.0
Helen Hayes Hospital	26.2
Indigent Legal Services	1.2
Mass Transportation Operating Assistance Fund	29.6
Mass Transportation Financial Assistance	244.3
Medical Marijuana Health Operation and Oversight Account	6.6
Montrose Veteran's Home	9.3
Neighborhood Work Project Account	1.0
New York Central Business District Trust Fund	150.0
New York City County Clerks' Operations Offset	2.8
New York City Veterans - St. Albans	11.1
New York State Veterans Home Oxford	6.6
Recruitment Incentive Account	2.1

General Fund (continued):

Rome School for Deaf Account	1.0
Spinal Cord Injury Account	8.5
State Fair Receipts	3.0
State Lottery Fund	692.8
State University Income Fund	1,229.5
Tax Revenue Arrearage	1.5
Western New York Veteran's Batavia	5.3

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$8.5m), and the State University Income Fund (\$262.4m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of March 31, 2021 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$1,444.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$56.3m), Medicaid Management Information System Escrow Fund (\$122.3m), State Capital Projects Fund (\$213.8m) and All Other Capital Projects (\$100.9m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	\$7.3 million
Certificate of Need Account	1.1
Charitable Gift Trust Account	96.0
Clean Air Account	2.1
DMNA Radiological Emergency Preparedness Account	3.5
Encon Special Revenue Account	8.1
Federal Department of Health Services Fund	140.4
Federal Education Fund	2.4
Federal Operating Grants Fund	5.3
Federal USDA/Food and Nutrition Services Fund	9.6
Fingerprint Identification Technology Account	2.0
Health Care Transformation Fund	200.0
HESC Insurance Premium Account	5.3
Legal Services Assist Account	9.8
Litigation Settlement Account	7.5
Mass Transportation Operating Assistance Fund	1.4
Miscellaneous State Special Revenue Fund	5.1
Motor Vehicle Theft & Insurance Fraud Account	1.1
New York City Assessment Account	2.4
Parking Account	1.0
Patron Services Account	1.6
Professional Education Services Account	2.8
Public Service Account	3.6
State Central Register Account	3.5
State Lottery Fund	8.7
State Police Motor Vehicle Law Enforcement Fund	112.4

GOVERNMENTAL FUNDS FOOTNOTES

Special Revenue Funds (continued):

Statewide Public Safety Communications	27.5
SUNY Income Fund	58.4
Surplus Property Account	3.0
System and Technology Account	3.5
Training and Education Program on OSHA	3.5
Transportation Surplus Property Account	1.8
Unemployment Insurance Administration Fund	16.2
Unemployment Insurance Interest & Penalty Account	13.1
Vital Records Management Fund	2.6
Workers' Compensation Board Account	7.3

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$18,578.0 million
Local Government Assistance Tax Fund	3,237.8
Sales Tax Revenue Bond Tax Fund	1,277.8
Clean Water/Clean Air Fund	782.7
Mental Health Services Fund	1,396.5

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$115.6m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$62.1m), the General Debt Service Fund - Lease Purchase (\$108.8m), and the Revenue Bond Tax Fund (\$1,275.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$2,027.4m) as of March 31, 2021.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 6.0	\$ 67.7	\$ 145.5	\$ 522.8	\$ 151.5	\$ 590.5	\$ 68.5	\$ 670.0	\$ (79.5)	-11.9%
Federal Receipts	6,144.4	57,050.0	-	-	6,144.4	57,050.0	1.2	16.8	57,033.2	339,483.3%
Unemployment Taxes	494.9	15,133.8	-	-	494.9	15,133.8	357.4	2,284.7	12,849.1	562.4%
Total Receipts	6,645.3	72,251.5	145.5	522.8	6,790.8	72,774.3	427.1	2,971.5	69,802.8	2,349.1%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	1.1	12.5	10.9	134.6	12.0	147.1	12.0	143.3	3.8	2.7%
Non-Personal Service	5.1	52.7	105.1	520.3	110.2	573.0	82.4	546.7	26.3	4.8%
General State Charges	0.1	1.7	3.7	60.2	3.8	61.9	3.9	57.9	4.0	6.9%
Unemployment Benefits	6,536.2	72,071.7	-	-	6,536.2	72,071.7	359.9	2,303.4	69,768.3	3,028.9%
Total Disbursements	6,542.5	72,138.6	119.7	715.1	6,662.2	72,853.7	458.2	3,051.3	69,802.4	2,287.6%
Excess (Deficiency) of Receipts Over Disbursements	102.8	112.9	25.8	(192.3)	128.6	(79.4)	(31.1)	(79.8)	0.4	0.5%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	3.0	38.3	133.8	38.3	136.8	37.6	110.1	26.7	24.3%
Transfers to Other Funds	-	-	(4.1)	(7.5)	(4.1)	(7.5)	(17.7)	(22.0)	(14.5)	-65.9%
Total Other Financing Sources (Uses)	-	3.0	34.2	126.3	34.2	129.3	19.9	88.1	41.2	46.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	102.8	115.9	60.0	(66.0)	162.8	49.9	(11.2)	8.3	41.6	501.2%
Beginning Fund Balances (Deficits)	42.8	29.7	(423.5)	(297.5)	(380.7)	(267.8)	(256.6)	(276.1)	8.3	3.0%
Ending Fund Balances (Deficits)	\$ 145.6	\$ 145.6	\$ (363.5)	\$ (363.5)	\$ (217.9)	\$ (217.9)	\$ (267.8)	\$ (267.8)	\$ 49.9	18.6%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2021	12 MOS. ENDED MAR. 31, 2021	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 37.8	\$ 151.0	\$ 0.1	\$ 0.5	\$ 37.9	\$ 151.5	\$ 22.0	\$ 146.7	\$ 4.8	3.3%
Total Receipts	37.8	151.0	0.1	0.5	37.9	151.5	22.0	146.7	4.8	3.3%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	11.1	77.6	-	0.2	11.1	77.8	7.9	70.6	7.2	10.2%
Non-Personal Service	20.4	32.5	-	-	20.4	32.5	2.7	34.4	(1.9)	-5.5%
General State Charges	1.8	39.8	-	0.1	1.8	39.9	1.9	38.7	1.2	3.1%
Total Disbursements	33.3	149.9	-	0.3	33.3	150.2	12.5	143.7	6.5	4.5%
Excess (Deficiency) of Receipts Over Disbursements	4.5	1.1	0.1	0.2	4.6	1.3	9.5	3.0	(1.7)	-56.7%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4.5	1.1	0.1	0.2	4.6	1.3	9.5	3.0	(1.7)	-56.7%
Beginning Fund Balances (Deficits)	(4.5)	(1.1)	14.4	14.3	9.9	13.2	3.7	10.2	3.0	29.4%
Ending Fund Balances (Deficits)	\$ -	\$ -	\$ 14.5	\$ 14.5	\$ 14.5	\$ 14.5	\$ 13.2	\$ 13.2	\$ 1.3	9.8%

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR TWELVE MONTHS ENDED MARCH 31, 2021
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 49,046.0	\$ 53,042.0	\$ 54,966.7	\$ 5,920.7	\$ 1,924.7
Consumption/Use	15,474.0	16,001.0	16,117.4	643.4	116.4
Business	8,957.0	8,178.0	8,792.4	(164.6)	614.4
Other	2,066.0	2,125.0	2,499.3	433.3	374.3
Miscellaneous Receipts	30,669.0	31,707.0	30,772.2	103.2	(934.8)
Federal Receipts	72,833.0	84,096.0	78,152.4	5,319.4	(5,943.6)
Total Receipts	179,045.0	195,149.0	191,300.4	12,255.4	(3,848.6)
DISBURSEMENTS:					
Local Assistance Grants	127,427.0	142,324.0	134,771.4	7,344.4	(7,552.6)
Departmental Operations	21,222.0	22,807.0	22,167.7	945.7	(639.3)
General State Charges	8,646.0	8,619.0	9,259.5	613.5	640.5
Debt Service	10,471.0	12,035.0	13,298.5	2,827.5	1,263.5
Capital Projects	9,752.0	8,545.0	7,090.0	(2,662.0)	(1,455.0)
Total Disbursements	177,518.0	194,330.0	186,587.1	9,069.1	(7,742.9)
Excess (Deficiency) of Receipts over Disbursements	1,527.0	819.0	4,713.3	3,186.3	3,894.3
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	850.0	365.0	202.6	(647.4)	(162.4)
Transfers from Other Funds	38,689.0	36,671.0	37,288.8	(1,400.2)	617.8
Transfers to Other Funds	(38,644.0)	(36,622.0)	(37,540.9)	(1,103.1)	918.9
Total Other Financing Sources (Uses)	895.0	414.0	(49.5)	(944.5)	(463.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,422.0	1,233.0	4,663.8	2,241.8	3,430.8
Fund Balances (Deficits) at April 1	14,284.0	14,283.0	14,284.8	0.8	1.8
Fund Balances (Deficits) at March 31, 2021	\$ 16,706.0	\$ 15,516.0	\$ 18,948.6	\$ 2,242.6	\$ 3,432.6

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR TWELVE MONTHS ENDED MARCH 31, 2021
(amounts in millions)**

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 49,046.0	\$ 53,042.0	\$ 54,966.7	\$ 5,920.7	\$ 1,924.7
Consumption/Use	14,917.0	15,489.0	15,596.8	679.8	107.8
Business	8,372.0	7,627.0	8,256.5	(115.5)	629.5
Other	1,947.0	2,006.0	2,380.2	433.2	374.2
Miscellaneous Receipts	22,390.0	22,988.0	25,109.4	2,719.4	2,121.4
Federal Receipts	51.0	51.0	60.6	9.6	9.6
Total Receipts	96,723.0	101,203.0	106,370.2	9,647.2	5,167.2
DISBURSEMENTS:					
Local Assistance Grants	61,660.0	66,672.0	65,086.9	3,426.9	(1,585.1)
Departmental Operations	19,107.0	18,077.0	18,005.8	(1,101.2)	(71.2)
General State Charges	8,296.0	7,146.0	7,917.9	(378.1)	771.9
Debt Service	10,338.0	11,891.0	13,196.3	2,858.3	1,305.3
Capital Projects	-	-	-	-	-
Total Disbursements	99,401.0	103,786.0	104,206.9	4,805.9	420.9
Excess (Deficiency) of Receipts over Disbursements	(2,678.0)	(2,583.0)	2,163.3	4,841.3	4,746.3
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	34,785.0	33,308.0	33,030.7 (****)	(1,754.3)	(277.3)
Transfers to Other Funds	(35,076.0)	(32,950.0)	(34,470.4) (****)	(605.6)	1,520.4
Total Other Financing Sources (Uses)	(291.0)	358.0	(1,439.7)	(1,148.7)	(1,797.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2,969.0)	(2,225.0)	723.6	3,692.6	2,948.6
Fund Balances (Deficits) at April 1	14,408.0	14,407.0	14,408.3	0.3	1.3
Fund Balances (Deficits) at March 31, 2021	\$ 11,439.0	\$ 12,182.0	\$ 15,131.9	\$ 3,692.9	\$ 2,949.9

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR TWELVE MONTHS ENDED MARCH 31, 2021
 (amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 22,450.0	\$ 24,491.0	\$ 25,456.0	\$ 3,006.0	\$ 965.0
Consumption/Use	6,934.0	7,196.0	7,249.5	315.5	53.5
Business	6,506.0	5,921.0	6,420.5	(85.5)	499.5
Other	1,115.0	1,225.0	1,548.8	433.8	323.8
Miscellaneous Receipts	6,373.0	6,913.0	7,515.3	1,142.3	602.3
Federal Receipts	-	-	0.4	0.4	0.4
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	19,154.0	18,068.0	18,578.0	(576.0)	510.0
Sales Tax in excess of LGAC / STRBF Debt Service	5,050.0	5,337.0	4,515.6	(534.4)	(821.4)
Real Estate Taxes in excess of CW/CA Debt Service	781.0	730.0	782.7	1.7	52.7
All Other	2,579.0	3,159.0	2,245.1	(333.9)	(913.9)
Total Receipts and Other Financing Sources	70,942.0	73,040.0	74,311.9	3,369.9	1,271.9
DISBURSEMENTS:					
Local Assistance Grants	46,400.0	52,011.0	48,980.8	2,580.8	(3,030.2)
Departmental Operations	11,655.0	10,615.0	10,104.7	(1,550.3)	(510.3)
General State Charges	7,249.0	6,084.0	7,031.6	(217.4)	947.6
Transfers To:					
Debt Service	1,810.0	309.0	326.0	(1,484.0)	17.0
Capital Projects	3,512.0	2,983.0	4,539.8	1,027.8	1,556.8
State Share Medicaid	-	-	270.9	270.9	270.9
SUNY Operations	1,273.0	1,239.0	1,229.5	(43.5)	(9.5)
Other Purposes	1,270.0	1,506.0	1,612.0	342.0	106.0
Total Disbursements and Other Financing Uses	73,169.0	74,747.0	74,095.3	926.3	(651.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2,227.0)	(1,707.0)	216.6	2,443.6	1,923.6
Fund Balances (Deficits) at April 1	8,944.0	8,944.0	8,944.2	0.2	0.2
Fund Balances (Deficits) at March 31, 2021	\$ 6,717.0	\$ 7,237.0	\$ 9,160.8	\$ 2,443.8	\$ 1,923.8

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR TWELVE MONTHS ENDED MARCH 31, 2021
 (amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ 2,073.0	\$ 2,030.0	\$ 2,027.4	\$ -	\$ 2,027.4	\$ (45.6)	\$ (2.6)
Consumption/Use	1,691.0	1,715.0	1,712.9	-	1,712.9	21.9	(2.1)
Business	1,866.0	1,706.0	1,836.0	-	1,836.0	(30.0)	130.0
Miscellaneous Receipts	15,866.0	15,921.0	17,375.2	-	17,375.2	1,509.2	1,454.2
Federal Receipts	70,577.0	81,840.0	76,123.9	-	76,123.9	5,546.9	(5,716.1)
Transfers from Other Funds (***)	2,535.0	2,744.0	3,685.4	(597.0)	3,088.4	553.4	344.4
Total Receipts and Other Financing Sources	94,608.0	105,956.0	102,760.8	(597.0)	102,163.8	7,555.8	(3,792.2)
DISBURSEMENTS:							
Local Assistance Grants	76,045.0	84,906.0	80,549.8	-	80,549.8	4,504.8	(4,356.2)
Departmental Operations	9,523.0	12,148.0	12,001.6	-	12,001.6	2,478.6	(146.4)
General State Charges	1,397.0	2,535.0	2,227.9	-	2,227.9	830.9	(307.1)
Debt Service	133.0	144.0	102.2	-	102.2	(30.8)	(41.8)
Capital Projects	-	3.0	-	-	-	-	(3.0)
Transfers to Other Funds (***)	2,852.0	3,422.0	3,324.6	(597.0)	2,727.6	(124.4)	(694.4)
Total Disbursements and Other Financing Uses	89,950.0	103,158.0	98,206.1	(597.0)	97,609.1	7,659.1	(5,548.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,658.0	2,798.0	4,554.7	-	4,554.7	(103.3)	1,756.7
Fund Balances (Deficits) at April 1	6,312.0	6,311.0	6,312.1	-	6,312.1	0.1	1.1
Fund Balances (Deficits) at March 31, 2021	\$ 10,970.0	\$ 9,109.0	\$ 10,866.8	\$ -	\$ 10,866.8	\$ (103.2)	\$ 1,757.8

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR TWELVE MONTHS ENDED MARCH 31, 2021
 (amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 2,073.0	\$ 2,030.0	\$ 2,027.4	\$ (45.6)	\$ (2.6)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,691.0	1,715.0	1,712.9	21.9	(2.1)	-	-	-	-	-
Business	1,866.0	1,706.0	1,836.0	(30.0)	130.0	-	-	-	-	-
Miscellaneous Receipts	15,636.0	15,701.0	17,193.2	1,557.2	1,492.2	230.0	220.0	182.0	(48.0)	(38.0)
Federal Receipts	(23.0)	(23.0)	(13.6)	9.4	9.4	70,600.0	81,863.0	76,137.5	5,537.5	(5,725.5)
Transfers from Other Funds	2,535.0	2,744.0	3,685.4	1,150.4	941.4	-	-	-	-	-
Total Receipts and Other Financing Sources	23,778.0	23,873.0	26,441.3	2,663.3	2,568.3	70,830.0	82,083.0	76,319.5	5,489.5	(5,763.5)
DISBURSEMENTS:										
Local Assistance Grants	15,260.0	14,661.0	16,106.1	846.1	1,445.1	60,785.0	70,245.0	64,443.7	3,658.7	(5,801.3)
Departmental Operations	7,408.0	7,418.0	7,839.7	431.7	421.7	2,115.0	4,730.0	4,161.9	2,046.9	(568.1)
General State Charges	1,047.0	1,062.0	886.3	(160.7)	(175.7)	350.0	1,473.0	1,341.6	991.6	(131.4)
Debt Service	-	-	-	-	-	133.0	144.0	102.2	(30.8)	(41.8)
Capital Projects	-	-	-	-	-	-	3.0	-	-	(3.0)
Transfers to Other Funds	798.0	1,245.0	1,103.8	305.8	(141.2)	2,054.0	2,177.0	2,220.8	166.8	43.8
Total Disbursements and Other Financing Uses	24,513.0	24,386.0	25,935.9	1,422.9	1,549.9	65,437.0	78,772.0	72,270.2	6,833.2	(6,501.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(735.0)	(513.0)	505.4	1,240.4	1,018.4	5,393.0	3,311.0	4,049.3	(1,343.7)	738.3
Fund Balances (Deficits) at April 1	5,401.0	5,400.0	5,400.7	(0.3)	0.7	911.0	911.0	911.4	0.4	0.4
Fund Balances (Deficits) at March 31, 2021	\$ 4,666.0	\$ 4,887.0	\$ 5,906.1	\$ 1,240.1	\$ 1,019.1	\$ 6,304.0	\$ 4,222.0	\$ 4,960.7	\$ (1,343.3)	\$ 738.7

