



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

OCTOBER 2020

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
October 31, 2020

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STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax (3)	\$ 1,265.7	\$ 14,695.1	\$ 0.1	\$ 0.2	\$ 1,265.8	\$ 14,695.3	\$ -	\$ -	\$ 2,531.6	\$ 29,390.6	\$ 2,578.4	\$ 30,559.8	\$ (1,169.2)	-3.8%
Consumption/Use Taxes	566.6	4,044.6	144.0	1,023.2	549.9	3,660.7	42.0	299.2	1,302.5	9,027.7	1,405.9	10,571.2	(1,543.5)	-14.6%
Business Taxes	101.5	3,032.2	86.1	914.8	-	-	50.6	316.5	238.2	4,263.5	207.4	4,446.0	(182.5)	-4.1%
Other Taxes	136.8	708.9	-	-	66.0	389.6	12.0	59.6	214.8	1,158.1	250.0	1,266.4	(108.3)	-8.6%
Miscellaneous Receipts	190.2	5,870.4	1,642.4	9,687.5	20.9	253.0	978.4	3,629.5	2,831.9	19,440.4	3,594.3	16,661.7	2,778.7	16.7%
Federal Receipts	-	0.1	7,441.5	49,228.5	-	24.4	167.7	1,097.0	7,609.2	50,350.0	5,708.8	37,735.3	12,614.7	33.4%
Total Receipts	2,260.8	28,351.3	9,314.1	60,854.2	1,902.6	19,023.0	1,250.7	5,401.8	14,728.2	113,630.3	13,744.8	101,240.4	12,389.9	12.2%
DISBURSEMENTS:														
Local Assistance Grants:														
Education	1,051.2	11,938.7	279.3	4,645.3	-	-	20.3	40.6	1,350.8	16,624.6	1,451.3	18,020.1	(1,395.5)	-7.7%
Environment and Recreation	-	-	0.8	2.0	-	-	6.9	77.0	7.7	79.0	15.6	125.6	(46.6)	-37.1%
General Government	62.4	628.3	169.6	4,120.7	-	-	90.4	296.9	322.4	5,045.9	163.7	1,401.0	3,644.9	260.2%
Public Health:														
Medicaid	999.5	9,246.5	3,286.6	29,287.0	-	-	-	-	4,286.1	38,533.5	5,679.8	38,574.1	(40.6)	-0.1%
Other Public Health	193.6	1,298.5	570.7	4,237.5	-	-	50.9	309.5	815.2	5,910.8	803.0	5,910.8	(65.3)	-1.1%
Public Safety	7.3	36.6	627.1	1,400.9	-	-	0.3	6.8	634.7	1,444.3	169.4	866.3	578.0	66.7%
Public Welfare	70.3	1,427.9	384.1	2,204.4	-	-	24.6	367.3	479.0	3,999.6	1,491.0	4,018.1	(18.5)	-0.5%
Support and Regulate Business	9.0	39.6	1.7	24.1	-	-	53.5	233.1	64.2	296.8	60.8	674.2	(377.4)	-56.0%
Transportation	2.9	42.2	258.5	1,697.4	-	-	483.6	1,212.1	745.0	2,951.7	364.1	3,113.3	(161.6)	-5.2%
Total Local Assistance Grants	2,396.2	24,658.3	5,578.4	47,619.3	-	-	730.5	2,543.3	8,705.1	74,820.9	10,198.7	72,703.5	2,117.4	2.9%
Departmental Operations:														
Personal Service	598.0	4,985.4	521.2	3,815.3	-	-	-	-	1,119.2	8,800.7	1,440.2	8,984.7	(184.0)	-2.0%
Non-Personal Service	183.6	833.4	437.0	3,202.8	-	29.6	-	-	620.6	4,065.8	647.4	3,904.4	161.4	4.1%
General State Charges	387.4	4,773.3	124.3	792.1	-	-	-	-	511.7	5,565.4	665.9	6,009.4	(444.0)	-7.4%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	39.8	1,318.8	-	-	39.8	1,318.8	48.5	1,025.1	293.7	28.7%
Capital Projects (1)	-	-	-	2.3	-	-	533.6	4,144.7	533.6	4,147.0	710.7	4,143.0	4.0	0.1%
Total Disbursements	3,565.2	35,250.4	6,660.9	55,431.8	39.8	1,348.4	1,264.1	6,688.0	11,530.0	98,718.6	13,711.4	96,770.1	1,948.5	2.0%
Excess (Deficiency) of Receipts over Disbursements	(1,304.4)	(6,899.1)	2,653.2	5,422.4	1,862.8	17,674.6	(13.4)	(1,286.2)	3,198.2	14,911.7	33.4	4,470.3	10,441.4	233.6%
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	1,212.9	15,857.6	259.2	1,630.8	319.1	1,376.6	91.3	1,184.5	1,882.5	20,049.5	1,641.5	26,288.1	(6,238.6)	-23.7%
Transfers to Other Funds (2)	(419.5)	(2,971.9)	(238.9)	(1,181.5)	(1,218.9)	(15,814.3)	(12.1)	(286.5)	(1,889.4)	(20,254.2)	(1,645.1)	(26,393.4)	(6,139.2)	-23.3%
Total Other Financing Sources (Uses)	793.4	12,885.7	20.3	449.3	(899.8)	(14,437.7)	79.2	898.0	(6.9)	(204.7)	(3.6)	(105.3)	(99.4)	-94.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(511.0)	5,986.6	2,673.5	5,871.7	963.0	3,236.9	65.8	(388.2)	3,191.3	14,707.0	29.8	4,365.0	10,342.0	236.9%
Beginning Fund Balances (Deficits)	15,441.8	8,944.2	9,510.3	6,312.1	2,337.3	63.4	(1,488.9)	(1,034.9)	25,800.5	14,284.8	14,310.2	9,975.0	4,309.8	43.2%
Ending Fund Balances (Deficits)	\$ 14,930.8	\$ 14,930.8	\$ 12,183.8	\$ 12,183.8	\$ 3,300.3	\$ 3,300.3	\$ (1,423.1)	\$ (1,423.1)	\$ 28,991.8	\$ 28,991.8	\$ 14,340.0	\$ 14,340.0	\$ 14,651.8	102.2%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax (3)	\$ 1,265.7	\$ 14,695.1	\$ 0.1	\$ 0.2	\$ 1,265.8	\$ 14,695.3	\$ 2,531.6	\$ 29,390.6	\$ 2,578.4	\$ 30,559.8	\$ (1,169.2)	-3.8%
Consumption/Use Taxes	566.6	4,044.6	144.0	1,023.2	549.9	3,660.7	1,260.5	8,728.5	1,361.6	10,188.8	(1,460.3)	-14.3%
Business Taxes	101.5	3,032.2	86.1	914.8	-	-	187.6	3,947.0	147.1	4,037.7	(90.7)	-2.2%
Other Taxes	136.8	708.9	-	-	66.0	389.6	202.8	1,098.5	238.0	1,206.8	(108.3)	-9.0%
Miscellaneous Receipts	190.2	5,870.4	1,632.5	9,563.1	20.9	253.0	1,843.6	15,686.5	1,878.8	13,163.2	2,523.3	19.2%
Federal Receipts	-	0.1	12.9	40.8	-	24.4	12.9	65.3	0.1	54.8	10.5	19.2%
Total Receipts	2,260.8	28,351.3	1,875.6	11,542.1	1,902.6	19,023.0	6,039.0	58,916.4	6,204.0	59,211.1	(294.7)	-0.5%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	1,051.2	11,938.7	149.0	2,771.6	-	-	1,200.2	14,710.3	1,230.2	15,813.4	(1,103.1)	-7.0%
Environment and Recreation	-	-	0.7	1.6	-	-	0.7	1.6	0.7	2.8	(1.2)	-42.9%
General Government	62.4	628.3	15.3	86.6	-	-	77.7	714.9	33.2	823.0	(108.1)	-13.1%
Public Health:												
Medicaid	999.5	9,246.5	417.0	3,218.8	-	-	1,416.5	12,465.3	2,120.2	15,223.7	(2,758.4)	-18.1%
Other Public Health	193.6	1,298.5	55.4	466.2	-	-	249.0	1,764.7	277.2	1,886.5	(121.8)	-6.5%
Public Safety	7.3	36.6	10.0	98.1	-	-	17.3	134.7	28.5	218.4	(83.7)	-38.3%
Public Welfare	70.3	1,427.9	-	1.0	-	-	70.3	1,428.9	424.8	1,259.8	169.1	13.4%
Support and Regulate Business	9.0	39.6	0.7	18.1	-	-	9.7	57.7	22.4	114.9	(57.2)	-49.8%
Transportation	2.9	42.2	253.5	1,663.4	-	-	256.4	1,705.6	279.8	2,043.9	(338.3)	-16.6%
Total Local Assistance Grants	2,396.2	24,658.3	901.6	8,325.4	-	-	3,297.8	32,983.7	4,417.0	37,386.4	(4,402.7)	-11.8%
Departmental Operations:												
Personal Service	598.0	4,985.4	389.4	3,084.3	-	-	987.4	8,069.7	1,364.4	8,595.8	(526.1)	-6.1%
Non-Personal Service	183.6	833.4	248.4	1,426.0	-	29.6	432.0	2,289.0	514.3	3,155.0	(866.0)	-27.4%
General State Charges	387.4	4,773.3	46.6	450.2	-	-	434.0	5,223.5	639.9	5,819.3	(595.8)	-10.2%
Debt Service, Including Payments on												
Financing Agreements	-	-	-	-	39.8	1,318.8	39.8	1,318.8	48.5	1,025.1	293.7	28.7%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	3,565.2	35,250.4	1,586.0	13,285.9	39.8	1,348.4	5,191.0	49,884.7	6,984.1	55,981.6	(6,096.9)	-10.9%
Excess (Deficiency) of Receipts over Disbursements	(1,304.4)	(6,899.1)	289.6	(1,743.8)	1,862.8	17,674.6	848.0	9,031.7	(780.1)	3,229.5	5,802.2	179.7%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds (2)	1,212.9	15,857.6	505.9	2,125.2	319.1	1,376.6	2,037.9	19,359.4	2,373.2	24,341.6	(4,982.2)	-20.5%
Transfers to Other Funds (2)	(419.5)	(2,971.9)	(5.3)	(188.0)	(1,218.9)	(15,814.3)	(1,643.7)	(18,974.2)	(1,456.6)	(25,162.3)	(6,188.1)	-24.6%
Total Other Financing Sources (Uses)	793.4	12,885.7	500.6	1,937.2	(899.8)	(14,437.7)	394.2	385.2	916.6	(820.7)	1,205.9	146.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(511.0)	5,986.6	790.2	193.4	963.0	3,236.9	1,242.2	9,416.9	136.5	2,408.8	7,008.1	290.9%
Beginning Fund Balances (Deficits)	15,441.8	8,944.2	4,803.9	5,400.7	2,337.3	63.4	22,583.0	14,408.3	14,633.6	12,361.3	2,047.0	16.6%
Ending Fund Balances (Deficits)	\$ 14,930.8	\$ 14,930.8	\$ 5,594.1	\$ 5,594.1	\$ 3,300.3	\$ 3,300.3	\$ 23,825.2	\$ 23,825.2	\$ 14,770.1	\$ 14,770.1	\$ 9,055.1	61.3%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$233.0 million
Urban Development Corporation (Youth Facilities)	17.2
Housing Finance Agency (HFA)	448.4
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	394.8
Dormitory Authority and State University Income Fund	308.3
Federal Capital Projects	539.6
State bond and note proceeds	187.6

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$907.4 million
General Debt Service Fund	166.7
Banking Services Account	18.7
Building Administration Account	8.0
Business Services Center	26.9
Centralized Tech Services	11.5
Court Facilities Incentive Aid Fund	62.6
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	204.0
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation - (Non MTA)	2.5
Environmental Protection Fund	14.0
Health Insurance Revolving Fund	12.0
Housing Debt Service Fund	2.9
Mass Transportation Operating Assistance Fund	24.4
Mass Transportation Financial Assistance	244.3
New York Central Business District Trust Fund	87.5
New York City County Clerks' Operations Offset	2.8
Recruitment Incentive Account	2.1
State Fair Receipts	3.0
State University Income Fund	865.0

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$4.8m), and the State University Income Fund (\$238.5m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of October 31, 2020 - pursuant to a certification of the Budget Director - the reserve amount is (\$48.7m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$923.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$4.9m), Medicaid Management Information System Escrow Fund (\$122.3m), SUNY Capital Projects Fund (\$2.0m), and All Other Capital Projects (\$24.1m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Department of Health Services Fund	\$51.2 million
Federal Operating Grants Fund	5.3
Federal USDA/Food and Nutrition Services Fund	7.7
SUNY Income Fund	22.4
Unemployment Insurance Administration Fund	2.7
Unemployment Insurance Interest & Penalty Account	11.3

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$11,676.3 million
Local Government Assistance Tax Fund	1,830.3
Sales Tax Revenue Bond Tax Fund	1,120.3
Clean Water/Clean Air Fund	360.4
Mental Health Services Fund	755.4

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$71.6m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$12.4m), the General Debt Service Fund - Lease Purchase (\$72.2m), and the Revenue Bond Tax Fund (\$201.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$0.2m) as of October 31, 2020.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 4.4	\$ 41.4	\$ 53.0	\$ 221.7	\$ 57.4	\$ 263.1	\$ 53.3	\$ 362.9	\$ (99.8)	-27.5%
Federal Receipts	2,489.5	36,363.4	-	-	2,489.5	36,363.4	1.0	6.8	36,356.6	534,655.9%
Unemployment Taxes	613.4	12,539.3	-	-	613.4	12,539.3	147.0	1,105.5	11,433.8	1,034.3%
Total Receipts	3,107.3	48,944.1	53.0	221.7	3,160.3	49,165.8	201.3	1,475.2	47,690.6	3,232.8%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	1.1	8.6	10.2	83.6	11.3	92.2	22.2	87.0	5.2	6.0%
Non-Personal Service	4.1	31.9	61.3	270.4	65.4	302.3	49.6	270.5	31.8	11.8%
General State Charges	0.1	1.0	4.8	38.6	4.9	39.6	1.6	32.0	7.6	23.8%
Unemployment Benefits	3,102.8	48,894.0	-	-	3,102.8	48,894.0	147.8	1,113.0	47,781.0	4,293.0%
Total Disbursements	3,108.1	48,935.5	76.3	392.6	3,184.4	49,328.1	221.2	1,502.5	47,825.6	3,183.1%
Excess (Deficiency) of Receipts Over Disbursements	(0.8)	8.6	(23.3)	(170.9)	(24.1)	(162.3)	(19.9)	(27.3)	(135.0)	-494.5%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	3.0	6.9	79.6	6.9	82.6	3.6	49.0	33.6	68.6%
Transfers to Other Funds	-	-	-	(0.3)	-	(0.3)	-	(0.9)	(0.6)	-66.7%
Total Other Financing Sources (Uses)	-	3.0	6.9	79.3	6.9	82.3	3.6	48.1	34.2	71.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.8)	11.6	(16.4)	(91.6)	(17.2)	(80.0)	(16.3)	20.8	(100.8)	-484.6%
Beginning Fund Balances (Deficits)	42.1	29.7	(372.7)	(297.5)	(330.6)	(267.8)	(239.0)	(276.1)	8.3	3.0%
Ending Fund Balances (Deficits)	\$ 41.3	\$ 41.3	\$ (389.1)	\$ (389.1)	\$ (347.8)	\$ (347.8)	\$ (255.3)	\$ (255.3)	\$ (92.5)	-36.2%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 11.0	\$ 73.6	\$ 0.2	\$ 0.2	\$ 11.2	\$ 73.8	\$ 12.8	\$ 72.1	\$ 1.7	2.4%
Total Receipts	11.0	73.6	0.2	0.2	11.2	73.8	12.8	72.1	1.7	2.4%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.6	44.3	0.1	0.2	5.7	44.5	7.7	41.6	2.9	7.0%
Non-Personal Service	0.7	5.3	-	-	0.7	5.3	1.0	8.3	(3.0)	-36.1%
General State Charges	3.4	25.8	-	0.1	3.4	25.9	0.2	21.7	4.2	19.4%
Total Disbursements	9.7	75.4	0.1	0.3	9.8	75.7	8.9	71.6	4.1	5.7%
Excess (Deficiency) of Receipts Over Disbursements	1.3	(1.8)	0.1	(0.1)	1.4	(1.9)	3.9	0.5	(2.4)	-480.0%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.3	(1.8)	0.1	(0.1)	1.4	(1.9)	3.9	0.5	(2.4)	-480.0%
Beginning Fund Balances (Deficits)	(4.2)	(1.1)	14.1	14.3	9.9	13.2	6.8	10.2	3.0	29.4%
Ending Fund Balances (Deficits)	\$ (2.9)	\$ (2.9)	\$ 14.2	\$ 14.2	\$ 11.3	\$ 11.3	\$ 10.7	\$ 10.7	\$ 0.6	5.6%

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 28,003.0	\$ 29,745.0	\$ 29,390.6	\$ 1,387.6	\$ (354.4)
Consumption/Use	8,851.0	9,082.0	9,027.7	176.7	(54.3)
Business	4,397.0	4,305.0	4,263.5	(133.5)	(41.5)
Other	1,151.0	1,140.0	1,158.1	7.1	18.1
Miscellaneous Receipts	19,378.0	19,796.0	19,440.4	62.4	(355.6)
Federal Receipts	41,478.0	48,472.0	50,350.0	8,872.0	1,878.0
Total Receipts	103,258.0	112,540.0	113,630.3	10,372.3	1,090.3
DISBURSEMENTS:					
Local Assistance Grants	73,154.0	75,648.0	74,820.9	1,666.9	(827.1)
Departmental Operations	12,560.0	12,887.0	12,866.5	306.5	(20.5)
General State Charges	5,722.0	5,594.0	5,565.4	(156.6)	(28.6)
Debt Service	1,335.0	1,319.0	1,318.8	(16.2)	(0.2)
Capital Projects	5,712.0	4,221.0	4,147.0	(1,565.0)	(74.0)
Total Disbursements	98,483.0	99,669.0	98,718.6	235.6	(950.4)
Excess (Deficiency) of Receipts over Disbursements	4,775.0	12,871.0	14,911.7	10,136.7	2,040.7
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	20,971.0	21,177.0	20,049.5	(921.5)	(1,127.5)
Transfers to Other Funds	(21,059.0)	(21,381.0)	(20,254.2)	(804.8)	(1,126.8)
Total Other Financing Sources (Uses)	(88.0)	(204.0)	(204.7)	(116.7)	(0.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,687.0	12,667.0	14,707.0	10,020.0	2,040.0
Fund Balances (Deficits) at April 1	14,284.0	14,283.0	14,284.8	0.8	1.8
Fund Balances (Deficits) at October 31, 2020	\$ 18,971.0	\$ 26,950.0	\$ 28,991.8	\$ 10,020.8	\$ 2,041.8

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 28,003.0	\$ 29,745.0	\$ 29,390.6	\$ 1,387.6	\$ (354.4)
Consumption/Use	8,553.0	8,776.0	8,728.5	175.5	(47.5)
Business	4,088.0	3,979.0	3,947.0	(141.0)	(32.0)
Other	1,091.0	1,081.0	1,098.5	7.5	17.5
Miscellaneous Receipts	15,045.0	16,056.0	15,686.5	641.5	(369.5)
Federal Receipts	36.0	61.0	65.3	29.3	4.3
Total Receipts	56,816.0	59,698.0	58,916.4	2,100.4	(781.6)
DISBURSEMENTS:					
Local Assistance Grants	35,096.0	33,105.0	32,983.7	(2,112.3)	(121.3)
Departmental Operations	11,307.0	10,329.0	10,358.7	(948.3)	29.7
General State Charges	5,517.0	5,245.0	5,223.5	(293.5)	(21.5)
Debt Service	1,335.0	1,319.0	1,318.8	(16.2)	(0.2)
Capital Projects	-	-	-	-	-
Total Disbursements	53,255.0	49,998.0	49,884.7	(3,370.3)	(113.3)
Excess (Deficiency) of Receipts over Disbursements	3,561.0	9,700.0	9,031.7	5,470.7	(668.3)
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	18,900.0	20,134.0	19,359.4 (****)	459.4	(774.6)
Transfers to Other Funds	(19,710.0)	(19,860.0)	(18,974.2) (****)	(735.8)	(885.8)
Total Other Financing Sources (Uses)	(810.0)	274.0	385.2	1,195.2	111.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,751.0	9,974.0	9,416.9	6,665.9	(557.1)
Fund Balances (Deficits) at April 1	14,408.0	14,407.0	14,408.3	0.3	1.3
Fund Balances (Deficits) at October 31, 2020	\$ 17,159.0	\$ 24,381.0	\$ 23,825.2	\$ 6,666.2	\$ (555.8)

