



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

DECEMBER 2019

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
December 31, 2019

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STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2018	9 MOS. ENDED DEC. 31, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax (7)	\$ 2,105.5	\$ 18,616.9	\$ 38.5	\$ 41.7	\$ 2,144.0	\$ 18,658.6	\$ -	\$ -	\$ 4,288.0	\$ 37,317.2	\$ 4,105.1	\$ 32,530.0	\$ 4,787.2	14.7%
Consumption/Use Taxes (4)	789.8	6,111.0	186.5	1,505.5	741.8	5,658.8	65.8	492.1	1,783.9	13,767.4	1,748.1	13,266.3	501.1	3.8%
Business Taxes	1,351.8	4,410.4	306.9	1,418.5	-	-	54.2	514.8	1,712.9	6,343.7	1,493.7	5,505.9	837.8	15.2%
Other Taxes (3)	144.9	828.4	-	-	74.4	774.5	11.9	83.4	231.2	1,686.3	236.7	1,741.3	(55.0)	-3.2%
Miscellaneous Receipts (4)	227.2	2,516.8	1,462.6	13,761.2	51.5	373.9	404.1	3,892.5	2,145.4	20,544.4	2,768.2	21,561.5	(1,017.1)	-4.7%
Federal Receipts	-	0.5	6,447.1	48,192.0	-	36.8	158.7	1,506.1	6,605.8	49,735.4	6,031.9	46,645.5	3,089.9	6.6%
Total Receipts	4,619.2	32,484.0	8,441.6	64,918.9	3,011.7	25,502.6	694.7	6,488.9	16,767.2	129,394.4	16,383.7	121,250.5	8,143.9	6.7%
DISBURSEMENTS:														
Local Assistance Grants: (3,4)														
Education	2,250.1	16,793.9	509.8	6,003.9	-	-	24.9	145.3	2,784.8	22,943.1	3,176.8	22,474.8	468.3	2.1%
Environment and Recreation	0.2	2.3	0.7	2.4	-	-	13.3	147.3	14.2	152.0	36.9	153.2	(1.2)	-0.8%
General Government	205.3	943.6	38.9	208.4	-	-	140.6	705.9	384.8	1,857.9	306.6	1,856.0	1.9	0.1%
Public Health:														
Medicaid	1,300.1	14,864.9	3,696.2	34,652.6	-	-	-	-	4,996.3	49,517.5	4,897.4	46,690.5	2,827.0	6.1%
Other Public Health	282.6	1,754.0	721.8	5,438.7	-	-	44.6	358.3	1,049.0	7,551.0	919.7	7,732.1	(181.1)	-2.3%
Public Safety	21.8	136.9	109.5	1,040.3	-	-	1.8	39.4	133.1	1,216.6	79.2	1,259.8	(43.2)	-3.4%
Public Welfare	119.6	1,835.8	114.3	3,232.6	-	-	13.3	254.5	247.2	5,322.9	962.4	5,881.2	(558.3)	-9.5%
Support and Regulate Business	13.0	110.8	6.0	52.0	-	-	102.6	699.7	121.6	862.5	49.7	726.7	135.8	18.7%
Transportation	11.4	97.5	734.6	3,226.2	-	-	281.2	1,357.2	1,027.2	4,680.9	1,070.8	4,877.1	(196.2)	-4.0%
Total Local Assistance Grants	4,204.1	36,539.7	5,931.8	53,857.1	-	-	622.3	3,707.6	10,758.2	94,104.4	11,499.5	91,651.4	2,453.0	2.7%
Departmental Operations:														
Personal Service	684.2	6,840.1	460.9	4,419.5	-	-	-	-	1,145.1	11,259.6	1,160.3	10,911.3	348.3	3.2%
Non-Personal Service	178.8	1,811.1	344.4	3,105.6	1.5	26.8	-	-	524.7	4,943.5	452.3	4,830.7	112.8	2.3%
General State Charges	408.7	6,118.7	130.6	995.7	-	-	-	-	539.3	7,114.4	479.3	7,000.5	113.9	1.6%
Debt Service, Including Payments on														
Financing Agreements	-	-	-	-	412.2	1,512.2	-	-	412.2	1,512.2	348.3	1,752.5	(240.3)	-13.7%
Capital Projects (1)	-	-	-	-	-	-	577.0	5,425.0	577.0	5,425.0	532.9	5,410.5	14.5	0.3%
Total Disbursements	5,475.8	51,309.6	6,867.7	62,377.9	413.7	1,539.0	1,199.3	9,132.6	13,956.5	124,359.1	14,472.6	121,556.9	2,802.2	2.3%
Excess (Deficiency) of Receipts over Disbursements	(856.6)	(18,825.6)	1,573.9	2,541.0	2,598.0	23,963.6	(504.6)	(2,643.7)	2,810.7	5,035.3	1,911.1	(306.4)	5,341.7	1,743.4%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	3,025.7	25,278.6	97.6	1,993.1	376.8	2,039.8	508.4	3,348.9	4,008.5	32,660.4	3,089.9	28,446.2	4,214.2	14.8%
Transfers to Other Funds (2)	(560.8)	(5,479.0)	(408.2)	(1,513.7)	(2,952.0)	(25,083.1)	(88.8)	(694.6)	(4,008.8)	(32,770.4)	(3,093.3)	(28,465.4)	4,305.0	15.1%
Total Other Financing Sources (Uses)	2,464.9	19,799.6	(310.6)	479.4	(2,575.2)	(23,043.3)	419.6	2,654.3	(1.3)	(110.0)	(3.4)	(19.2)	(90.8)	-472.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,608.3	974.0	1,263.3	3,020.4	22.8	920.3	(85.0)	10.6	2,809.4	4,925.3	1,907.7	(325.6)	5,250.9	1,612.7%
Beginning Fund Balances (Deficits)	6,571.4	7,205.7	5,599.5	3,842.4	962.3	64.8	(1,042.3)	(1,137.9)	12,090.9	9,975.0	10,515.7	12,749.0	(2,774.0)	-21.8%
Ending Fund Balances (Deficits)	\$ 8,179.7	\$ 8,179.7	\$ 6,862.8	\$ 6,862.8	\$ 985.1	\$ 985.1	\$ (1,127.3)	\$ (1,127.3)	\$ 14,900.3	\$ 14,900.3	\$ 12,423.4	\$ 12,423.4	\$ 2,476.9	19.9%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
		DEC. 2019	DEC. 31, 2019	DEC. 2019	DEC. 31, 2019	DEC. 2019	DEC. 31, 2019	DEC. 2019	DEC. 31, 2019	DEC. 2018	DEC. 31, 2018		
RECEIPTS:													
Personal Income Tax	(7)	\$ 2,105.5	\$ 18,616.9	\$ 38.5	\$ 41.7	\$ 2,144.0	\$ 18,658.6	\$ 4,288.0	\$ 37,317.2	\$ 4,105.1	\$ 32,530.0	\$ 4,787.2	14.7%
Consumption/Use Taxes	(4)	789.8	6,111.0	186.5	1,505.5	741.8	5,658.8	1,718.1	13,275.3	1,677.4	12,762.7	512.6	4.0%
Business Taxes		1,351.8	4,410.4	306.9	1,418.5	-	-	1,658.7	5,828.9	1,439.6	5,006.6	822.3	16.4%
Other Taxes	(3)	144.9	828.4	-	-	74.4	774.5	219.3	1,602.9	224.8	1,657.9	(55.0)	-3.3%
Miscellaneous Receipts	(4)	227.2	2,516.8	1,448.9	13,589.5	51.5	373.9	1,727.6	16,480.2	1,484.9	17,537.2	(1,057.0)	-6.0%
Federal Receipts		-	0.5	-	17.7	-	36.8	-	55.0	-	34.4	20.6	59.9%
Total Receipts		4,619.2	32,484.0	1,980.8	16,572.9	3,011.7	25,502.6	9,611.7	74,559.5	8,931.8	69,528.8	5,030.7	7.2%
DISBURSEMENTS:													
Local Assistance Grants:	(3,4)												
Education		2,250.1	16,793.9	183.8	3,344.2	-	-	2,433.9	20,138.1	2,914.8	19,591.1	547.0	2.8%
Environment and Recreation		0.2	2.3	0.5	1.5	-	-	0.7	3.8	2.4	4.7	(0.9)	-19.1%
General Government		205.3	943.6	27.1	162.9	-	-	232.4	1,106.5	187.7	1,032.8	73.7	7.1%
Public Health:													
Medicaid		1,300.1	14,864.9	434.3	4,434.7	-	-	1,734.4	19,299.6	1,727.2	17,558.8	1,740.8	9.9%
Other Public Health		282.6	1,754.0	100.3	658.5	-	-	382.9	2,412.5	347.9	2,531.9	(119.4)	-4.7%
Public Safety		21.8	136.9	12.4	138.2	-	-	34.2	275.1	30.4	254.6	20.5	8.1%
Public Welfare		119.6	1,835.8	(0.4)	4.1	-	-	119.2	1,839.9	311.1	1,842.3	(2.4)	-0.1%
Support and Regulate Business		13.0	110.8	6.0	44.1	-	-	19.0	154.9	17.4	155.0	(0.1)	-0.1%
Transportation		11.4	97.5	728.1	3,184.8	-	-	739.5	3,282.3	778.4	3,581.9	(299.6)	-8.4%
Total Local Assistance Grants		4,204.1	36,539.7	1,492.1	11,973.0	-	-	5,696.2	48,512.7	6,317.3	46,553.1	1,959.6	4.2%
Departmental Operations:													
Personal Service		684.2	6,840.1	408.0	3,931.0	-	-	1,092.2	10,771.1	1,110.8	10,417.3	353.8	3.4%
Non-Personal Service		178.8	1,811.1	218.9	2,147.9	1.5	26.8	399.2	3,985.8	373.0	3,924.6	61.2	1.6%
General State Charges		408.7	6,118.7	105.1	753.9	-	-	513.8	6,872.6	451.3	6,669.7	202.9	3.0%
Debt Service, Including Payments on													
Financing Agreements		-	-	-	-	412.2	1,512.2	412.2	1,512.2	348.3	1,752.5	(240.3)	-13.7%
Capital Projects		-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements		5,475.8	51,309.6	2,224.1	18,805.8	413.7	1,539.0	8,113.6	71,654.4	8,600.7	69,317.2	2,337.2	3.4%
Excess (Deficiency) of Receipts over Disbursements		(856.6)	(18,825.6)	(243.3)	(2,232.9)	2,598.0	23,963.6	1,498.1	2,905.1	331.1	211.6	2,693.5	1,272.9%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	3,025.7	25,278.6	111.9	2,421.4	376.8	2,039.8	3,514.4	29,739.8	3,111.7	26,173.5	3,566.3	13.6%
Transfers to Other Funds	(2)	(560.8)	(5,479.0)	(71.5)	(420.9)	(2,952.0)	(25,083.1)	(3,584.3)	(30,983.0)	(2,993.1)	(27,099.3)	3,883.7	14.3%
Total Other Financing Sources (Uses)		2,464.9	19,799.6	40.4	2,000.5	(2,575.2)	(23,043.3)	(69.9)	(1,243.2)	118.6	(925.8)	(317.4)	-34.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		1,608.3	974.0	(202.9)	(232.4)	22.8	920.3	1,428.2	1,661.9	449.7	(714.2)	2,376.1	332.7%
Beginning Fund Balances (Deficits)		6,571.4	7,205.7	5,061.3	5,090.8	962.3	64.8	12,595.0	12,361.3	12,442.7	13,606.6	(1,245.3)	-9.2%
Ending Fund Balances (Deficits)		\$ 8,179.7	\$ 8,179.7	\$ 4,858.4	\$ 4,858.4	\$ 985.1	\$ 985.1	\$ 14,023.2	\$ 14,023.2	\$ 12,892.4	\$ 12,892.4	\$ 1,130.8	8.8%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$304.1 million
Urban Development Corporation (Youth Facilities)	16.6
Housing Finance Agency (HFA)	97.0
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	389.0
Dormitory Authority and State University Income Fund	657.4
Federal Capital Projects	547.5
State bond and note proceeds	121.3

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$2,108.5 million
General Debt Service Fund	340.6
Banking Services Account	27.9
Building Administration Account	8.0
Business Service Center Account	8.1
Centralized Tech Services Account	11.5
Court Facilities Incentive Aid Fund	95.3
Dedicated Highway & Bridge Trust Fund	49.5
Dedicated Infrastructure Investment Fund	1,010.0
Dedicated Mass Transportation - Railroad Account	6.6
Dedicated Mass Transportation - Transit Authority Account	36.7
Dedicated Mass Transportation - (Non-MTA)	3.8
Environmental Protection Fund	28.0
Housing Debt Service Fund	2.7
MTA Financial Assistance Fund	244.3
MTA Operating Assistance Fund	36.7
NY Central Business District Trust	75.0
NYC County Courts Operating Account	3.2
Recruitment Incentive Fund	2.1
SUNY - Income Fund	1,114.1

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$6.8m), and the State University Income Fund (\$259.3m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of December 31, 2019 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$1,047.8m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Services Fund (\$6.9m), Medicaid Management Information System Escrow Fund (\$57.3m), SUNY Capital Projects Fund (\$68.4m), State Capital Projects Fund (\$1.1m) and All Other Capital Projects (\$83.0m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	\$3.8 million
Chemical Dependence Service Fund	101.3
Encon Special Revenue	6.4
Federal Dept. of Health & Human Services Fund	15.5
Federal Education Fund	1.5
Federal Employment & Training Grants	1.2
Federal Operating Grants Fund	3.5
Federal Special Revenue Funds USDA/Food and Nutrition	2.2
HESC Insurance Premium Account	5.9
MTA Operating Assistance	1.0
NYC Assessment Account	22.9
Patron Services Account	1.5
Public Service Account	2.7
State Lottery Fund	5.2
SUNY Income Fund	34.3
System and Technology Account	2.5
Training and Education Program on OSHA	1.4
Unemployment Insurance Administration Fund	19.5
Unemployment Insurance, Interest & Penalty	11.6
Workers Comp Account	6.2

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$18,553.3 million
Local Government Assistance Tax Fund	2,806.8
Sales Tax Revenue Bond Tax Fund	2,165.2
Clean Water/Clean Air Fund	732.1
Mental Health Services Fund	710.3

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$115.4m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$60.0m), the General Debt Service Fund - Lease Purchase (\$421.2m), and the Revenue Bond Tax Fund (\$213.4m).

- Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.
- Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of section 503 of the vehicle and traffic law, article 29-a of the tax law, article 17-c of the vehicle and traffic law and section 1166-a of the tax law, whereby the receipts from the various taxes and fees will be paid into the metropolitan transportation authority special assistance fund pursuant to statute but without appropriation. The activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.

GOVERNMENTAL FUNDS FOOTNOTES

5. Part NNN of Chapter 59 of the Laws of 2018 added Tax Law, Article 29-C on April 1, 2018, with collection of the for-hire congestion surcharge scheduled to begin on January 1, 2019. Amounts collected will be paid into the NYC transportation fund pursuant to statute but without appropriation. The result is that neither the surcharge nor the related disbursements to MTA are recorded in State Funds. The for-hire congestion surcharge activity is reported in Schedule 4 as part of the MTA State Assistance fund group.
6. Part OOO of Chapter 59 of the Laws of 2019 added to and amended Tax Law Article 31. Section 1402-b added an additional real estate transfer tax to residential property over \$2 million, in cities with a population of over 1 million. Section 1421(b) of the tax law was amended directing these taxes be remitted to the MTA pursuant to statute but without appropriation. The result is that neither the tax nor the related disbursements to the MTA are recorded in State Funds. This activity is reported in Schedule 4 as part of the MTA State Assistance fund group.
7. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$41.7m) as of December 31, 2019.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2018	9 MOS. ENDED DEC. 31, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 4.6	\$ 65.6	\$ 41.9	\$ 406.5	\$ 46.5	\$ 472.1	\$ 39.4	\$ 407.2	\$ 64.9	15.9%
Federal Receipts	5.9	13.7	-	-	5.9	13.7	1.0	9.2	4.5	48.9%
Unemployment Taxes	220.3	1,477.0	-	-	220.3	1,477.0	186.3	1,425.9	51.1	3.6%
Total Receipts	230.8	1,556.3	41.9	406.5	272.7	1,962.8	226.7	1,842.3	120.5	6.5%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	1.3	14.3	9.5	95.6	10.8	109.9	4.0	80.3	29.6	36.9%
Non-Personal Service	4.6	46.3	29.7	311.4	34.3	357.7	44.9	367.6	(9.9)	-2.7%
General State Charges	0.2	1.3	4.5	40.8	4.7	42.1	2.5	58.5	(16.4)	-28.0%
Unemployment Benefits	226.9	1,492.1	-	-	226.9	1,492.1	187.1	1,434.8	57.3	4.0%
Total Disbursements	233.0	1,554.0	43.7	447.8	276.7	2,001.8	238.5	1,941.2	60.6	3.1%
Excess (Deficiency) of Receipts Over Disbursements	(2.2)	2.3	(1.8)	(41.3)	(4.0)	(39.0)	(11.8)	(98.9)	59.9	60.6%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	4.4	57.0	4.4	57.0	3.4	50.1	6.9	13.8%
Transfers to Other Funds	-	-	(3.2)	(4.3)	(3.2)	(4.3)	-	(7.2)	(2.9)	-40.3%
Total Other Financing Sources (Uses)	-	-	1.2	52.7	1.2	52.7	3.4	42.9	9.8	22.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.2)	2.3	(0.6)	11.4	(2.8)	13.7	(8.4)	(56.0)	69.7	124.5%
Beginning Fund Balances (Deficits)	31.1	26.6	(290.7)	(302.7)	(259.6)	(276.1)	(292.2)	(244.6)	(31.5)	-12.9%
Ending Fund Balances (Deficits)	\$ 28.9	\$ 28.9	\$ (291.3)	\$ (291.3)	\$ (262.4)	\$ (262.4)	\$ (300.6)	\$ (300.6)	\$ 38.2	12.7%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2018	9 MOS. ENDED DEC. 31, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 36.3	\$ 112.8	\$ 0.2	\$ 1.2	\$ 36.5	\$ 114.0	\$ 10.1	\$ 90.4	\$ 23.6	26.1%
Total Receipts	36.3	112.8	0.2	1.2	36.5	114.0	10.1	90.4	23.6	26.1%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.3	52.1	0.1	0.2	5.4	52.3	5.1	51.8	0.5	1.0%
Non-Personal Service	19.5	29.3	-	-	19.5	29.3	2.6	11.7	17.6	150.4%
General State Charges	3.4	28.3	-	0.1	3.4	28.4	0.3	35.9	(7.5)	-20.9%
Total Disbursements	28.2	109.7	0.1	0.3	28.3	110.0	8.0	99.4	10.6	10.7%
Excess (Deficiency) of Receipts Over Disbursements	8.1	3.1	0.1	0.9	8.2	4.0	2.1	(9.0)	13.0	144.4%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8.1	3.1	0.1	0.9	8.2	4.0	2.1	(9.0)	13.0	144.4%
Beginning Fund Balances (Deficits)	(8.0)	(3.0)	14.0	13.2	6.0	10.2	(1.2)	9.9	0.3	3.0%
Ending Fund Balances (Deficits)	\$ 0.1	\$ 0.1	\$ 14.1	\$ 14.1	\$ 14.2	\$ 14.2	\$ 0.9	\$ 0.9	\$ 13.3	-1,477.8%

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 36,015.0	\$ 36,312.0	\$ 37,317.2	\$ 1,302.2	\$ 1,005.2
Consumption/Use	13,966.0	13,824.0	13,767.4	(198.6)	(56.6)
Business	5,941.0	5,994.0	6,343.7	402.7	349.7
Other	1,721.0	1,676.0	1,686.3	(34.7)	10.3
Miscellaneous Receipts	21,394.0	21,526.0	20,544.4	(849.6)	(981.6)
Federal Receipts	48,933.0	48,477.0	49,735.4	802.4	1,258.4
Total Receipts	127,970.0	127,809.0	129,394.4	1,424.4	1,585.4
DISBURSEMENTS:					
Local Assistance Grants	94,181.0	95,348.0	94,104.4	(76.6)	(1,243.6)
Departmental Operations	16,416.0	16,392.0	16,203.1	(212.9)	(188.9)
General State Charges	7,266.0	7,176.0	7,114.4	(151.6)	(61.6)
Debt Service	1,518.0	1,523.0	1,512.2	(5.8)	(10.8)
Capital Projects	6,666.0	5,762.0	5,425.0	(1,241.0)	(337.0)
Total Disbursements	126,047.0	126,201.0	124,359.1	(1,687.9)	(1,841.9)
Excess (Deficiency) of Receipts over Disbursements	1,923.0	1,608.0	5,035.3	3,112.3	3,427.3
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	31,931.0	32,098.0	32,660.4	729.4	562.4
Transfers to Other Funds	(31,993.0)	(32,216.0)	(32,770.4)	777.4	(554.4)
Total Other Financing Sources (Uses)	(62.0)	(118.0)	(110.0)	(48.0)	8.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,861.0	1,490.0	4,925.3	3,064.3	3,435.3
Fund Balances (Deficits) at April 1	9,975.0	9,975.0	9,975.0	-	-
Fund Balances (Deficits) at December 31, 2019	\$ 11,836.0	\$ 11,465.0	\$ 14,900.3	\$ 3,064.3	\$ 3,435.3

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 36,015.0	\$ 36,312.0	\$ 37,317.2	\$ 1,302.2	\$ 1,005.2
Consumption/Use	13,473.0	13,325.0	13,275.3	(197.7)	(49.7)
Business	5,437.0	5,486.0	5,828.9	391.9	342.9
Other	1,637.0	1,593.0	1,602.9	(34.1)	9.9
Miscellaneous Receipts	16,041.0	16,262.0	16,480.2	439.2	218.2
Federal Receipts	37.0	54.0	55.0	18.0	1.0
Total Receipts	72,640.0	73,032.0	74,559.5	1,919.5	1,527.5
DISBURSEMENTS:					
Local Assistance Grants	47,591.0	49,156.0	48,512.7	921.7	(643.3)
Departmental Operations	14,902.0	14,937.0	14,756.9	(145.1)	(180.1)
General State Charges	7,022.0	6,936.0	6,872.6	(149.4)	(63.4)
Debt Service	1,518.0	1,523.0	1,512.2	(5.8)	(10.8)
Capital Projects	-	-	-	-	-
Total Disbursements	71,033.0	72,552.0	71,654.4	621.4	(897.6)
Excess (Deficiency) of Receipts over Disbursements	1,607.0	480.0	2,905.1	1,298.1	2,425.1
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	28,997.0	29,458.0	29,739.8 (****)	742.8	281.8
Transfers to Other Funds	(30,017.0)	(30,064.0)	(30,983.0) (****)	966.0	919.0
Total Other Financing Sources (Uses)	(1,020.0)	(606.0)	(1,243.2)	(223.2)	(637.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	587.0	(126.0)	1,661.9	1,074.9	1,787.9
Fund Balances (Deficits) at April 1	12,362.0	12,362.0	12,361.3	(0.7)	(0.7)
Fund Balances (Deficits) at December 31, 2019	\$ 12,949.0	\$ 12,236.0	\$ 14,023.2	\$ 1,074.2	\$ 1,787.2

