

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
December 2012



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (*)
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

| | | STATE OPERATING FUNDS | | | | | | TOTAL | | FEDERAL | | CAPITAL PROJECTS | | SPECIAL REVENUE | | TOTAL | |
|--|-----------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|
| | | GENERAL | | STATE SPECIAL REVENUE | | DEBT SERVICE | | STATE OPERATING FUNDS | | SPECIAL REVENUE | | ELIMINATIONS | | GOVERNMENTAL FUNDS | | | |
| | | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Personal Income Tax | | \$2,870.5 | \$20,055.5 | \$159.0 | \$788.8 | \$1,009.8 | \$6,948.1 | \$4,039.3 | \$27,792.4 | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$4,039.3 | \$27,792.4 |
| Consumption/Use Taxes | | 918.7 | 6,837.5 | 186.3 | 1,630.7 | 287.4 | 2,099.3 | 1,392.4 | 10,567.5 | -- | -- | 65.9 | 459.5 | -- | -- | 1,458.3 | 11,027.0 |
| Business Taxes | | 1,174.1 | 3,888.3 | 237.7 | 1,048.0 | -- | -- | 1,411.8 | 4,936.3 | -- | -- | 62.3 | 487.2 | -- | -- | 1,474.1 | 5,423.5 |
| Other Taxes | | 82.6 | 812.7 | 88.3 | 826.2 | 59.6 | 472.5 | 230.5 | 2,111.4 | -- | -- | 11.9 | 83.4 | -- | -- | 242.4 | 2,194.8 |
| Miscellaneous Receipts | (10) | 205.9 | 2,350.3 | 1,077.1 | 11,198.3 | 54.5 | 576.2 | 1,337.5 | 14,124.8 | 32.3 | 158.8 | 207.6 | 2,807.2 | -- | -- | 1,577.4 | 17,090.8 |
| Federal Receipts | (9) | -- | 46.3 | 1.4 | 16.3 | -- | 39.4 | 1.4 | 102.0 | 3,463.7 | 29,203.9 | 197.3 | 1,554.3 | -- | -- | 3,662.4 | 30,860.2 |
| Total Receipts | | 5,251.8 | 33,990.6 | 1,749.8 | 15,508.3 | 1,411.3 | 10,135.5 | 8,412.9 | 59,634.4 | 3,496.0 | 29,362.7 | 545.0 | 5,391.6 | -- | -- | 12,453.9 | 94,388.7 |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | |
| Local Assistance Grants | (1)(5)(6)(7)(8) | 3,430.7 | 25,859.4 | 1,760.1 | 12,967.6 | -- | -- | 5,190.8 | 38,827.0 | 3,054.0 | 25,396.8 | 187.0 | 1,189.6 | -- | -- | 8,431.8 | 65,413.4 |
| Departmental Operations: | | | | | | | | | | | | | | | | | |
| Personal Service | (6) | 434.0 | 4,775.9 | 524.0 | 4,555.5 | -- | -- | 958.0 | 9,331.4 | 50.3 | 438.1 | -- | -- | -- | -- | 1,008.3 | 9,769.5 |
| Non-Personal Service | (6) | 158.3 | 1,145.9 | 325.8 | 2,425.2 | 13.7 | 33.2 | 497.8 | 3,604.3 | 46.4 | 584.2 | -- | -- | -- | -- | 544.2 | 4,188.5 |
| General State Charges | | 111.7 | 2,838.2 | 305.6 | 1,153.9 | -- | -- | 417.3 | 3,992.1 | 51.1 | 152.1 | -- | -- | -- | -- | 468.4 | 4,144.2 |
| Debt Service, Including Payments on | | | | | | | | | | | | | | | | | |
| Financing Agreements | (2) | -- | -- | -- | -- | 1,070.3 | 3,698.2 | 1,070.3 | 3,698.2 | -- | -- | -- | -- | -- | -- | 1,070.3 | 3,698.2 |
| Capital Projects | (3) | -- | -- | 2.3 | 5.2 | -- | -- | -- | 2.3 | -- | -- | 461.6 | 3,981.2 | -- | -- | 463.9 | 3,986.4 |
| Total Disbursements | | 4,134.7 | 34,619.4 | 2,917.8 | 21,107.4 | 1,084.0 | 3,731.4 | 8,136.5 | 59,458.2 | 3,201.8 | 26,571.2 | 648.6 | 5,170.8 | -- | -- | 11,986.9 | 91,200.2 |
| Excess (Deficiency) of Receipts over Disbursements | | 1,117.1 | (628.8) | (1,168.0) | (5,599.1) | 327.3 | 6,404.1 | 276.4 | 176.2 | 294.2 | 2,791.5 | (103.6) | 220.8 | -- | -- | 467.0 | 3,188.5 |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | |
| Bond Proceeds (net) | | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Transfers from Other Funds | (4) | 1,350.3 | 8,469.7 | 826.7 | 6,306.2 | 323.9 | 4,771.0 | 2,500.9 | 19,546.9 | -- | -- | 67.2 | 430.1 | (45.1) | (377.3) | 2,523.0 | 19,599.7 |
| Transfers to Other Funds | (4) | (291.6) | (4,998.8) | (29.5) | (249.4) | (1,879.2) | (10,888.4) | (2,200.3) | (16,136.6) | (277.4) | (2,907.4) | (93.8) | (990.3) | 45.1 | 377.3 | (2,526.4) | (19,657.0) |
| Total Other Financing Sources (Uses) | | 1,058.7 | 3,470.9 | 797.2 | 6,056.8 | (1,555.3) | (6,117.4) | 300.6 | 3,410.3 | (277.4) | (2,907.4) | (26.6) | (560.2) | -- | -- | (3.4) | (57.3) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | | 2,175.8 | 2,842.1 | (370.8) | 457.7 | (1,228.0) | 286.7 | 577.0 | 3,586.5 | 16.8 | (115.9) | (130.2) | (339.4) | -- | -- | 463.6 | 3,131.2 |
| Beginning Fund Balances (Deficit) | | 2,453.0 | 1,786.7 | 2,461.3 | 1,632.8 | 1,942.2 | 427.5 | 6,856.5 | 3,847.0 | (170.0) | (37.3) | (658.6) | (449.4) | -- | -- | 6,027.9 | 3,360.3 |
| Ending Fund Balances (Deficit) | | \$4,628.8 | \$4,628.8 | \$2,090.5 | \$2,090.5 | \$714.2 | \$714.2 | \$7,433.5 | \$7,433.5 | (\$153.2) | (\$153.2) | (\$788.8) | (\$788.8) | \$ -- | \$ -- | \$6,491.5 | \$6,491.5 |

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

| | GENERAL | | SPECIAL REVENUE | | DEBT SERVICE | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | | | | YEAR OVER YEAR | |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|--------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
| | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2011 | 9 MOS. ENDED DEC. 31, 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| RECEIPTS: | | | | | | | | | | | | | | |
| Personal Income Tax | \$2,870.5 | \$20,055.5 | \$159.0 | \$788.8 | \$1,009.8 | \$6,948.1 | \$ -- | \$ -- | \$4,039.3 | \$27,792.4 | \$3,697.5 | \$27,317.9 | \$474.5 | 1.7% |
| Consumption/Use Taxes | 918.7 | 6,837.5 | 186.3 | 1,630.7 | 287.4 | 2,099.3 | 65.9 | 459.5 | 1,458.3 | 11,027.0 | 1,465.0 | 11,062.9 | (35.9) | -0.3% |
| Business Taxes | 1,174.1 | 3,888.3 | 237.7 | 1,048.0 | -- | -- | 62.3 | 487.2 | 1,474.1 | 5,423.5 | 1,461.5 | 5,193.3 | 230.2 | 4.4% |
| Other Taxes | 82.6 | 812.7 | 88.3 | 826.2 | 59.6 | 472.5 | 11.9 | 83.4 | 242.4 | 2,194.8 | 207.0 | 2,318.2 | (123.4) | -5.3% |
| Miscellaneous Receipts (10) | 205.9 | 2,350.3 | 1,109.4 | 11,357.1 | 54.5 | 576.2 | 207.6 | 2,807.2 | 1,577.4 | 17,090.8 | 1,892.5 | 16,594.1 | 496.7 | 3.0% |
| Federal Receipts (9) | -- | 46.3 | 3,465.1 | 29,220.2 | -- | 39.4 | 197.3 | 1,554.3 | 3,662.4 | 30,860.2 | 4,300.8 | 33,835.7 | (2,975.5) | -8.8% |
| Total Receipts | 5,251.8 | 33,990.6 | 5,245.8 | 44,871.0 | 1,411.3 | 10,135.5 | 545.0 | 5,391.6 | 12,453.9 | 94,388.7 | 13,024.3 | 96,322.1 | (1,933.4) | -2.0% |
| DISBURSEMENTS: | | | | | | | | | | | | | | |
| Local Assistance Grants (1)(5)(6)(7)(8) | 3,430.7 | 25,859.4 | 4,814.1 | 38,364.4 | -- | -- | 187.0 | 1,189.6 | 8,431.8 | 65,413.4 | 10,850.6 | 70,839.8 | (5,426.4) | -7.7% |
| Departmental Operations: | | | | | | | | | | | | | | |
| Personal Service (6) | 434.0 | 4,775.9 | 574.3 | 4,993.6 | -- | -- | -- | -- | 1,008.3 | 9,769.5 | 1,147.3 | 9,483.8 | 285.7 | 3.0% |
| Non-Personal Service (6) | 158.3 | 1,145.9 | 372.2 | 3,009.4 | 13.7 | 33.2 | -- | -- | 544.2 | 4,188.5 | 572.2 | 4,421.6 | (233.1) | -5.3% |
| General State Charges | 111.7 | 2,838.2 | 356.7 | 1,306.0 | -- | -- | -- | -- | 468.4 | 4,144.2 | 422.5 | 4,050.1 | 94.1 | 2.3% |
| Debt Service, Including Payments on: | | | | | | | | | | | | | | |
| Financing Agreements (2) | -- | -- | -- | -- | 1,070.3 | 3,698.2 | -- | -- | 1,070.3 | 3,698.2 | 1,118.7 | 3,690.6 | 7.6 | 0.2% |
| Capital Projects (3) | -- | -- | 2.3 | 5.2 | -- | -- | 461.6 | 3,981.2 | 463.9 | 3,986.4 | 541.0 | 3,958.6 | 27.8 | 0.7% |
| Total Disbursements | 4,134.7 | 34,619.4 | 6,119.6 | 47,678.6 | 1,084.0 | 3,731.4 | 648.6 | 5,170.8 | 11,986.9 | 91,200.2 | 14,652.3 | 96,444.5 | (5,244.3) | -5.4% |
| Excess (Deficiency) of Receipts over Disbursements | 1,117.1 | (628.8) | (873.8) | (2,807.6) | 327.3 | 6,404.1 | (103.6) | 220.8 | 467.0 | 3,188.5 | (1,628.0) | (122.4) | 3,310.9 | 2705.0% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | |
| Bond Proceeds (net) | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | 352.1 | 352.1 | (352.1) | -100.0% |
| Transfers from Other Funds (4) | 1,350.3 | 8,469.7 | 781.6 | 5,928.9 | 323.9 | 4,771.0 | 67.2 | 430.1 | 2,523.0 | 19,599.7 | 2,279.3 | 19,097.8 | 501.9 | 2.6% |
| Transfers to Other Funds (4) | (291.6) | (4,998.8) | (261.8) | (2,779.5) | (1,879.2) | (10,888.4) | (93.8) | (990.3) | (2,526.4) | (19,657.0) | (2,281.1) | (19,127.6) | 529.4 | 2.8% |
| Total Other Financing Sources (Uses) | 1,058.7 | 3,470.9 | 519.8 | 3,149.4 | (1,555.3) | (6,117.4) | (26.6) | (560.2) | (3.4) | (57.3) | 350.3 | 322.3 | (379.6) | -117.8% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 2,175.8 | 2,842.1 | (354.0) | 341.8 | (1,228.0) | 286.7 | (130.2) | (339.4) | 463.6 | 3,131.2 | (1,277.7) | 199.9 | 2,931.3 | 1466.4% |
| Beginning Fund Balances (Deficit) | 2,453.0 | 1,786.7 | 2,291.3 | 1,595.5 | 1,942.2 | 427.5 | (658.6) | (449.4) | 6,027.9 | 3,360.3 | 5,289.9 | 3,812.3 | (452.0) | -11.9% |
| Ending Fund Balances (Deficit) | \$4,628.8 | \$4,628.8 | \$1,937.3 | \$1,937.3 | \$714.2 | \$714.2 | (\$788.8) | (\$788.8) | \$6,491.5 | \$6,491.5 | \$4,012.2 | \$4,012.2 | \$2,479.3 | 61.8% |

GOVERNMENTAL FUNDS FOOTNOTES

December 2012 - Exhibit A Notes

1. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in January 2013:

| | |
|---|----------------|
| Federal DHHS | \$46.8 million |
| Federal USDA/Food and Consumer Services | -- |
| Federal DHHS/Block Grant | -- |
| Federal Education | 35.9 |
| Federal Miscellaneous Operating Grants | 146.7 |
| Federal Employment and Training Grants | 0.1 |

2. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

| | |
|---|----------------|
| Urban Development Corporation (Correctional Facilities) | \$81.4 million |
| Urban Development Corporation (Youth Facilities) | 5.7 |
| Housing Finance Agency (HFA) | 232.2 |
| Housing Assistance Fund | 20.4 |
| Dormitory Authority (Mental Hygiene) | 350.7 |
| Dormitory Authority and State University Income Fund | 81.8 |
| Federal Capital Projects | -- |
| State bond and note proceeds | 116.2 |

4. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

| | |
|---------------------------------------|-----------------|
| State Capital Projects | \$285.2 million |
| General Debt Service | 1,236.8 |
| MTA Financial Assistance | 271.6 |
| MTA Operating Assistance | 40.5 |
| Housing Debt Fund | 5.2 |
| Banking Services | 49.3 |
| Crimes Against Revenue Account | 10.0 |
| Alcohol Beverage Control | 10.7 |
| Financial Management Systems | 37.0 |
| Court Facilities Incentive Aid | 96.6 |
| Centralized Tech Services | 14.0 |
| NYC County Courts Operating | 8.6 |
| Indigent Legal Services Fund | 34.5 |
| SUNY - General Revenue Offset Account | 340.4 |
| SUNY - Hospitals IFR Account | 53.9 |
| SUNY - Stabilization Account | 15.8 |

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$13.9m), the State University Income Funds (\$184.7m) and the Mental Hygiene Program Account (\$2,290.2m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of December 31, 2012 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves, and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds of (\$2,527.8m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

| | |
|--|----------------|
| SUNY Income Fund | \$44.4 million |
| Revenue Arrearage Account | 21.6 |
| Youth Facilities Per Diem | 15.6 |
| Business & Licensing Services Account | 20.7 |
| Fire Prevent & Code Enforcement Account | 7.1 |
| Public Safety Communications Account | 10.0 |
| State Police Motor Vehicle Law | 10.0 |
| Miscellaneous State Special Revenue Fund | 4.8 |

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

| | |
|---------------------------------|-------------------|
| Revenue Bond Tax | \$5,885.5 million |
| Local Government Assistance Tax | 2,029.4 |
| Clean Water/Clean Air | 396.0 |

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$103.7m), Mental Hygiene (\$2,233.2m) and the State University (\$197.6m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$16.4m) the General Debt Service Fund (\$908.2m), and the Revenue Bond Tax Fund (\$65.8).

5. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries will be made in January 2013, to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

| | |
|---|---------------------|
| Medicaid Recoveries - Health Facilities | \$34,300,299 |
| Medicaid Recoveries - Audit | 16,129,842 |
| Medicaid Recoveries - Third Parties | 20,960,915 |
| Pharmacy Rebates | 15,461,735 |
| Medicare Catastrophic Recovery | -- |
| Medicaid "Windfall" Recovery | 36,774 |
| Total | <u>\$86,889,565</u> |

6. Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Services to Non-Personal Services and Occupational Training Account Benefit Payments have been reclassified from Non-Personal Services to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Personal Service, Non-Personal Service and Local Assistance Grant disbursements.

7. Beginning April 1, 2012 New York State converted to a new Central Accounting System. Local Assistance Grants disbursements are now accounted for by program and not by object of expense. Therefore, only a total is available for reporting purposes.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

December 2012 - **Exhibit A Notes**
(Continued)

8. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$399.8m for the month of June, \$187.9 in September, \$6.1 in October, \$36.0 in November and \$142.2 in December.
9. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

| | ENTERPRISE | | INTERNAL SERVICE | | TOTAL PROPRIETARY FUNDS (memorandum only) | | | |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|--|-------------------------------|-----------------------|-------------------------------|
| | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2011 | 9 MOS. ENDED DEC. 31, 2011 |
| RECEIPTS: | | | | | | | | |
| Miscellaneous Receipts | \$3.7 | \$132.5 | \$74.0 | \$225.2 | \$77.7 | \$357.7 | \$16.5 | \$458.7 |
| Federal Receipts | 224.8 | 2,614.7 | -- | -- | 224.8 | 2,614.7 | 299.6 | 2,949.2 |
| Unemployment Taxes | 253.9 | 2,405.8 | -- | -- | 253.9 | 2,405.8 | 292.1 | 2,484.9 |
| TOTAL RECEIPTS | 482.4 | 5,153.0 | 74.0 | 225.2 | 556.4 | 5,378.2 | 608.2 | 5,892.8 |
| DISBURSEMENTS: | | | | | | | | |
| Departmental Operations: | | | | | | | | |
| Personal Service | 0.3 | 4.6 | 7.8 | 76.7 | 8.1 | 81.3 | 8.7 | 84.6 |
| Non-Personal Service | 4.0 | 136.1 | 25.7 | 250.7 | 29.7 | 386.8 | 29.0 | 389.8 |
| General State Charges | 0.2 | 0.4 | -- | 18.5 | 0.2 | 18.9 | 1.7 | 41.7 |
| Unemployment Benefits | 547.6 | 5,089.2 | -- | -- | 547.6 | 5,089.2 | 571.6 | 5,400.8 |
| TOTAL DISBURSEMENTS | 552.1 | 5,230.3 | 33.5 | 345.9 | 585.6 | 5,576.2 | 611.0 | 5,916.9 |
| EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS | (69.7) | (77.3) | 40.5 | (120.7) | (29.2) | (198.0) | (2.8) | (24.1) |
| OTHER FINANCING SOURCES (USES): | | | | | | | | |
| Transfers from Other Funds | -- | -- | 3.5 | 63.3 | 3.5 | 63.3 | 23.4 | 82.8 |
| Transfers to Other Funds | -- | -- | -- | (5.9) | -- | (5.9) | (21.7) | (28.2) |
| NET SOURCES (USES) | -- | -- | 3.5 | 57.4 | 3.5 | 57.4 | 1.7 | 54.6 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (69.7) | (77.3) | 44.0 | (63.3) | (25.7) | (140.6) | (1.1) | 30.5 |
| BEGINNING FUND EQUITY (DEFICITS) | 89.5 | 97.1 | (65.4) | 41.9 | 24.1 | 139.0 | 81.5 | 49.9 |
| ENDING FUND EQUITY (DEFICITS) | \$19.8 | \$19.8 | (\$21.4) | (\$21.4) | (\$1.6) | (\$1.6) | \$80.4 | \$80.4 |