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR TWELVE MONTHS ENDED MARCH 31, 2021
 (amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 24,523.0	\$ 26,521.0	\$ 27,483.3	\$ 2,960.3	\$ 962.3
Consumption/Use	6,292.0	6,578.0	6,634.4	342.4	56.4
Other	832.0	781.0	831.4	(0.6)	50.4
Miscellaneous Receipts	381.0	374.0	400.9	19.9	26.9
Federal Receipts	74.0	74.0	73.8	(0.2)	(0.2)
Transfers from Other Funds	4,686.0	3,270.0	3,223.9	(1,462.1)	(46.1)
Total Receipts and Other Financing Sources	36,788.0	37,598.0	38,647.7	1,859.7	1,049.7
DISBURSEMENTS:					
Departmental Operations	44.0	44.0	61.4	17.4	17.4
Debt Service	10,338.0	11,891.0	13,196.3	2,858.3	1,305.3
Transfers to Other Funds	26,413.0	25,668.0	25,388.4	(1,024.6)	(279.6)
Total Disbursements and Other Financing Uses	36,795.0	37,603.0	38,646.1	1,851.1	1,043.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(7.0)	(5.0)	1.6	8.6	6.6
Fund Balances (Deficits) at April 1	63.0	63.0	63.4	0.4	0.4
Fund Balances (Deficits) at March 31, 2021	\$ 56.0	\$ 58.0	\$ 65.0	\$ 9.0	\$ 7.0

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR TWELVE MONTHS ENDED MARCH 31, 2021
 (amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS							Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total				
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 557.0	\$ 512.0	\$ 520.6	\$ -	\$ 520.6	\$ (36.4)	\$ 8.6	
Business	585.0	551.0	535.9	-	535.9	(49.1)	(15.1)	
Other	119.0	119.0	119.1	-	119.1	0.1	0.1	
Miscellaneous Receipts	8,049.0	8,499.0	5,480.8	-	5,480.8	(2,568.2)	(3,018.2)	
Federal Receipts	2,182.0	2,182.0	1,954.3	-	1,954.3	(227.7)	(227.7)	
Bond and Note Proceeds, net	850.0	365.0	202.6	-	202.6	(647.4)	(162.4)	
Transfers from Other Funds	3,904.0	3,363.0	5,187.5	(332.4)	4,855.1	951.1	1,492.1	
Total Receipts and Other Financing Sources	16,246.0	15,591.0	14,000.8	(332.4)	13,668.4	(2,577.6)	(1,922.6)	
DISBURSEMENTS:								
Local Assistance Grants	4,982.0	5,407.0	5,240.8	-	5,240.8	258.8	(166.2)	
Capital Projects	9,752.0	8,542.0	7,090.0	-	7,090.0	(2,662.0)	(1,452.0)	
Transfers to Other Funds	1,514.0	1,495.0	1,779.1	(332.4)	1,446.7	(67.3)	(48.3)	
Total Disbursements and Other Financing Uses	16,248.0	15,444.0	14,109.9	(332.4)	13,777.5	(2,470.5)	(1,666.5)	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.0)	147.0	(109.1)	-	(109.1)	(107.1)	(256.1)	
Fund Balances (Deficits) at April 1	(1,035.0)	(1,035.0)	(1,034.9)	-	(1,034.9)	0.1	0.1	
Fund Balances (Deficits) at March 31, 2021	\$ (1,037.0)	\$ (888.0)	\$ (1,144.0)	\$ -	\$ (1,144.0)	\$ (107.0)	\$ (256.0)	

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR TWELVE MONTHS ENDED MARCH 31, 2021
 (amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 557.0	\$ 512.0	\$ 520.6	\$ (36.4)	\$ 8.6	\$ -	\$ -	\$ -	\$ -	\$ -
Business	585.0	551.0	535.9	(49.1)	(15.1)	-	-	-	-	-
Other	119.0	119.0	119.1	0.1	0.1	-	-	-	-	-
Miscellaneous Receipts	8,049.0	8,499.0	5,479.9	(2,569.1)	(3,019.1)	-	-	0.9	0.9	0.9
Federal Receipts	5.0	5.0	4.2	(0.8)	(0.8)	2,177.0	2,177.0	1,950.1	(226.9)	(226.9)
Bond and Note Proceeds, net	850.0	365.0	202.6	(647.4)	(162.4)	-	-	-	-	-
Transfers from Other Funds	4,306.0	3,764.0	5,187.5	881.5	1,423.5	(402.0)	(401.0)	-	402.0	401.0
Total Receipts and Other Financing Sources	14,471.0	13,815.0	12,049.8	(2,421.2)	(1,765.2)	1,775.0	1,776.0	1,951.0	176.0	175.0
DISBURSEMENTS:										
Local Assistance Grants	4,276.0	4,701.0	4,701.9	425.9	0.9	706.0	706.0	538.9	(167.1)	(167.1)
Capital Projects	8,690.0	7,480.0	5,992.7	(2,697.3)	(1,487.3)	1,062.0	1,062.0	1,097.3	35.3	35.3
Transfers to Other Funds	1,514.0	1,495.0	1,446.7	(67.3)	(48.3)	-	-	332.4	332.4	332.4
Total Disbursements and Other Financing Uses	14,480.0	13,676.0	12,141.3	(2,338.7)	(1,534.7)	1,768.0	1,768.0	1,968.6	200.6	200.6
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(9.0)	139.0	(91.5)	(82.5)	(230.5)	7.0	8.0	(17.6)	(24.6)	(25.6)
Fund Balances (Deficits) at April 1	(471.0)	(471.0)	(472.2)	(1.2)	(1.2)	(564.0)	(564.0)	(562.7)	1.3	1.3
Fund Balances (Deficits) at March 31, 2021	\$ (480.0)	\$ (332.0)	\$ (563.7)	\$ (83.7)	\$ (231.7)	\$ (557.0)	\$ (556.0)	\$ (580.3)	\$ (23.3)	\$ (24.3)

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2021-22 Executive Budget with 30-day amendments dated February 22, 2021.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMPARATIVE SCHEDULE OF TAX RECEIPTS
 (amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF	12 MOS. ENDED	MONTH OF	12 MOS. ENDED	MONTH OF	12 MOS. ENDED	MONTH OF	12 MOS. ENDED	MONTH OF	12 MOS. ENDED	MONTH OF	12 MOS. ENDED	\$ Increase/	% Increase/
	MAR. 2021	MAR. 31, 2021	MAR. 2021	MAR. 31, 2021	MAR. 2021	MAR. 31, 2021	MAR. 2021	MAR. 31, 2021	MAR. 2021	MAR. 31, 2021	MAR. 2020	MAR. 31, 2020	(Decrease)	Decrease
PERSONAL INCOME TAX														
Withholding	\$ 5,083.7	\$ 44,218.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,083.7	\$ 44,218.3	\$ 4,549.3	\$ 43,118.3	\$ 1,100.0	2.6%
Estimated Payments	127.4	16,441.2	-	-	-	-	-	-	127.4	16,441.2	125.2	17,025.0	(583.8)	-3.4%
Returns	265.3	3,606.0	-	-	-	-	-	-	265.3	3,606.0	194.3	3,482.1	123.9	3.6%
State/City Offsets	(104.2)	(1,192.3)	-	-	-	-	-	-	(104.2)	(1,192.3)	(71.4)	(1,117.3)	75.0	6.7%
Other (Assessments/LLC)	193.7	1,263.9	-	-	-	-	-	-	193.7	1,263.9	144.7	1,357.4	(93.5)	-6.9%
Gross Receipts	5,565.9	64,337.1	-	-	-	-	-	-	5,565.9	64,337.1	4,942.1	63,865.5	471.6	0.7%
Transfers to School Tax Relief Fund	(18.8)	(2,027.4)	18.8	2,027.4	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,665.8)	(27,483.3)	-	-	1,665.8	27,483.3	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(2,234.2)	(9,370.4)	-	-	-	-	-	-	(2,234.2)	(9,370.4)	(1,195.9)	(10,206.1)	(835.7)	-8.2%
Total	1,647.1	25,456.0	18.8	2,027.4	1,665.8	27,483.3	-	-	3,331.7	54,966.7	3,746.2	53,659.4	1,307.3	2.4%
CONSUMPTION/USE TAXES														
Sales and Use	624.9	6,638.8	48.5	873.0	624.9	6,634.4	-	-	1,298.3	14,146.2	1,296.8	15,932.0	(1,785.8)	-11.2%
Auto Rental	-	-	3.4	12.1	-	-	10.1	51.9	13.5	64.0	23.5	107.1	(43.1)	-40.2%
Cigarette/Tobacco Products	17.5	309.7	41.1	695.9	-	-	-	-	58.6	1,005.6	71.1	1,035.2	(29.6)	-2.9%
Medical Marihuana	-	-	0.4	8.4	-	-	-	-	0.4	8.4	0.4	5.7	2.7	47.4%
Motor Fuel	-	-	7.3	90.7	-	-	27.1	334.3	34.4	425.0	38.4	511.8	(86.8)	-17.0%
Alcoholic Beverage	18.7	271.0	-	-	-	-	-	-	18.7	271.0	12.6	259.0	12.0	4.6%
Highway Use	-	-	0.1	0.5	-	-	9.3	134.4	9.4	134.9	10.0	141.4	(6.5)	-4.6%
Vapor Excise	-	-	6.8	32.3	-	-	-	-	6.8	32.3	10.4	10.4	21.9	210.6%
Opioid Excise	0.1	30.0	-	-	-	-	-	-	0.1	30.0	-	19.4	10.6	54.6%
Total	661.2	7,249.5	107.6	1,712.9	624.9	6,634.4	46.5	520.6	1,440.2	16,117.4	1,463.2	18,022.0	(1,904.6)	-10.6%
BUSINESS TAXES														
Corporation Franchise	992.7	3,890.1	267.8	1,064.0	-	-	-	-	1,260.5	4,954.1	1,205.9	4,824.3	129.8	2.7%
Corporation and Utilities	157.2	417.3	40.4	122.6	-	-	3.3	10.2	200.9	550.1	290.1	704.8	(154.7)	-21.9%
Insurance	758.5	1,975.6	78.8	214.1	-	-	-	-	837.3	2,189.7	777.7	2,305.9	(116.2)	-5.0%
Bank	10.8	137.5	2.8	19.0	-	-	-	-	13.6	156.5	5.5	0.1	156.4	156,400.0%
Petroleum Business	-	-	33.6	416.3	-	-	42.9	525.7	76.5	942.0	85.6	1,160.7	(218.7)	-18.8%
Total	1,919.2	6,420.5	423.4	1,836.0	-	-	46.2	535.9	2,388.8	8,792.4	2,364.8	8,995.8	(203.4)	-2.3%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	84.7	1,537.4	-	-	-	-	-	-	84.7	1,537.4	47.7	1,070.2	467.2	43.7%
Pari-Mutuel	0.2	9.7	-	-	-	-	-	-	0.2	9.7	0.1	13.9	(4.2)	-30.2%
Real Estate Transfer	-	-	-	-	80.7	829.8	11.9	119.1	92.6	948.9	87.6	1,123.8	(174.9)	-15.6%
Racing and Exhibitions	-	0.1	-	-	-	-	-	-	-	0.1	-	2.0	(1.9)	-95.0%
Employer Compensation Expense Tax	0.1	1.6	-	-	0.1	1.6	-	-	0.2	3.2	0.2	2.0	1.2	60.0%
Total	85.0	1,548.8	-	-	80.8	831.4	11.9	119.1	177.7	2,499.3	135.6	2,211.9	287.4	13.0%
Total Tax Receipts	\$ 4,312.5	\$ 40,674.8	\$ 549.8	\$ 5,576.3	\$ 2,371.5	\$ 34,949.1	\$ 104.6	\$ 1,175.6	\$ 7,338.4	\$ 82,375.8	\$ 7,709.8	\$ 82,889.1	\$ (513.3)	-0.6%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	2020												12 Months Ended March 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease	
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	4.8	4.5	4.9	11.9	6.1	3.2	12.7	6.3	12.6	8.1	72.2	(27.5)	119.8	83.7	36.1	43.1%	
Sales	-	-	1.8	2.3	-	-	0.5	-	-	-	0.1	(0.1)	4.6	-	4.6	100.0%	
Total Miscellaneous Receipts	37.3	1,254.0	3,752.2	342.3	166.1	128.3	190.2	448.2	261.1	127.2	146.4	662.0	7,515.3	3,159.3	4,356.0	137.9%	
Federal Receipts	-	-	-	-	-	0.1	-	0.1	-	-	-	0.2	0.4	0.3	0.1	33.3%	
Total Receipts	1,882.6	2,694.5	7,631.3	6,691.8	2,248.7	4,941.6	2,260.8	2,536.5	4,882.9	3,809.6	3,635.5	4,974.7	48,190.5	43,300.3	4,890.2	11.3%	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	754.2	4,030.1	3,112.1	563.3	856.3	1,571.5	1,051.2	1,411.4	2,408.4	480.6	1,437.0	10,535.7	28,211.8	28,101.5	110.3	0.4%	
Environment and Recreation	0.1	-	-	-	(0.1)	-	-	-	0.3	0.2	-	0.1	0.6	3.2	(2.6)	-81.3%	
General Government	6.8	0.7	493.7	12.4	0.6	51.7	62.4	8.8	168.4	10.4	11.4	183.0	1,010.3	1,048.9	(38.6)	-3.7%	
Public Health:																	
Medicaid	229.4	1,283.6	2,466.9	1,220.8	1,431.8	1,614.5	999.5	1,041.9	1,632.6	1,007.1	784.9	108.7	13,821.7	17,566.5	(3,744.8)	-21.3%	
Other Public Health	63.9	47.3	239.8	433.9	72.7	247.3	193.6	181.5	168.3	225.7	150.3	614.2	2,368.5	2,366.6	271.9	11.5%	
Public Safety	2.9	1.4	0.3	2.7	7.9	14.1	7.3	3.7	45.6	7.6	20.0	13.7	176.8	176.8	(49.6)	-28.1%	
Public Welfare	76.9	158.0	61.4	577.5	57.1	426.7	70.3	222.3	167.3	154.1	403.4	569.7	2,944.7	2,318.8	625.9	27.0%	
Support and Regulate Business	4.6	6.7	4.6	4.8	4.3	5.6	9.0	7.5	10.1	9.0	11.9	41.3	119.4	170.8	(51.4)	-30.1%	
Transportation	0.1	-	-	24.5	12.7	2.0	2.9	13.9	24.3	-	4.9	21.3	106.6	110.2	(3.6)	-3.3%	
Total Local Assistance Grants	1,138.9	5,527.8	6,378.8	2,839.9	2,443.3	3,933.4	2,396.2	2,891.0	4,625.3	1,894.7	2,823.8	12,087.7	48,980.8	51,863.3	(2,882.5)	-5.6%	
Departmental Operations:																	
Personal Service	893.7	691.3	564.6	738.8	663.2	835.8	598.0	615.3	(485.5)	594.6	654.7	789.9	7,154.4	8,939.8	(1,785.4)	-20.0%	
Non-Personal Service	313.2	195.2	165.0	(506.7)	222.4	260.7	183.6	192.7	241.1	219.1	1,028.9	435.1	2,950.3	3,114.0	(163.7)	-5.3%	
General State Charges	460.2	330.5	2,511.9	335.9	271.1	476.3	387.4	342.1	262.3	(277.2)	492.8	1,438.3	7,031.6	7,453.7	(422.1)	-5.7%	
Total Disbursements	2,806.0	6,744.8	9,620.3	3,407.9	3,600.0	5,506.2	3,565.2	4,041.1	4,643.2	2,431.2	5,000.2	14,751.0	66,117.1	71,370.8	(5,253.7)	-7.4%	
Excess (Deficiency) of Receipts over Disbursements	(923.4)	(4,050.3)	(1,989.0)	3,283.9	(1,351.3)	(564.6)	(1,304.4)	(1,504.6)	239.7	1,378.4	(1,364.7)	(9,776.3)	(17,926.6)	(28,070.5)	10,143.9	36.1%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Revenue Bond Tax Fund	1,032.9	1,098.9	2,178.3	4,323.6	487.1	1,889.6	665.9	518.5	2,027.4	1,373.5	2,341.2	641.1	18,578.0	25,862.4	(7,284.4)	-28.2%	
Transfers from LGAC / STRBTf	284.6	162.2	560.4	420.1	426.7	656.4	440.2	435.4	597.1	488.1	310.4	(266.0)	4,515.6	6,178.5	(1,662.9)	-26.9%	
Transfers from CW/CA Fund	43.8	48.4	37.5	50.5	52.3	66.1	61.8	74.8	91.1	106.9	71.0	78.5	782.7	951.1	(168.4)	-17.7%	
Transfers from Other Funds	74.9	193.6	83.5	126.5	195.3	151.5	45.0	133.3	227.6	135.3	204.9	673.7	2,245.1	2,914.8	(669.7)	-23.0%	
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	(565.6)	(229.0)	(90.8)	(860.0)	(34.2)	(431.1)	(471.6)	(403.9)	(3,108.2)	(1,384.0)	1,724.2	124.6%	
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	(16.5)	-	(45.8)	(85.5)	(50.0)	(68.1)	(931.2)	(1,431.6)	(1,744.1)	(312.5)	-17.9%	
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(82.7)	4.0	21.6	(75.6)	1.4	11.2	(185.1)	14.5	(1.3)	(326.0)	(735.8)	(409.8)	-55.7%	
Transfers to All Other State Funds	(142.6)	(23.0)	(970.7)	(92.4)	(108.8)	(56.0)	(253.1)	(81.1)	(124.7)	(35.7)	(40.1)	(1,184.0)	(3,112.4)	(2,233.9)	878.5	39.3%	
Total Other Financing Sources (Uses)	2,061.7	1,278.0	1,542.4	4,235.5	491.0	2,483.7	793.4	176.5	2,710.0	1,401.9	2,362.2	(1,393.1)	18,143.2	29,809.0	(11,665.8)	-39.1%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,138.3	(2,772.3)	(446.6)	7,519.4	(860.3)	1,919.1	(511.0)	(1,328.1)	2,949.7	2,780.3	997.5	(11,169.4)	216.6	1,738.5	(1,521.9)	-87.5%	
Ending Fund Balance	\$ 10,082.5	\$ 7,310.2	\$ 6,863.6	\$ 14,383.0	\$ 13,522.7	\$ 15,441.8	\$ 14,930.8	\$ 13,602.7	\$ 16,552.4	\$ 19,332.7	\$ 20,330.2	\$ 9,160.8	\$ 9,160.8	\$ 8,944.2	\$ 216.6	2.4%	