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 14,001.0	\$ 14,872.0	\$ 14,695.1	\$ 694.1	\$ (176.9)
Consumption/Use	3,965.0	4,086.0	4,044.6	79.6	(41.4)
Business	3,167.0	3,078.0	3,032.2	(134.8)	(45.8)
Other	692.0	674.0	708.9	16.9	34.9
Miscellaneous Receipts	5,348.0	5,841.0	5,870.4	522.4	29.4
Federal Receipts	-	-	0.1	0.1	0.1
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	11,686.0	12,454.0	11,676.3	(9.7)	(777.7)
Sales Tax in excess of LGAC / STRBF Debt Service	2,845.0	2,955.0	2,950.6	105.6	(4.4)
Real Estate Taxes in excess of CW/CA Debt Service	371.0	378.0	360.4	(10.6)	(17.6)
All Other	792.0	1,058.0	870.3	78.3	(187.7)
Total Receipts and Other Financing Sources	42,867.0	45,396.0	44,208.9	1,341.9	(1,187.1)
DISBURSEMENTS:					
Local Assistance Grants	26,587.0	24,784.0	24,658.3	(1,928.7)	(125.7)
Departmental Operations	6,817.0	5,805.0	5,818.8	(998.2)	13.8
General State Charges	4,956.0	4,779.0	4,773.3	(182.7)	(5.7)
Transfers To:					
Debt Service	153.0	165.0	166.7	13.7	1.7
Capital Projects	2,018.0	1,014.0	1,158.4	(859.6)	144.4
State Share Medicaid	-	-	243.3	243.3	243.3
SUNY Operations	1,116.0	909.0	865.0	(251.0)	(44.0)
Other Purposes	651.0	783.0	538.5	(112.5)	(244.5)
Total Disbursements and Other Financing Uses	42,298.0	38,239.0	38,222.3	(4,075.7)	(16.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	569.0	7,157.0	5,986.6	5,417.6	(1,170.4)
Fund Balances (Deficits) at April 1	8,944.0	8,944.0	8,944.2	0.2	0.2
Fund Balances (Deficits) at October 31, 2020	\$ 9,513.0	\$ 16,101.0	\$ 14,930.8	\$ 5,417.8	\$ (1,170.2)

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ 1.0	\$ -	\$ 0.2	\$ -	\$ 0.2	\$ (0.8)	\$ 0.2
Consumption/Use	1,017.0	1,022.0	1,023.2	-	1,023.2	6.2	1.2
Business	921.0	901.0	914.8	-	914.8	(6.2)	13.8
Miscellaneous Receipts	9,584.0	10,083.0	9,687.5	-	9,687.5	103.5	(395.5)
Federal Receipts	40,246.0	47,350.0	49,228.5	-	49,228.5	8,982.5	1,878.5
Transfers from Other Funds (***)	2,035.0	2,003.0	2,125.2	(494.4)	1,630.8	(404.2)	(372.2)
Total Receipts and Other Financing Sources	53,804.0	61,359.0	62,979.4	(494.4)	62,485.0	8,681.0	1,126.0
DISBURSEMENTS:							
Local Assistance Grants	43,688.0	48,311.0	47,619.3	-	47,619.3	3,931.3	(691.7)
Departmental Operations	5,724.0	7,052.0	7,018.1	-	7,018.1	1,294.1	(33.9)
General State Charges	766.0	815.0	792.1	-	792.1	26.1	(22.9)
Capital Projects	-	2.0	2.3	-	2.3	2.3	0.3
Transfers to Other Funds (***)	1,164.0	1,431.0	1,675.9	(494.4)	1,181.5	17.5	(249.5)
Total Disbursements and Other Financing Uses	51,342.0	57,611.0	57,107.7	(494.4)	56,613.3	5,271.3	(997.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,462.0	3,748.0	5,871.7	-	5,871.7	3,409.7	2,123.7
Fund Balances (Deficits) at April 1	6,312.0	6,311.0	6,312.1	-	6,312.1	0.1	1.1
Fund Balances (Deficits) at October 31, 2020	\$ 8,774.0	\$ 10,059.0	\$ 12,183.8	\$ -	\$ 12,183.8	\$ 3,409.8	\$ 2,124.8

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 1.0	\$ -	\$ 0.2	\$ (0.8)	\$ 0.2	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,017.0	1,022.0	1,023.2	6.2	1.2	-	-	-	-	-
Business	921.0	901.0	914.8	(6.2)	13.8	-	-	-	-	-
Miscellaneous Receipts	9,476.0	9,968.0	9,563.1	87.1	(404.9)	108.0	115.0	124.4	16.4	9.4
Federal Receipts	-	37.0	40.8	40.8	3.8	40,246.0	47,313.0	49,187.7	8,941.7	1,874.7
Transfers from Other Funds	2,035.0	2,003.0	2,125.2	90.2	122.2	-	-	-	-	-
Total Receipts and Other Financing Sources	13,450.0	13,931.0	13,667.3	217.3	(263.7)	40,354.0	47,428.0	49,312.1	8,958.1	1,884.1
DISBURSEMENTS:										
Local Assistance Grants	8,509.0	8,321.0	8,325.4	(183.6)	4.4	35,179.0	39,990.0	39,293.9	4,114.9	(696.1)
Departmental Operations	4,471.0	4,494.0	4,510.3	39.3	16.3	1,253.0	2,558.0	2,507.8	1,254.8	(50.2)
General State Charges	561.0	466.0	450.2	(110.8)	(15.8)	205.0	349.0	341.9	136.9	(7.1)
Capital Projects	-	-	-	-	-	-	2.0	2.3	2.3	0.3
Transfers to Other Funds	111.0	195.0	188.0	77.0	(7.0)	1,053.0	1,236.0	1,487.9	434.9	251.9
Total Disbursements and Other Financing Uses	13,652.0	13,476.0	13,473.9	(178.1)	(2.1)	37,690.0	44,135.0	43,633.8	5,943.8	(501.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(202.0)	455.0	193.4	395.4	(261.6)	2,664.0	3,293.0	5,678.3	3,014.3	2,385.3
Fund Balances (Deficits) at April 1	5,401.0	5,400.0	5,400.7	(0.3)	0.7	911.0	911.0	911.4	0.4	0.4
Fund Balances (Deficits) at October 31, 2020	\$ 5,199.0	\$ 5,855.0	\$ 5,594.1	\$ 395.1	\$ (260.9)	\$ 3,575.0	\$ 4,204.0	\$ 6,589.7	\$ 3,014.7	\$ 2,385.7

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
 (**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 14,001.0	\$ 14,873.0	\$ 14,695.3	\$ 694.3	\$ (177.7)
Consumption/Use	3,571.0	3,668.0	3,660.7	89.7	(7.3)
Other	399.0	407.0	389.6	(9.4)	(17.4)
Miscellaneous Receipts	221.0	247.0	253.0	32.0	6.0
Federal Receipts	36.0	24.0	24.4	(11.6)	0.4
Transfers from Other Funds	1,171.0	1,286.0	1,376.6	205.6	90.6
Total Receipts and Other Financing Sources	19,399.0	20,505.0	20,399.6	1,000.6	(105.4)
DISBURSEMENTS:					
Departmental Operations	19.0	30.0	29.6	10.6	(0.4)
Debt Service	1,335.0	1,319.0	1,318.8	(16.2)	(0.2)
Transfers to Other Funds	15,661.0	16,794.0	15,814.3	153.3	(979.7)
Total Disbursements and Other Financing Uses	17,015.0	18,143.0	17,162.7	147.7	(980.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,384.0	2,362.0	3,236.9	852.9	874.9
Fund Balances (Deficits) at April 1	63.0	63.0	63.4	0.4	0.4
Fund Balances (Deficits) at October 31, 2020	\$ 2,447.0	\$ 2,425.0	\$ 3,300.3	\$ 853.3	\$ 875.3

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS							Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total				
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 298.0	\$ 306.0	\$ 299.2	\$ -	\$ 299.2	\$ 1.2	\$ (6.8)	
Business	309.0	326.0	316.5	-	316.5	7.5	(9.5)	
Other	60.0	59.0	59.6	-	59.6	(0.4)	0.6	
Miscellaneous Receipts	4,225.0	3,625.0	3,629.5	-	3,629.5	(595.5)	4.5	
Federal Receipts	1,196.0	1,098.0	1,097.0	-	1,097.0	(99.0)	(1.0)	
Bond and Note Proceeds, net	-	-	-	-	-	-	-	
Transfers from Other Funds	2,071.0	1,043.0	1,184.5	-	1,184.5	(886.5)	141.5	
Total Receipts and Other Financing Sources	8,159.0	6,457.0	6,586.3	-	6,586.3	(1,572.7)	129.3	
DISBURSEMENTS:								
Local Assistance Grants	2,879.0	2,553.0	2,543.3	-	2,543.3	(335.7)	(9.7)	
Capital Projects	5,712.0	4,219.0	4,144.7	-	4,144.7	(1,567.3)	(74.3)	
Transfers to Other Funds	296.0	285.0	286.5	-	286.5	(9.5)	1.5	
Total Disbursements and Other Financing Uses	8,887.0	7,057.0	6,974.5	-	6,974.5	(1,912.5)	(82.5)	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(728.0)	(600.0)	(388.2)	-	(388.2)	339.8	211.8	
Fund Balances (Deficits) at April 1	(1,035.0)	(1,035.0)	(1,034.9)	-	(1,034.9)	0.1	0.1	
Fund Balances (Deficits) at October 31, 2020	\$ (1,763.0)	\$ (1,635.0)	\$ (1,423.1)	\$ -	\$ (1,423.1)	\$ 339.9	\$ 211.9	

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2020
 (amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 298.0	\$ 306.0	\$ 299.2	\$ 1.2	\$ (6.8)	\$ -	\$ -	\$ -	\$ -	\$ -
Business	309.0	326.0	316.5	7.5	(9.5)	-	-	-	-	-
Other	60.0	59.0	59.6	(0.4)	0.6	-	-	-	-	-
Miscellaneous Receipts	4,225.0	3,624.0	3,628.9	(596.1)	4.9	-	1.0	0.6	0.6	(0.4)
Federal Receipts	2.0	2.0	2.1	0.1	0.1	1,194.0	1,096.0	1,094.9	(99.1)	(1.1)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	2,240.0	1,043.0	1,184.5	(1,055.5)	141.5	(169.0)	-	-	169.0	-
Total Receipts and Other Financing Sources	7,134.0	5,360.0	5,490.8	(1,643.2)	130.8	1,025.0	1,097.0	1,095.5	70.5	(1.5)
DISBURSEMENTS:										
Local Assistance Grants	2,491.0	2,252.0	2,251.3	(239.7)	(0.7)	388.0	301.0	292.0	(96.0)	(9.0)
Capital Projects	4,979.0	3,421.0	3,364.3	(1,614.7)	(56.7)	733.0	798.0	780.4	47.4	(17.6)
Transfers to Other Funds	297.0	285.0	286.5	(10.5)	1.5	(1.0)	-	-	1.0	-
Total Disbursements and Other Financing Uses	7,767.0	5,958.0	5,902.1	(1,864.9)	(55.9)	1,120.0	1,099.0	1,072.4	(47.6)	(26.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(633.0)	(598.0)	(411.3)	221.7	186.7	(95.0)	(2.0)	23.1	118.1	25.1
Fund Balances (Deficits) at April 1	(471.0)	(471.0)	(472.2)	(1.2)	(1.2)	(564.0)	(564.0)	(562.7)	1.3	1.3
Fund Balances (Deficits) at October 31, 2020	\$ (1,104.0)	\$ (1,069.0)	\$ (883.5)	\$ 220.5	\$ 185.5	\$ (659.0)	\$ (566.0)	\$ (539.6)	\$ 119.4	\$ 26.4

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMPARATIVE SCHEDULE OF TAX RECEIPTS
 (amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2020	7 MOS. ENDED OCT. 31, 2020	MONTH OF OCT. 2019	7 MOS. ENDED OCT. 31, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 2,919.5	\$ 21,555.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,919.5	\$ 21,555.5	\$ 3,270.6	\$ 21,800.7	\$ (245.2)	-1.1%
Estimated Payments	176.8	10,912.6	-	-	-	-	-	-	176.8	10,912.6	170.9	12,482.5	(1,569.9)	-12.6%
Returns	528.0	3,170.5	-	-	-	-	-	-	528.0	3,170.5	564.2	3,131.7	38.8	1.2%
State/City Offsets	(444.9)	(899.7)	-	-	-	-	-	-	(444.9)	(899.7)	(475.4)	(918.4)	(18.7)	-2.0%
Other (Assessments/LLC)	133.3	639.8	-	-	-	-	-	-	133.3	639.8	104.3	752.7	(112.9)	-15.0%
Gross Receipts	3,312.7	35,378.7	-	-	-	-	-	-	3,312.7	35,378.7	3,634.6	37,249.2	(1,870.5)	-5.0%
Transfers to School Tax Relief Fund	(0.1)	(0.2)	0.1	0.2	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,265.8)	(14,695.3)	-	-	1,265.8	14,695.3	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(781.1)	(5,988.1)	-	-	-	-	-	-	(781.1)	(5,988.1)	(1,056.2)	(6,689.4)	(701.3)	-10.5%
Total	1,265.7	14,695.1	0.1	0.2	1,265.8	14,695.3	-	-	2,531.6	29,390.6	2,578.4	30,559.8	(1,169.2)	-3.8%
CONSUMPTION/USE TAXES														
Sales and Use	511.5	3,663.2	79.2	505.9	549.9	3,660.7	-	-	1,140.6	7,829.8	1,238.3	9,318.2	(1,488.4)	-16.0%
Auto Rental	-	-	-	4.9	-	-	0.1	26.5	0.1	31.4	(7.3)	57.2	(25.8)	-45.1%
Cigarette/Tobacco Products	25.3	191.9	55.7	436.2	-	-	-	-	81.0	628.1	93.8	638.7	(10.6)	-1.7%
Medical Marihuana	-	-	0.8	4.7	-	-	-	-	0.8	4.7	0.4	3.2	1.5	46.9%
Motor Fuel	-	-	8.3	52.6	-	-	31.3	194.0	39.6	246.6	47.3	313.9	(67.3)	-21.4%
Alcoholic Beverage	23.7	167.0	-	-	-	-	-	-	23.7	167.0	19.1	154.6	12.4	8.0%
Highway Use	-	-	-	0.2	-	-	10.6	78.7	10.6	78.9	14.3	85.4	(6.5)	-7.6%
Vapor Excise	-	-	-	18.7	-	-	-	-	-	18.7	-	-	18.7	100.0%
Opioid Excise	6.1	22.5	-	-	-	-	-	-	6.1	22.5	-	-	22.5	100.0%
Total	566.6	4,044.6	144.0	1,023.2	549.9	3,660.7	42.0	299.2	1,302.5	9,027.7	1,405.9	10,571.2	(1,543.5)	-14.6%
BUSINESS TAXES														
Corporation Franchise	74.3	1,889.8	40.7	496.0	-	-	-	-	115.0	2,385.8	98.8	2,395.3	(9.5)	-0.4%
Corporation and Utilities	5.4	185.9	4.1	59.9	-	-	0.7	5.8	10.2	251.6	2.9	296.3	(44.7)	-15.1%
Insurance	21.9	810.1	1.2	94.7	-	-	-	-	23.1	904.8	1.4	1,043.5	(138.7)	-13.3%
Bank	(0.1)	146.4	0.5	18.3	-	-	-	-	0.4	164.7	(3.1)	(1.9)	166.6	8,768.4%
Petroleum Business	-	-	39.6	245.9	-	-	49.9	310.7	89.5	556.6	107.4	712.8	(156.2)	-21.9%
Total	101.5	3,032.2	86.1	914.8	-	-	50.6	316.5	238.2	4,263.5	207.4	4,446.0	(182.5)	-4.1%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	135.1	701.9	-	-	-	-	-	-	135.1	701.9	163.2	582.7	119.2	20.5%
Pari-Mutuel	1.6	6.4	-	-	-	-	-	-	1.6	6.4	1.0	10.1	(3.7)	-36.6%
Real Estate Transfer	-	-	-	-	65.8	389.0	12.0	59.6	77.8	448.6	85.2	671.4	(222.8)	-33.2%
Racing and Exhibitions	-	0.1	-	-	-	-	-	-	-	0.1	0.4	1.3	(1.2)	-92.3%
Employer Compensation Expense Tax	0.1	0.5	-	-	0.2	0.6	-	-	0.3	1.1	0.2	0.9	0.2	22.2%
Total	136.8	708.9	-	-	66.0	389.6	12.0	59.6	214.8	1,158.1	250.0	1,266.4	(108.3)	-8.6%
Total Tax Receipts	\$ 2,070.6	\$ 22,480.8	\$ 230.2	\$ 1,938.2	\$ 1,881.7	\$ 18,745.6	\$ 104.6	\$ 675.3	\$ 4,287.1	\$ 43,839.9	\$ 4,441.7	\$ 46,843.4	\$ (3,003.5)	-6.4%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

											7 Months Ended October 31					
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 14,284.8	\$ 20,544.4	\$ 17,650.3	\$ 20,623.6	\$ 28,288.0	\$ 27,457.2	\$ 25,800.5						\$ 14,284.8	\$ 9,975.0	\$ 4,309.8	43.2%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5						21,555.5	21,800.7	(245.2)	-1.1%
Estimated Payments	211.6	70.9		1,493.0	6,329.0	121.3	2,510.0	176.8					10,912.6	12,482.5	(1,569.9)	-12.6%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0						3,170.5	3,131.7	38.8	1.2%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)						(899.7)	(918.4)	(18.7)	-2.0%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3						639.8	752.7	(112.9)	-15.0%
Gross Receipts	3,775.6	3,144.2	4,854.8	11,410.5	3,114.1	5,766.8	3,312.7						35,378.7	37,249.2	(1,870.5)	-5.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)						(5,988.1)	(6,689.4)	(701.3)	-10.5%
Total Personal Income Tax	2,066.2	2,199.2	4,368.5	10,230.7	2,723.0	5,271.4	2,531.6						29,390.6	30,559.8	(1,169.2)	-3.8%
Consumption/Use Taxes:																
Sales and Use	869.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,140.6						7,829.8	9,318.2	(1,488.4)	-16.0%
Auto Rental	0.5	(1.4)	13.5	2.1	2.1	14.5	0.1						31.4	57.2	(25.8)	-45.1%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4	81.0						628.1	638.7	(10.6)	-1.7%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8						4.7	3.2	1.5	46.9%
Motor Fuel	30.3	21.4	31.5	39.7	42.5	41.6	39.6						246.6	313.9	(67.3)	-21.4%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7						167.0	154.6	12.4	8.0%
Highway Use	11.6	8.9	12.4	12.4	10.3	12.7	10.6						78.9	85.4	(6.5)	-7.6%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-						18.7	-	18.7	100.0%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1						22.5	-	22.5	100.0%
Total Consumption/Use Taxes	1,045.0	915.8	1,388.7	1,319.5	1,312.1	1,744.1	1,302.5						9,027.7	10,571.2	(1,543.5)	-14.6%
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7	115.0						2,385.8	2,395.3	(9.5)	-0.4%
Corporation and Utilities	15.6	(11.0)	94.9	33.3	2.3	106.3	10.2						296.3	296.3	(44.7)	-15.1%
Insurance	70.2	6.5	364.0	33.3	16.0	391.7	23.1						904.8	1,043.5	(138.7)	-13.3%
Bank	7.4	2.6	91.8	0.7	46.5	15.3	0.4						164.7	(1.9)	166.6	8,768.4%
Petroleum Business	68.3	39.8	85.7	87.9	87.0	98.4	89.5						556.6	712.8	(156.2)	-21.9%
Total Business Taxes	415.9	(96.8)	1,193.9	718.4	181.5	1,612.4	238.2						4,263.5	4,446.0	(182.5)	-4.1%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-						-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1						701.9	582.7	119.2	20.5%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6						6.4	10.1	(3.7)	-36.6%
Real Estate Transfer	57.2	48.4	49.8	64.9	68.3	82.2	77.8						448.6	671.4	(222.8)	-33.2%
Racing and Exhibitions	0.1	-	-	-	-	-	-						0.1	1.3	(1.2)	-92.3%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	0.2	0.2	0.3						1.1	0.9	0.2	22.2%
Total Other Taxes	130.9	100.4	198.1	213.8	125.7	174.4	214.8						1,158.1	1,266.4	(108.3)	-8.6%
Total Taxes	3,658.0	3,118.6	7,149.2	12,482.4	4,342.3	8,802.3	4,287.1						43,839.9	46,843.4	(3,003.5)	-6.4%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4						127.3	78.1	49.2	63.0%
Bottle Bill	0.7	0.3	20.6	18.4	0.1	43.2	2.1						85.4	71.5	13.9	19.4%
Assessments:																
Business	54.6	66.0	88.0	78.5	48.6	72.7	97.6						506.0	545.0	(39.0)	-7.2%
Medical Care	571.2	466.8	506.1	442.6	463.6	520.0	509.0						3,479.3	3,823.7	(344.4)	-9.0%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)						40.6	44.9	(4.3)	-9.6%
Other	-	0.1	-	-	-	-	-						0.1	0.5	(0.4)	-80.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0						28.1	44.4	(16.3)	-36.7%
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1						1.8	2.6	(0.8)	-30.8%
Business/Professional:	69.0	71.9	116.0	55.6	55.3	128.6	66.5						562.9	577.4	(14.5)	-2.5%
Civil	4.3	3.3	5.5	3.1	88.8	(9.8)	14.6						109.8	164.9	(55.1)	-33.4%
Criminal	0.6	0.4	0.2	1.6	-	0.2	0.5						3.5	4.8	(1.3)	-27.1%
Motor Vehicle	(29.8)	-	187.3	200.9	103.6	128.9	105.0						695.9	809.9	(114.0)	-14.1%
Recreational/Consumer	43.0	-	36.6	34.2	43.3	155.0	85.3						397.4	537.8	(140.4)	-26.1%
Fines, Penalties and Forfeitures	100.9	233.7	18.3	201.3	6.9	16.3	80.1						657.5	1,199.4	(541.9)	-45.2%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4						62.2	179.7	(117.5)	-65.4%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3						1,238.6	1,475.0	(236.4)	-16.0%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6						93.6	566.4	(472.8)	-83.5%
Interest Earnings	32.9	18.2	9.2	5.2	6.7	6.2	5.8						84.2	275.8	(191.6)	-69.5%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	1,019.1	3,842.4	269.9	32.4	384.7	895.8						7,566.4	2,671.8	4,894.6	183.2%
Cost Recovery Assessments	-	-	-	-	-	-	8.9						8.9	46.7	(37.8)	-80.9%
Issuance Fees	0.5	1.4	25.5	24.7	-	1.8	30.2						84.1	40.7	43.4	106.6%
Non Bond Related	9.0	0.3	0.5	4.3	2.3	0.1	0.8						17.3	78.7	(61.4)	-78.0%
Receipts from Municipalities	9.3	2.5	3.9	3.8	2.0	4.7	3.5						29.7	53.9	(24.2)	-44.9%
Rentals	(4.6)	(41.7)	1.8	4.2	7.8	35.9	61.8						65.2	179.0	(113.8)	-63.6%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