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

| | PENSION | | PRIVATE PURPOSE | | TOTAL TRUST FUNDS (memorandum only) | | | |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|--|-------------------------------|-----------------------|-------------------------------|
| | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2011 | 9 MOS. ENDED DEC. 31, 2011 |
| RECEIPTS: | | | | | | | | |
| Miscellaneous Receipts | \$4.2 | \$53.0 | \$0.1 | \$0.2 | \$4.3 | \$53.2 | \$5.0 | \$73.2 |
| TOTAL RECEIPTS | <u>4.2</u> | <u>53.0</u> | <u>0.1</u> | <u>0.2</u> | <u>4.3</u> | <u>53.2</u> | <u>5.0</u> | <u>73.2</u> |
| DISBURSEMENTS: | | | | | | | | |
| Departmental Operations: | | | | | | | | |
| Personal Service | 4.1 | 39.5 | -- | 0.1 | 4.1 | 39.6 | 4.1 | 39.9 |
| Non-Personal Service | 2.3 | 14.9 | -- | -- | 2.3 | 14.9 | 0.9 | 14.2 |
| General State Charges | -- | 20.1 | -- | -- | -- | 20.1 | 0.1 | 19.8 |
| TOTAL DISBURSEMENTS | <u>6.4</u> | <u>74.5</u> | <u>--</u> | <u>0.1</u> | <u>6.4</u> | <u>74.6</u> | <u>5.1</u> | <u>73.9</u> |
| EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS | <u>(2.2)</u> | <u>(21.5)</u> | <u>0.1</u> | <u>0.1</u> | <u>(2.1)</u> | <u>(21.4)</u> | <u>(0.1)</u> | <u>(0.7)</u> |
| OTHER FINANCING SOURCES (USES): | | | | | | | | |
| Transfers from Other Funds | -- | -- | -- | -- | -- | -- | -- | -- |
| Transfers to Other Funds | -- | -- | -- | -- | -- | -- | -- | -- |
| NET SOURCES (USES) | <u>--</u> | <u>--</u> | <u>--</u> | <u>--</u> | <u>--</u> | <u>--</u> | <u>--</u> | <u>--</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (2.2) | (21.5) | 0.1 | 0.1 | (2.1) | (21.4) | (0.1) | (0.7) |
| BEGINNING FUND EQUITY (DEFICITS) | <u>(18.4)</u> | <u>0.9</u> | <u>10.2</u> | <u>10.2</u> | <u>(8.2)</u> | <u>11.1</u> | <u>10.1</u> | <u>10.7</u> |
| ENDING FUND EQUITY (DEFICITS) | <u>(\$20.6)</u> | <u>(\$20.6)</u> | <u>\$10.3</u> | <u>\$10.3</u> | <u>(\$10.3)</u> | <u>(\$10.3)</u> | <u>\$10.0</u> | <u>\$10.0</u> |

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2013
 FOR NINE (9) MONTHS ENDED DECEMBER 31, 2012
 (amounts in millions)

EXHIBIT D

| | ALL GOVERNMENTAL FUNDS | | |
|---|------------------------|------------------|---|
| | Financial Plan (*) | Actual | Actual Over (Under) Financial Plan |
| RECEIPTS: | | | |
| Taxes..... | \$46,486 | \$46,437.7 | (\$48.3) |
| Miscellaneous Receipts..... | 17,445 | 17,090.8 | (354.2) |
| Federal Receipts..... | 29,390 | 30,860.2 | 1,470.2 |
| Total Receipts..... | 93,321 | 94,388.7 | 1,067.7 |
| DISBURSEMENTS: | | | |
| Local Assistance Grants..... | 66,059 | 65,413.4 | (645.6) |
| Departmental Operations..... | 14,116 | 13,958.0 | (158.0) |
| General State Charges..... | 4,146 | 4,144.2 | (1.8) |
| Debt Service..... | 3,713 | 3,698.2 | (14.8) |
| Capital Projects..... | 3,976 | 3,986.4 | 10.4 |
| Total Disbursements..... | 92,010 | 91,200.2 | (809.8) |
| Excess (Deficiency) of Receipts over Disbursements..... | 1,311 | 3,188.5 | 1,877.5 |
| OTHER FINANCING SOURCES (USES): | | | |
| Bond and Note Proceeds, net..... | 66 | -- | (66.0) |
| Transfers from Other Funds..... | 19,177 | 19,599.7 | 422.7 |
| Transfers to Other Funds..... | (19,214) | (19,657.0) | 443.0 |
| Total Other Financing Sources (Uses)..... | 29.0 | (57.3) | (86.3) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 1,340 | 3,131.2 | 1,791.2 |
| Fund Balances (Deficit) at April 1..... | 3,360 | 3,360.3 | 0.3 |
| Fund Balances (Deficit) at December 31..... | \$4,700 | \$6,491.5 | \$1,791.5 |

(*) Source: 2012-13 Financial Plan Mid-Year Update, issued November 28, 2012.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2013
 FOR NINE (9) MONTHS ENDED DECEMBER 31, 2012
 (amounts in millions)

EXHIBIT D
 (continued)

| | GENERAL | | | SPECIAL REVENUE | | |
|---|--------------------|------------------|------------------------------------|--------------------|------------------|------------------------------------|
| | Financial Plan (*) | Actual | Actual Over (Under) Financial Plan | Financial Plan (*) | Actual | Actual Over (Under) Financial Plan |
| RECEIPTS: | | | | | | |
| Taxes: | | | | | | |
| Personal Income | \$19,978 | \$20,055.5 | \$77.5 | \$789 | \$788.8 | (\$0.2) |
| Consumption/Use | 6,854 | 6,837.5 | (16.5) | 1,654 | 1,630.7 | (23.3) |
| Business..... | 3,977 | 3,888.3 | (88.7) | 1,049 | 1,048.0 | (1.0) |
| Other..... | 854 | 812.7 | (41.3) | 830 | 826.2 | (3.8) |
| Miscellaneous Receipts | 2,588 | 2,350.3 | (237.7) | 11,587 | 11,357.1 | (229.9) |
| Federal Receipts..... | 47 | 46.3 | (0.7) | 27,767 | 29,220.2 | 1,453.2 |
| Bond and Note Proceeds, net..... | -- | -- | -- | -- | -- | -- |
| Transfers From: | | | | | | |
| PIT in excess of Revenue Bond Debt Service..... | 5,881 | 5,885.5 | 4.5 | -- | -- | -- |
| Sales Tax in excess of LGAC Debt Service..... | 2,032 | 2,029.4 | (2.6) | -- | -- | -- |
| Real Estate Taxes in excess of CW/CA Debt Service..... | 368 | 396.0 | 28.0 | -- | -- | -- |
| All Other..... | 190 | 158.8 | (31.2) | 5,247 | 5,928.9 | 681.9 |
| Total Receipts..... | 42,769 | 42,460.3 | (308.7) | 48,923 | 50,799.9 | 1,876.9 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants..... | 26,512 | 25,859.4 | (652.6) | 37,938 | 38,364.4 | 426.4 |
| Departmental Operations..... | 5,988 | 5,921.8 | (66.2) | 8,093 | 8,003.0 | (90.0) |
| General State Charges..... | 2,872 | 2,838.2 | (33.8) | 1,274 | 1,306.0 | 32.0 |
| Debt Service..... | -- | -- | -- | -- | -- | -- |
| Capital Projects..... | -- | -- | -- | 2 | 5.2 | 3.2 |
| Transfers To: | | | | | | |
| Debt Service..... | 1,243 | 1,236.8 | (6.2) | -- | -- | -- |
| Capital Projects..... | 661 | 285.2 | (375.8) | -- | -- | -- |
| State Share Medicaid..... | 2,146 | 2,488.8 (**) | 342.8 | -- | -- | -- |
| SUNY Operations..... | 340 | 340.4 | 0.4 | -- | -- | -- |
| Other Purposes..... | 847 | 647.6 | (199.4) | 2,670 | 2,779.5 | 109.5 |
| Total Disbursements..... | 40,609 | 39,618.2 | (990.8) | 49,977 | 50,458.1 | 481.1 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 2,160 | 2,842.1 | 682.1 | (1,054) | 341.8 | 1,395.8 |
| Fund Balances (Deficit) at April 1..... | 1,787 | 1,786.7 | (0.3) | 1,594 | 1,595.5 | 1.5 |
| Fund Balances (Deficit) at December 31..... | \$3,947 | \$4,628.8 | \$681.8 | \$540 | \$1,937.3 | \$1,397.3 |

(*) Source: 2012-13 Financial Plan Mid-Year Update, issued November 28, 2012.

(**) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2013
 FOR NINE (9) MONTHS ENDED DECEMBER 31, 2012
 (amounts in millions)

EXHIBIT D
 (continued)

| | DEBT SERVICE | | | CAPITAL PROJECTS | | |
|---|--------------------|-----------------|------------------------------------|--------------------|------------------|------------------------------------|
| | Financial Plan (*) | Actual | Actual Over (Under) Financial Plan | Financial Plan (*) | Actual | Actual Over (Under) Financial Plan |
| RECEIPTS: | | | | | | |
| Taxes | \$9,466 | \$9,519.9 | \$53.9 | \$1,035 | \$1,030.1 | (\$4.9) |
| Miscellaneous Receipts | 660 | 576.2 | (83.8) | 2,610 | 2,807.2 | 197.2 |
| Federal Receipts..... | 40 | 39.4 | (0.6) | 1,536 | 1,554.3 | 18.3 |
| Bond and Note Proceeds, net..... | -- | -- | -- | 66 | -- | (66.0) |
| Transfers from Other Funds..... | 4,597 | 4,771.0 | 174.0 | 862 | 430.1 | (431.9) |
| Total Receipts..... | 14,763 | 14,906.5 | 143.5 | 6,109 | 5,821.7 | (287.3) |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants..... | -- | -- | -- | 1,609 | 1,189.6 | (419.4) |
| Departmental Operations..... | 35 | 33.2 | (1.8) | -- | -- | -- |
| General State Charges..... | -- | -- | -- | -- | -- | -- |
| Debt Service..... | 3,713 | 3,698.2 | (14.8) | -- | -- | -- |
| Capital Projects..... | -- | -- | -- | 3,974 | 3,981.2 | 7.2 |
| Transfers to Other Funds..... | 10,313 | 10,888.4 | 575.4 | 994 | 990.3 | (3.7) |
| Total Disbursements..... | 14,061 | 14,619.8 | 558.8 | 6,577 | 6,161.1 | (415.9) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 702 | 286.7 | (415.3) | (468) | (339.4) | 128.6 |
| Fund Balances (Deficit) at April 1..... | 428 | 427.5 | (0.5) | (449) | (449.4) | (0.4) |
| Fund Balances (Deficit) at December 31..... | \$1,130 | \$714.2 | (\$415.8) | (\$917) | (\$788.8) | \$128.2 |

(*) Source: 2012-13 Financial Plan Mid-Year Update, issued November 28, 2012.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

| | GENERAL | | SPECIAL REVENUE | | DEBT SERVICE | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | | | | YEAR OVER YEAR | |
|---|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|--------------------------|-------------------------------|-----------------------|-------------------------------|-----------------------------|--------------------------|
| | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2012 | 9 MOS. ENDED DEC. 31, 2012 | MONTH OF DEC. 2011 | 9 MOS. ENDED DEC. 31, 2011 | \$ Increase / (Decrease) | % Increase / Decrease |
| PERSONAL INCOME TAX | | | | | | | | | | | | | | |
| Withholding | \$3,164.8 | \$21,399.2 | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$3,164.8 | \$21,399.2 | \$3,004.7 | \$21,183.8 | \$215.4 | 1.0% |
| Estimated payments | 926.6 | 8,746.1 | -- | -- | -- | -- | -- | -- | 926.6 | 8,746.1 | 731.6 | 8,768.5 | (22.4) | -0.3% |
| Final returns | 17.4 | 2,017.8 | -- | -- | -- | -- | -- | -- | 17.4 | 2,017.8 | 21.3 | 1,977.1 | 40.7 | 2.1% |
| State/City Offsets | (19.1) | (249.5) | -- | -- | -- | -- | -- | -- | (19.1) | (249.5) | (2.5) | (322.5) | (73.0) | -22.6% |
| Other (Assessments/LLC) | 94.3 | 762.8 | -- | -- | -- | -- | -- | -- | 94.3 | 762.8 | 97.3 | 696.4 | 66.4 | 9.5% |
| Gross Receipts | 4,184.0 | 32,676.4 | -- | -- | -- | -- | -- | -- | 4,184.0 | 32,676.4 | 3,852.4 | 32,303.3 | 373.1 | 1.2% |
| Transfers to School Tax Relief Fund | (159.0) | (788.8) | 159.0 | 788.8 | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Transfers to Revenue Bond Tax Fund | (1,009.8) | (6,948.1) | -- | -- | 1,009.8 | 6,948.1 | -- | -- | -- | -- | -- | -- | -- | -- |
| Less: Refunds Issued | (144.7) | (4,884.0) | -- | -- | -- | -- | -- | -- | (144.7) | (4,884.0) | (154.9) | (4,985.4) | (101.4) | -2.0% |
| Total | 2,870.5 | 20,055.5 | 159.0 | 788.8 | 1,009.8 | 6,948.1 | -- | -- | 4,039.3 | 27,792.4 | 3,697.5 | 27,317.9 | 474.5 | 1.7% |
| CONSUMPTION / USE TAXES | | | | | | | | | | | | | | |
| Sales and Use | 862.4 | 6,309.7 | 75.0 | 588.1 | 287.4 | 2,099.3 | -- | -- | 1,224.8 | 8,997.1 | 1,202.8 | 8,955.0 | 42.1 | 0.5% |
| Auto Rental | -- | -- | 10.2 | 32.4 | -- | -- | 16.6 | 54.0 | 26.8 | 86.4 | 28.1 | 84.0 | 2.4 | 2.9% |
| Cigarette/Tobacco Products | 36.0 | 344.6 | 91.5 | 869.0 | -- | -- | -- | -- | 127.5 | 1,213.6 | 135.6 | 1,291.1 | (77.5) | -6.0% |
| Motor Fuel | -- | -- | 9.3 | 77.5 | -- | -- | 33.2 | 292.8 | 42.5 | 370.3 | 58.8 | 385.2 | (14.9) | -3.9% |
| Alcoholic Beverage | 20.3 | 183.2 | -- | -- | -- | -- | -- | -- | 20.3 | 183.2 | 27.1 | 178.9 | 4.3 | 2.4% |
| Highway Use | -- | -- | -- | -- | -- | -- | 16.1 | 112.7 | 16.1 | 112.7 | 11.6 | 103.3 | 9.4 | 9.1% |
| Metropolitan Commuter Trans. Taxicab Trip | -- | -- | 0.3 | 63.7 | -- | -- | -- | -- | 0.3 | 63.7 | 1.0 | 65.4 | (1.7) | -2.6% |
| Total | 918.7 | 6,837.5 | 186.3 | 1,630.7 | 287.4 | 2,099.3 | 65.9 | 459.5 | 1,458.3 | 11,027.0 | 1,465.0 | 11,062.9 | (35.9) | -0.3% |
| BUSINESS TAXES | | | | | | | | | | | | | | |
| Corporation Franchise | 377.0 | 1,617.2 | 52.6 | 233.4 | -- | -- | -- | -- | 429.6 | 1,850.6 | 546.8 | 2,058.5 | (207.9) | -10.1% |
| Corporation and Utilities | 153.5 | 421.2 | 34.8 | 112.8 | -- | -- | 2.5 | 7.9 | 190.8 | 541.9 | 178.9 | 495.8 | 46.1 | 9.3% |
| Insurance | 255.2 | 781.2 | 31.1 | 98.6 | -- | -- | -- | -- | 286.3 | 879.8 | 248.5 | 855.0 | 24.8 | 2.9% |
| Bank | 388.4 | 1,068.7 | 71.3 | 220.3 | -- | -- | -- | -- | 459.7 | 1,289.0 | 381.6 | 965.7 | 323.3 | 33.5% |
| Petroleum Business | -- | -- | 47.9 | 382.9 | -- | -- | 59.8 | 479.3 | 107.7 | 862.2 | 105.7 | 818.3 | 43.9 | 5.4% |
| Total | 1,174.1 | 3,888.3 | 237.7 | 1,048.0 | -- | -- | 62.3 | 487.2 | 1,474.1 | 5,423.5 | 1,461.5 | 5,193.3 | 230.2 | 4.4% |
| OTHER TAXES | | | | | | | | | | | | | | |
| Real Property Gains | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| Estate and Gift | 81.3 | 797.8 | -- | -- | -- | -- | -- | -- | 81.3 | 797.8 | 76.2 | 854.7 | (56.9) | -6.7% |
| Pari-Mutuel | 1.2 | 14.2 | -- | -- | -- | -- | -- | -- | 1.2 | 14.2 | 1.3 | 13.6 | 0.6 | 4.4% |
| Real Estate Transfer | -- | -- | -- | -- | 59.6 | 472.5 | 11.9 | 83.4 | 71.5 | 555.9 | 47.4 | 488.6 | 67.3 | 13.8% |
| Racing and Exhibitions | 0.1 | 0.7 | -- | -- | -- | -- | -- | -- | 0.1 | 0.7 | 0.1 | 0.7 | -- | -- |
| Metropolitan Commuter Trans. Mobility | -- | -- | 88.3 | 826.2 | -- | -- | -- | -- | 88.3 | 826.2 | 82.0 | 960.6 | (134.4) | -14.0% |
| Total | 82.6 | 812.7 | 88.3 | 826.2 | 59.6 | 472.5 | 11.9 | 83.4 | 242.4 | 2,194.8 | 207.0 | 2,318.2 | (123.4) | -5.3% |
| TOTAL TAX RECEIPTS | \$5,045.9 | \$31,594.0 | \$671.3 | \$4,293.7 | \$1,356.8 | \$9,519.9 | \$140.1 | \$1,030.1 | \$7,214.1 | \$46,437.7 | \$6,831.0 | \$45,892.3 | \$545.4 | 1.2% |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