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 17,939.0	\$ 18,073.0	\$ 18,616.9	\$ 677.9	\$ 543.9
Consumption/Use	6,244.0	6,141.0	6,111.0	(133.0)	(30.0)
Business	4,137.0	4,173.0	4,410.4	273.4	237.4
Other	836.0	805.0	828.4	(7.6)	23.4
Miscellaneous Receipts	2,233.0	2,340.0	2,516.8	283.8	176.8
Federal Receipts	-	-	0.5	0.5	0.5
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	17,916.0	18,046.0	18,553.3	637.3	507.3
Sales Tax in excess of LGAC / STRBF Debt Service	5,066.0	5,008.0	4,972.0	(94.0)	(36.0)
Real Estate Taxes in excess of CW/CA Debt Service	764.0	745.0	732.1	(31.9)	(12.9)
All Other	1,102.0	1,256.0	1,021.2	(80.8)	(234.8)
Total Receipts and Other Financing Sources	56,237.0	56,587.0	57,762.6	1,525.6	1,175.6
DISBURSEMENTS:					
Local Assistance Grants	36,017.0	37,157.0	36,539.7	522.7	(617.3)
Departmental Operations	8,878.0	8,830.0	8,651.2	(226.8)	(178.8)
General State Charges	6,198.0	6,151.0	6,118.7	(79.3)	(32.3)
Transfers To:					
Debt Service	347.0	348.0	340.6	(6.4)	(7.4)
Capital Projects	2,907.0	2,518.0	3,196.0	289.0	678.0
State Share Medicaid	-	-	266.1 (***)	266.1	266.1
SUNY Operations	1,124.0	1,100.0	1,114.1	(9.9)	14.1
Other Purposes	755.0	853.0	562.2	(192.8)	(290.8)
Total Disbursements and Other Financing Uses	56,226.0	56,957.0	56,788.6	562.6	(168.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	11.0	(370.0)	974.0	963.0	1,344.0
Fund Balances (Deficits) at April 1	7,206.0	7,206.0	7,205.7	(0.3)	(0.3)
Fund Balances (Deficits) at December 31, 2019	\$ 7,217.0	\$ 6,836.0	\$ 8,179.7	\$ 962.7	\$ 1,343.7

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ 69.0	\$ 83.0	\$ 41.7	\$ -	\$ 41.7	\$ (27.3)	\$ (41.3)
Consumption/Use	1,475.0	1,489.0	1,505.5	-	1,505.5	30.5	16.5
Business	1,300.0	1,313.0	1,418.5	-	1,418.5	118.5	105.5
Miscellaneous Receipts	13,624.0	13,708.0	13,761.2	-	13,761.2	137.2	53.2
Federal Receipts	47,404.0	46,893.0	48,192.0	-	48,192.0	788.0	1,299.0
Transfers from Other Funds (***)	2,166.0	2,402.0	2,421.4	(428.3)	1,993.1	(172.9)	(408.9)
Total Receipts and Other Financing Sources	66,038.0	65,888.0	67,340.3	(428.3)	66,912.0	874.0	1,024.0
DISBURSEMENTS:							
Local Assistance Grants	54,381.0	54,071.0	53,857.1	-	53,857.1	(523.9)	(213.9)
Departmental Operations	7,510.0	7,535.0	7,525.1	-	7,525.1	15.1	(9.9)
General State Charges	1,068.0	1,025.0	995.7	-	995.7	(72.3)	(29.3)
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (***)	1,525.0	1,872.0	1,942.0	(428.3)	1,513.7	(11.3)	(358.3)
Total Disbursements and Other Financing Uses	64,484.0	64,503.0	64,319.9	(428.3)	63,891.6	(592.4)	(611.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,554.0	1,385.0	3,020.4	-	3,020.4	1,466.4	1,635.4
Fund Balances (Deficits) at April 1	3,842.0	3,842.0	3,842.4	-	3,842.4	0.4	0.4
Fund Balances (Deficits) at December 31, 2019	\$ 5,396.0	\$ 5,227.0	\$ 6,862.8	\$ -	\$ 6,862.8	\$ 1,466.8	\$ 1,635.8

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 69.0	\$ 83.0	\$ 41.7	\$ (27.3)	\$ (41.3)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,475.0	1,489.0	1,505.5	30.5	16.5	-	-	-	-	-
Business	1,300.0	1,313.0	1,418.5	118.5	105.5	-	-	-	-	-
Miscellaneous Receipts	13,575.0	13,582.0	13,589.5	14.5	7.5	49.0	126.0	171.7	122.7	45.7
Federal Receipts	-	18.0	17.7	17.7	(0.3)	47,404.0	46,875.0	48,174.3	770.3	1,299.3
Transfers from Other Funds	2,166.0	2,402.0	2,421.4	255.4	19.4	-	-	-	-	-
Total Receipts and Other Financing Sources	18,585.0	18,887.0	18,994.3	409.3	107.3	47,453.0	47,001.0	48,346.0	893.0	1,345.0
DISBURSEMENTS:										
Local Assistance Grants	11,574.0	11,999.0	11,973.0	399.0	(26.0)	42,807.0	42,072.0	41,884.1	(922.9)	(187.9)
Departmental Operations	5,996.0	6,080.0	6,078.9	82.9	(1.1)	1,514.0	1,455.0	1,446.2	(67.8)	(8.8)
General State Charges	824.0	785.0	753.9	(70.1)	(31.1)	244.0	240.0	241.8	(2.2)	1.8
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	191.0	370.0	420.9	229.9	50.9	1,334.0	1,502.0	1,521.1	187.1	19.1
Total Disbursements and Other Financing Uses	18,585.0	19,234.0	19,226.7	641.7	(7.3)	45,899.0	45,269.0	45,093.2	(805.8)	(175.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	-	(347.0)	(232.4)	(232.4)	114.6	1,554.0	1,732.0	3,252.8	1,698.8	1,520.8
Fund Balances (Deficits) at April 1	5,091.0	5,091.0	5,090.8	(0.2)	(0.2)	(1,249.0)	(1,249.0)	(1,248.4)	0.6	0.6
Fund Balances (Deficits) at December 31, 2019	\$ 5,091.0	\$ 4,744.0	\$ 4,858.4	\$ (232.6)	\$ 114.4	\$ 305.0	\$ 483.0	\$ 2,004.4	\$ 1,699.4	\$ 1,521.4

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
 (**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

DEBT SERVICE FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 18,007.0	\$ 18,156.0	\$ 18,658.6	\$ 651.6	\$ 502.6
Consumption/Use	5,754.0	5,695.0	5,658.8	(95.2)	(36.2)
Other	801.0	788.0	774.5	(26.5)	(13.5)
Miscellaneous Receipts	233.0	340.0	373.9	140.9	33.9
Federal Receipts	37.0	36.0	36.8	(0.2)	0.8
Transfers from Other Funds	1,983.0	2,001.0	2,039.8	56.8	38.8
Total Receipts and Other Financing Sources	26,815.0	27,016.0	27,542.4	727.4	526.4
DISBURSEMENTS:					
Departmental Operations	28.0	27.0	26.8	(1.2)	(0.2)
Debt Service	1,518.0	1,523.0	1,512.2	(5.8)	(10.8)
Transfers to Other Funds	24,693.0	24,875.0	25,083.1	390.1	208.1
Total Disbursements and Other Financing Uses	26,239.0	26,425.0	26,622.1	383.1	197.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	576.0	591.0	920.3	344.3	329.3
Fund Balances (Deficits) at April 1	65.0	65.0	64.8	(0.2)	(0.2)
Fund Balances (Deficits) at December 31, 2019	\$ 641.0	\$ 656.0	\$ 985.1	\$ 344.1	\$ 329.1

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 493.0	\$ 499.0	\$ 492.1	\$ -	\$ 492.1	\$ (0.9)	\$ (6.9)
Business	504.0	508.0	514.8	-	514.8	10.8	6.8
Other	84.0	83.0	83.4	-	83.4	(0.6)	0.4
Miscellaneous Receipts	5,304.0	5,138.0	3,892.5	-	3,892.5	(1,411.5)	(1,245.5)
Federal Receipts	1,492.0	1,548.0	1,506.1	-	1,506.1	14.1	(41.9)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	2,934.0	2,640.0	3,524.8	(175.9)	3,348.9	414.9	708.9
Total Receipts and Other Financing Sources	10,811.0	10,416.0	10,013.7	(175.9)	9,837.8	(973.2)	(578.2)
DISBURSEMENTS:							
Local Assistance Grants	3,783.0	4,120.0	3,707.6	-	3,707.6	(75.4)	(412.4)
Capital Projects	6,666.0	5,762.0	5,425.0	-	5,425.0	(1,241.0)	(337.0)
Transfers to Other Funds	642.0	650.0	870.5	(175.9)	694.6	52.6	44.6
Total Disbursements and Other Financing Uses	11,091.0	10,532.0	10,003.1	(175.9)	9,827.2	(1,263.8)	(704.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(280.0)	(116.0)	10.6	-	10.6	290.6	126.6
Fund Balances (Deficits) at April 1	(1,138.0)	(1,138.0)	(1,137.9)	-	(1,137.9)	0.1	0.1
Fund Balances (Deficits) at December 31, 2019	\$ (1,418.0)	\$ (1,254.0)	\$ (1,127.3)	\$ -	\$ (1,127.3)	\$ 290.7	\$ 126.7

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR NINE MONTHS ENDED DECEMBER 31, 2019
 (amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 493.0	\$ 499.0	\$ 492.1	\$ (0.9)	\$ (6.9)	\$ -	\$ -	\$ -	\$ -	\$ -
Business	504.0	508.0	514.8	10.8	6.8	-	-	-	-	-
Other	84.0	83.0	83.4	(0.6)	0.4	-	-	-	-	-
Miscellaneous Receipts	5,304.0	5,138.0	3,891.9	(1,412.1)	(1,246.1)	-	-	0.6	0.6	0.6
Federal Receipts	-	2.0	2.3	2.3	0.3	1,492.0	1,546.0	1,503.8	11.8	(42.2)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	3,234.0	2,815.0	3,524.8	290.8	709.8	(300.0)	(175.0)	-	300.0	175.0
Total Receipts and Other Financing Sources	9,619.0	9,045.0	8,509.3	(1,109.7)	(535.7)	1,192.0	1,371.0	1,504.4	312.4	133.4
DISBURSEMENTS:										
Local Assistance Grants	3,208.0	3,557.0	3,264.0	56.0	(293.0)	575.0	563.0	443.6	(131.4)	(119.4)
Capital Projects	5,793.0	4,861.0	4,497.3	(1,295.7)	(363.7)	873.0	901.0	927.7	54.7	26.7
Transfers to Other Funds	642.0	650.0	694.5	52.5	44.5	-	-	176.0	176.0	176.0
Total Disbursements and Other Financing Uses	9,643.0	9,068.0	8,455.8	(1,187.2)	(612.2)	1,448.0	1,464.0	1,547.3	99.3	83.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(24.0)	(23.0)	53.5	77.5	76.5	(256.0)	(93.0)	(42.9)	213.1	50.1
Fund Balances (Deficits) at April 1	(633.0)	(633.0)	(633.2)	(0.2)	(0.2)	(505.0)	(505.0)	(504.7)	0.3	0.3
Fund Balances (Deficits) at December 31, 2019	\$ (657.0)	\$ (656.0)	\$ (579.7)	\$ 77.3	\$ 76.3	\$ (761.0)	\$ (598.0)	\$ (547.6)	\$ 213.4	\$ 50.4

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 Mid-Year Update dated November 22, 2019.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMPARATIVE SCHEDULE OF TAX RECEIPTS
 (amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2019	9 MOS. ENDED DEC. 31, 2019	MONTH OF DEC. 2018	9 MOS. ENDED DEC. 31, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 4,054.3	\$ 28,836.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,054.3	\$ 28,836.8	\$ 3,813.6	\$ 27,431.9	\$ 1,404.9	5.1%
Estimated Payments	379.8	12,955.8	-	-	-	-	-	-	379.8	12,955.8	357.6	10,207.4	2,748.4	26.9%
Returns	24.6	3,198.8	-	-	-	-	-	-	24.6	3,198.8	22.9	2,415.5	783.3	32.4%
State/City Offsets	(17.7)	(995.6)	-	-	-	-	-	-	(17.7)	(995.6)	(6.8)	(1,007.7)	(12.1)	-1.2%
Other (Assessments/LLC)	134.6	1,008.9	-	-	-	-	-	-	134.6	1,008.9	115.6	930.1	78.8	8.5%
Gross Receipts	4,575.6	45,004.7							4,575.6	45,004.7	4,302.9	39,977.2	5,027.5	12.6%
Transfers to School Tax Relief Fund	(38.5)	(41.7)	38.5	41.7	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(2,144.0)	(18,658.6)	-	-	2,144.0	18,658.6	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(287.6)	(7,687.5)	-	-	-	-	-	-	(287.6)	(7,687.5)	(197.8)	(7,447.2)	240.3	3.2%
Total	2,105.5	18,616.9	38.5	41.7	2,144.0	18,658.6			4,288.0	37,317.2	4,105.1	32,530.0	4,787.2	14.7%
CONSUMPTION/USE TAXES														
Sales and Use	742.1	5,663.3	102.9	833.5	741.8	5,658.8	-	-	1,586.8	12,155.6	1,542.9	11,523.2	632.4	5.5%
Auto Rental	-	-	5.6	12.9	-	-	20.6	70.6	26.2	83.5	33.4	108.4	(24.9)	-23.0%
Cigarette/Tobacco Products	27.3	248.2	68.9	570.8	-	-	-	-	96.2	819.0	89.6	875.4	(56.4)	-6.4%
Medical Marihuana	-	-	0.6	4.3	-	-	-	-	0.6	4.3	0.4	2.7	1.6	59.3%
Motor Fuel	-	-	8.5	83.6	-	-	31.4	311.7	39.9	395.3	45.9	404.3	(9.0)	-2.2%
Alcoholic Beverage	20.4	199.5	-	-	-	-	-	-	20.4	199.5	21.7	198.7	0.8	0.4%
Highway Use	-	-	-	0.4	-	-	13.8	109.8	13.8	110.2	13.8	114.5	(4.3)	-3.8%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	0.4	39.1	(39.1)	-100.0%
Total	789.8	6,111.0	186.5	1,505.5	741.8	5,658.8	65.8	492.1	1,783.9	13,767.4	1,748.1	13,266.3	501.1	3.8%
BUSINESS TAXES														
Corporation Franchise	855.7	2,801.1	174.9	733.7	-	-	-	-	1,030.6	3,534.8	873.0	3,018.7	516.1	17.1%
Corporation and Utilities	87.1	294.3	29.6	109.7	-	-	2.7	11.1	119.4	415.1	127.6	416.1	(1.0)	-0.2%
Insurance	395.1	1,342.9	62.7	183.7	-	-	-	-	457.8	1,526.6	354.8	1,131.2	395.4	35.0%
Bank	13.9	(27.9)	(0.1)	(2.0)	-	-	-	-	13.8	(29.9)	38.1	61.3	(91.2)	-148.8%
Petroleum Business	-	-	39.8	393.4	-	-	51.5	503.7	91.3	897.1	100.2	878.6	18.5	2.1%
Total	1,351.8	4,410.4	306.9	1,418.5			54.2	514.8	1,712.9	6,343.7	1,493.7	5,505.9	837.8	15.2%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	143.6	813.5	-	-	-	-	-	-	143.6	813.5	126.7	841.3	(27.8)	-3.3%
Pari-Mutuel	1.0	12.2	-	-	-	-	-	-	1.0	12.2	0.9	12.6	(0.4)	-3.2%
Real Estate Transfer	-	-	-	-	74.2	773.8	11.9	83.4	86.1	857.2	109.0	885.3	(28.1)	-3.2%
Racing and Exhibitions	0.1	2.0	-	-	-	-	-	-	0.1	2.0	0.1	2.1	(0.1)	-4.8%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Employer Compensation Expense Tax	0.2	0.7	-	-	0.2	0.7	-	-	0.4	1.4	-	-	1.4	100.0%
Total	144.9	828.4			74.4	774.5	11.9	83.4	231.2	1,686.3	236.7	1,741.3	(55.0)	-3.2%
Total Tax Receipts	\$ 4,392.0	\$ 29,966.7	\$ 531.9	\$ 2,965.7	\$ 2,960.2	\$ 25,091.9	\$ 131.9	\$ 1,090.3	\$ 8,016.0	\$ 59,114.6	\$ 7,583.6	\$ 53,043.5	\$ 6,071.1	11.4%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)**

										9 Months Ended December 31						
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 9,975.0	\$ 18,267.7	\$ 10,925.1	\$ 13,728.6	\$ 12,775.1	\$ 13,740.6	\$ 14,310.2	\$ 14,340.0	\$ 12,090.9				\$ 9,975.0	\$ 12,749.0	\$ (2,774.0)	-21.8%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1	3,270.6	2,981.8	4,054.3				28,836.8	27,431.9	1,404.9	5.1%
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0	170.9	93.5	379.8				12,955.8	10,207.4	2,748.4	26.9%
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5	564.2	42.5	24.6				3,198.8	2,415.5	783.3	32.4%
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)	(475.4)	(59.5)	(17.7)				(995.6)	(1,007.7)	(12.1)	-1.2%
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7	104.3	121.6	134.6				1,008.9	930.1	78.8	8.5%
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,152.3	5,703.1	3,634.6	3,179.9	4,575.6				45,004.7	39,977.2	5,027.5	12.6%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)	(1,056.2)	(710.5)	(287.6)				(7,687.5)	(7,447.2)	240.3	3.2%
Total Personal Income Tax	9,215.4	2,484.6	5,209.9	3,331.6	2,908.5	4,831.4	2,578.4	2,469.4	4,288.0				37,317.2	32,530.0	4,787.2	14.7%
Consumption/Use Taxes:																
Sales and Use	1,201.6	1,178.8	1,589.5	1,238.2	1,243.6	1,628.2	1,238.3	1,250.6	1,586.8				12,155.6	11,523.2	632.4	5.5%
Auto Rental	3.5	0.3	19.5	0.1	0.1	41.0	(7.3)	0.1	26.2				83.5	108.4	(24.9)	-23.0%
Cigarette/Tobacco Products	89.2	85.2	83.8	106.8	92.2	87.7	93.8	84.1	96.2				819.0	96.2	722.8	74.4%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6				4.3	2.7	1.6	59.3%
Motor Fuel	44.2	44.2	46.1	39.2	47.9	43.2	47.3	41.5	39.9				395.3	404.3	(9.0)	-2.2%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5	19.1	24.5	20.4				199.5	198.7	0.8	0.4%
Highway Use	14.2	10.7	9.9	14.5	10.7	11.1	14.3	11.0	13.8				110.2	114.5	(4.3)	-3.8%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-				-	39.1	(39.1)	-100.0%
Total Consumption/Use Taxes	1,375.0	1,340.5	1,773.3	1,424.9	1,414.4	1,835.2	1,405.9	1,412.3	1,783.9				13,767.4	13,266.3	501.1	3.8%
Business Taxes:																
Corporation Franchise	376.7	(69.0)	901.2	146.3	-	941.3	98.8	108.9	1,030.6				3,534.8	3,018.7	516.1	17.1%
Corporation and Utilities	43.0	1.2	109.5	0.4	(1.7)	141.0	2.9	(0.6)	119.4				415.1	416.1	(1.0)	-0.2%
Insurance	141.8	5.0	403.2	56.8	(1.5)	436.8	1.4	25.3	457.8				1,526.6	1,131.2	395.4	35.0%
Bank	145.3	(121.1)	(21.1)	(0.2)	(0.3)	(1.4)	(3.1)	(41.8)	13.8				(29.9)	61.3	(91.2)	-148.8%
Petroleum Business	100.4	93.7	103.8	93.8	107.0	100.7	107.4	93.0	91.3				897.1	878.6	18.5	2.1%
Total Business Taxes	807.2	(84.2)	1,496.6	297.1	103.5	1,618.4	207.4	184.8	1,712.9				6,343.7	5,505.9	837.8	15.2%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8	163.2	87.2	143.6				813.5	841.3	(27.8)	-3.3%
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1	1.0	1.1	1.0				12.2	12.6	(0.4)	-3.2%
Real Estate Transfer	82.9	86.0	98.6	130.8	90.7	97.2	85.2	99.7	86.1				857.2	885.3	(28.1)	-3.2%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-	0.4	0.6	0.1				2.0	2.1	(0.1)	-4.8%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Employer Compensation Expense Tax	0.1	0.1	-	0.2	0.2	0.1	0.2	0.1	0.4				1.4	-	1.4	100.0%
Total Other Taxes	163.8	144.6	162.7	257.1	135.0	153.2	250.0	188.7	231.2				1,686.3	1,741.3	(55.0)	-3.2%
Total Taxes	11,561.4	3,885.5	8,644.5	5,310.7	4,561.4	8,438.2	4,441.7	4,255.2	8,016.0				59,114.6	53,043.5	6,071.1	11.4%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	2.0	1.2	0.9	1.2	5.6	31.0	36.2	215.9	1.1				295.1	315.1	(20.0)	-6.3%
Bottle Bill	0.2	0.3	31.2	0.3	0.1	39.1	0.3	0.3	23.2				95.0	95.6	(0.6)	-0.6%
Assessments:																
Business	81.0	82.0	103.1	60.2	63.2	76.8	78.7	43.3	80.3				668.6	656.1	12.5	1.9%
Medical Care	625.7	523.9	509.0	571.3	518.5	544.1	531.2	472.5	529.4				4,825.6	4,587.9	237.7	5.2%
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8				44.0	38.7	5.3	13.7%
Other	-	0.2	0.1	-	0.2	-	-	0.1	0.1				0.7	(7.4)	8.1	109.5%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0	7.4	7.2	5.5				57.1	56.6	0.5	0.9%
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	2.6	-				2.1	0.5	1.6	23.8%
Business/Professional:	60.5	60.6	131.5	65.0	46.9	132.0	80.9	71.8	108.5				757.7	731.5	26.2	3.6%
Civil	28.6	18.0	22.9	22.6	17.8	34.5	20.5	22.2	33.4				220.5	216.2	4.3	2.0%
Criminal	0.5	0.5	1.2	0.2	0.6	1.3	0.5	0.4	1.0				6.2	8.4	(2.2)	-26.2%
Motor Vehicle	126.2	130.8	105.7	119.8	116.3	94.6	116.5	94.4	116.0				1,020.3	1,147.8	(127.5)	-11.1%
Recreational/Consumer	55.4	47.2	67.3	67.5	95.4	134.3	70.7	71.4	62.5				671.7	596.4	75.3	12.6%
Fines, Penalties and Forfeitures	628.7	224.6	136.0	50.8	31.9	39.2	88.2	37.8	44.4				1,281.6	1,311.1	(29.5)	-2.3%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6				210.6	190.4	20.2	10.6%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0				1,832.8	1,910.3	(77.5)	-4.1%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6				709.7	699.6	10.1	1.4%
Interest Earnings	44.0	38.8	44.9	36.0	39.4	35.8	36.9	34.2	27.9				337.9	235.4	102.5	43.5%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1	1,588.2	23.6	328.3				3,023.7	3,092.7	(69.0)	-2.2%
Cost Recovery Assessments	-	-	-	-	5.1	28.1	13.5	-	6.0				52.7	43.1	9.6	22.3%
Issuance Fees	2.0	1.0	15.1	7.0	-	0.8	14.8	3.5	9.5				53.7	76.5	(22.8)	-29.8%
Non Bond Related	4.2	4.8	4.7	13.4	-	26.7	24.9	25.3	3.0				107.0	71.2	35.8	50.3%
Receipts from Municipalities	26.4	3.2	6.6	4.4	2.6	6.1	4.6	2.3	6.0				62.2	234.4	(172.2)	-73.5%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)**