												7 Months Ended October 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Revenues of State Departments:																
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5						127.3	100.3	27.0	26.9%
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2						2.2	4.1	(1.9)	-46.3%
Commissions - Asset Conversion	-	-	-	-	-	-	-						-	-	-	0.0%
Gifts, Grants and Donations	0.6	2.2	27.9	0.7	1.9	2.1	5.9						41.3	21.3	20.0	93.9%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0						41.0	47.8	(6.8)	-14.2%
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4						2,069.6	1,554.1	515.5	33.2%
Rebates	7.8	15.3	13.2	17.7	12.7	12.7	9.8						89.2	102.1	(12.9)	-12.6%
Restitution and Settlements	7.0	0.6	0.6	0.3	42.7	5.3	3.6						60.1	21.7	38.4	177.0%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0						33.6	41.5	(7.9)	-19.0%
All Other	(19.5)	10.7	30.0	75.6	46.8	65.5	75.7						284.8	413.8	(129.0)	-31.2%
Sales	0.5	0.6	2.6	3.0	0.6	0.7	1.8						9.8	15.0	(5.2)	-34.7%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8						735.7	867.4	(131.7)	-15.2%
Total Miscellaneous Receipts	2,637.2	2,439.7	5,557.9	1,978.6	1,550.7	2,444.4	2,831.9	-	-	-	-	-	19,440.4	16,661.7	2,778.7	16.7%
Federal Receipts	10,863.1	4,207.0	7,520.2	5,423.2	4,591.2	10,136.1	7,609.2	-	-	-	-	-	50,350.0	37,735.3	12,614.7	33.4%
Total Receipts	17,158.3	9,765.3	20,227.3	19,884.2	10,484.2	21,382.8	14,728.2	-	-	-	-	-	113,630.3	101,240.4	12,389.9	12.2%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,149.2	4,132.7	3,964.9	799.2	1,070.5	4,157.3	1,350.8						16,624.6	18,020.1	(1,395.5)	-7.7%
Environment and Recreation	4.5	3.7	14.0	12.9	20.6	15.6	7.7						79.0	125.6	(46.6)	-37.1%
General Government	48.5	17.7	543.7	67.4	109.0	3,937.2	322.4						5,045.9	1,401.0	3,644.9	260.2%
Public Health:																
Medicaid	5,410.3	5,099.6	6,082.5	5,357.6	5,292.8	7,004.6	4,286.1						38,533.5	38,574.1	(40.6)	-0.1%
Other Public Health	602.5	638.5	980.4	1,028.7	701.7	1,078.5	815.2						5,845.5	5,910.8	(65.3)	-1.1%
Public Safety	95.3	63.6	163.3	265.2	71.2	151.0	634.7						1,444.3	866.3	578.0	66.7%
Public Welfare	211.8	217.7	388.7	850.8	364.1	1,487.5	479.0						3,999.6	4,018.1	(18.5)	-0.5%
Support and Regulate Business	48.1	15.1	35.9	26.7	84.5	22.3	64.2						296.8	674.2	(377.4)	-56.0%
Transportation	90.0	90.9	72.6	825.5	509.6	618.1	745.0						2,951.7	3,113.3	(161.6)	-5.2%
Total Local Assistance Grants	7,660.2	10,279.5	12,246.0	9,234.0	8,224.0	18,472.1	8,705.1	-	-	-	-	-	74,820.9	72,703.5	2,117.4	2.9%
Departmental Operations:																
Personal Service	1,569.5	1,135.9	1,116.4	1,278.8	1,053.9	1,527.0	1,119.2						8,800.7	8,984.7	(184.0)	-2.0%
Non-Personal Service	584.1	417.0	504.4	577.1	605.9	756.7	620.6						4,065.8	3,904.4	161.4	4.1%
General State Charges	535.2	395.0	2,621.5	471.9	414.0	616.1	511.7						5,565.4	6,009.4	(444.0)	-7.4%
Debt Service, Including Payments on																
Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8						1,318.8	1,025.1	293.7	28.7%
Capital Projects	509.8	406.0	681.8	641.4	667.7	706.7	533.6						4,147.0	4,143.0	4.0	0.1%
Total Disbursements	10,895.3	12,656.9	17,199.0	12,213.9	11,303.1	22,920.4	11,530.0	-	-	-	-	-	98,718.6	96,770.1	1,948.5	2.0%
Excess (Deficiency) of Receipts over Disbursements	6,263.0	(2,891.6)	3,028.3	7,670.3	(818.9)	(1,537.6)	3,198.2	-	-	-	-	-	14,911.7	4,470.3	10,441.4	233.6%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers from Other Funds	1,063.1	1,858.4	4,185.9	5,857.2	1,882.7	3,319.7	1,882.5						20,049.5	26,288.1	(6,238.6)	-23.7%
Transfers to Other Funds	(1,066.5)	(1,860.9)	(4,240.9)	(5,863.1)	(1,894.6)	(3,438.8)	(1,889.4)						(20,254.2)	(26,393.4)	(6,139.2)	-23.3%
Total Other Financing Sources (Uses)	(3.4)	(2.5)	(55.0)	(5.9)	(11.9)	(119.1)	(6.9)	-	-	-	-	-	(204.7)	(105.3)	(99.4)	-94.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,259.6	(2,894.1)	2,973.3	7,664.4	(830.8)	(1,656.7)	3,191.3	-	-	-	-	-	14,707.0	4,365.0	10,342.0	236.9%
Ending Fund Balance	\$ 20,544.4	\$ 17,650.3	\$ 20,623.6	\$ 28,288.0	\$ 27,457.2	\$ 25,900.5	\$ 28,991.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 28,991.8	\$ 14,340.0	\$ 14,651.8	102.2%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)**

	2020							2021					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 14,408.3	\$ 16,171.6	\$ 13,542.7	\$ 14,605.2	\$ 22,665.9	\$ 22,416.0	\$ 22,583.0						\$ 14,408.3	\$ 12,361.3	\$ 2,047.0	16.6%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5						21,555.5	21,800.7	(245.2)	-1.1%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8						10,912.6	12,482.5	(1,569.9)	-12.6%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0						3,170.5	3,131.7	38.8	1.2%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)						(899.7)	(918.4)	(18.7)	-2.0%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3						639.8	752.7	(112.9)	-15.0%
Gross Receipts	3,775.6	3,144.2	4,854.8	11,410.5	3,114.1	5,766.8	3,312.7						35,378.7	37,249.2	(1,870.5)	-5.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)						(5,988.1)	(6,689.4)	(701.3)	-10.5%
Total Personal Income Tax	2,066.2	2,199.2	4,368.5	10,230.7	2,723.0	5,271.4	2,531.6						29,390.6	30,559.8	(1,169.2)	-3.8%
Consumption/Use Taxes:																
Sales and Use	869.4	790.8	1,210.0	1,132.4	1,146.4	1,540.2	1,140.6						7,829.8	9,318.2	(1,488.4)	-16.0%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-						4.9	7.3	(2.4)	-32.9%
Cigarette/Tobacco Products	98.8	74.0	86.1	97.8	87.0	103.4	81.0						628.1	638.7	(10.6)	-1.7%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8						4.7	3.2	1.5	46.9%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3						52.6	66.5	(13.9)	-20.9%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7						167.0	154.6	12.4	8.0%
Highway Use	-	0.1	-	-	0.1	-	-						0.2	0.3	(0.1)	-33.3%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-						18.7	-	18.7	100.0%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1						22.5	-	22.5	100.0%
Total Consumption/Use Taxes	1,009.0	890.2	1,341.3	1,275.9	1,268.5	1,683.1	1,260.5						8,728.5	10,188.8	(1,460.3)	-14.3%
Business Taxes:																
Corporation Franchise	254.4	(134.7)	557.5	563.2	29.7	1,000.7	115.0						2,385.8	2,395.3	(9.5)	-0.4%
Corporation and Utilities	15.5	(9.5)	94.3	29.7	2.2	104.1	9.5						248.0	288.0	(42.2)	-14.7%
Insurance	70.2	6.5	364.0	33.3	16.0	391.7	23.1						904.8	1,043.5	(138.7)	-13.3%
Bank	7.4	2.6	91.8	0.7	46.5	15.3	0.4						164.7	(1.9)	166.6	8,768.4%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6						245.9	312.8	(66.9)	-21.4%
Total Business Taxes	377.8	(117.5)	1,145.3	665.7	133.1	1,555.0	187.8						3,947.0	4,037.7	(90.7)	-2.2%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-						-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1						701.9	582.7	119.2	20.5%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6						6.4	10.1	(3.7)	-36.6%
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8						389.0	611.8	(222.8)	-36.4%
Racing and Exhibitions	0.1	-	-	-	-	-	-						0.1	1.3	(1.2)	-92.3%
Employer Compensation Expense Tax	0.2	(0.2)	0.2	0.2	0.2	0.2	0.3						1.1	0.9	0.2	22.2%
Total Other Taxes	130.9	100.4	186.2	201.9	113.8	162.5	202.8						1,098.5	1,206.8	(108.3)	-9.0%
Total Taxes	3,583.9	3,072.3	7,041.3	12,374.2	4,238.4	8,672.0	4,182.5						43,164.6	45,993.1	(2,828.5)	-6.1%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.6	0.7	0.8	0.9	25.9	86.0	11.4						127.3	78.1	49.2	63.0%
Bottle Bill	0.7	0.3	20.6	(4.6)	0.1	43.2	2.1						62.4	48.5	13.9	28.7%
Assessments:																
Business	42.7	21.8	82.4	69.8	33.4	65.5	88.9						404.5	423.7	(19.2)	-4.5%
Medical Care	571.2	466.8	506.1	442.6	463.6	520.0	509.0						3,479.3	3,823.7	(344.4)	-9.0%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)						40.6	44.9	(4.3)	-9.6%
Other	-	0.1	-	-	-	-	-						0.1	0.5	(0.4)	-80.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0						28.1	44.4	(16.3)	-36.7%
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1						1.8	2.6	(0.8)	-30.8%
Business/Professional	67.2	69.8	114.4	54.3	46.0	125.5	64.0						541.2	551.6	(10.4)	-1.9%
Civil	4.3	3.3	5.5	3.1	88.8	(9.8)	14.6						109.8	164.9	(55.1)	-33.4%
Criminal	0.6	0.4	0.2	1.6	-	0.2	0.5						3.5	4.8	(1.3)	-27.1%
Motor Vehicle	(82.0)	(33.2)	144.2	140.3	40.2	71.5	44.3						325.3	366.0	(40.7)	-11.1%
Recreational/Consumer	43.0	-	36.1	34.2	32.3	147.3	85.2						378.1	521.7	(143.6)	-27.5%
Fines, Penalties and Forfeitures	98.6	231.6	16.1	199.9	3.5	13.8	78.1						641.6	1,181.3	(539.7)	-45.7%
Gaming:																
Casino	-	-	-	20.8	-	8.0	33.4						62.2	179.7	(117.5)	-65.4%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3						1,238.6	1,475.0	(236.4)	-16.0%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6						93.6	566.4	(472.8)	-83.5%
Interest Earnings	29.2	13.8	7.7	4.3	5.4	5.3	5.0						70.7	252.2	(181.5)	-72.0%
Receipts from Public Authorities:																
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-						4,500.0	-	4,500.0	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9						8.9	46.7	(37.8)	-80.9%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)**

	2020												2021				7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease				
Issuance Fees	0.5	1.4	25.5	24.7	-	1.8	30.2						84.1	40.7	43.4	106.6%				
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4						15.6	54.4	(38.8)	-71.3%				
Receipts from Municipalities	9.3	2.5	3.8	3.8	1.7	4.7	3.4						29.2	52.6	(23.4)	-44.5%				
Rentals	(5.1)	(42.8)	(0.3)	2.0	4.0	34.7	61.0						53.5	173.2	(119.7)	-69.1%				
Revenues of State Departments:																				
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5						127.3	100.3	27.0	26.9%				
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2						2.2	4.1	(1.9)	-46.3%				
Commissions - Asset Conversion	-	-	-	-	-	-	-						-	-	-	0.0%				
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1						31.1	5.8	25.3	436.2%				
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0						41.0	48.7	(7.7)	-15.8%				
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4						2,069.6	1,554.1	515.5	33.2%				
Rebates	0.1	6.6	5.7	10.2	5.3	5.0	2.3						35.2	43.8	(8.6)	-19.6%				
Restitution and Settlements	3.9	0.4	0.6	0.2	41.8	3.9	0.3						51.1	17.7	33.4	188.7%				
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0						33.6	41.5	(7.9)	-19.0%				
All Other	(20.1)	8.6	18.0	75.3	45.5	51.9	70.9						250.1	371.5	(121.4)	-32.7%				
Sales	0.5	0.6	2.6	3.0	0.5	0.6	1.8						9.6	10.7	(1.1)	-10.3%				
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8						735.7	867.4	(131.7)	-15.2%				
Total Miscellaneous Receipts	1,431.2	2,321.8	5,133.0	1,602.6	1,399.1	1,955.2	1,843.6						15,686.5	13,163.2	2,523.3	19.2%				
Federal Receipts	-	-	4.1	(4.1)	2.5	49.9	12.9						65.3	54.8	10.5	19.2%				
Total Receipts	5,015.1	5,394.1	12,178.4	13,972.7	5,640.0	10,677.1	6,039.0						58,916.4	59,211.1	(294.7)	-0.5%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	754.3	4,065.1	3,409.1	563.6	856.2	3,861.8	1,200.2						14,710.3	15,813.4	(1,103.1)	-7.0%				
Environment and Recreation	0.1	0.3	-	0.2	(0.1)	0.4	0.7						1.6	2.8	(1.2)	-42.9%				
General Government	16.1	4.6	500.0	20.4	33.6	62.5	77.7						714.9	823.0	(108.1)	-13.1%				
Public Health:																				
Medicaid	757.7	1,757.8	2,497.4	2,043.9	1,856.5	2,135.5	1,416.5						12,465.3	15,223.7	(2,758.4)	-18.1%				
Other Public Health	93.5	72.8	307.2	486.1	118.0	438.1	249.0						1,764.7	1,886.5	(121.8)	-6.5%				
Public Safety	20.9	11.1	4.5	16.9	29.4	34.6	17.3						194.7	218.4	(23.7)	-10.8%				
Public Welfare	77.0	159.2	61.4	57.1	57.1	426.8	70.3						1,428.9	1,259.8	169.1	13.4%				
Support and Regulate Business	4.6	7.1	9.0	6.4	11.0	10.9	9.7						57.7	114.9	(57.2)	-49.8%				
Transportation	61.7	41.7	18.5	726.3	357.9	243.1	256.4						1,705.6	2,043.9	(338.3)	-16.6%				
Total Local Assistance Grants	1,785.9	6,118.7	6,807.1	4,440.9	3,319.6	7,213.7	3,297.8						32,983.7	37,386.4	(4,402.7)	-11.8%				
Departmental Operations:																				
Personal Service	1,494.8	1,084.9	955.5	1,114.0	990.1	1,443.0	987.4						8,069.7	8,595.8	(526.1)	-6.1%				
Non-Personal Service	543.3	372.9	335.8	(308.2)	384.5	528.7	432.0						2,289.0	3,155.0	(866.0)	-27.4%				
General State Charges	512.5	370.3	2,582.6	388.2	343.3	592.6	434.0						5,223.5	5,819.3	(595.8)	-10.2%				
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8						1,318.8	1,025.1	293.7	28.7%				
Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%				
Total Disbursements	4,373.0	7,970.3	10,709.9	5,645.6	5,375.1	10,619.8	5,191.0						49,884.7	55,981.6	(6,096.9)	-10.9%				
Excess (Deficiency) of Receipts over Disbursements	642.1	(2,576.2)	1,468.5	8,327.1	264.9	57.3	848.0						9,031.7	3,229.5	5,802.2	179.7%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds (**)	1,939.1	1,674.9	3,796.1	5,367.5	1,326.8	3,217.1	2,037.9						19,359.4	24,341.6	(4,982.2)	-20.5%				
Transfers to Other Funds (**)	(817.9)	(1,727.6)	(4,202.1)	(5,633.9)	(1,841.6)	(3,107.4)	(1,643.7)						(18,974.2)	(25,162.3)	(6,188.1)	-24.6%				
Total Other Financing Sources (Uses)	1,121.2	(52.7)	(406.0)	(266.4)	(514.8)	109.7	394.2						385.2	(820.7)	1,205.9	146.9%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,763.3	(2,628.9)	1,062.5	8,060.7	(249.9)	167.0	1,242.2						9,416.9	2,408.8	7,008.1	290.9%				
Ending Fund Balance	\$ 16,171.6	\$ 13,542.7	\$ 14,605.2	\$ 22,665.9	\$ 22,416.0	\$ 22,583.0	\$ 23,825.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 23,825.2	\$ 14,770.1	\$ 9,055.1	61.3%				