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020												Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH		2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	383.0	102.6	847.9	234.9	213.2	2,584.4	279.3	347.3	252.9	2,492.2	408.8	555.4	-	8,701.9	9,569.3	(867.4)	-9.1%
Environment and Recreation	-	0.5	-	0.3	0.1	0.3	0.8	0.2	0.1	0.1	1.5	1.9	-	5.8	5.8	-	0.0%
General Government	11.3	6.1	8.5	19.0	39.4	3,866.8	169.6	94.6	114.3	22.8	16.0	22.8	-	4,391.2	273.0	4,118.2	1,508.5%
Public Health:																	
Medicaid	5,180.9	3,816.0	3,615.6	4,136.8	3,861.0	5,390.1	3,286.6	3,770.7	5,076.7	4,408.4	2,297.9	4,938.4	-	49,779.1	45,924.0	3,855.1	8.4%
Other Public Health	509.6	533.0	709.7	556.1	552.9	805.5	570.7	551.3	650.7	761.8	634.7	828.2	-	7,664.2	7,526.7	137.5	1.8%
Public Safety	92.4	62.2	159.2	261.6	62.2	136.2	627.1	19.1	133.8	84.8	289.0	250.8	-	2,178.4	1,580.1	598.3	37.9%
Public Welfare	134.9	25.9	253.6	201.6	253.9	950.4	384.1	164.5	162.9	265.8	506.2	862.3	-	4,166.1	4,861.6	(695.5)	-14.3%
Support and Regulate Business	0.3	0.7	6.5	1.0	8.6	5.3	1.7	18.7	1.0	1.6	3.2	7.7	-	56.3	64.5	(8.2)	-12.7%
Transportation	65.5	44.7	22.6	709.1	350.2	246.8	258.5	438.5	764.5	74.5	17.0	614.9	-	3,606.8	3,437.1	169.7	4.9%
Total Local Assistance Grants	<u>6,377.9</u>	<u>4,591.7</u>	<u>5,623.6</u>	<u>6,120.4</u>	<u>5,341.5</u>	<u>13,985.8</u>	<u>5,578.4</u>	<u>5,404.9</u>	<u>7,156.9</u>	<u>8,112.0</u>	<u>4,174.3</u>	<u>8,082.4</u>	-	<u>80,548.8</u>	<u>73,242.1</u>	<u>7,307.7</u>	<u>10.0%</u>
Departmental Operations:																	
Personal Service	675.8	444.6	551.8	540.0	390.7	691.2	521.2	474.9	1,784.0	484.2	387.4	691.8	-	7,637.6	5,786.7	1,850.9	32.0%
Non-Personal Service	270.9	220.9	327.3	1,078.0	380.6	488.1	437.0	297.0	408.8	418.5	(479.1)	516.0	-	4,364.0	4,326.9	37.1	0.9%
General State Charges	75.0	64.5	109.6	136.0	142.9	139.8	124.3	158.0	212.2	845.0	104.0	116.6	-	2,227.9	1,303.1	924.8	71.0%
Debt Service, Including Payments on																	
Financing Agreements	-	-	-	-	-	-	-	-	102.2	-	-	-	-	102.2	-	102.2	100.0%
Capital Projects	-	-	-	-	2.3	-	-	-	-	-	(2.3)	-	-	-	-	-	0.0%
Total Disbursements	<u>7,399.6</u>	<u>5,321.7</u>	<u>6,612.3</u>	<u>7,874.4</u>	<u>6,258.0</u>	<u>15,304.9</u>	<u>6,660.9</u>	<u>6,334.8</u>	<u>9,664.1</u>	<u>9,859.7</u>	<u>4,184.3</u>	<u>9,406.8</u>	-	<u>94,881.5</u>	<u>84,658.8</u>	<u>10,222.7</u>	<u>12.1%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>4,993.7</u>	<u>4.5</u>	<u>2,455.4</u>	<u>(1,109.0)</u>	<u>(448.2)</u>	<u>(3,127.2)</u>	<u>2,653.2</u>	<u>(920.9)</u>	<u>(412.6)</u>	<u>(319.1)</u>	<u>710.5</u>	<u>(286.4)</u>	-	<u>4,193.9</u>	<u>3,576.5</u>	<u>617.4</u>	<u>17.3%</u>
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9	47.7	1,179.0	(597.0)	3,088.4	2,269.2	819.2	36.1%
Transfers to Other Funds	(304.5)	(129.8)	(20.3)	(266.6)	(30.3)	(438.8)	(485.6)	(133.0)	(208.3)	(229.5)	(91.1)	(986.8)	597.0	(2,727.6)	(3,376.0)	(648.4)	-19.2%
Total Other Financing Sources (Uses)	<u>(81.8)</u>	<u>(88.3)</u>	<u>877.1</u>	<u>(131.0)</u>	<u>85.7</u>	<u>(232.7)</u>	<u>20.3</u>	<u>(2.0)</u>	<u>(69.7)</u>	<u>(165.6)</u>	<u>(43.4)</u>	<u>192.2</u>	-	<u>360.8</u>	<u>(1,106.8)</u>	<u>1,467.6</u>	<u>-132.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>4,911.9</u>	<u>(83.8)</u>	<u>3,332.5</u>	<u>(1,240.0)</u>	<u>(362.5)</u>	<u>(3,359.9)</u>	<u>2,673.5</u>	<u>(922.9)</u>	<u>(482.3)</u>	<u>(484.7)</u>	<u>667.1</u>	<u>(94.2)</u>	-	<u>4,554.7</u>	<u>2,469.7</u>	<u>2,085.0</u>	<u>84.4%</u>
Ending Fund Balance	<u>\$ 11,224.0</u>	<u>\$ 11,140.2</u>	<u>\$ 14,472.7</u>	<u>\$ 13,232.7</u>	<u>\$ 12,870.2</u>	<u>\$ 9,510.3</u>	<u>\$ 12,183.8</u>	<u>\$ 11,260.9</u>	<u>\$ 10,778.6</u>	<u>\$ 10,293.9</u>	<u>\$ 10,961.0</u>	<u>\$ 10,866.8</u>	<u>\$ -</u>	<u>\$ 10,866.8</u>	<u>\$ 6,312.1</u>	<u>\$ 4,554.7</u>	<u>72.2%</u>

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

													12 Months Ended March 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	35.0	297.0	0.3	(0.1)	2,290.3	149.0	148.1	174.7	2,128.4	146.9	328.2	5,697.9	6,040.8	(342.9)	-5.7%
Environment and Recreation	-	0.3	-	0.2	-	0.4	0.7	0.2	-	-	1.1	1.8	-	4.6	0.1	2.2%
General Government	9.3	3.9	6.3	8.0	33.0	10.8	15.3	9.3	15.7	21.6	17.0	14.7	164.9	219.0	(54.1)	-24.7%
Public Health:																
Medicaid	528.3	474.2	30.5	823.1	424.7	521.0	417.0	461.8	490.1	462.0	406.3	431.9	5,470.9	5,674.7	(203.8)	-3.6%
Other Public Health	29.6	25.5	67.4	52.2	45.3	190.8	55.4	53.3	95.0	114.5	92.0	153.2	974.2	1,224.4	(250.2)	-20.4%
Public Safety	18.0	9.7	4.2	14.2	21.5	20.5	10.0	(10.4)	20.4	9.3	12.1	73.0	202.5	186.8	15.7	8.4%
Public Welfare	0.1	0.2	-	0.6	-	0.1	-	-	0.9	(1.3)	-	(0.2)	0.4	4.1	(3.7)	-90.2%
Support and Regulate Business	-	0.4	4.4	0.6	6.7	5.3	0.7	18.7	0.8	1.0	3.1	7.4	49.1	56.5	(7.4)	-13.1%
Transportation	61.6	41.7	18.5	701.8	345.2	241.1	253.5	432.2	757.0	70.4	10.9	607.6	3,541.5	3,378.1	163.4	4.8%
Total Local Assistance Grants	647.0	590.9	428.3	1,601.0	876.3	3,280.3	901.6	1,113.2	1,554.6	2,805.9	689.4	1,617.6	16,106.1	16,789.0	(682.9)	-4.1%
Departmental Operations:																
Personal Service	601.1	393.6	390.9	375.2	326.9	607.2	389.4	361.2	387.5	369.3	388.3	609.6	5,200.2	5,149.9	50.3	1.0%
Non-Personal Service	230.1	176.8	158.7	192.7	159.2	260.1	248.4	221.3	203.0	237.3	254.2	297.7	2,639.5	2,928.0	(288.5)	-9.9%
General State Charges	52.3	39.8	70.7	52.3	72.2	116.3	46.6	128.7	99.8	59.7	55.5	92.4	886.3	969.5	(83.2)	-8.6%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	1,530.5	1,201.1	1,048.6	2,221.2	1,434.6	4,263.9	1,586.0	1,824.4	2,244.9	3,472.2	1,387.4	2,617.3	24,832.1	25,836.4	(1,004.3)	-3.9%
Excess (Deficiency) of Receipts over Disbursements	70.1	(32.9)	661.6	(684.4)	(43.8)	(2,004.0)	289.6	(511.0)	(304.3)	275.9	387.9	(180.9)	(2,076.2)	(725.9)	(1,350.3)	-186.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9	47.7	1,179.0	3,685.4	2,779.5	905.9	32.6%
Transfers to Other Funds	2.7	5.6	(23.0)	(17.7)	(3.3)	(147.0)	(5.3)	(2.6)	(42.5)	(38.5)	(72.4)	(759.8)	(1,103.8)	(1,743.7)	(639.9)	-36.7%
Total Other Financing Sources (Uses)	225.4	47.1	874.4	117.9	112.7	59.1	500.6	128.4	96.1	25.4	(24.7)	419.2	2,581.6	1,035.8	1,545.8	149.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	295.5	14.2	1,536.0	(566.5)	68.9	(1,944.9)	790.2	(382.6)	(208.2)	301.3	363.2	238.3	505.4	309.9	195.5	63.1%
Ending Fund Balance	\$ 5,696.2	\$ 5,710.4	\$ 7,246.4	\$ 6,679.9	\$ 6,748.8	\$ 4,803.9	\$ 5,594.1	\$ 5,211.5	\$ 5,003.3	\$ 5,304.6	\$ 5,667.8	\$ 5,906.1	\$ 5,906.1	\$ 5,400.7	\$ 505.4	9.4%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020												2021				12 Months Ended March 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 911.4	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4	\$ 4,706.4	\$ 6,589.7	\$ 6,049.4	\$ 5,775.3	\$ 4,989.3	\$ 5,293.2	\$ 911.4	\$ (1,248.4)	\$ 2,159.8	173.0%				
RECEIPTS:																				
Miscellaneous Receipts:																				
Abandoned Property:																				
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Assessments:																				
Business	4.0	40.6	0.2	1.7	7.6	0.1	1.4	5.7	0.1	1.1	5.3	-	67.8	73.6	(5.8)	-7.9%				
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Fees, Licenses and Permits:																				
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2	0.2	0.3	0.1	0.2	0.3	0.3	0.3	0.2	2.8	5.7	(2.9)	-50.9%				
Interest Earnings	3.0	3.9	1.4	0.9	1.2	0.8	0.8	0.8	0.7	0.8	0.7	0.6	15.6	30.5	(14.9)	-48.9%				
Receipts from Public Authorities:																				
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Revenues of State Departments:																				
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	0.1	-	-	0.1	-	0.1	100.0%				
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Rebates	7.7	8.7	7.5	7.5	7.4	7.7	7.5	7.4	7.9	7.2	7.6	7.6	91.7	99.1	(7.4)	-7.5%				
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
All Other	0.3	0.3	-	0.1	0.6	(0.1)	0.1	-	1.3	0.3	-	1.1	4.0	6.0	(2.0)	-33.3%				
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%				
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Total Miscellaneous Receipts	15.3	53.7	9.3	10.4	17.0	8.8	9.9	14.1	10.3	9.8	13.9	9.5	182.0	215.0	(33.0)	-15.3%				
Federal Receipts	10,777.4	4,104.3	7,348.2	5,218.2	4,402.0	9,909.0	7,428.6	4,086.4	7,300.6	5,782.7	3,105.6	6,674.5	76,137.5	62,909.8	13,227.7	21.0%				
Total Receipts	10,792.7	4,158.0	7,357.5	5,228.6	4,419.0	9,917.8	7,438.5	4,100.5	7,310.9	5,792.5	3,119.5	6,684.0	76,319.5	63,124.8	13,194.7	20.9%				

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

													12 Months Ended March 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	382.9	67.6	550.9	234.6	213.3	294.1	130.3	199.2	78.2	363.8	261.9	227.2	3,004.0	3,528.5	(524.5)	-14.9%
Environment and Recreation	-	0.2	-	0.1	0.1	(0.1)	0.1	-	0.1	0.1	0.4	0.1	1.1	1.2	(0.1)	-8.3%
General Government	2.0	2.2	2.2	11.0	6.4	3,856.0	154.3	85.3	98.6	1.2	(1.0)	8.1	4,226.3	54.0	4,172.3	7,726.5%
Public Health:																
Medicaid	4,652.6	3,341.8	3,585.1	3,313.7	3,436.3	4,869.1	2,869.6	3,308.9	4,586.6	3,946.4	1,891.6	4,506.5	44,308.2	40,249.3	4,058.9	10.1%
Other Public Health	480.0	507.5	642.3	503.9	507.6	614.7	515.3	498.0	555.7	647.3	542.7	675.0	6,690.0	6,302.3	387.7	6.2%
Public Safety	74.4	52.5	155.0	247.4	40.7	115.7	617.1	29.5	113.4	75.5	276.9	177.8	1,975.9	1,393.3	582.6	41.8%
Public Welfare	134.8	25.7	253.6	201.0	253.9	950.3	384.1	164.5	162.0	267.1	506.2	862.5	4,165.7	4,857.5	(691.8)	-14.2%
Support and Regulate Business	0.3	0.3	2.1	0.4	1.9	-	1.0	-	0.2	0.6	0.1	0.3	7.2	8.0	(0.8)	-10.0%
Transportation	3.9	3.0	4.1	7.3	5.0	5.7	5.0	6.3	7.5	4.1	6.1	7.3	65.3	59.0	6.3	10.7%
Total Local Assistance Grants	5,730.9	4,000.8	5,195.3	4,519.4	4,465.2	10,705.5	4,676.8	4,291.7	5,602.3	5,306.1	3,484.9	6,464.8	64,443.7	56,453.1	7,990.6	14.2%
Departmental Operations:																
Personal Service	74.7	51.0	160.9	164.8	63.8	84.0	131.8	113.7	1,396.5	114.9	(0.9)	82.2	2,437.4	636.8	1,800.6	282.8%
Non-Personal Service	40.8	44.1	168.6	885.3	221.4	228.0	188.6	75.7	205.8	181.2	(733.3)	218.3	1,724.5	1,398.9	325.6	23.3%
General State Charges	22.7	24.7	38.9	83.7	70.7	23.5	77.7	29.3	112.4	785.3	48.5	24.2	1,341.6	333.6	1,008.0	302.2%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	102.2	-	-	-	102.2	-	102.2	100.0%
Capital Projects	-	-	-	-	2.3	-	-	-	-	-	(2.3)	-	-	-	-	0.0%
Total Disbursements	5,869.1	4,120.6	5,563.7	5,653.2	4,823.4	11,041.0	5,074.9	4,510.4	7,419.2	6,387.5	2,796.9	6,789.5	70,049.4	58,822.4	11,227.0	19.1%
Excess (Deficiency) of Receipts over Disbursements	4,923.6	37.4	1,793.8	(424.6)	(404.4)	(1,123.2)	2,363.6	(409.9)	(108.3)	(595.0)	322.6	(105.5)	6,270.1	4,302.4	1,967.7	45.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)	(130.4)	(165.8)	(191.0)	(18.7)	(227.0)	(2,220.8)	(2,142.6)	78.2	3.6%
Total Other Financing Sources (Uses)	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)	(130.4)	(165.8)	(191.0)	(18.7)	(227.0)	(2,220.8)	(2,142.6)	78.2	3.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,616.4	(98.0)	1,796.5	(673.5)	(431.4)	(1,415.0)	1,883.3	(540.3)	(274.1)	(786.0)	303.9	(332.5)	4,049.3	2,159.8	1,889.5	87.5%
Ending Fund Balance	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4	\$ 4,706.4	\$ 6,589.7	\$ 6,049.4	\$ 5,775.3	\$ 4,989.3	\$ 5,293.2	\$ 4,960.7	\$ 4,960.7	\$ 911.4	\$ 4,049.3	444.3%