GOVERNMENTAL FUNDS CASH FLOW

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | | | |
|---|---------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------------|----------|-------|-------------------------------|------------|----------------------------|-------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| BEGINNING CASH BALANCE | \$3,360.3 | \$7,986.4 | \$4,850.1 | \$5,006.1 | \$5,944.4 | \$5,459.7 | \$6,009.5 | \$5,847.8 | \$6,027.9 | | | | \$3,360.3 | \$3,812.3 | (\$452.0) | -11.9% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Personal Income Tax | 5,134.4 | 1,648.0 | 3,848.4 | 2,356.9 | 2,431.1 | 3,948.8 | 2,552.0 | 1,833.5 | 4,039.3 | | | | 27,792.4 | 27,317.9 | 474.5 | 1.7% |
| Consumption/Use Taxes | 1,085.4 | 1,100.9 | 1,425.7 | 1,155.3 | 1,120.8 | 1,453.4 | 1,144.2 | 1,083.0 | 1,458.3 | | | | 11,027.0 | 11,062.9 | (35.9) | -0.3% |
| Business Taxes | 310.1 | 151.8 | 1,315.5 | 193.6 | 182.6 | 1,304.6 | 265.0 | 226.2 | 1,474.1 | | | | 5,423.5 | 5,193.3 | 230.2 | 4.4% |
| Other Taxes | 322.0 | 252.8 | 197.6 | 239.6 | 264.5 | 191.2 | 278.0 | 206.7 | 242.4 | | | | 2,194.8 | 2,318.2 | (123.4) | -5.3% |
| Miscellaneous Receipts | 1,255.8 | 1,615.6 | 1,937.3 | 1,762.4 | 2,153.5 | 2,998.5 | 1,969.6 | 1,820.7 | 1,577.4 | | | | 17,090.8 | 16,594.1 | 496.7 | 3.0% |
| Federal Receipts | 2,003.5 | 3,213.0 | 3,223.7 | 3,691.3 | 3,917.9 | 3,215.3 | 3,724.7 | 4,208.4 | 3,662.4 | | | | 30,860.2 | 33,835.7 | (2,975.5) | -8.8% |
| Total Receipts | 10,111.2 | 7,982.1 | 11,948.2 | 9,399.1 | 10,070.4 | 13,111.8 | 9,933.5 | 9,378.5 | 12,453.9 | 0.0 | 0.0 | 0.0 | 94,388.7 | 96,322.1 | (1,933.4) | -2.0% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants (***) | 3,582.0 | 8,353.4 | 9,028.6 | 5,738.4 | 7,299.0 | 9,368.1 | 7,084.4 | 6,527.7 | 8,431.8 | | | | 65,413.4 | 70,839.8 | (5,426.4) | -7.7% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service (**) | 1,021.3 | 1,118.1 | 1,007.4 | 968.6 | 1,290.7 | 974.4 | 1,235.4 | 1,145.3 | 1,008.3 | | | | 9,769.5 | 9,483.8 | 285.7 | 3.0% |
| Non-Personal Service (**)(***) | 86.9 | 406.7 | 504.4 | 440.3 | 585.5 | 552.3 | 608.3 | 459.9 | 544.2 | | | | 4,188.5 | 4,421.6 | (233.1) | -5.3% |
| General State Charges | 439.2 | 472.5 | 401.7 | 473.3 | 453.9 | 490.3 | 520.5 | 424.4 | 468.4 | | | | 4,144.2 | 4,050.1 | 94.1 | 2.3% |
| Debt Service, Including Payments on | | | | | | | | | | | | | | | | |
| Financing Agreements | 175.9 | 386.2 | 420.0 | 195.4 | 373.4 | 769.2 | 101.8 | 206.0 | 1,070.3 | | | | 3,698.2 | 3,690.6 | 7.6 | 0.2% |
| Capital Projects | 179.8 | 370.6 | 430.8 | 622.6 | 549.1 | 409.5 | 535.8 | 424.3 | 463.9 | | | | 3,986.4 | 3,958.6 | 27.8 | 0.7% |
| Total Disbursements | 5,485.1 | 11,107.5 | 11,792.9 | 8,438.6 | 10,551.6 | 12,563.8 | 10,086.2 | 9,187.6 | 11,986.9 | 0.0 | 0.0 | 0.0 | 91,200.2 | 96,444.5 | (5,244.3) | -5.4% |
| Excess (Deficiency) of Receipts over Disbursements | 4,626.1 | (3,125.4) | 155.3 | 960.5 | (481.2) | 548.0 | (152.7) | 190.9 | 467.0 | 0.0 | 0.0 | 0.0 | 3,188.5 | (122.4) | 3,310.9 | 2705.0% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Bond Proceeds (net) | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | 352.1 | (352.1) | -100.0% |
| Transfers from Other Funds | 2,096.3 | 2,002.8 | 2,453.0 | 2,505.3 | 1,944.4 | 2,137.3 | 2,162.7 | 1,774.9 | 2,523.0 | | | | 19,599.7 | 19,097.8 | 501.9 | 2.6% |
| Transfers to Other Funds | (2,096.3) | (2,013.7) | (2,452.3) | (2,527.5) | (1,947.9) | (2,135.5) | (2,171.7) | (1,785.7) | (2,526.4) | | | | (19,657.0) | (19,127.6) | 529.4 | 2.8% |
| Total Other Financing Sources (Uses) | -- | (10.9) | 0.7 | (22.2) | (3.5) | 1.8 | (9.0) | (10.8) | (3.4) | 0.0 | 0.0 | 0.0 | (57.3) | 322.3 | (379.6) | -117.8% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 4,626.1 | (3,136.3) | 156.0 | 938.3 | (484.7) | 549.8 | (161.7) | 180.1 | 463.6 | | | | 3,131.2 | 199.9 | 2,931.3 | 1466.4% |
| CLOSING CASH BALANCE | \$7,986.4 | \$4,850.1 | \$5,006.1 | \$5,944.4 | \$5,459.7 | \$6,009.5 | \$5,847.8 | \$6,027.9 | \$6,491.5 | \$0.0 | \$0.0 | \$0.0 | \$6,491.5 | \$4,012.2 | \$2,479.3 | 61.8% |

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(**) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(***) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS (*)
 CASH FLOW SCHEDULE OF TAX RECEIPTS
 FISCAL YEAR 2012-2013
 (amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW
 TAX RECEIPTS

| | | | | | | | | | | | | 9 Months Ended Dec. 31 | | | | |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|-----------------|--------------|------------------------|-------------------|-------------------|-----------------------------|--------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 | \$ Increase / (Decrease) | % Increase / Decrease |
| PERSONAL INCOME TAX | | | | | | | | | | | | | | | | |
| Withholdings | \$2,373.6 | \$2,418.5 | \$2,162.2 | \$2,264.2 | \$2,352.2 | \$2,042.0 | \$2,430.0 | \$2,191.7 | \$3,164.8 | | | | \$21,399.2 | \$21,183.8 | \$215.4 | 1.0% |
| Estimated payments | 3,848.6 | 81.2 | 1,676.3 | 87.9 | 70.9 | 1,874.8 | 125.9 | 53.9 | 926.6 | | | | 8,746.1 | 8,768.5 | (22.4) | -0.3% |
| Final returns | 1,452.9 | 49.9 | 34.1 | 31.3 | 28.9 | 48.5 | 334.3 | 20.5 | 17.4 | | | | 2,017.8 | 1,977.1 | 40.7 | 2.1% |
| State/City Offsets | (56.0) | (3.8) | (2.0) | (2.7) | (5.0) | (14.5) | (131.8) | (14.6) | (19.1) | | | | (249.5) | (322.5) | (73.0) | -22.6% |
| Other (Assessments/LLC) | 130.8 | 115.2 | 80.3 | 69.9 | 68.1 | 81.0 | 53.7 | 69.5 | 94.3 | | | | 762.8 | 696.4 | 66.4 | 9.5% |
| Gross Receipts | <u>7,749.9</u> | <u>2,661.0</u> | <u>3,950.9</u> | <u>2,450.6</u> | <u>2,515.1</u> | <u>4,031.8</u> | <u>2,812.1</u> | <u>2,321.0</u> | <u>4,184.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>32,676.4</u> | <u>32,303.3</u> | <u>373.1</u> | <u>1.2%</u> |
| Transfers to School Tax Relief Fund | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- |
| Transfers to Revenue Bond Tax Fund | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- |
| Refunds issued | (2,615.5) | (1,013.0) | (102.5) | (93.7) | (84.0) | (83.0) | (260.1) | (487.5) | (144.7) | | | | (4,884.0) | (4,985.4) | (101.4) | -2.0% |
| Total Personal Income Tax | <u>5,134.4</u> | <u>1,648.0</u> | <u>3,848.4</u> | <u>2,356.9</u> | <u>2,431.1</u> | <u>3,948.8</u> | <u>2,552.0</u> | <u>1,833.5</u> | <u>4,039.3</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>27,792.4</u> | <u>27,317.9</u> | <u>474.5</u> | <u>1.7%</u> |
| CONSUMPTION/USE TAXES | | | | | | | | | | | | | | | | |
| Sales and Use | 863.1 | 888.7 | 1,189.8 | 904.9 | 904.2 | 1,223.4 | 910.3 | 887.9 | 1,224.8 | | | | 8,997.1 | 8,955.0 | 42.1 | 0.5% |
| Auto Rental | 1.7 | 0.2 | 23.7 | -- | -- | 33.9 | -- | 0.1 | 26.8 | | | | 86.4 | 84.0 | 2.4 | 2.9% |
| Cigarette/Tobacco Products | 134.0 | 137.9 | 135.8 | 147.4 | 145.2 | 121.4 | 142.9 | 121.5 | 127.5 | | | | 1,213.6 | 1,291.1 | (77.5) | -6.0% |
| Motor Fuel | 35.1 | 42.4 | 43.2 | 43.3 | 41.7 | 42.2 | 38.0 | 41.9 | 42.5 | | | | 370.3 | 385.2 | (14.9) | -3.9% |
| Alcoholic Beverage | 17.5 | 19.2 | 22.6 | 26.9 | 17.1 | 22.9 | 17.4 | 19.3 | 20.3 | | | | 183.2 | 178.9 | 4.3 | 2.4% |
| Highway Use | 13.4 | 11.9 | 10.3 | 12.7 | 11.4 | 9.2 | 15.8 | 11.9 | 16.1 | | | | 112.7 | 103.3 | 9.4 | 9.1% |
| Metropolitan Commuter Trans. Taxicab Trip | 20.6 | 0.6 | 0.3 | 20.1 | 1.2 | 0.4 | 19.8 | 0.4 | 0.3 | | | | 63.7 | 65.4 | (1.7) | -2.6% |
| Total Consumption/Use Taxes and Fees | <u>1,085.4</u> | <u>1,100.9</u> | <u>1,425.7</u> | <u>1,155.3</u> | <u>1,120.8</u> | <u>1,453.4</u> | <u>1,144.2</u> | <u>1,083.0</u> | <u>1,458.3</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>11,027.0</u> | <u>11,062.9</u> | <u>(35.9)</u> | <u>-0.3%</u> |
| BUSINESS TAXES | | | | | | | | | | | | | | | | |
| Corporation Franchise | 178.6 | 45.3 | 471.5 | 44.6 | 71.1 | 448.9 | 119.9 | 41.1 | 429.6 | | | | 1,850.6 | 2,058.5 | (207.9) | -10.1% |
| Corporation and Utilities | 37.5 | 1.9 | 129.8 | (1.9) | 9.4 | 173.2 | (0.1) | 1.3 | 190.8 | | | | 541.9 | 495.8 | 46.1 | 9.3% |
| Insurance | 13.3 | 9.1 | 281.1 | (1.2) | 5.9 | 281.0 | 0.3 | 4.0 | 286.3 | | | | 879.8 | 855.0 | 24.8 | 2.9% |
| Bank | (4.3) | 0.4 | 335.1 | 54.4 | 0.5 | 302.2 | 57.0 | 84.0 | 459.7 | | | | 1,289.0 | 965.7 | 323.3 | 33.5% |
| Petroleum Business | 85.0 | 95.1 | 98.0 | 97.7 | 95.7 | 99.3 | 87.9 | 95.8 | 107.7 | | | | 862.2 | 818.3 | 43.9 | 5.4% |
| Total Business Taxes | <u>310.1</u> | <u>151.8</u> | <u>1,315.5</u> | <u>193.6</u> | <u>182.6</u> | <u>1,304.6</u> | <u>265.0</u> | <u>226.2</u> | <u>1,474.1</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>5,423.5</u> | <u>5,193.3</u> | <u>230.2</u> | <u>4.4%</u> |
| OTHER TAXES | | | | | | | | | | | | | | | | |
| Real Property Gains | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- |
| Estate and Gift | 112.7 | 82.8 | 75.3 | 78.8 | 113.6 | 65.1 | 119.0 | 69.2 | 81.3 | | | | 797.8 | 854.7 | (56.9) | -6.7% |
| Pari-Mutuel | 1.0 | 1.4 | 2.1 | 1.4 | 2.3 | 2.5 | 1.2 | 1.1 | 1.2 | | | | 14.2 | 13.6 | 0.6 | 4.4% |
| Real Estate Transfer | 68.6 | 52.6 | 53.1 | 68.9 | 67.5 | 58.3 | 62.0 | 53.4 | 71.5 | | | | 555.9 | 488.6 | 67.3 | 13.8% |
| Racing and Exhibitions | 0.1 | -- | -- | 0.1 | 0.1 | 0.2 | 0.1 | -- | 0.1 | | | | 0.7 | 0.7 | -- | -- |
| Metropolitan Commuter Trans. Mobility | 139.6 | 116.0 | 67.1 | 90.4 | 81.0 | 65.1 | 95.7 | 83.0 | 88.3 | | | | 826.2 | 960.6 | (134.4) | -14.0% |
| Total Other Taxes | <u>322.0</u> | <u>252.8</u> | <u>197.6</u> | <u>239.6</u> | <u>264.5</u> | <u>191.2</u> | <u>278.0</u> | <u>206.7</u> | <u>242.4</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>2,194.8</u> | <u>2,318.2</u> | <u>(123.4)</u> | <u>-5.3%</u> |
| TOTAL TAX RECEIPTS | <u>\$6,851.9</u> | <u>\$3,153.5</u> | <u>\$6,787.2</u> | <u>\$3,945.4</u> | <u>\$3,999.0</u> | <u>\$6,898.0</u> | <u>\$4,239.2</u> | <u>\$3,349.4</u> | <u>\$7,214.1</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$46,437.7</u> | <u>\$45,892.3</u> | <u>\$545.4</u> | <u>1.2%</u> |

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT "F"

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | | | |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|-----------------|--------------|--------------|-------------------------------|------------------|----------------------------|-------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| OPENING CASH BALANCE | \$1,786.7 | \$5,637.4 | \$2,017.8 | \$2,934.7 | \$2,403.8 | \$1,545.5 | \$4,282.3 | \$3,649.0 | \$2,453.0 | | | | \$1,786.7 | \$1,376.1 | \$410.6 | 29.8% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Personal Income Tax | 3,850.8 | 1,236.0 | 2,486.5 | 1,767.7 | 1,823.3 | 2,773.7 | 1,907.9 | 1,339.1 | 2,870.5 | | | | 20,055.5 | 17,282.9 | 2,772.6 | 16.0% |
| Consumption/Use Taxes | 651.9 | 680.9 | 899.0 | 703.0 | 693.2 | 919.8 | 695.8 | 675.2 | 918.7 | | | | 6,837.5 | 6,835.5 | 2.0 | -- |
| Business Taxes | 205.1 | 42.2 | 1,044.3 | 79.7 | 67.7 | 1,019.3 | 155.0 | 100.9 | 1,174.1 | | | | 3,888.3 | 3,722.8 | 165.5 | 4.4% |
| Other Taxes | 113.8 | 84.2 | 77.4 | 80.3 | 116.0 | 67.8 | 120.3 | 70.3 | 82.6 | | | | 812.7 | 869.0 | (56.3) | -6.5% |
| Miscellaneous Receipts | 116.8 | 93.2 | 415.7 | 166.7 | 118.2 | 943.3 | 106.6 | 183.9 | 205.9 | | | | 2,350.3 | 2,070.2 | 280.1 | 13.5% |
| Federal Receipts | 3.7 | 13.5 | -- | 0.3 | 15.9 | -- | -- | 12.9 | -- | | | | 46.3 | 46.6 | (0.3) | -0.6% |
| Total Receipts | 4,942.1 | 2,150.0 | 4,922.9 | 2,797.7 | 2,834.3 | 5,723.9 | 2,985.6 | 2,382.3 | 5,251.8 | 0.0 | 0.0 | 0.0 | 33,990.6 | 30,827.0 | 3,163.6 | 10.3% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants | 1,150.5 | 4,218.4 | 4,300.1 | 1,842.1 | 2,798.4 | 3,267.6 | 2,282.3 | 2,569.3 | 3,430.7 | | | | 25,859.4 | 25,831.5 | 27.9 | 0.1% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service (*) | 596.1 | 630.5 | 549.4 | 416.0 | 593.6 | 436.8 | 654.2 | 465.3 | 434.0 | | | | 4,775.9 | 4,631.5 | 144.4 | 3.1% |
| Non-Personal Service (*) | 52.0 | 145.6 | 142.2 | 112.6 | 176.8 | 143.3 | 126.5 | 88.6 | 158.3 | | | | 1,145.9 | 1,195.5 | (49.6) | -4.1% |
| General State Charges | 413.4 | 442.1 | 89.9 | 433.0 | 434.0 | 398.4 | 186.3 | 329.4 | 111.7 | | | | 2,838.2 | 2,506.2 | 332.0 | 13.2% |
| Total Disbursements | 2,212.0 | 5,436.6 | 5,081.6 | 2,803.7 | 4,002.8 | 4,246.1 | 3,249.3 | 3,452.6 | 4,134.7 | 0.0 | 0.0 | 0.0 | 34,619.4 | 34,164.7 | 454.7 | 1.3% |
| Excess (Deficiency) of Receipts over Disbursements | 2,730.1 | (3,286.6) | (158.7) | (6.0) | (1,168.5) | 1,477.8 | (263.7) | (1,070.3) | 1,117.1 | 0.0 | 0.0 | 0.0 | (628.8) | (3,337.7) | 2,708.9 | 81.2% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 1,530.2 | 409.0 | 1,449.6 | 680.8 | 577.1 | 1,390.5 | 681.5 | 400.7 | 1,350.3 | | | | 8,469.7 | 8,410.5 | 59.2 | 0.7% |
| Transfers to State Capital Projects | 116.2 | (113.0) | (102.0) | (213.6) | 46.5 | (0.3) | (137.2) | 145.5 | (27.3) | | | | (285.2) | (281.9) | 3.3 | 1.2% |
| Transfers to Federal Capital Projects | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- |
| Transfers to General Debt Service | (506.1) | 0.1 | 55.4 | (458.0) | 39.7 | 119.5 | (506.4) | 16.6 | 2.4 | | | | (1,236.8) | (1,182.1) | 54.7 | 4.6% |
| Transfers to All Other State Funds | (19.7) | (629.1) | (327.4) | (534.1) | (353.1) | (250.7) | (407.5) | (688.5) | (266.7) | | | | (3,476.8) | (2,670.1) | 806.7 | 30.2% |
| Total Other Financing Sources (Uses) | 1,120.6 | (333.0) | 1,075.6 | (524.9) | 310.2 | 1,259.0 | (369.6) | (125.7) | 1,058.7 | 0.0 | 0.0 | 0.0 | 3,470.9 | 4,276.4 | (805.5) | -18.8% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 3,850.7 | (3,619.6) | 916.9 | (530.9) | (858.3) | 2,736.8 | (633.3) | (1,196.0) | 2,175.8 | 0.0 | 0.0 | 0.0 | 2,842.1 | 938.7 | 1,903.4 | 202.8% |
| CLOSING CASH BALANCE | <u>\$5,637.4</u> | <u>\$2,017.8</u> | <u>\$2,934.7</u> | <u>\$2,403.8</u> | <u>\$1,545.5</u> | <u>\$4,282.3</u> | <u>\$3,649.0</u> | <u>\$2,453.0</u> | <u>\$4,628.8</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$4,628.8</u> | <u>\$2,314.8</u> | <u>\$2,314.0</u> | <u>100.0%</u> |