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

												7 Months Ended October 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 8,944.2	\$ 10,082.5	\$ 7,310.2	\$ 6,863.6	\$ 14,383.0	\$ 13,522.7	\$ 15,441.8						\$ 8,944.2	\$ 7,205.7	\$ 1,738.5	24.1%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,187.3	2,928.3	3,096.3	3,400.3	2,876.6	3,147.2	2,919.5						21,555.5	21,800.7	(245.2)	-1.1%
Estimated Payments	211.6	70.9	1,493.0	6,329.0	121.3	2,510.0	176.8						10,912.6	12,482.5	(1,569.9)	-12.6%
Returns	339.1	124.7	260.9	1,765.1	69.3	83.4	528.0						3,170.5	3,131.7	38.8	1.2%
State/City Offsets	(69.8)	(39.8)	(58.4)	(187.0)	(28.1)	(71.7)	(444.9)						(899.7)	(918.4)	(18.7)	-2.0%
Other (Assessments/LLC)	107.4	60.1	63.0	103.1	75.0	97.9	133.3						639.8	752.7	(112.9)	-15.0%
Gross Receipts	3,775.6	3,144.2	4,854.8	11,410.5	3,114.1	5,766.8	3,312.7						35,378.7	37,249.2	(1,870.5)	-5.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	(0.1)	(0.1)						(0.2)	(0.2)	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,033.1)	(1,099.6)	(2,184.2)	(5,115.4)	(1,361.5)	(2,635.7)	(1,265.8)						(14,695.3)	(15,279.9)	(584.6)	-3.8%
Refunds Issued	(1,709.4)	(945.0)	(486.3)	(1,179.8)	(391.1)	(495.4)	(781.1)						(5,988.1)	(6,689.4)	(701.3)	-10.5%
Total Personal Income Tax	1,033.1	1,099.6	2,184.3	5,115.3	1,361.5	2,635.6	1,265.7						14,695.1	15,279.7	(584.6)	-3.8%
Consumption/Use Taxes:																
Sales and Use	394.9	369.9	572.4	530.0	536.5	748.0	511.5						3,663.2	4,336.7	(673.5)	-15.5%
Auto Rental	-	-	-	-	-	-	-						-	-	-	0.0%
Cigarette/Tobacco Products	30.0	22.7	25.9	29.0	26.6	32.4	25.3						191.9	194.6	(2.7)	-1.4%
Motor Fuel	-	-	-	-	-	-	-						-	-	-	0.0%
Alcoholic Beverage	26.7	21.4	22.8	26.0	23.1	23.3	23.7						167.0	154.6	12.4	8.0%
Highway Use	-	-	-	-	-	-	-						-	-	-	0.0%
Vapor Excise	-	-	-	-	-	-	-						-	-	-	0.0%
Opioid Excise	7.2	-	-	8.9	-	0.3	6.1						22.5	-	22.5	100.0%
Total Consumption/Use Taxes	458.8	414.0	621.1	593.9	586.2	804.0	566.6						4,044.6	4,685.9	(641.3)	-13.7%
Business Taxes:																
Corporation Franchise	197.4	(131.5)	447.4	449.2	18.0	835.0	74.3						1,889.8	1,875.7	14.1	0.8%
Corporation and Utilities	13.3	(2.6)	73.8	12.7	3.6	79.7	5.4						185.9	209.1	(23.2)	-11.1%
Insurance	63.0	6.9	325.4	28.9	15.6	348.4	21.9						810.1	922.2	(112.1)	-12.2%
Bank	6.1	2.0	78.9	0.7	40.4	18.4	(0.1)						146.4	(5.2)	151.6	2,915.4%
Petroleum Business	-	-	-	-	-	-	-						-	-	-	0.0%
Total Business Taxes	279.8	(125.2)	925.5	491.5	77.6	1,281.5	101.5						3,032.2	3,001.8	30.4	1.0%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-						-	-	-	0.0%
Estate and Gift	72.7	52.0	147.3	147.7	55.8	91.3	135.1						701.9	582.7	119.2	20.5%
Pari-Mutuel	0.7	0.2	0.8	1.0	1.4	0.7	1.6						6.4	10.1	(3.7)	-36.6%
Real Estate Transfer	-	-	-	-	-	-	-						-	-	-	0.0%
Racing and Exhibitions	0.1	-	-	-	-	-	-						0.1	1.3	(1.2)	-92.3%
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.1						0.5	0.4	0.1	25.0%
Total Other Taxes	73.6	52.1	148.2	148.8	57.3	92.1	136.8						708.9	594.5	114.4	19.2%
Total Taxes	1,845.3	1,440.5	3,879.1	6,349.5	2,082.6	4,813.2	2,070.6						22,480.8	23,561.9	(1,081.1)	-4.6%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.4	-	-	-	25.1	84.8	10.6						120.9	71.3	49.6	69.6%
Bottle Bill	0.7	0.3	20.6	(4.6)	0.1	43.2	2.1						62.4	48.5	13.9	28.7%
Assessments:																
Business	-	-	-	-	-	-	-						-	-	-	0.0%
Medical Care	1.9	2.1	1.7	1.8	2.1	2.7	3.3						15.6	19.3	(3.7)	-19.2%
Public Utilities	-	-	-	-	-	-	-						-	-	-	0.0%
Other	-	0.1	-	-	-	-	-						0.1	0.3	(0.2)	-66.7%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	2.2	2.9	2.9	4.9	4.8	5.4	5.0						28.1	44.4	(16.3)	-36.7%
Audit Fees	-	-	-	-	-	-	-						-	-	-	0.0%
Business/Professional	19.0	(0.6)	27.9	13.5	9.7	30.7	17.9						118.1	142.8	(24.7)	-17.3%
Civil	1.1	0.9	2.9	1.7	82.7	(14.5)	9.5						84.3	132.1	(47.8)	-36.2%
Criminal	0.1	0.1	0.1	0.1	-	0.2	0.1						0.7	1.0	(0.3)	-30.0%
Motor Vehicle	(100.4)	(49.0)	127.2	109.7	13.2	43.2	28.5						172.4	197.6	(25.2)	-12.8%
Recreational/Consumer	-	-	0.1	(0.1)	0.5	3.0	1.9						5.4	11.0	(5.6)	-50.9%
Fines, Penalties and Forfeitures	88.9	226.0	14.7	186.1	3.3	8.2	12.8						540.0	963.5	(423.5)	-44.0%
Interest Earnings	15.6	5.9	1.6	0.9	1.4	1.9	1.7						29.0	112.2	(83.2)	-74.2%
Receipts from Public Authorities:																
Bond Proceeds	-	1,000.0	3,500.0	-	-	-	-						4,500.0	-	4,500.0	100.0%
Cost Recovery Assessments	-	-	-	-	-	-	-						-	18.0	(18.0)	-100.0%
Issuance Fees	-	-	20.2	24.7	-	1.8	30.2						76.9	33.5	43.4	129.6%
Non Bond Related	-	-	-	-	-	-	-						-	25.2	(25.2)	-100.0%
Receipts from Municipalities	-	-	-	-	0.1	-	-						0.1	16.8	(16.7)	-99.4%
Rentals	0.2	0.1	0.1	0.1	0.2	0.1	-						0.8	1.4	(0.6)	-42.9%
Revenues of State Departments:																
Administrative Recoveries	0.4	0.3	16.7	0.4	0.1	15.5	0.3						33.7	40.7	(7.0)	-17.2%
Commissions	0.4	(0.4)	0.1	-	(0.3)	0.5	(0.5)						(0.2)	0.3	(0.5)	-166.7%
Gifts, Grants and Donations	-	-	-	-	-	-	-						-	-	-	0.0%
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0						41.0	47.8	(6.8)	-14.2%
Patient/Client Care Reimbursement	(3.7)	53.7	2.2	(17.1)	7.7	(107.3)	49.3						(20.9)	(20.9)	5.7	27.3%
Rebates	-	1.7	(0.9)	-	3.2	-	(0.7)						3.3	2.8	0.5	17.9%
Restitution and Settlements	0.2	-	-	0.1	-	-	-						0.3	0.4	(0.1)	-25.0%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	2020												7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	4.8	4.5	4.9	11.9	6.1	3.2	12.7	-	-	-	-	-	48.1	49.1	(1.0)	-2.0%
Sales	-	-	1.8	2.3	-	-	0.5	-	-	-	-	-	4.6	-	4.6	100.0%
Total Miscellaneous Receipts	37.3	1,254.0	3,752.2	342.3	166.1	128.3	190.2	-	-	-	-	-	5,870.4	1,959.1	3,911.3	199.8%
Federal Receipts	-	-	-	-	-	0.1	-	-	-	-	-	-	0.1	0.4	(0.3)	-75.0%
Total Receipts	1,882.6	2,694.5	7,631.3	6,691.8	2,248.7	4,941.6	2,260.8	-	-	-	-	-	28,351.3	25,521.4	2,829.9	11.1%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.2	4,030.1	3,112.1	563.3	856.3	1,571.5	1,051.2	-	-	-	-	-	11,938.7	12,802.4	(863.7)	-6.7%
Environment and Recreation	0.1	-	-	-	(0.1)	-	-	-	-	-	-	-	-	2.0	(2.0)	-100.0%
General Government	6.8	0.7	493.7	12.4	0.6	51.7	62.4	-	-	-	-	-	628.3	707.4	(79.1)	-11.2%
Public Health:																
Medicaid	229.4	1,283.6	2,466.9	1,220.8	1,431.8	1,614.5	999.5	-	-	-	-	-	9,246.5	11,631.4	(2,384.9)	-20.5%
Other Public Health	63.9	47.3	239.8	433.9	72.7	247.3	193.6	-	-	-	-	-	1,298.5	1,369.9	(71.4)	-5.2%
Public Safety	2.9	1.4	0.3	2.7	7.9	14.1	7.3	-	-	-	-	-	36.6	104.9	(68.3)	-65.1%
Public Welfare	76.9	158.0	61.4	577.5	37.1	426.7	70.3	-	-	-	-	-	1,427.9	1,256.5	171.4	13.6%
Support and Regulate Business	4.6	6.7	4.6	4.8	4.3	5.6	9.0	-	-	-	-	-	39.6	90.7	(51.1)	-56.3%
Transportation	0.1	-	-	24.5	12.7	2.0	2.9	-	-	-	-	-	42.2	62.0	(19.8)	-31.9%
Total Local Assistance Grants	1,138.9	5,527.8	6,378.8	2,839.9	2,443.3	3,933.4	2,396.2	-	-	-	-	-	24,658.3	28,027.2	(3,368.9)	-12.0%
Departmental Operations:																
Personal Service	893.7	691.3	564.6	738.8	863.2	835.8	598.0	-	-	-	-	-	4,985.4	5,471.3	(485.9)	-8.9%
Non-Personal Service	313.2	195.2	165.0	(506.7)	222.4	260.7	183.6	-	-	-	-	-	833.4	1,484.7	(651.3)	-43.9%
General State Charges	460.2	330.5	2,511.9	335.9	271.1	476.3	387.4	-	-	-	-	-	4,773.3	5,300.2	(526.9)	-9.9%
Total Disbursements	2,806.0	6,744.8	9,620.3	3,407.9	3,600.0	5,506.2	3,565.2	-	-	-	-	-	35,250.4	40,283.4	(5,033.0)	-12.5%
Excess (Deficiency) of Receipts over Disbursements	(923.4)	(4,050.3)	(1,989.0)	3,283.9	(1,351.3)	(564.6)	(1,304.4)	-	-	-	-	-	(6,899.1)	(14,762.0)	7,862.9	53.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,032.9	1,098.9	2,178.3	4,323.6	487.1	1,889.6	665.9	-	-	-	-	-	11,676.3	15,445.7	(3,769.4)	-24.4%
Transfers from LGAC / STRBTf	284.6	162.2	560.4	420.1	426.7	656.4	440.2	-	-	-	-	-	2,950.6	3,823.7	(873.1)	-22.8%
Transfers from CW/CA Fund	43.8	48.4	37.5	50.5	52.3	66.1	61.8	-	-	-	-	-	360.4	579.4	(219.0)	-37.8%
Transfers from Other Funds	74.9	193.6	83.5	126.5	193.3	151.5	45.0	-	-	-	-	-	870.3	799.5	70.8	8.9%
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	(565.6)	(229.0)	(90.8)	-	-	-	-	-	(907.4)	(1,428.3)	(520.9)	-36.5%
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	(16.5)	-	-	-	-	-	-	(251.0)	(803.0)	(552.0)	-68.7%
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(82.7)	4.0	21.6	(75.6)	-	-	-	-	-	(166.7)	(355.6)	(188.9)	-53.1%
Transfers to All Other State Funds	(142.8)	(23.0)	(970.7)	(92.4)	(108.8)	(56.0)	(253.1)	-	-	-	-	-	(1,646.8)	(1,689.9)	(43.1)	-2.6%
Total Other Financing Sources (Uses)	2,061.7	1,278.0	1,542.4	4,235.5	491.0	2,483.7	793.4	-	-	-	-	-	12,885.7	16,371.5	(3,485.8)	-21.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,138.3	(2,772.3)	(446.6)	7,519.4	(860.3)	1,919.1	(511.0)	-	-	-	-	-	5,986.6	1,609.5	4,377.1	272.0%
Ending Fund Balance	\$ 10,082.5	\$ 7,310.2	\$ 6,863.6	\$ 14,383.0	\$ 13,522.7	\$ 15,441.8	\$ 14,930.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,930.8	\$ 8,815.2	\$ 6,115.6	69.4%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	Intra-Fund												7 Months Ended October 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 6,312.1	\$ 11,224.0	\$ 11,140.2	\$ 14,472.7	\$ 13,232.7	\$ 12,870.2	9,510.3						\$ -	\$ 6,312.1	\$ 3,842.4	\$ 2,469.7	64.3%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	0.1	0.1						-	0.2	0.2	-	0.0%
Consumption/Use Taxes:																	
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2						-	505.9	648.5	(142.6)	-22.0%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-						-	4.9	7.3	(2.4)	-32.9%
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7						-	436.2	444.1	(7.9)	-1.8%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8						-	4.7	3.2	1.5	46.9%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3						-	52.6	66.5	(13.9)	-20.9%
Alcoholic Beverage	-	-	-	-	-	-	-						-	-	-	-	0.0%
Highway Use	-	0.1	-	-	0.1	-	-						-	0.2	0.3	(0.1)	-33.3%
Vapor Excise	-	-	11.7	(0.4)	(0.1)	7.4	-						-	18.7	-	18.7	100.0%
Total Consumption/Use Taxes	156.0	106.5	148.2	152.3	146.0	170.2	144.0						-	1,023.2	1,169.9	(146.7)	-12.5%
Business Taxes:																	
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7						-	496.0	519.6	(23.6)	-4.5%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1						-	59.9	78.9	(19.0)	-24.1%
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2						-	94.7	121.3	(26.6)	-21.9%
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5						-	18.3	3.3	15.0	454.5%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6						-	245.9	312.8	(66.9)	-21.4%
Total Business Taxes	98.0	7.7	219.8	174.2	55.5	273.5	86.1						-	914.8	1,035.9	(121.1)	-11.7%
Total Taxes	254.0	114.2	368.0	326.5	201.5	443.8	230.2						-	1,938.2	2,206.0	(267.8)	-12.1%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8						-	6.4	6.8	(0.4)	-5.9%
Assessments:																	
Business	46.7	62.4	82.6	71.5	41.0	65.6	90.3						-	460.1	483.5	(23.4)	-4.8%
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7						-	3,463.7	3,804.4	(340.7)	-9.0%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)						-	40.6	44.9	(4.3)	-9.6%
Other	-	-	-	-	-	-	-						-	-	0.2	(0.2)	-100.0%
Fees, Licenses and Permits:																	
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1						-	1.8	2.6	(0.8)	-30.8%
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1						-	423.1	408.8	14.3	3.5%
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1						-	25.5	32.8	(7.3)	-22.3%
Criminal	0.5	0.3	0.1	1.5	-	-	0.4						-	2.8	3.8	(1.0)	-26.3%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8						-	152.9	168.4	(15.5)	-9.2%
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3						-	372.7	510.7	(138.0)	-27.0%
Fines, Penalties and Forfeitures	10.0	5.8	1.6	14.0	0.4	5.9	65.4						-	103.1	221.7	(118.6)	-53.5%
Gaming:																	
Casino	-	-	-	20.8	-	8.0	33.4						-	62.2	179.7	(117.5)	-65.4%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3						-	1,238.6	1,475.0	(236.4)	-16.0%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6						-	93.6	566.4	(472.8)	-83.5%
Interest Earnings	16.5	11.7	7.5	4.3	5.2	4.2	4.1						-	53.5	155.1	(101.6)	-65.5%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9						-	8.9	28.7	(19.8)	-69.0%
Issuance Fees	0.5	1.4	5.3	-	-	-	-						-	7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4						-	15.6	29.2	(13.6)	-46.6%
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4						-	28.6	34.1	(5.5)	-16.1%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0						-	52.7	171.8	(119.1)	-69.3%
Revenues of State Departments:																	
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2						-	93.6	59.6	34.0	57.0%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7						-	2.4	3.8	(1.4)	-36.8%
Commissions - Asset Conversion	-	-	-	-	-	-	-						-	-	-	-	0.0%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	0.1						-	31.1	5.8	25.3	436.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	0.9	(0.9)	-100.0%
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2						-	1,832.5	1,280.9	551.6	43.1%
Rebates	7.8	13.6	14.1	17.7	9.5	12.7	10.5						-	85.9	99.1	(13.2)	-13.3%
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3						-	50.8	17.3	33.5	193.6%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0						-	33.6	41.5	(7.9)	-19.0%
All Other	(24.6)	4.4	13.1	63.5	40.0	48.6	58.3						-	203.3	323.0	(119.7)	-37.1%
Sales	0.5	0.6	0.8	0.7	0.5	0.5	1.3						-	5.0	10.7	(5.7)	-53.3%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8						-	735.7	867.4	(131.7)	-15.2%
Total Miscellaneous Receipts	1,361.9	1,107.7	1,347.4	1,224.8	1,203.8	1,799.5	1,642.4						-	9,687.5	11,046.8	(1,359.3)	-12.3%
Federal Receipts	10,777.4	4,104.3	7,352.3	5,214.1	4,404.5	9,934.4	7,441.5						-	49,228.5	36,535.0	12,693.5	34.7%
Total Receipts	12,393.3	5,326.2	9,067.7	6,765.4	5,809.8	12,177.7	9,314.1						-	60,854.2	49,786.8	11,067.4	22.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020												Intra-Fund Transfer Eliminations (*)	7 Months Ended October 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH		2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	383.0	102.6	847.9	234.9	213.2	2,584.4	279.3	-	-	-	-	-	-	4,645.3	5,115.5	(470.2)	-9.2%	
Environment and Recreation	-	0.5	-	0.3	0.1	0.3	0.8	-	-	-	-	-	-	2.0	1.5	0.5	33.3%	
General Government	11.3	6.1	8.5	19.0	39.4	3,866.8	169.6	-	-	-	-	-	-	4,120.7	146.9	3,973.8	2,705.1%	
Public Health:																		
Medicaid	5,180.9	3,816.0	3,615.6	4,136.8	3,861.0	5,390.1	3,286.6	-	-	-	-	-	-	29,287.0	26,942.7	2,344.3	8.7%	
Other Public Health	509.6	533.0	709.7	556.1	552.9	805.5	570.7	-	-	-	-	-	-	4,237.5	4,261.5	(24.0)	-0.6%	
Public Safety	92.4	62.2	159.2	261.6	62.2	136.2	627.1	-	-	-	-	-	-	1,400.9	738.6	662.3	89.7%	
Public Welfare	134.9	25.9	253.6	201.6	253.9	950.4	384.1	-	-	-	-	-	-	2,204.4	2,603.5	(399.1)	-15.3%	
Support and Regulate Business	0.3	0.7	6.5	1.0	8.6	5.3	1.7	-	-	-	-	-	-	24.1	30.2	(6.1)	-20.2%	
Transportation	65.5	44.7	22.6	709.1	350.2	246.8	258.5	-	-	-	-	-	-	1,697.4	2,012.1	(314.7)	-15.6%	
Total Local Assistance Grants	6,377.9	4,591.7	5,623.6	6,120.4	5,341.5	13,985.8	5,576.4	-	-	-	-	-	-	47,619.3	41,852.5	5,766.8	13.8%	
Departmental Operations:																		
Personal Service	675.8	444.6	551.8	540.0	390.7	691.2	521.2	-	-	-	-	-	-	3,815.3	3,513.4	301.9	8.6%	
Non-Personal Service	270.9	220.9	327.3	1,078.0	380.6	488.1	437.0	-	-	-	-	-	-	3,202.8	2,396.6	806.2	33.6%	
General State Charges	75.0	64.5	109.6	136.0	142.9	139.8	124.3	-	-	-	-	-	-	792.1	709.2	82.9	11.7%	
Capital Projects	-	-	-	-	2.3	-	-	-	-	-	-	-	-	2.3	-	2.3	100.0%	
Total Disbursements	7,399.6	5,321.7	6,612.3	7,874.4	6,258.0	15,304.9	6,660.9	-	-	-	-	-	-	55,431.8	48,471.7	6,960.1	14.4%	
Excess (Deficiency) of Receipts over Disbursements	4,993.7	4.5	2,455.4	(1,109.0)	(448.2)	(3,127.2)	2,653.2	-	-	-	-	-	-	5,422.4	1,315.1	4,107.3	312.3%	
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	-	-	-	-	-	-	(494.4)	1,630.8	1,724.4	(93.6)	-5.4%
Transfers to Other Funds	(304.5)	(129.8)	(20.3)	(266.6)	(30.3)	(438.8)	(485.6)	-	-	-	-	-	-	494.4	(1,181.5)	166.2	16.4%	
Total Other Financing Sources (Uses)	(81.8)	(88.3)	877.1	(131.0)	85.7	(232.7)	20.3	-	-	-	-	-	-	449.3	709.1	(259.8)	-36.6%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,911.9	(83.8)	3,332.5	(1,240.0)	(362.5)	(3,359.9)	2,673.5	-	-	-	-	-	-	5,871.7	2,024.2	3,847.5	190.1%	
Ending Fund Balance	\$ 11,224.0	\$ 11,140.2	\$ 14,472.7	\$ 13,232.7	\$ 12,870.2	\$ 9,510.3	\$ 12,183.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 12,183.8	\$ 5,866.6	\$ 6,317.2	107.7%	