											9 Months Ended December 31					
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Rentals	40.2	29.1	9.1	30.2	2.6	1.4	66.4	24.9	23.5				227.4	263.3	(35.9)	-13.6%
Revenues of State Departments:																
Administrative Recoveries	1.9	2.8	24.7	17.7	4.6	21.9	26.7	27.6	32.0				159.9	110.0	49.9	45.4%
Commissions	0.6	0.6	0.6	0.6	0.2	0.8	0.7	0.8	82.3				87.2	8.1	79.1	976.5%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-				-	1,000.0	(1,000.0)	-100.0%
Gifts, Grants and Donations	10.9	1.5	3.1	0.9	0.9	0.3	3.7	2.0	3.1				26.4	107.0	(80.6)	-75.3%
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8	7.0	6.2	6.6				60.6	71.5	(10.9)	-15.2%
Patient/Client Care Reimbursement	239.4	194.9	198.1	240.8	208.3	264.5	208.1	183.5	247.1				1,984.7	1,854.8	129.9	7.0%
Rebates	12.3	11.5	14.7	22.1	11.7	12.1	17.7	14.5	11.5				128.1	121.7	6.4	5.3%
Restitution and Settlements	7.8	1.7	1.4	1.1	6.1	0.6	3.0	3.9	12.2				37.8	184.6	(146.8)	-79.5%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8				54.5	68.4	(13.9)	-20.3%
All Other	52.9	56.0	47.1	57.8	56.7	82.5	60.8	44.2	45.7				503.7	399.8	103.9	26.0%
Sales	5.2	0.8	1.2	2.7	1.7	2.5	0.9	1.7	1.4				18.1	22.0	(3.9)	-17.7%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1				919.0	1,040.6	(121.6)	-11.7%
Total Miscellaneous Receipts	2,659.7	1,871.3	1,971.5	1,968.6	1,834.4	2,761.9	3,594.3	1,737.3	2,145.4				20,544.4	21,561.5	(1,017.1)	-4.7%
Federal Receipts	6,242.0	4,959.2	5,803.8	3,275.4	6,082.3	5,663.8	5,708.8	5,394.3	6,605.8				49,735.4	46,645.5	3,089.9	6.6%
Total Receipts	20,463.1	10,716.0	16,419.8	10,554.7	12,478.1	16,863.9	13,744.8	11,386.8	16,767.2				129,394.4	121,250.5	8,143.9	6.7%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,263.9	4,574.0	2,811.5	1,910.2	925.1	5,084.1	1,451.3	2,138.2	2,784.8				22,943.1	22,474.8	468.3	2.1%
Environment and Recreation	25.4	33.7	2.7	14.9	14.4	18.9	15.6	12.2	14.2				152.0	153.2	(1.2)	-0.8%
General Government	60.3	159.7	734.4	63.0	87.5	132.4	163.7	72.1	384.8				1,857.9	1,856.0	1.9	0.1%
Public Health:																
Medicaid	6,412.0	6,151.7	4,857.9	4,905.7	5,506.5	5,060.5	5,679.8	5,947.1	4,996.3				49,517.5	46,690.5	2,827.0	6.1%
Other Public Health	724.4	682.4	1,162.1	802.3	782.4	954.2	803.0	591.2	1,049.0				7,551.0	7,732.1	(181.1)	-2.3%
Public Safety	156.9	178.2	70.6	87.9	99.6	103.7	169.4	217.2	133.1				1,216.6	1,259.8	(43.2)	-3.4%
Public Welfare	240.1	215.8	537.8	452.8	374.1	706.5	1,491.0	1,057.6	247.2				5,322.9	5,881.2	(558.3)	-9.5%
Support and Regulate Business	34.1	164.8	204.6	67.8	67.7	74.4	60.8	66.7	121.6				862.5	726.7	135.8	18.7%
Transportation	305.0	477.1	354.6	335.4	438.0	839.1	364.1	540.4	1,027.2				4,680.9	4,877.1	(196.2)	-4.0%
Total Local Assistance Grants	9,222.1	12,637.4	10,736.2	8,640.0	8,295.3	12,973.8	10,196.7	10,642.7	10,758.2				94,104.4	91,651.4	2,453.0	2.7%
Departmental Operations:																
Personal Service	1,139.8	1,717.6	1,127.7	1,191.0	1,253.3	1,115.1	1,440.2	1,129.8	1,145.1				11,259.6	10,911.3	348.3	3.2%
Non-Personal Service	474.1	576.3	515.9	519.5	578.2	593.0	647.4	514.4	524.7				4,943.5	4,830.7	112.8	2.3%
General State Charges	813.6	2,460.6	454.6	499.0	543.0	572.7	665.9	565.7	539.3				7,114.4	7,000.5	113.9	1.6%
Debt Service, Including Payments on																
Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2	48.5	74.9	412.2				1,512.2	1,752.5	(240.3)	-13.7%
Capital Projects	434.1	528.1	536.5	600.7	750.3	582.6	710.7	705.0	577.0				5,425.0	5,410.5	14.5	0.3%
Total Disbursements	12,156.1	18,041.1	13,601.2	11,495.3	11,494.6	16,270.4	13,711.4	13,632.5	13,956.5				124,359.1	121,556.9	2,802.2	2.3%
Excess (Deficiency) of Receipts over Disbursements	8,307.0	(7,325.1)	2,818.6	(940.6)	983.5	593.5	33.4	(2,245.7)	2,810.7				5,035.3	(306.4)	5,341.7	1,743.4%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers from Other Funds	6,203.6	2,681.3	4,839.5	3,268.8	2,912.1	4,741.3	1,641.5	2,363.8	4,008.5				32,660.4	28,446.2	4,214.2	14.8%
Transfers to Other Funds	(6,217.9)	(2,698.8)	(4,854.6)	(3,281.7)	(2,930.1)	(4,765.2)	(1,645.1)	(2,367.2)	(4,009.8)				(32,770.4)	(28,465.4)	4,305.0	15.1%
Total Other Financing Sources (Uses)	(14.3)	(17.5)	(15.1)	(12.9)	(18.0)	(23.9)	(3.6)	(3.4)	(1.3)				(110.0)	(19.2)	(90.8)	-472.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8,292.7	(7,342.6)	2,803.5	(953.5)	965.5	569.6	29.8	(2,249.1)	2,809.4				4,925.3	(325.6)	5,250.9	1,612.7%
Ending Fund Balance	\$ 18,267.7	\$ 10,925.1	\$ 13,728.6	\$ 12,775.1	\$ 13,740.6	\$ 14,310.2	\$ 14,340.0	\$ 12,090.9	\$ 14,900.3	\$ -	\$ -	\$ -	\$ 14,900.3	\$ 12,423.4	\$ 2,476.9	19.9%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)**

											9 Months Ended December 31					
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 12,361.3	\$ 18,718.2	\$ 12,243.5	\$ 14,049.7	\$ 14,506.1	\$ 14,735.4	\$ 14,633.6	\$ 14,770.1	\$ 12,595.0				\$ 12,361.3	\$ 13,606.6	\$ (1,245.3)	-9.2%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1	3,270.6	2,981.8	4,054.3				28,836.8	27,431.9	1,404.9	5.1%
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0	170.9	93.5	379.8				12,955.8	10,207.4	2,748.4	26.9%
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5	564.2	42.5	24.6				3,198.8	2,415.5	783.3	32.4%
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)	(475.4)	(59.5)	(17.7)				(995.6)	(1,007.7)	(12.1)	-1.2%
Other (Assessments/LLC)	170.3	105.2	89.3	89.3	95.7	91.7	104.3	121.6	134.6				1,008.9	930.1	78.8	8.5%
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,152.3	5,703.1	3,634.6	3,179.9	4,575.6				45,004.7	39,977.2	5,027.5	12.6%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)	(1,056.2)	(710.5)	(287.6)				(7,687.5)	(7,447.2)	240.3	3.2%
Total Personal Income Tax	9,215.4	2,484.6	5,209.9	3,331.6	2,908.5	4,831.4	2,578.4	2,469.4	4,288.0				37,317.2	32,530.0	4,787.2	14.7%
Consumption/Use Taxes:																
Sales and Use	1,201.6	1,178.8	1,589.5	1,238.2	1,243.6	1,628.2	1,238.3	1,250.6	1,586.8				12,155.6	11,523.2	632.4	5.5%
Auto Rental	-	-	-	-	-	7.3	-	5.6	-				12.9	40.6	(27.7)	-68.2%
Cigarette/Tobacco Products	89.2	85.2	83.8	106.8	92.2	87.7	93.8	84.1	96.2				819.0	875.4	(56.4)	-6.4%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6				4.3	2.7	1.6	59.3%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1	10.0	8.6	8.5				83.6	84.8	(1.2)	-1.4%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5	19.1	24.5	20.4				199.5	198.7	0.8	0.4%
Highway Use	-	0.1	-	-	-	0.1	-	0.1	-				0.4	(1.8)	2.2	122.2%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-				-	39.1	(39.1)	-100.0%
Total Consumption/Use Taxes	1,320.9	1,294.8	1,709.4	1,379.9	1,365.8	1,756.4	1,361.6	1,368.4	1,718.1				13,275.3	12,762.7	512.6	4.0%
Business Taxes:																
Corporation Franchise	376.7	(69.0)	901.2	146.3	-	941.3	98.8	108.9	1,030.6				3,534.8	3,018.7	516.1	17.1%
Corporation and Utilities	39.7	1.3	107.6	0.4	(1.7)	137.9	2.8	(0.7)	116.7				404.0	405.5	(1.5)	-0.4%
Insurance	141.8	5.0	403.2	56.8	(1.5)	436.8	1.4	25.3	457.8				1,526.6	1,131.2	395.4	35.0%
Bank	145.3	(121.1)	(21.1)	(0.2)	(0.3)	(1.4)	(3.1)	(41.8)	13.8				(29.9)	61.3	(91.2)	-148.8%
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9	47.2	40.8	39.8				393.4	389.9	3.5	0.9%
Total Business Taxes	747.6	(139.9)	1,436.3	244.6	43.5	1,558.5	147.1	132.5	1,658.7				5,828.9	5,006.6	822.3	16.4%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8	163.2	87.2	143.6				813.5	841.3	(27.8)	-3.3%
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1	1.0	1.1	1.0				12.2	12.6	(0.4)	-3.2%
Real Estate Transfer	82.9	86.0	86.7	118.9	78.8	85.3	73.2	87.8	74.2				773.8	801.9	(28.1)	-3.5%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-	0.4	0.6	0.1				2.0	2.1	(0.1)	-4.8%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Employer Compensation Expense Tax	0.1	0.1	-	0.2	0.2	0.1	0.2	0.1	0.4				1.4	-	1.4	100.0%
Total Other Taxes	163.8	144.6	150.8	245.2	123.1	141.3	238.0	176.8	219.3				1,602.9	1,657.9	(55.0)	-3.3%
Total Taxes	11,447.7	3,784.1	8,506.4	5,201.3	4,440.9	8,287.6	4,325.1	4,147.1	7,884.1				58,024.3	51,957.2	6,067.1	11.7%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	2.0	1.2	0.9	1.2	5.6	31.0	36.2	215.9	1.1				295.1	315.1	(20.0)	-6.3%
Bottle Bill	0.2	0.3	8.2	0.3	0.1	39.1	0.3	0.3	23.2				72.0	72.6	(0.6)	-0.8%
Assessments:																
Business	66.4	33.6	94.2	49.2	43.9	68.3	68.1	28.8	72.6				525.1	517.2	7.9	1.5%
Medical Care	625.7	523.9	509.0	571.3	518.5	544.1	531.2	472.5	529.4				4,825.6	4,587.9	237.7	5.2%
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8				44.0	38.7	5.3	13.7%
Other	-	0.2	0.1	-	0.2	-	-	0.1	0.1				0.7	(7.4)	8.1	109.5%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0	7.4	7.2	5.5				57.1	56.6	0.5	0.9%
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	-	2.6				2.6	2.1	0.5	23.8%
Business/Professional	59.7	55.0	127.8	62.5	36.2	130.7	79.7	69.8	107.9				729.3	701.6	27.7	3.9%
Civil	28.6	18.0	22.9	22.6	17.8	34.5	20.5	22.2	33.4				220.5	216.2	4.3	2.0%
Criminal	0.5	0.5	1.2	0.2	0.6	1.3	0.5	0.4	1.0				6.2	8.4	(2.2)	-26.2%
Motor Vehicle	59.2	61.5	40.3	59.0	52.7	34.2	59.1	35.9	63.7				465.6	595.1	(129.5)	-21.8%
Recreational/Consumer	55.2	47.1	67.5	67.5	95.4	131.3	57.9	72.6	62.5				656.8	574.2	82.6	14.4%
Fines, Penalties and Forfeitures	625.7	221.8	133.2	48.7	29.5	36.5	85.9	32.3	42.7				1,256.3	1,286.8	(30.5)	-2.4%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6				210.6	190.4	20.2	10.6%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0				1,832.8	1,910.3	(77.5)	-4.1%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6				709.7	699.6	10.1	1.4%
Interest Earnings	41.4	35.0	41.6	32.9	35.3	32.2	33.8	30.4	25.0				307.6	214.3	93.3	43.5%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)**

													9 Months Ended December 31			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Cost Recovery Assessments	-	-	-	-	5.1	28.1	13.5	-	6.0				52.7	43.1	9.6	22.3%
Issuance Fees	2.0	1.0	15.1	7.0	-	0.8	14.8	3.5	9.5				53.7	76.5	(22.8)	-29.8%
Non Bond Related	0.7	4.7	4.3	13.5	-	26.7	4.5	-	2.6				57.0	66.9	(9.9)	-14.8%
Receipts from Municipalities	26.1	3.2	6.3	4.4	2.3	5.9	4.4	1.9	5.9				60.4	233.5	(173.1)	-74.1%
Rentals	39.3	28.3	8.6	29.6	1.0	0.7	65.7	24.1	23.1				220.4	254.8	(34.4)	-13.5%
Revenues of State Departments:																
Administrative Recoveries	1.9	2.8	24.7	17.7	4.6	21.9	26.7	27.6	32.0				159.9	110.0	49.9	45.4%
Commissions	0.6	0.6	0.6	0.6	0.2	0.8	0.7	0.8	82.3				87.2	8.1	79.1	976.5%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-				-	1,000.0	(1,000.0)	-100.0%
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-	0.3	0.3	0.3				6.4	105.7	(99.3)	-93.9%
Indirect Cost Recoveries	6.5	5.2	8.7	8.3	7.2	5.8	7.0	6.2	6.6				61.5	71.5	(10.0)	-14.0%
Patient/Client Care Reimbursement	239.4	194.9	198.1	240.8	208.3	264.5	208.1	183.5	247.1				1,984.7	1,854.8	129.9	7.0%
Rebates	4.6	2.7	6.1	13.4	3.6	3.8	6.4	3.2	3.2				53.4	43.3	10.1	23.3%
Restitution and Settlements	7.6	1.2	1.0	1.0	5.9	0.5	0.5	3.6	5.7				27.0	176.9	(149.9)	-84.7%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8				54.5	68.4	(13.9)	-20.3%
All Other	49.0	55.2	45.8	43.3	44.3	77.6	56.3	39.8	40.0				451.3	384.0	67.3	17.5%
Sales	1.0	0.8	1.2	2.7	1.7	2.5	0.8	1.5	1.3				13.5	19.4	(5.9)	-30.4%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1				919.0	1,040.6	(121.6)	-11.7%
Total Miscellaneous Receipts	2,340.8	1,727.0	1,706.2	1,709.4	1,632.2	2,168.8	1,878.8	1,589.4	1,727.6	-	-	-	16,480.2	17,537.2	(1,057.0)	-6.0%
Federal Receipts	-	-	(0.9)	1.7	53.6	0.3	0.1	0.2	-				55.0	34.4	20.6	59.9%
Total Receipts	13,788.5	5,511.1	10,211.7	6,912.4	6,126.7	10,456.7	6,204.0	5,736.7	9,611.7	-	-	-	74,559.5	69,528.8	5,030.7	7.2%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	917.2	3,980.2	2,539.1	1,611.6	680.8	4,854.3	1,230.2	1,890.8	2,433.9				20,138.1	19,591.1	547.0	2.8%
Environment and Recreation	0.2	0.1	0.6	(0.1)	0.3	1.0	0.7	0.3	0.7				3.8	4.7	(0.9)	-19.1%
General Government	27.1	34.4	576.7	22.4	58.0	71.2	33.2	51.1	232.4				1,106.5	1,032.8	73.7	7.1%
Public Health:																
Medicaid	3,295.5	2,470.5	1,759.2	1,903.0	1,759.5	1,915.8	2,120.2	2,341.5	1,734.4				19,299.6	17,558.8	1,740.8	9.9%
Other Public Health	210.3	153.0	440.7	261.2	276.9	277.2	143.1	362.9	2,412.5				2,412.5	2,531.9	(119.4)	-4.7%
Public Safety	30.7	27.8	28.6	33.0	41.8	28.5	22.5	34.2	275.1				275.1	254.6	20.5	8.1%
Public Welfare	88.7	85.7	181.0	128.9	180.7	170.0	424.8	460.9	119.2				1,839.9	1,842.3	(2.4)	-0.1%
Support and Regulate Business	7.1	14.4	7.0	24.5	11.7	22.4	21.0	19.0	19.0				154.9	155.0	(0.1)	-0.1%
Transportation	68.3	426.6	279.4	274.3	388.4	327.1	279.8	498.9	739.5				3,282.3	3,581.9	(299.6)	-8.4%
Total Local Assistance Grants	4,645.1	7,192.7	5,812.3	4,257.1	3,402.1	7,660.1	4,417.0	5,430.1	5,696.2	-	-	-	48,512.7	46,553.1	1,959.6	4.2%
Departmental Operations:																
Personal Service	1,090.5	1,651.8	1,072.6	1,146.7	1,205.6	1,064.2	1,364.4	1,083.1	1,092.2				10,771.1	10,417.3	353.8	3.4%
Non-Personal Service	404.0	500.0	419.5	437.9	472.7	406.6	514.3	431.6	399.2				3,985.8	3,924.6	61.2	1.6%
General State Charges	792.2	2,436.5	420.6	463.4	518.5	548.2	639.9	539.5	513.8				6,872.6	6,669.7	202.9	3.0%
Debt Service, Including Payments on Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2	48.5	74.9	412.2				1,512.2	1,752.5	(240.3)	-13.7%
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-				-	-	-	0.0%
Total Disbursements	7,004.2	11,902.1	7,955.4	6,350.2	5,673.3	10,112.3	6,984.1	7,559.2	8,113.6	-	-	-	71,654.4	69,317.2	2,337.2	3.4%
Excess (Deficiency) of Receipts over Disbursements	6,784.3	(6,391.0)	2,256.3	562.2	453.4	344.4	(780.1)	(1,822.5)	1,498.1	-	-	-	2,905.1	211.6	2,693.5	1,272.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	5,706.6	2,417.0	4,298.6	3,019.8	2,505.3	4,021.1	2,373.2	1,883.8	3,514.4				29,739.8	26,173.5	3,566.3	13.6%
Transfers to Other Funds (**)	(6,134.0)	(2,500.7)	(4,748.7)	(3,125.6)	(2,729.4)	(4,467.3)	(1,456.6)	(2,236.4)	(3,584.3)				(30,983.0)	(27,099.3)	3,883.7	14.3%
Total Other Financing Sources (Uses)	(427.4)	(83.7)	(450.1)	(105.8)	(224.1)	(446.2)	916.6	(352.6)	(69.9)	-	-	-	(1,243.2)	(925.8)	(317.4)	-34.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,356.9	(6,474.7)	1,806.2	456.4	229.3	(101.8)	136.5	(2,175.1)	1,428.2	-	-	-	1,661.9	(714.2)	2,376.1	332.7%
Ending Fund Balance	\$ 18,718.2	\$ 12,243.5	\$ 14,049.7	\$ 14,506.1	\$ 14,735.4	\$ 14,633.6	\$ 14,770.1	\$ 12,595.0	\$ 14,023.2	\$ -	\$ -	\$ -	\$ 14,023.2	\$ 12,892.4	\$ 1,130.8	8.8%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT F

	2019										2020			9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 7,205.7	\$ 11,968.7	\$ 5,221.6	\$ 6,593.0	\$ 6,614.3	\$ 6,886.4	\$ 9,049.8	\$ 8,815.2	\$ 6,571.4				\$ 7,205.7	\$ 9,445.0	\$ (2,239.3)	-23.7%	
RECEIPTS:																	
Taxes:																	
Personal Income Tax:																	
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1	3,270.6	2,981.8	4,054.3				28,836.8	27,431.9	1,404.9	5.1%	
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0	170.9	93.5	379.8				12,955.8	10,207.4	2,748.4	26.9%	
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5	564.2	42.5	24.6				3,198.8	2,415.5	783.3	32.4%	
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)	(475.4)	(59.5)	(17.7)				(995.6)	(1,007.7)	(12.1)	-1.2%	
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7	104.3	121.6	134.6				1,008.9	930.1	78.8	8.5%	
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,152.3	5,703.1	3,634.6	3,179.9	4,575.6				45,004.7	39,977.2	5,027.5	12.6%	
Transfers to School Tax Relief Fund	-	-	-	-	-	-	(0.2)	(3.0)	(38.5)				(41.7)	(74.5)	(32.8)	-44.0%	
Transfers to Revenue Bond Tax Fund	(4,607.7)	(1,242.3)	(2,604.9)	(1,665.8)	(1,454.3)	(2,415.7)	(1,289.2)	(1,234.7)	(2,144.0)				(18,658.6)	(16,265.0)	2,393.6	14.7%	
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)	(1,056.2)	(710.5)	(287.6)				(7,687.5)	(7,447.2)	240.3	3.2%	
Total Personal Income Tax	4,607.7	1,242.3	2,605.0	1,665.8	1,454.2	2,415.7	1,289.0	1,231.7	2,105.5				18,616.9	16,190.5	2,426.4	15.0%	
Consumption/Use Taxes:																	
Sales and Use	539.3	551.4	743.5	579.8	581.8	761.9	579.0	584.5	742.1				5,663.3	5,381.9	281.4	5.2%	
Auto Rental	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Cigarette/Tobacco Products	26.0	25.8	26.5	31.4	28.3	28.3	28.3	26.3	27.3				248.2	259.4	(11.2)	-4.3%	
Motor Fuel	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5	19.1	24.5	20.4				199.5	198.7	0.8	0.4%	
Highway Use	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Total Consumption/Use Taxes	585.3	598.1	796.0	636.8	629.6	813.7	626.4	635.3	789.8				6,111.0	5,840.0	271.0	4.6%	
Business Taxes:																	
Corporation Franchise	274.1	(75.0)	725.8	109.4	(21.4)	791.3	71.5	69.7	855.7				2,801.1	2,395.2	405.9	16.9%	
Corporation and Utilities	16.3	1.8	84.1	0.2	(0.8)	105.5	2.0	(1.9)	87.1				294.3	300.1	(5.8)	-1.9%	
Insurance	127.4	(3.6)	354.7	50.9	2.3	387.8	2.7	25.6	395.1				1,342.9	1,001.5	341.4	34.1%	
Bank	125.4	(104.7)	(21.5)	(0.3)	(0.2)	(1.0)	(2.9)	(36.6)	(3.9)				(27.9)	58.6	(86.5)	-147.6%	
Petroleum Business	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Total Business Taxes	543.2	(181.5)	1,143.1	160.2	(20.1)	1,283.6	73.3	56.8	1,351.8				4,410.4	3,755.4	655.0	17.4%	
Other Taxes:																	
Real Property Gains	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8	163.2	87.2	143.6				813.5	841.3	(27.8)	-3.3%	
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1	1.0	1.1	1.0				12.2	12.6	(0.4)	-3.2%	
Real Estate Transfer	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-	0.4	0.6	0.1				2.0	2.1	(0.1)	-4.8%	
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Employer Compensation Expense Tax	0.1	-	-	0.1	0.1	-	0.1	0.1	0.2				0.7	-	0.7	100.0%	
Total Other Taxes	80.9	58.5	64.1	126.2	44.2	55.9	164.7	89.0	144.9				828.4	856.0	(27.6)	-3.2%	
Total Taxes	5,817.1	1,717.4	4,608.2	2,589.0	2,107.9	4,568.9	2,153.4	2,012.8	4,392.0				29,966.7	26,641.9	3,324.8	12.5%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	0.1	-	0.3	4.8	30.2	35.0	215.0	-				286.3	307.0	(20.7)	-6.7%	
Bottle Bill	0.2	0.3	8.2	0.3	0.1	39.1	0.3	0.3	23.2				72.0	72.6	(0.6)	-0.8%	
Assessments:																	
Business	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Medical Care	-	4.2	4.3	3.8	2.6	1.9	2.5	3.4	7.0				29.7	34.7	(5.0)	-14.4%	
Public Utilities	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Other	-	0.1	0.1	-	0.1	-	-	0.1	-				0.4	0.6	(0.2)	-33.3%	
Fees, Licenses and Permits:																	
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0	7.4	7.2	5.5				57.1	56.6	0.5	0.9%	
Audit Fees	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Business/Professional	11.1	18.1	29.8	15.0	3.4	35.1	30.3	16.2	30.8				189.8	182.1	7.7	4.2%	
Civil	25.0	13.4	17.8	18.4	15.1	26.7	15.7	17.1	28.1				177.3	173.1	4.2	2.4%	
Criminal	0.2	0.1	0.1	0.2	0.2	0.1	0.1	0.1	0.2				1.3	1.3	-	0.0%	
Motor Vehicle	33.2	34.9	11.6	35.5	24.4	24.2	33.8	10.1	40.8				248.5	213.6	34.9	16.3%	
Recreational/Consumer	1.2	1.5	1.9	1.6	1.4	2.1	1.3	1.5	1.8				14.3	13.4	0.9	6.7%	
Fines, Penalties and Forfeitures	618.1	154.6	26.5	43.9	19.7	28.7	72.0	24.2	24.6				1,012.3	1,152.6	(140.3)	-12.2%	
Interest Earnings	21.8	16.8	19.8	13.1	13.9	12.8	14.0	12.6	11.0				135.8	88.9	46.9	52.8%	
Receipts from Public Authorities:																	
Cost Recovery Assessments	-	-	-	-	5.1	5.0	7.9	-	2.1				20.1	20.1	-	0.0%	
Issuance Fees	-	-	10.9	7.0	-	0.8	14.8	3.5	9.5				46.5	69.3	(22.8)	-32.9%	
Non Bond Related	0.1	-	-	-	-	25.0	0.1	-	-				25.2	23.0	2.2	9.6%	
Receipts from Municipalities	16.7	-	-	-	-	-	0.1	-	-				16.8	150.1	(133.3)	-88.8%	
Rentals	0.3	0.1	0.2	0.3	0.1	0.2	0.2	(0.4)	0.7				1.7	5.0	(3.3)	-66.0%	
Revenues of State Departments:																	
Administrative Recoveries	0.5	1.0	20.1	0.1	0.3	18.2	0.5	0.3	16.1				57.1	54.4	2.7	5.0%	
Commissions	0.1	0.2	-	0.2	(0.2)	-	-	0.1	-				0.4	-	0.4	100.0%	
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-				-	0.2	(0.2)	-100.0%	
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8	7.0	6.2	6.6				60.6	71.4	(10.8)	-15.1%	
Patient/Client Care Reimbursement	(7.3)	2.7	5.7	(70.7)	59.9	5.6	(16.8)	2.7	7.9				(10.3)	(31.3)	21.0	67.1%	
Rebates	(0.6)	1.7	(0.6)	(0.2)	2.5	-	-	2.6	(0.9)				4.5	1.4	3.1	221.4%	