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

EXHIBIT H

	2020												2021				12 Months Ended March 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 63.4	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3	\$ 3,300.3	\$ 4,126.3	\$ 3,473.8	\$ 7,209.2	\$ 6,884.8	\$ 63.4	\$ 64.8	\$ (1.4)	-2.2%				
RECEIPTS:																				
Taxes:																				
Personal Income Tax	1,033.1	1,099.6	2,184.2	5,115.4	1,361.5	2,635.7	1,265.8	1,287.4	2,416.4	4,726.5	2,691.9	1,665.8	27,483.3	26,829.7	653.6	2.4%				
Consumption/Use Taxes:																				
Sales and Use	394.2	369.7	572.0	529.7	536.3	708.9	549.9	544.9	706.7	597.8	499.4	624.9	6,634.4	7,436.5	(802.1)	-10.8%				
Total Consumption/Use Taxes	394.2	369.7	572.0	529.7	536.3	708.9	549.9	544.9	706.7	597.8	499.4	624.9	6,634.4	7,436.5	(802.1)	-10.8%				
Other Taxes:																				
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8	78.9	95.2	110.9	75.1	80.7	829.8	1,004.7	(174.9)	-17.4%				
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.2	0.1	0.4	0.3	0.1	0.1	1.6	1.0	0.6	60.0%				
Total Other Taxes	57.3	48.3	38.0	53.1	56.5	70.4	66.0	79.0	95.6	111.2	75.2	80.8	831.4	1,005.7	(174.3)	-17.3%				
Total Taxes	1,484.6	1,517.6	2,794.2	5,698.2	1,954.3	3,415.0	1,881.7	1,911.3	3,218.7	5,435.5	3,266.5	2,371.5	34,949.1	35,271.9	(322.8)	-0.9%				
Miscellaneous Receipts:																				
Assessments:																				
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Fees, Licenses and Permits:																				
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Interest Earnings	0.1	0.1	-	-	-	-	-	0.1	-	-	0.1	-	0.4	3.0	(2.6)	-86.7%				
Receipts from Public Authorities:																				
Bond Proceeds	-	-	-	-	-	-	-	-	0.4	-	-	-	0.4	-	0.4	100.0%				
Receipts from Municipalities	-	0.3	0.1	0.1	-	-	-	1.9	-	0.7	-	0.8	3.9	3.8	0.1	2.6%				
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Revenues of State Departments:																				
Patient/Client Care Reimbursement	47.2	13.4	42.6	45.8	46.2	36.2	20.9	20.0	50.2	17.8	16.1	39.8	396.2	470.0	(73.8)	-15.7%				
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%				
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Total Miscellaneous Receipts	47.3	13.8	42.7	45.9	46.2	36.2	20.9	22.0	50.6	18.5	16.2	40.6	400.9	476.9	(76.0)	-15.9%				
Federal Receipts	-	-	-	-	-	24.4	-	-	12.5	-	27.7	9.2	73.8	73.8	-	0.0%				
Total Receipts	1,531.9	1,531.4	2,836.9	5,744.1	2,000.5	3,475.6	1,902.6	1,933.3	3,281.8	5,454.0	3,310.4	2,421.3	35,423.8	35,822.6	(398.8)	-1.1%				
DISBURSEMENTS:																				
Departmental Operations:																				
Non-Personal Service	-	0.9	12.1	5.8	2.9	7.9	-	3.2	(8.9)	0.1	25.2	12.2	61.4	36.2	25.2	69.6%				
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,229.8	10.6	878.8	9,727.9	13,196.3	4,916.1	8,280.2	168.4%				
Total Disbursements	36.5	24.4	41.0	16.5	340.5	849.7	39.8	33.6	1,220.9	10.7	904.0	9,740.1	13,257.7	4,952.3	8,305.4	167.7%				
Excess (Deficiency) of Receipts over Disbursements	1,495.4	1,507.0	2,795.9	5,727.6	1,660.0	2,625.9	1,862.8	1,899.7	2,060.9	5,443.3	2,406.4	(7,318.8)	22,166.1	30,870.3	(8,704.2)	-28.2%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	280.2	130.3	39.0	311.2	49.4	247.4	319.1	89.5	135.4	367.2	162.1	1,093.1	3,223.9	3,742.2	(518.3)	-13.9%				
Transfers to Other Funds	(1,446.1)	(1,508.1)	(2,861.8)	(4,931.0)	(1,167.9)	(2,680.5)	(1,218.9)	(1,163.2)	(2,848.8)	(2,075.1)	(2,892.9)	(594.1)	(25,388.4)	(34,613.9)	(9,225.5)	-26.7%				
Total Other Financing Sources (Uses)	(1,165.9)	(1,377.8)	(2,822.8)	(4,619.8)	(1,118.5)	(2,433.1)	(899.8)	(1,073.7)	(2,713.4)	(1,707.9)	(2,730.8)	499.0	(22,164.5)	(30,871.7)	8,707.2	28.2%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	329.5	129.2	(26.9)	1,107.8	541.5	192.8	963.0	826.0	(652.5)	3,735.4	(324.4)	(6,819.8)	1.6	(1.4)	3.0	214.3%				
Ending Fund Balance	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3	\$ 3,300.3	\$ 4,126.3	\$ 3,473.8	\$ 7,209.2	\$ 6,884.8	\$ 65.0	\$ 65.0	\$ 63.4	\$ 1.6	2.5%				

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	Intra-Fund												12 Months Ended March 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,034.9)	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)	\$ (1,423.1)	\$ (1,464.9)	\$ (1,194.7)	\$ (1,263.9)	\$ (1,160.9)	-	\$ (1,034.9)	\$ (1,137.9)	\$ 103.0	9.1%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-	-	10.1	-	51.9	87.5	(35.6)	-40.7%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5	24.9	27.1	-	334.3	403.6	(69.3)	-17.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6	10.8	9.3	-	134.4	140.9	(6.5)	-4.6%
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0	42.0	42.5	61.6	35.1	35.7	46.5	-	520.6	632.0	(111.4)	-17.6%
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2	(1.4)	3.3	-	10.2	14.6	(4.4)	-30.1%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7	38.3	42.9	-	525.7	651.8	(126.1)	-19.3%
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4	50.6	46.3	47.1	42.9	36.9	46.2	-	535.9	666.4	(130.5)	-19.6%
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	-	119.1	119.1	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	-	119.1	119.1	-	0.0%
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3	104.6	100.7	120.6	89.9	84.5	104.6	-	1,175.6	1,417.5	(241.9)	-17.1%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-	-	16.7	-	39.7	23.0	16.7	72.6%
Assessments:																	
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7	7.1	6.5	-	80.0	103.1	(23.1)	-22.4%
Fees, Licenses and Permits:																	
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4	1.8	0.1	-	26.9	31.7	(4.8)	-15.1%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9	56.3	47.8	-	654.5	728.7	(74.2)	-10.2%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1	-	2.0	-	22.2	30.9	(8.7)	-28.2%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5	1.6	2.3	-	23.3	26.7	(3.4)	-12.7%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-	0.1	-	-	1.8	11.3	(9.5)	-84.1%
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9	289.3	187.8	-	4,530.3	5,408.7	(878.4)	-16.2%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2	0.9	12.7	-	30.9	54.3	(23.4)	-43.1%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	-	0.1	0.3	0.1	-	1.0	4.1	(3.1)	-75.6%
Rentals	0.5	1.1	2.1	2.2	3.8	1.2	0.8	0.9	0.9	1.6	1.8	1.5	-	18.4	10.9	7.5	68.8%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1	(1.3)	0.3	-	11.4	34.5	(23.1)	-67.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2.6	(2.6)	-100.0%
Rebates	-	-	-	-	-	-	-	-	-	-	0.1	-	-	0.1	1.2	(1.1)	-91.7%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9	0.9	0.9	-	12.9	12.6	0.3	2.4%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)	1.5	2.9	-	27.1	60.7	(33.6)	-55.4%
Sales	-	-	-	-	0.1	0.1	-	-	-	0.1	-	-	-	0.3	5.8	(5.5)	-94.8%
Total Miscellaneous Receipts	1,190.7	64.2	415.6	365.6	134.6	480.4	978.4	75.4	1,055.2	78.7	360.4	281.6	-	5,480.8	6,550.8	(1,070.0)	-16.3%
Federal Receipts	85.7	102.7	167.9	209.1	186.7	177.2	167.7	221.9	228.2	125.9	147.0	134.3	-	1,954.3	2,109.0	(154.7)	-7.3%
Total Receipts	1,350.5	213.2	691.4	682.9	425.2	787.9	1,250.7	398.0	1,404.0	294.5	591.9	520.5	-	8,610.7	10,077.3	(1,466.6)	-14.6%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	Intra-Fund												12 Months Ended March 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3	27.2	3.2	-	122.1	175.8	(53.7)	-30.5%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3	8.0	47.7	-	199.2	417.1	(217.9)	-52.2%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4	52.1	99.0	-	615.2	850.1	(234.9)	-27.6%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	38.7	76.1	25.7	50.9	41.5	45.5	41.0	38.5	41.8	-	517.8	555.4	(37.6)	-6.8%
Public Safety	-	-	3.8	0.9	1.1	0.7	0.3	34.0	3.8	3.3	5.8	1.6	-	52.8	96.8	(44.0)	-45.5%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8	15.6	100.8	-	604.6	413.1	191.5	46.4%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8	130.7	138.6	-	685.0	879.0	(194.0)	-22.1%
Transportation	24.4	46.2	50.0	91.9	146.7	369.3	483.6	503.9	220.4	200.6	67.9	239.2	-	2,444.1	1,625.8	818.3	50.3%
Total Local Assistance Grants	143.4	160.0	243.6	273.7	439.2	552.9	730.5	726.2	573.1	380.5	345.8	671.9	-	5,240.8	5,013.1	227.7	4.5%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	509.8	406.0	681.8	641.4	665.4	706.7	533.6	609.8	630.5	453.5	549.5	702.0	-	7,090.0	6,985.3	104.7	1.5%
Total Disbursements	653.2	566.0	925.4	915.1	1,104.6	1,259.6	1,264.1	1,336.0	1,203.6	834.0	895.3	1,373.9	-	12,330.8	11,998.4	332.4	2.8%
Excess (Deficiency) of Receipts over Disbursements	697.3	(352.8)	(234.0)	(232.2)	(679.4)	(471.7)	(13.4)	(938.0)	200.4	(539.5)	(303.4)	(853.4)	-	(3,720.1)	(1,921.1)	(1,799.0)	-93.6%
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	202.6	-	202.6	-	202.6	100.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9	887.0	1,601.7	(332.4)	4,855.1	3,546.5	1,308.6	36.9%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)	(480.6)	(934.0)	332.4	(1,446.7)	(1,522.4)	(75.7)	-5.0%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	529.9	63.0	79.2	896.2	69.8	470.3	406.4	870.3	-	3,611.0	2,024.1	1,586.9	78.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(120.1)	(167.2)	114.3	277.2	(149.5)	(408.7)	65.8	(41.8)	270.2	(69.2)	103.0	16.9	-	(109.1)	103.0	(212.1)	-205.9%
Ending Fund Balance	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)	\$ (1,423.1)	\$ (1,464.9)	\$ (1,194.7)	\$ (1,263.9)	\$ (1,160.9)	\$ (1,144.0)	\$ -	\$ (1,144.0)	\$ (1,034.9)	\$ (109.1)	-10.5%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
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EXHIBIT I

													12 Months Ended March 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (472.2)	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)	\$ (935.6)	\$ (883.5)	\$ (1,005.9)	\$ (843.0)	\$ (924.7)	\$ (552.4)	\$ (472.2)	\$ (633.2)	\$ 161.0	25.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-	-	10.1	51.9	87.5	(35.6)	-40.7%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5	24.9	27.1	334.3	403.6	(69.3)	-17.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6	10.8	9.3	134.4	140.9	(6.5)	-4.6%
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0	42.0	42.5	61.6	35.1	35.7	46.5	520.6	632.0	(111.4)	-17.6%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2	(1.4)	3.3	10.2	14.6	(4.4)	-30.1%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7	38.3	42.9	525.7	651.8	(126.1)	-19.3%
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4	50.6	46.3	47.1	42.9	36.9	46.2	535.9	666.4	(130.5)	-19.6%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	119.1	119.1	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	119.1	119.1	-	0.0%
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3	104.6	100.7	120.6	89.9	84.5	104.6	1,175.6	1,417.5	(241.9)	-17.1%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-	-	16.7	39.7	23.0	16.7	72.6%
Assessments:																
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7	7.1	6.5	80.0	103.1	(23.1)	-22.4%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4	1.8	0.1	26.9	31.7	(4.8)	-15.1%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9	56.3	47.8	654.5	728.7	(74.2)	-10.2%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1	-	2.0	22.2	30.9	(8.7)	-28.2%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5	1.6	2.3	23.3	26.7	(3.4)	-12.7%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-	0.1	-	1.8	11.3	(9.5)	-84.1%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9	289.3	187.8	4,530.3	5,408.7	(878.4)	-16.2%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2	0.9	12.7	30.9	54.3	(23.4)	-43.1%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	0.1	0.3	0.1	-	1.0	4.1	(3.1)	-75.6%
Rentals	0.4	1.1	2.0	2.2	3.7	1.1	0.7	0.8	0.8	1.6	1.7	1.5	17.6	10.0	7.6	76.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1	(1.3)	0.3	11.4	34.5	(23.1)	-67.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	2.6	(2.6)	-100.0%
Rebates	-	-	-	-	-	-	-	-	-	-	0.1	-	0.1	1.2	(1.1)	-91.7%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9	0.9	0.9	12.9	12.6	0.3	2.4%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)	1.5	2.9	27.1	60.7	(33.6)	-55.4%
Sales	-	-	-	-	0.1	-	-	-	-	0.1	-	-	0.2	5.6	(5.4)	-96.4%
Total Miscellaneous Receipts	1,190.6	64.2	415.5	365.6	134.5	480.2	978.3	75.3	1,055.1	78.7	360.3	281.6	5,479.9	6,549.7	(1,069.8)	-16.3%
Federal Receipts	-	-	-	-	-	2.1	-	-	-	-	-	2.1	4.2	4.6	(0.4)	-8.7%
Total Receipts	1,264.7	110.5	523.4	473.8	238.4	612.6	1,082.9	176.0	1,175.7	168.6	444.8	388.3	6,659.7	7,971.8	(1,312.1)	-16.5%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

													12 Months Ended March 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3	27.2	3.2	122.1	175.8	(53.7)	-30.5%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3	8.0	41.0	192.5	241.7	(49.2)	-20.4%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4	52.1	99.0	615.2	850.1	(234.9)	-27.6%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	37.5	76.1	25.1	50.9	41.5	45.2	41.0	38.5	40.0	513.9	512.5	1.4	0.3%
Public Safety	-	-	0.7	0.9	1.1	0.3	0.3	34.0	0.4	3.3	5.8	1.6	48.4	62.8	(14.4)	-22.9%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8	15.6	100.8	604.6	413.1	191.5	46.4%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8	130.7	138.6	685.0	879.0	(194.0)	-22.1%
Transportation	2.4	4.7	14.4	50.4	104.0	316.6	432.9	456.5	155.5	154.9	13.5	214.4	1,920.2	1,083.4	836.8	77.2%
Total Local Assistance Grants	121.4	118.5	204.9	231.0	396.5	499.2	679.8	678.8	507.0	334.8	291.4	638.6	4,701.9	4,218.4	483.5	11.5%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	452.1	333.5	541.8	512.4	546.9	547.4	430.2	515.8	575.6	385.8	500.0	651.2	5,992.7	5,915.6	77.1	1.3%
Total Disbursements	573.5	452.0	746.7	743.4	943.4	1,046.6	1,110.0	1,194.6	1,082.6	720.6	791.4	1,289.8	10,694.6	10,134.0	560.6	5.5%
Excess (Deficiency) of Receipts over Disbursements	691.2	(341.5)	(223.3)	(269.6)	(705.0)	(434.0)	(27.1)	(1,018.6)	93.1	(552.0)	(346.6)	(901.5)	(4,034.9)	(2,162.2)	(1,872.7)	-86.6%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	202.6	202.6	-	202.6	100.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9	887.0	1,601.7	5,187.5	3,845.5	1,342.0	34.9%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)	(168.1)	(914.1)	(1,446.7)	(1,522.3)	(75.6)	-5.0%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	529.9	63.0	79.2	896.2	69.8	470.3	718.9	890.2	3,943.4	2,323.2	1,620.2	69.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(126.2)	(155.9)	125.0	239.8	(175.1)	(371.0)	52.1	(122.4)	162.9	(81.7)	372.3	(11.3)	(91.5)	161.0	(252.5)	-156.8%
Ending Fund Balance	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)	\$ (935.6)	\$ (883.5)	\$ (1,005.9)	\$ (843.0)	\$ (924.7)	\$ (552.4)	\$ (563.7)	\$ (563.7)	\$ (472.2)	\$ (91.5)	-19.4%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