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020										2021				7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease		
Beginning Fund Balance	\$ 5,400.7	\$ 5,696.2	\$ 5,710.4	\$ 7,246.4	\$ 6,679.9	\$ 6,748.8	\$ 4,803.9						\$ 5,400.7	\$ 5,090.8	\$ 309.9	6.1%		
RECEIPTS:																		
Taxes:																		
Personal Income Tax	-	-	-	-	-	0.1	0.1						0.2	0.2	-	0.0%		
Consumption/Use Taxes:																		
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2						505.9	648.5	(142.6)	-22.0%		
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-						4.9	7.3	(2.4)	-32.9%		
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7						436.2	444.1	(7.9)	-1.8%		
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8						4.7	3.2	1.5	46.9%		
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3						52.6	66.5	(13.9)	-20.9%		
Alcoholic Beverage	-	-	-	-	-	-	-						-	-	-	0.0%		
Highway Use	-	0.1	-	-	0.1	-	-						0.2	0.3	(0.1)	-33.3%		
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-						18.7	-	18.7	100.0%		
Total Consumption/Use Taxes	156.0	106.5	148.2	152.3	146.0	170.2	144.0						1,023.2	1,169.9	(146.7)	-12.5%		
Business Taxes																		
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7						496.0	519.6	(23.6)	-4.5%		
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1						59.9	78.9	(19.0)	-24.1%		
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2						94.7	121.3	(26.6)	-21.9%		
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5						18.3	3.3	15.0	454.5%		
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6						245.9	312.8	(66.9)	-21.4%		
Total Business Taxes	98.0	7.7	219.8	174.2	55.5	273.5	86.1						914.8	1,035.9	(121.1)	-11.7%		
Total Taxes	254.0	114.2	368.0	326.5	201.5	443.8	230.2						1,938.2	2,206.0	(267.8)	-12.1%		
Miscellaneous Receipts:																		
Abandoned Property:																		
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8						6.4	6.8	(0.4)	-5.9%		
Assessments:																		
Business	42.7	21.8	82.4	69.8	33.4	65.5	88.9						404.5	423.7	(19.2)	-4.5%		
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7						3,463.7	3,804.4	(340.7)	-9.0%		
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)						40.6	44.9	(4.3)	-9.6%		
Other	-	-	-	-	-	-	-						-	0.2	(0.2)	-100.0%		
Fees, Licenses and Permits:																		
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1						1.8	2.6	(0.8)	-30.8%		
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1						423.1	408.8	14.3	3.5%		
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1						25.5	32.8	(7.3)	-22.3%		
Criminal	0.5	0.3	0.1	1.5	-	-	0.4						2.8	3.8	(1.0)	-26.3%		
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8						152.9	168.4	(15.5)	-9.2%		
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3						372.7	510.7	(138.0)	-27.0%		
Fines, Penalties and Forfeitures	9.7	5.6	1.4	13.8	0.2	5.6	65.3						101.6	217.8	(116.2)	-53.4%		
Gaming:																		
Casino	-	-	-	20.8	-	8.0	33.4						62.2	179.7	(117.5)	-65.4%		
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3						1,238.6	1,475.0	(236.4)	-16.0%		
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6						93.6	566.4	(472.8)	-83.5%		
Interest Earnings	13.5	7.8	6.1	3.4	4.0	3.4	3.3						41.5	138.7	(97.2)	-70.1%		
Receipts from Public Authorities:																		
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	0.0%		
Cost Recovery Assessments	-	-	-	-	-	-	8.9						8.9	28.7	(19.8)	-69.0%		
Issuance Fees	0.5	1.4	5.3	-	-	-	-						7.2	7.2	-	0.0%		
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4						15.6	29.2	(13.6)	-46.6%		
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4						28.6	34.1	(5.5)	-16.1%		
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0						52.7	171.8	(119.1)	-69.3%		
Revenues of State Departments:																		
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2						93.6	59.6	34.0	57.0%		
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7						2.4	3.8	(1.4)	-36.8%		
Commissions - Asset Conversion	-	-	-	-	-	-	-						-	-	-	0.0%		
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1						31.1	5.8	25.3	436.2%		
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	0.9	(0.9)	-100.0%		
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2						1,832.5	1,280.9	551.6	43.1%		
Rebates	0.1	4.9	6.6	10.2	2.1	5.0	3.0						31.9	41.0	(9.1)	-22.2%		
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3						50.8	17.3	33.5	193.6%		
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0						33.6	41.5	(7.9)	-19.0%		
All Other	(24.9)	4.1	13.1	63.4	39.4	48.7	58.2						202.0	322.3	(120.3)	-37.3%		
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3						5.0	10.7	(5.7)	-53.3%		
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8						735.7	867.4	(131.7)	-15.2%		
Total Miscellaneous Receipts	1,346.6	1,054.0	1,338.1	1,214.4	1,186.8	1,790.7	1,632.5						9,563.1	10,906.9	(1,343.8)	-12.3%		
Federal Receipts	-	-	4.1	(4.1)	2.5	25.4	12.9						40.8	17.6	23.2	131.8%		
Total Receipts	1,600.6	1,168.2	1,710.2	1,536.8	1,390.8	2,259.9	1,875.6						11,542.1	13,130.5	(1,588.4)	-12.1%		

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020												7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	35.0	297.0	0.3	(0.1)	2,290.3	149.0						2,771.6	3,011.0	(239.4)	-8.0%
Environment and Recreation	-	0.3	-	0.2	-	0.4	0.7						1.6	0.8	0.8	100.0%
General Government	9.3	3.9	6.3	8.0	33.0	10.8	15.3						86.6	115.6	(29.0)	-25.1%
Public Health:																
Medicaid	528.3	474.2	30.5	823.1	424.7	521.0	417.0						3,218.8	3,592.3	(373.5)	-10.4%
Other Public Health	29.6	25.5	67.4	52.2	45.3	190.8	55.4						466.2	516.6	(50.4)	-9.8%
Public Safety	18.0	9.7	4.2	14.2	21.5	20.5	10.0						98.1	113.5	(15.4)	-13.6%
Public Welfare	0.1	0.2	-	0.6	-	0.1	-						1.0	3.3	(2.3)	-69.7%
Support and Regulate Business	-	0.4	4.4	0.6	6.7	5.3	0.7						18.1	24.2	(6.1)	-25.2%
Transportation	61.6	41.7	18.5	701.8	345.2	241.1	253.5						1,663.4	1,981.9	(318.5)	-16.1%
Total Local Assistance Grants	647.0	590.9	428.3	1,601.0	876.3	3,280.3	901.6	-	-	-	-	-	8,325.4	9,359.2	(1,033.8)	-11.0%
Departmental Operations:																
Personal Service	601.1	393.6	390.9	375.2	326.9	607.2	389.4						3,084.3	3,124.5	(40.2)	-1.3%
Non-Personal Service	230.1	176.8	158.7	192.7	159.2	260.1	248.4						1,426.0	1,647.2	(221.2)	-13.4%
General State Charges	52.3	39.8	70.7	52.3	72.2	116.3	46.6						450.2	519.1	(68.9)	-13.3%
Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%
Total Disbursements	1,530.5	1,201.1	1,048.6	2,221.2	1,434.6	4,263.9	1,586.0	-	-	-	-	-	13,285.9	14,650.0	(1,364.1)	-9.3%
Excess (Deficiency) of Receipts over Disbursements	70.1	(32.9)	661.6	(684.4)	(43.8)	(2,004.0)	289.6	-	-	-	-	-	(1,743.8)	(1,519.5)	(224.3)	-14.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9						2,125.2	2,123.8	1.4	0.1%
Transfers to Other Funds	2.7	5.6	(23.0)	(17.7)	(3.3)	(147.0)	(5.3)						(188.0)	(344.6)	(156.6)	-45.4%
Total Other Financing Sources (Uses)	225.4	47.1	874.4	117.9	112.7	59.1	500.6	-	-	-	-	-	1,937.2	1,779.2	158.0	8.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	295.5	14.2	1,536.0	(566.5)	68.9	(1,944.9)	790.2	-	-	-	-	-	193.4	259.7	(66.3)	-25.5%
Ending Fund Balance	\$ 5,696.2	\$ 5,710.4	\$ 7,246.4	\$ 6,679.9	\$ 6,748.8	\$ 4,803.9	\$ 5,594.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,594.1	\$ 5,350.5	\$ 243.6	4.6%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020							2021					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 911.4	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4	\$ 4,706.4						\$ 911.4	\$ (1,248.4)	\$ 2,159.8	173.0%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	4.0	40.6	0.2	1.7	7.6	0.1	1.4						55.6	59.8	(4.2)	-7.0%
Medical Care	-	-	-	-	-	-	-						-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-						-	-	-	0.0%
Other	-	-	-	-	-	-	-						-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-						-	-	-	0.0%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Criminal	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-						-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-						-	-	-	0.0%
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2	0.2	0.3	0.1						1.5	3.9	(2.4)	-61.5%
Interest Earnings	3.0	3.9	1.4	0.9	1.2	0.8	0.8						12.0	16.4	(4.4)	-26.8%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-						-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-						-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-						-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-						-	-	-	0.0%
Rentals	-	-	-	-	-	-	-						-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Commissions	-	-	-	-	-	-	-						-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-						-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-						-	-	-	0.0%
Rebates	7.7	8.7	7.5	7.5	7.4	7.7	7.5						54.0	58.1	(4.1)	-7.1%
Restitution and Settlements	-	-	-	-	-	-	-						-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-						-	-	-	0.0%
All Other	0.3	0.3	-	0.1	0.6	(0.1)	0.1						1.3	0.7	0.6	85.7%
Sales	-	-	-	-	-	-	-						-	-	-	0.0%
Tuition	-	-	-	-	-	-	-						-	-	-	0.0%
Total Miscellaneous Receipts	15.3	53.7	9.3	10.4	17.0	8.8	9.9	-	-	-	-	-	124.4	138.9	(14.5)	-10.4%
Federal Receipts	10,777.4	4,104.3	7,348.2	5,218.2	4,402.0	9,909.0	7,428.6						49,187.7	36,517.4	12,670.3	34.7%
Total Receipts	10,792.7	4,158.0	7,357.5	5,228.6	4,419.0	9,917.8	7,438.5	-	-	-	-	-	49,312.1	36,656.3	12,655.8	34.5%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020												7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	382.9	67.6	550.9	234.6	213.3	294.1	130.3						1,873.7	2,104.5	(230.8)	-11.0%
Environment and Recreation	-	0.2	-	0.1	0.1	(0.1)	0.1						0.4	0.7	(0.3)	-42.9%
General Government	2.0	2.2	2.2	11.0	6.4	3,856.0	154.3						4,034.1	31.3	4,002.8	12,788.5%
Public Health:																
Medicaid	4,652.6	3,341.8	3,585.1	3,313.7	3,436.3	4,869.1	2,869.6						26,068.2	23,350.4	2,717.8	11.6%
Other Public Health	480.0	507.5	642.3	503.9	507.6	614.7	515.3						3,771.3	3,744.9	26.4	0.7%
Public Safety	74.4	52.5	155.0	247.4	40.7	115.7	617.1						1,302.8	625.1	677.7	108.4%
Public Welfare	134.8	25.7	253.6	201.0	253.9	950.3	384.1						2,203.4	2,600.2	(396.8)	-15.3%
Support and Regulate Business	0.3	0.3	2.1	0.4	1.9	-	1.0						6.0	6.0	-	0.0%
Transportation	3.9	3.0	4.1	7.3	5.0	5.7	5.0						34.0	30.2	3.8	12.6%
Total Local Assistance Grants	5,730.9	4,000.8	5,195.3	4,519.4	4,465.2	10,705.5	4,676.8	-	-	-	-	-	39,293.9	32,493.3	6,800.6	20.9%
Departmental Operations:																
Personal Service	74.7	51.0	160.9	164.8	63.8	84.0	131.8						731.0	388.9	342.1	88.0%
Non-Personal Service	40.8	44.1	168.6	885.3	221.4	228.0	188.6						1,776.8	749.4	1,027.4	137.1%
General State Charges	22.7	24.7	38.9	83.7	70.7	23.5	77.7						341.9	190.1	151.8	79.9%
Capital Projects	-	-	-	-	2.3	-	-						2.3	-	2.3	100.0%
Total Disbursements	5,869.1	4,120.6	5,563.7	5,653.2	4,823.4	11,041.0	5,074.9	-	-	-	-	-	42,145.9	33,821.7	8,324.2	24.6%
Excess (Deficiency) of Receipts over Disbursements	4,923.6	37.4	1,793.8	(424.6)	(404.4)	(1,123.2)	2,363.6	-	-	-	-	-	7,166.2	2,834.6	4,331.6	152.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Other Funds	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)						(1,487.9)	(1,070.1)	417.8	39.0%
Total Other Financing Sources (Uses)	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)	-	-	-	-	-	(1,487.9)	(1,070.1)	417.8	39.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,616.4	(98.0)	1,796.5	(673.5)	(431.4)	(1,415.0)	1,883.3	-	-	-	-	-	5,678.3	1,764.5	3,913.8	221.8%
Ending Fund Balance	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4	\$ 4,706.4	\$ 6,589.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,589.7	\$ 516.1	\$ 6,073.6	1,176.8%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT H

	2020							2021					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 63.4	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3						\$ 63.4	\$ 64.8	\$ (1.4)	-2.2%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,033.1	1,099.6	2,184.2	5,115.4	1,361.5	2,635.7	1,265.8						14,695.3	15,279.9	(584.6)	-3.8%
Consumption/Use Taxes:																
Sales and Use	394.2	369.7	572.0	529.7	536.3	708.9	549.9						3,660.7	4,333.0	(672.3)	-15.5%
Total Consumption/Use Taxes	394.2	369.7	572.0	529.7	536.3	708.9	549.9	-	-	-	-	-	3,660.7	4,333.0	(672.3)	-15.5%
Other Taxes:																
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8						389.0	611.8	(222.8)	-36.4%
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.2						0.6	0.5	0.1	20.0%
Total Other Taxes	57.3	48.3	38.0	53.1	56.5	70.4	66.0	-	-	-	-	-	389.6	612.3	(222.7)	-36.4%
Total Taxes	1,484.6	1,517.6	2,794.2	5,698.2	1,954.3	3,415.0	1,881.7	-	-	-	-	-	18,745.6	20,225.2	(1,479.6)	-7.3%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-						-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-						-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-						-	-	-	0.0%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Criminal	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-						-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-						-	-	-	0.0%
Interest Earnings	0.1	0.1	-	-	-	-	-						0.2	1.3	(1.1)	-84.6%
Receipts from Municipalities	-	0.3	0.1	0.1	-	-	-						0.5	1.7	(1.2)	-70.6%
Rentals	-	-	-	-	-	-	-						-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	47.2	13.4	42.6	45.8	46.2	36.2	20.9						252.3	294.1	(41.8)	-14.2%
All Other	-	-	-	-	-	-	-						-	0.1	(0.1)	-100.0%
Sales	-	-	-	-	-	-	-						-	-	-	0.0%
Total Miscellaneous Receipts	47.3	13.8	42.7	45.9	46.2	36.2	20.9	-	-	-	-	-	253.0	297.2	(44.2)	-14.9%
Federal Receipts	-	-	-	-	-	24.4	-						24.4	36.8	(12.4)	-33.7%
Total Receipts	1,531.9	1,531.4	2,836.9	5,744.1	2,000.5	3,475.6	1,902.6	-	-	-	-	-	19,023.0	20,559.2	(1,536.2)	-7.5%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	-	0.9	12.1	5.8	2.9	7.9	-						29.6	23.1	6.5	28.1%
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8						1,318.8	1,025.1	293.7	28.7%
Total Disbursements	36.5	24.4	41.0	16.5	340.5	849.7	39.8	-	-	-	-	-	1,348.4	1,048.2	300.2	28.6%
Excess (Deficiency) of Receipts over Disbursements	1,495.4	1,507.0	2,795.9	5,727.6	1,660.0	2,625.9	1,862.8	-	-	-	-	-	17,674.6	19,511.0	(1,836.4)	-9.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	280.2	130.3	39.0	311.2	49.4	247.4	319.1						1,376.6	1,569.5	(192.9)	-12.3%
Transfers to Other Funds	(1,446.1)	(1,508.1)	(2,861.8)	(4,931.0)	(1,167.9)	(2,680.5)	(1,218.9)						(15,814.3)	(20,540.9)	(4,726.6)	-23.0%
Total Other Financing Sources (Uses)	(1,165.9)	(1,377.8)	(2,822.8)	(4,619.8)	(1,118.5)	(2,433.1)	(899.8)	-	-	-	-	-	(14,437.7)	(18,971.4)	4,533.7	23.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	329.5	129.2	(26.9)	1,107.8	541.5	192.8	963.0	-	-	-	-	-	3,236.9	539.6	2,697.3	499.9%
Ending Fund Balance	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3	\$ 3,300.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,300.3	\$ 604.4	\$ 2,695.9	446.0%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

	2020								2021			Intra-Fund Transfer Eliminations (*)	7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY		MARCH	2020	2019	\$ Increase/ (Decrease)
Beginning Fund Balance	\$ (1,034.9)	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)						\$ (1,034.9)	\$ (1,137.9)	\$ 103.0	9.1%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1						26.5	49.9	(23.4)	-46.9%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3						194.0	247.4	(53.4)	-21.6%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6						78.7	85.1	(6.4)	-7.5%
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0	42.0						299.2	382.4	(83.2)	-21.8%
Business Taxes:																
Corporation Franchise	-	-	-	-	-	-	-						-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7						5.8	8.3	(2.5)	-30.1%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9						310.7	400.0	(89.3)	-22.3%
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4	50.6						316.5	408.3	(91.8)	-22.5%
Other Taxes:																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0						59.6	59.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0						59.6	59.6	-	0.0%
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3	104.6						675.3	850.3	(175.0)	-20.6%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	23.0	-	-	-						23.0	23.0	-	0.0%
Assessments:																
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3						45.9	61.5	(15.6)	-25.4%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5						21.7	25.8	(4.1)	-15.9%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7						370.6	443.9	(73.3)	-16.5%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1						19.3	16.1	3.2	19.9%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9						14.4	14.2	0.2	1.4%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-						1.5	7.2	(5.7)	-79.2%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8						3,066.4	2,671.8	394.6	14.8%
Issuance Fees	-	-	-	-	-	-	-						-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4						1.7	24.3	(22.6)	-93.0%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1						0.5	1.3	(0.8)	-61.5%
Rentals	0.5	1.1	2.1	2.2	3.8	1.2	0.8						11.7	5.8	5.9	101.7%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8						10.2	15.5	(5.3)	-34.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	(0.9)	0.9	100.0%
Rebates	-	-	-	-	-	-	-						-	0.2	(0.2)	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3						9.0	4.0	5.0	125.0%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7						33.4	41.6	(8.2)	-19.7%
Sales	-	-	-	-	0.1	0.1	-						0.2	4.3	(4.1)	-95.3%
Total Miscellaneous Receipts	1,190.7	64.2	415.6	365.6	134.6	480.4	978.4						3,629.5	3,359.6	269.9	8.0%
Federal Receipts	85.7	102.7	167.9	209.1	186.7	177.2	167.7						1,097.0	1,163.1	(66.1)	-5.7%
Total Receipts	1,350.5	213.2	691.4	682.9	425.2	787.9	1,250.7						5,401.8	5,373.0	28.8	0.5%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

	Intra-Fund Transfer Eliminations (*)												7 Months Ended October 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	-	-	-	-	-	40.6	102.2	(61.6)	-60.3%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	-	-	-	-	-	77.0	122.1	(45.1)	-36.9%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	-	-	-	-	-	296.9	546.7	(249.8)	-45.7%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	38.7	76.1	25.7	50.9	-	-	-	-	-	309.5	279.4	30.1	10.8%
Public Safety	-	-	3.8	0.9	1.1	0.7	0.3	-	-	-	-	-	6.8	22.8	(16.0)	-70.2%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	-	-	-	-	-	367.3	158.1	209.2	132.3%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	-	-	-	-	-	233.1	553.3	(320.2)	-57.9%
Transportation	24.4	46.2	50.0	91.9	146.7	369.3	483.6	-	-	-	-	-	1,212.1	1,039.2	172.9	16.6%
Total Local Assistance Grants	143.4	160.0	243.6	273.7	439.2	552.9	730.5	-	-	-	-	-	2,543.3	2,823.8	(280.5)	-9.9%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	509.8	406.0	681.8	641.4	665.4	706.7	533.6	-	-	-	-	-	4,144.7	4,143.0	1.7	0.0%
Total Disbursements	653.2	566.0	925.4	915.1	1,104.6	1,259.6	1,264.1	-	-	-	-	-	6,688.0	6,966.8	(278.8)	-4.0%
Excess (Deficiency) of Receipts over Disbursements	697.3	(352.8)	(234.0)	(232.2)	(679.4)	(471.7)	(13.4)	-	-	-	-	-	(1,286.2)	(1,593.8)	307.6	19.3%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	-	-	-	-	-	1,184.5	2,345.9	(1,161.4)	-49.5%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	-	-	-	-	-	(286.5)	(560.4)	(273.9)	-48.9%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	529.9	63.0	79.2	-	-	-	-	-	898.0	1,785.5	(887.5)	-49.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(120.1)	(167.2)	114.3	277.2	(149.5)	(408.7)	65.8	-	-	-	-	-	(388.2)	191.7	(579.9)	-302.5%
Ending Fund Balance	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)	\$ (1,423.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,423.1)	\$ (946.2)	\$ (476.9)	-50.4%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