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT F

													9 Months Ended December 31			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Restitution and Settlements	-	-	0.2	-	-	0.1	0.1	0.2	5.3	-	-	-	5.9	110.8	(104.9)	-94.7%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	8.1	18.3	(11.6)	4.1	0.6	10.1	19.5	7.5	6.9	-	-	-	63.5	32.8	30.7	93.6%
Sales	0.1	-	(0.3)	0.2	-	-	-	-	-	-	-	-	-	0.2	(0.2)	-100.0%
Total Miscellaneous Receipts	741.0	279.3	158.8	87.4	168.1	278.7	245.8	330.5	227.2	-	-	-	2,516.8	2,803.9	(287.1)	-10.2%
Federal Receipts	-	-	-	-	0.1	0.2	0.1	0.1	-	-	-	-	0.5	0.1	0.4	400.0%
Total Receipts	6,558.1	1,996.7	4,767.0	2,676.4	2,276.1	4,847.8	2,399.3	2,343.4	4,619.2	-	-	-	32,484.0	29,445.9	3,038.1	10.3%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	917.0	3,979.7	2,189.8	1,611.4	679.3	2,342.9	1,082.3	1,741.4	2,250.1	-	-	-	16,793.9	16,692.5	101.4	0.6%
Environment and Recreation	0.1	0.1	0.1	-	0.2	0.8	0.7	0.1	0.2	-	-	-	2.3	2.3	-	0.0%
General Government	1.7	15.7	574.9	2.2	45.5	48.3	19.1	30.9	205.3	-	-	-	943.6	931.3	12.3	1.3%
Public Health:																
Medicaid	3,296.6	1,588.6	1,393.9	1,320.2	1,073.3	1,308.3	1,650.5	1,933.4	1,300.1	-	-	-	14,864.9	13,374.6	1,490.3	11.1%
Other Public Health	150.2	120.3	336.0	217.7	111.8	213.0	220.9	101.5	282.6	-	-	-	1,754.0	1,855.4	(101.4)	-5.5%
Public Safety	10.6	13.4	12.5	18.5	12.9	24.8	12.2	10.2	21.8	-	-	-	136.9	140.0	(3.1)	-2.2%
Public Welfare	87.8	84.9	180.5	128.4	179.8	170.7	424.4	459.7	119.6	-	-	-	1,835.8	1,837.4	(1.6)	-0.1%
Support and Regulate Business	6.0	7.7	5.7	22.0	21.1	7.3	20.9	7.1	13.0	-	-	-	110.8	105.6	5.2	4.9%
Transportation	-	23.9	14.1	0.2	23.9	(0.1)	-	24.1	11.4	-	-	-	97.5	291.0	(193.5)	-66.5%
Total Local Assistance Grants	4,470.0	5,834.3	4,707.5	3,320.6	2,147.8	4,116.0	3,431.0	4,308.4	4,204.1	-	-	-	36,539.7	35,230.1	1,309.6	3.7%
Departmental Operations:																
Personal Service	688.8	1,071.8	679.0	697.3	853.2	676.0	805.2	684.6	684.2	-	-	-	6,840.1	6,597.9	242.2	3.7%
Non-Personal Service	159.0	249.2	218.9	200.9	211.3	188.9	256.5	147.6	178.8	-	-	-	1,811.1	1,858.6	(47.5)	-2.6%
General State Charges	728.2	2,349.3	364.9	384.8	422.6	477.0	573.4	409.8	408.7	-	-	-	6,118.7	5,862.3	256.4	4.4%
Total Disbursements	6,046.0	9,504.6	5,970.3	4,603.6	3,634.9	5,457.9	5,066.1	5,550.4	5,475.8	-	-	-	51,309.6	49,548.9	1,760.7	3.6%
Excess (Deficiency) of Receipts over Disbursements	512.1	(7,507.9)	(1,203.3)	(1,927.2)	(1,358.8)	(610.1)	(2,666.8)	(3,207.0)	(856.6)	-	-	-	(18,825.6)	(20,103.0)	1,277.4	6.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	4,607.3	1,126.2	2,606.0	1,658.3	1,476.3	2,682.8	1,288.8	960.3	2,147.3	-	-	-	18,553.3	15,893.5	2,659.8	16.7%
Transfers from LGAC / STRBTf	452.0	302.7	835.6	501.5	490.2	747.6	493.9	494.1	654.2	-	-	-	4,972.0	4,641.3	330.7	7.1%
Transfers from CW/CA Fund	70.3	86.0	85.4	114.3	74.1	80.7	68.6	83.1	69.6	-	-	-	732.1	757.7	(25.6)	-3.4%
Transfers from Other Funds	49.4	110.2	118.6	248.4	118.4	70.3	84.2	67.1	154.6	-	-	-	1,021.2	862.9	158.3	18.2%
Transfers to State Capital Projects	(248.8)	(406.3)	(393.8)	(201.8)	(402.8)	(586.9)	812.1	(394.2)	(286.0)	-	-	-	(2,106.5)	(1,817.7)	(288.8)	-15.9%
Transfers to All Other Capital Projects	(250.0)	-	(216.5)	(50.0)	(70.0)	(116.5)	(100.0)	(98.0)	(186.5)	-	-	-	(1,087.5)	(1,049.5)	(38.0)	-3.6%
Transfers to General Debt Service	(134.7)	(10.7)	7.0	(132.1)	16.6	28.2	(129.9)	20.2	(5.2)	-	-	-	(340.6)	(509.8)	(169.2)	-33.2%
Transfers to All Other State Funds	(294.6)	(447.3)	(467.6)	(190.1)	(71.9)	(132.9)	(85.5)	(169.4)	(83.1)	-	-	-	(1,942.4)	(1,690.1)	(252.3)	-14.9%
Total Other Financing Sources (Uses)	4,250.9	760.8	2,574.7	1,948.5	1,630.9	2,773.5	2,432.2	963.2	2,464.9	-	-	-	19,799.6	17,308.3	2,491.3	14.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,763.0	(6,747.1)	1,371.4	21.3	272.1	2,163.4	(234.6)	(2,243.8)	1,608.3	-	-	-	974.0	(2,794.7)	3,768.7	134.9%
Ending Fund Balance	\$ 11,968.7	\$ 5,221.6	\$ 6,593.0	\$ 6,614.3	\$ 6,886.4	\$ 9,049.8	\$ 8,815.2	\$ 6,571.4	\$ 8,179.7	\$ -	\$ -	\$ -	\$ 8,179.7	\$ 6,650.3	\$ 1,529.4	23.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019										2020				9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer/ Eliminations (*)	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 3,842.4	\$ 7,002.4	\$ 6,268.9	\$ 7,986.6	\$ 6,880.6	\$ 7,461.3	\$ 6,241.5	\$ 5,866.6	\$ 5,999.5				\$ -	\$ 3,842.4	\$ 4,302.1	\$ (459.7)	-10.7%	
RECEIPTS:																		
Taxes:																		
Personal Income Tax	-	-	-	-	-	-	0.2	3.0	38.5				-	41.7	74.5	(32.8)	-44.0%	
Consumption/Use Taxes:																		
Sales and Use	124.1	76.4	102.8	79.1	80.3	104.8	81.0	82.1	102.9				-	833.5	771.3	62.2	8.1%	
Auto Rental	-	-	-	-	-	7.3	-	-	5.6				-	12.9	40.6	(27.7)	-68.2%	
Cigarette/Tobacco Products	63.2	59.4	57.3	75.4	63.9	59.4	65.5	57.8	68.9				-	570.8	616.0	(45.2)	-7.3%	
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6				-	4.3	2.7	1.6	59.3%	
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1	10.0	8.6	8.5				-	83.6	84.8	(1.2)	-1.4%	
Alcoholic Beverage	-	-	-	-	-	-	-	-	-				-	-	-	-	0.0%	
Highway Use	-	0.1	0.1	-	-	0.1	-	-	0.1				-	0.4	2.2	(1.8)	-122.2%	
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-				-	-	39.1	(39.1)	-100.0%	
Total Consumption/Use Taxes	197.4	145.7	170.2	163.8	154.7	181.2	156.9	149.1	186.5					1,505.5	1,552.7	(47.2)	-3.0%	
Business Taxes:																		
Corporation Franchise	102.6	6.0	175.4	36.9	21.4	150.0	27.3	39.2	174.9				-	733.7	623.5	110.2	17.7%	
Corporation and Utilities	23.4	(0.5)	23.5	0.2	(0.9)	32.4	0.8	1.2	29.6				-	109.7	105.4	4.3	4.1%	
Insurance	14.4	8.6	48.5	5.9	(3.8)	49.0	(1.3)	(0.3)	62.7				-	183.7	129.7	54.0	41.6%	
Bank	19.9	(16.4)	0.4	0.1	(0.1)	(0.4)	(0.2)	(5.2)	(0.1)				-	(2.0)	2.7	(4.7)	-174.1%	
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9	47.2	40.8	39.8				-	393.4	389.9	3.5	0.9%	
Total Business Taxes	204.4	41.6	293.2	84.4	63.6	274.9	73.8	75.7	306.9					1,418.5	1,251.2	167.3	13.4%	
Total Taxes	401.8	187.3	463.4	248.2	218.3	456.1	230.9	227.8	531.9					2,965.7	2,878.4	87.3	3.0%	
Miscellaneous Receipts:																		
Abandoned Property:																		
Abandoned Property	1.1	1.1	0.9	0.9	0.8	0.8	1.2	0.9	1.1				-	8.8	8.1	0.7	8.6%	
Assessments:																		
Business	70.9	72.7	94.4	52.0	54.6	68.5	70.4	35.3	72.7				-	591.5	580.3	11.2	1.9%	
Medical Care	625.7	519.7	504.7	567.5	515.9	542.2	528.7	469.1	522.4				-	4,795.9	4,553.2	242.7	5.3%	
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8				-	44.0	38.7	5.3	13.7%	
Other	-	0.1	-	-	0.1	-	-	(0.0)	0.1				-	0.3	(8.0)	8.3	103.8%	
Fees, Licenses and Permits:																		
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	-	-				-	2.6	2.1	0.5	23.8%	
Business/Professional	48.6	36.9	98.0	47.5	32.8	95.6	49.4	53.6	77.1				-	539.5	519.5	20.0	3.8%	
Civil	3.6	4.6	5.1	4.2	2.7	7.8	4.8	5.1	5.3				-	43.2	43.1	0.1	0.2%	
Criminal	0.3	0.4	1.1	-	0.4	1.2	0.4	0.3	0.8				-	4.9	7.1	(2.2)	-31.0%	
Motor Vehicle	26.0	26.6	28.7	23.5	28.3	10.0	25.3	25.8	22.9				-	217.1	381.5	(164.4)	-43.1%	
Recreational/Consumer	54.0	45.6	65.4	65.9	94.0	129.2	56.6	71.1	60.7				-	642.5	560.8	81.7	14.6%	
Fines, Penalties and Forfeitures	8.3	68.0	107.2	5.2	10.5	8.2	14.3	8.5	18.5				-	248.7	140.0	108.7	77.6%	
Gaming:																		
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6				-	210.6	190.4	20.2	10.6%	
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0				-	1,832.8	1,910.3	(77.5)	-4.1%	
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6				-	709.7	699.6	10.1	1.4%	
Interest Earnings	20.9	21.0	23.8	21.7	24.0	21.8	21.9	20.5	16.1				-	191.7	137.4	54.3	39.5%	
Receipts from Public Authorities:																		
Bond Proceeds	-	-	-	-	-	-	-	-	-				-	-	-	-	0.0%	
Cost Recovery Assessments	-	-	-	-	-	23.1	5.6	-	3.9				-	32.6	23.0	9.6	41.7%	
Issuance Fees	2.0	1.0	4.2	-	-	-	-	-	-				-	7.2	7.2	-	0.0%	
Non Bond Related	0.6	4.7	4.3	13.5	-	1.7	4.4	-	2.6				-	31.8	43.9	(12.1)	-27.6%	
Receipts from Municipalities	9.4	2.7	6.3	3.6	2.3	5.9	3.9	1.8	5.9				-	41.8	80.6	(38.8)	-48.1%	
Rentals	39.0	28.2	8.4	29.3	0.9	0.5	65.5	24.5	22.4				-	218.7	249.8	(31.1)	-12.4%	
Revenues of State Departments:																		
Administrative Recoveries	1.4	1.8	4.6	17.6	4.3	3.7	26.2	27.3	15.9				-	102.8	55.6	47.2	84.9%	
Commissions	0.5	0.4	0.6	0.4	0.4	0.8	0.7	0.7	82.3				-	86.8	8.1	78.7	971.6%	
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-				-	1,000.0	-	(1,000.0)	-100.0%	
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-	0.3	0.3	0.3				-	6.4	105.5	(99.1)	-93.9%	
Indirect Cost Recoveries	0.9	-	-	-	-	-	-	-	-				-	0.9	0.1	0.8	800.0%	
Patient/Client Care Reimbursement	204.3	156.2	154.9	222.3	106.2	243.2	193.8	155.9	187.7				-	1,624.5	1,607.0	17.5	1.1%	
Rebates	12.9	9.7	15.2	22.3	9.2	12.1	17.7	11.9	12.4				-	123.4	120.0	3.4	2.8%	
Restitution and Settlements	7.6	1.2	0.8	1.0	5.9	0.4	0.4	3.4	0.4				-	21.1	66.1	(45.0)	-68.1%	
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8				-	54.5	68.4	(13.9)	-20.3%	
All Other	41.2	36.9	57.6	39.2	43.8	67.5	36.8	33.5	35.9				-	392.4	353.1	39.3	11.1%	
Sales	0.9	0.8	1.5	2.5	1.7	2.5	0.8	1.5	1.3				-	13.5	19.2	(5.7)	-29.7%	
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1				-	919.0	1,040.6	(121.6)	-11.7%	
Total Miscellaneous Receipts	1,571.9	1,462.6	1,521.3	1,545.8	1,444.1	1,885.7	1,614.4	1,252.8	1,462.6					13,761.2	14,612.3	(851.1)	-5.8%	
Federal Receipts	6,203.7	4,816.3	5,671.6	3,118.1	5,873.4	5,484.0	5,367.9	5,209.9	6,447.1				-	48,192.0	44,927.5	3,264.5	7.3%	
Total Receipts	8,177.4	6,466.2	7,656.3	4,912.1	7,535.8	7,825.8	7,213.2	6,690.5	8,441.6					64,918.9	62,418.2	2,500.7	4.0%	

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019												Intra-Fund Transfer Eliminations (*)	9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	337.7	591.1	611.4	288.1	233.8	2,698.8	354.6	378.6	509.8	-	-	-	-	6,003.9	5,657.1	346.8	6.1%
Environment and Recreation	0.2	0.1	0.5	(0.1)	0.2	0.5	0.1	0.2	0.7	-	-	-	-	2.4	4.3	(1.9)	-44.2%
General Government	27.4	22.2	10.5	21.4	24.2	25.0	16.2	22.6	38.9	-	-	-	-	208.4	156.1	52.3	33.5%
Public Health:																	
Medicaid	3,115.4	4,563.1	3,464.0	3,585.5	4,433.2	3,752.2	4,029.3	4,013.7	3,696.2	-	-	-	-	34,652.6	33,315.9	1,336.7	4.0%
Other Public Health	543.4	520.5	805.5	508.6	652.9	721.0	509.6	455.4	721.8	-	-	-	-	5,438.7	5,610.5	(171.8)	-3.1%
Public Safety	136.3	164.9	58.8	69.3	86.4	66.9	156.0	192.2	109.5	-	-	-	-	1,040.3	1,080.0	(39.7)	-3.7%
Public Welfare	152.3	120.0	271.2	318.4	185.2	493.0	1,063.4	514.8	114.3	-	-	-	-	3,232.6	3,784.5	(551.9)	-14.6%
Support and Regulate Business	1.4	7.9	1.5	6.6	5.7	5.2	1.9	15.8	6.0	-	-	-	-	52.0	56.7	(4.7)	-8.3%
Transportation	72.3	408.5	269.7	278.1	369.0	330.5	284.0	479.5	734.6	-	-	-	-	3,226.2	3,333.8	(107.6)	-3.2%
Total Local Assistance Grants	4,386.4	6,398.3	5,493.1	5,075.9	5,990.6	8,093.1	6,415.1	6,072.8	5,931.8	-	-	-	-	53,857.1	52,998.9	858.2	1.6%
Departmental Operations:																	
Personal Service	451.0	645.8	448.7	493.7	400.1	439.1	635.0	445.2	460.9	-	-	-	-	4,419.5	4,313.4	106.1	2.5%
Non-Personal Service	314.4	324.8	294.4	310.0	360.1	402.6	390.3	364.6	344.4	-	-	-	-	3,105.6	2,944.9	160.7	5.5%
General State Charges	85.4	111.3	89.7	114.2	120.4	95.7	92.5	155.9	130.6	-	-	-	-	995.7	1,138.2	(142.5)	-12.5%
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	5,237.2	7,480.2	6,326.0	5,993.8	6,871.1	9,030.5	7,532.9	7,038.5	6,867.7	-	-	-	-	62,377.9	61,395.4	982.5	1.6%
Excess (Deficiency) of Receipts over Disbursements	2,940.2	(1,014.0)	1,330.3	(1,081.7)	664.7	(1,204.7)	(319.7)	(348.0)	1,573.9	-	-	-	-	2,541.0	1,022.8	1,518.2	148.4%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	308.3	585.3	566.5	215.4	160.2	168.6	119.5	185.7	111.9	-	-	-	(428.3)	1,993.1	1,740.4	252.7	14.5%
Transfers to Other Funds	(88.5)	(304.8)	(179.1)	(239.7)	(244.2)	(183.7)	(174.7)	(104.8)	(422.5)	-	-	-	428.3	(1,513.7)	(1,047.9)	465.8	44.5%
Total Other Financing Sources (Uses)	219.8	280.5	387.4	(24.3)	(84.0)	(15.1)	(55.2)	80.9	(310.6)	-	-	-	-	479.4	692.5	(213.1)	-30.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,160.0	(733.5)	1,717.7	(1,106.0)	580.7	(1,219.8)	(374.9)	(267.1)	1,263.3	-	-	-	-	3,020.4	1,715.3	1,305.1	76.1%
Ending Fund Balance	\$ 7,002.4	\$ 6,268.9	\$ 7,986.6	\$ 6,880.6	\$ 7,461.3	\$ 6,241.5	\$ 5,866.6	\$ 5,599.5	\$ 6,862.8	\$ -	\$ -	\$ -	\$ -	\$ 6,862.8	\$ 6,017.4	\$ 845.4	14.0%