													12 Months Ended March 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (562.7)	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)	\$ (539.6)	\$ (459.0)	\$ (351.7)	\$ (339.2)	\$ (608.5)	\$ (562.7)	\$ (504.7)	\$ (58.0)	-11.5%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities																
Rentals	0.1	-	0.1	-	0.1	0.1	0.1	0.1	0.1	-	0.1	-	0.8	0.9	(0.1)	-11.1%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	0.1	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%
Total Miscellaneous Receipts	0.1	-	0.1	-	0.1	0.2	0.1	0.1	0.1	-	0.1	-	0.9	1.1	(0.2)	-18.2%
Federal Receipts	85.7	102.7	167.9	209.1	186.7	175.1	167.7	221.9	228.2	125.9	147.0	132.2	1,950.1	2,104.4	(154.3)	-7.3%
Total Receipts	85.8	102.7	168.0	209.1	186.8	175.3	167.8	222.0	228.3	125.9	147.1	132.2	1,951.0	2,105.5	(154.5)	-7.3%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	6.7	6.7	175.4	(168.7)	-96.2%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	1.2	-	0.6	-	-	0.3	-	-	1.8	3.9	42.9	(39.0)	-90.9%
Public Safety	-	-	3.1	-	-	0.4	-	-	0.9	-	-	-	4.4	34.0	(29.6)	-87.1%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	41.5	35.6	41.5	42.7	52.7	50.7	47.4	64.9	45.7	54.4	24.8	523.9	542.4	(18.5)	-3.4%
Total Local Assistance Grants	22.0	41.5	38.7	42.7	42.7	53.7	50.7	47.4	66.1	45.7	54.4	33.3	538.9	794.7	(255.8)	-32.2%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	57.7	72.5	140.0	129.0	118.5	159.3	103.4	94.0	54.9	67.7	49.5	50.8	1,097.3	1,069.7	27.6	2.6%
Total Disbursements	79.7	114.0	178.7	171.7	161.2	213.0	154.1	141.4	121.0	113.4	103.9	84.1	1,636.2	1,864.4	(228.2)	-12.2%
Excess (Deficiency) of Receipts over Disbursements	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	80.6	107.3	12.5	43.2	48.1	314.8	241.1	73.7	30.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	(312.5)	(19.9)	(332.4)	(299.1)	33.3	11.1%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	(312.5)	(19.9)	(332.4)	(299.1)	33.3	11.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	80.6	107.3	12.5	(269.3)	28.2	(17.6)	(58.0)	40.4	69.7%
Ending Fund Balance	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)	\$ (539.6)	\$ (459.0)	\$ (351.7)	\$ (339.2)	\$ (608.5)	\$ (580.3)	\$ (580.3)	\$ (562.7)	\$ (17.6)	-3.1%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT J

													12 Months Ended March 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 29.7	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1	\$ 41.3	\$ 41.0	\$ 38.4	\$ 43.0	\$ 42.8	\$ 29.7	\$ 26.6	\$ 3.1	11.7%
RECEIPTS:																
Miscellaneous Receipts	4.2	4.8	6.4	6.9	7.7	7.0	4.4	3.9	3.1	9.6	3.7	6.0	67.7	80.7	(13.0)	-16.1%
Federal Receipts	2,584.0	5,993.3	10,834.5	8,949.5	3,020.9	2,491.7	2,489.5	2,484.9	2,184.6	4,910.9	4,961.8	6,144.4	57,050.0	16.8	57,033.2	339,483.3%
Unemployment Taxes	1,823.9	2,261.7	2,631.7	1,980.9	1,831.3	1,396.4	613.4	544.2	561.3	499.8	494.3	494.9	15,133.8	2,284.7	12,849.1	562.4%
Total Receipts	4,412.1	8,259.8	13,472.6	10,937.3	4,859.9	3,895.1	3,107.3	3,033.0	2,749.0	5,420.3	5,459.8	6,645.3	72,251.5	2,382.2	69,869.3	2,933.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	1.4	0.9	1.1	1.1	1.3	1.7	1.1	0.9	0.9	0.7	0.3	1.1	12.5	17.1	(4.6)	-26.9%
Non-Personal Service	3.6	4.9	5.4	4.9	4.6	4.4	4.1	3.9	4.6	3.6	3.6	5.1	52.7	57.5	(4.8)	-8.3%
General State Charges	0.2	0.1	0.2	0.1	0.1	0.2	0.1	0.2	0.2	-	0.2	0.1	1.7	1.6	0.1	6.3%
Unemployment Benefits	4,390.7	8,263.9	13,469.5	10,926.1	4,852.1	3,888.9	3,102.8	3,028.3	2,745.9	5,411.4	5,455.9	6,536.2	72,071.7	2,303.4	69,768.3	3,028.9%
Total Disbursements	4,395.9	8,269.8	13,476.2	10,932.2	4,858.1	3,895.2	3,108.1	3,033.3	2,751.6	5,415.7	5,460.0	6,542.5	72,138.6	2,379.6	69,759.0	2,931.5%
Excess (Deficiency) of Receipts over Disbursements	16.2	(10.0)	(3.6)	5.1	1.8	(0.1)	(0.8)	(0.3)	(2.6)	4.6	(0.2)	102.8	112.9	2.6	110.3	4,242.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	3.0	-	-	-	-	-	-	-	-	-	3.0	0.5	2.5	500.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	3.0	-	-	-	-	-	-	-	-	-	3.0	0.5	2.5	500.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.2	(10.0)	(0.6)	5.1	1.8	(0.1)	(0.8)	(0.3)	(2.6)	4.6	(0.2)	102.8	115.9	3.1	112.8	3,638.7%
Ending Fund Balance	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1	\$ 41.3	\$ 41.0	\$ 38.4	\$ 43.0	\$ 42.8	\$ 145.6	\$ 145.6	\$ 29.7	\$ 115.9	390.2%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT K

													12 Months Ended March 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (297.5)	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ (355.2)	\$ (372.7)	\$ (389.1)	\$ (416.4)	\$ (427.5)	\$ (413.5)	\$ (423.5)	\$ (297.5)	\$ (302.7)	\$ 5.2	1.7%
RECEIPTS:																
Miscellaneous Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	29.0	46.0	33.0	47.6	145.5	522.8	589.3	(66.5)	-11.3%
Total Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	29.0	46.0	33.0	47.6	145.5	522.8	589.3	(66.5)	-11.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	14.5	11.1	11.0	12.3	9.9	14.6	10.2	9.9	10.7	9.4	10.1	10.9	134.6	126.2	8.4	6.7%
Non-Personal Service	(9.3)	26.6	83.3	31.7	41.6	35.2	61.3	44.8	45.0	6.5	48.5	105.1	520.3	489.2	31.1	6.4%
General State Charges	4.8	5.3	8.6	4.6	5.7	4.8	4.8	4.6	4.3	4.5	4.5	3.7	60.2	56.3	3.9	6.9%
Total Disbursements	10.0	43.0	102.9	48.6	57.2	54.6	76.3	59.3	60.0	20.4	63.1	119.7	715.1	671.7	43.4	6.5%
Excess (Deficiency) of Receipts over Disbursements	15.2	(20.7)	(68.0)	(28.8)	(24.8)	(20.5)	(23.3)	(30.3)	(14.0)	12.6	(15.5)	25.8	(192.3)	(82.4)	(109.9)	-133.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.3	2.5	52.0	1.7	12.1	3.1	6.9	3.1	5.8	1.4	5.6	38.3	133.8	109.6	24.2	22.1%
Transfers to Other Funds	-	-	-	-	(0.2)	(0.1)	-	(0.1)	(2.9)	-	(0.1)	(4.1)	(7.5)	(22.0)	(14.5)	-65.9%
Total Other Financing Sources (Uses)	1.3	2.5	52.0	1.7	11.9	3.0	6.9	3.0	2.9	1.4	5.5	34.2	126.3	87.6	38.7	44.2%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(18.2)	(16.0)	(27.1)	(12.9)	(17.5)	(16.4)	(27.3)	(11.1)	14.0	(10.0)	60.0	(66.0)	5.2	(71.2)	-1,369.2%
Ending Fund Balance	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ (355.2)	\$ (372.7)	\$ (389.1)	\$ (416.4)	\$ (427.5)	\$ (413.5)	\$ (423.5)	\$ (363.5)	\$ (363.5)	\$ (297.5)	\$ (66.0)	-22.2%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT L

	2020												2021												12 Months Ended March 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease												
Beginning Fund Balance	\$ (1.1)	\$ (5.3)	\$ (9.3)	\$ (15.3)	\$ (1.7)	\$ (5.9)	\$ (4.2)	\$ (2.9)	\$ (3.6)	\$ (1.3)	\$ (6.8)	\$ (4.5)	\$ (1.1)	\$ (3.0)	\$ 1.9	63.3%												
RECEIPTS:																												
Miscellaneous Receipts	8.3	5.5	5.4	23.5	5.6	14.3	11.0	5.6	15.8	5.6	12.6	37.8	151.0	145.2	5.8	4.0%												
Total Receipts	8.3	5.5	5.4	23.5	5.6	14.3	11.0	5.6	15.8	5.6	12.6	37.8	151.0	145.2	5.8	4.0%												
DISBURSEMENTS:																												
Departmental Operations:																												
Personal Service	8.3	5.5	5.6	5.5	5.5	8.3	5.6	5.5	5.6	5.5	5.6	11.1	77.6	70.4	7.2	10.2%												
Non-Personal Service	0.7	0.6	0.6	1.0	0.9	0.8	0.7	0.7	2.7	2.2	1.2	20.4	32.5	34.4	(1.9)	-5.5%												
General State Charges	3.5	3.4	5.2	3.4	3.4	3.5	3.4	0.1	5.2	3.4	3.5	1.8	39.8	38.5	1.3	3.4%												
Total Disbursements	12.5	9.5	11.4	9.9	9.8	12.6	9.7	6.3	13.5	11.1	10.3	33.3	149.9	143.3	6.6	4.6%												
Excess (Deficiency) of Receipts over Disbursements	(4.2)	(4.0)	(6.0)	13.6	(4.2)	1.7	1.3	(0.7)	2.3	(5.5)	2.3	4.5	1.1	1.9	(0.8)	-42.1%												
OTHER FINANCING SOURCES (USES):																												
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.2)	(4.0)	(6.0)	13.6	(4.2)	1.7	1.3	(0.7)	2.3	(5.5)	2.3	4.5	1.1	1.9	(0.8)	-42.1%												
Ending Fund Balance	\$ (5.3)	\$ (9.3)	\$ (15.3)	\$ (1.7)	\$ (5.9)	\$ (4.2)	\$ (2.9)	\$ (3.6)	\$ (1.3)	\$ (6.8)	\$ (4.5)	\$ -	\$ -	\$ (1.1)	\$ 1.1	100.0%												

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT M

	2020												2021												12 Months Ended March 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease												
Beginning Fund Balance	\$ 14.3	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1	\$ 14.1	\$ 14.2	\$ 14.2	\$ 14.2	\$ 14.3	\$ 14.4	\$ 14.3	\$ 13.2	\$ 1.1	8.3%												
RECEIPTS:																												
Miscellaneous Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	0.1	0.1	0.1	0.5	1.5	(1.0)	-66.7%												
Total Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	0.1	0.1	0.1	0.5	1.5	(1.0)	-66.7%												
DISBURSEMENTS:																												
Departmental Operations:																												
Personal Service	-	-	-	0.1	-	-	0.1	-	-	-	-	-	0.2	0.2	-	0.0%												
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
General State Charges	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%												
Total Disbursements	0.1	-	-	0.1	-	-	0.1	-	-	-	-	-	0.3	0.4	(0.1)	-25.0%												
Excess (Deficiency) of Receipts over Disbursements	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	0.1	0.1	0.1	0.2	1.1	(0.9)	-81.8%												
OTHER FINANCING SOURCES (USES):																												
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	0.1	0.1	0.1	0.2	1.1	(0.9)	-81.8%												
Ending Fund Balance	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1	\$ 14.1	\$ 14.2	\$ 14.2	\$ 14.2	\$ 14.3	\$ 14.4	\$ 14.5	\$ 14.5	\$ 14.3	\$ 0.2	1.4%												

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)**

SCHEDULE 1

	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 250.046	\$ 12,087.388	\$ 11,837.342	\$ -
10050-10099-State Operations Account	20,300.463	4,724.646	2,663.343	(22,361.766)	-
10100-10149-Tax Stabilization Reserve	-	-	-	1,257.763	1,257.763
10150-10199-Contingency Reserve	-	-	-	20.624	20.624
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	29.718	-	0.279	-	29.439
10300-10349-Rainy Day Reserve Fund	-	-	-	1,217.544	1,217.544
10400-10449-Refund Reserve Account	-	-	-	6,635.461	6,635.461
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	20,330.181	4,974.692	14,751.010	(1,393.032)	9,160.831
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.812	-	0.005	-	0.807
20100-20299-Combined Expendable Trust	69.901	0.675	1.350	1.037	70.263
20300-20349-New York Interest on Lawyer Account	111.430	2.459	1.301	-	112.588
20350-20399-NYS Archives Partnership Trust	0.086	-	0.042	-	0.044
20400-20449-Child Performer's Protection	0.262	0.005	0.050	-	0.217
20450-20499-Tuition Reimbursement	8.360	0.634	0.350	(0.023)	8.621
20500-20549-New York State Local Government Records Management Improvement	6.843	0.980	0.691	(0.782)	6.350
20550-20599-School Tax Relief	2.880	18.739	21.619	-	-
20600-20649-Charter Schools Stimulus	0.578	-	-	-	0.578
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	166.224	479.189	486.837	(142.712)	15.864
20850-20899-Dedicated Mass Transportation Trust	104.720	42.234	64.323	16.824	99.455
20900-20949-State Lottery	(881.686)	540.215	150.106	693.701	202.124
20950-20999-Combined Student Loan	11.766	0.604	1.392	-	10.978
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.016)	0.851	0.068	-	(3.233)
21050-21149-Encon Special Revenue	(0.185)	11.932	9.326	(0.733)	1.688
21150-21199-Conservation	101.311	1.442	3.665	-	99.088
21200-21249-Environmental Protection and Oil Spill Compensation	12.843	3.475	1.972	(2.808)	11.538
21250-21299-Training and Education Program on OSHA	5.832	4.012	4.187	(2.863)	2.794
21300-21349-Lawyers' Fund for Client Protection	8.659	2.247	0.083	-	10.823
21350-21399-Equipment Loan for the Disabled	0.532	0.002	0.008	-	0.526
21400-21449-Mass Transportation Operating Assistance	288.650	450.639	457.229	1.711	283.771
21450-21499-Clean Air	(32.054)	2.865	3.892	-	(33.081)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.420	0.271	0.083	-	12.608
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.838	0.001	0.180	-	0.659
21900-22499-Miscellaneous State Special Revenue	1,699.770	321.532	425.766	6.727	1,602.263
22500-22549-Court Facilities Incentive Aid	4.525	0.001	12.569	25.600	17.557

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	2,106.694	384.841	647.570	106.686	1,950.651
22700-22749-Chemical Dependence Service	9.904	0.253	1.528	(2.995)	5.634
22750-22799-Lake George Park Trust	0.613	-	0.094	-	0.519
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	45.667	8.546	2.685	(38.587)	12.941
22850-22899-New York Great Lakes Protection	0.425	0.187	0.015	-	0.597
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	11.305	0.001	0.269	-	11.037
23000-23049-NYS/DOT Highway Safety Program	(17.281)	0.082	(0.208)	-	(16.991)
23050-23099-Vocational Rehabilitation	0.085	0.008	0.001	(0.032)	0.060
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(55.597)	32.581	3.541	-	(26.557)
23200-23249-Judiciary Data Processing Offset		58.558	11.429	3.788	66.199
23250-23449-IFR/CUTRA	170.830	4.575	3.570	-	171.835
23500-23549-USOC Lake Placid Training	0.239	0.002	-	-	0.241
23550-23599-Indigent Legal Services	540.337	48.000	55.949	-	532.388
23600-23649-Unemployment Insurance Interest and Penalty	27.351	0.002	0.131	(1.743)	25.479
23650-23699-MTA Financial Assistance Fund	201.656	0.016	86.574	12.500	127.598
23700-23749-New York State Commercial Gaming Fund	56.799	17.433	160.636	96.172	9.768
23750-23799-Medical Marihuana Trust Fund	14.164	0.600	3.539	6.550	17.775
23800-23899-Dedicated Miscellaneous State Special Revenue	4.787	0.301	0.532	-	4.556
24850-24899-Health Care Transformation	447.932	6.707	-	(200.000)	254.639
24900-24949-Charitable Gifts Trust Fund	95.951	0.008	-	(95.959)	-
24950-24999-Interactive Fantasy Sports	24.510	0.582	0.017	(5.000)	20.075
40350-40399-State University Dormitory Income	226.309	35.305	-	(54.107)	207.507
TOTAL SPECIAL REVENUE FUNDS-STATE	5,667.805	2,436.463	2,617.325	419.164	5,906.107
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(103.361)	243.355	150.012	(1.178)	(11.196)
25100-25199-Federal Health and Human Services	2,643.642	5,682.937	6,040.469	(216.254)	2,069.856
25200-25249-Federal Education	(41.386)	329.050	298.295	(6.291)	(16.922)
25300-25899-Federal Miscellaneous Operating Grants	2,825.787	218.868	212.847	(3.260)	2,828.548
25900-25949-Unemployment Insurance Administration	(22.686)	195.478	74.696	-	98.096
25950-25999-Unemployment Insurance Occupational Training	(0.480)	0.023	0.053	-	(0.510)
26000-26049-Federal Employment and Training Grants	(8.299)	14.219	13.118	-	(7.198)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	5,293.217	6,683.930	6,789.490	(226.983)	4,960.674
TOTAL SPECIAL REVENUE FUNDS	10,961.022	9,120.393	9,406.815	192.181	10,866.781
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	18.540	11.846	-	(5.705)	24.681
40150-40199-General Debt Service	6,761.119	1,987.546	9,659.733	911.068	-
40250-40299-State Housing Debt Service	-	0.871	0.908	0.037	-
40300-40349-Department of Health Income	23.759	27.800	-	(11.233)	40.326
40400-40449-Clean Water/Clean Air	1.964	80.748	-	(82.712)	-
40450-40499-Local Government Assistance Tax	79.443	312.470	79.443	(312.470)	-
TOTAL DEBT SERVICE FUNDS	6,884.825	2,421.281	9,740.084	498.985	65.007