(*)Beginning in April 2012, OT meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2012-2013
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

| | | | | | | | | | | | 9 Months Ended Dec. 31 | | | |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|-----------------|------------------------|--------------|-------------------|-------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 |
| PERSONAL INCOME TAX | | | | | | | | | | | | | | |
| Withholdings | \$2,373.6 | \$2,418.5 | \$2,162.2 | \$2,264.2 | \$2,352.2 | \$2,042.0 | \$2,430.0 | \$2,191.7 | \$3,164.8 | | | | \$21,399.2 | \$21,183.8 |
| Estimated payments | 3,848.6 | 81.2 | 1,676.3 | 87.9 | 70.9 | 1,874.8 | 125.9 | 53.9 | 926.6 | | | | 8,746.1 | 8,768.5 |
| Final returns | 1,452.9 | 49.9 | 34.1 | 31.3 | 28.9 | 48.5 | 334.3 | 20.5 | 17.4 | | | | 2,017.8 | 1,977.1 |
| State/City Offsets | (56.0) | (3.8) | (2.0) | (2.7) | (5.0) | (14.5) | (131.8) | (14.6) | (19.1) | | | | (249.5) | (322.5) |
| Other (Assessments/LLC) | 130.8 | 115.2 | 80.3 | 69.9 | 68.1 | 81.0 | 53.7 | 69.5 | 94.3 | | | | 762.8 | 696.4 |
| Gross Receipts | 7,749.9 | 2,661.0 | 3,950.9 | 2,450.6 | 2,515.1 | 4,031.8 | 2,812.1 | 2,321.0 | 4,184.0 | 0.0 | 0.0 | 0.0 | 32,676.4 | 32,303.3 |
| Transfers to School Tax Relief Fund | -- | -- | (399.8) | -- | -- | (187.9) | (6.1) | (36.0) | (159.0) | | | | (788.8) | (3,205.5) |
| Transfers to Revenue Bond Tax Fund | (1,283.6) | (412.0) | (962.1) | (589.2) | (607.8) | (987.2) | (638.0) | (458.4) | (1,009.8) | | | | (6,948.1) | (6,829.5) |
| Refunds issued | (2,615.5) | (1,013.0) | (102.5) | (93.7) | (84.0) | (83.0) | (260.1) | (487.5) | (144.7) | | | | (4,884.0) | (4,985.4) |
| Total Personal Income Tax | 3,850.8 | 1,236.0 | 2,486.5 | 1,767.7 | 1,823.3 | 2,773.7 | 1,907.9 | 1,339.1 | 2,870.5 | 0.0 | 0.0 | 0.0 | 20,055.5 | 17,282.9 |
| CONSUMPTION/USE TAXES | | | | | | | | | | | | | | |
| Sales and Use | 596.9 | 623.1 | 837.6 | 634.9 | 634.4 | 861.2 | 637.5 | 621.7 | 862.4 | | | | 6,309.7 | 6,283.5 |
| Auto Rental | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Cigarette/Tobacco Products | 37.5 | 38.6 | 38.8 | 41.2 | 41.7 | 35.7 | 40.9 | 34.2 | 36.0 | | | | 344.6 | 373.1 |
| Motor Fuel | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Alcoholic Beverage | 17.5 | 19.2 | 22.6 | 26.9 | 17.1 | 22.9 | 17.4 | 19.3 | 20.3 | | | | 183.2 | 178.9 |
| Highway Use | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Metropolitan Commuter Trans. Taxicab Trip | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Total Consumption/Use Taxes and Fees | 651.9 | 680.9 | 899.0 | 703.0 | 693.2 | 919.8 | 695.8 | 675.2 | 918.7 | 0.0 | 0.0 | 0.0 | 6,837.5 | 6,835.5 |
| BUSINESS TAXES | | | | | | | | | | | | | | |
| Corporation Franchise | 171.1 | 33.3 | 415.3 | 36.5 | 54.9 | 392.1 | 107.9 | 29.1 | 377.0 | | | | 1,617.2 | 1,758.4 |
| Corporation and Utilities | 24.8 | 1.4 | 98.7 | 0.5 | 8.4 | 133.9 | (1.3) | 1.3 | 153.5 | | | | 421.2 | 387.3 |
| Insurance | 13.3 | 7.7 | 249.7 | (2.1) | 4.6 | 248.8 | 1.0 | 3.0 | 255.2 | | | | 781.2 | 764.3 |
| Bank | (4.1) | (0.2) | 280.6 | 44.8 | (0.2) | 244.5 | 47.4 | 67.5 | 388.4 | | | | 1,068.7 | 812.8 |
| Petroleum Business | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Total Business Taxes | 205.1 | 42.2 | 1,044.3 | 79.7 | 67.7 | 1,019.3 | 155.0 | 100.9 | 1,174.1 | 0.0 | 0.0 | 0.0 | 3,888.3 | 3,722.8 |
| OTHER TAXES | | | | | | | | | | | | | | |
| Real Property Gains | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Estate and Gift | 112.7 | 82.8 | 75.3 | 78.8 | 113.6 | 65.1 | 119.0 | 69.2 | 81.3 | | | | 797.8 | 854.7 |
| Pari-Mutuel | 1.0 | 1.4 | 2.1 | 1.4 | 2.3 | 2.5 | 1.2 | 1.1 | 1.2 | | | | 14.2 | 13.6 |
| Real Estate Transfer | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Racing and Exhibitions | 0.1 | -- | -- | 0.1 | 0.1 | 0.2 | 0.1 | -- | 0.1 | | | | 0.7 | 0.7 |
| Metropolitan Commuter Trans. Mobility | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Total Other Taxes | 113.8 | 84.2 | 77.4 | 80.3 | 116.0 | 67.8 | 120.3 | 70.3 | 82.6 | 0.0 | 0.0 | 0.0 | 812.7 | 869.0 |
| TOTAL TAX RECEIPTS | \$4,821.6 | \$2,043.3 | \$4,507.2 | \$2,630.7 | \$2,700.2 | \$4,780.6 | \$2,879.0 | \$2,185.5 | \$5,045.9 | \$0.0 | \$0.0 | \$0.0 | \$31,594.0 | \$28,710.2 |

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | | | |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|-----------------|--------------|--------------|-------------------------------|------------------|----------------------------|-------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| OPENING CASH BALANCE | \$1,595.5 | \$1,992.6 | \$2,211.4 | \$1,910.8 | \$2,952.5 | \$3,547.2 | \$2,113.8 | \$1,384.6 | \$2,291.3 | | | | \$1,595.5 | \$2,149.3 | (\$553.8) | -25.8% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Personal Income Tax | -- | -- | 399.8 | -- | -- | 187.9 | 6.1 | 36.0 | 159.0 | | | | 788.8 | 3,205.5 | (2,416.7) | -75.4% |
| Consumption/Use Taxes | 195.5 | 166.9 | 188.6 | 194.0 | 171.6 | 182.7 | 189.6 | 155.5 | 186.3 | | | | 1,630.7 | 1,678.3 | (47.6) | -2.8% |
| Business Taxes | 58.0 | 56.6 | 214.1 | 59.9 | 61.6 | 227.1 | 60.8 | 72.2 | 237.7 | | | | 1,048.0 | 1,007.3 | 40.7 | 4.0% |
| Other Taxes | 139.6 | 116.0 | 67.1 | 90.4 | 81.0 | 65.1 | 95.7 | 83.0 | 88.3 | | | | 826.2 | 960.6 | (134.4) | -14.0% |
| Miscellaneous Receipts | 879.8 | 1,255.6 | 1,210.0 | 1,268.5 | 1,522.6 | 1,590.8 | 1,419.6 | 1,100.8 | 1,109.4 | | | | 11,357.1 | 11,202.3 | 154.8 | 1.4% |
| Federal Receipts | 1,969.3 | 3,033.8 | 3,046.6 | 3,509.1 | 3,718.5 | 3,015.5 | 3,424.8 | 4,037.5 | 3,465.1 | | | | 29,220.2 | 32,239.7 | (3,019.5) | -9.4% |
| Total Receipts | 3,242.2 | 4,628.9 | 5,126.2 | 5,121.9 | 5,555.3 | 5,269.1 | 5,196.6 | 5,485.0 | 5,245.8 | 0.0 | 0.0 | 0.0 | 44,871.0 | 50,293.7 | (5,422.7) | -10.8% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants (**) | 2,413.9 | 4,052.0 | 4,587.0 | 3,735.9 | 4,398.2 | 5,904.5 | 4,671.2 | 3,787.6 | 4,814.1 | | | | 38,364.4 | 43,205.0 | (4,840.6) | -11.2% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service (*) | 425.2 | 487.6 | 458.0 | 552.6 | 697.1 | 537.6 | 581.2 | 680.0 | 574.3 | | | | 4,993.6 | 4,852.3 | 141.3 | 2.9% |
| Non-Personal Service (**)(**) | 34.2 | 258.7 | 357.8 | 322.8 | 406.2 | 406.2 | 480.9 | 370.4 | 372.2 | | | | 3,009.4 | 3,189.4 | (180.0) | -5.6% |
| General State Charges | 25.8 | 30.4 | 311.8 | 40.3 | 19.9 | 91.9 | 334.2 | 95.0 | 356.7 | | | | 1,306.0 | 1,543.9 | (237.9) | -15.4% |
| Capital Projects | 1.0 | -- | 0.5 | 0.3 | 1.0 | 0.3 | 0.1 | (0.3) | 2.3 | | | | 5.2 | 5.2 | -- | -- |
| Total Disbursements | 2,900.1 | 4,828.7 | 5,715.1 | 4,651.9 | 5,522.4 | 6,940.5 | 6,067.6 | 4,932.7 | 6,119.6 | 0.0 | 0.0 | 0.0 | 47,678.6 | 52,795.8 | (5,117.2) | -9.7% |
| Excess (Deficiency) of Receipts over Disbursements | 342.1 | (199.8) | (588.9) | 470.0 | 32.9 | (1,671.4) | (871.0) | 552.3 | (873.8) | 0.0 | 0.0 | 0.0 | (2,807.6) | (2,502.1) | (305.5) | -12.2% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 67.1 | 920.6 | 581.7 | 813.4 | 930.6 | 474.0 | 458.9 | 901.0 | 781.6 | | | | 5,928.9 | 5,269.2 | 659.7 | 12.5% |
| Transfers to Other Funds | (12.1) | (502.0) | (293.4) | (241.7) | (368.8) | (236.0) | (317.1) | (546.6) | (261.8) | | | | (2,779.5) | (3,087.5) | (308.0) | -10.0% |
| Total Other Financing Sources (Uses) | 55.0 | 418.6 | 288.3 | 571.7 | 561.8 | 238.0 | 141.8 | 354.4 | 519.8 | 0.0 | 0.0 | 0.0 | 3,149.4 | 2,181.7 | 967.7 | 44.4% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 397.1 | 218.8 | (300.6) | 1,041.7 | 594.7 | (1,433.4) | (729.2) | 906.7 | (354.0) | 0.0 | 0.0 | 0.0 | 341.8 | (320.4) | 662.2 | 206.7% |
| CLOSING CASH BALANCE | <u>\$1,992.6</u> | <u>\$2,211.4</u> | <u>\$1,910.8</u> | <u>\$2,952.5</u> | <u>\$3,547.2</u> | <u>\$2,113.8</u> | <u>\$1,384.6</u> | <u>\$2,291.3</u> | <u>\$1,937.3</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$1,937.3</u> | <u>\$1,828.9</u> | <u>\$108.4</u> | <u>5.9%</u> |

(*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.
(**) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "G"
STATE**

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | | \$ Increase/ (Decrease) | % Increase/ Decrease | |
|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------|------------|------------|--|-----------------|----------------------------|-------------------------|---------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | Intra-Fund Transfer Eliminations (*) | 2012 | | | 2011 |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Personal Income Tax | \$ -- | \$ -- | \$399.8 | \$ -- | \$ -- | \$ 187.9 | \$ 6.1 | \$ 36.0 | \$ 159.0 | | | | \$ -- | \$788.8 | \$3,205.5 | (\$2,416.7) | -75.4% |
| Consumption/Use Taxes | 195.5 | 166.9 | 188.6 | 194.0 | 171.6 | 182.7 | 189.6 | 155.5 | 186.3 | | | | -- | 1,630.7 | 1,678.3 | (47.6) | -2.8% |
| Business Taxes | 58.0 | 56.6 | 214.1 | 59.9 | 61.6 | 227.1 | 60.8 | 72.2 | 237.7 | | | | -- | 1,048.0 | 1,007.3 | 40.7 | 4.0% |
| Other Taxes | 139.6 | 116.0 | 67.1 | 90.4 | 81.0 | 65.1 | 95.7 | 83.0 | 88.3 | | | | -- | 826.2 | 960.6 | (134.4) | -14.0% |
| Miscellaneous Receipts | 868.0 | 1,218.9 | 1,200.6 | 1,266.5 | 1,494.9 | 1,579.0 | 1,408.2 | 1,085.1 | 1,077.1 | | | | -- | 11,198.3 | 11,071.7 | 126.6 | 1.1% |
| Federal Receipts | 0.6 | 6.6 | (0.1) | 1.8 | (0.5) | 3.3 | 1.5 | 1.7 | 1.4 | | | | -- | 16.3 | 0.6 | 15.7 | 2616.7% |
| Total Receipts | 1,261.7 | 1,565.0 | 2,070.1 | 1,612.6 | 1,808.6 | 2,245.1 | 1,761.9 | 1,433.5 | 1,749.8 | 0.0 | 0.0 | 0.0 | -- | 15,508.3 | 17,924.0 | (2,415.7) | -13.5% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | |
| Local Assistance Grants | 468.4 | 1,190.1 | 1,593.4 | 1,269.6 | 1,112.5 | 3,156.8 | 1,179.7 | 1,237.0 | 1,760.1 | | | | -- | 12,967.6 | 15,223.9 | (2,256.3) | -14.8% |
| Departmental Operations: | | | | | | | | | | | | | | | | | |
| Personal Service (**) | 384.7 | 441.1 | 413.4 | 510.3 | 630.4 | 492.1 | 526.3 | 633.2 | 524.0 | | | | -- | 4,555.5 | 4,388.3 | 167.2 | 3.8% |
| Non-Personal Service (**) | 26.0 | 198.6 | 291.4 | 278.5 | 329.3 | 281.3 | 377.9 | 316.4 | 325.8 | | | | -- | 2,425.2 | 2,534.3 | (109.1) | -4.3% |
| General State Charges | 25.8 | 27.6 | 272.5 | 34.8 | 20.0 | 54.4 | 319.8 | 93.4 | 305.6 | | | | -- | 1,153.9 | 1,345.9 | (192.0) | -14.3% |
| Capital Projects | 1.0 | -- | 0.5 | 0.3 | 1.0 | 0.3 | 0.1 | (0.3) | 2.3 | | | | -- | 5.2 | 5.2 | -- | -- |
| Total Disbursements | 905.9 | 1,857.4 | 2,571.2 | 2,093.5 | 2,093.2 | 3,984.9 | 2,403.8 | 2,279.7 | 2,917.8 | 0.0 | 0.0 | 0.0 | -- | 21,107.4 | 23,497.6 | (2,390.2) | -10.2% |
| Excess (Deficiency) of Receipts over Disbursements | 355.8 | (292.4) | (501.1) | (480.9) | (284.6) | (1,739.8) | (641.9) | (846.2) | (1,168.0) | 0.0 | 0.0 | 0.0 | -- | (5,599.1) | (5,573.6) | (25.5) | -0.5% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 76.5 | 966.1 | 621.3 | 834.1 | 947.9 | 516.1 | 572.3 | 945.2 | 826.7 | | | | (377.3) | 5,928.9 | 5,269.2 | 659.7 | 12.5% |
| Transfers to Other Funds | -- | (37.6) | (37.0) | (5.0) | (38.5) | (14.5) | (67.5) | (19.8) | (29.5) | | | | -- | (249.4) | (508.2) | (258.8) | -50.9% |
| Total Other Financing Sources (Uses) | 76.5 | 928.5 | 584.3 | 829.1 | 909.4 | 501.6 | 504.8 | 925.4 | 797.2 | 0.0 | 0.0 | 0.0 | (377.3) | 5,679.5 | 4,761.0 | 918.5 | 19.3% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | \$432.3 | \$636.1 | \$83.2 | \$348.2 | \$624.8 | (\$1,238.2) | (\$137.1) | \$79.2 | (\$370.8) | \$0.0 | \$0.0 | \$0.0 | (\$377.3) | \$80.4 | (\$812.6) | \$893.0 | 109.9% |

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(**) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | | | | |
|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------|------------|------------|--|------------------|------------------|----------------------------|-------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | Intra-Fund Transfer Eliminations (*) | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Personal Income Tax | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | | | | \$ -- | \$ -- | \$ -- | -- | |
| Consumption/Use Taxes | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | |
| Business Taxes | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | |
| Other Taxes | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | |
| Miscellaneous Receipts | 11.8 | 36.7 | 9.4 | 2.0 | 27.7 | 11.8 | 11.4 | 15.7 | 32.3 | | | | -- | 158.8 | 130.6 | 28.2 | 21.6% |
| Federal Receipts | 1,968.7 | 3,027.2 | 3,046.7 | 3,507.3 | 3,719.0 | 3,012.2 | 3,423.3 | 4,035.8 | 3,463.7 | | | | -- | 29,203.9 | 32,239.1 | (3,035.2) | -9.4% |
| Total Receipts | 1,980.5 | 3,063.9 | 3,056.1 | 3,509.3 | 3,746.7 | 3,024.0 | 3,434.7 | 4,051.5 | 3,496.0 | 0.0 | 0.0 | 0.0 | -- | 29,362.7 | 32,369.7 | (3,007.0) | -9.3% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | |
| Local Assistance Grants (***) | 1,945.5 | 2,861.9 | 2,993.6 | 2,466.3 | 3,285.7 | 2,747.7 | 3,491.5 | 2,550.6 | 3,054.0 | | | | -- | 25,396.8 | 27,981.1 | (2,584.3) | -9.2% |
| Departmental Operations: | | | | | | | | | | | | | | | | | |
| Personal Service (**) | 40.5 | 46.5 | 44.6 | 42.3 | 66.7 | 45.5 | 54.9 | 46.8 | 50.3 | | | | -- | 438.1 | 464.0 | (25.9) | -5.6% |
| Non-Personal Service (**)(***) | 8.2 | 60.1 | 66.4 | 44.3 | 76.9 | 124.9 | 103.0 | 54.0 | 46.4 | | | | -- | 584.2 | 655.1 | (70.9) | -10.8% |
| General State Charges | -- | 2.8 | 39.3 | 5.5 | (0.1) | 37.5 | 14.4 | 1.6 | 51.1 | | | | -- | 152.1 | 198.0 | (45.9) | -23.2% |
| Capital Projects | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| Total Disbursements | 1,994.2 | 2,971.3 | 3,143.9 | 2,558.4 | 3,429.2 | 2,955.6 | 3,663.8 | 2,653.0 | 3,201.8 | 0.0 | 0.0 | 0.0 | -- | 26,571.2 | 29,298.2 | (2,727.0) | -9.3% |
| Excess (Deficiency) of Receipts over Disbursements | (13.7) | 92.6 | (87.8) | 950.9 | 317.5 | 68.4 | (229.1) | 1,398.5 | 294.2 | 0.0 | 0.0 | 0.0 | -- | 2,791.5 | 3,071.5 | (280.0) | -9.1% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| Transfers to Other Funds | (21.5) | (509.9) | (296.0) | (257.4) | (347.6) | (263.6) | (363.0) | (571.0) | (277.4) | | | | 377.3 | (2,530.1) | (2,579.3) | (49.2) | -1.9% |
| Total Other Financing Sources (Uses) | (21.5) | (509.9) | (296.0) | (257.4) | (347.6) | (263.6) | (363.0) | (571.0) | (277.4) | 0.0 | 0.0 | 0.0 | 377.3 | (2,530.1) | (2,579.3) | (49.2) | -1.9% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (\$35.2) | (\$417.3) | (\$383.8) | \$693.5 | (\$30.1) | (\$195.2) | (\$592.1) | \$827.5 | \$16.8 | \$0.0 | \$0.0 | \$0.0 | \$377.3 | \$261.4 | \$492.2 | (\$230.8) | -46.9% |

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

(**) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(***) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2012-2013
(amounts in millions)