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

										9 Months Ended December 31						
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 5,090.8	\$ 6,434.7	\$ 6,338.1	\$ 7,095.2	\$ 7,277.1	\$ 7,104.7	\$ 5,276.8	\$ 5,350.5	\$ 5,061.3				\$ 5,090.8	\$ 4,008.5	\$ 1,082.3	27.0%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	0.2	3.0	38.5				41.7	74.5	(32.8)	-44.0%
Consumption/Use Taxes:																
Sales and Use	124.1	76.4	102.8	79.1	80.3	104.8	81.0	82.1	102.9				833.5	771.3	62.2	8.1%
Auto Rental	-	-	-	-	-	7.3	-	-	5.6				12.9	40.6	(27.7)	-68.2%
Cigarette/Tobacco Products	63.2	59.4	57.3	75.4	63.9	59.4	65.5	57.8	68.9				570.8	616.0	(45.2)	-7.3%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6				4.3	2.7	1.6	59.3%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1	10.0	8.6	8.5				83.6	84.8	(1.2)	-1.4%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Highway Use	-	0.1	0.1	-	-	-	0.1	-	0.1				0.4	(1.8)	2.2	122.2%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-				-	39.1	(39.1)	-100.0%
Total Consumption/Use Taxes	197.4	145.7	170.2	163.8	154.7	181.2	156.9	149.1	186.5				1,505.5	1,552.7	(47.2)	-3.0%
Business Taxes																
Corporation Franchise	102.6	6.0	175.4	36.9	21.4	150.0	27.3	39.2	174.9				733.7	623.5	110.2	17.7%
Corporation and Utilities	23.4	(0.5)	23.5	0.2	(0.9)	32.4	0.8	1.2	29.6				109.7	105.4	4.3	4.1%
Insurance	14.4	8.6	48.5	5.9	(3.8)	49.0	(1.3)	(0.3)	62.7				183.7	129.7	54.0	41.6%
Bank	19.9	(16.4)	0.4	0.1	(0.1)	(0.4)	(0.2)	(5.2)	(0.1)				(2.0)	2.7	(4.7)	-174.1%
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9	47.2	40.8	39.8				393.4	389.9	3.5	0.9%
Total Business Taxes	204.4	41.6	293.2	84.4	63.6	274.9	73.8	75.7	306.9				1,418.5	1,251.2	167.3	13.4%
Total Taxes	401.8	187.3	463.4	248.2	218.3	456.1	230.9	224.8	531.9				2,965.7	2,878.4	87.3	3.0%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.1	1.1	0.9	0.9	0.8	0.8	1.2	0.9	1.1				8.8	8.1	0.7	8.6%
Assessments:																
Business	66.4	33.6	94.2	49.2	43.9	68.3	68.1	28.8	72.6				525.1	517.2	7.9	1.5%
Medical Care	625.7	519.7	504.7	567.5	515.9	542.2	528.7	469.1	522.4				4,795.9	4,553.2	242.7	5.3%
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8				44.0	38.7	5.3	13.7%
Other	-	0.1	-	-	0.1	-	-	(0.0)	0.1				0.3	(8.0)	8.3	103.8%
Fees, Licenses and Permits:																
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	-	-				2.6	2.1	0.5	23.8%
Business/Professional	48.6	36.9	98.0	47.5	32.8	95.6	49.4	53.6	77.1				539.5	519.5	20.0	3.8%
Civil	3.6	4.6	5.1	4.2	2.7	7.8	4.8	5.1	5.3				43.2	43.1	0.1	0.2%
Criminal	0.3	0.4	1.1	-	0.4	1.2	0.4	0.3	0.8				4.9	7.1	(2.2)	-31.0%
Motor Vehicle	26.0	26.6	28.7	23.5	28.3	10.0	25.3	25.8	22.9				217.1	381.5	(164.4)	-43.1%
Recreational/Consumer	54.0	45.6	65.4	65.9	94.0	129.2	56.6	71.1	60.7				642.5	560.8	81.7	14.6%
Fines, Penalties and Forfeitures	7.6	67.2	106.7	4.8	9.8	7.8	13.9	8.1	18.1				244.0	134.2	109.8	81.8%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6				210.6	190.4	20.2	10.6%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0				1,832.8	1,910.3	(77.5)	-4.1%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6				709.7	699.6	10.1	1.4%
Interest Earnings	19.4	18.2	21.5	19.7	20.9	19.2	19.8	17.6	14.0				170.3	124.3	46.0	37.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	23.1	5.6	-	3.9				32.6	23.0	9.6	41.7%
Issuance Fees	2.0	1.0	4.2	-	-	-	-	-	-				7.2	7.2	-	0.0%
Non Bond Related	0.6	4.7	4.3	13.5	-	1.7	4.4	-	2.6				31.8	43.9	(12.1)	-27.6%
Receipts from Municipalities	9.4	2.7	6.3	3.6	2.3	5.9	3.9	1.8	5.9				41.8	80.6	(38.8)	-48.1%
Rentals	39.0	28.2	8.4	29.3	0.9	0.5	65.5	24.5	22.4				218.7	249.8	(31.1)	-12.4%
Revenues of State Departments:																
Administrative Recoveries	1.4	1.8	4.6	17.6	4.3	3.7	26.2	27.3	15.9				102.8	55.6	47.2	84.9%
Commissions	0.5	0.4	0.6	0.4	0.4	0.8	0.7	0.7	82.3				86.8	8.1	78.7	971.6%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-				-	1,000.0	(1,000.0)	-100.0%
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-	0.3	0.3	0.3				6.4	105.5	(99.1)	-93.9%
Indirect Cost Recoveries	0.9	-	-	-	-	-	-	-	-				0.9	0.1	0.8	800.0%
Patient/Client Care Reimbursement	204.3	156.2	154.9	222.3	106.2	243.2	193.8	155.9	187.7				1,624.5	1,607.0	17.5	1.1%
Rebates	5.2	1.0	6.7	13.6	1.1	3.8	9.6	3.8	4.1				48.9	41.9	7.0	16.7%
Restitution and Settlements	7.6	1.2	0.8	1.0	5.9	0.4	0.4	3.4	0.4				21.1	66.1	(45.0)	-68.1%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8				54.5	68.4	(13.9)	-20.3%
All Other	40.9	36.9	39.2	43.7	43.7	67.5	36.7	32.3	33.1				387.7	351.1	36.6	10.4%
Sales	0.9	0.8	1.5	2.5	1.7	2.5	0.8	1.5	1.3				13.5	19.1	(5.6)	-29.3%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1				919.0	1,040.6	(121.6)	-11.7%
Total Miscellaneous Receipts	1,557.2	1,411.2	1,509.6	1,531.9	1,421.4	1,874.2	1,601.4	1,233.7	1,448.9				13,589.5	14,450.1	(860.6)	-6.0%
Federal Receipts	-	-	(0.9)	0.1	18.3	0.1	-	0.1	-				17.7	(2.4)	20.1	837.5%
Total Receipts	1,959.0	1,598.5	1,972.1	1,780.2	1,658.0	2,330.4	1,832.3	1,461.6	1,980.8				16,572.9	17,326.1	(753.2)	-4.3%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

											9 Months Ended December 31					
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.2	0.5	349.3	0.2	1.5	2,511.4	147.9	149.4	183.8				3,344.2	2,898.6	445.6	15.4%
Environment and Recreation	0.1	-	0.5	(0.1)	0.1	0.2	-	0.2	0.5				1.5	2.4	(0.9)	-37.5%
General Government	25.4	18.7	1.8	20.2	12.5	22.9	14.1	20.2	27.1				162.9	101.5	61.4	60.5%
Public Health:																
Medicaid	(1.1)	891.9	365.3	582.8	686.2	607.5	469.7	408.1	434.3				4,434.7	4,184.2	250.5	6.0%
Other Public Health	60.1	32.7	104.7	43.5	165.1	54.2	56.3	41.6	100.3				658.5	676.5	(18.0)	-2.7%
Public Safety	20.1	14.4	16.1	9.5	20.1	17.0	16.3	12.3	12.4				138.2	114.6	23.6	20.6%
Public Welfare	0.9	0.8	0.5	0.5	0.9	(0.7)	0.4	1.2	(0.4)				4.1	4.9	(0.8)	-16.3%
Support and Regulate Business	1.1	6.7	1.3	5.8	3.4	4.4	1.5	13.9	6.0				44.1	49.4	(5.3)	-10.7%
Transportation	68.3	402.7	265.3	274.1	364.5	327.2	279.8	474.8	728.1				3,184.8	3,290.9	(106.1)	-3.2%
Total Local Assistance Grants	175.1	1,358.4	1,104.8	936.5	1,254.3	3,544.1	986.0	1,121.7	1,492.1	-	-	-	11,973.0	11,323.0	650.0	5.7%
Departmental Operations:																
Personal Service	401.7	580.0	393.6	449.4	352.4	388.2	559.2	398.5	408.0				3,931.0	3,819.4	111.6	2.9%
Non-Personal Service	244.3	248.5	198.0	228.4	254.6	216.2	257.2	281.8	218.9				2,147.9	2,038.8	109.1	5.4%
General State Charges	64.0	87.2	55.7	78.6	95.9	71.2	66.5	129.7	105.1				753.9	807.4	(53.5)	-6.6%
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-				-	-	-	0.0%
Total Disbursements	885.1	2,274.1	1,752.2	1,692.9	1,957.1	4,219.7	1,868.9	1,931.7	2,224.1	-	-	-	18,805.8	17,988.6	817.2	4.5%
Excess (Deficiency) of Receipts over Disbursements	1,073.9	(675.6)	219.9	87.3	(299.1)	(1,889.3)	(36.6)	(470.1)	(243.3)	-	-	-	(2,232.9)	(662.5)	(1,570.4)	-237.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	308.3	585.3	566.5	215.4	160.2	168.6	119.5	185.7	111.9				2,421.4	2,194.9	226.5	10.3%
Transfers to Other Funds	(38.3)	(6.3)	(29.3)	(120.8)	(33.5)	(107.2)	(9.2)	(4.8)	(71.5)				(420.9)	(129.5)	291.4	225.0%
Total Other Financing Sources (Uses)	270.0	579.0	537.2	94.6	126.7	61.4	110.3	180.9	40.4	-	-	-	2,000.5	2,065.4	(64.9)	-3.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,343.9	(96.6)	757.1	181.9	(172.4)	(1,827.9)	73.7	(289.2)	(202.9)	-	-	-	(232.4)	1,402.9	(1,635.3)	-116.6%
Ending Fund Balance	\$ 6,434.7	\$ 6,338.1	\$ 7,095.2	\$ 7,277.1	\$ 7,104.7	\$ 5,276.8	\$ 5,350.5	\$ 5,061.3	\$ 4,858.4	\$ -	\$ -	\$ -	\$ 4,858.4	\$ 5,411.4	\$ (553.0)	-10.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

											9 Months Ended December 31					
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,248.4)	\$ 567.7	\$ (69.2)	\$ 891.4	\$ (396.5)	\$ 356.6	\$ 964.7	\$ 516.1	\$ 538.2				\$ (1,248.4)	\$ 293.6	\$ (1,542.0)	-525.2%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	4.5	39.1	0.2	2.8	10.7	0.2	2.3	6.5	0.1				66.4	63.1	3.3	5.2%
Medical Care	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Fines, Penalties and Forfeitures	0.7	0.8	0.5	0.4	0.7	0.4	0.4	0.4	0.4				4.7	5.8	(1.1)	-19.0%
Interest Earnings	1.5	2.8	2.3	2.0	3.1	2.6	2.1	2.9	2.1				21.4	13.1	8.3	63.4%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Rebates	7.7	8.7	8.5	8.7	8.1	8.3	8.1	8.1	8.3				74.5	78.1	(3.6)	-4.6%
Restitution and Settlements	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
All Other	0.3	-	0.2	-	0.1	-	0.1	1.2	2.8				4.7	2.0	2.7	135.0%
Sales	-	-	-	-	-	-	-	-	-				-	0.1	(0.1)	-100.0%
Tuition	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Total Miscellaneous Receipts	14.7	51.4	11.7	13.9	22.7	11.5	13.0	19.1	13.7	-	-	-	171.7	162.2	9.5	5.9%
Federal Receipts	6,203.7	4,816.3	5,672.5	3,118.0	5,855.1	5,483.9	5,367.9	5,209.8	6,447.1				48,174.3	44,929.9	3,244.4	7.2%
Total Receipts	6,218.4	4,867.7	5,684.2	3,131.9	5,877.8	5,495.4	5,380.9	5,228.9	6,460.8	-	-	-	48,346.0	45,092.1	3,253.9	7.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

													9 Months Ended December 31			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	337.5	590.6	262.1	287.9	232.3	187.4	206.7	229.2	326.0				2,659.7	2,758.5	(98.8)	-3.6%
Environment and Recreation	0.1	0.1	-	-	0.1	0.3	0.1	-	0.2				0.9	1.9	(1.0)	-52.6%
General Government	2.0	3.5	8.7	1.2	11.7	2.1	2.1	2.4	11.8				45.5	54.6	(9.1)	-16.7%
Public Health:																
Medicaid	3,116.5	3,681.2	3,098.7	3,002.7	3,747.0	3,144.7	3,559.6	3,605.6	3,261.9				30,217.9	29,131.7	1,086.2	3.7%
Other Public Health	483.3	487.8	700.8	465.1	487.8	666.8	453.3	413.8	621.5				4,780.2	4,934.0	(153.8)	-3.1%
Public Safety	116.2	150.5	42.7	59.8	66.3	49.9	139.7	179.9	97.1				902.1	965.4	(63.3)	-6.6%
Public Welfare	151.4	119.2	270.7	317.9	184.3	493.7	1,063.0	513.6	114.7				3,228.5	3,779.6	(551.1)	-14.6%
Support and Regulate Business	0.3	1.2	0.2	0.8	2.3	0.8	0.4	1.9	-				7.9	7.3	0.6	8.2%
Transportation	4.0	5.8	4.4	4.0	4.5	3.3	4.2	6.5	-				41.4	42.9	(1.5)	-3.5%
Total Local Assistance Grants	4,211.3	5,039.9	4,388.3	4,139.4	4,736.3	4,549.0	5,429.1	4,951.1	4,439.7	-	-	-	41,884.1	41,675.9	208.2	0.5%
Departmental Operations:																
Personal Service	49.3	65.8	55.1	44.3	47.7	50.9	75.8	46.7	52.9				488.5	494.0	(5.5)	-1.1%
Non-Personal Service	70.1	76.3	96.4	81.6	105.5	186.4	133.1	82.8	125.5				957.7	906.1	51.6	5.7%
General State Charges	21.4	24.1	34.0	35.6	24.5	24.5	26.0	26.2	25.5				241.8	330.8	(89.0)	-26.9%
Capital Projects	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Total Disbursements	4,352.1	5,206.1	4,573.8	4,300.9	4,914.0	4,810.8	5,664.0	5,106.8	4,643.6	-	-	-	43,572.1	43,406.8	165.3	0.4%
Excess (Deficiency) of Receipts over Disbursements	1,866.3	(338.4)	1,110.4	(1,169.0)	963.8	684.6	(283.1)	122.1	1,817.2	-	-	-	4,773.9	1,685.3	3,088.6	183.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers to Other Funds	(50.2)	(298.5)	(149.8)	(118.9)	(210.7)	(76.5)	(165.5)	(100.0)	(351.0)				(1,521.1)	(1,372.9)	148.2	10.8%
Total Other Financing Sources (Uses)	(50.2)	(298.5)	(149.8)	(118.9)	(210.7)	(76.5)	(165.5)	(100.0)	(351.0)	-	-	-	(1,521.1)	(1,372.9)	148.2	10.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,816.1	(636.9)	960.6	(1,287.9)	753.1	608.1	(448.6)	22.1	1,466.2	-	-	-	3,252.8	312.4	2,940.4	941.2%
Ending Fund Balance	\$ 567.7	\$ (69.2)	\$ 891.4	\$ (396.5)	\$ 356.6	\$ 964.7	\$ 516.1	\$ 538.2	\$ 2,004.4	\$ -	\$ -	\$ -	\$ 2,004.4	\$ 606.0	\$ 1,398.4	230.8%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT H

	2019										2020			9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 64.8	\$ 314.8	\$ 683.8	\$ 361.5	\$ 614.7	\$ 744.3	\$ 307.0	\$ 604.4	\$ 962.3				\$ 64.8	\$ 153.1	\$ (88.3)	-57.7%	
RECEIPTS:																	
Taxes:																	
Personal Income Tax	4,607.7	1,242.3	2,604.9	1,665.8	1,454.3	2,415.7	1,289.2	1,234.7	2,144.0				18,658.6	16,265.0	2,393.6	14.7%	
Consumption/Use Taxes:																	
Sales and Use	538.2	551.0	743.2	579.3	581.5	761.5	578.3	584.0	741.8				5,658.8	5,370.0	288.8	5.4%	
Total Consumption/Use Taxes	538.2	551.0	743.2	579.3	581.5	761.5	578.3	584.0	741.8	-	-	-	5,658.8	5,370.0	288.8	5.4%	
Other Taxes:																	
Real Estate Transfer	82.9	86.0	86.7	118.9	78.8	85.3	73.2	87.8	74.2				773.8	801.9	(28.1)	-3.5%	
Employer Compensation Expense Tax	-	0.1	-	0.1	0.1	0.1	0.1	-	0.2				0.7	-	0.7	100.0%	
Total Other Taxes	82.9	86.1	86.7	119.0	78.9	85.4	73.3	87.8	74.4	-	-	-	774.5	801.9	(27.4)	-3.4%	
Total Taxes	5,228.8	1,879.4	3,434.8	2,364.1	2,114.7	3,262.6	1,940.8	1,906.5	2,960.2	-	-	-	25,091.9	22,436.9	2,655.0	11.8%	
Miscellaneous Receipts:																	
Assessments:																	
Medical Care	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Fees, Licenses and Permits:																	
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Business/Professional	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Civil	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Criminal	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Motor Vehicle	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Recreational/Consumer	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Interest Earnings	0.2	-	0.3	0.1	0.5	0.2	-	0.2	-				1.5	1.1	0.4	36.4%	
Receipts from Municipalities	-	0.5	-	0.8	-	-	0.4	0.1	-				1.8	2.8	(1.0)	-35.7%	
Rentals	-	-	-	-	-	-	-	-	-				-	-	-	0.0%	
Revenues of State Departments:																	
Patient/Client Care Reimbursement	42.4	36.0	37.5	89.2	42.2	15.7	31.1	24.9	51.5				370.5	279.1	91.4	32.7%	
All Other	-	-	-	-	-	-	0.1	-	-				0.1	0.1	-	0.0%	
Sales	-	-	-	-	-	-	-	-	-				-	0.1	(0.1)	-100.0%	
Total Miscellaneous Receipts	42.6	36.5	37.8	90.1	42.7	15.9	31.6	25.2	51.5	-	-	-	373.9	283.2	90.7	32.0%	
Federal Receipts	-	-	-	1.6	35.2	-	-	-	-				36.8	36.7	0.1	0.3%	
Total Receipts	5,271.4	1,915.9	3,472.6	2,455.8	2,192.6	3,278.5	1,972.4	1,931.7	3,011.7	-	-	-	25,502.6	22,756.8	2,745.8	12.1%	
DISBURSEMENTS:																	
Departmental Operations:																	
Non-Personal Service	0.7	2.3	2.6	8.6	6.8	1.5	0.6	2.2	1.5				26.8	27.2	(0.4)	-1.5%	
Debt Service, Including Payments on Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2	48.5	74.9	412.2				1,512.2	1,752.5	(240.3)	-13.7%	
Total Disbursements	73.1	123.4	232.9	53.7	81.3	434.7	49.1	77.1	413.7	-	-	-	1,539.0	1,779.7	(240.7)	-13.5%	
Excess (Deficiency) of Receipts over Disbursements	5,198.3	1,792.5	3,239.7	2,402.1	2,111.3	2,843.8	1,923.3	1,854.6	2,598.0	-	-	-	23,963.6	20,977.1	2,986.5	14.2%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	219.3	206.6	86.5	281.9	186.1	270.9	318.2	93.5	376.8				2,039.8	1,803.2	236.6	13.1%	
Transfers to Other Funds	(5,167.6)	(1,630.1)	(3,648.5)	(2,430.8)	(2,167.8)	(3,552.0)	(1,944.1)	(1,590.2)	(2,952.0)				(25,083.1)	(22,102.7)	2,980.4	13.5%	
Total Other Financing Sources (Uses)	(4,948.3)	(1,423.5)	(3,562.0)	(2,148.9)	(1,981.7)	(3,281.1)	(1,625.9)	(1,496.7)	(2,575.2)	-	-	-	(23,043.3)	(20,299.5)	(2,743.8)	-13.5%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	250.0	369.0	(322.3)	253.2	129.6	(437.3)	297.4	357.9	22.8	-	-	-	920.3	677.6	242.7	35.8%	
Ending Fund Balance	\$ 314.8	\$ 683.8	\$ 361.5	\$ 614.7	\$ 744.3	\$ 307.0	\$ 604.4	\$ 962.3	\$ 985.1	\$ -	\$ -	\$ -	\$ 985.1	\$ 830.7	\$ 154.4	18.6%	

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
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 (amounts in millions)

EXHIBIT I

	2019										2020			Intra-Fund Transfer Eliminations (*)	9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019		2018	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ (1,137.9)	\$ (1,018.2)	\$ (1,249.2)	\$ (1,212.5)	\$ (1,334.5)	\$ (1,351.4)	\$ (1,288.1)	\$ (946.2)	\$ (1,042.3)				\$ (1,137.9)	\$ (1,151.2)	\$ 13.3	1.2%		
RECEIPTS:																		
Taxes:																		
Consumption/Use Taxes:																		
Auto Rental	3.5	0.3	19.5	0.1	0.1	33.7	(7.3)	0.1	20.6				70.6	67.8	2.8	4.1%		
Motor Fuel	36.4	34.8	36.6	30.4	37.8	34.1	37.3	32.9	31.4				311.7	319.5	(7.8)	-2.4%		
Highway Use	14.2	10.6	9.8	14.5	10.7	11.0	14.3	10.9	13.8				109.8	116.3	(6.5)	-5.6%		
Total Consumption/Use Taxes	54.1	45.7	65.9	45.0	48.6	78.8	44.3	43.9	65.8				492.1	503.6	(11.5)	-2.3%		
Business Taxes:																		
Corporation Franchise	-	-	-	-	-	-	-	-	-				-	-	-	0.0%		
Corporation and Utilities	3.3	(0.1)	1.9	-	-	3.1	0.1	0.1	2.7				11.1	10.6	0.5	4.7%		
Petroleum Business	56.3	55.8	58.4	52.5	60.0	56.8	60.2	52.2	51.5				503.7	488.7	15.0	3.1%		
Total Business Taxes	59.6	55.7	60.3	52.5	60.0	59.9	60.3	52.3	54.2				514.8	499.3	15.5	3.1%		
Other Taxes:																		
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9				83.4	83.4	-	0.0%		
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9				83.4	83.4	-	0.0%		
Total Taxes	113.7	101.4	138.1	109.4	120.5	150.6	116.6	108.1	131.9				1,090.3	1,086.3	4.0	0.4%		
Miscellaneous Receipts:																		
Abandoned Property:																		
Bottle Bill	-	-	23.0	-	-	-	-	-	-				23.0	23.0	-	0.0%		
Assessments:																		
Business	10.1	9.3	8.7	8.2	8.6	8.3	8.3	8.0	7.6				77.1	75.8	1.3	1.7%		
Fees, Licenses and Permits:																		
Business/Professional	0.8	5.6	3.7	2.5	10.7	1.3	1.2	2.0	0.6				28.4	29.9	(1.5)	-5.0%		
Civil	-	-	-	-	-	-	-	-	-				-	-	-	0.0%		
Motor Vehicle	67.0	69.3	65.4	60.8	63.6	60.4	57.4	58.5	52.3				554.7	552.7	2.0	0.4%		
Recreational/Consumer	0.2	0.1	-	-	-	3.0	12.8	(1.2)	-				14.9	22.2	(7.3)	-32.9%		
Fines, Penalties and Forfeitures	2.3	2.0	2.3	1.7	1.7	2.3	1.9	5.1	1.3				20.6	18.5	2.1	11.4%		
Interest Earnings	1.1	1.0	1.0	1.1	1.0	1.0	1.0	0.9	0.8				8.9	8.0	0.9	11.3%		
Receipts from Public Authorities:																		
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1	1,588.2	23.6	328.3				3,023.7	3,092.7	(69.0)	-2.2%		
Issuance Fees	-	-	-	-	-	-	-	-	-				-	-	-	0.0%		
Non Bond Related	3.5	0.1	0.4	(0.1)	-	-	20.4	25.3	0.4				50.0	4.3	45.7	1,062.8%		
Receipts from Municipalities	0.3	-	0.3	-	0.3	0.2	0.2	0.4	0.1				1.8	0.9	0.9	100.0%		
Rentals	0.9	0.8	0.5	0.6	1.6	0.7	0.7	0.8	0.4				7.0	8.5	(1.5)	-17.6%		
Revenues of State Departments:																		
Administrative Recoveries	-	-	-	-	-	-	-	-	-				-	-	-	0.0%		
Gifts, Grants and Donations	10.1	0.5	0.3	0.6	0.3	0.3	3.4	1.7	2.8				20.0	1.3	18.7	1,438.5%		
Indirect Cost Recoveries	(0.9)	-	-	-	-	-	-	-	-				(0.9)	-	(0.9)	-100.0%		
Rebates	-	0.1	0.1	-	-	-	-	-	-				0.2	0.3	(0.1)	-33.3%		
Restitution and Settlements	0.2	0.5	0.4	0.1	0.2	0.1	2.5	0.3	6.5				10.8	7.7	3.1	40.3%		
All Other	3.6	0.8	1.1	14.5	12.3	4.9	4.4	3.2	2.9				47.7	13.8	33.9	245.7%		
Sales	4.2	-	-	-	-	-	0.1	0.2	0.1				4.6	2.5	2.1	84.0%		
Total Miscellaneous Receipts	304.2	92.9	253.6	245.3	179.5	581.6	1,702.5	128.8	404.1				3,892.5	3,862.1	30.4	0.8%		
Federal Receipts	38.3	142.9	132.2	155.7	173.6	179.6	340.8	184.3	158.7				1,506.1	1,681.2	(175.1)	-10.4%		
Total Receipts	456.2	337.2	523.9	510.4	473.6	911.8	2,159.9	421.2	694.7				6,488.9	6,629.6	(140.7)	-2.1%		

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
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EXHIBIT I