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2021
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	0.778	812.834	812.056	-
30050-30099-Dedicated Highway and Bridge Trust	105.951	196.124	160.586	(156.350)	(14.861)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	106.941	0.009	0.555	11.288	117.683
30300-30349-New York State Canal System Development	14.103	0.001	-	-	14.104
30350-30399-Parks Infrastructure	(71.357)	49.844	14.466	-	(35.979)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	95.628	38.749	50.897	-	83.480
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.200	-	-	0.010	17.210
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	0.021	5.572
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	0.001	1.429
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(608.514)	132.246	84.094	(19.949)	(580.311)
31450-31499-Forest Preserve Expansion	1.082	0.001	-	-	1.083
31500-31549-Hazardous Waste Remedial	(84.538)	21.897	10.029	1.322	(71.348)
31650-31699-Suburban Transportation	0.540	-	-	-	0.540
31700-31749-Division for Youth Facilities Improvement	(13.390)	-	0.900	-	(14.290)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(282.265)	-	98.791	3.609	(377.447)
31900-31949-Natural Resource Damage	18.169	0.002	0.076	-	18.095
31950-31999-DOT Engineering Services	(11.956)	-	0.015	-	(11.971)
32200-32249-Miscellaneous Capital Projects	122.616	0.538	8.084	21.917	136.987
32250-32299-CUNY Capital Projects	0.040	0.002	-	-	0.042
32300-32349-Mental Hygiene Facilities Capital Improvement	(462.927)	77.693	45.474	3.965	(426.743)
32350-32399-Correction Facilities Capital Improvement	(246.392)	-	25.587	102.852	(169.127)
32400-32999-State University Capital Projects	129.007	0.011	8.876	(0.467)	119.675
33000-33049-NYS Storm Recovery Fund	(55.763)	2.626	1.091	-	(54.228)
33050-33099-Dedicated Infrastructure Investment Fund	58.223	-	51.500	90.000	96.723
TOTAL CAPITAL PROJECTS FUNDS	(1,160.938)	520.521	1,373.855	870.275	(1,143.997)
TOTAL GOVERNMENTAL FUNDS	\$ 37,015.090	\$ 17,036.887	\$ 35,271.764	\$ 168.409	\$ 18,948.622

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF MARCH 2021
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE MARCH 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE MARCH 31, 2021</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.117	\$ 0.001	\$ 0.001	\$ -	\$ 0.117
50050-50099-State Exposition Special	0.970	0.180	0.570	-	0.580
50100-50299-Correctional Services Commissary	4.118	3.861	4.268	-	3.711
50300-50399-Agencies Enterprise	11.840	1.802	1.288	-	12.354
50400-50449-Sheltered Workshop	2.258	0.013	0.021	-	2.250
50450-50499-Patient Workshop	2.120	-	0.013	-	2.107
50500-50599-Mental Hygiene Community Stores	4.880	0.065	0.124	-	4.821
50650-50699-Unemployment Insurance	16.487	6,639.338	6,536.172	-	119.653
TOTAL ENTERPRISE FUNDS	42.790	6,645.260	6,542.457	-	145.593
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(143.093)	96.411	38.323	8.156	(76.849)
55050-55099-Agency Internal Service	(157.704)	20.172	69.390	5.389	(201.533)
55100-55149-Mental Hygiene Revolving	0.198	0.037	0.071	-	0.164
55150-55199-Youth Vocational Education	0.072	-	0.007	-	0.065
55200-55249-Joint Labor and Management Administration	0.896	-	0.081	-	0.815
55250-55299-Audit and Control Revolving	(60.368)	25.599	5.680	(0.037)	(40.486)
55300-55349-Health Insurance Revolving	(11.616)	-	1.503	-	(13.119)
55350-55399-Correctional Industries Revolving	(51.898)	3.257	4.662	20.725	(32.578)
TOTAL INTERNAL SERVICE FUNDS	(423.513)	145.476	119.717	34.233	(363.521)
TOTAL PROPRIETARY FUNDS	\$ (380.723)	\$ 6,790.736	\$ 6,662.174	\$ 34.233	\$ (217.928)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF MARCH 2021
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE MARCH 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE MARCH 31, 2021</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (4.498)	\$ 37.842	\$ 33.354	\$ -	\$ (0.010)
TOTAL PENSION TRUST FUNDS	(4.498)	37.842	33.354	-	(0.010)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.974	0.048	(0.046)	-	3.068
66050-66099-Milk Producers' Security	11.387	0.061	0.026	-	11.422
TOTAL PRIVATE PURPOSE TRUST FUNDS	14.361	0.109	(0.020)	-	14.490
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	18.054	0.389	-	-	18.443
60150-60199-Child Performer's Holding	0.538	0.011	0.001	-	0.548
60200-60249-Employees Health Insurance	1,036.539	1,553.219	999.834	-	1,589.924
60250-60299-Social Security Contribution	15.309	128.762	128.760	-	15.311
60300-60399-Employee Payroll Withholding	39.929	468.088	443.816	-	64.201
60400-60449-Employees Dental Insurance	18.737	24.024	9.903	-	32.858
60450-60499-Management Confidential Group Insurance	0.416	0.944	0.767	-	0.593
60500-60549-Lottery Prize	729.838	(28.448)	164.819	-	536.571
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	1,164.931	1,844.287	1,923.561	-	1,085.657
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.341	8.667	-	-	37.008
60850-60899-CUNY Senior College Operating	59.178	148.769	197.375	-	10.572
60900-60949-Medicaid Management Information System (MMIS) Escrow	226.184	6,263.456	3,858.860	-	2,630.780
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	165.834	(78.853)	-	-	86.981
61100-61999-State University Federal Direct Lending Program	(21.119)	49.544	29.112	-	(0.687)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,482.709	10,382.859	7,756.808	-	6,108.760
TOTAL FIDUCIARY FUNDS	\$ 3,492.572	\$ 10,420.810	\$ 7,790.142	\$ -	\$ 6,123.240

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021
FOR THE MONTH OF MARCH 2021
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE MARCH 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE MARCH 31, 2021</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.889	\$ -	\$ -	\$ 2.889
70093, 70095, 70300-70301-MTA State Assistance	262.041	211.434	277.334	196.141
70050-70149-Sole Custody Investment (*)	2,256.551	4,595.500	3,963.838	2,888.213
70200-Comptroller's Refund Account	-	367.387	367.387	-
TOTAL ACCOUNTS	\$ 2,521.481	\$ 5,174.321	\$ 4,608.559	\$ 3,087.243

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of March 31, 2021, \$9,545,926.78 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED			DEBT MATURED		DEBT OUTSTANDING MARCH 31, 2021	INTEREST DISBURSED	
		MONTH OF MARCH REFUNDING(*)	MONTH OF MARCH	12 MONTHS ENDED MARCH 31, 2021	MONTH OF MARCH	12 MONTHS ENDED MARCH 31, 2021		MONTH OF MARCH	12 MONTHS ENDED MARCH 31, 2021
GENERAL OBLIGATION BONDED DEBT:									
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ -	\$ -	\$ 1,453,289	\$ 9,992,174	\$ -	\$ 347,904
Clean Water/Clean Air:									
Air Quality	1,795,354	-	-	-	-	473,909	1,321,445	-	51,873
Safe Drinking Water	-	-	-	-	-	-	-	-	-
Clean Water	298,595,491	2,288,397	2,431,568	4,719,965	1,694,419	25,653,557	277,661,899	1,078,451	10,523,338
Solid Waste	16,287,590	8,750	-	8,750	24,893	5,212,385	11,083,955	3,439	623,816
Environmental Restoration	40,070,447	243,127	1,139,735	1,382,862	1,063,443	4,751,754	36,701,555	402,543	1,481,173
Energy Conservation Through Improved Transportation:									
Rapid Transit and Rail Freight	1,198,754	-	-	-	-	176,298	1,022,456	-	48,908
Environmental Quality (1972):									
Air	3,184	-	-	-	-	3,184	-	-	129
Land and Wetlands	4,939,861	-	-	-	574,494	672,349	4,267,512	110,896	240,156
Water	6,370,803	29,587	-	29,587	92,698	861,629	5,538,761	36,842	234,123
Environmental Quality (1986):									
Land Acquisition/Development/Restoration/Forests	5,309,545	18,082	-	18,082	61,156	2,634,757	2,692,870	23,401	217,189
Solid Waste Management	91,992,747	621,475	5,810,330	6,431,805	985,215	15,421,248	83,003,304	292,228	3,288,828
Housing:									
Low Income	5,840,000	135,000	-	135,000	800,000	1,860,000	4,115,000	71,700	159,300
Middle Income	4,035,000	-	-	-	-	2,240,000	1,795,000	36,477	117,195
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	117,444	-	117,444	19,488	2,129,827	13,485,946	14,628	527,330
Rail Preservation Development	-	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:									
Highway Facilities	600,658,226	8,140,303	-	8,140,303	19,608,564	46,347,847	562,450,682	6,050,130	20,823,844
Canals and Waterways	9,419,680	-	-	-	787,019	1,799,369	7,620,311	97,043	378,650
Aviation	41,089,448	551,860	701,386	1,253,246	430,019	1,878,003	40,464,691	244,688	1,287,902
Rail and Port	92,824,245	176,410	2,296,921	2,473,331	1,059,911	5,096,387	90,201,189	338,685	2,826,266
Mass Transit - Dept. of Transportation	12,168,734	-	3,553,142	3,553,142	974,738	1,792,336	13,929,540	232,697	573,235
Mass Transit - Metropolitan Transportation Authority	705,163,311	11,314,565	-	11,314,565	12,085,928	25,368,452	691,109,424	4,425,815	24,685,486
Rebuild New York-Transportation Infrastructure Renewal:									
Highways, Parkways, and Bridges	553,992	-	-	-	155,169	196,324	357,668	11,544	25,242
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	-	479,171	1,563,392	-	86,761
Smart Schools Bond Act	161,307,133	-	163,986,918	163,986,918	8,442,846	18,351,447	306,942,604	2,015,541	8,062,853
Transportation Capital Facilities:									
Aviation	2,090,099	-	-	-	-	441,478	1,648,621	-	90,412
Mass Transportation	-	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,130,699,999	\$ 23,645,000	\$ 179,920,000	\$ 203,565,000	\$ 48,860,000	\$ 165,295,000	\$ 2,168,969,999	\$ 15,486,748	\$ 76,701,913

(*) Represents the net effect of the March 2021 refunding transaction of \$453,835,000, which was used to refund \$430,190,000 of previously issued general obligation bonds.

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TWELVE MONTHS ENDED MARCH 31, 2021

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	12 MONTHS ENDED MARCH 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX ^(*)	TAX	2021	2020	
(40000-40049)	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)	(40154)			
Payments to Public Authorities:										
City University Construction	\$ -	\$ 7,115,904	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,115,904	\$ 193,123,569	\$ (186,007,665)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	65,375,063	(65,375,063)
DASNY Revenue Bond	-	-	-	-	-	8,904,948,908	2,039,112,689	10,944,061,597	1,963,082,162	8,980,979,435
Department of Health Facilities	-	-	25,467,940	-	-	-	-	25,467,940	26,157,902	(689,962)
Mental Health Facilities	-	-	-	-	8,334,220	-	-	8,334,220	7,095,969	1,238,251
Secured Hospital Program	-	25,232,277	-	-	-	-	-	25,232,277	31,720,944	(6,488,667)
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	5,928,700	2,418,500
SUNY Educational Facilities	-	25,819,812	-	-	-	-	-	25,819,812	103,616,675	(77,796,863)
Environmental Facilities Corporation	-	-	-	-	-	17,266,263	-	17,266,263	17,269,722	(3,459)
Housing Finance Agency	-	20,324,584	-	-	-	-	-	20,324,584	30,857,653	(10,533,069)
Local Government Assistance Corporation	-	-	-	79,442,835	-	-	-	79,442,835	300,784,859	(221,342,024)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	106,686,534	-	-	-	-	-	106,686,534	448,180,780	(341,494,246)
Local Highway and Bridge	-	-	-	-	-	-	-	-	21,772,000	(21,772,000)
Transportation	-	-	-	-	-	65,949,467	-	65,949,467	151,377,875	(85,428,408)
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	448,350	(448,350)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	23,405,116	-	-	-	-	-	23,405,116	78,864,907	(55,459,791)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	9,781,500	(9,781,500)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	1,596,793,277	-	1,596,793,277	1,190,706,819	406,086,458
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	1,770,144	(1,758,541)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 216,943,030	\$ 25,467,940	\$ 79,442,835	\$ 8,334,220	\$ 10,584,957,915	\$ 2,039,112,689	\$ 12,954,258,629	\$ 4,647,915,593	\$ 8,306,343,036

(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF MARCH 2021
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)**

SCHEDULE 6

	<u>MONTH OF MARCH 2021</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 37,403.3	\$ 30,526.5	\$ 20,308.1
AVERAGE YIELD (**)	0.094%	0.181%	2.028%
TOTAL INVESTMENT EARNINGS	\$ 3.020	\$ 58.280	\$ 417.550

<u>Month-End Portfolio Balances</u>		
<u>DESCRIPTION</u>	<u>MARCH 2021 PAR AMOUNT</u>	<u>MARCH 2020 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 15,467.6	\$ 1,876.3
REPURCHASE AGREEMENTS	18.1	151.6
GOVT. SPONSORED AGENCIES	-	-
COMMERCIAL PAPER	10,689.0	16,509.0
CERTIFICATES OF DEPOSIT/SAVINGS	990.6	2,943.7
0% COMPENSATING BALANCE CDs	923.0	78.0
	<u>\$ 28,088.3</u>	<u>\$ 21,558.6</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2020-2021

APPENDIX A

	2020												12 Months Ended March 31, 2021
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
OPENING CASH BALANCE	\$ 15,704,540	\$ 95,764,658	\$ 80,082,746	\$ 490,512,199	\$ 186,132,186	\$ 217,721,195	\$ 104,334,501	\$ 181,581,146	\$ 200,742,306	\$ 204,855,179	\$ 143,146,845	\$ 166,223,852	\$ 15,704,540
RECEIPTS:													
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,798,997	60,405,723	70,952,125	55,756,250	59,065,187	60,999,901	61,273,605	37,264,963	41,032,605	695,875,349
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,346,000	1,945,000	1,308,000	2,075,000	1,665,000	1,555,000	1,749,000	1,868,000	1,066,000	1,346,806	19,216,806
Vapor Excise Tax	25,877	69,976	11,670,725	(348,272)	(123,922)	7,357,137	6,765	27,573	6,801,844	9,407	20,906	6,800,000	32,318,016
STIP Interest	382,848	258,771	58,513	43,854	53,717	52,869	41,598	38,448	48,760	55,912	35,247	27,616	1,098,153
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Assessments	478,443,458	390,720,867	437,012,587	376,078,217	389,691,001	454,153,792	435,070,365	401,657,632	444,521,644	376,057,991	418,420,999	424,294,558	5,026,123,111
Fees	327,000	46,000	561,000	930,000	776,000	1,404,000	199,000	390,000	2,067,000	1,103,000	168,000	981,000	8,952,000
Rebates	12,000	4,545,140	5,975,618	9,916,208	2,000,366	4,881,029	2,789,602	3,632,553	4,734,992	3,713,400	1,735,168	4,705,779	48,641,855
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	-	-	297,248	-	1,148	-	483	-	22,682	28,874	-	591	351,026
Total Receipts	550,137,287	448,125,819	517,109,515	457,364,004	454,112,033	540,875,952	495,529,063	466,366,393	520,945,823	444,110,189	458,711,283	479,188,955	5,832,576,316
DISBURSEMENTS:													
Grants	466,021,724	462,778,011	97,733,246	756,144,082	414,416,738	642,214,484	404,418,743	442,607,097	510,453,711	501,400,673	420,299,115	469,320,766	5,587,808,390
Interest - Late Payments	36	22	26,224	11,452	(5,834)	(3,803)	510	203	(642)	84	22	12	28,386
Personal Services	1,509,162	534,992	1,290,941	270,686	1,523,044	750,054	1,609,108	983,288	345,679	1,628,961	(392,469)	1,913,430	11,966,876
Non-Personal Service	55,956	(291,867)	5,284,609	4,077,923	3,349,084	7,839,793	3,878,285	2,812,150	5,182,277	1,084,498	5,131,981	14,468,474	52,873,163
Employee Benefits/Indirect Costs	612,447	299,051	1,164,130	638,546	568,694	194,836	891,073	593,884	511,315	1,024,088	(501,246)	1,133,641	7,130,459
Total Disbursements	468,199,325	463,320,209	105,499,150	761,142,689	419,851,726	650,995,364	410,797,819	446,996,622	516,492,340	505,138,304	424,537,403	486,836,323	5,659,807,274
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-	-	91,304,000	91,304,000
Transfers to General Fund	-	-	297,248	-	1,147	-	484	-	132,000	1,607	-	570	433,056
Transfers to Revenue Bond Tax Fund	-	-	-	-	2,276,000	2,650,324	-	-	-	-	-	51,339,325	56,265,649
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-	-	-	222,807	(1)	1	-	-	658,596	-	1,870,657
Empire State Stem Cell Trust Account	-	-	-	-	-	-	7,100,000	-	-	-	10,000,000	-	17,100,000
Transfers to SUNY Income Fund	888,590	487,522	883,664	601,328	394,151	394,151	384,116	208,610	208,610	678,612	438,277	68,232	5,635,863
Total Operating Transfers	1,877,844	487,522	1,180,912	601,328	2,671,298	3,267,282	7,484,599	208,611	340,610	680,219	11,096,873	142,712,127	172,609,225
Total Disbursements and Transfers	470,077,169	463,807,731	106,680,062	761,744,017	422,523,024	654,262,646	418,282,418	447,205,233	516,832,950	505,818,523	435,634,276	629,548,450	5,832,416,499
CLOSING CASH BALANCE	\$ 95,764,658	\$ 80,082,746	\$ 490,512,199	\$ 186,132,186	\$ 217,721,195	\$ 104,334,501	\$ 181,581,146	\$ 200,742,306	\$ 204,855,179	\$ 143,146,845	\$ 166,223,852	\$ 15,864,357	\$ 15,864,357