													7 Months Ended October 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (472.2)	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)	\$ (935.6)						\$ (472.2)	\$ (633.2)	\$ 161.0	25.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1						26.5	49.9	(23.4)	-46.9%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3						194.0	247.4	(53.4)	-21.6%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6						78.7	85.1	(6.4)	-7.5%
Total Consumption/Use Taxes	36.0	25.6	47.4	43.6	43.6	61.0	42.0	-	-	-	-	-	299.2	382.4	(83.2)	-21.8%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-						-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7						5.8	8.3	(2.5)	-30.1%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9						310.7	400.0	(89.3)	-22.3%
Total Business Taxes	38.1	20.7	48.6	52.7	48.4	57.4	50.6	-	-	-	-	-	316.5	408.3	(91.8)	-22.5%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0						59.6	59.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	-	-	-	-	-	59.6	59.6	-	0.0%
Total Taxes	74.1	46.3	107.9	108.2	103.9	130.3	104.6	-	-	-	-	-	675.3	850.3	(175.0)	-20.6%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	23.0	-	-	-						23.0	23.0	-	0.0%
Assessments:																
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3						45.9	61.5	(15.6)	-25.4%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5						21.7	25.8	(4.1)	-15.9%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7						370.6	443.9	(73.3)	-16.5%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1						19.3	16.1	3.2	19.9%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9						14.4	14.2	0.2	1.4%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-						1.5	7.2	(5.7)	-79.2%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8						3,066.4	2,671.8	394.6	14.8%
Issuance Fees	-	-	-	-	-	-	-						-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4						1.7	24.3	(22.6)	-93.0%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1						0.5	1.3	(0.8)	-61.5%
Rentals	0.4	1.1	2.0	2.2	3.7	1.1	0.7						11.2	5.3	5.9	111.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8						10.2	15.5	(5.3)	-34.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	(0.9)	0.9	100.0%
Rebates	-	-	-	-	-	-	-						-	0.2	(0.2)	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3						9.0	4.0	5.0	125.0%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7						33.4	41.6	(8.2)	-19.7%
Sales	-	-	-	-	0.1	-	-						0.1	4.3	(4.2)	-97.7%
Total Miscellaneous Receipts	1,190.6	64.2	415.5	365.6	134.5	480.2	978.3	-	-	-	-	-	3,628.9	3,359.1	269.8	8.0%
Federal Receipts	-	-	-	-	-	2.1	-						2.1	2.3	(0.2)	-8.7%
Total Receipts	1,264.7	110.5	523.4	473.8	238.4	612.6	1,082.9	-	-	-	-	-	4,306.3	4,211.7	94.6	2.2%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

													7 Months Ended October 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0	1.0	1.4	20.3						40.6	102.2	(61.6)	-60.3%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9						77.0	122.1	(45.1)	-36.9%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4						296.9	546.7	(249.8)	-45.7%
Public Health:																
Medicaid	-	-	-	-	-	-	-						-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	37.5	76.1	25.1	50.9						307.7	240.5	67.2	27.9%
Public Safety	-	-	0.7	0.9	1.1	0.3	0.3						3.3	2.6	0.7	26.9%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6						367.3	158.1	209.2	132.3%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5						233.1	553.3	(320.2)	-57.9%
Transportation	2.4	4.7	14.4	50.4	104.0	316.6	432.9						925.4	754.0	171.4	22.7%
Total Local Assistance Grants	121.4	118.5	204.9	231.0	396.5	499.2	679.8	-	-	-	-	-	2,251.3	2,479.5	(228.2)	-9.2%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-						-	-	-	0.0%
Capital Projects	452.1	333.5	541.8	512.4	546.9	547.4	430.2						3,364.3	3,433.7	(69.4)	-2.0%
Total Disbursements	573.5	452.0	746.7	743.4	943.4	1,046.6	1,110.0	-	-	-	-	-	5,615.6	5,913.2	(297.6)	-5.0%
Excess (Deficiency) of Receipts over Disbursements	691.2	(341.5)	(223.3)	(269.6)	(705.0)	(434.0)	(27.1)	-	-	-	-	-	(1,309.3)	(1,701.5)	392.2	23.1%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3						1,184.5	2,521.8	(1,337.3)	-53.0%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)						(286.5)	(560.4)	(273.9)	-48.9%
Total Other Financing Sources (Uses)	(817.4)	185.6	348.3	509.4	529.9	63.0	79.2	-	-	-	-	-	898.0	1,961.4	(1,063.4)	-54.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(126.2)	(155.9)	125.0	239.8	(175.1)	(371.0)	52.1	-	-	-	-	-	(411.3)	259.9	(671.2)	-258.3%
Ending Fund Balance	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)	\$ (935.6)	\$ (883.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (883.5)	\$ (373.3)	\$ (510.2)	-136.7%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

	2020							2021					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (562.7)	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)						\$ (562.7)	\$ (504.7)	\$ (58.0)	-11.5%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities																
Rentals	0.1	-	0.1	-	0.1	0.1	0.1	-	-	-	-	-	0.5	0.5	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	0.1	-	-	-	-	-	-	0.1	-	0.1	100.0%
Total Miscellaneous Receipts	0.1	-	0.1	-	0.1	0.2	0.1	-	-	-	-	-	0.6	0.5	0.1	20.0%
Federal Receipts	85.7	102.7	167.9	209.1	186.7	175.1	167.7	-	-	-	-	-	1,094.9	1,160.8	(65.9)	-5.7%
Total Receipts	85.8	102.7	168.0	209.1	186.8	175.3	167.8	-	-	-	-	-	1,095.5	1,161.3	(65.8)	-5.7%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	1.2	-	0.6	-	-	-	-	-	-	1.8	38.9	(37.1)	-95.4%
Public Safety	-	-	3.1	-	-	0.4	-	-	-	-	-	-	3.5	20.2	(16.7)	-82.7%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	41.5	35.6	41.5	42.7	52.7	50.7	-	-	-	-	-	286.7	285.2	1.5	0.5%
Total Local Assistance Grants	22.0	41.5	38.7	42.7	42.7	53.7	50.7	-	-	-	-	-	292.0	344.3	(52.3)	-15.2%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	57.7	72.5	140.0	129.0	118.5	159.3	103.4	-	-	-	-	-	780.4	709.3	71.1	10.0%
Total Disbursements	79.7	114.0	178.7	171.7	161.2	213.0	154.1	-	-	-	-	-	1,072.4	1,053.6	18.8	1.8%
Excess (Deficiency) of Receipts over Disbursements	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	-	-	-	-	-	23.1	107.7	(84.6)	-78.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	(175.9)	(175.9)	-100.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	(175.9)	(175.9)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	-	-	-	-	-	23.1	(68.2)	91.3	133.9%
Ending Fund Balance	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)	\$ (539.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (539.6)	\$ (572.9)	\$ 33.3	5.8%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT J

	2020							2021					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 29.7	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1						\$ 29.7	\$ 26.6	\$ 3.1	11.7%
RECEIPTS:																
Miscellaneous Receipts	4.2	4.8	6.4	6.9	7.7	7.0	4.4						41.4	55.3	(13.9)	-25.1%
Federal Receipts	2,584.0	5,993.3	10,834.5	8,949.5	3,020.9	2,491.7	2,489.5						36,363.4	6.8	36,356.6	534,655.9%
Unemployment Taxes	1,823.9	2,261.7	2,631.7	1,980.9	1,831.3	1,396.4	613.4						12,539.3	1,105.5	11,433.8	1,034.3%
Total Receipts	4,412.1	8,259.8	13,472.6	10,937.3	4,859.9	3,895.1	3,107.3	-	-	-	-	-	48,944.1	1,167.6	47,776.5	4,091.9%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	1.4	0.9	1.1	1.1	1.3	1.7	1.1						8.6	10.5	(1.9)	-18.1%
Non-Personal Service	3.6	4.9	5.4	4.9	4.6	4.4	4.1						31.9	36.9	(5.0)	-13.6%
General State Charges	0.2	0.1	0.2	0.1	0.1	0.2	0.1						1.0	0.4	0.6	150.0%
Unemployment Benefits	4,390.7	8,263.9	13,469.5	10,926.1	4,852.1	3,888.9	3,102.8						48,894.0	1,113.0	47,781.0	4,293.0%
Total Disbursements	4,395.9	8,269.8	13,476.2	10,932.2	4,858.1	3,895.2	3,108.1	-	-	-	-	-	48,935.5	1,160.8	47,774.7	4,115.7%
Excess (Deficiency) of Receipts over Disbursements	16.2	(10.0)	(3.6)	5.1	1.8	(0.1)	(0.8)	-	-	-	-	-	8.6	6.8	1.8	26.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	3.0	-	-	-	-						3.0	-	3.0	100.0%
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	3.0	-	-	-	-	-	-	-	-	-	3.0	-	3.0	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.2	(10.0)	(0.6)	5.1	1.8	(0.1)	(0.8)	-	-	-	-	-	11.6	6.8	4.8	70.6%
Ending Fund Balance	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1	\$ 41.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 41.3	\$ 33.4	\$ 7.9	23.7%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT K

													7 Months Ended October 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (297.5)	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ (355.2)	\$ (372.7)						\$ (297.5)	\$ (302.7)	\$ 5.2	1.7%
RECEIPTS:																
Miscellaneous Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0						221.7	307.6	(85.9)	-27.9%
Total Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	-	-	-	-	-	221.7	307.6	(85.9)	-27.9%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	14.5	11.1	11.0	12.3	9.9	14.6	10.2						83.6	76.5	7.1	9.3%
Non-Personal Service	(9.3)	26.6	83.3	31.7	41.6	35.2	61.3						270.4	233.6	36.8	15.8%
General State Charges	4.8	5.3	8.6	4.6	5.7	4.8	4.8						38.6	31.6	7.0	22.2%
Total Disbursements	10.0	43.0	102.9	48.6	57.2	54.6	76.3	-	-	-	-	-	392.6	341.7	50.9	14.9%
Excess (Deficiency) of Receipts over Disbursements	15.2	(20.7)	(68.0)	(28.8)	(24.8)	(20.5)	(23.3)	-	-	-	-	-	(170.9)	(34.1)	(136.8)	-401.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1.3	2.5	52.0	1.7	12.1	3.1	6.9						79.6	49.0	30.6	62.4%
Transfers to Other Funds	-	-	-	-	(0.2)	(0.1)	-						(0.3)	(0.9)	(0.6)	-66.7%
Total Other Financing Sources (Uses)	1.3	2.5	52.0	1.7	11.9	3.0	6.9	-	-	-	-	-	79.3	48.1	31.2	64.9%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(18.2)	(16.0)	(27.1)	(12.9)	(17.5)	(16.4)	-	-	-	-	-	(91.6)	14.0	(105.6)	-754.3%
Ending Fund Balance	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ (355.2)	\$ (372.7)	\$ (389.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (389.1)	\$ (288.7)	\$ (100.4)	-34.8%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT L

													7 Months Ended October 31			
	2020						2021						2020	2019	\$ Increase/ (Decrease)	% Increase Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (1.1)	\$ (5.3)	\$ (9.3)	\$ (15.3)	\$ (1.7)	\$ (5.9)	\$ (4.2)						\$ (1.1)	\$ (3.0)	\$ 1.9	63.3%
RECEIPTS:																
Miscellaneous Receipts	8.3	5.5	5.4	23.5	5.6	14.3	11.0						73.6	71.2	2.4	3.4%
Total Receipts	8.3	5.5	5.4	23.5	5.6	14.3	11.0	-	-	-	-	-	73.6	71.2	2.4	3.4%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	8.3	5.5	5.6	5.5	5.5	8.3	5.6						44.3	41.5	2.8	6.7%
Non-Personal Service	0.7	0.6	0.6	1.0	0.9	0.8	0.7						5.3	8.3	(3.0)	-36.1%
General State Charges	3.5	3.4	5.2	3.4	3.4	3.5	3.4						25.8	21.6	4.2	19.4%
Total Disbursements	12.5	9.5	11.4	9.9	9.8	12.6	9.7	-	-	-	-	-	75.4	71.4	4.0	5.6%
Excess (Deficiency) of Receipts over Disbursements	(4.2)	(4.0)	(6.0)	13.6	(4.2)	1.7	1.3	-	-	-	-	-	(1.8)	(0.2)	(1.6)	-800.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.2)	(4.0)	(6.0)	13.6	(4.2)	1.7	1.3	-	-	-	-	-	(1.8)	(0.2)	(1.6)	-800.0%
Ending Fund Balance	\$ (5.3)	\$ (9.3)	\$ (15.3)	\$ (1.7)	\$ (5.9)	\$ (4.2)	\$ (2.9)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (2.9)	\$ (3.2)	\$ 0.3	9.4%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT M

													7 Months Ended October 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 14.3	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1	\$ 14.1						\$ 14.3	\$ 13.2	\$ 1.1	8.3%
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2						0.2	0.9	(0.7)	-77.8%
Total Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	-	-	-	0.2	0.9	(0.7)	-77.8%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	-	0.1						0.2	0.1	0.1	100.0%
Non-Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
General State Charges	0.1	-	-	-	-	-	-						0.1	0.1	-	0.0%
Total Disbursements	0.1	-	-	0.1	-	-	0.1	-	-	-	-	-	0.3	0.2	0.1	50.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	-	-	-	(0.1)	0.7	(0.8)	-114.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.1	0.1	0.1	(0.6)	-	0.1	-	-	-	-	-	(0.1)	0.7	(0.8)	-114.3%
Ending Fund Balance	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1	\$ 14.1	\$ 14.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14.2	\$ 13.9	\$ 0.3	2.2%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)

SCHEDULE 1

	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.061	\$ 2,396.098	\$ 2,396.037	\$ -
10050-10099-State Operations Account	15,411.819	2,260.867	1,168.999	(1,602.752)	14,900.935
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	29.976	-	0.097	-	29.879
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	15,441.795	2,260.928	3,565.194	793.285	14,930.814
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.841	-	0.003	-	0.838
20100-20299-Combined Expendable Trust	69.874	0.978	0.513	-	70.339
20300-20349-New York Interest on Lawyer Account	114.387	2.251	4.088	-	112.550
20350-20399-NYS Archives Partnership Trust	0.077	-	0.036	-	0.041
20400-20449-Child Performer's Protection	0.470	0.005	0.049	-	0.426
20450-20499-Tuition Reimbursement	8.429	0.291	0.484	-	8.236
20500-20549-New York State Local Government Records Management Improvement	4.746	0.901	0.508	-	5.139
20550-20599-School Tax Relief	0.009	0.100	-	-	0.109
20600-20649-Charter Schools Stimulus	0.577	0.001	-	-	0.578
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	104.335	495.529	410.798	(7.485)	181.581
20850-20899-Dedicated Mass Transportation Trust	90.444	49.779	65.100	-	75.123
20900-20949-State Lottery	(1,415.269)	227.879	149.275	-	(1,336.665)
20950-20999-Combined Student Loan	28.982	1.942	0.522	-	30.402
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.748)	-	0.051	-	(3.799)
21050-21149-Encon Special Revenue	(5.871)	12.432	6.764	5.033	4.830
21150-21199-Conservation	95.103	10.745	2.655	(1.834)	101.359
21200-21249-Environmental Protection and Oil Spill Compensation	20.283	3.318	1.543	(2.422)	19.636
21250-21299-Training and Education Program on OSHA	10.532	0.001	1.826	-	8.707
21300-21349-Lawyers' Fund for Client Protection	10.089	0.925	0.092	-	10.922
21350-21399-Equipment Loan for the Disabled	0.543	0.002	0.013	-	0.532
21400-21449-Mass Transportation Operating Assistance	486.246	136.397	178.710	(0.085)	443.848
21450-21499-Clean Air	(34.205)	5.591	2.670	-	(31.284)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.328	0.094	0.073	-	12.349
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.990	0.001	0.157	-	0.834
21900-22499-Miscellaneous State Special Revenue	1,605.733	334.315	217.423	44.891	1,767.516
22500-22549-Court Facilities Incentive Aid	25.481	0.003	6.962	-	18.522

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)**

SCHEDULE 1

	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,872.271	483.790	507.637	421.399	2,269.823
22700-22749-Chemical Dependence Service	10.864	0.085	0.184	-	10.765
22750-22799-Lake George Park Trust	0.240	-	0.094	-	0.146
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	79.067	0.004	2.313	-	76.758
22850-22899-New York Great Lakes Protection	0.482	-	0.011	-	0.471
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.645	0.001	-	-	10.646
23000-23049-NYS/DOT Highway Safety Program	(15.896)	0.127	0.272	-	(16.041)
23050-23099-Vocational Rehabilitation	0.075	-	-	-	0.075
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(43.873)	-	2.221	-	(46.094)
23200-23249-Judiciary Data Processing Offset	53.356	5.988	2.656	-	56.688
23250-23449-IFR/CUTRA	149.449	4.009	2.042	-	151.416
23500-23549-USOC Lake Placid Training	0.341	0.007	-	-	0.348
23550-23599-Indigent Legal Services	496.632	25.340	4.993	-	516.979
23600-23649-Unemployment Insurance Interest and Penalty	24.266	0.206	0.156	(2.537)	21.779
23650-23699-MTA Financial Assistance Fund	326.463	0.037	10.000	61.350	377.850
23700-23749-New York State Commercial Gaming Fund	13.233	11.330	2.708	-	21.855
23750-23799-Medical Marihuana Trust Fund	12.202	0.759	0.283	-	12.678
23800-23899-Dedicated Miscellaneous State Special Revenue	3.834	0.202	0.091	-	3.945
24850-24899-Health Care Transformation	316.419	0.034	-	-	316.453
24900-24949-Charitable Gifts Trust Fund	95.898	0.010	-	-	95.908
24950-24999-Interactive Fantasy Sports	20.740	0.813	0.010	-	21.543
40350-40399-State University Dormitory Income	150.544	59.288	-	(17.675)	192.157
TOTAL SPECIAL REVENUE FUNDS-STATE	4,803.924	1,875.510	1,585.986	500.635	5,594.083
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	0.638	143.150	141.617	(0.178)	1.993
25100-25199-Federal Health and Human Services	868.370	6,384.555	3,751.552	(477.102)	3,024.271
25200-25249-Federal Education	(16.039)	123.930	140.043	(0.023)	(32.175)
25300-25899-Federal Miscellaneous Operating Grants	3,741.725	725.029	957.136	(3.026)	3,506.592
25900-25949-Unemployment Insurance Administration	113.143	50.945	68.414	-	95.674
25950-25999-Unemployment Insurance Occupational Training	(0.503)	0.232	0.091	-	(0.362)
26000-26049-Federal Employment and Training Grants	(0.926)	10.673	16.031	-	(6.284)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	4,706.408	7,438.514	5,074.884	(480.329)	6,589.709
TOTAL SPECIAL REVENUE FUNDS	9,510.332	9,314.024	6,660.870	20.306	12,183.792
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	65.475	21.558	-	190.974	278.007
40150-40199-General Debt Service	2,227.009	1,540.898	38.668	(743.956)	2,985.283
40250-40299-State Housing Debt Service	-	0.002	1.147	1.145	-
40300-40349-Department of Health Income	38.837	(0.676)	-	(10.081)	28.080
40400-40449-Clean Water/Clean Air	6.030	65.856	-	(62.934)	8.952
40450-40499-Local Government Assistance Tax	-	274.909	-	(274.909)	-
TOTAL DEBT SERVICE FUNDS	2,337.351	1,902.547	39.815	(899.761)	3,300.322

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)**

SCHEDULE 1

	BALANCE OCTOBER 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2020
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	689.738	780.606	90.868	-
30050-30099-Dedicated Highway and Bridge Trust	(320.732)	307.903	173.936	(10.649)	(197.414)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	116.349	0.013	1.279	-	115.083
30300-30349-New York State Canal System Development	14.096	0.001	-	-	14.097
30350-30399-Parks Infrastructure	(70.450)	47.560	11.964	-	(34.854)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	98.040	15.441	11.745	-	101.736
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.201	-	-	(0.001)	17.200
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(553.291)	167.775	154.064	-	(539.580)
31450-31499-Forest Preserve Expansion	1.082	-	-	-	1.082
31500-31549-Hazardous Waste Remedial	(62.232)	19.361	6.181	(0.677)	(49.729)
31650-31699-Suburban Transportation	0.539	0.001	-	-	0.540
31700-31749-Division for Youth Facilities Improvement	(15.759)	-	1.432	-	(17.191)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(424.287)	-	24.112	-	(448.399)
31900-31949-Natural Resource Damage	17.899	0.002	0.189	-	17.712
31950-31999-DOT Engineering Services	(11.969)	-	(0.013)	-	(11.956)
32200-32249-Miscellaneous Capital Projects	103.009	1.541	3.777	1.632	102.405
32250-32299-CUNY Capital Projects	0.035	0.001	-	-	0.036
32300-32349-Mental Hygiene Facilities Capital Improvement	(383.564)	0.004	11.283	-	(394.843)
32350-32399-Correction Facilities Capital Improvement	(212.506)	-	20.471	-	(232.977)
32400-32999-State University Capital Projects	153.516	0.052	3.858	(1.945)	147.765
33000-33049-NYS Storm Recovery Fund	(53.102)	1.310	1.806	-	(53.598)
33050-33099-Dedicated Infrastructure Investment Fund	90.519	-	57.387	-	33.132
TOTAL CAPITAL PROJECTS FUNDS	(1,488.943)	1,250.703	1,264.077	79.228	(1,423.089)
TOTAL GOVERNMENTAL FUNDS	\$ 25,800.535	\$ 14,728.202	\$ 11,529.956	\$ (6.942)	\$ 28,991.839