	2019										2020			Intra-Fund Transfer Eliminations (*)	9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019		2018	\$ Increase/ (Decrease)	% Increase/ Decrease	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	9.2	3.2	10.3	10.7	12.0	42.4	14.4	18.2	24.9	-	-	-	-	145.3	125.2	20.1	16.1%	
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6	14.8	11.9	13.3	-	-	-	-	147.3	146.6	0.7	0.5%	
General Government	31.2	121.8	149.0	39.4	17.8	59.1	128.4	18.6	140.6	-	-	-	-	705.9	768.6	(62.7)	-8.2%	
Public Health:																		
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Other Public Health	30.8	41.6	20.6	76.0	17.7	20.2	72.5	34.3	44.6	-	-	-	-	358.3	266.2	92.1	34.6%	
Public Safety	10.0	(0.1)	(0.7)	0.1	0.3	12.0	1.2	14.8	1.8	-	-	-	-	39.4	39.8	(0.4)	-1.0%	
Public Welfare	-	10.9	86.1	6.0	9.1	42.8	3.2	83.1	13.3	-	-	-	-	254.5	259.3	(4.8)	-1.9%	
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9	38.0	43.8	102.6	-	-	-	-	699.7	564.4	135.3	24.0%	
Transportation	232.7	44.7	70.8	57.1	45.1	508.7	80.1	36.8	281.2	-	-	-	-	1,357.2	1,252.3	104.9	8.4%	
Total Local Assistance Grants	365.7	404.8	535.6	243.5	156.9	764.7	352.6	261.5	622.3	-	-	-	-	3,707.6	3,422.4	285.2	8.3%	
Departmental Operations:																		
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Capital Projects	434.1	528.1	536.4	600.7	750.4	582.6	710.7	705.0	577.0	-	-	-	-	5,425.0	5,410.5	14.5	0.3%	
Total Disbursements	799.8	932.9	1,072.0	844.2	907.3	1,347.3	1,063.3	966.5	1,199.3	-	-	-	-	9,132.6	8,832.9	299.7	3.4%	
Excess (Deficiency) of Receipts over Disbursements	(343.6)	(595.7)	(548.1)	(333.8)	(433.7)	(435.5)	1,096.6	(545.3)	(504.6)	-	-	-	-	(2,643.7)	(2,203.3)	(440.4)	-20.0%	
OTHER FINANCING SOURCES (USES):																		
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Transfers from Other Funds	509.2	412.4	633.5	260.6	486.3	752.3	(532.5)	494.6	508.4	-	-	-	(175.9)	3,348.9	2,727.2	621.7	22.8%	
Transfers to Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)	(222.2)	(45.4)	(88.8)	-	-	-	175.9	(694.6)	(447.7)	246.9	55.1%	
Total Other Financing Sources (Uses)	463.3	364.7	584.8	211.8	416.8	498.8	(754.7)	449.2	419.6	-	-	-	-	2,654.3	2,279.5	374.8	16.4%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	119.7	(231.0)	36.7	(122.0)	(16.9)	63.3	341.9	(96.1)	(85.0)	-	-	-	-	10.6	76.2	(65.6)	-86.1%	
Ending Fund Balance	\$ (1,018.2)	\$ (1,249.2)	\$ (1,212.5)	\$ (1,334.5)	\$ (1,351.4)	\$ (1,288.1)	\$ (946.2)	\$ (1,042.3)	\$ (1,127.3)	\$ -	\$ -	\$ -	\$ -	\$ (1,127.3)	\$ (1,075.0)	\$ (52.3)	-4.9%	

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

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										9 Months Ended December 31						
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (633.2)	\$ (446.5)	\$ (699.4)	\$ (636.7)	\$ (771.8)	\$ (804.6)	\$ (758.6)	\$ (373.3)	\$ (491.0)				\$ (633.2)	\$ (568.4)	\$ (64.8)	-11.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	3.5	0.3	19.5	0.1	0.1	33.7	(7.3)	0.1	20.6				70.6	67.8	2.8	4.1%
Motor Fuel	36.4	34.8	36.6	30.4	37.8	34.1	37.3	32.9	31.4				311.7	319.5	(7.8)	-2.4%
Highway Use	14.2	10.6	9.8	14.5	10.7	11.0	14.3	10.9	13.8				109.8	116.3	(6.5)	-5.6%
Total Consumption/Use Taxes	54.1	45.7	65.9	45.0	48.6	78.8	44.3	43.9	65.8	-	-	-	492.1	503.6	(11.5)	-2.3%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Corporation and Utilities	3.3	(0.1)	1.9	-	-	3.1	0.1	0.1	2.7				11.1	10.6	0.5	4.7%
Petroleum Business	56.3	55.8	58.4	52.5	60.0	56.8	60.2	52.2	51.5				503.7	488.7	15.0	3.1%
Total Business Taxes	59.6	55.7	60.3	52.5	60.0	59.9	60.3	52.3	54.2	-	-	-	514.8	499.3	15.5	3.1%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9				83.4	83.4	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	-	-	-	83.4	83.4	-	0.0%
Total Taxes	113.7	101.4	138.1	109.4	120.5	150.6	116.6	108.1	131.9	-	-	-	1,090.3	1,086.3	4.0	0.4%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-	-	-	-				23.0	23.0	-	0.0%
Assessments:																
Business	10.1	9.3	8.7	8.2	8.6	8.3	8.3	8.0	7.6				77.1	75.8	1.3	1.7%
Fees, Licenses and Permits:																
Business/Professional	0.8	5.6	3.7	2.5	10.7	1.3	1.2	2.0	0.6				28.4	29.9	(1.5)	-5.0%
Civil	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Motor Vehicle	67.0	69.3	65.4	60.8	63.6	60.4	57.4	58.5	52.3				554.7	552.7	2.0	0.4%
Recreational/Consumer	0.2	0.1	-	-	-	3.0	12.8	(1.2)	-				14.9	22.2	(7.3)	-32.9%
Fines, Penalties and Forfeitures	2.3	2.0	2.3	1.7	1.7	2.3	1.9	5.1	1.3				20.6	18.5	2.1	11.4%
Interest Earnings	1.1	1.0	1.0	1.1	1.0	1.0	1.0	0.9	0.8				8.9	8.0	0.9	11.3%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1	1,588.2	23.6	328.3				3,023.7	3,092.7	(69.0)	-2.2%
Issuance Fees	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Non Bond Related	3.5	0.1	0.4	(0.1)	-	-	20.4	25.3	0.4				50.0	4.3	45.7	1,062.8%
Receipts from Municipalities	0.3	-	0.3	-	0.3	0.2	0.2	0.4	0.1				1.8	0.9	0.9	100.0%
Rentals	0.8	0.8	0.4	0.5	1.6	0.6	0.6	0.7	0.4				6.4	7.9	(1.5)	-19.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Gifts, Grants and Donations	10.1	0.5	0.3	0.6	0.3	0.3	3.4	1.7	2.8				20.0	1.3	18.7	1,438.5%
Indirect Cost Recoveries	(0.9)	-	-	-	-	-	-	-	-				(0.9)	-	(0.9)	-100.0%
Rebates	-	0.1	0.1	-	-	-	-	-	-				0.2	0.3	(0.1)	-33.3%
Restitution and Settlements	0.2	0.5	0.4	0.1	0.2	0.1	2.5	0.3	6.5				10.8	7.7	3.1	40.3%
All Other	3.6	0.8	1.1	14.5	12.3	4.9	4.4	3.2	2.9				47.7	13.8	33.9	245.7%
Sales	4.2	-	-	-	-	-	0.1	0.2	0.1				4.6	2.2	2.4	109.1%
Total Miscellaneous Receipts	304.1	92.9	253.5	245.2	179.5	581.5	1,702.4	128.7	404.1	-	-	-	3,891.9	3,861.2	30.7	0.8%
Federal Receipts	-	-	-	-	-	2.3	-	-	-				2.3	2.5	(0.2)	-8.0%
Total Receipts	417.8	194.3	391.6	354.6	300.0	734.4	1,819.0	236.8	536.0	-	-	-	4,984.5	4,950.0	34.5	0.7%

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													9 Months Ended December 31			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	9.2	3.2	10.3	10.7	12.0	42.4	14.4	18.2	24.9				145.3	125.2	20.1	16.1%
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6	14.8	11.9	13.3				147.3	146.6	0.7	0.5%
General Government	31.2	121.8	149.0	39.4	17.8	59.1	128.4	18.6	140.6				705.9	768.6	(62.7)	-8.2%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Other Public Health	30.8	41.6	20.6	75.6	17.7	19.4	34.8	34.1	44.6				319.2	221.3	97.9	44.2%
Public Safety	10.0	(0.1)	(10.8)	-	0.3	2.0	1.2	6.4	1.8				10.8	19.2	(8.4)	-43.8%
Public Welfare	-	10.9	86.1	6.0	9.1	42.8	3.2	83.1	13.3				254.5	259.3	(4.8)	-1.9%
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9	38.0	43.8	102.6				699.7	564.4	135.3	24.0%
Transportation	192.7	7.1	23.2	19.3	5.8	476.3	29.6	4.8	222.5				981.3	950.8	30.5	3.2%
Total Local Assistance Grants	325.7	367.2	477.9	205.2	117.6	721.5	264.4	220.9	563.6	-	-	-	3,264.0	3,055.4	208.6	6.8%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Capital Projects	368.7	444.7	435.8	496.3	632.0	465.7	590.5	582.8	480.8				4,497.3	4,398.9	98.4	2.2%
Total Disbursements	694.4	811.9	913.7	701.5	749.6	1,187.2	854.9	803.7	1,044.4	-	-	-	7,761.3	7,454.3	307.0	4.1%
Excess (Deficiency) of Receipts over Disbursements	(276.6)	(617.6)	(522.1)	(346.9)	(449.6)	(452.8)	964.1	(566.9)	(508.4)	-	-	-	(2,776.8)	(2,504.3)	(272.5)	-10.9%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers from Other Funds	509.2	412.4	633.5	260.6	486.3	752.3	(532.5)	494.6	508.4				3,524.8	2,911.4	613.4	21.1%
Transfers to Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)	(46.3)	(45.4)	(88.7)				(694.5)	(447.6)	246.9	55.2%
Total Other Financing Sources (Uses)	463.3	364.7	584.8	211.8	416.8	498.8	(578.8)	449.2	419.7	-	-	-	2,830.3	2,463.8	366.5	14.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	186.7	(252.9)	62.7	(135.1)	(32.8)	46.0	385.3	(117.7)	(88.7)	-	-	-	53.5	(40.5)	94.0	232.1%
Ending Fund Balance	\$ (446.5)	\$ (699.4)	\$ (636.7)	\$ (771.8)	\$ (804.6)	\$ (758.6)	\$ (373.3)	\$ (491.0)	\$ (579.7)	\$ -	\$ -	\$ -	\$ (579.7)	\$ (608.9)	\$ 29.2	4.8%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

													9 Months Ended December 31			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (504.7)	\$ (571.7)	\$ (549.8)	\$ (575.8)	\$ (562.7)	\$ (546.8)	\$ (529.5)	\$ (572.9)	\$ (551.3)				\$ (504.7)	\$ (582.8)	\$ 78.1	13.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities																
Rentals	0.1	-	0.1	0.1	-	0.1	0.1	0.1	-	-	-	-	0.6	0.6	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	0.3	(0.3)	-100.0%
Total Miscellaneous Receipts	0.1	-	0.1	0.1	-	0.1	0.1	0.1	-	-	-	-	0.6	0.9	(0.3)	-33.3%
Federal Receipts	38.3	142.9	132.2	155.7	173.6	177.3	340.8	184.3	158.7	-	-	-	1,503.8	1,678.7	(174.9)	-10.4%
Total Receipts	38.4	142.9	132.3	155.8	173.6	177.4	340.9	184.4	158.7	-	-	-	1,504.4	1,679.6	(175.2)	-10.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	0.4	-	0.8	37.7	0.2	-	-	-	-	39.1	44.9	(5.8)	-12.9%
Public Safety	-	-	10.1	0.1	-	10.0	-	8.4	-	-	-	-	28.6	20.6	8.0	38.8%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	40.0	37.6	47.6	37.8	39.3	32.4	50.5	32.0	58.7	-	-	-	375.9	301.5	74.4	24.7%
Total Local Assistance Grants	40.0	37.6	57.7	38.3	39.3	43.2	88.2	40.6	58.7	-	-	-	443.6	367.0	76.6	20.9%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	65.4	83.4	100.6	104.4	118.4	116.9	120.2	122.2	96.2	-	-	-	927.7	1,011.6	(83.9)	-8.3%
Total Disbursements	105.4	121.0	158.3	142.7	157.7	160.1	208.4	162.8	154.9	-	-	-	1,371.3	1,378.6	(7.3)	-0.5%
Excess (Deficiency) of Receipts over Disbursements	(67.0)	21.9	(26.0)	13.1	15.9	17.3	132.5	21.6	3.8	-	-	-	133.1	301.0	(167.9)	-55.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	(175.9)	-	(0.1)	-	-	-	(176.0)	(184.3)	(8.3)	-4.5%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	(175.9)	-	(0.1)	-	-	-	(176.0)	(184.3)	(8.3)	-4.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67.0)	21.9	(26.0)	13.1	15.9	17.3	(43.4)	21.6	3.7	-	-	-	(42.9)	116.7	(159.6)	-136.8%
Ending Fund Balance	\$ (571.7)	\$ (549.8)	\$ (575.8)	\$ (562.7)	\$ (546.8)	\$ (529.5)	\$ (572.9)	\$ (551.3)	\$ (547.6)	\$ -	\$ -	\$ -	\$ (547.6)	\$ (466.1)	\$ (81.5)	-17.5%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT J

											9 Months Ended December 31					
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 26.6	\$ 26.6	\$ 26.9	\$ 27.3	\$ 27.8	\$ 27.1	\$ 39.3	\$ 33.4	\$ 31.1				\$ 26.6	\$ 24.6	\$ 2.0	8.1%
RECEIPTS:																
Miscellaneous Receipts	4.8	6.1	5.1	5.5	6.7	17.2	9.9	5.7	4.6				65.6	47.5	18.1	38.1%
Federal Receipts	1.1	1.0	0.9	1.0	0.9	0.9	1.0	1.0	5.9				13.7	9.2	4.5	48.9%
Unemployment Taxes	187.5	139.2	134.9	186.0	160.6	150.3	147.0	151.2	220.3				1,477.0	1,425.9	51.1	3.6%
Total Receipts	193.4	146.3	140.9	192.5	168.2	168.4	157.9	157.9	230.8	-	-	-	1,556.3	1,482.6	73.7	5.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.3	0.6	0.3	0.3	0.4	0.4	8.2	2.5	1.3				14.3	5.2	9.1	175.0%
Non-Personal Service	3.6	5.1	4.5	4.4	7.2	4.3	7.8	4.8	4.6				46.3	41.0	5.3	12.9%
General State Charges	0.1	-	0.1	0.1	-	0.1	-	0.7	0.2				1.3	0.7	0.6	85.7%
Unemployment Benefits	189.4	140.3	135.6	187.2	161.3	151.4	147.8	152.2	226.9				1,492.1	1,434.8	57.3	4.0%
Total Disbursements	193.4	146.0	140.5	192.0	168.9	156.2	163.8	160.2	233.0	-	-	-	1,554.0	1,481.7	72.3	4.9%
Excess (Deficiency) of Receipts over Disbursements	-	0.3	0.4	0.5	(0.7)	12.2	(5.9)	(2.3)	(2.2)	-	-	-	2.3	0.9	1.4	155.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	-	0.3	0.4	0.5	(0.7)	12.2	(5.9)	(2.3)	(2.2)	-	-	-	2.3	0.9	1.4	155.6%
Ending Fund Balance	\$ 26.6	\$ 26.9	\$ 27.3	\$ 27.8	\$ 27.1	\$ 39.3	\$ 33.4	\$ 31.1	\$ 28.9	\$ -	\$ -	\$ -	\$ 28.9	\$ 25.5	\$ 3.4	13.3%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT K

													9 Months Ended December 31			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (302.7)	\$ (293.4)	\$ (318.6)	\$ (293.7)	\$ (284.4)	\$ (296.5)	\$ (278.3)	\$ (288.7)	\$ (290.7)				\$ (302.7)	\$ (269.2)	\$ (33.5)	-12.4%
RECEIPTS:																
Miscellaneous Receipts	33.2	32.5	42.3	56.6	37.9	61.7	43.4	57.0	41.9				406.5	359.7	46.8	13.0%
Total Receipts	33.2	32.5	42.3	56.6	37.9	61.7	43.4	57.0	41.9	-	-	-	406.5	359.7	46.8	13.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	9.7	14.0	9.6	9.7	9.9	9.6	14.0	9.6	9.5				95.6	75.1	20.5	27.3%
Non-Personal Service	24.4	56.3	5.9	34.7	36.4	34.1	41.8	48.1	29.7				311.4	326.6	(15.2)	-4.7%
General State Charges	4.1	4.9	6.6	5.2	4.6	4.6	1.6	4.7	4.5				40.8	57.8	(17.0)	-29.4%
Total Disbursements	38.2	75.2	22.1	49.6	50.9	48.3	57.4	62.4	43.7	-	-	-	447.8	459.5	(11.7)	-2.5%
Excess (Deficiency) of Receipts over Disbursements	(5.0)	(42.7)	20.2	7.0	(13.0)	13.4	(14.0)	(5.4)	(1.8)	-	-	-	(41.3)	(99.8)	58.5	58.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	14.3	17.5	4.7	2.3	1.3	5.3	3.6	3.6	4.4				57.0	50.1	6.9	13.8%
Transfers to Other Funds	-	-	-	-	(0.4)	(0.5)	-	(0.2)	(3.2)				(4.3)	(7.2)	(2.9)	-40.3%
Total Other Financing Sources (Uses)	14.3	17.5	4.7	2.3	0.9	4.8	3.6	3.4	1.2	-	-	-	52.7	42.9	9.8	22.8%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	9.3	(25.2)	24.9	9.3	(12.1)	18.2	(10.4)	(2.0)	(0.6)	-	-	-	11.4	(56.9)	68.3	120.0%
Ending Fund Balance	\$ (293.4)	\$ (318.6)	\$ (293.7)	\$ (284.4)	\$ (296.5)	\$ (278.3)	\$ (288.7)	\$ (290.7)	\$ (291.3)	\$ -	\$ -	\$ -	\$ (291.3)	\$ (326.1)	\$ 34.8	10.7%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT L

	2019												2020				9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ (3.0)	\$ (7.4)	\$ (11.8)	\$ (16.5)	\$ (22.6)	\$ (2.0)	\$ (7.0)	\$ (3.2)	\$ (8.0)				\$ (3.0)	\$ (2.0)	\$ (1.0)	-50.0%				
RECEIPTS:																				
Miscellaneous Receipts	5.3	7.8	5.1	5.1	30.0	5.2	12.7	5.3	36.3				112.8	89.4	23.4	26.2%				
Total Receipts	5.3	7.8	5.1	5.1	30.0	5.2	12.7	5.3	36.3	-	-	-	112.8	89.4	23.4	26.2%				
DISBURSEMENTS:																				
Departmental Operations:																				
Personal Service	5.3	7.7	5.4	5.0	5.1	5.3	7.7	5.3	5.3				52.1	51.7	0.4	0.8%				
Non-Personal Service	1.1	1.1	1.1	1.3	1.1	1.6	1.0	1.5	19.5				29.3	11.7	17.6	150.4%				
General State Charges	3.3	3.4	3.3	4.9	3.2	3.3	0.2	3.3	3.4				28.3	35.9	(7.6)	-21.2%				
Total Disbursements	9.7	12.2	9.8	11.2	9.4	10.2	8.9	10.1	28.2	-	-	-	109.7	99.3	10.4	10.5%				
Excess (Deficiency) of Receipts over Disbursements	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)	3.8	(4.8)	8.1	-	-	-	3.1	(9.9)	13.0	131.3%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%				
Transfers to Other Funds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%				
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)	3.8	(4.8)	8.1	-	-	-	3.1	(9.9)	13.0	131.3%				
Ending Fund Balance	\$ (7.4)	\$ (11.8)	\$ (16.5)	\$ (22.6)	\$ (2.0)	\$ (7.0)	\$ (3.2)	\$ (8.0)	\$ 0.1	\$ -	\$ -	\$ -	\$ 0.1	\$ (11.9)	\$ 12.0	100.8%				

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT M

	2019												2020												9 Months Ended December 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease												
Beginning Fund Balance	\$ 13.2	\$ 13.4	\$ 13.5	\$ 13.6	\$ 13.7	\$ 13.8	\$ 13.8	\$ 13.9	\$ 14.0				\$ 13.2	\$ 11.9	\$ 1.3	10.9%												
RECEIPTS:																												
Miscellaneous Receipts	0.2	0.1	0.1	0.2	0.1	0.1	0.1	0.1	0.2				1.2	1.0	0.2	20.0%												
Total Receipts	0.2	0.1	0.1	0.2	0.1	0.1	0.1	0.1	0.2	-	-	-	1.2	1.0	0.2	20.0%												
DISBURSEMENTS:																												
Departmental Operations:																												
Personal Service	-	-	-	0.1	-	-	-	-	0.1				0.2	0.1	0.1	100.0%												
Non-Personal Service	-	-	-	-	-	-	-	-	-				-	-	-	0.0%												
General State Charges	-	-	-	-	-	0.1	-	-	-				0.1	-	0.1	100.0%												
Total Disbursements	-	-	-	0.1	-	0.1	-	-	0.1	-	-	-	0.3	0.1	0.2	200.0%												
Excess (Deficiency) of Receipts over Disbursements	0.2	0.1	0.1	0.1	0.1	-	0.1	0.1	0.1	-	-	-	0.9	0.9	-	0.0%												
OTHER FINANCING SOURCES (USES):																												
Transfers from Other Funds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%												
Transfers to Other Funds	-	-	-	-	-	-	-	-	-				-	-	-	0.0%												
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	0.1	0.1	0.1	0.1	-	0.1	0.1	0.1	-	-	-	0.9	0.9	-	0.0%												
Ending Fund Balance	\$ 13.4	\$ 13.5	\$ 13.6	\$ 13.7	\$ 13.8	\$ 13.8	\$ 13.9	\$ 14.0	\$ 14.1	\$ -	\$ -	\$ -	\$ 14.1	\$ 12.8	\$ 1.3	10.2%												

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF DECEMBER 2019
(amounts in millions)**

SCHEDULE 1

	<u>BALANCE</u> <u>DECEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>DECEMBER 31, 2019</u>
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.014	\$ 4,203.265	\$ 4,203.251	\$ -
10050-10099-State Operations Account	6,538.688	4,619.120	1,271.696	(1,738.314)	8,147.798
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	32.694	-	0.781	-	31.913
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	6,571.382	4,619.134	5,475.742	2,464.937	8,179.711
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.829	0.005	0.001	-	0.833
20100-20299-Combined Expendable Trust	68.714	0.439	0.453	-	68.700
20300-20349-New York Interest on Lawyer Account	90.168	4.179	0.110	-	94.237
20350-20399-NYS Archives Partnership Trust	0.078	-	0.022	-	0.056
20400-20449-Child Performer's Protection	0.216	0.014	0.042	-	0.188
20450-20499-Tuition Reimbursement	8.009	0.194	0.269	-	7.934
20500-20549-New York State Local Government Records Management Improvement	3.211	0.901	0.284	-	3.828
20550-20599-School Tax Relief	0.112	38.500	38.377	-	0.235
20600-20649-Charter Schools Stimulus	1.243	0.002	-	-	1.245
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	223.908	525.367	535.941	(0.233)	213.101
20850-20899-Dedicated Mass Transportation Trust	63.265	49.135	47.109	15.665	80.956
20900-20949-State Lottery	(467.152)	250.997	148.313	(5.164)	(369.632)
20950-20999-Combined Student Loan	43.486	1.975	0.126	-	45.335
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.901)	-	0.064	-	(3.965)
21050-21149-Encon Special Revenue	5.624	7.196	6.617	(3.139)	3.064
21150-21199-Conservation	89.413	1.911	2.670	-	88.654
21200-21249-Environmental Protection and Oil Spill Compensation	27.073	6.530	1.211	(4.014)	28.378
21250-21299-Training and Education Program on OSHA	11.785	0.021	3.289	-	8.517
21300-21349-Lawyers' Fund for Client Protection	5.250	0.772	0.055	-	5.967
21350-21399-Equipment Loan for the Disabled	0.547	0.002	-	-	0.549
21400-21449-Mass Transportation Operating Assistance	10.422	390.317	632.527	5.046	(226.742)
21450-21499-Clean Air	(31.866)	0.110	2.708	-	(34.464)
21500-21549-New York State Infrastructure Trust	0.070	0.001	-	-	0.071
21550-21599-Legislative Computer Services	11.801	0.113	0.019	-	11.895
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.465	0.001	-	-	0.466
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Grants	0.973	0.003	-	-	0.976
21900-22499-Miscellaneous State Special Revenue	1,393.973	272.917	168.649	18.776	1,517.017
22500-22549-Court Facilities Incentive Aid	26.973	0.044	24.704	30.000	32.313