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2020-21

APPENDIX B

Program/Purpose	Appropriation Amount (*)	March	12 Months Ended March 31st, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,827,000.00	404,299.03	2,442,319.21
CENTER FOR COMMUNITY HLTH	8,827,000.00	404,299.03	2,442,319.21
CHILD HEALTH INSURANCE PROGRAM	2,134,768,000.00	97,188,536.61	590,068,959.15
CHILD HEALTH INSURANCE	2,134,768,000.00	97,188,536.61	590,068,959.15
COMMUNITY SUPPORT PROGRAM	120,000.00	45,000.00	60,000.00
COMMUNITY SUPPORT	120,000.00	45,000.00	60,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	384,850,000.00	13,698,844.12	107,558,468.98
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	13,698,844.12	107,558,468.98
HEALTH CARE REFORM ACT PROGRAM	1,490,325,059.03	42,212,569.92	276,417,924.17
AIDS DRUG ASSISTANCE	123,150,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	3,537,000.00	-	448,825.77
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	583,185.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	13,602,660.50	54,400,000.00
DIVERSITY IN MEDICINE	4,732,000.00	855,448.00	855,448.00
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	689,004.00	3,444,996.00
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	343,000.00	2,779,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	3,920,000.00	19,600,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	2,783,747.80	7,046,637.55
INFERTILITY SERVICES GRANTS	5,733,000.00	50,965.27	421,685.84
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	698,224.80	698,224.80
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	102,100,000.00
PHYSICIAN LOAN REPAYMENT	27,195,000.00	1,617,977.75	5,755,067.62
PHYSICIAN WORKFORCE STUDIES	974,000.00	247,000.00	487,000.00
POISON CONTROL CENTERS	6,320,000.00	-	1,756,720.67
POOL ADMINISTRATION	5,300,000.00	510,322.30	2,334,403.59
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	37,963,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	177,813.54	575,799.16
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	2,665,653.79	7,429,708.82
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	113,152.17	1,393,007.77
SCHOOL BASED HEALTH CENTERS	4,230,000.00	2,115,000.00	2,115,000.00
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	4,230,000.00	4,230,000.00
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,631,301,000.00	322,772,819.83	4,641,490,246.44
HOME HEALTH RATE INCREASE	300,000,000.00	-	44,300,000.00
MEDICAID INDIGENT CARE	4,999,000,000.00	68,772,819.83	750,790,246.44
MEDICAL ASSISTANCE	22,349,101,000.00	254,000,000.00	3,716,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	120,500,000.00
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	9,900,000.00
NEW YORK STATE OF HEALTH	102,431,000.00	7,545,110.88	33,117,038.52
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	7,545,110.88	33,117,038.52
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	68,916,000.00	2,561,040.95	10,134,977.58
OFFICE HEALTH SYSTEMS MANAGEMENT	68,916,000.00	2,561,040.95	10,134,977.58
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	476,215.78	4,153,216.33
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	476,215.78	4,153,216.33
TOTAL	32,834,039,859.03	486,904,437.12	5,665,443,150.38
Reclass of SUNY Hospital Disprop Share to Transfer		(10,816.07)	(4,429,680.44)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	(919,100.00)
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		(57,417.00)	(287,083.00)
Reconciling Adjustment (P-Card and T-Card)		118.59	(13.05)
TOTAL REPORTED AMOUNT	\$ 32,834,039,859.03	\$ 486,836,322.64	\$ 5,659,807,273.89

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-21
OPENING CASH BALANCE	\$ 350,947,309.06	\$ 126,897,506.61	\$ 318,804,091.76	\$ 299,674,693.96	\$ 176,012,956.72	\$ 169,749,956.13	\$ 350,947,309.06
RECEIPTS:							
Patient Services	722,415,689.44	975,374,899.18	859,864,830.97	175,709,345.39	281,558,901.80	389,079,196.21	3,404,002,862.99
Covered Lives	224,564,997.99	294,913,084.03	252,919,950.64	43,950,805.01	83,044,729.98	121,911,624.31	1,021,305,191.96
Provider Assessments	19,621,242.87	22,021,897.80	24,084,713.16	4,939,880.39	5,932,721.12	12,451,180.65	89,051,635.99
1% Assessments	103,739,180.00	107,280,064.00	105,841,411.19	29,576,999.00	37,756,109.00	37,432,074.00	421,625,837.19
DASNY- MOE/Recast receivables	-	-	-	-	-	-	-
Interest Income	13,893.95	16,145.63	8,568.77	3,593.43	3,447.91	1,145.69	46,795.38
Unassigned	(1,563,049.32)	(978,886.85)	6,783,770.57	(5,637,858.63)	43,971.22	(712,076.33)	(2,064,129.34)
Total Receipts	1,068,791,954.93	1,398,627,203.79	1,249,503,245.30	248,542,764.59	408,339,881.03	560,163,144.53	4,933,968,194.17
PROGRAM DISBURSEMENTS:							
Poison Control Centers	-	-	(1,920,000.00)	(480,000.00)	-	-	(2,400,000.00)
School Based Health Center Grants	-	-	-	-	-	(4,230,000.00)	(4,230,000.00)
ECRIP Distributions	-	-	(2,400,000.00)	-	-	(1,044,996.00)	(3,444,996.00)
Total Program Disbursements	-	-	(4,320,000.00)	(480,000.00)	-	(5,274,996.00)	(10,074,996.00)
Excess (Deficiency) of Receipts over Disbursements	1,068,791,954.93	1,398,627,203.79	1,245,183,245.30	248,062,764.59	408,339,881.03	554,888,148.53	4,923,893,198.17
OTHER FINANCING SOURCES (USES):							
Transfers From Other Pools:							
Medicaid Disproportionate Share	-	-	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	13,201,960.00	12,615,514.00	4,274,213.00	3,461,970.00	3,206,832.00	50,094,721.00
Transfers From State Funds:							
HCRA Resources Fund	-	-	4,320,000.00	480,000.00	355,992.00	4,919,004.00	10,074,996.00
Total Other Financing Sources	13,334,232.00	13,201,960.00	16,935,514.00	4,754,213.00	3,817,962.00	8,125,836.00	60,169,717.00
Transfers To Other Pools:							
Medicaid Disproportionate Share	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers To State Funds:							
HCRA Resources Fund	(1,306,175,989.38)	(1,219,922,578.64)	(1,281,248,157.10)	(376,478,714.83)	(418,420,843.62)	(438,887,071.05)	(5,041,133,354.62)
Indigent Care Fund - Matched	-	-	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-	-	-
Total Other Financing Uses	(1,306,175,989.38)	(1,219,922,578.64)	(1,281,248,157.10)	(376,478,714.83)	(418,420,843.62)	(438,887,071.05)	(5,041,133,354.62)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(224,049,802.45)	191,906,585.15	(19,129,397.80)	(123,661,737.24)	(6,263,000.59)	124,126,913.48	(57,070,439.45)
CLOSING CASH BALANCE	\$ 126,897,506.61	\$ 318,804,091.76	\$ 299,674,693.96	\$ 176,012,956.72	\$ 169,749,956.13	\$ 293,876,869.61	\$ 293,876,869.61

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-21
OPENING CASH BALANCE	\$ 668.63	\$ 169.31	\$ 333,127.45	\$ 6,834,706.32	\$ 3,582,218.33	\$ 807,586.75	\$ 668.63
RECEIPTS:							
Interest Income	422.98	1,460.78	420.06	155.33	114.31	82.34	2,655.80
Total Receipts	422.98	1,460.78	420.06	155.33	114.31	82.34	2,655.80
PROGRAM DISBURSEMENTS:							
Indigent Care	(188,629,665.12)	(150,799,778.96)	(183,599,073.14)	(44,735,209.09)	(44,486,841.96)	(44,096,938.26)	(656,347,506.53)
High Need Indigent Care	-	-	-	-	-	-	-
Other	506,867.55	(90,700,038.60)	3,733,616.64	-	(17,294,895.00)	804,121.74	(102,950,327.67)
Total Program Disbursements	(188,122,797.57)	(241,499,817.56)	(179,865,456.50)	(44,735,209.09)	(61,781,736.96)	(43,292,816.52)	(759,297,834.20)
Excess (Deficiency) of Receipts over Disbursements	(188,122,374.59)	(241,498,356.78)	(179,865,036.44)	(44,735,053.76)	(61,781,622.65)	(43,292,734.18)	(759,295,178.40)
OTHER FINANCING SOURCES (USES):							
Transfers From Other Pools:							
Public Goods Pool	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers From State Funds:							
HCRA Resources Indigent Care - Matched	94,314,832.56	113,950,116.17	80,001,388.03	19,594,021.59	19,510,144.82	19,494,526.01	346,865,029.18
HCRA Resources Indigent Care - Unmatched	(506,129.55)	16,528,236.56	3,716,890.61	(3,252,508.75)	14,463,436.76	(1,967,133.00)	28,982,792.63
HCRA Resources Indigent Care - ATB	-	-	-	-	-	-	-
Federal DHHS Fund	94,314,832.56	113,950,116.15	102,650,182.79	25,141,187.50	25,033,564.82	25,013,524.25	386,103,408.07
Other	-	-	-	-	-	-	-
Total Other Financing Sources	188,123,535.57	244,428,468.88	186,368,461.43	41,482,700.34	59,007,146.40	42,540,917.26	761,951,229.88
Transfers To Other Pools:							
Public Goods Pool	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers To State Funds:							
HCRA Resources Fund Indigent Care Acct	(922.30)	(431.55)	(1,484.03)	(134.57)	(155.33)	(114.31)	(3,242.09)
CSRA Inc (eMedNY) General Fund	(738.00)	(2,596,722.41)	(362.09)	-	-	-	(2,597,822.50)
Total Other Financing Uses	(1,660.30)	(2,597,153.96)	(1,846.12)	(134.57)	(155.33)	(114.31)	(2,601,064.59)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(499.32)	332,958.14	6,501,578.87	(3,252,487.99)	(2,774,631.58)	(751,931.23)	54,986.89
CLOSING CASH BALANCE	\$ 169.31	\$ 333,127.45	\$ 6,834,706.32	\$ 3,582,218.33	\$ 807,586.75	\$ 55,655.52	\$ 55,655.52

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 15	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 15
Education - EXCEL	427	2,157	1,567	17	-	649	-	829	90	161	-	-	5,897
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-	-	-	58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	323	148	14	383	94	125	114	835	3,459
Multi-modal	-	-	24	-	-	20	-	-	-	-	-	-	44
GenNysis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	19,061	18,031	10,339	32,044	15,125	25,329	15,108	23,669	237,489
CUNY Community Colleges	4,766	1,358	5,403	2,217	1,052	2,094	83	4,094	2,211	690	2,039	3,210	29,217
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-	-	-	-	-	-	1,179
TOTAL DORMITORY AUTHORITY	29,871	14,958	32,138	22,412	20,451	20,942	10,436	37,350	17,520	26,305	17,261	27,714	277,358
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 29,871	\$ 14,958	\$ 32,138	\$ 22,412	\$ 20,451	\$ 20,942	\$ 10,436	\$ 37,350	\$ 17,520	\$ 26,305	\$ 17,261	\$ 27,714	\$ 277,358

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	-	-	-	-	-
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	348,649,284.21	328,865,597.18	-	44,223,561.21	44,223,561.21
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	953,069.38	1,135,204.83	1,203,596.49	(1,203,596.49)	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	55,768,416.64	53,130,282.82	71,356,612.45	(35,377,652.59)	35,978,959.86
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	106,962,837.42	120,224,762.79	122,939,482.99	(12,619,799.73)	110,319,683.26
31701	YOUTH FACILITIES IMPROVEMENT	12,387,821.98	13,011,358.40	13,390,037.15	900,443.30	14,290,480.45
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	116,954,523.32	129,266,936.45	136,215,935.45	84,410,242.02	220,626,177.47
31852	HOUSING PROG FD AFFORD HSG CORP	38,695,121.72	38,695,121.72	38,695,121.72	2,271,588.00	40,966,709.72
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	99,246,517.14	102,446,517.14	107,646,517.14	8,500,000.00	116,146,517.14
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,956,479.77	11,956,479.77	11,956,479.77	14,273.97	11,970,753.74