EXHIBIT "G"
TAX RECEIPTS

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | |
|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------|--------------|--------------|------------------------|------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 |
| PERSONAL INCOME TAX | \$ -- | \$ -- | \$399.8 | \$ -- | \$ -- | \$187.9 | \$6.1 | \$36.0 | \$159.0 | | | | \$788.8 | \$3,205.5 |
| Total Personal Income Tax | -- | -- | 399.8 | -- | -- | 187.9 | 6.1 | 36.0 | 159.0 | 0.0 | 0.0 | 0.0 | 788.8 | 3,205.5 |
| CONSUMPTION/USE TAXES | | | | | | | | | | | | | | |
| Sales and Use | 70.9 | 57.9 | 73.1 | 58.4 | 58.3 | 75.1 | 60.4 | 59.0 | 75.0 | | | | 588.1 | 582.8 |
| Auto Rental | 0.6 | -- | 8.9 | -- | -- | 12.7 | -- | -- | 10.2 | | | | 32.4 | 31.5 |
| Cigarette/Tobacco Products | 96.5 | 99.3 | 97.0 | 106.2 | 103.5 | 85.7 | 102.0 | 87.3 | 91.5 | | | | 869.0 | 918.0 |
| Motor Fuel | 6.9 | 9.1 | 9.3 | 9.3 | 8.6 | 8.8 | 7.4 | 8.8 | 9.3 | | | | 77.5 | 80.6 |
| Alcoholic Beverage | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Highway Use | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Metropolitan Commuter Trans. Taxicab Trip | 20.6 | 0.6 | 0.3 | 20.1 | 1.2 | 0.4 | 19.8 | 0.4 | 0.3 | | | | 63.7 | 65.4 |
| Total Consumption/Use Taxes and Fees | 195.5 | 166.9 | 188.6 | 194.0 | 171.6 | 182.7 | 189.6 | 155.5 | 186.3 | 0.0 | 0.0 | 0.0 | 1,630.7 | 1,678.3 |
| BUSINESS TAXES | | | | | | | | | | | | | | |
| Corporation Franchise | 7.5 | 12.0 | 56.2 | 8.1 | 16.2 | 56.8 | 12.0 | 12.0 | 52.6 | | | | 233.4 | 300.1 |
| Corporation and Utilities | 12.9 | 0.4 | 28.5 | (2.0) | 0.8 | 36.4 | 1.0 | -- | 34.8 | | | | 112.8 | 100.7 |
| Insurance | -- | 1.4 | 31.4 | 0.9 | 1.3 | 32.2 | (0.7) | 1.0 | 31.1 | | | | 98.6 | 90.7 |
| Bank | (0.2) | 0.6 | 54.5 | 9.6 | 0.7 | 57.7 | 9.6 | 16.5 | 71.3 | | | | 220.3 | 152.9 |
| Petroleum Business | 37.8 | 42.2 | 43.5 | 43.3 | 42.6 | 44.0 | 38.9 | 42.7 | 47.9 | | | | 382.9 | 362.9 |
| Total Business Taxes | 58.0 | 56.6 | 214.1 | 59.9 | 61.6 | 227.1 | 60.8 | 72.2 | 237.7 | 0.0 | 0.0 | 0.0 | 1,048.0 | 1,007.3 |
| OTHER TAXES | | | | | | | | | | | | | | |
| Real Property Gains | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Estate and Gift | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Pari-Mutuel | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Real Estate Transfer | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Racing and Exhibitions | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Metropolitan Commuter Trans. Mobility | 139.6 | 116.0 | 67.1 | 90.4 | 81.0 | 65.1 | 95.7 | 83.0 | 88.3 | | | | 826.2 | 960.6 |
| Total Other Taxes | 139.6 | 116.0 | 67.1 | 90.4 | 81.0 | 65.1 | 95.7 | 83.0 | 88.3 | 0.0 | 0.0 | 0.0 | 826.2 | 960.6 |
| TOTAL TAX RECEIPTS | \$393.1 | \$339.5 | \$869.6 | \$344.3 | \$314.2 | \$662.8 | \$352.2 | \$346.7 | \$671.3 | \$0.0 | \$0.0 | \$0.0 | \$4,293.7 | \$6,851.7 |

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT "H"

| | | | | | | | | | | | 9 Months Ended Dec. 31 | | | | | |
|---|----------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|-----------------|-------------------------------|--------------|------------------|------------------|----------------------------|-------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| OPENING CASH BALANCE | \$427.5 | \$850.7 | \$1,081.3 | \$639.7 | \$1,125.5 | \$1,056.5 | \$388.2 | \$1,404.8 | \$1,942.2 | | | | \$427.5 | \$454.0 | (\$26.5) | -5.8% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Personal Income Tax | 1,283.6 | 412.0 | 962.1 | 589.2 | 607.8 | 987.2 | 638.0 | 458.4 | 1,009.8 | | | | 6,948.1 | 6,829.5 | 118.6 | 1.7% |
| Consumption/Use Taxes | | | | | | | | | | | | | | | | |
| Sales and Use | 195.3 | 207.7 | 279.1 | 211.6 | 211.5 | 287.1 | 212.4 | 207.2 | 287.4 | | | | 2,099.3 | 2,088.7 | 10.6 | 0.5% |
| Other Taxes | 68.6 | 52.6 | 41.2 | 57.0 | 55.6 | 46.4 | 50.0 | 41.5 | 59.6 | | | | 472.5 | 405.2 | 67.3 | 16.6% |
| Miscellaneous Receipts | 18.2 | 61.6 | 80.8 | 20.3 | 96.2 | 118.8 | 73.1 | 52.7 | 54.5 | | | | 576.2 | 649.6 | (73.4) | -11.3% |
| Federal Receipts (*) | -- | -- | -- | 1.7 | 37.7 | -- | -- | -- | -- | | | | 39.4 | 42.5 | (3.1) | -7.3% |
| Total Receipts | <u>1,565.7</u> | <u>733.9</u> | <u>1,363.2</u> | <u>879.8</u> | <u>1,008.8</u> | <u>1,439.5</u> | <u>973.5</u> | <u>759.8</u> | <u>1,411.3</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>10,135.5</u> | <u>10,015.5</u> | <u>120.0</u> | <u>1.2%</u> |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Non-Personal Service | 0.7 | 2.4 | 4.4 | 4.9 | 2.5 | 2.8 | 0.9 | 0.9 | 13.7 | | | | 33.2 | 36.7 | (3.5) | -9.5% |
| Debt Service, including payments on financing agreements | 175.9 | 386.2 | 420.0 | 195.4 | 373.4 | 769.2 | 101.8 | 206.0 | 1,070.3 | | | | 3,698.2 | 3,690.6 | 7.6 | 0.2% |
| Total Disbursements | <u>176.6</u> | <u>388.6</u> | <u>424.4</u> | <u>200.3</u> | <u>375.9</u> | <u>772.0</u> | <u>102.7</u> | <u>206.9</u> | <u>1,084.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>3,731.4</u> | <u>3,727.3</u> | <u>4.1</u> | <u>0.1%</u> |
| Excess (Deficiency) of Receipts over Disbursements | <u>1,389.1</u> | <u>345.3</u> | <u>938.8</u> | <u>679.5</u> | <u>632.9</u> | <u>667.5</u> | <u>870.8</u> | <u>552.9</u> | <u>327.3</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>6,404.1</u> | <u>6,288.2</u> | <u>115.9</u> | <u>1.8%</u> |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 615.2 | 560.2 | 303.2 | 790.1 | 450.6 | 272.1 | 851.6 | 604.1 | 323.9 | | | | 4,771.0 | 4,936.5 | (165.5) | -3.4% |
| Transfers to Other Funds | (1,581.1) | (674.9) | (1,683.6) | (983.8) | (1,152.5) | (1,607.9) | (705.8) | (619.6) | (1,879.2) | | | | (10,888.4) | (10,931.0) | (42.6) | -0.4% |
| Total Other Financing Sources (Uses) | <u>(965.9)</u> | <u>(114.7)</u> | <u>(1,380.4)</u> | <u>(193.7)</u> | <u>(701.9)</u> | <u>(1,335.8)</u> | <u>145.8</u> | <u>(15.5)</u> | <u>(1,555.3)</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>(6,117.4)</u> | <u>(5,994.5)</u> | <u>(122.9)</u> | <u>-2.1%</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | <u>423.2</u> | <u>230.6</u> | <u>(441.6)</u> | <u>485.8</u> | <u>(69.0)</u> | <u>(668.3)</u> | <u>1,016.6</u> | <u>537.4</u> | <u>(1,228.0)</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>286.7</u> | <u>293.7</u> | <u>(7.0)</u> | <u>-2.4%</u> |
| CLOSING CASH BALANCE | <u>\$850.7</u> | <u>\$1,081.3</u> | <u>\$639.7</u> | <u>\$1,125.5</u> | <u>\$1,056.5</u> | <u>\$388.2</u> | <u>\$1,404.8</u> | <u>\$1,942.2</u> | <u>\$714.2</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$714.2</u> | <u>\$747.7</u> | <u>(\$33.5)</u> | <u>-4.5%</u> |

(*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

| | 2012 | | | | | | | | | | 2013 | | | 9 Months Ended Dec. 31 | | | |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|--------------|--------------|--------------|------------------|------------------------|----------------------------|-------------------------|--|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease | |
| OPENING CASH BALANCE (DEFICITS) | (\$449.4) | (\$494.3) | (\$460.4) | (\$479.1) | (\$537.4) | (\$689.5) | (\$774.8) | (\$590.6) | (\$658.6) | | | | (\$449.4) | (\$167.1) | (\$282.3) | -168.9% | |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Consumption/Use Taxes | | | | | | | | | | | | | | | | | |
| Auto Rental | 1.1 | 0.2 | 14.8 | -- | -- | 21.2 | -- | 0.1 | 16.6 | | | | 54.0 | 52.5 | 1.5 | 2.9% | |
| Motor Fuel | 28.2 | 33.3 | 33.9 | 34.0 | 33.1 | 33.4 | 30.6 | 33.1 | 33.2 | | | | 292.8 | 304.6 | (11.8) | -3.9% | |
| Highway Use | 13.4 | 11.9 | 10.3 | 12.7 | 11.4 | 9.2 | 15.8 | 11.9 | 16.1 | | | | 112.7 | 103.3 | 9.4 | 9.1% | |
| Business Taxes | | | | | | | | | | | | | | | | | |
| Petroleum Business | 47.2 | 52.9 | 54.5 | 54.4 | 53.1 | 55.2 | 49.1 | 53.1 | 59.8 | | | | 479.3 | 455.4 | 23.9 | 5.2% | |
| Transmission | (0.2) | 0.1 | 2.6 | (0.4) | 0.2 | 3.0 | 0.1 | -- | 2.5 | | | | 7.9 | 7.8 | 0.1 | 1.3% | |
| Other Taxes | -- | -- | 11.9 | 11.9 | 11.9 | 11.9 | 12.0 | 11.9 | 11.9 | | | | 83.4 | 83.4 | -- | -- | |
| Miscellaneous Receipts | 241.0 | 205.2 | 230.8 | 306.9 | 416.5 | 345.6 | 370.3 | 483.3 | 207.6 | | | | 2,807.2 | 2,672.0 | 135.2 | 5.1% | |
| Federal Receipts | 30.5 | 165.7 | 177.1 | 180.2 | 145.8 | 199.8 | 299.9 | 158.0 | 197.3 | | | | 1,554.3 | 1,506.9 | 47.4 | 3.1% | |
| Total Receipts | 361.2 | 469.3 | 535.9 | 599.7 | 672.0 | 679.3 | 777.8 | 751.4 | 545.0 | 0.0 | 0.0 | 0.0 | 5,391.6 | 5,185.9 | 205.7 | 4.0% | |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | |
| Local Assistance Grants | 17.6 | 83.0 | 141.5 | 160.4 | 102.4 | 196.0 | 130.9 | 170.8 | 187.0 | | | | 1,189.6 | 1,803.3 | (613.7) | -34.0% | |
| Departmental Operations: | | | | | | | | | | | | | | | | | |
| Personal Service | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | |
| Non-Personal Service | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | |
| General State Charges | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | |
| Capital Projects | 178.8 | 370.6 | 430.3 | 622.3 | 548.1 | 409.2 | 535.7 | 424.6 | 461.6 | | | | 3,981.2 | 3,953.4 | 27.8 | 0.7% | |
| Total Disbursements | 196.4 | 453.6 | 571.8 | 782.7 | 650.5 | 605.2 | 666.6 | 595.4 | 648.6 | 0.0 | 0.0 | 0.0 | 5,170.8 | 5,756.7 | (585.9) | -10.2% | |
| Excess (Deficiency) of Receipts over Disbursements | 164.8 | 15.7 | (35.9) | (183.0) | 21.5 | 74.1 | 111.2 | 156.0 | (103.6) | 0.0 | 0.0 | 0.0 | 220.8 | (570.8) | 791.6 | 138.7% | |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | |
| Bond Proceeds (net) | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | 352.1 | (352.1) | -100.0% | |
| Transfers from Other Funds | (116.2) | 113.0 | 118.5 | 221.0 | (13.9) | 0.7 | 170.7 | (130.9) | 67.2 | | | | 430.1 | 481.6 | (51.5) | -10.7% | |
| Transfers to Other Funds | (93.5) | (94.8) | (101.3) | (96.3) | (159.7) | (160.1) | (97.7) | (93.1) | (93.8) | | | | (990.3) | (975.0) | 15.3 | 1.6% | |
| Total Other Financing Sources (Uses) | (209.7) | 18.2 | 17.2 | 124.7 | (173.6) | (159.4) | 73.0 | (224.0) | (26.6) | 0.0 | 0.0 | 0.0 | (560.2) | (141.3) | (388.3) | -274.8% | |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (44.9) | 33.9 | (18.7) | (58.3) | (152.1) | (85.3) | 184.2 | (68.0) | (130.2) | 0.0 | 0.0 | 0.0 | (339.4) | (712.1) | 372.7 | 52.3% | |
| CLOSING CASH BALANCE (DEFICITS) | (\$494.3) | (\$460.4) | (\$479.1) | (\$537.4) | (\$689.5) | (\$774.8) | (\$590.6) | (\$658.6) | (\$788.8) | \$0.0 | \$0.0 | \$0.0 | (\$788.8) | (\$879.2) | \$90.4 | 10.3% | |

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "I"
STATE**

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | | | | |
|---|----------------|--------------|--------------|--------------|----------------|----------------|--------------|----------------|---------------|-----------------|------------|------------|--|----------------|----------------|----------------------------|-------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | Intra-Fund Transfer Eliminations (*) | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Consumption/Use Taxes | | | | | | | | | | | | | | | | | |
| Auto Rental | \$1.1 | \$0.2 | \$14.8 | \$ -- | \$ -- | \$21.2 | \$ -- | \$0.1 | \$16.6 | | | | \$ -- | \$54.0 | \$52.5 | \$1.5 | 2.9% |
| Motor Fuel | 28.2 | 33.3 | 33.9 | 34.0 | 33.1 | 33.4 | 30.6 | 33.1 | 33.2 | | | | -- | 292.8 | 304.6 | (11.8) | -3.9% |
| Highway Use | 13.4 | 11.9 | 10.3 | 12.7 | 11.4 | 9.2 | 15.8 | 11.9 | 16.1 | | | | -- | 112.7 | 103.3 | 9.4 | 9.1% |
| Business Taxes | | | | | | | | | | | | | | | | | |
| Petroleum Business | 47.2 | 52.9 | 54.5 | 54.4 | 53.1 | 55.2 | 49.1 | 53.1 | 59.8 | | | | -- | 479.3 | 455.4 | 23.9 | 5.2% |
| Transmission | (0.2) | 0.1 | 2.6 | (0.4) | 0.2 | 3.0 | 0.1 | -- | 2.5 | | | | -- | 7.9 | 7.8 | 0.1 | 1.3% |
| Other Taxes | -- | -- | 11.9 | 11.9 | 11.9 | 11.9 | 12.0 | 11.9 | 11.9 | | | | -- | 83.4 | 83.4 | -- | -- |
| Miscellaneous Receipts | 240.9 | 205.0 | 230.7 | 306.7 | 416.4 | 345.6 | 369.7 | 483.3 | 207.6 | | | | -- | 2,805.9 | 2,670.4 | 135.5 | 5.1% |
| Federal Receipts | -- | -- | -- | -- | -- | 2.7 | -- | -- | -- | | | | -- | 2.7 | 2.7 | -- | -- |
| Total Receipts | 330.6 | 303.4 | 358.7 | 419.3 | 526.1 | 482.2 | 477.3 | 593.4 | 347.7 | 0.0 | 0.0 | 0.0 | -- | 3,838.7 | 3,680.1 | 158.6 | 4.3% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | |
| Local Assistance Grants | 7.4 | 40.0 | 85.1 | 121.1 | 68.0 | 74.8 | 92.3 | 141.5 | 71.9 | | | | -- | 702.1 | 1,362.0 | (659.9) | -48.5% |
| Departmental Operations: | | | | | | | | | | | | | | | | | |
| Personal Service | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| Non-Personal Service | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| General State Charges | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| Capital Projects | 143.5 | 280.7 | 335.5 | 507.2 | 428.5 | 286.9 | 395.8 | 309.9 | 367.2 | | | | -- | 3,055.2 | 3,104.9 | (49.7) | -1.6% |
| Total Disbursements | 150.9 | 320.7 | 420.6 | 628.3 | 496.5 | 361.7 | 488.1 | 451.4 | 439.1 | 0.0 | 0.0 | 0.0 | -- | 3,757.3 | 4,466.9 | (709.6) | -15.9% |
| Excess (Deficiency) of Receipts over Disbursements | 179.7 | (17.3) | (61.9) | (209.0) | 29.6 | 120.5 | (10.8) | 142.0 | (91.4) | 0.0 | 0.0 | 0.0 | -- | 81.4 | (786.8) | 868.2 | 110.3% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | |
| Bond Proceeds (net) | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | 352.1 | (352.1) | -100.0% |
| Transfers from Other Funds | (116.2) | 113.0 | 118.5 | 221.0 | (13.9) | 0.7 | 170.7 | (130.9) | 67.2 | | | | -- | 430.1 | 481.6 | (51.5) | -10.7% |
| Transfers to Other Funds | (93.5) | (94.8) | (101.3) | (96.3) | (159.7) | (160.1) | (97.7) | (93.1) | (93.8) | | | | -- | (990.3) | (971.8) | 18.5 | 1.9% |
| Total Other Financing Sources (Uses) | (209.7) | 18.2 | 17.2 | 124.7 | (173.6) | (159.4) | 73.0 | (224.0) | (26.6) | 0.0 | 0.0 | 0.0 | -- | (560.2) | (138.1) | (422.1) | -305.6% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (\$30.0) | \$0.9 | (\$44.7) | (\$84.3) | (\$144.0) | (\$38.9) | \$62.2 | (\$82.0) | (\$118.0) | \$0.0 | \$0.0 | \$0.0 | \$ -- | (\$478.8) | (\$924.9) | \$446.1 | 48.2% |