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF DECEMBER 2019
(amounts in millions)**

SCHEDULE 1

	BALANCE DECEMBER 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE DECEMBER 31, 2019
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22550-22599-Employment Training	0.052	-	-	-	0.052
22650-22699-State University Income	1,691.033	254.758	540.424	7.646	1,413.013
22700-22749-Chemical Dependence Service	13.562	1.175	0.070	-	14.667
22750-22799-Lake George Park Trust	0.363	-	0.105	-	0.258
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	97.635	11.180	1.014	-	107.801
22850-22899-New York Great Lakes Protection	0.427	0.001	0.012	-	0.416
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	8.729	0.014	(0.540)	-	9.283
23000-23049-NYS/DOT Highway Safety Program	(14.379)	(0.021)	0.371	-	(14.771)
23050-23099-Vocational Rehabilitation	0.038	0.014	-	-	0.052
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(50.962)	-	2.558	-	(53.520)
23200-23249-Judiciary Data Processing Offset	44.208	5.689	2.500	-	47.397
23250-23449-IFR/CUTRA	198.642	11.423	8.213	-	201.852
23500-23549-USOC Lake Placid Training	0.273	0.004	-	-	0.277
23550-23599-Indigent Legal Services	406.136	26.275	4.818	-	427.593
23600-23649-Unemployment Insurance Interest and Penalty	29.698	0.832	0.232	-	30.298
23650-23699-MTA Financial Assistance Fund	113.154	0.139	48.850	12.500	76.943
23700-23749-New York State Commercial Gaming Fund	82.770	12.677	1.068	-	94.379
23750-23799-Medical Marihuana Trust Fund	9.100	0.523	0.701	(0.149)	8.773
23800-23899-Dedicated Miscellaneous State Special Revenue	2.842	0.206	0.159	-	2.889
24850-24899-Health Care Transformation	533.708	82.127	-	-	615.835
24900-24949-Charitable Gifts Trust Fund	95.086	0.161	-	-	95.247
24950-24999-Interactive Fantasy Sports	17.114	0.772	0.013	-	17.873
40350-40399-State University Dormitory Income	202.717	21.270	-	(36.558)	187.429
TOTAL SPECIAL REVENUE FUNDS-STATE	5,061.309	1,980.865	2,224.128	40.376	4,858.422
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	(17.568)	266.159	251.148	(2.252)	(4.809)
25100-25199-Federal Health and Human Services	702.993	5,868.002	4,028.804	(342.125)	2,200.066
25200-25249-Federal Education	(35.534)	191.304	192.836	(0.216)	(37.282)
25300-25899-Federal Miscellaneous Operating Grants	(254.166)	103.753	127.048	(6.394)	(283.855)
25900-25949-Unemployment Insurance Administration	148.622	20.505	30.917	-	138.210
25950-25999-Unemployment Insurance Occupational Training	(0.401)	0.254	0.362	-	(0.509)
26000-26049-Federal Employment and Training Grants	(5.773)	10.915	12.537	-	(7.395)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	538.173	6,460.892	4,643.652	(350.987)	2,004.426
TOTAL SPECIAL REVENUE FUNDS	5,599.482	8,441.757	6,867.780	(310.611)	6,862.848
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	223.554	26.637	0.364	261.224	511.051
40150-40199-General Debt Service	701.908	2,515.084	412.875	(2,379.522)	424.595
40250-40299-State Housing Debt Service	-	-	-	-	-
40300-40349-Department of Health Income	20.377	24.829	-	(15.235)	29.971
40400-40449-Clean Water/Clean Air	16.503	74.263	-	(71.290)	19.476
40450-40499-Local Government Assistance Tax	-	370.911	0.539	(370.372)	-
TOTAL DEBT SERVICE FUNDS	962.342	3,011.724	413.778	(2,575.195)	985.093

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF DECEMBER 2019
(amounts in millions)**

SCHEDULE 1

	<u>BALANCE</u> <u>DECEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>DECEMBER 31, 2019</u>
<u>CAPITAL PROJECTS FUNDS</u>					
30000-30049-State Capital Projects	-	319.901	606.609	286.708	-
30050-30099-Dedicated Highway and Bridge Trust	(52.204)	181.604	139.238	(70.249)	(80.087)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	137.420	0.209	1.423	13.439	149.645
30300-30349-New York State Canal System Development	12.884	0.019	-	-	12.903
30350-30399-Parks Infrastructure	(94.023)	0.001	8.740	-	(102.762)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	101.017	12.472	23.919	-	89.570
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	18.334	-	-	(0.750)	17.584
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(551.245)	158.749	154.912	(0.134)	(547.542)
31450-31499-Forest Preserve Expansion	1.073	0.001	-	-	1.074
31500-31549-Hazardous Waste Remedial	(126.962)	1.325	7.128	(0.188)	(132.953)
31650-31699-Suburban Transportation	0.535	0.001	-	-	0.536
31700-31749-Division for Youth Facilities Improvement	(14.537)	-	2.089	-	(16.626)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(84.778)	-	12.237	-	(97.015)
31900-31949-Natural Resource Damage	17.404	0.026	0.067	-	17.363
31950-31999-DOT Engineering Services	(12.262)	-	(0.293)	-	(11.969)
32200-32249-Miscellaneous Capital Projects	103.971	1.399	4.163	2.274	103.481
32250-32299-CUNY Capital Projects	0.011	-	-	-	0.011
32300-32349-Mental Hygiene Facilities Capital Improvement	(385.235)	15.219	18.998	-	(389.014)
32350-32399-Correction Facilities Capital Improvement	(256.358)	-	47.783	-	(304.141)
32400-32999-State University Capital Projects	148.029	2.123	5.419	18.500	163.233
33000-33049-NYS Storm Recovery Fund	(51.563)	1.665	0.244	-	(50.142)
33050-33099-Dedicated Infrastructure Investment Fund	39.559	-	166.641	170.000	42.918
TOTAL CAPITAL PROJECTS FUNDS	(1,042.266)	694.714	1,199.317	419.600	(1,127.269)
TOTAL GOVERNMENTAL FUNDS	\$ 12,090.940	\$ 16,767.329	\$ 13,956.617	\$ (1.269)	\$ 14,900.383

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF DECEMBER 2019
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE DECEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE DECEMBER 31, 2019</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.103	\$ 0.002	\$ 0.001	\$ -	\$ 0.104
50050-50099-State Exposition Special	1.017	0.254	0.940	-	0.331
50100-50299-Correctional Services Commissary	3.316	3.490	3.408	-	3.398
50300-50399-Agencies Enterprise	9.206	0.737	1.522	-	8.421
50400-50449-Sheltered Workshop	2.199	0.016	0.015	-	2.200
50450-50499-Patient Workshop	1.771	0.007	0.065	-	1.713
50500-50599-Mental Hygiene Community Stores	4.821	0.065	0.075	-	4.811
50650-50699-Unemployment Insurance	8.625	226.214	226.934	-	7.905
TOTAL ENTERPRISE FUNDS	31.058	230.785	232.960	-	28.883
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(89.384)	13.678	25.166	(0.516)	(101.388)
55050-55099-Agency Internal Service	(107.058)	7.658	8.858	3.998	(104.260)
55100-55149-Mental Hygiene Revolving	0.031	0.007	0.054	-	(0.016)
55150-55199-Youth Vocational Education	0.075	0.019	-	-	0.094
55200-55249-Joint Labor and Management Administration	0.162	-	0.072	-	0.090
55250-55299-Audit and Control Revolving	(43.332)	16.890	3.518	(0.061)	(30.021)
55300-55349-Health Insurance Revolving	(17.248)	0.059	1.408	(2.152)	(20.749)
55350-55399-Correctional Industries Revolving	(33.974)	3.548	4.615	-	(35.041)
TOTAL INTERNAL SERVICE FUNDS	(290.728)	41.859	43.691	1.269	(291.291)
TOTAL PROPRIETARY FUNDS	\$ (259.670)	\$ 272.644	\$ 276.651	\$ 1.269	\$ (262.408)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF DECEMBER 2019
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE DECEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE DECEMBER 31, 2019</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (7.974)	\$ 36.272	\$ 28.158	\$ -	\$ 0.140
TOTAL PENSION TRUST FUNDS	(7.974)	36.272	28.158	-	0.140
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.977	0.005	0.017	-	2.965
66050-66099-Milk Producers' Security	11.004	0.129	0.018	-	11.115
TOTAL PRIVATE PURPOSE TRUST FUNDS	13.981	0.134	0.035	-	14.080
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	23.733	0.385	-	-	24.118
60150-60199-Child Performer's Holding	0.530	0.003	0.005	-	0.528
60200-60249-Employees Health Insurance	1,174.039	914.586	929.903	-	1,158.722
60250-60299-Social Security Contribution	15.051	91.270	91.268	-	15.053
60300-60399-Employee Payroll Withholding	39.987	355.629	381.519	-	14.097
60400-60449-Employees Dental Insurance	27.829	4.916	6.946	-	25.799
60450-60499-Management Confidential Group Insurance	0.783	0.643	0.894	-	0.532
60500-60549-Lottery Prize	613.284	89.257	94.508	-	608.033
60550-60599-Health Insurance Reserve Receipts	0.144	0.001	-	-	0.145
60600-60799-Miscellaneous New York State Agency	983.956	666.022	681.844	-	968.134
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	27.264	9.759	9.784	-	27.239
60850-60899-CUNY Senior College Operating	110.783	120.366	192.699	-	38.450
60900-60949-Medicaid Management Information System (MMIS) Escrow	195.518	6,831.600	6,730.211	-	296.907
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	94.456	17.914	-	-	112.370
61100-61999-State University Federal Direct Lending Program	(3.080)	18.569	16.381	-	(0.892)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,304.277	9,120.920	9,135.962	-	3,289.235
TOTAL FIDUCIARY FUNDS	\$ 3,310.284	\$ 9,157.326	\$ 9,164.155	\$ -	\$ 3,303.455

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020
FOR THE MONTH OF DECEMBER 2019
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE DECEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE DECEMBER 31, 2019</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.864	\$ 0.004	\$ -	\$ 2.868
70093, 70095, 70300-70301-MTA State Assistance (*)	252.142	212.450	360.659	103.933
70050-70149-Sole Custody Investment (**)	2,699.818	6,057.906	6,466.316	2,291.408
70200-Comptroller's Refund Account	-	181.419	181.419	-
TOTAL ACCOUNTS	<u>\$ 2,954.824</u>	<u>\$ 6,451.779</u>	<u>\$ 7,008.394</u>	<u>\$ 2,398.209</u>

(*) See Footnotes

(**) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of December 31, 2019, \$9,475,917.72 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2019-2020

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2019	DEBT ISSUED (*)		DEBT MATURED		DEBT OUTSTANDING DECEMBER 31, 2019	INTEREST DISBURSED	
		MONTH OF DECEMBER	9 MONTHS ENDED DECEMBER 31, 2019	MONTH OF DECEMBER	9 MONTHS ENDED DECEMBER 31, 2019		MONTH OF DECEMBER	9 MONTHS ENDED DECEMBER 31, 2019
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 16,747,925	\$ -	\$ 155,155	\$ 31,419	\$ 720,272	\$ 16,182,808	\$ 2,476	\$ 489,059
Clean Water/Clean Air:								
Air Quality	2,465,600	-	(12,617)	32,215	194,892	2,258,091	1,350	42,160
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	321,372,381	-	2,863,627	206,858	8,678,052	315,557,956	148,757	8,318,064
Solid Waste	22,144,792	-	33,541	809	2,034,166	20,144,167	34	559,916
Environmental Restoration	46,724,919	-	(27,114)	1,073,363	1,283,363	45,414,442	203,019	1,164,969
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,352,815	-	-	-	154,061	1,198,754	-	55,667
Environmental Quality (1972):								
Air	6,247	-	-	-	-	6,247	-	125
Land and Wetlands	5,870,169	-	(795)	31,671	56,671	5,812,703	2,496	138,351
Water	10,826,301	-	109,856	-	4,418,953	6,517,204	-	286,440
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	6,831,632	-	9,798	3,723	741,830	6,099,600	1,316	184,629
Solid Waste Management	107,613,085	-	287,704	368,695	7,388,442	100,512,347	130,326	3,424,677
Housing:								
Low Income	8,500,000	-	-	-	1,860,000	6,640,000	-	243,000
Middle Income	6,225,000	-	-	-	2,190,000	4,035,000	-	120,138
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	17,772,576	-	367,188	43,737	2,418,138	15,721,626	33,905	552,636
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	641,322,676	-	6,303,945	5,866,865	5,866,865	641,759,756	1,423,238	15,847,049
Canals and Waterways	11,884,363	-	-	483,008	483,008	11,401,355	38,067	279,699
Aviation	42,044,726	-	1,372,382	-	-	43,417,108	-	895,796
Rail and Port	94,745,141	-	3,009,919	-	-	97,755,060	-	1,983,245
Mass Transit - Dept. of Transportation	13,915,297	-	(39,774)	-	-	13,875,523	-	309,013
Mass Transit - Metropolitan Transportation Authority	721,891,399	-	11,348,989	6,346,813	6,346,813	726,893,575	3,012,813	19,193,504
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	741,418	-	(1,804)	15,824	15,824	723,790	1,247	18,942
Rapid Transit, Rail and Aviation	2,597,617	-	-	-	555,054	2,042,563	-	112,617
Smart Schools Bond Act	179,020,225	-	-	-	-	179,020,225	-	4,227,135
Transportation Capital Facilities:								
Aviation	3,018,695	-	-	-	928,596	2,090,099	-	113,589
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,285,634,999	\$ -	\$ 25,780,000	\$ 14,505,000	\$ 46,335,000	\$ 2,265,079,999	\$ 4,999,044	\$ 58,560,420

(*) Includes the net effect of the October 2019 refunding transaction of \$914,300,000, which was used to refund \$888,520,000 of previously issued general obligation bonds.

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE NINE MONTHS ENDED DECEMBER 31, 2019

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								9 MONTHS ENDED DECEMBER 31		
								2019	2018	
Payments to Public Authorities:										
City University Construction	\$ -	\$ 71,889,337	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 71,889,337	\$ 106,597,517	\$ (34,708,180)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	54,551,063	-	-	-	-	-	54,551,063	57,690,325	(3,139,262)
DASNY Revenue Bond	-	-	-	-	-	303,001,454	239,836,331	542,837,785	411,174,742	131,663,043
Department of Health Facilities	-	-	26,157,902	-	-	-	-	26,157,902	26,132,003	25,899
Mental Health Facilities	-	-	-	-	7,095,959	-	-	7,095,959	53,507,714	(46,411,755)
Secured Hospital Program	-	3,829,093	-	-	-	-	-	3,829,093	603,759	3,225,334
SUNY Community Colleges	-	5,928,700	-	-	-	-	-	5,928,700	4,586,528	1,342,172
SUNY Educational Facilities	-	18,022,938	-	-	-	-	-	18,022,938	17,145,625	877,313
Environmental Facilities Corporation	-	-	-	-	-	17,269,722	-	17,269,722	33,203,788	(15,934,066)
Housing Finance Agency	-	15,734,765	-	-	-	1,907,968	-	17,642,733	17,219,183	423,550
Local Government Assistance Corporation	-	-	-	21,302,971	-	-	-	21,302,971	26,761,458	(5,458,487)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	35,457,621	(35,457,621)
Thruway Authority:										
Dedicated Highway and Bridge	-	397,672,434	-	-	-	-	-	397,672,434	176,089,230	221,583,204
Local Highway and Bridge	-	21,772,000	-	-	-	-	-	21,772,000	36,892,075	(15,120,075)
Transportation	-	-	-	-	-	27,320,113	-	27,320,113	30,877,100	(3,556,987)
Urban Development Corporation:										
Clarkson University	-	448,350	-	-	-	-	-	448,350	1,023,950	(575,600)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	2,777,000	(2,777,000)
Consolidated Service Contract Refunding	-	78,840,807	-	-	-	-	-	78,840,807	170,037,784	(91,196,977)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	362,000	(362,000)
Correctional Facilities	-	555,750	-	-	-	-	-	555,750	1,081,433	(525,683)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	92,438,900	-	92,438,900	424,841,312	(332,402,412)
University Facilities Grant 95 Refunding	-	1,770,144	-	-	-	-	-	1,770,144	985,972	784,172
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 671,015,381	\$ 26,157,902	\$ 21,302,971	\$ 7,095,959	\$ 441,938,157	\$ 239,836,331	\$ 1,407,346,701	\$ 1,635,048,119	\$ (227,701,418)

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF DECEMBER 2019
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)**

SCHEDULE 6

	<u>MONTH OF DECEMBER 2019</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 18,640.1	\$ 18,875.2	\$ 16,387.7
AVERAGE YIELD (**)	1.741%	2.207%	2.100%
TOTAL INVESTMENT EARNINGS	\$ 26.681	\$ 320.783	\$ 250.380

<u>Month-End Portfolio Balances</u>		
<u>DESCRIPTION</u>	<u>DECEMBER 2019 PAR AMOUNT</u>	<u>DECEMBER 2018 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 4,244.5	\$ 1,694.0
REPURCHASE AGREEMENTS	199.0	28.4
COMMERCIAL PAPER	12,692.0	13,062.0
CERTIFICATES OF DEPOSIT/SAVINGS	2,936.8	2,955.6
0% COMPENSATING BALANCE CDs	8.0	168.0
	<u>\$ 20,080.3</u>	<u>\$ 17,908.0</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2019-2020

APPENDIX A

	2019									2020			9 Months Ended
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	December 31, 2019
OPENING CASH BALANCE	\$ -	\$ 543,140,525	\$ 283,562,198	\$ 300,393,092	\$ 420,298,155	\$ 136,965,831	\$ 79,863,323	\$ 152,028,064	\$ 223,908,390				\$ -
RECEIPTS:													
Cigarette Tax	63,203,605	59,370,644	57,339,173	75,425,457	63,846,255	59,381,304	65,507,143	57,797,138	68,931,372				570,802,091
State Share of NYC Cigarette Tax	2,069,000	2,175,000	1,928,000	1,929,000	2,141,000	1,867,000	2,219,000	1,792,000	1,701,000				17,821,000
STIP Interest	469,440	785,843	1,583,166	1,015,764	1,230,923	1,065,269	821,677	676,684	571,892				8,220,658
Public Asset Transfers	-	-	-	-	-	-	-	-	-				-
Assessments	533,324,883	443,523,673	423,216,328	494,280,029	436,582,470	465,018,162	453,744,940	389,283,657	448,018,093				4,086,992,235
Fees	404,000	903,000	2,574,000	312,000	94,000	987,000	280,000	47,000	2,545,000				8,146,000
Rebates	4,761,424	362,419	6,001,122	13,400,488	1,044,971	3,626,158	9,624,921	3,786,088	3,599,685				46,207,276
Restitution and Settlements	-	-	-	-	-	-	-	-	-				-
Miscellaneous	-	-	-	-	-	-	-	-	-				-
Total Receipts	604,232,352	507,120,579	492,641,789	586,362,738	504,939,619	531,944,893	532,197,681	453,382,567	525,367,042	-	-	-	4,738,189,260
DISBURSEMENTS:													
Grants	57,388,500	753,490,762	467,314,025	461,209,200	773,350,315	580,722,220	449,489,176	368,204,270	531,251,298				4,442,419,766
Interest - Late Payments	1,007	72	16	1,654	331	105	1,003	55	1,871				6,114
Personal Service	541,446	1,589,857	1,742,462	1,042,316	944,621	1,006,723	1,635,676	1,037,444	392,768				9,933,313
Non-Personal Service	1,746,620	3,414,743	4,043,595	3,156,138	2,726,729	2,156,664	7,461,050	3,537,859	4,073,856				32,317,254
Employee Benefits/Indirect Costs	345,719	445,042	1,682,906	662,367	602,434	591,118	630,960	829,183	220,952				6,010,681
Total Disbursements	60,023,292	758,940,476	474,783,004	466,071,675	777,624,430	584,476,830	459,217,865	373,608,811	535,940,745	-	-	-	4,490,687,128
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-				-
Transfers to General Fund	-	-	26,968	-	-	-	-	-	233,500				260,468
Transfers to Revenue Bond Tax Fund	-	-	-	-	3,027,025	3,754,806	-	-	-				6,781,831
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	350,000	-	386,000	-	-	-	385,000	-				1,121,000
Empire State Stem Cell Trust Account	-	6,661,750	-	-	6,661,750	-	-	6,661,750	-				19,985,250
Transfers to SUNY Income Fund	1,068,535	746,680	1,000,923	-	958,738	815,765	815,075	846,680	-				6,252,396
Total Operating Transfers	1,068,535	7,758,430	1,027,891	386,000	10,647,513	4,570,571	815,075	7,893,430	233,500	-	-	-	34,400,945
Total Disbursements and Transfers	61,091,827	766,698,906	475,810,895	466,457,675	788,271,943	589,047,401	460,032,940	381,502,241	536,174,245	-	-	-	4,525,088,073
CLOSING CASH BALANCE	\$ 543,140,525	\$ 283,562,198	\$ 300,393,092	\$ 420,298,155	\$ 136,965,831	\$ 79,863,323	\$ 152,028,064	\$ 223,908,390	\$ 213,101,187	\$ -	\$ -	\$ -	\$ 213,101,187

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2019-20

APPENDIX B

Program/Purpose	Appropriation Amount (*)	December	9 Months Ended December 31, 2019 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,752,000.00	\$ 221,334.63	\$ 2,326,087.04
CENTER FOR COMMUNITY HLTH	8,752,000.00	221,334.63	2,326,087.04
CHILD HEALTH INSURANCE PROGRAM	1,478,644,000.00	79,061,922.38	275,127,572.74
CHILD HEALTH INSURANCE	1,478,644,000.00	79,061,922.38	275,127,572.74
COMMUNITY SUPPORT PROGRAM	180,000.00	15,000.00	45,000.00
COMMUNITY SUPPORT	180,000.00	15,000.00	45,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	426,154,811.52	12,901,004.07	83,253,287.88
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	426,154,811.52	12,901,004.07	83,253,287.88
HEALTH CARE REFORM ACT PROGRAM	2,160,773,305.03	5,345,452.25	297,727,978.32
AIDS DRUG ASSISTANCE	205,250,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	13,520,000.00	67,817.67	1,039,703.97
AREA HEALTH EDUCATION CENTER	7,063,000.00	-	860,464.62
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	216,097.76
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	272,000,000.00	-	27,500,023.00
DIVERSITY IN MEDICINE	5,232,000.00	-	404,039.00
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	13,780,000.00	-	3,444,996.00
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	3,698,201.26
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	210,010,300.00	231,635.19	3,980,005.70
INFERTILITY SERVICES GRANTS	24,781,746.00	29,592.88	525,276.29
MEDICAL INDEMNITY FUND	208,000,000.00	-	52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	33,730.67	448,716.15
PHYSICIAN EXCESS MEDICAL MALPRACTICE	382,200,000.00	-	105,100,000.00
PHYSICIAN LOAN REPAYMENT	34,465,000.00	17,500.00	4,683,194.76
PHYSICIAN LOAN REPAYMENT AND PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN PRACTICE SUPPORT	27,825,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	3,954,200.00	145,000.00	300,000.00
POISON CONTROL CENTERS	8,440,000.00	-	1,642,909.72
POOL ADMINISTRATION	5,300,000.00	-	622,498.06
ROSWELL PARK CANCER INSTITUTE	102,606,000.00	-	38,477,250.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	34,550,000.00	335,061.84	3,647,706.72
RURAL HEALTH NETWORK	22,990,000.00	27,055.00	3,191,895.31
SCHOOL BASED HEALTH CENTERS	4,230,000.00	228,059.00	2,115,000.00
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	4,230,000.00	4,230,000.00
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,338,830,000.00	434,250,812.48	3,806,344,157.76
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	5,349,000,000.00	104,250,812.48	705,344,157.76
MEDICAL ASSISTANCE	21,806,630,000.00	330,000,000.00	3,101,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	816,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	53,398,000.00	2,878,018.89	18,360,386.31
NEW YORK STATE OF HEALTH ADMINISTRATION	53,398,000.00	2,878,018.89	18,360,386.31
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,263,956.27	11,050,469.77
OFFICE HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,263,956.27	11,050,469.77
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	2,704,585.45
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	2,704,585.45
TOTAL	\$ 32,539,724,841.40	\$ 535,937,500.97	\$ 4,496,939,525.27
Reclass of SUNY Hospital Disprop Share to Transfer	-	-	(6,252,396.34)
Reclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	3,243.57	(0.95)
TOTAL REPORTED AMOUNT	\$ 32,539,724,841.40	\$ 535,940,744.54	\$ 4,490,687,127.98