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	4,765,153.90	5,226,104.01	5,669,893.07	1,799,851.13	7,469,744.20
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	180.00	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	71,862,321.37	72,271,381.76	73,275,852.43	(77,330.80)	73,198,521.63
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	185,432,642.59	184,405,566.97	191,405,566.97	(4,387,481.83)	187,018,085.14
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	11,650,968.39	11,650,968.39	4,369,852.32	-	4,369,852.32
32308	DASNY - OASAS ADMIN	2,581,221.20	2,581,221.20	1,483,213.09	-	1,483,213.09
32309	OMH -STATE FACILITIES	165,417,218.76	178,340,759.27	171,564,249.62	(40,224,275.30)	131,339,974.32
32310	OPWDD -STATE FACILITIES	31,697,903.16	31,697,903.16	31,697,903.16	6,694,423.61	38,392,326.77
32311	OASAS -STATE FACILITIES	3,203,257.72	3,282,024.25	3,282,024.25	1,813,956.92	5,095,981.17
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	194,435,239.85	214,987,658.41	246,392,114.85	(77,265,489.61)	169,126,625.24
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	55,576,380.02	55,800,568.97	55,763,946.28	(1,535,847.55)	54,228,098.73
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,531,292,275.60	1,572,072,134.55	1,301,404,116.26	(22,063,133.74)	1,279,340,982.52
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	43,991,008.24	-	-	-
20818	EPIC PREMIUM ACCOUNT	-	-	-	-	-
20901	LOTTERY-EDUCATION	1,068,708,130.42	853,561,887.69	697,556,168.55	(697,556,168.55)	-
20904	VLT EDUCATION	345,538,604.22	437,408,602.19	531,970,401.50	(531,970,401.50)	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,912,591.70	3,964,363.48	4,016,135.26	(782,729.16)	3,233,406.10
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	3,324,199.99	3,324,199.99	-	3,324,199.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	762,637.76	1,421,973.93	2,084,600.58	(2,084,600.58)	-
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,945,486.63	4,116,249.18	4,551,767.13	(295,935.81)	4,255,831.32
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	60,694,009.62	60,683,220.74	61,490,250.32	(14,567.59)	61,475,682.73
21082	NATURAL RESOURCES ACCOUNT	14,690,320.20	14,482,012.46	14,822,988.78	447,500.25	15,270,489.03
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	11,957.03	25.40	349.00	(349.00)	-
21202	HEALTH DEPT OIL SPILL	-	2,318.43	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	270,435.85	87,291.88	4,004.81	(4,004.81)	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	99,446,088.44	-	-	-	-
21451	OPERATING PERMIT PROGRAM	32,937,539.50	33,386,296.84	33,816,328.57	575,170.96	34,391,499.53
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	2,912,861.50	7,049,060.11	5,153,373.25	916,670.56	6,070,043.81
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	579,539.40	200,986.13	385,557.07	278,823.85	664,380.92
21912	RACING REGULATION ACCOUNT	3,294,263.41	3,312,997.34	4,016,974.07	300,954.06	4,317,928.13
21937	SU DORM INCOME REIMBURSE	119,644.65	375,947.73	140,504.21	(140,504.21)	0.00
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	657,890.69	548,128.76	521,748.19	(52,725.87)	469,022.32
21962	CLINICAL LAB FEE	11,548,187.44	9,293,724.14	9,718,277.89	(700,870.01)	9,017,407.88
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	728,613.54	728,613.54
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	24,304.44	32,074.58	25,497.18	(3,574.35)	21,922.83
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	10,602,800.05	11,320,507.81	12,681,293.47	(6,277,602.76)	6,403,690.71
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021
22039	FINANCIAL OVERSIGHT	827,618.02	275,005.07	549,858.41	362,258.26	912,116.67
22046	REGULATION INDIAN GAMING	95,993,465.56	96,973,231.07	97,869,203.31	147,526.86	98,016,730.17
22053	ROME SCHOOL FOR THE DEAF	5,586,537.02	6,114,821.97	7,318,915.51	(5,310,829.93)	2,008,085.58
22054	DSP-SEIZED ASSETS	808,753.32	659,132.92	585,246.75	(128,612.28)	456,634.47
22055	ADMINISTRATIVE ADJUDICATION	37,896,896.77	39,411,493.44	42,649,797.61	2,466,984.36	45,116,781.97
22056	FEDERAL SALARY SHARING	1,484,621.43	1,598,602.46	1,708,179.30	(1,674,986.57)	33,192.73
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	4,786,470.03	3,391,222.79	3,537,228.58	(603,922.47)	2,933,306.11
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	1,929,393.99	2,131,303.19	2,392,016.13	(243,191.59)	2,148,824.54
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	11,818,808.10	12,378,588.81	12,218,379.67	(869,963.44)	11,348,416.23
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	7,760.17	(7,760.17)	-
22151	DEFERRED COMPENSATION ADMIN	119,092.21	171,045.61	56,058.94	67,675.33	123,734.27
22156	RENT REVENUE OTHER - NYC	12,415,682.77	16,295,440.90	20,611,517.36	4,653,549.69	25,265,067.05
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	1,502,286.60	1,606,183.66	1,656,669.31	(382,039.58)	1,274,629.73
22654	S.U. NON-RESIDENT REV. OFFSET	20,671,321.96	20,673,610.47	20,675,784.98	1,722.05	20,677,507.03
22751	LAKE GEORGE PARK TRUST FUND	-	23,185.21	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	16,693,271.87	16,839,996.98	17,281,214.32	(289,758.32)	16,991,456.00
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	50,730,785.31	53,091,758.53	55,596,399.79	(29,039,717.94)	26,556,681.85
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	21,181,241.86	21,495,412.34	21,859,993.24	411,887.26	22,271,880.50
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	37,509.74	37,509.74	59,230.63	16,580.81	75,811.44
	TOTAL STATE SPECIAL REVENUE FUNDS	1,952,166,417.63	1,787,079,053.48	1,698,264,823.53	(1,267,058,898.65)	431,205,924.88
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	14,138,263.58	5,921,211.58	114,685,009.66	(102,101,792.24)	12,583,217.42
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	244,159,117.03	463,975,848.19	616,818,369.22	1,828,173,363.06	2,444,991,732.28
25200-25249	FEDERAL EDUCATION GRANTS FUND	14,673,179.58	29,762,688.71	52,262,948.69	(32,598,722.24)	19,664,226.45
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	476,592,572.12	471,249,600.16	493,344,470.56	(6,378,134.71)	486,966,335.85
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	342,775,368.18	294,284,894.79	559,474,133.62	(21,652,327.65)	537,821,805.97
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	112,446,385.15	111,552,071.94	113,174,287.06	(7,533,747.66)	105,640,539.40
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	164,587,973.83	246,996,095.93	263,720,313.36	(192,108,884.92)	71,611,428.44
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	482,839.00	462,563.50	480,214.00	23,056.00	503,270.00
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	4,109,419.63	12,922,420.90	8,298,815.53	(1,100,495.05)	7,198,320.48
	TOTAL FEDERAL FUNDS	1,382,719,050.76	1,645,881,328.36	2,231,012,494.36	1,464,722,314.59	3,695,734,808.95 (**)
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	858,561.11	909,948.94	930,931.15	58,228.98	989,160.13
50327	EMPIRE PLAZA GIFT SHOP	277,839.74	278,641.72	274,184.69	9,417.83	283,602.52
	TOTAL ENTERPRISE FUND	1,136,400.85	1,188,590.66	1,205,115.84	67,646.81	1,272,762.65
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,422,908.23	1,415,556.47	1,430,894.99	(414,322.08)	1,016,572.91
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	134,821.27	226,461.51	(226,461.51)	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	14,991.22	24,475.44	36,672.74	16,549.54	53,222.28
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,452,775.52	2,678,600.17	2,686,693.63	(438,988.15)	2,247,705.48
55008	CENTRALIZED SERVICES-PASNY	20,803,539.76	21,051,905.82	23,352,048.48	(23,352,048.48)	-
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	18,107,950.66	18,079,001.23	15,902,600.03	(7,428,884.38)	8,473,715.65
55011	CENTRALIZED SERVICES-INSURANCE	1,164,558.81	5,837,106.48	5,835,999.73	(195,886.27)	5,640,113.46
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	158,832.30	166,164.30	159,500.71	6,690.00	166,190.71
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2020	January 31, 2021	February 28, 2021	Change	March 31, 2021
55016	CENTRALIZED SERVICES-IMMICS	1,840,583.09	1,919,964.31	1,914,901.23	(532,675.01)	1,382,226.22
55017	DOWNSTATE WAREHOUSE	149,898.27	38,884.11	148,749.75	273,154.04	421,903.79
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	88,195,248.20	91,664,939.29	90,884,212.13	(16,946,205.45)	73,938,006.68
55021	NYS MEDIA CENTER	11,155,297.64	11,558,290.64	11,802,755.13	107,459.41	11,910,214.54
55022	BUSINESS SERVICES CENTER	24,238,440.67	26,230,837.21	28,417,248.08	3,232,989.43	31,650,237.51
55052	ARCHIVES RECORD MGMT I.S.	-	65,304.11	70,832.99	(70,832.99)	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	30,802.29	38,305.45	219,069.09	(219,069.09)	-
55058	CULTURAL RESOURCE SURVEY	2,725,647.37	2,963,159.31	3,203,884.65	278,539.59	3,482,424.24
55059	NEIGHBOR WORK PROJECT	11,585,502.98	11,588,001.33	11,930,124.19	(559,537.98)	11,370,586.21
55060	AUTOMATIC/PRINT CHARGBACKS	2,734,309.87	3,722,315.71	710,657.18	(710,657.18)	-
55061	OFF NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	52,824,404.62	50,058,692.95	51,819,133.86	39,760,323.40	91,579,457.26
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	225,548.29	249,787.03	276,765.63	(178,109.29)	98,656.34
55069	CENTRALIZED TECHNOLOGY SERVICES	103,800,648.53	76,564,331.50	84,201,124.13	9,408,985.05	93,610,109.18
55071	LABOR CONTACT CENTER ACCT	4,203,491.07	4,277,327.23	1,331,867.20	174,645.33	1,506,512.53
55072	HUMAN SERVICES CONTACT CNTR ACCT	3,170,869.49	4,122,584.84	5,797,025.97	(2,469,782.60)	3,327,243.37
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	4,035,844.07	2,668,281.45	3,383,833.21	(204,843.95)	3,178,989.26
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	11,038,001.16	11,268,754.69	11,495,238.52	(2,720,399.51)	8,774,839.01
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	44,661,267.15	45,329,606.22	48,873,335.02	(17,162,474.60)	31,710,860.42
55300	HEALTH INSURANCE INTERNAL SERVICE	2,988,283.21	3,797,831.95	4,815,234.27	1,429,761.21	6,244,995.48
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	5,003,507.81	5,076,503.25	6,800,405.01	73,478.92	6,873,883.93
55350	CORR INDUSTRIES INTERNAL SERVICE	50,777,391.54	50,743,022.72	51,897,788.46	(19,320,046.95)	32,577,741.51
	TOTAL INTERNAL SERVICE FUNDS	470,772,128.09	454,595,940.75	470,886,641.79	(38,388,649.55)	432,497,992.24
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,338,086,272.93	\$ 5,460,817,047.80	\$ 5,702,773,191.78	\$ 137,279,279.46	\$ 5,840,052,471.24

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021

APPENDIX G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31, 2021
OPENING CASH BALANCE	\$ 86,513,214	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004	\$ 90,519,037	\$ 33,132,009	\$ 34,311,372	\$ 65,037,383	\$ 75,763,969	\$ 58,223,075	\$ 86,513,214
RECEIPTS:													
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-	40,000,000	68,967,000	50,000,000	60,000,000	90,000,000	512,967,000
Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Receipts	-	-	-	204,000,000	-	-	-	40,000,000	68,967,000	50,000,000	60,000,000	90,000,000	512,967,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	-	9,481	533,024	-	415,671	516,927	83,421	-	1,251,346	3,445,028	2,036,730	8,291,628
Broadband Initiative	1,735,855	1,420,080	-	6,989,621	-	47,334	7,596,310	707,298	5,896,536	-	6,675,852	2,616,927	33,685,813
Downtown Revitalization	-	-	-	-	-	250,000	455,489	415,518	239,112	-	422,621	1,323,431	3,106,170
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	565,275	2,517,997	1,963,105	329,081	444,698	947,002	(454,974)	1,364,360	11,293,199
Health Care / Hospital Initiatives	2,586,638	3,634,367	1,781,021	298,010	2,275,903	643,795	468,438	105,191	736,003	-	81,650	150,503	12,761,518
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Improvements	-	-	5,540,794	1,292,017	2,270,353	144,374	4,447,962	792,375	6,319,850	469	599,236	251,697	21,659,128
Jacob Javits Center Expansion	-	-	-	-	55,700,000	-	22,620,551	28,198,264	-	32,443,444	28,176,402	15,966,328	183,104,989
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	5,811,363	-	-	-	-	632,000	10,443,363
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	562,372	-	830,000	2,063,090	1,237,295	503,423	701,627	1,328,610	6,658,789	14,161,755
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(6,035)	-	(10,425)	-	-	414,460	-	-	398,000
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	55,274	(14,282)	20,642	24,656	(4,552)	-	(103,393)	-	8,345
Thruway Stabilization Program	-	-	22,587,449	24,055,020	2,944,322	4,284,912	-	-	-	-	-	-	53,871,704
Transformative Economic Development Projects	10,440,876	79,325	4,746,161	282,274	-	1,478,166	5,814,301	4,625,918	11,485,752	70,474	21,844,909	2,186,747	63,054,902
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	900,000	-	5,619,275	2,301,620	12,620,169	3,444,591	15,524,953	18,313,039	86,917,176
Total Disbursements	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	38,820,637	38,240,989	39,273,414	77,540,894	51,500,551	502,757,690
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	38,820,637	38,240,989	39,273,414	77,540,894	51,500,551	502,757,690
CLOSING CASH BALANCE	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004	\$ 90,519,037	\$ 33,132,009	\$ 34,311,372	\$ 65,037,383	\$ 75,763,969	\$ 58,223,075	\$ 96,722,524	\$ 96,722,524

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK

APPENDIX H

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾

FISCAL YEAR 2020-2021

	MARCH 2021			12 MONTHS ENDED MARCH 31		
	Department of Health	Other State Agencies	March	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ 5,585,169.00	\$ 5,585,169.00	\$ -	\$ 86,119,077.00	\$ 86,119,077.00
State Share Medicaid	10,326,130.00	(9,260,214.95)	1,065,915.05	118,004,006.00	11,580,684.42	129,584,690.42
Medical Assistance (OPWDD)	-	8,356,111.00	8,356,111.00	-	35,638,108.97	35,638,108.97
Medical Assistance Administration	4,139,855.60	145,306,200.00	149,446,055.60	53,620,220.75	427,010,662.00	480,630,882.75
Population Health Improvement	4,776.17	-	4,776.17	2,139,109.71	-	2,139,109.71
Traumatic Brain Injury Services	1,283,991.71	-	1,283,991.71	11,772,276.42	-	11,772,276.42
Nursing Home Transition & Diversion	594,438.94	-	594,438.94	1,609,495.39	-	1,609,495.39
Reducing Maternal Mortality	1,116,292.76	-	1,116,292.76	1,832,944.94	-	1,832,944.94
New York Connects	-	12,731,143.66	12,731,143.66	-	26,228,536.25	26,228,536.25
Facilitated Enrollment	553,524.79	-	553,524.79	3,200,661.63	-	3,200,661.63
Emergency Medical Transportation	-	-	-	750,000.00	-	750,000.00
Managed Long-Term Care Ombudsman	1,038,895.67	-	1,038,895.67	5,690,117.43	-	5,690,117.43
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	1,600,000.00	-	1,600,000.00
General Hospitals Safety-Net Providers	-	-	-	35,239,490.00	-	35,239,490.00
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	2,211,614.01	-	2,211,614.01	10,983,329.36	-	10,983,329.36
Fluoridation Systems	-	-	-	750,709.02	-	750,709.02
Expanding Caregiver Support Services	3,543,140.59	-	3,543,140.59	26,322,315.99	-	26,322,315.99
Provide Affordable Housing	(1,542,994.05)	9,419,539.00	7,876,544.95	18,756,899.23	23,650,204.38	42,407,103.61
Health Homes Establishment	-	-	-	376,446.03	-	376,446.03
Community Provider Network	26,254,244.25	-	26,254,244.25	44,785,745.70	-	44,785,745.70
Inpatient Services	58,581,598.75	-	58,581,598.75	404,745,492.75	-	404,745,492.75
Patient Centered Medical Homes	-	-	-	-	-	-
Outpatient & Emergency Room Services	13,763,684.62	-	13,763,684.62	172,922,949.34	-	172,922,949.34
Clinic Services	3,972,048.72	-	3,972,048.72	163,015,233.24	-	163,015,233.24
Nursing Home Services	91,364,005.32	-	91,364,005.32	1,026,639,152.37	-	1,026,639,152.37
Other Long Term Care Services	(80,854,476.55)	-	(80,854,476.55)	5,996,418,831.46	-	5,996,418,831.46
Managed Care Services	326,477,047.96	-	326,477,047.96	4,533,351,919.98	-	4,533,351,919.98
Pharmacy Services	18,595,568.48	-	18,595,568.48	160,984,686.84	-	160,984,686.84
Transportation Services	14,747,844.89	-	14,747,844.89	116,130,204.80	-	116,130,204.80
Dental Services	471,785.27	-	471,785.27	3,311,015.40	-	3,311,015.40
Non-Institutional & Other	(566,657,537.54)	1,714,646.00	(564,942,891.54)	420,369,710.08	24,049,844.00	444,419,554.08
Medical Services State Facilities	170,489,594.11	-	170,489,594.11	1,380,959,007.06	-	1,380,959,007.06
CSEA Family Health Plus Buy In	987,455.68	-	987,455.68	2,425,387.64	-	2,425,387.64
DC37 & Teamster Local 858	2,291,746.38	-	2,291,746.38	2,291,746.38	-	2,291,746.38
Medical Assistance (HCRA)	254,000,000.00	-	254,000,000.00	3,716,000,000.00	-	3,716,000,000.00
Indigent Care	68,772,819.83	-	68,772,819.83	750,790,246.44	-	750,790,246.44
Provider Assessments	109,147,000.00	-	109,147,000.00	833,844,000.00	-	833,844,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	120,500,000.00	-	120,500,000.00
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	9,900,000.00	-	9,900,000.00
Home Health Rate Increase (HCRA)	-	-	-	44,300,000.00	-	44,300,000.00
Additional DSH Payments SUNY	-	-	-	169,029,951.20	-	169,029,951.20
TOTAL⁽²⁾	535,674,096.36	173,852,593.71	709,526,690.07	20,365,363,302.58	634,277,117.02	20,999,640,419.60
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(168,941,377.54)	-	(168,941,377.54)	(1,707,027,742.67)	-	(1,707,027,742.67)
TOTAL REPORTED MEDICAID	\$ 366,732,718.82	\$ 173,852,593.71	\$ 540,585,312.53	\$ 18,658,335,559.91	\$ 634,277,117.02	\$ 19,292,612,676.93

⁽¹⁾ General Fund and State Special Revenue Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs, and therefore amounts for any individual program may be restated by DOH.

⁽²⁾Source: Statewide Financial System

**STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS⁽¹⁾
FISCAL YEAR 2020-2021**

APPENDIX I

	MARCH 2021			12 MONTHS ENDED MARCH 31		
	Department of Health	Other State Agencies	March	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 14,176,961.54	\$ -	\$ 14,176,961.54	\$ 131,446,509.95	\$ -	\$ 131,446,509.95
Medical Assistance Administration	287,661.12	124,002,892.00	124,290,553.12	82,111,113.74	387,963,947.00	470,075,060.74
Partnership Plan	-	-	-	746,230,284.57	-	746,230,284.57
Inpatient Services	413,381,894.95	-	413,381,894.95	4,329,792,566.64	-	4,329,792,566.64
Outpatient & Emergency Room Services	43,635,471.13	-	43,635,471.13	562,576,065.54	-	562,576,065.54
Clinic Services	84,420,795.19	-	84,420,795.19	716,096,894.17	-	716,096,894.17
Nursing Home Services	207,305,470.64	-	207,305,470.64	1,609,319,238.49	-	1,609,319,238.49
Other Long Term Care Services	1,755,858,133.65	-	1,755,858,133.65	14,732,844,742.64	-	14,732,844,742.64
Managed Care Services	1,835,513,834.19	-	1,835,513,834.19	19,695,735,361.94	-	19,695,735,361.94
Pharmacy Services	42,712,071.75	-	42,712,071.75	413,819,570.83	-	413,819,570.83
Transportation Services	52,226,649.98	-	52,226,649.98	485,113,212.89	-	485,113,212.89
Dental Services	1,259,632.52	-	1,259,632.52	10,682,168.44	-	10,682,168.44
Non-Institutional & Other	(2,497,073.90)	8,836,903.00	6,339,829.10	154,788,199.89	32,940,508.00	187,728,707.89
Medical Services State Facilities	50,000,000.01	-	50,000,000.01	1,123,973,623.09	-	1,123,973,623.09
Additional DSH Payments SUNY	-	-	-	221,268,617.80	-	221,268,617.80
TOTAL⁽¹⁾	4,498,281,502.77	132,839,795.00	4,631,121,297.77	45,015,798,170.62	420,904,455.00	45,436,702,625.62
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(124,608,763.24)	-	(124,608,763.24)	(1,128,467,196.31)	-	(1,128,467,196.31)
TOTAL REPORTED MEDICAID⁽¹⁾⁽⁴⁾	\$ 4,373,672,739.53	\$ 132,839,795.00	\$ 4,506,512,534.53	\$ 43,887,330,974.31	\$ 420,904,455.00	\$ 44,308,235,429.31

⁽¹⁾ Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

⁽⁴⁾ Source: Statewide Financial System

⁽⁴⁾ Reported Medicaid spending does not include the Basic Health Plan.