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2012-2013
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

| | | | | | | | | | | | 9 Months Ended Dec. 31 | | | | | | |
|---|---------------|--------|--------|--------|---------|-----------|---------|----------|----------|-----------------|------------------------|-------|--|---------|---------|----------------------------|-------------------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | Intra-Fund Transfer Eliminations (*) | 2012 | 2011 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Miscellaneous Receipts | \$0.1 | \$0.2 | \$0.1 | \$0.2 | \$0.1 | \$ -- | \$0.6 | \$ -- | \$ -- | | | | \$ -- | \$1.3 | \$1.6 | (\$0.3) | -18.8% |
| Federal Receipts | 30.5 | 165.7 | 177.1 | 180.2 | 145.8 | 197.1 | 299.9 | 158.0 | 197.3 | | | | -- | 1,551.6 | 1,504.2 | 47.4 | 3.2% |
| Total Receipts | 30.6 | 165.9 | 177.2 | 180.4 | 145.9 | 197.1 | 300.5 | 158.0 | 197.3 | 0.0 | 0.0 | 0.0 | -- | 1,552.9 | 1,505.8 | 47.1 | 3.1% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | |
| Local Assistance Grants | 10.2 | 43.0 | 56.4 | 39.3 | 34.4 | 121.2 | 38.6 | 29.3 | 115.1 | | | | -- | 487.5 | 441.3 | 46.2 | 10.5% |
| Departmental Operations: | | | | | | | | | | | | | | | | | |
| Personal Service | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| Non-Personal Service | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| General State Charges | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| Capital Projects | 35.3 | 89.9 | 94.8 | 115.1 | 119.6 | 122.3 | 139.9 | 114.7 | 94.4 | | | | -- | 926.0 | 848.5 | 77.5 | 9.1% |
| Total Disbursements | 45.5 | 132.9 | 151.2 | 154.4 | 154.0 | 243.5 | 178.5 | 144.0 | 209.5 | 0.0 | 0.0 | 0.0 | -- | 1,413.5 | 1,289.8 | 123.7 | 9.6% |
| Excess (Deficiency) of Receipts over Disbursements | (14.9) | 33.0 | 26.0 | 26.0 | (8.1) | (46.4) | 122.0 | 14.0 | (12.2) | 0.0 | 0.0 | 0.0 | -- | 139.4 | 216.0 | (76.6) | -35.5% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | -- | -- | -- |
| Transfers to Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- | (3.2) | (3.2) | -100.0% |
| Total Other Financing Sources (Uses) | -- | -- | -- | -- | -- | -- | -- | -- | -- | 0.0 | 0.0 | 0.0 | -- | -- | (3.2) | (3.2) | -100.0% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (\$14.9) | \$33.0 | \$26.0 | \$26.0 | (\$8.1) | (\$46.4) | \$122.0 | \$14.0 | (\$12.2) | \$0.0 | \$0.0 | \$0.0 | \$ -- | \$139.4 | \$212.8 | (\$73.4) | -34.5% |

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT J

| | | | | | | | | | | | | | 9 Months Ended Dec. 31 | |
|---|---------------|---------------|----------------|---------------|----------------|---------------|---------------|---------------|---------------|-----------------|--------------|--------------|-------------------------------|----------------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 |
| BEGINNING FUND EQUITY (DEFICITS) | \$97.1 | \$25.3 | \$89.6 | \$102.4 | \$70.9 | \$188.8 | \$91.2 | \$73.5 | \$89.5 | | | | \$97.1 | \$20.9 |
| RECEIPTS: | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 2.9 | 4.5 | 7.2 | 33.7 | 59.5 | 12.2 | 4.2 | 4.6 | 3.7 | | | | 132.5 | 180.5 |
| Federal Receipts | 280.0 | 329.7 | 338.6 | 343.0 | 272.7 | 244.1 | 308.2 | 273.6 | 224.8 | | | | 2,614.7 | 2,949.2 |
| Unemployment Taxes | 273.2 | 290.1 | 245.0 | 290.6 | 303.0 | 229.1 | 257.3 | 263.6 | 253.9 | | | | 2,405.8 | 2,484.9 |
| Total Receipts | 556.1 | 624.3 | 590.8 | 667.3 | 635.2 | 485.4 | 569.7 | 541.8 | 482.4 | 0.0 | 0.0 | 0.0 | 5,153.0 | 5,614.6 |
| DISBURSEMENTS: | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | |
| Personal Service | 0.3 | 0.3 | 0.3 | 0.4 | 0.6 | 1.7 | 0.4 | 0.3 | 0.3 | | | | 4.6 | 4.4 |
| Non-Personal Service | 0.4 | 3.1 | 4.0 | 3.0 | 4.0 | 107.7 | 5.3 | 4.6 | 4.0 | | | | 136.1 | 137.7 |
| General State Charges | -- | -- | 0.2 | -- | -- | -- | -- | -- | 0.2 | | | | 0.4 | 1.1 |
| Unemployment Benefits | 627.2 | 556.6 | 573.5 | 695.4 | 512.7 | 473.6 | 581.7 | 520.9 | 547.6 | | | | 5,089.2 | 5,400.8 |
| Total Disbursements | 627.9 | 560.0 | 578.0 | 698.8 | 517.3 | 583.0 | 587.4 | 525.8 | 552.1 | 0.0 | 0.0 | 0.0 | 5,230.3 | 5,544.0 |
| Excess (Deficiency) of Receipts over Disbursements | (71.8) | 64.3 | 12.8 | (31.5) | 117.9 | (97.6) | (17.7) | 16.0 | (69.7) | 0.0 | 0.0 | 0.0 | (77.3) | 70.6 |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | |
| Transfers from Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Transfers to Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Total Other Financing Sources (Uses) | -- | -- | -- | -- | -- | -- | -- | -- | -- | 0.0 | 0.0 | 0.0 | -- | -- |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (71.8) | 64.3 | 12.8 | (31.5) | 117.9 | (97.6) | (17.7) | 16.0 | (69.7) | 0.0 | 0.0 | 0.0 | (77.3) | 70.6 |
| CLOSING CASH BALANCE | \$25.3 | \$89.6 | \$102.4 | \$70.9 | \$188.8 | \$91.2 | \$73.5 | \$89.5 | \$19.8 | \$0.0 | \$0.0 | \$0.0 | \$19.8 | \$91.5 |

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT K

| | | | | | | | | | | 9 Months Ended Dec. 31 | | | | |
|---|---------------|--------|----------|----------|----------|-----------|----------|----------|----------|-------------------------------|----------|-------|----------|----------|
| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2013 JANUARY | FEBRUARY | MARCH | 2012 | 2011 |
| BEGINNING FUND EQUITY (DEFICITS) | \$41.9 | \$33.1 | \$4.7 | (\$14.9) | (\$50.1) | (\$59.0) | (\$50.9) | (\$48.3) | (\$65.4) | | | | \$41.9 | \$29.0 |
| RECEIPTS: | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 2.5 | 4.5 | 18.7 | 8.3 | 26.3 | 49.3 | 34.3 | 7.3 | 74.0 | | | | 225.2 | 278.2 |
| Total Receipts | 2.5 | 4.5 | 18.7 | 8.3 | 26.3 | 49.3 | 34.3 | 7.3 | 74.0 | 0.0 | 0.0 | 0.0 | 225.2 | 278.2 |
| DISBURSEMENTS: | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | |
| Personal Service | 8.6 | 8.8 | 7.9 | 8.0 | 11.4 | 7.9 | 7.8 | 8.5 | 7.8 | | | | 76.7 | 80.2 |
| Non-Personal Service | 2.7 | 34.6 | 17.7 | 57.7 | 27.2 | 31.4 | 30.8 | 22.9 | 25.7 | | | | 250.7 | 252.1 |
| General State Charges | -- | 0.2 | 12.2 | -- | 0.1 | 0.1 | 2.2 | 3.7 | -- | | | | 18.5 | 40.6 |
| Total Disbursements | 11.3 | 43.6 | 37.8 | 65.7 | 38.7 | 39.4 | 40.8 | 35.1 | 33.5 | 0.0 | 0.0 | 0.0 | 345.9 | 372.9 |
| Excess (Deficiency) of Receipts over Disbursements | (8.8) | (39.1) | (19.1) | (57.4) | (12.4) | 9.9 | (6.5) | (27.8) | 40.5 | 0.0 | 0.0 | 0.0 | (120.7) | (94.7) |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | |
| Transfers from Other Funds | -- | 10.7 | 1.0 | 22.2 | 3.5 | 2.6 | 9.1 | 10.7 | 3.5 | | | | 63.3 | 82.8 |
| Transfers to Other Funds | -- | -- | (1.5) | -- | -- | (4.4) | -- | -- | -- | | | | (5.9) | (28.2) |
| Total Other Financing Sources (Uses) | -- | 10.7 | (0.5) | 22.2 | 3.5 | (1.8) | 9.1 | 10.7 | 3.5 | 0.0 | 0.0 | 0.0 | 57.4 | 54.6 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (8.8) | (28.4) | (19.6) | (35.2) | (8.9) | 8.1 | 2.6 | (17.1) | 44.0 | 0.0 | 0.0 | 0.0 | (63.3) | (40.1) |
| ENDING FUND EQUITY(DEFICITS) | \$33.1 | \$4.7 | (\$14.9) | (\$50.1) | (\$59.0) | (\$50.9) | (\$48.3) | (\$65.4) | (\$21.4) | \$0.0 | \$0.0 | \$0.0 | (\$21.4) | (\$11.1) |

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT L

| | 2012 | | | | | | | | | 2013 | | | 9 Months Ended Dec. 31 | |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|--------------|--------------|--------------|------------------------|---------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2012 | 2011 |
| OPENING CASH BALANCE | \$10.2 | \$10.5 | \$10.5 | \$10.6 | \$10.6 | \$10.6 | \$10.7 | \$10.7 | \$10.2 | | | | \$10.2 | \$9.3 |
| RECEIPTS: | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 0.3 | 0.1 | 0.1 | -- | -- | 0.1 | -- | (0.5) | 0.1 | | | | 0.2 | 1.0 |
| Total Receipts | 0.3 | 0.1 | 0.1 | -- | -- | 0.1 | -- | (0.5) | 0.1 | 0.0 | 0.0 | 0.0 | 0.2 | 1.0 |
| DISBURSEMENTS: | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | |
| Personal Service | -- | 0.1 | -- | -- | -- | -- | -- | -- | -- | | | | 0.1 | 0.2 |
| Non-Personal Service | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| General State Charges | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | 0.1 |
| Total Disbursements | -- | 0.1 | -- | -- | -- | -- | -- | -- | -- | 0.0 | 0.0 | 0.0 | 0.1 | 0.3 |
| Excess (Deficiency) of Receipts over Disbursements | 0.3 | -- | 0.1 | -- | -- | 0.1 | -- | (0.5) | 0.1 | 0.0 | 0.0 | 0.0 | 0.1 | 0.7 |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | |
| Transfers from Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Transfers to Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Total Other Financing Sources (Uses) | -- | -- | -- | -- | -- | -- | -- | -- | -- | 0.0 | 0.0 | 0.0 | -- | -- |
| Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses | 0.3 | -- | 0.1 | -- | -- | 0.1 | -- | (0.5) | 0.1 | 0.0 | 0.0 | 0.0 | 0.1 | 0.7 |
| CLOSING CASH BALANCE | <u>\$10.5</u> | <u>\$10.5</u> | <u>\$10.6</u> | <u>\$10.6</u> | <u>\$10.6</u> | <u>\$10.7</u> | <u>\$10.7</u> | <u>\$10.2</u> | <u>\$10.3</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$0.0</u> | <u>\$10.3</u> | <u>\$10.0</u> |

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2012-2013
(amounts in millions)**

EXHIBIT M

| | 2012 | | | | | | | | | 2013 | | | 9 Months Ended Dec. 31 | |
|---|---------|---------|---------|----------|----------|-----------|---------|----------|----------|---------|----------|-------|------------------------|-------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2012 | 2011 |
| OPENING CASH BALANCE | \$0.9 | (\$0.3) | (\$1.2) | (\$9.0) | (\$10.3) | (\$4.7) | (\$5.0) | (\$8.2) | (\$18.4) | | | | \$0.9 | \$1.4 |
| RECEIPTS: | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 3.6 | 4.1 | 4.7 | 4.1 | 15.3 | 4.3 | 8.6 | 4.1 | 4.2 | | | | 53.0 | 72.2 |
| Total Receipts | 3.6 | 4.1 | 4.7 | 4.1 | 15.3 | 4.3 | 8.6 | 4.1 | 4.2 | 0.0 | 0.0 | 0.0 | 53.0 | 72.2 |
| DISBURSEMENTS: | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | |
| Personal Service | 4.4 | 4.0 | 4.5 | 4.0 | 6.1 | 4.0 | 4.2 | 4.2 | 4.1 | | | | 39.5 | 39.7 |
| Non-Personal Service | 0.4 | 1.0 | 1.8 | 1.4 | 3.6 | 0.6 | 1.0 | 2.8 | 2.3 | | | | 14.9 | 14.2 |
| General State Charges | -- | -- | 6.2 | -- | -- | -- | 6.6 | 7.3 | -- | | | | 20.1 | 19.7 |
| Total Disbursements | 4.8 | 5.0 | 12.5 | 5.4 | 9.7 | 4.6 | 11.8 | 14.3 | 6.4 | 0.0 | 0.0 | 0.0 | 74.5 | 73.6 |
| Excess (Deficiency) of Receipts over Disbursements | (1.2) | (0.9) | (7.8) | (1.3) | 5.6 | (0.3) | (3.2) | (10.2) | (2.2) | 0.0 | 0.0 | 0.0 | (21.5) | (1.4) |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | |
| Transfers from Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Transfers to Other Funds | -- | -- | -- | -- | -- | -- | -- | -- | -- | | | | -- | -- |
| Total Other Financing Sources (Uses) | -- | -- | -- | -- | -- | -- | -- | -- | -- | 0.0 | 0.0 | 0.0 | -- | -- |
| Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses | (1.2) | (0.9) | (7.8) | (1.3) | 5.6 | (0.3) | (3.2) | (10.2) | (2.2) | 0.0 | 0.0 | 0.0 | (21.5) | (1.4) |
| CLOSING CASH BALANCE | (\$0.3) | (\$1.2) | (\$9.0) | (\$10.3) | (\$4.7) | (\$5.0) | (\$8.2) | (\$18.4) | (\$20.6) | \$0.0 | \$0.0 | \$0.0 | (\$20.6) | \$ -- |

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF DECEMBER 2012
 (amounts in millions)

SCHEDULE 1

| | BALANCE 12/1/12 | RECEIPTS | DISBURSEMENTS | OTHER FINANCING SOURCES (USES) | BALANCE 12/31/12 |
|---|--------------------|------------------|------------------|-----------------------------------|---------------------|
| GENERAL FUND | | | | | |
| 10000-10049-Local Assistance Account | \$ -- | \$0.007 | \$3,523.054 | \$3,523.047 | \$ -- |
| 10050-10099-State Operations Account | 2,357.017 | 4,915.706 | 274.483 | (2,464.396) | 4,533.844 |
| 10100-10149-Tax Stabilization Reserve | -- | -- | -- | -- | -- |
| 10150-10199-Contingency Reserve | -- | -- | -- | -- | -- |
| 10200-10249-Universal Pre-K Reserve | -- | -- | -- | -- | -- |
| 10250-10299-Community Projects | 95.961 | -- | 1.022 | -- | 94.939 |
| 10300-10349-Rainy Day Reserve Fund | -- | -- | -- | -- | -- |
| 10400-10449-Refund Reserve Account | -- | -- | -- | -- | -- |
| 10500-10549-Fringe Benefits Escrow | -- | 336.086 | 336.086 | -- | -- |
| 10550-10599-Tobacco Revenue Guarantee | -- | -- | -- | -- | -- |
| TOTAL GENERAL FUND | 2,452.978 | 5,251.799 | 4,134.645 | 1,058.651 | 4,628.783 |
| SPECIAL REVENUE FUNDS-STATE | | | | | |
| 20000-20099-Mental Health Gifts and Donations | 2.194 | 0.003 | 0.011 | -- | 2.186 |
| 20100-20299-Combined Expendable Trust | 64.594 | 0.585 | 0.613 | -- | 64.566 |
| 20300-20349-New York Interest on Lawyer Account | 5.146 | 0.580 | 0.285 | -- | 5.441 |
| 20350-20399-NYS Archives Partnership Trust | 0.195 | 0.160 | 0.020 | -- | 0.335 |
| 20400-20449-Child Performer's Protection | 0.159 | 0.003 | 0.060 | -- | 0.102 |
| 20450-20499-Tuition Reimbursement | 5.999 | 0.420 | 0.112 | -- | 6.307 |
| 20500-20549-New York State Local Government Records Management Improvement | 4.779 | 0.600 | 1.108 | -- | 4.271 |
| 20550-20599-School Tax Relief | 0.002 | 159.068 | 142.165 | -- | 16.905 |
| 20600-20649-Charter Schools Stimulus | 1.900 | -- | 0.350 | -- | 1.550 |
| 20650-20699-Not-For-Profit Short Term Revolving Loan | -- | -- | -- | -- | -- |
| 20750-20799-Rehabilitative Alcohol & Substance Abuse Treatment | 0.002 | -- | -- | (0.002) | -- |
| 20800-20849-HCRA Resources | 301.840 | 408.408 | 365.726 | (29.481) | 315.041 |
| 20850-20899-Dedicated Mass Transportation Trust | 71.886 | 54.731 | 46.013 | -- | 80.604 |
| 20900-20949-State Lottery | (458.601) | 249.069 | 133.979 | -- | (343.511) |
| 20950-20999-Combined Student Loan | 20.352 | 1.633 | 0.679 | -- | 21.306 |
| 21000-21049-Sewage Treatment Program Mgmt. & Administration | (0.266) | -- | 0.196 | -- | (0.462) |
| 21050-21149-EnCon Special Revenue | (21.090) | 6.170 | 5.419 | 1.780 | (18.559) |
| 21150-21199-Conservation | 105.391 | 4.277 | 2.599 | 1.417 | 108.486 |
| 21200-21249-Environmental Protection and Oil Spill Compensation | 23.048 | 5.554 | 2.895 | (3.198) | 22.509 |
| 21250-21299-Training and Education Program on OSHA | 4.694 | -- | 3.013 | -- | 1.681 |
| 21300-21349-Lawyers' Fund for Client Protection | 0.016 | 1.012 | 0.551 | -- | 8.477 |
| 21350-21399-Equipment Loan for the Disabled | 0.512 | 0.006 | -- | -- | 0.518 |
| 21400-21449-Mass Transportation Operating Assistance | (139.676) | 281.675 | 508.551 | 10.219 | (356.333) |
| 21450-21499-Clean Air | (9.727) | 4.323 | 3.989 | -- | (9.393) |
| 21500-21549-New York State Infrastructure Trust | 0.077 | -- | -- | -- | 0.077 |
| 21550-21559-Legislative Computer Services | 10.086 | 0.125 | 0.046 | -- | 10.165 |
| 21600-21649-Biodiversity Stewardship and Research | -- | -- | -- | -- | -- |
| 21650-21699-Combined Non-Expendable Trust | 3.486 | 0.001 | -- | -- | 3.487 |
| 21700-21749-Winter Sports Education Trust | 1.182 | -- | -- | -- | 1.182 |
| 21750-21799-Musical Instrument Revolving | 0.001 | -- | -- | -- | 0.001 |
| 21850-21899-Arts Capital Revolving | 0.798 | 0.001 | -- | -- | 0.799 |
| 21900-22499-Miscellaneous State Special Revenue | 1,139.326 | 200.090 | 949.029 | 787.522 | 1,177.909 |

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF DECEMBER 2012
 (amounts in millions)