(*) Includes amounts appropriated in SFY 2019-20, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2019-20

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2019-20
OPENING CASH BALANCE	\$ 284,899,772.70	\$ 217,136,341.70	\$ 349,275,252.28	\$ 308,305,439.98	\$ 217,967,940.95	\$ 284,899,772.70
RECEIPTS:						
Patient Services	901,482,254.94	1,104,136,349.72	287,061,537.50	206,465,580.61	413,211,360.81	2,912,357,083.58
Covered Lives	257,819,074.40	303,187,529.45	86,213,931.59	51,760,824.84	123,399,398.72	822,380,759.00
Provider Assessments	33,710,660.47	38,718,319.01	9,875,610.74	5,684,071.04	12,107,693.84	100,096,355.10
1% Assessments	101,375,334.00	108,247,260.00	36,089,468.00	33,250,463.00	38,105,382.00	317,067,907.00
DASNY- MOE/Recast receivables	-	-	-	-	-	-
Interest Income	214,148.16	222,300.29	45,907.12	34,495.74	47,464.32	564,315.63
Unassigned	25,420,354.00	(25,438,913.00)	2,983,526.00	(2,855,162.94)	1,878,159.94	1,987,964.00
Total Receipts	1,320,021,825.97	1,529,072,845.47	422,269,980.95	294,340,272.29	588,749,459.63	4,154,454,384.31
PROGRAM DISBURSEMENTS:						
Poison Control Centers	-	-	-	(2,400,000.00)	-	(2,400,000.00)
School Based Health Center Grants	-	-	-	-	(4,230,000.00)	(4,230,000.00)
ECRIP Distributions	-	-	(3,444,996.00)	-	-	(3,444,996.00)
Total Program Disbursements	-	-	(3,444,996.00)	(2,400,000.00)	(4,230,000.00)	(10,074,996.00)
Excess (Deficiency) of Receipts over Disbursements	1,320,021,825.97	1,529,072,845.47	418,824,984.95	291,940,272.29	584,519,459.63	4,144,379,388.31
OTHER FINANCING SOURCES (USES):						
Transfers From Other Pools:						
Medicaid Disproportionate Share	-	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,273,811.00	12,910,960.00	4,376,089.00	4,461,972.00	4,004,925.00	38,027,757.00
Transfers From State Funds:						
HCRA Resources Fund	-	-	3,444,996.00	2,400,000.00	4,230,000.00	10,074,996.00
Total Other Financing Sources	12,273,811.00	12,910,960.00	7,821,085.00	6,861,972.00	8,234,925.00	48,102,753.00
Transfers To Other Pools:						
Medicaid Disproportionate Share	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers To State Funds:						
HCRA Resources Fund	(1,211,212,716.72)	(1,061,871,734.43)	(403,657,248.14)	(389,139,743.32)	(343,436,586.22)	(3,409,318,028.83)
Indigent Care Fund - Matched	(189,777,239.46)	(325,895,043.33)	(63,992,967.38)	-	(104,661,389.06)	(684,326,639.23)
Indigent Care Fund - Unmatched	930,888.21	(22,078,117.13)	34,333.27	-	79,882.06	(21,033,013.59)
Total Other Financing Uses	(1,400,059,067.97)	(1,409,844,894.89)	(467,615,882.25)	(389,139,743.32)	(448,018,093.22)	(4,114,677,681.65)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67,763,431.00)	132,138,910.58	(40,969,812.30)	(90,337,499.03)	144,736,291.41	77,804,459.66
CLOSING CASH BALANCE	\$ 217,136,341.70	\$ 349,275,252.28	\$ 308,305,439.98	\$ 217,967,940.95	\$ 362,704,232.36	\$ 362,704,232.36

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2019-20

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2019-20
OPENING CASH BALANCE	\$ 2,224.49	\$ 1,198.39	\$ 9,008.14	\$ 781.92	\$ -	\$ 2,224.49
RECEIPTS:						
Interest Income	4,789.67	11,549.28	781.92	-	1,493.26	18,614.13
Total Receipts	4,789.67	11,549.28	781.92	-	1,493.26	18,614.13
PROGRAM DISBURSEMENTS:						
Indigent Care	(189,777,239.46)	(223,008,636.27)	(64,167,030.38)	-	(104,661,389.06)	(581,614,295.17)
High Need Indigent Care	-	-	-	-	-	-
Other	945,583.86	(120,704,277.72)	977,753.27	-	1,618,596.06	(117,162,344.53)
Total Program Disbursements	(188,831,655.60)	(343,712,913.99)	(63,189,277.11)	-	(103,042,793.00)	(698,776,639.70)
Excess (Deficiency) of Receipts over Disbursements	(188,826,865.93)	(343,701,364.71)	(63,188,495.19)	-	(103,041,299.74)	(698,758,025.57)
OTHER FINANCING SOURCES (USES):						
Transfers From Other Pools:						
Public Goods Pool	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers From State Funds:						
HCRA Resources Indigent Care - Matched	94,888,619.73	162,947,521.68	31,996,483.69	-	52,330,694.53	342,163,319.63
HCRA Resources Indigent Care - Unmatched	(930,888.21)	20,125,941.66	(34,333.27)	-	(79,882.06)	19,080,838.12
HCRA Resources Indigent Care - ATB	-	-	-	-	-	-
Federal DHHS Fund	94,888,619.73	162,947,521.65	31,996,483.69	-	52,330,694.53	342,163,319.60
Other	-	-	-	-	-	-
Total Other Financing Sources	188,846,351.25	346,020,984.99	63,958,634.11	-	104,581,507.00	703,407,477.35
Transfers To Other Pools:						
Public Goods Pool	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-
Transfers To State Funds:						
HCRA Resources Fund Indigent Care Acct	(20,511.42)	(2,311,810.53)	(778,365.14)	(781.92)	(1,538,714.00)	(4,650,183.01)
Total Other Financing Uses	(20,511.42)	(2,311,810.53)	(778,365.14)	(781.92)	(1,538,714.00)	(4,650,183.01)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,026.10)	7,809.75	(8,226.22)	(781.92)	1,493.26	(731.23)
CLOSING CASH BALANCE	\$ 1,198.39	\$ 9,008.14	\$ 781.92	\$ -	\$ 1,493.26	\$ 1,493.26

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2019-2020
(amounts in thousands)

APPENDIX E

	2019 APRIL	2019 MAY	2019 JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-2020 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ 21	\$ -	\$ 25	\$ 1	\$ -	\$ 12	\$ -	\$ 10				\$ 69
Education - EXCEL	-	2,109	221	2,703	2,354	1,566	789	-	202				9,944
Department of Health - All Other	-	-	-	-	51	3	-	-	-				54
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-				-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	647	1,183	11	788	309	590	242	368	677				4,815
Multi-modal	37	-	-	-	-	-	-	-	-				37
GenNYsis	-	-	-	-	-	-	-	-	-				-
CUNY Senior Colleges	19,134	18,022	14,341	23,762	10,916	28,143	20,932	9,312	27,400				171,962
CUNY Community Colleges	2,965	2,554	975	5,101	780	5,980	4,606	3,607	5,800				32,368
SUNY Dormitories	-	-	-	-	-	-	-	-	-				-
Upstate Community Colleges	-	-	-	-	-	-	-	-	-				-
Mental Health	9,238	13,563	4,697	25,605	5,907	28,243	14,194	4,498	18,956				124,901
Developmental Disabilities	1,109	1,614	462	2,044	682	2,065	1,870	1,865	2,315				14,026
Alcoholism and Substance Abuse	158	274	190	302	304	671	162	233	429				2,723
Brooklyn Court Officer Training Academy	23	36	-	192	-	780	39	2	1				1,073
TOTAL DORMITORY AUTHORITY	33,311	39,376	20,897	60,522	21,304	68,041	42,846	19,885	55,790	-	-	-	361,972
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-				-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-				-
Empire Opportunity	-	-	-	-	-	-	-	-	-				-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-				-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-				-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 33,311	\$ 39,376	\$ 20,897	\$ 60,522	\$ 21,304	\$ 68,041	\$ 42,846	\$ 19,885	\$ 55,790	\$ -	\$ -	\$ -	\$ 361,972

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2019	October 31, 2019	November 30, 2019	Change	December 31, 2019
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	246,467,651.24	96,164,503.22	116,902,614.07	43,556,397.58	160,459,011.65
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	145,547.19	(176.53)	145,370.66
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	84,939,002.69	83,931,487.20	94,023,371.07	8,738,880.46	102,762,251.53
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	152,839,377.84	160,394,840.12	164,798,490.98	5,945,973.21	170,744,464.19
31701	YOUTH FACILITIES IMPROVEMENT	24,561,924.14	13,789,727.98	14,536,893.34	2,088,977.97	16,625,871.31
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	52,730,658.79	-	-	-	-
31852	HOUSING PROG FD AFFORD HSG CORP	56,780,924.15	56,780,924.15	56,780,924.15	5,465,416.00	62,246,340.15
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	135,658,911.98	135,658,911.98	135,658,911.98	-	135,658,911.98
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	12,290,173.24	12,290,173.24	12,262,315.99	(292,852.00)	11,969,463.99

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2019	October 31, 2019	November 30, 2019	Change	December 31, 2019
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	-	-	-	160,034.24	160,034.24
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	136,758,845.01	132,556,937.83	122,972,708.42	2,315,995.44	125,288,703.86
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	199,487,437.85	197,078,193.90	195,514,343.74	(12,201,896.10)	183,312,447.64
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	2,359,597.75	2,359,597.75	2,895,339.49	-	2,895,339.49
32308	DASNY - OASAS ADMIN	458,493.08	1,295,493.08	883,591.20	-	883,591.20
32309	OMH -STATE FACILITIES	56,724,161.57	67,861,643.87	76,418,255.99	14,695,302.67	91,113,558.66
32310	OPWDD -STATE FACILITIES	4,549,163.38	5,575,843.38	5,575,843.38	-	5,575,843.38
32311	OASAS -STATE FACILITIES	0.38	0.38	0.38	119,787.00	119,787.38
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	365,966,742.42	212,125,611.96	256,357,272.49	47,783,436.97	304,140,709.46
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	63,098,863.04	49,501,244.83	51,563,160.90	(1,421,362.33)	50,141,798.57
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,608,767,645.61	1,240,460,851.93	1,320,385,301.82	116,953,914.58	1,437,339,216.40
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	67,593,961.38	3,518,174.10	6,065,650.36	79,071,922.38	85,137,572.74
20818	EPIC PREMIUM ACCOUNT	7,170,180.45	-	-	1,661,511.82	1,661,511.82
20901	LOTTERY-EDUCATION	1,380,191,261.75	1,186,420,072.59	1,028,717,790.91	(159,901,499.30)	868,816,291.61
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,752,358.56	3,836,597.46	3,901,061.04	64,463.58	3,965,524.62
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,200.00	1,672,200.00	1,672,200.00	-	1,672,200.00
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	259,790.78	1,171,608.93	1,793,264.08	(1,541,661.25)	251,602.83
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,828,024.49	4,052,537.06	3,732,771.93	274,676.79	4,007,448.72
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	58,491,726.19	54,527,480.90	52,133,743.19	2,972,332.69	55,106,075.88
21082	NATURAL RESOURCES ACCOUNT	12,880,444.32	12,884,519.19	13,048,633.44	289,410.37	13,338,043.81
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	68.64	15,971.23	(15,971.23)	-
21202	HEALTH DEPT OIL SPILL	-	-	3,545.60	(3,545.60)	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	96.00	-	435,038.87	(434,465.21)	573.66
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	131,523,653.70	227,219,128.01	358,742,781.71
21451	OPERATING PERMIT PROGRAM	30,334,012.87	24,893,143.96	28,429,771.26	528,581.84	28,958,353.10
21452	MOBILE SOURCE	4,339,988.21	4,440,996.10	3,436,670.45	2,068,792.91	5,505,463.36
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	11,590,323.15	8,045,774.92	12,364,465.48	(192,786.06)	12,171,679.42
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	696,002.30	234,523.16	468,407.45	169,863.94	638,271.39
21912	RACING REGULATION ACCOUNT	1,149,544.34	1,819,601.98	2,298,616.56	175,255.47	2,473,872.03
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	-	-	-	-	-
21937	SU DORM INCOME REIMBURSE	47,765.20	185,757.35	209,701.05	(79,091.13)	130,609.92
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	403,825.51	567,885.41	498,612.55	(106,424.80)	392,187.75
21962	CLINICAL LAB FEE	11,107,514.67	9,457,941.62	10,295,608.82	602,871.94	10,898,480.76
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	16,353.19	-	1,599.03	(1,599.03)	-
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	12,114,628.14	12,876,861.42	13,870,087.47	745,277.12	14,615,364.59
22034	INVESTMENT SERVICES	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2019	October 31, 2019	November 30, 2019	Change	December 31, 2019
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	871,855.38	351,556.01	618,347.29	275,016.13	893,363.42
22046	REGULATION INDIAN GAMING	83,896,282.10	85,360,909.11	86,752,888.35	641,359.22	87,394,247.57
22053	ROME SCHOOL FOR THE DEAF	4,099,848.07	4,618,525.58	5,413,280.09	600,408.96	6,013,689.05
22054	DSP-SEIZED ASSETS	3,024,220.92	2,890,550.49	2,076,562.27	(139,947.72)	1,936,614.55
22055	ADMINISTRATIVE ADJUDICATION	22,870,564.08	23,552,984.95	23,178,283.24	(7,620,959.29)	15,557,323.95
22056	FEDERAL SALARY SHARING	1,007,783.91	1,159,446.58	1,380,258.31	107,602.37	1,487,860.68
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	6,452,098.82	6,539,092.69	6,797,657.24	(310,585.09)	6,487,072.15
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	15,200,349.81	15,583,211.17	15,750,007.78	163,884.93	15,913,892.71
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	6,856,688.40	7,607,717.18	7,824,700.25	180,267.32	8,004,967.57
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	423,546.55	-	227,840.84	(227,840.84)	-
22151	DEFERRED COMPENSATION ADMIN	130,193.47	198,335.89	72,792.60	52,462.36	125,254.96
22156	RENT REVENUE OTHER - NYC	8,392,107.17	-	-	-	-
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	30,701.35	77,718.83	154,015.36	94,420.98	248,436.34
22654	S.U. NON-RESIDENT REV. OFFSET	20,422,484.72	20,460,053.90	20,494,962.62	30,477.32	20,525,439.94
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	13,935,792.51	14,236,534.72	14,378,726.59	392,345.71	14,771,072.30
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCC OPERATING OFFSET	45,011,285.29	48,600,615.64	50,962,024.83	2,557,919.39	53,519,944.22
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	15,670,129.90	16,062,100.53	16,485,452.16	384,431.60	16,869,883.76
23800	INTERSTATE RECIPROCITY FOR POST SEC DIST ED	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	-	-	-	-
	TOTAL STATE SPECIAL REVENUE FUNDS	1,861,286,883.65	1,583,256,047.76	1,572,835,613.99	150,748,308.60	1,723,583,922.59
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	14,469,282.49	11,174,513.06	22,138,247.34	(6,850,718.88)	15,287,528.46
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	454,714,186.56	531,299,490.93	211,306,904.22	427,328,508.23	638,635,412.45
25200-25249	FEDERAL EDUCATION GRANTS FUND	36,449,057.51	38,252,988.95	38,928,881.04	1,706,277.17	40,635,158.21
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	441,785,088.97	451,777,499.59	418,091,127.23	41,628,075.80	459,719,203.03
31351	MILITARY AND NAVAL AFFAIRS	8,756,661.66	8,756,661.66	8,756,661.66	-	8,756,661.66
31354	DEPARTMENT OF TRANSPORTATION	421,863,466.66	508,738,497.47	484,905,655.79	(24,777,358.93)	460,128,296.86
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	128,754,602.18	85,252,330.97	87,809,426.82	21,023,635.90	108,833,062.72
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	7,767,661.06	13,659,237.32	12,236,467.91	(1,671,494.40)	10,564,973.51
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	487,090.49	360,610.99	318,486.99	159,472.00	477,958.99
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	2,443,727.72	2,301,124.68	5,773,118.47	1,621,566.83	7,394,685.30
	TOTAL FEDERAL FUNDS	1,517,490,825.30	1,651,572,955.62	1,290,264,977.47	460,167,963.72	1,750,432,941.19 (**)
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	364,808.82	370,140.91	345,221.79	2,777.39	347,999.18
50327	EMPIRE PLAZA GIFT SHOP	253,678.44	281,160.31	304,988.44	(8,947.32)	296,041.12
	TOTAL ENTERPRISE FUND	618,487.26	651,301.22	650,210.23	(6,169.93)	644,040.30
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,369,170.05	1,386,750.47	1,324,819.02	12,611.75	1,337,430.77
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,082,395.03	3,187,134.90	3,516,513.30	1,002.18	3,517,515.48
55008	CENTRALIZED SERVICES-PASNY	10,601,662.24	10,548,852.67	12,588,557.36	560,190.94	13,148,748.30
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	12,341,112.22	16,289,388.26	12,271,049.05	3,953,909.68	16,224,958.73
55011	CENTRALIZED SERVICES-INSURANCE	4,715,716.82	2,266,953.91	-	-	-
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	176,773.30	173,250.30	157,991.30	35,461.00	193,452.30
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	September 30, 2019	October 31, 2019	November 30, 2019	Change	December 31, 2019
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	1,734,559.73	1,608,643.92	1,565,919.79	62,646.68	1,628,566.47
55017	DOWNSTATE WAREHOUSE	424,911.57	393,527.51	248,638.45	23,222.66	271,861.11
55018	BUILDING ADMINISTRATION	-	-	3,954,819.15	451,899.91	4,406,719.06
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	55,408,261.05	56,897,173.04	61,261,079.71	2,554,697.23	63,815,776.94
55021	NYS MEDIA CENTER	6,780,906.74	7,146,143.73	6,977,640.35	295,404.25	7,273,044.60
55022	BUSINESS SERVICES CENTER	13,129,843.36	16,002,896.11	17,918,524.05	2,187,554.45	20,106,078.50
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	78,721.59	127,926.02	11,046.39	477,886.68	488,933.07
55058	CULTURAL RESOURCE SURVEY	2,118,430.68	1,590,562.74	1,800,873.45	249,096.97	2,049,970.42
55059	NEIGHBOR WORK PROJECT	11,131,337.10	11,170,908.49	10,958,347.84	(188,041.19)	10,770,306.65
55060	AUTOMATIC/PRINT CHARGBACKS	470,917.46	2,187,094.16	3,378,751.17	(2,537,440.04)	841,311.13
55061	OFT NYT ACCT	2,292,055.47	2,239,828.23	2,239,828.23	-	2,239,828.23
55062	DATA CENTER ACCOUNT	45,381,789.50	45,381,789.50	44,947,967.77	126,879.76	45,074,847.53
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	94,639.50	113,628.58	93,592.92	26,452.53	120,045.45
55069	CENTRALIZED TECHNOLOGY SERVICES	53,848,609.23	58,830,619.65	53,910,003.38	(2,198,565.15)	51,711,438.23
55071	LABOR CONTACT CENTER ACCT	152,368.24	358,012.60	638,385.59	186,381.86	824,767.45
55072	HUMAN SERVICES CONTACT CNTR ACCT	696,689.36	1,082,430.62	-	331,482.26	331,482.26
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	11,146,308.44	11,591,737.73	11,826,808.19	219,233.12	12,046,041.31
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	40,222,262.84	28,998,668.41	31,505,372.94	(13,530,311.71)	17,975,061.23
55300	HEALTH INSURANCE INTERNAL SERVICE	14,776,570.77	15,325,716.40	15,884,268.49	3,392,153.32	19,276,421.81
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	1,078,644.74	1,253,352.12	1,363,101.40	109,749.28	1,472,850.68
55350	CORR INDUSTRIES INTERNAL SERVICE	31,299,237.49	32,866,060.68	33,974,055.38	1,066,841.55	35,040,896.93
	TOTAL INTERNAL SERVICE FUNDS	325,815,478.79	330,280,635.02	335,579,538.94	(2,129,600.03)	333,449,938.91
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,313,979,320.61	\$ 4,806,221,791.55	\$ 4,519,715,642.45	\$ 725,734,416.94	\$ 5,245,450,059.39

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020

APPENDIX G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	9 Months Ended December 31, 2019
OPENING CASH BALANCE	\$ 16,298,833	\$ 228,595,088	\$ 67,983,418	\$ 126,634,302	\$ 99,294,725	\$ 98,280,268	\$ 131,509,913	\$ 78,080,573	\$ 39,558,861				\$ 16,298,833
RECEIPTS:													
Transfers from General Fund (**)	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000	100,000,000	70,000,000	170,000,000				1,010,000,000
Total Receipts	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000	100,000,000	70,000,000	170,000,000	-	-	-	1,010,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	1,460,000	18,258,090	-	1,574,403	25,407,411	1,811,458	78,558,285	1,076,930				128,146,577
Broadband Initiative	1,906,857	22,713,440	5,454,209	12,367,387	1,905,302	6,084,561	13,381,336	770,343	6,031,925				70,615,360
Downtown Revitalization	-	-	518,406	151,311	161,511	63,445	192,519	377,686	1,548,901				3,013,779
Health Care / Hospital Initiatives (***)	1,376,741	66,909,227	2,205,139	5,379,040	1,033,482	2,853,386	2,108,372	(26,657,805)	(25,673,320)				29,534,262
Empire State Poverty Reduction Initiatives	1,174,678	643,317	1,630,974	1,884,546	638,637	2,373,357	1,747,976	998,069	4,022,033				15,113,587
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-				-
Infrastructure Improvements	-	298,303	597,554	604,723	62,372	3,874	215,679	-	771,428				2,553,933
Jacob Javits Center Expansion	-	-	87,959,899	-	-	-	77,053,217	-	106,264,892				271,278,008
Life Sciences Initiative	-	-	-	-	500,000	4,420	-	-	2,500,000				3,004,420
Municipal Restructuring / Consolidation Competition	1,257,796	1,322,544	884,297	584,993	1,230,887	68,308	161,331	1,527,320	1,252,791				8,290,267
Penn Station Access	-	-	-	-	-	-	-	-	-				-
Resiliency, Mitigation, Security and Emergency Response	32,703	4,101,595	100,755	8,723	(953)	-	(14,140)	-	-				4,228,683
Southern Tier / Hudson Valley Farm Initiative	10,000	73,340	4,871	20,000	173,311	-	1,277,455	4,191	155,951				1,719,119
Thruway Stabilization Program	15,988,205	29,132,267	20,630,646	42,058,113	49,057,748	25,685,242	42,024,591	40,235,996	29,622,000				294,434,808
Transformative Economic Development Projects	5,122,897	8,707,914	1,701	4,492,613	2,754,194	2,500,000	1,104	3,107,627	5,602,004				32,290,054
Transportation Capital Plan	-	-	-	(3,626)	-	-	-	-	-				(3,626)
Upstate Revitalization Program	10,833,868	25,249,723	3,102,575	9,791,754	11,923,563	1,726,351	13,468,442	9,600,000	33,465,408				119,161,684
Total Disbursements	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355	153,429,340	108,521,712	166,640,943	-	-	-	983,380,915
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-				-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355	153,429,340	108,521,712	166,640,943	-	-	-	983,380,915
CLOSING CASH BALANCE	\$ 228,595,088	\$ 67,983,418	\$ 126,634,302	\$ 99,294,725	\$ 98,280,268	\$ 131,509,913	\$ 78,080,573	\$ 39,558,861	\$ 42,917,918	\$ -	\$ -	\$ -	\$ 42,917,918

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

(***) November and December disbursement amounts include repayment of a loan pursuant to Public Health Law §2815.