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF OCTOBER 2020
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE OCTOBER 1, 2020</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE OCTOBER 31, 2020</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.114	\$ 0.002	\$ -	\$ -	\$ 0.116
50050-50099-State Exposition Special	2.057	0.019	0.363	-	1.713
50100-50299-Correctional Services Commissary	3.669	3.829	3.421	-	4.077
50300-50399-Agencies Enterprise	11.004	0.475	1.362	-	10.117
50400-50449-Sheltered Workshop	2.241	0.012	0.010	-	2.243
50450-50499-Patient Workshop	1.887	0.002	-	-	1.889
50500-50599-Mental Hygiene Community Stores	4.943	0.084	0.095	-	4.932
50650-50699-Unemployment Insurance	16.143	3,102.904	3,102.875	-	16.172
TOTAL ENTERPRISE FUNDS	42.058	3,107.327	3,108.126	-	41.259
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(117.864)	30.635	29.801	1.340	(115.690)
55050-55099-Agency Internal Service	(143.179)	11.797	37.721	5.901	(163.202)
55100-55149-Mental Hygiene Revolving	(0.104)	0.042	0.054	-	(0.116)
55150-55199-Youth Vocational Education	0.079	-	-	-	0.079
55200-55249-Joint Labor and Management Administration	0.090	0.459	0.063	-	0.486
55250-55299-Audit and Control Revolving	(57.215)	-	3.290	(0.069)	(60.574)
55300-55349-Health Insurance Revolving	(10.377)	7.868	0.718	(0.225)	(3.452)
55350-55399-Correctional Industries Revolving	(44.083)	2.202	4.738	(0.005)	(46.624)
TOTAL INTERNAL SERVICE FUNDS	(372.653)	53.003	76.385	6.942	(389.093)
TOTAL PROPRIETARY FUNDS	\$ (330.595)	\$ 3,160.330	\$ 3,184.511	\$ 6.942	\$ (347.834)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF OCTOBER 2020
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE OCTOBER 1, 2020</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE OCTOBER 31, 2020</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (4.213)	\$ 11.054	\$ 9.708	\$ -	\$ (2.867)
TOTAL PENSION TRUST FUNDS	(4.213)	11.054	9.708	-	(2.867)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	3.059	0.001	0.017	-	3.043
66050-66099-Milk Producers' Security	11.075	0.104	0.021	-	11.158
TOTAL PRIVATE PURPOSE TRUST FUNDS	14.134	0.105	0.038	-	14.201
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	18.030	0.056	-	-	18.086
60150-60199-Child Performer's Holding	0.538	-	-	-	0.538
60200-60249-Employees Health Insurance	1,143.184	862.097	966.754	-	1,038.527
60250-60299-Social Security Contribution	15.427	93.386	94.375	-	14.438
60300-60399-Employee Payroll Withholding	61.561	347.584	375.020	-	34.125
60400-60449-Employees Dental Insurance	19.583	5.048	5.774	-	18.857
60450-60499-Management Confidential Group Insurance	0.794	0.782	0.763	-	0.813
60500-60549-Lottery Prize	632.188	81.580	76.733	-	637.035
60550-60599-Health Insurance Reserve Receipts	0.146	-	-	-	0.146
60600-60799-Miscellaneous New York State Agency	926.018	936.418	932.080	-	930.356
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	31.693	10.898	14.190	-	28.401
60850-60899-CUNY Senior College Operating	24.098	180.161	201.527	-	2.732
60900-60949-Medicaid Management Information System (MMIS) Escrow	3,074.824	6,030.447	8,907.150	-	198.121
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collector	236.126	(136.122)	-	-	100.004
61100-61999-State University Federal Direct Lending Program	(7.132)	43.932	39.999	-	(3.199)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	6,177.078	8,456.267	11,614.365	-	3,018.980
TOTAL FIDUCIARY FUNDS	\$ 6,186.999	\$ 8,467.426	\$ 11,624.111	\$ -	\$ 3,030.314

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021
FOR THE MONTH OF OCTOBER 2020
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE OCTOBER 1, 2020</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE OCTOBER 31, 2020</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.887	\$ 0.001	\$ -	\$ 2.888
70093, 70095, 70300-70301-MTA State Assistance	157.031	160.904	144.646	173.289
70050-70149-Sole Custody Investment (*)	2,626.155	2,411.926	2,617.336	2,420.745
70200-Comptroller's Refund Account	-	169.456	169.456	-
TOTAL ACCOUNTS	<u>\$ 2,786.073</u>	<u>\$ 2,742.287</u>	<u>\$ 2,931.438</u>	<u>\$ 2,596.922</u>

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of October 31, 2020, \$9,541,097.79 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCTOBER 31, 2020	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2020	MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2020		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2020
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ -	\$ 720,219	\$ 10,725,244	\$ 82,145	\$ 265,100
Clean Water/Clean Air:								
Air Quality	1,795,354	-	-	-	-	1,795,354	-	25,936
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	-	10,184,660	288,410,831	1,133,273	6,522,250
Solid Waste	16,287,590	-	-	-	1,659,267	14,628,323	23,580	356,229
Environmental Restoration	40,070,447	-	-	-	160,000	39,910,447	8,375	750,962
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,198,754	-	-	-	176,298	1,022,456	22,550	48,908
Environmental Quality (1972):								
Air	3,184	-	-	-	-	3,184	-	64
Land and Wetlands	4,939,861	-	-	-	25,000	4,914,861	1,250	121,641
Water	6,370,803	-	-	-	715,000	5,655,803	42,875	168,874
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	5,309,545	-	-	-	486,025	4,823,520	11,571	126,241
Solid Waste Management	91,992,747	-	-	-	7,260,923	84,731,824	666,496	2,401,672
Housing:								
Low Income	5,840,000	-	-	1,060,000	1,060,000	4,780,000	87,600	87,600
Middle Income	4,035,000	-	-	-	2,240,000	1,795,000	-	80,718
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	-	1,846,959	13,651,370	107,528	389,781
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	600,658,226	-	-	-	-	600,658,226	-	10,411,922
Canals and Waterways	9,419,680	-	-	-	-	9,419,680	-	189,325
Aviation	41,089,448	-	-	-	-	41,089,448	-	643,951
Rail and Port	92,824,245	-	-	-	-	92,824,245	-	1,413,133
Mass Transit - Dept. of Transportation	12,168,734	-	-	-	-	12,168,734	-	286,617
Mass Transit - Metropolitan Transportation Authority	705,163,311	-	-	-	-	705,163,311	-	12,342,743
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	553,992	-	-	-	-	553,992	37,391	50,012
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	479,171	1,563,392	-	49,370
Smart Schools Bond Act	161,307,133	-	-	-	-	161,307,133	-	4,031,427
Transportation Capital Facilities:								
Aviation	2,090,099	-	-	-	441,478	1,648,621	40,662	90,412
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,130,699,999	\$ -	\$ -	\$ 1,060,000	\$ 27,455,000	\$ 2,103,244,999	\$ 2,265,296	\$ 40,854,886

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN MONTHS ENDED OCTOBER 31, 2020

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	7 MONTHS ENDED OCTOBER 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	TAX	2020	2019	
(40000-40049)	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)	(40154)			
Payments to Public Authorities:										
City University Construction	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 54,720,301	\$ (54,720,301)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	54,430,525	(54,430,525)
DASNY Revenue Bond	-	-	-	-	-	573,824,449	223,441,555	797,266,004	375,408,510	421,857,494
Department of Health Facilities	-	-	12,802,026	-	-	-	-	12,802,026	13,080,876	(278,850)
Mental Health Facilities	-	-	-	-	8,615,353	-	-	8,615,353	7,064,797	1,550,556
Secured Hospital Program	-	2,495,165	-	-	-	-	-	2,495,165	3,829,093	(1,333,928)
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	5,928,700	2,418,500
SUNY Educational Facilities	-	25,819,813	-	-	-	-	-	25,819,813	18,022,938	7,796,875
Environmental Facilities Corporation	-	-	-	-	-	430,631	-	430,631	839,859	(409,228)
Housing Finance Agency	-	15,828,552	-	-	-	-	-	15,828,552	17,642,733	(1,814,181)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	21,302,971	(21,302,971)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	70,245,848	-	-	-	-	-	70,245,848	308,540,088	(238,294,240)
Local Highway and Bridge	-	-	-	-	-	-	-	-	21,772,000	(21,772,000)
Transportation	-	-	-	-	-	17,821,175	-	17,821,175	27,320,113	(9,498,938)
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	26,675	(26,675)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	4,297,108	-	-	-	-	-	4,297,108	4,100,254	196,854
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	555,750	(555,750)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	286,525,473	-	286,525,473	5,105,575	281,419,898
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	60,072	(48,469)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 127,045,289	\$ 12,802,026	\$ -	\$ 8,615,353	\$ 878,601,728	\$ 223,441,555	\$ 1,250,505,951	\$ 939,751,830	\$ 310,754,121

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2020
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

SCHEDULE 6

	MONTH OF OCTOBER 2020	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 34,725.3	\$ 28,995.3	\$ 18,820.4
AVERAGE YIELD (**)	0.122%	0.240%	2.318%
TOTAL INVESTMENT EARNINGS	\$ 3.578	\$ 40.894	\$ 265.354

Month-End Portfolio Balances		
<u>DESCRIPTION</u>	<u>OCTOBER 2020 PAR AMOUNT</u>	<u>OCTOBER 2019 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 15,356.2	\$ 3,893.3
REPURCHASE AGREEMENTS	20.8	77.3
GOVT. SPONSORED AGENCIES	652.7	-
COMMERCIAL PAPER	15,255.1	13,681.7
CERTIFICATES OF DEPOSIT/SAVINGS	2,902.0	2,941.9
0% COMPENSATING BALANCE CDs	48.0	8.0
	<u>\$ 34,234.8</u>	<u>\$ 20,602.2</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2020-2021

APPENDIX A

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2020
OPENING CASH BALANCE	\$ 15,704,540	\$ 95,764,658	\$ 80,082,746	\$ 490,512,199	\$ 186,132,186	\$ 217,721,195	\$ 104,334,501						\$ 15,704,540
RECEIPTS:													
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,798,997	60,405,723	70,952,125	55,756,250						436,239,088
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,346,000	1,945,000	1,308,000	2,075,000	1,665,000						11,632,000
Vapor Excise Tax	25,877	69,976	11,670,725	(348,272)	(123,922)	7,357,137	6,765						18,658,286
STIP Interest	382,848	258,771	58,513	43,854	53,717	52,869	41,598						892,170
Public Asset Transfers	-	-	-	-	-	-	-						-
Assessments	478,443,458	390,720,867	437,012,587	376,078,217	389,691,001	454,153,792	435,070,365						2,961,170,287
Fees	327,000	46,000	561,000	930,000	776,000	1,404,000	199,000						4,243,000
Rebates	12,000	4,545,140	5,975,618	9,916,208	2,000,366	4,881,029	2,789,602						30,119,963
Restitution and Settlements	-	-	-	-	-	-	-						-
Miscellaneous	-	-	297,248	-	1,148	-	483						298,879
Total Receipts	550,137,287	448,125,819	517,109,515	457,364,004	454,112,033	540,875,952	495,529,063	-	-	-	-	-	3,463,253,673
DISBURSEMENTS:													
Grants	466,021,724	462,778,011	97,733,246	756,144,082	414,416,738	642,214,484	404,418,743						3,243,727,028
Interest - Late Payments	36	22	26,224	11,452	(5,834)	(3,803)	610						28,707
Personal Service	1,509,162	534,992	1,290,941	270,686	1,523,044	750,054	1,609,108						7,487,987
Non-Personal Service	55,956	(291,867)	5,284,609	4,077,923	3,349,084	7,839,793	3,878,285						24,193,783
Employee Benefits/Indirect Costs	612,447	299,051	1,164,130	638,546	568,694	194,836	891,073						4,368,777
Total Disbursements	468,199,325	463,320,209	105,499,150	761,142,689	419,851,726	650,995,364	410,797,819	-	-	-	-	-	3,279,806,282
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-						-
Transfers to General Fund	-	-	297,248	-	1,147	484	-						298,879
Transfers to Revenue Bond Tax Fund	-	-	-	-	2,276,000	2,650,324	-						4,926,324
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-	-	-	222,807	(1)						1,212,060
Empire State Stem Cell Trust Account	-	-	-	-	-	-	7,100,000						7,100,000
Transfers to SUNY Income Fund	888,590	487,522	883,664	601,328	394,151	394,151	384,116						4,033,522
Total Operating Transfers	1,877,844	487,522	1,180,912	601,328	2,671,298	3,267,282	7,484,599	-	-	-	-	-	17,570,785
Total Disbursements and Transfers	470,077,169	463,807,731	106,680,062	761,744,017	422,523,024	654,262,646	418,282,418	-	-	-	-	-	3,297,377,067
CLOSING CASH BALANCE	\$ 95,764,658	\$ 80,082,746	\$ 490,512,199	\$ 186,132,186	\$ 217,721,195	\$ 104,334,501	\$ 181,581,146	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 181,581,146

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2020-21

APPENDIX B

Program/Purpose	Appropriation Amount (*)	October	7 Months Ended October 31, 2020 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,752,000.00	\$ 183,447.38	\$ 1,500,932.58
CENTER FOR COMMUNITY HLTH	8,752,000.00	183,447.38	1,500,932.58
CHILD HEALTH INSURANCE PROGRAM	2,134,768,000.00	32,163,173.03	274,411,948.02
CHILD HEALTH INSURANCE	2,134,768,000.00	32,163,173.03	274,411,948.02
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	384,850,000.00	12,948,002.78	60,146,687.33
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	12,948,002.78	60,146,687.33
HEALTH CARE REFORM ACT PROGRAM	1,490,325,059.03	9,461,678.94	133,897,486.52
AIDS DRUG ASSISTANCE	123,150,000.00	-	-
AMBULATORY CARE TRAINING	3,537,000.00	-	448,825.77
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	583,185.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	411,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTH WORKFORCE RETRAINING	18,320,000.00	137,376.96	2,635,355.76
INFERTILITY SERVICES GRANTS	5,733,000.00	39,643.17	130,812.89
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	102,100,000.00
PHYSICIAN LOAN REPAYMENT	27,195,000.00	85,306.64	1,189,674.65
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	124,000.00
POISON CONTROL CENTERS	6,320,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	650,765.66	966,827.13
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	22,777,800.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	195,030.95	397,984.62
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	760,955.56	934,581.33
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	1,197,225.79
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,631,301,000.00	351,021,925.78	2,790,243,981.52
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	51,021,925.78	465,243,981.52
MEDICAL ASSISTANCE	22,349,101,000.00	300,000,000.00	2,325,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	102,431,000.00	3,418,634.20	16,350,054.50
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	3,418,634.20	16,350,054.50
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	68,317,000.00	972,283.86	4,614,676.15
OFFICE HEALTH SYSTEMS MANAGEMENT	68,317,000.00	972,283.86	4,614,676.15
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,012,326.25	2,674,293.07
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,012,326.25	2,674,293.07
TOTAL	32,833,365,859.03	411,181,472.22	3,283,840,059.69
Reclass of SUNY Hospital Disprop Share to Transfer		(384,115.40)	(4,033,521.62)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		461.87	(256.00)
TOTAL REPORTED AMOUNT	\$ 32,833,365,859.03	\$ 410,797,818.69	\$ 3,279,806,282.07

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2020 OCTOBER	2020-21
OPENING CASH BALANCE	\$ 350,947,309.06	\$ 126,897,506.61	\$ 318,804,091.76	\$ 350,947,309.06
RECEIPTS:				
Patient Services	722,415,689.44	975,374,899.18	285,489,035.07	1,983,279,623.69
Covered Lives	224,564,997.99	294,913,084.03	84,288,479.43	603,766,561.45
Provider Assessments	19,621,242.87	22,021,897.80	7,247,462.61	48,890,603.28
1% Assessments	103,739,180.00	107,280,064.00	34,082,840.00	245,102,084.00
DASNY- MOE/Recast receivables	-	-	-	-
Interest Income	13,893.95	16,145.63	2,835.45	32,875.03
Unassigned	(1,563,049.32)	(978,886.85)	1,100,696.29	(1,441,239.88)
Total Receipts	1,068,791,954.93	1,398,627,203.79	412,211,348.85	2,879,630,507.57
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	-	-
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,068,791,954.93	1,398,627,203.79	412,211,348.85	2,879,630,507.57
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	13,201,960.00	4,284,504.00	30,820,696.00
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	13,334,232.00	13,201,960.00	4,284,504.00	30,820,696.00
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(1,306,175,989.38)	(1,219,922,578.64)	(435,069,166.89)	(2,961,167,734.91)
Indigent Care Fund - Matched	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-
Total Other Financing Uses	(1,306,175,989.38)	(1,219,922,578.64)	(435,069,166.89)	(2,961,167,734.91)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(224,049,802.45)	191,906,585.15	(18,573,314.04)	(50,716,531.34)
CLOSING CASH BALANCE	\$ 126,897,506.61	\$ 318,804,091.76	\$ 300,230,777.72	\$ 300,230,777.72

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2020 OCTOBER	2020-21
OPENING CASH BALANCE	\$ 668.63	\$ 169.31	\$ 333,127.45	\$ 668.63
RECEIPTS:				
Interest Income	422.98	1,460.78	163.10	2,046.86
Total Receipts	<u>422.98</u>	<u>1,460.78</u>	<u>163.10</u>	<u>2,046.86</u>
PROGRAM DISBURSEMENTS:				
Indigent Care	(188,629,665.12)	(150,799,778.96)	(52,705,108.77)	(392,134,552.85)
High Need Indigent Care	-	-	-	-
Other	506,867.55	(90,700,038.60)	2,479,580.40	(87,713,590.65)
Total Program Disbursements	<u>(188,122,797.57)</u>	<u>(241,499,817.56)</u>	<u>(50,225,528.37)</u>	<u>(479,848,143.50)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(188,122,374.59)</u>	<u>(241,498,356.78)</u>	<u>(50,225,365.27)</u>	<u>(479,846,096.64)</u>
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	94,314,832.56	113,950,116.17	23,029,709.38	231,294,658.11
HCRA Resources Indigent Care - Unmatched	(506,129.55)	16,528,236.56	(2,685,283.60)	13,336,823.41
HCRA Resources Indigent Care - ATB	-	-	-	-
Federal DHHS Fund	94,314,832.56	113,950,116.15	29,549,535.77	237,814,484.48
Other	-	-	-	-
Total Other Financing Sources	<u>188,123,535.57</u>	<u>244,428,468.88</u>	<u>49,893,961.55</u>	<u>482,445,966.00</u>
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(1,660.30)	(431.55)	(1,198.54)	(3,290.39)
CSRA Inc (eMedNY) General Fund	-	(2,596,722.41)	(362.09)	(2,597,084.50)
Total Other Financing Uses	<u>(1,660.30)</u>	<u>(2,597,153.96)</u>	<u>(1,560.63)</u>	<u>(2,600,374.89)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(499.32)</u>	<u>332,958.14</u>	<u>(332,964.35)</u>	<u>(505.53)</u>
CLOSING CASH BALANCE	<u>\$ 169.31</u>	<u>\$ 333,127.45</u>	<u>\$ 163.10</u>	<u>\$ 163.10</u>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 15	\$ -	\$ -						\$ 15
Education - EXCEL	427	2,157	1,567	17	-	649	-						4,817
Department of Health - All Other	(1)	-	59	-	-	-	-						58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-						-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	323	148	14						1,908
Multi-modal	-	-	24	-	-	20	-						44
GenNYSIS	-	-	-	-	-	-	-						-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	19,061	18,031	10,339						126,214
CUNY Community Colleges	4,766	1,358	5,403	2,217	1,052	2,094	83						16,973
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-						1,179
TOTAL DORMITORY AUTHORITY	29,871	14,958	32,138	22,412	20,451	20,942	10,436	-	-	-	-	-	151,208
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-						-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-						-
Empire Opportunity	-	-	-	-	-	-	-						-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-						-
State Facilities and Equipment	-	-	-	-	-	-	-						-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 29,871	\$ 14,958	\$ 32,138	\$ 22,412	\$ 20,451	\$ 20,942	\$ 10,436	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 151,208

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2020	August 31, 2020	September 30, 2020	Change	October 31, 2020
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	137,006,631.01	184,652,658.88	362,853,243.13	(133,212,711.46)	229,640,531.67
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	582,031.54	219,008.57	801,040.11
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	64,137,330.12	64,402,407.96	70,449,897.42	(35,595,927.81)	34,853,969.61
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	92,674,807.49	98,228,303.32	103,476,661.09	(12,686,838.03)	90,789,823.06
31701	YOUTH FACILITIES IMPROVEMENT	13,168,047.62	14,936,253.28	15,758,588.97	1,432,514.31	17,191,103.28
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	135,008,846.41	182,373,910.06	285,465,442.82	14,149,559.74	299,615,002.56
31852	HOUSING PROG FD AFFORD HSG CORP	37,999,676.38	39,958,056.81	42,074,022.81	2,662,636.00	44,736,658.81
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	88,489,684.37	92,239,684.37	97,039,684.37	7,300,000.00	104,339,684.37
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,969,463.99	11,969,463.99	11,969,463.99	(12,984.22)	11,956,479.77