SCHEDULE 1
 (continued)

| | BALANCE 12/1/12 | RECEIPTS | DISBURSEMENTS | OTHER FINANCING SOURCES (USES) | BALANCE 12/31/12 |
|--|--------------------|------------------|------------------|-----------------------------------|---------------------|
| <u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u> | | | | | |
| 22500-22549-Court Facilities Incentive Aid | 10.328 | 0.003 | 0.993 | -- | 9.338 |
| 22550-22599-Employment Training | 0.049 | -- | -- | -- | 0.049 |
| 22650-22699-State University Income | 895.258 | 229.820 | 524.594 | 27.624 | 628.108 |
| 22700-22749-Chemical Dependence Service | 7.765 | 0.012 | 4.500 | -- | 3.277 |
| 22750-22799-Lake George Park Trust | 0.228 | -- | 0.063 | -- | 0.165 |
| 22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention | 19.598 | 11.269 | 1.041 | -- | 29.826 |
| 22850-22899-New York Great Lakes Protection | 0.274 | -- | 0.006 | -- | 0.268 |
| 22900-22949-Federal Revenue Maximization | 0.023 | -- | -- | -- | 0.023 |
| 22950-22999-Housing Development | 9.159 | 0.005 | 0.421 | -- | 8.743 |
| 23000-23049-NYS/DOT Highway Safety Program | (3.577) | (0.001) | 0.286 | -- | (3.864) |
| 23050-23099-Vocational Rehabilitation | 0.149 | 0.004 | -- | -- | 0.153 |
| 23100-23149-Drinking Water Program Management and Administration | (3.536) | 1.390 | 0.382 | -- | (2.528) |
| 23150-23199-NYC County Clerks' Operations Offset | (32.545) | -- | 2.297 | -- | (34.842) |
| 23200-23249-Judiciary Data Processing Offset | 5.569 | 2.894 | 1.528 | -- | 6.935 |
| 23250-23449-IFR / CUTRA | 115.251 | 4.807 | 4.824 | -- | 115.234 |
| 23500-23549-USOC Lake Placid Training | 0.041 | -- | -- | -- | 0.041 |
| 23550-23599-Indigent Legal Services | 104.023 | 7.921 | 0.096 | -- | 111.848 |
| 23600-23649-Unemployment Insurance Interest and Penalty | 9.795 | 0.924 | 0.931 | -- | 9.788 |
| 23650-23699-MTA Financial Assistance Fund | 177.193 | 112.243 | 208.479 | 1.370 | 82.327 |
| TOTAL SPECIAL REVENUE FUNDS-STATE | 2,461.350 | 1,749.785 | 2,917.850 | 797.251 | 2,090.536 |
| <u>SPECIAL REVENUE FUNDS-FEDERAL</u> | | | | | |
| 25000-25099-Federal USDA / Food and Consumer Services | (8.080) | 158.695 | 145.390 | -- | 5.225 |
| 25100-25199-Federal Health and Human Services | (42.613) | 2,676.188 | 2,403.135 | (277.209) | (46.769) |
| 25200-25249-Federal Education | (35.304) | 258.999 | 259.472 | (0.172) | (35.949) |
| 25250-25299-Federal DHHS Block Grant | -- | -- | -- | -- | -- |
| 25300-25899-Federal Miscellaneous Operating Grants | (162.887) | 347.374 | 331.192 | (0.005) | (146.710) |
| 25900-25949-Unemployment Insurance Administration | 79.292 | 40.225 | 48.872 | -- | 70.645 |
| 25950-25999-Unemployment Insurance Occupational Training | 0.225 | 1.632 | 1.451 | -- | 0.406 |
| 26000-26049-Federal Employment and Training Grants | (0.639) | 12.847 | 12.277 | -- | (0.069) |
| TOTAL SPECIAL REVENUE FUNDS-FEDERAL | (170.006) | 3,495.960 | 3,201.789 | (277.386) | (153.221) |
| TOTAL SPECIAL REVENUE FUNDS | 2,291.344 | 5,245.745 | 6,119.639 | 519.865 | 1,937.315 |
| <u>DEBT SERVICE FUNDS</u> | | | | | |
| 40000-40049-Debt Reduction Reserve | -- | -- | -- | -- | -- |
| 40100-40149-Mental Health Services | 652.235 | 17.744 | -- | (245.655) | 424.324 |
| 40150-40199-General Debt Service | 951.251 | 1,009.858 | 1,043.022 | (917.943) | 0.144 |
| 40250-40299-State Housing Debt Service | -- | -- | 0.009 | 0.009 | -- |
| 40300-40349-Department of Health Income | 20.196 | 14.285 | -- | (7.408) | 27.073 |
| 40350-40399-State University Dormitory Income | 279.687 | 22.474 | 39.523 | (43.759) | 218.879 |
| 40400-40449-Clean Water/Clean Air | 34.262 | 59.519 | -- | (53.211) | 40.570 |
| 40450-40499-Local Government Assistance Tax | 4.533 | 287.455 | 1.391 | (287.357) | 3.240 |
| TOTAL DEBT SERVICE FUNDS | 1,942.164 | 1,411.335 | 1,083.945 | (1,555.324) | 714.230 |

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF DECEMBER 2012
 (amounts in millions)

SCHEDULE 1
 (continued)

| | BALANCE 12/1/12 | RECEIPTS | DISBURSEMENTS | OTHER FINANCING SOURCES (USES) | BALANCE 12/31/12 |
|---|--------------------|---------------------|---------------------|-----------------------------------|---------------------|
| <u>CAPITAL PROJECTS FUNDS</u> | | | | | |
| 30000-30049-State Capital Projects | -- | 134.377 | 192.523 | 58.146 | -- |
| 30050-30099-Dedicated Highway and Bridge Trust | (250.139) | 189.464 | 193.290 | (92.615) | (346.580) |
| 30100-30299-SUNY Residence Halls Rehabilitation and Repair | 115.114 | 0.018 | 1.413 | 10.849 | 124.568 |
| 30300-30349-New York State Canal System Development | 2.116 | 0.001 | 0.620 | -- | 1.497 |
| 30350-30399-Parks Infrastructure | (27.123) | 6.411 | 5.369 | -- | (26.081) |
| 30400-30449-Passenger Facility Charge | 0.014 | -- | -- | -- | 0.014 |
| 30450-30499-Environmental Protection | 59.446 | 12.951 | 9.641 | -- | 62.756 |
| 30500-30549-Clean Water/Clean Air Implementation | -- | -- | -- | -- | -- |
| 30550-30599-Hudson River Park | 0.088 | -- | -- | (0.088) | -- |
| 30600-30609-Energy Conservation Thru Improved Transportation Bond | 0.164 | -- | -- | -- | 0.164 |
| 30610-30619-Park & Recreation Land Acquisition Bond | -- | -- | -- | -- | -- |
| 30620-30629-Pure Waters Bond | 0.564 | -- | -- | -- | 0.564 |
| 30750-30799-Outdoor Recreation Development Bond | -- | -- | -- | -- | -- |
| 30630-30639-Transportation Capital Facilities Bond | 3.391 | -- | -- | -- | 3.391 |
| 30640-30649-Environmental Quality Protection Bond | 1.310 | -- | -- | -- | 1.310 |
| 30900-30949-Rail Preservation and Development Bond | -- | -- | -- | -- | -- |
| 30700-30749-State Housing Bond | -- | -- | -- | -- | -- |
| 30650-30659-Rebuild and renew New York Transportation Bond | 51.851 | -- | -- | (1.525) | 50.326 |
| 30660-30669-Transportation Infrastructure Renewal Bond | 4.257 | -- | -- | -- | 4.257 |
| 30670-30679-1986 Environmental Quality Bond Act | 5.583 | -- | -- | (0.087) | 5.496 |
| 30680-30689-Accelerated Capacity and Transportation Improvement Bond | 2.837 | -- | -- | -- | 2.837 |
| 30690-30699-Clean Water/Clean Air Bond | 5.668 | -- | -- | (0.238) | 5.430 |
| 31350-31449-Federal Capital Projects | 14.688 | 197.347 | 209.513 | -- | 2.522 |
| 31450-31499-Forest Preserve Expansion | 0.895 | -- | -- | -- | 0.895 |
| 31500-31549-Hazardous Waste Remedial | (156.099) | 2.137 | 7.107 | (1.160) | (162.229) |
| 31650-31699-Suburban Transportation | 0.505 | -- | -- | -- | 0.505 |
| 31700-31749-Division for Youth Facilities Improvement | (5.474) | -- | 0.222 | -- | (5.696) |
| 31800-31849-Housing Assistance | (20.395) | -- | -- | -- | (20.395) |
| 31850-31899-Housing Program | (231.511) | -- | 0.675 | -- | (232.186) |
| 31900-31949-Natural Resource Damage | 16.961 | 0.031 | 0.112 | -- | 16.880 |
| 31950-32199-DOT Engineering Services | (12.684) | -- | 0.077 | -- | (12.761) |
| 32400-32999-State University Capital Projects | 148.654 | 2.004 | 6.121 | -- | 144.537 |
| 32200-32249-Miscellaneous Capital Projects | 21.174 | 0.171 | 0.095 | -- | 21.250 |
| 32250-32299-CUNY Capital Projects | (0.023) | -- | -- | -- | (0.023) |
| 32300-32349-Mental Hygiene Facilities Capital Improvement | (340.706) | 0.117 | 10.130 | -- | (350.719) |
| 32350-32399-Correction Facilities Capital Improvement | (69.689) | (0.001) | 11.670 | -- | (81.360) |
| TOTAL CAPITAL PROJECTS FUNDS | (658.563) | 545.028 | 648.578 | (26.718) | (788.831) |
| TOTAL GOVERNMENTAL FUNDS | \$6,027.923 | \$12,453.907 | \$11,986.807 | (\$3.526) | \$6,491.497 |

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF DECEMBER 2012
 (amounts in millions)

SCHEDULE 2

| <u>FUND TYPE</u> | <u>FUND EQUITY 12/1/12</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>OTHER FINANCING SOURCES (USES)</u> | <u>FUND EQUITY 12/31/12</u> |
|---|--------------------------------|------------------|----------------------|---|---------------------------------|
| <u>ENTERPRISE FUNDS</u> | | | | | |
| 50000-50049-Youth Commissary | \$0.216 | \$0.001 | \$0.007 | \$ -- | \$0.210 |
| 50050-50099-State Exposition Special | 9.995 | 0.300 | 1.382 | -- | 8.913 |
| 50100-50299-Correctional Services Commissary | 3.946 | 2.533 | 2.680 | -- | 3.799 |
| 50300-50399-Agency Enterprise | 2.396 | 0.176 | 0.144 | -- | 2.428 |
| 50400-50449-OMH Sheltered Workshop | 1.811 | 0.097 | 0.087 | -- | 1.821 |
| 50450-50499-OPWDD Sheltered Workshop | 1.079 | 0.073 | 0.030 | -- | 1.122 |
| 50500-50599-Mental Hygiene Community Stores | 3.531 | 0.128 | 0.162 | -- | 3.497 |
| 50650-50699-Unemployment Insurance Benefit | 66.574 | 479.029 | 547.591 | -- | (1.988) |
| TOTAL ENTERPRISE FUNDS | 89.548 | 482.337 | 552.083 | -- | 19.802 |
| <u>INTERNAL SERVICE FUNDS</u> | | | | | |
| 55000-55049-O.G.S. Centralized Services | (26.963) | 43.249 | 13.809 | 0.197 | 2.674 |
| 55050-55099-Agency Internal Service | (13.071) | 25.333 | 14.566 | 3.551 | 1.247 |
| 55100-55149-Mental Hygiene Revolving | 0.054 | 0.179 | 0.065 | -- | 0.168 |
| 55150-55199-Youth Vocational Education | 0.059 | 0.001 | -- | -- | 0.060 |
| 55200-55249-Joint Labor/Management Administration | 1.802 | 0.811 | 0.058 | (0.017) | 2.538 |
| 55250-55299-Audit and Control Revolving | 0.665 | -- | 0.381 | (0.084) | 0.200 |
| 55300-55349-Health Insurance Revolving | (19.466) | 1.101 | 0.677 | (0.068) | (19.110) |
| 55350-55399-Correctional Industries Revolving | (8.524) | 3.355 | 3.940 | (0.054) | (9.163) |
| TOTAL INTERNAL SERVICE FUNDS | (65.444) | 74.029 | 33.496 | 3.525 | (21.386) |
| TOTAL PROPRIETARY FUNDS | \$24.104 | \$556.366 | \$585.579 | \$3.525 | (\$1.584) |

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF DECEMBER 2012
 (amounts in millions)

SCHEDULE 3

| <u>FUND TYPE</u> | <u>FUND BALANCE 12/1/12</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>OTHER FINANCING SOURCES (USES)</u> | <u>FUND BALANCE 12/31/12</u> |
|--|-------------------------------------|--------------------|----------------------|---|--------------------------------------|
| <u>PENSION TRUST FUNDS</u> | | | | | |
| 65000-65049-Common Retirement-Administration | (\$18.357) | \$4.157 | \$6.380 | \$ -- | (\$20.580) |
| TOTAL PENSION TRUST FUNDS | (18.357) | 4.157 | 6.380 | -- | (20.580) |
| <u>PRIVATE PURPOSE TRUST FUNDS</u> | | | | | |
| 66000-66049-Agriculture Producers' Security | 2.041 | (0.003) | 0.007 | -- | 2.031 |
| 66050-66099-Milk Producers' Security | 8.189 | 0.058 | 0.009 | -- | 8.238 |
| TOTAL PRIVATE PURPOSE TRUST FUNDS | 10.230 | 0.055 | 0.016 | -- | 10.269 |
| <u>AGENCY FUNDS</u> | | | | | |
| 60050-60149-School Capital Facilities Financing Reserve | 14.238 | 0.821 | -- | -- | 15.059 |
| 60150-60199-Child Performer's Holding | 0.250 | 0.002 | -- | -- | 0.252 |
| 60200-60249-Employees Health Insurance | 197.022 | 536.523 | 541.797 | -- | 191.748 |
| 60250-60299-Social Security Contribution | 1.011 | 57.823 | 57.662 | -- | 1.172 |
| 60300-60399-Employee Payroll Withholding Escrow | 13.552 | 324.795 | 358.121 | -- | (19.774) |
| 60400-60449-Employees Dental Insurance | 20.689 | (4.516) | 4.625 | -- | 11.548 |
| 60450-60499-Management Confidential Group Insurance | 0.507 | 0.963 | 0.687 | -- | 0.783 |
| 60500-60549-Lottery Prize | 397.738 | 72.574 | 164.470 | -- | 305.842 |
| 60550-60599-Health Insurance Reserve Receipts | 0.100 | 0.004 | -- | -- | 0.104 |
| 60600-60799-Miscellaneous New York State Agency | 513.729 | 237.354 | 75.461 | -- | 675.622 |
| 60800-60849-Elderly Pharmaceutical Insurance Coverage Escrow | 18.098 | 6.004 | 5.850 | -- | 18.252 |
| 60850-60899-CUNY Senior College Operating | 54.876 | 165.000 | 134.118 | -- | 85.758 |
| 60900-60949-Medicaid Management Information System Escrow | 221.515 | 4,112.077 | 3,969.836 | -- | 363.756 |
| 60950-60999-Special Education | -- | -- | -- | -- | -- |
| 61000-61099-State University Collection | 126.451 | 19.757 | -- | -- | 146.208 |
| 61100-61217-SUNY Federal Direct Lending Program | (3.771) | 1.921 | -- | -- | (1.850) |
| TOTAL AGENCY FUNDS | 1,576.005 | 5,531.102 | 5,312.627 | -- | 1,794.480 |
| TOTAL FIDUCIARY FUNDS | \$1,567.878 | \$5,535.314 | \$5,319.023 | \$ -- | \$1,784.169 |

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF DECEMBER 2012
(amounts in millions)

SCHEDULE 4

| <u>FUND TYPE</u> | <u>BEGINNING BALANCE 12/1/12</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>ENDING BALANCE 12/31/12</u> |
|---|--|--------------------|----------------------|--|
| <u>ACCOUNTS</u> | | | | |
| 70000-70049-Tobacco Settlement | \$2.702 | \$ -- | \$ -- | \$2.702 |
| 70050-70149-Sole Custody Investment (*) | 1,608.566 | 8,602.130 | 8,606.013 | 1,604.683 |
| 70200-Comptroller's Refund | -- | 160.230 | 160.230 | -- |
| TOTAL ACCOUNTS | <u>\$1,611.268</u> | <u>\$8,762.360</u> | <u>\$8,766.243</u> | <u>\$1,607.385</u> |

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of December 31, 2012, \$10,981,076.29 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (20800).

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2013**

SCHEDULE 5

| PURPOSE | DEBT OUTSTANDING APRIL 1, 2012 | DEBT ISSUED | | DEBT MATURED | | DEBT OUTSTANDING DEC. 31, 2012 | INTEREST DISBURSED | |
|---|--------------------------------------|----------------------|---------------------------------|------------------------|---------------------------------|--------------------------------------|-----------------------|---------------------------------|
| | | MONTH OF DECEMBER | 9 MONTHS ENDED DEC. 31, 2012 | MONTH OF DECEMBER | 9 MONTHS ENDED DEC. 31, 2012 | | MONTH OF DECEMBER | 9 MONTHS ENDED DEC. 31, 2012 |
| GENERAL OBLIGATION BONDED DEBT: | | | | | | | | |
| Accelerated Capacity and Transportation Improvements | \$370,660,421.97 | \$ -- | \$ -- | \$23,206.37 | \$74,367,090.58 | \$296,293,331.39 | \$967,695.79 | \$13,086,786.04 |
| Clean Water/Clean Air: | | | | | | | | |
| Air Quality | 45,022,724.04 | -- | -- | 29,396.00 | 6,871,431.57 | 38,151,292.47 | 3,657.96 | 1,232,129.18 |
| Safe Drinking Water | 12,564,628.83 | -- | -- | -- | 3,310,000.00 | 9,254,628.83 | 63,640.00 | 312,960.19 |
| Water | 466,036,864.14 | -- | -- | 152,785.83 | 4,943,087.38 | 461,093,776.76 | 234,080.46 | 9,866,537.48 |
| Solid Waste | 69,553,112.20 | -- | -- | 738.47 | 4,890,926.69 | 64,662,185.51 | 90,954.15 | 1,308,518.48 |
| Environmental Restoration | 101,560,841.31 | -- | -- | 891,240.45 | 891,240.45 | 100,669,600.86 | 294,677.99 | 2,307,796.04 |
| Energy Conservation Through Improved Transportation: | | | | | | | | |
| Rapid Transit and Rail Freight | 12,107,804.13 | -- | -- | -- | 3,387,414.25 | 8,720,389.88 | 2,634.42 | 306,647.94 |
| Environmental Quality Protection (1972): | | | | | | | | |
| Air | 11,103,951.62 | -- | -- | -- | 3,104,333.54 | 7,999,618.08 | 33,101.41 | 436,551.14 |
| Land and Wetlands | 24,794,967.59 | -- | -- | 23,392.63 | 5,846,068.04 | 18,948,899.55 | 41,921.54 | 858,500.95 |
| Water | 84,023,228.78 | -- | -- | -- | 16,590,983.19 | 67,432,245.59 | 10,341.27 | 2,456,888.52 |
| Environmental Quality (1986): | | | | | | | | |
| Land and Forests | 33,455,683.51 | -- | -- | 2,750.37 | 5,705,990.55 | 27,749,692.96 | 63,327.14 | 901,673.20 |
| Solid Waste Management | 369,042,581.64 | -- | -- | 272,325.06 | 18,910,528.98 | 350,132,052.66 | 1,054,400.44 | 8,219,035.85 |
| Housing: | | | | | | | | |
| Low Cost | 34,360,000.00 | -- | -- | -- | 5,935,000.00 | 28,425,000.00 | 9,950.00 | 916,650.00 |
| Middle Income | 31,160,000.00 | -- | -- | -- | 4,415,000.00 | 26,745,000.00 | -- | 349,799.75 |
| Park and Recreation Land Acquisition | 17,462.59 | -- | -- | -- | -- | 17,462.59 | -- | 342.28 |
| Pure Waters | 67,237,919.66 | -- | -- | 32,303.73 | 9,955,278.04 | 57,282,641.62 | 69,620.47 | 2,035,200.81 |
| Rail Preservation Development | 4,720,436.05 | -- | -- | -- | 2,043,406.10 | 2,677,029.95 | -- | 118,364.77 |
| Rebuild and Renew New York Transportation: | | | | | | | | |
| Highway Facilities | 764,178,426.65 | -- | -- | 4,333,371.05 | 4,333,371.05 | 759,845,055.60 | 2,189,973.10 | 18,955,635.51 |
| Canals and Waterways | 15,330,084.29 | -- | -- | 356,758.39 | 356,758.39 | 14,973,325.90 | 101,191.80 | 415,125.56 |
| Aviation | 43,456,928.50 | -- | -- | -- | -- | 43,456,928.50 | -- | 937,553.22 |
| Rail and Port | 75,742,389.22 | -- | -- | -- | -- | 75,742,389.22 | -- | 1,747,320.69 |
| Mass Transit - Dept. of Transportation | 12,764,273.58 | -- | -- | -- | -- | 12,764,273.58 | -- | 280,532.16 |
| Mass Transit - Metropolitan Transportation Authority | 805,995,790.27 | -- | -- | 5,140,044.01 | 5,140,044.01 | 800,855,746.26 | 3,616,123.34 | 21,490,756.77 |
| Rebuild New York-Transportation Infrastructure Renewal: | | | | | | | | |
| Highways, Parkways, and Bridges | 2,752,039.77 | -- | -- | 11,687.64 | 11,687.64 | 2,740,352.13 | 3,315.11 | 60,039.69 |
| Ports, Canals, and Waterways | 38,396.10 | -- | -- | -- | 38,396.10 | -- | -- | 767.92 |
| Rapid Transit, Rail, and Aviation | 16,588,704.01 | -- | -- | -- | 3,333,691.05 | 13,255,012.96 | 22,292.70 | 571,545.57 |
| Transportation Capital Facilities: | | | | | | | | |
| Aviation | 16,250,647.54 | -- | -- | -- | 2,594,717.63 | 13,655,929.91 | 3,596.76 | 536,644.04 |
| Mass Transportation | 3,844,691.47 | -- | -- | -- | 2,833,554.77 | 1,011,136.70 | -- | 55,347.27 |
| Total General Obligation Bonded Debt | \$3,494,364,999.46 | \$ -- | \$ -- | \$11,270,000.00 | \$189,810,000.00 | \$3,304,554,999.46 | \$8,876,495.85 | \$89,765,651.02 |