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2020	August 31, 2020	September 30, 2020	Change	October 31, 2020
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	1,915,529.83	2,682,076.92	2,883,666.80	510,583.49	3,394,250.29
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	82,591,601.44	70,136,299.13	69,237,204.51	797,403.50	70,034,608.01
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	176,272,809.92	180,739,076.13	182,240,076.13	(611,536.44)	181,628,539.69
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	7,828,273.39	7,828,273.39	7,828,273.39	-	7,828,273.39
32308	DASNY - OASAS ADMIN	1,732,406.20	1,732,406.20	1,732,406.20	848,815.00	2,581,221.20
32309	OMH - STATE FACILITIES	97,577,181.06	105,079,828.74	116,382,293.79	8,670,744.83	125,053,038.62
32310	OPWDD - STATE FACILITIES	17,831,809.86	19,107,109.86	18,171,024.28	1,200,000.00	19,371,024.28
32311	OASAS - STATE FACILITIES	1,983,616.94	2,404,768.40	2,105,820.18	378,236.67	2,484,056.85
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	159,272,923.68	185,043,996.73	212,506,047.90	20,471,398.92	232,977,446.82
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	0.55	-	0.55
33001	STORM RECOVERY ACCOUNT	53,737,776.12	54,159,323.63	54,411,469.09	(813,700.98)	53,597,768.11
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,194,294,132.89	1,330,769,614.86	1,670,263,036.02	(124,292,797.91)	1,545,970,238.11
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	54,766,440.58	84,283,757.87	69,887,137.16	(69,887,137.16)	-
20818	EPIC PREMIUM ACCOUNT	-	-	277,647.70	-	10,282,047.95
20901	LOTTERY-EDUCATION	-	-	1,562,212,831.94	(149,843,724.61)	1,412,369,107.33
20904	VLT EDUCATION	-	-	79,973,663.25	76,131,430.30	156,105,093.55
21001	ENVIR FAG CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,605,844.31	3,679,618.69	3,747,659.65	51,771.78	3,799,431.43
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	1,672,099.99	1,672,099.99	-	1,672,099.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,544,578.01	3,211,820.14	4,193,299.47	(2,523,823.49)	1,669,475.98
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,099,364.93	4,256,939.81	3,778,420.46	165,030.53	3,943,450.99
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	65,652,808.84	65,386,949.81	66,000,252.83	(5,703,545.66)	60,296,707.17
21082	NATURAL RESOURCES ACCOUNT	14,397,873.37	14,551,154.81	14,524,726.57	(258,794.21)	14,265,932.36
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	17,340.72	(17,340.72)	-
21202	HEALTH DEPT OIL SPILL	-	-	3,555.33	(3,555.33)	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	95.03	-	451,031.84	(451,031.84)	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	33,477,460.79	34,006,513.90	33,983,508.33	(1,995,959.80)	31,987,548.53
21452	MOBILE SOURCE	2,330,414.87	946,982.11	221,275.54	(221,275.54)	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	7,003,953.56	6,067,878.28	11,541,462.39	(4,913,421.46)	6,628,040.93
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	202,852.50	368,167.98	609,859.59	(382,543.55)	227,316.04
21912	RACING REGULATION ACCOUNT	2,028,062.32	1,885,102.73	1,992,255.27	335,586.92	2,327,842.19
21937	SU DORM INCOME REIMBURSE	196,888.87	90,178.97	5,713,145.53	(4,871,262.15)	841,883.38
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	647,700.58	659,525.08	685,911.18	(98,586.67)	587,324.51
21962	CLINICAL LAB FEE	11,661,405.33	11,883,640.37	14,018,024.78	(1,755,472.75)	12,262,552.03
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	40,558.87	43,090.63	47,455.78	(8,704.40)	38,751.38
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	9,243,397.88	10,053,270.59	7,895,881.47	672,850.36	8,568,731.83
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2020	August 31, 2020	September 30, 2020	Change	October 31, 2020
22039	FINANCIAL OVERSIGHT	268,954.28	555,728.17	928,264.63	(668,578.52)	259,686.11
22046	REGULATION INDIAN GAMING	94,851,180.94	92,899,966.99	93,807,558.71	953,889.07	94,761,447.78
22053	ROME SCHOOL FOR THE DEAF	3,830,663.92	4,557,350.11	3,307,030.74	410,926.63	3,717,957.37
22054	DSP-SEIZED ASSETS	1,227,999.63	1,018,972.60	951,946.85	(49,108.24)	902,838.61
22055	ADMINISTRATIVE ADJUDICATION	20,677,781.17	23,606,581.03	27,496,671.52	3,520,103.10	31,016,774.62
22056	FEDERAL SALARY SHARING	639,956.47	854,157.69	1,004,479.83	116,474.91	1,120,954.74
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	8,155,159.90	6,506,966.31	5,954,486.22	(463,843.18)	5,490,643.04
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	16,125,064.82	16,430,442.22	16,644,492.31	(15,063,226.34)	1,581,265.97
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	9,073,223.01	9,652,893.52	9,759,624.08	714,731.20	10,474,355.28
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	264,654.69	264,654.69
22151	DEFERRED COMPENSATION ADMIN	186,214.42	238,166.66	124,151.33	51,952.24	176,103.57
22156	RENT REVENUE OTHER - NYC	-	-	591,253.80	3,662,025.27	4,253,279.07
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	984,850.06	1,083,976.25	1,185,090.73	84,744.49	1,269,835.22
22654	S.U. NON-RESIDENT REV. OFFSET	20,659,528.61	20,662,290.36	20,664,809.17	2,238.12	20,667,047.29
22751	LAKE GEORGE PARK TRUST FUND	247,138.52	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	15,366,088.88	15,631,992.02	15,895,636.27	145,606.42	16,041,242.69
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCC OPERATING OFFSET	38,503,815.68	40,779,914.98	43,872,916.00	2,221,314.01	46,094,230.01
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	19,423,617.53	19,727,468.18	20,189,828.45	319,295.52	20,509,123.97
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	34,948.58	34,948.58	50,169.33	9,925.46	60,094.79
	TOTAL STATE SPECIAL REVENUE FUNDS	469,178,936.75	502,639,457.13	2,151,227,806.44	(159,341,984.35)	1,991,885,822.09
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	4,861,559.56	118,710,736.93	4,451,739.48	(332,778.10)	4,118,961.38
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	120,502,674.30	185,715,291.63	2,748,340,824.81	(2,516,938,845.91)	231,401,978.90
25200-25249	FEDERAL EDUCATION GRANTS FUND	29,827,487.57	101,592,275.68	18,968,485.01	16,375,985.67	35,344,470.68
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	682,171,490.90	467,988,759.37	483,311,461.02	68,718,456.30	552,029,917.32
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	447,377,872.95	418,981,740.11	441,892,716.97	(9,468,636.96)	432,424,080.01
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	115,023,670.81	117,669,446.07	132,492,097.37	(4,502,034.36)	127,990,063.01
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	33,519,545.80	31,789,946.69	59,955,005.26	16,388,532.41	76,343,537.67
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	416,583.50	450,110.00	517,598.00	(140,506.00)	377,092.00
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	12,725,671.70	234,476.17	926,035.18	5,357,751.27	6,283,786.45
	TOTAL FEDERAL FUNDS	1,455,180,489.75	1,451,886,715.31	3,899,609,895.76	(2,424,542,075.68)	1,475,067,820.08
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	586,266.50	648,917.48	713,220.32	41,590.83	754,811.15
50327	EMPIRE PLAZA GIFT SHOP	228,262.18	240,989.79	254,229.78	2,240.33	256,470.11
	TOTAL ENTERPRISE FUND	814,528.68	889,907.27	967,450.10	43,831.16	1,011,281.26
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,446,232.57	1,442,837.96	1,394,497.72	(83,778.20)	1,310,719.52
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,891,489.38	2,314,098.70	2,368,688.72	57,789.08	2,426,477.80
55008	CENTRALIZED SERVICES-PASNY	13,357,977.47	15,387,079.38	16,165,549.76	(211,136.64)	15,954,413.12
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	16,784,151.78	15,127,023.47	15,655,355.27	1,005,546.81	16,660,902.08
55011	CENTRALIZED SERVICES-INSURANCE	4,577,187.96	7,590,357.34	7,450,496.64	(1,100,064.86)	6,350,431.78
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	207,459.30	188,661.30	180,435.30	(11,596.00)	168,839.30
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2020	August 31, 2020	September 30, 2020	Change	October 31, 2020
55016	CENTRALIZED SERVICES-IMMICS	1,514,610.99	1,521,357.13	1,588,350.50	(77,182.20)	1,511,168.30
55017	DOWNSTATE WAREHOUSE	662,969.71	521,118.50	502,274.59	(18,849.06)	483,425.53
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	73,597,486.69	76,569,652.79	81,282,398.64	785,542.64	82,067,941.28
55021	NYS MEDIA CENTER	9,055,539.70	9,439,780.85	9,953,930.37	394,509.00	10,348,439.37
55022	BUSINESS SERVICES CENTER	12,933,909.60	14,919,494.12	18,026,847.72	1,987,764.82	20,014,612.54
55052	ARCHIVES RECORD MGMT I.S.	73,519.17	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	18,460.34	-	475,146.08	(341,452.73)	133,693.35
55058	CULTURAL RESOURCE SURVEY	1,674,062.39	2,087,246.37	2,433,275.59	179,238.37	2,612,513.96
55059	NEIGHBOR WORK PROJECT	11,275,561.47	11,251,663.72	11,338,641.98	(264,245.22)	11,074,396.76
55060	AUTOMATIC/PRINT CHARGBACKS	5,319,415.94	7,185,342.64	4,903,094.05	(367,596.01)	4,535,498.04
55061	OFT NYT ACCT	1,445,258.44	1,445,258.44	1,246,980.34	-	1,246,980.34
55062	DATA CENTER ACCOUNT	43,326,143.01	49,321,855.09	49,321,855.09	(491,037.75)	48,830,817.34
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	170,986.11	166,342.91	182,141.33	24,871.64	207,012.97
55069	CENTRALIZED TECHNOLOGY SERVICES	74,973,272.59	69,365,379.43	66,381,761.96	22,773,720.64	89,155,482.60
55071	LABOR CONTACT CENTER ACCT	3,663,586.73	4,169,821.77	5,190,551.33	510,701.47	5,701,252.80
55072	HUMAN SERVICES CONTACT CNTR ACCT	1,752,511.26	2,628,593.18	4,195,382.43	(1,544,287.98)	2,651,094.45
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	75,398.42	1,167,591.84	1,500,691.21	436,535.32	1,937,226.53
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	9,778,854.76	10,004,846.93	10,301,952.13	229,370.84	10,531,322.97
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	41,456,353.95	43,315,717.18	46,913,337.30	3,129,130.14	50,042,467.44
55300	HEALTH INSURANCE INTERNAL SERVICE	3,145,790.06	4,178,409.64	5,653,025.69	(5,653,025.69)	-
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	4,504,814.98	4,599,865.62	4,723,682.87	95,050.64	4,818,733.51
55350	CORR INDUSTRIES INTERNAL SERVICE	40,232,673.85	41,443,662.37	44,083,398.15	2,540,742.02	46,624,140.17
	TOTAL INTERNAL SERVICE FUNDS	381,177,262.89	398,614,642.94	414,675,327.03	23,986,261.09	438,661,588.12
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 3,500,645,350.96	\$ 3,684,800,337.51	\$ 8,136,743,515.35	\$ (2,684,146,765.69)	\$ 5,452,596,749.66

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021

APPENDIX G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2020
OPENING CASH BALANCE	\$ 86,513,214	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004	\$ 90,519,037						\$ 86,513,214
RECEIPTS:													
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-						204,000,000
Other	-	-	-	-	-	-	-						-
Total Receipts	-	-	-	204,000,000	-	-	-	-	-	-	-	-	204,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	-	9,481	533,024	-	415,671	516,927						1,475,103
Broadband Initiative	1,735,855	1,420,080	-	6,989,621	-	47,334	7,596,310						17,789,200
Downtown Revitalization	-	-	-	-	-	250,000	455,489						705,489
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	565,275	2,517,997	1,963,105						8,663,032
Health Care / Hospital Initiatives	2,586,638	3,634,367	1,781,021	298,010	2,275,903	643,795	468,438						11,688,172
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-						-
Infrastructure Improvements	-	-	5,540,794	1,292,017	2,270,353	144,374	4,447,962						13,695,500
Jacob Javits Center Expansion	-	-	-	-	55,700,000	-	22,620,551						78,320,551
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	5,811,363						9,811,363
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	562,372	-	830,000	2,063,090						3,732,011
Penn Station Access	-	-	-	-	-	-	-						-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(6,035)	-	(10,425)						(16,459)
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	55,274	(14,282)	20,642						91,634
Thruway Stabilization Program	-	-	22,587,449	24,055,020	2,944,322	4,284,912	-						53,871,703
Transformative Economic Development Projects	10,440,876	79,325	4,746,161	282,274	-	1,478,166	5,814,301						22,841,102
Transportation Capital Plan	-	-	-	-	-	-	-						-
Upstate Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	900,000	-	5,619,275						34,712,804
Total Disbursements	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	-	-	-	-	-	257,381,205
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-						-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,386,731	6,464,418	35,025,955	45,814,014	64,705,092	10,597,967	57,387,028	-	-	-	-	-	257,381,205
CLOSING CASH BALANCE	\$ 49,126,483	\$ 42,662,065	\$ 7,636,110	\$ 165,822,096	\$ 101,117,004	\$ 90,519,037	\$ 33,132,009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 33,132,009

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

**STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾
FISCAL YEAR 2020-2021**

APPENDIX H

	OCTOBER 2020			7 MONTHS ENDED OCTOBER 31		
	Department of Health	Other State Agencies	October	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ 59,931,059.00	\$ 59,931,059.00	\$ -	\$ 101,752,582.00	\$ 101,752,582.00
State Share Medicaid	23,681,658.00	14,255,323.81	37,936,981.81	71,548,963.00	9,402,765.59	80,951,728.59
Medical Assistance (OPWDD)	-	-	-	-	171,294,520.00	171,294,520.00
Medical Assistance Administration	2,780,320.79	-	2,780,320.79	29,777,290.23	145,062,864.00	174,840,154.23
Population Health Improvement	43,278.22	-	43,278.22	1,251,523.21	-	1,251,523.21
Traumatic Brain Injury Services	894,929.00	-	894,929.00	6,373,354.41	-	6,373,354.41
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	11,119.99	-	11,119.99	634,323.41	-	634,323.41
New York Connects	-	2,263,171.92	2,263,171.92	-	7,177,836.12	7,177,836.12
Facilitated Enrollment	666,098.56	-	666,098.56	1,870,929.06	-	1,870,929.06
Emergency Medical Transportation	-	-	-	750,000.00	-	750,000.00
Managed Long-Term Care Ombudsman	-	-	-	2,851,067.25	-	2,851,067.25
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	35,239,490.00	-	35,239,490.00
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	710,051.21	-	710,051.21	4,336,749.71	-	4,336,749.71
Fluoridation Systems	-	-	-	750,709.02	-	750,709.02
Expanding Caregiver Support Services	2,041,565.70	-	2,041,565.70	15,677,979.53	-	15,677,979.53
Provide Affordable Housing	2,272,266.33	2,289,337.00	4,561,603.33	14,492,893.42	6,144,673.38	20,637,566.80
Health Homes Establishment	-	-	-	376,446.03	-	376,446.03
Community Provider Network	9,399,517.50	-	9,399,517.50	8,078,589.95	-	8,078,589.95
Inpatient Services	(70,399,426.98)	-	(70,399,426.98)	207,433,273.63	-	207,433,273.63
Patient Centered Medical Homes	-	-	-	46,974,452.85	-	46,974,452.85
Outpatient & Emergency Room Services	55,118,719.11	-	55,118,719.11	126,997,530.12	-	126,997,530.12
Clinic Services	14,183,059.01	-	14,183,059.01	95,936,003.62	-	95,936,003.62
Nursing Home Services	80,165,853.46	-	80,165,853.46	529,070,508.46	-	529,070,508.46
Other Long Term Care Services	341,589,445.62	-	341,589,445.62	4,127,660,809.03	-	4,127,660,809.03
Managed Care Services	454,658,669.28	-	454,658,669.28	2,957,949,491.26	-	2,957,949,491.26
Pharmacy Services	11,256,030.17	-	11,256,030.17	87,448,143.11	-	87,448,143.11
Transportation Services	7,231,056.69	-	7,231,056.69	57,693,576.68	-	57,693,576.68
Dental Services	294,940.59	-	294,940.59	1,582,721.30	-	1,582,721.30
Non-Institutional & Other	168,707,403.17	4,199.00	168,711,602.17	487,257,444.77	11,219,461.00	498,476,905.77
Medical Services State Facilities	124,458,355.38	-	124,458,355.38	818,363,135.21	-	818,363,135.21
CSEA Family Health Plus Buy In	1,035,387.64	-	1,035,387.64	1,163,698.61	-	1,163,698.61
DC37 & Teamster Local 858	-	-	-	-	-	-
Medical Assistance (HCRA)	300,000,000.00	-	300,000,000.00	2,325,000,000.00	-	2,325,000,000.00
Indigent Care	51,021,925.78	-	51,021,925.78	465,243,981.52	-	465,243,981.52
Provider Assessments	66,317,000.00	-	66,317,000.00	432,564,000.00	-	432,564,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	170,950,773.20	-	170,950,773.20
TOTAL⁽²⁾	1,648,139,224.22	78,743,090.73	1,726,882,314.95	13,133,299,851.60	452,054,702.09	13,585,354,553.69
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(310,382,478.35)	-	(310,382,478.35)	(1,120,071,493.96)	-	(1,120,071,493.96)
TOTAL REPORTED MEDICAID	\$ 1,337,756,745.87	\$ 78,743,090.73	\$ 1,416,499,836.60	\$ 12,013,228,357.64	\$ 452,054,702.09	\$ 12,465,283,059.73

⁽¹⁾ General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.

⁽²⁾Source: Statewide Financial System

STATE OF NEW YORK

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS⁽¹⁾

FISCAL YEAR 2020-2021

	OCTOBER 2020			7 MONTHS ENDED OCTOBER 31		
	Department of Health	Other State Agencies	October	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 5,760,061.06	\$ -	\$ 5,760,061.06	\$ 73,689,732.10	\$ -	\$ 73,689,732.10
Medical Assistance Administration	57,955,909.00	5,721,955.00	63,677,864.00	75,707,776.50	146,471,607.00	222,179,383.50
Partnership Plan	-	-	-	733,712,458.80	-	733,712,458.80
Inpatient Services	398,538,029.58	-	398,538,029.58	2,459,695,613.40	-	2,459,695,613.40
Outpatient & Emergency Room Services	(5,241,850.19)	-	(5,241,850.19)	366,354,245.33	-	366,354,245.33
Clinic Services	54,795,339.10	-	54,795,339.10	366,305,412.92	-	366,305,412.92
Nursing Home Services	123,377,586.96	-	123,377,586.96	808,639,382.65	-	808,639,382.65
Other Long Term Care Services	1,329,480,596.22	-	1,329,480,596.22	8,201,080,780.88	-	8,201,080,780.88
Managed Care Services	1,470,588,169.78	-	1,470,588,169.78	12,258,243,310.43	-	12,258,243,310.43
Pharmacy Services	31,478,500.16	-	31,478,500.16	242,188,214.10	-	242,188,214.10
Transportation Services	39,520,881.78	-	39,520,881.78	275,975,121.04	-	275,975,121.04
Dental Services	1,007,837.23	-	1,007,837.23	5,824,418.43	-	5,824,418.43
Non-Institutional & Other	(127,623,587.86)	(582,102.00)	(128,205,689.86)	(25,931,703.66)	(582,102.00)	(26,513,805.66)
Medical Services State Facilities	(25,000,000.00)	-	(25,000,000.00)	426,796,474.84	-	426,796,474.84
Additional DSH Payments SUNY	-	-	-	219,347,795.80	-	219,347,795.80
TOTAL⁽²⁾	3,354,637,472.82	5,139,853.00	3,359,777,325.82	26,487,629,033.56	145,889,505.00	26,633,518,538.56
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(490,179,152.58)	-	(490,179,152.58)	(565,334,966.20)	-	(565,334,966.20)
TOTAL REPORTED MEDICAID⁽³⁾	\$ 2,864,458,320.24	\$ 5,139,853.00	\$ 2,869,598,173.24	\$ 25,922,294,067.36	\$ 145,889,505.00	\$ 26,068,183,572.36

⁽¹⁾ Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

⁽²⁾ Source: Statewide Financial System⁽³⁾ Reported Medicaid spending does not include the Basic Health Plan.