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE NINE (9) MONTHS ENDED DECEMBER 31, 2012

SCHEDULE 5a

| | DEBT | GENERAL | DEPARTMENT | LOCAL | MENTAL | REVENUE | STATE | COMBINED TOTALS | | \$ INCREASE / (DECREASE) | |
|--|--------------|------------------------|---------------------|---------------------|----------------------|------------------------|---------------------|------------------------|------------------------|-----------------------------|-------------|
| | REDUCTION | DEBT | OF HEALTH | GOVERNMENT | HEALTH | BOND | UNIVERSITY | 9 MONTHS ENDED DEC. 31 | | | |
| | FUND | SERVICE | INCOME | ASSISTANCE | SERVICES | TAX | DORMITORY | 2012 | 2011 | | |
| | (40000) | (40151) | (40300) | (40450) | (40100) | (40152) | (40350) | | | | |
| Special Contractual Financing Obligations: | | | | | | | | | | | |
| Managed by Office of General Services: | | | | | | | | | | | |
| Department of Trans Region 1 Schenectady Hampton Plaza | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$631,732 | (\$631,732) |
| | -- | -- | -- | -- | -- | -- | -- | -- | 19,000 | (19,000) | |
| Subtotal | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$650,732 | (\$650,732) | |
| Payments to Public Authorities: | | | | | | | | | | | |
| City University Construction | -- | 226,694,120 | -- | -- | -- | -- | -- | 226,694,120 | 235,838,029 | (9,143,909) | |
| Dormitory Authority: | | | | | | | | | | | |
| Albany County Airport | -- | 325,332 | -- | -- | -- | -- | -- | 325,332 | 373,194 | (47,862) | |
| Child Care Facilities | -- | -- | -- | -- | -- | -- | -- | -- | 37,669 | (37,669) | |
| Consolidated Service Contract Refunding | -- | 98,474,228 | -- | -- | -- | -- | -- | 98,474,228 | 75,913,441 | 22,560,787 | |
| David Axelrod Institute | -- | 5,578,746 | -- | -- | -- | -- | -- | 5,578,746 | 5,603,308 | (24,562) | |
| Department of Health Facilities | -- | -- | 28,183,601 | -- | -- | -- | -- | 28,183,601 | 28,805,442 | (621,841) | |
| Economic Development Housing | -- | -- | -- | -- | -- | 84,660,183 | -- | 84,660,183 | 90,556,723 | (5,896,540) | |
| Education | -- | -- | -- | -- | -- | 168,583,569 | -- | 168,583,569 | 168,796,822 | (213,253) | |
| General Purpose | -- | -- | -- | -- | -- | 285,455,728 | -- | 285,455,728 | 188,567,278 | 96,888,450 | |
| Health Care | -- | -- | -- | -- | -- | 2,911,369 | -- | 2,911,369 | 2,973,244 | (61,875) | |
| Judicial Training Institute | -- | -- | -- | -- | -- | -- | -- | -- | 434,793 | (434,793) | |
| Mental Health Facilities | -- | -- | -- | -- | 110,626,048 | -- | -- | 110,626,048 | 117,514,744 | (6,888,696) | |
| OGS Parking | -- | 478,125 | -- | -- | -- | -- | -- | 478,125 | 478,125 | -- | |
| State Department of Education Facilities | -- | 982,867 | -- | -- | -- | -- | -- | 982,867 | 1,045,377 | (62,510) | |
| State Facilities and Equipment | -- | -- | -- | -- | -- | 269,379 | -- | 269,379 | 715,838 | (446,459) | |
| SUNY Community Colleges | -- | 25,349,483 | -- | -- | -- | -- | -- | 25,349,483 | 25,454,834 | (105,351) | |
| SUNY Dormitory Facilities | -- | -- | -- | -- | -- | -- | 96,525,216 | 96,525,216 | 83,510,950 | 13,014,266 | |
| SUNY Educational Facilities | -- | 150,671,089 | -- | -- | -- | -- | -- | 150,671,089 | 141,466,537 | 9,204,552 | |
| Environmental Facilities Corporation | -- | 3,300 | -- | -- | -- | 96,503,318 | -- | 96,506,618 | 93,532,698 | 2,973,920 | |
| Housing Finance Agency | -- | 27,318,999 | -- | -- | -- | 41,243,399 | -- | 68,562,398 | 51,217,663 | 17,344,735 | |
| Local Government Assistance Corporation | -- | -- | -- | 64,224,898 | -- | -- | -- | 64,224,898 | 67,657,264 | (3,432,366) | |
| Metropolitan Transportation Authority: | | | | | | | | | | | |
| Transit and Commuter Rail Projects | -- | 74,983,955 | -- | -- | -- | -- | -- | 74,983,955 | 164,986,546 | (90,002,591) | |
| Triborough Bridge & Tunnel Authority: | | | | | | | | | | | |
| Javits Convention Center Project | -- | -- | -- | -- | -- | -- | -- | -- | 41,845,000 | (41,845,000) | |
| Thruway Authority: | | | | | | | | | | | |
| Dedicated Highway & Bridge | -- | 800,204,556 | -- | -- | -- | -- | -- | 800,204,556 | 789,949,628 | 10,254,928 | |
| Local Highway & Bridge | -- | 101,186,800 | -- | -- | -- | -- | -- | 101,186,800 | 99,639,000 | 1,547,800 | |
| Transportation | -- | -- | -- | -- | -- | 65,659,250 | -- | 65,659,250 | 60,353,000 | 5,306,250 | |
| Urban Development Corporation: | | | | | | | | | | | |
| Center for Industrial Innovation at RPI | -- | 110,687 | -- | -- | -- | -- | -- | 110,687 | 4,246,200 | (4,135,513) | |
| Clarkson University | -- | 1,021,400 | -- | -- | -- | -- | -- | 1,021,400 | 996,050 | 25,350 | |
| Columbia Univer. Telecommunications Center | -- | 3,719,000 | -- | -- | -- | -- | -- | 3,719,000 | 3,719,000 | -- | |
| Consolidated Service Contract Refunding | -- | 343,167,754 | -- | -- | -- | -- | -- | 343,167,754 | 327,776,389 | 15,391,365 | |
| Cornell Univer. Supercomputer Center | -- | 493,000 | -- | -- | -- | -- | -- | 493,000 | 493,000 | -- | |
| Correctional Facilities | -- | 38,743,708 | -- | -- | -- | -- | -- | 38,743,708 | 61,546,530 | (22,802,822) | |
| Economic Development Housing | -- | -- | -- | -- | -- | 177,836,184 | -- | 177,836,184 | 186,724,580 | (8,888,396) | |
| General Purpose | -- | -- | -- | -- | -- | 250,328,660 | -- | 250,328,660 | 247,153,800 | 3,174,860 | |
| State Facilities and Equipment | -- | -- | -- | -- | -- | 41,819,009 | -- | 41,819,009 | 26,307,575 | 15,511,434 | |
| Syracuse University Science and Technology Center | -- | 2,647,050 | -- | -- | -- | -- | -- | 2,647,050 | 2,652,925 | (5,875) | |
| University Facilities Grant 95 Refunding | -- | 1,599,944 | -- | -- | -- | -- | -- | 1,599,944 | 1,587,519 | 12,425 | |
| Subtotal | \$ -- | \$1,903,754,143 | \$28,183,601 | \$64,224,898 | \$110,626,048 | \$1,215,270,048 | \$96,525,216 | \$3,418,583,954 | \$3,400,470,715 | \$18,113,239 | |
| Total Disbursements for Special Contractual Financing Obligations | \$ -- | \$1,903,754,143 | \$28,183,601 | \$64,224,898 | \$110,626,048 | \$1,215,270,048 | \$96,525,216 | \$3,418,583,954 | \$3,401,121,447 | \$17,462,507 | |

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF DECEMBER 2012
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

| | <u>DECEMBER 2012</u> | <u>FISCAL YEAR TO DATE</u> | <u>PRIOR FYTD DECEMBER 2011</u> |
|---|----------------------|--------------------------------|-------------------------------------|
| <u>SHORT TERM INVESTMENT POOL*</u> | | | |
| AVERAGE DAILY INVESTMENT BALANCE** | \$4,577.4 | \$6,131.6 | \$7,398.8 |
| AVERAGE YIELD** | 0.182% | 0.170% | 0.144% |
| TOTAL INVESTMENT EARNINGS | \$0.712 | \$7.922 | \$8.579 |

Month-End Portfolio Balances

| <u>DESCRIPTION</u> | <u>DECEMBER 2012 PAR AMOUNT</u> | <u>DECEMBER 2011 PAR AMOUNT</u> |
|---------------------------------|-------------------------------------|-------------------------------------|
| GOVT. AGENCY BILLS/NOTES | \$ -- | \$ -- |
| REPURCHASE AGREEMENTS | 1,167.2 | 622.5 |
| COMMERCIAL PAPER | 250.0 | 550.0 |
| CERTIFICATES OF DEPOSIT/SAVINGS | 3,174.0 | 4,120.4 |
| 0% COMPENSATING BALANCE CD's | 3,375.0 | 1,825.0 |
| | <u>\$7,966.2</u> | <u>\$7,117.9</u> |

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2012-2013

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STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2012-2013

APPENDIX A

| | 2012 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| OPENING CASH BALANCE | \$2,806,101 | \$152,197,265 | \$234,068,330 | \$389,857,308 | \$322,200,238 | \$302,579,351 |
| RECEIPTS: | | | | | | |
| Cigarette Tax | 96,498,178 | 99,397,523 | 96,905,781 | 106,198,410 | 103,474,712 | 85,776,737 |
| State Share of NYC Cigarette Tax | 4,527,000 | 5,294,000 | 4,384,000 | 4,962,000 | 4,829,000 | 4,557,000 |
| STIP Interest | 46,238 | 48,125 | (48,125) | -- | 103,152 | 69,150 |
| Public Asset Transfers | -- | -- | -- | -- | -- | -- |
| Assessments | 320,197,880 | 395,291,372 | 380,539,040 | 360,011,137 | 352,683,184 | 321,816,766 |
| Fees | -- | -- | -- | -- | -- | -- |
| Rebates | -- | -- | -- | -- | -- | 9,246,218 |
| Restitution and Settlements | -- | -- | -- | -- | -- | 6,517,215 |
| Miscellaneous | -- | -- | 3 | 300 | -- | 250,229 |
| Total Receipts | 421,269,296 | 500,031,020 | 481,780,699 | 471,171,847 | 461,090,048 | 428,233,315 |
| DISBURSEMENTS: | | | | | | |
| Grants | 268,619,137 | 415,634,171 | 302,829,356 | 533,039,734 | 453,600,447 | 385,965,087 |
| Interest - Late Payments | 298 | 16,320 | (10,414) | 8,938 | 16,778 | 10,981 |
| Personal Service | 2,315,981 | 738,913 | 447,862 | 1,026,487 | 1,148,906 | 145,503 |
| Non-Personal Service | 45,534 | 974,586 | 6,816,038 | 3,029,571 | 3,627,248 | 4,023,288 |
| Employee Benefits/Indirect Costs | -- | -- | -- | 794,224 | -- | -- |
| Total Disbursements | 270,980,950 | 417,363,990 | 310,082,842 | 537,898,954 | 458,393,379 | 390,144,859 |
| OPERATING TRANSFERS: | | | | | | |
| Transfers to Capital Projects Fund | -- | -- | -- | -- | 20,535,726 | -- |
| Transfers to General Fund | -- | -- | -- | -- | -- | -- |
| Transfers to Revenue Bond Tax Fund | -- | -- | -- | -- | 1,306,200 | 5,861,269 |
| Transfers to Miscellaneous Special Revenue Empire State Stem Cell Trust Account | -- | -- | 15,000,000 | -- | -- | -- |
| Transfers to SUNY Income Fund | 897,182 | 795,965 | 908,879 | 929,963 | 475,630 | 908,861 |
| Total Operating Transfers | 897,182 | 795,965 | 15,908,879 | 929,963 | 22,317,556 | 6,770,130 |
| Total Disbursements and Transfers | 271,878,132 | 418,159,955 | 325,991,721 | 538,828,917 | 480,710,935 | 396,914,989 |
| CLOSING CASH BALANCE | \$152,197,265 | \$234,068,330 | \$389,857,308 | \$322,200,238 | \$302,579,351 | \$333,897,677 |

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2012-2013

APPENDIX A
 (continued)

| | OCTOBER | NOVEMBER | DECEMBER | 9 Months Ended December 31, 2012 |
|--|----------------------|----------------------|----------------------|-------------------------------------|
| OPENING CASH BALANCE | \$333,897,677 | \$374,244,594 | \$301,839,842 | \$2,806,101 |
| RECEIPTS: | | | | |
| Cigarette Tax | 101,948,898 | 87,286,110 | 91,539,432 | 869,025,781 |
| State share of NYC Cigarette Tax | 4,839,000 | 4,168,000 | 4,289,000 | 41,849,000 |
| STIP Interest | 135,162 | 137,127 | 64,565 | 555,394 |
| Public Asset Transfers | -- | -- | -- | -- |
| Assessments | 371,673,245 | 296,300,880 | 312,228,412 | 3,110,741,916 |
| Fees | 4,620,420 | 180,523 | -- | 4,800,943 |
| Rebates | 3,148,012 | 919,309 | 12,086 | 13,325,625 |
| Restitution and Settlements | 227,000 | 55,000 | 275,000 | 7,074,215 |
| Miscellaneous | 613,850 | -- | -- | 864,382 |
| Total Receipts | 487,205,587 | 389,046,949 | 408,408,495 | 4,048,237,256 |
| DISBURSEMENTS: | | | | |
| Grants | 408,217,376 | 443,218,414 | 362,208,485 | 3,573,332,207 |
| Interest - Late Payments | 63,472 | 3,887 | 8,449 | 118,709 |
| Personal Service | 974,017 | 605,072 | 828,246 | 8,230,987 |
| Non-Personal Service | 6,122,953 | 1,482,926 | 2,681,033 | 28,803,177 |
| Employee Benefits/Indirect Costs | -- | -- | -- | 794,224 |
| Total Disbursements | 415,377,818 | 445,310,299 | 365,726,213 | 3,611,279,304 |
| OPERATING TRANSFERS: | | | | |
| Transfers to Capital Projects Fund | 31,000,000 | -- | 29,000,000 | 80,535,726 |
| Transfers to General Fund | -- | -- | -- | -- |
| Transfers to Revenue Bond Tax Fund | -- | -- | -- | 7,167,469 |
| Transfers to Miscellaneous Special Revenue Empire State Stem Cell Trust Account | -- | 15,000,000 | -- | 30,000,000 |
| Transfers to SUNY Income Fund | 480,852 | 1,141,402 | 480,852 | 7,019,586 |
| Total Operating Transfers | 31,480,852 | 16,141,402 | 29,480,852 | 124,722,781 |
| Total Disbursements and Transfers | 446,858,670 | 461,451,701 | 395,207,065 | 3,736,002,085 |
| CLOSING CASH BALANCE | \$374,244,594 | \$301,839,842 | \$315,041,272 | \$315,041,272 |

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2012-2013

APPENDIX B

| Program/Purpose | Appropriation Amount (1) | April - June Disbursements | July - September Disbursements | October Disbursements | November Disbursements | December Disbursements | Total Disbursements 9 Months Ending December 31, 2012 (2) |
|--|--------------------------|----------------------------|--------------------------------|-----------------------|------------------------|------------------------|---|
| ADULT HOMES PROGRAM | 59,736 | -- | -- | -- | -- | -- | -- |
| ADULT HOMES | | -- | -- | -- | -- | -- | -- |
| AIDS INSTITUTE PROGRAM | 244,030,323 | -- | -- | -- | -- | -- | -- |
| COMMUNITY SERVICE PROG- HIGH RISK | | -- | -- | -- | -- | -- | -- |
| HIV CLINICAL & PROVIDER EDUCATION | | 619,829 | 598,022 | 184,649 | 278,766 | 45,842 | 1,727,108 |
| HIV HEALTH CARE SUPPORTIVE SERVICES | | 2,799,424 | 6,170,202 | 1,655,520 | 1,134,685 | 1,379,640 | 13,139,471 |
| HIV STD HEPATITIS C PREVENTION | | 5,075,892 | 7,375,453 | 1,880,213 | 2,499,015 | 1,515,530 | 18,346,103 |
| INFANTS AND PREGNANT WOMEN | | -- | -- | -- | -- | -- | -- |
| REGIONAL AND TARGETED | | 3,638,235 | 10,862,995 | 3,156,223 | 1,444,016 | 1,509,323 | 20,610,792 |
| CENTER FOR COMMUNITY HEALTH PROGRAM | 184,744,193 | -- | -- | -- | -- | -- | -- |
| ADEPHI UNIVRST CANC SPRT PRG | | -- | -- | -- | -- | -- | -- |
| BRST CANCER HOTLINE - ADELPHI | | -- | -- | -- | -- | -- | -- |
| CENTER FOR COMMUNITY HLTH | | 385,294 | 442,734 | 121,773 | 112,701 | 128,408 | 1,190,910 |
| EVIDENCE BASED CANCER SVC | | 3,102,980 | 1,513,666 | 2,723,357 | 1,259,772 | 2,368,465 | 10,968,240 |
| FAMILY PLANNING | | -- | -- | -- | -- | -- | -- |
| HYPERTENSION PREVENTION TREATMENT | | 82,286 | 131,218 | -- | 28,736 | 85,948 | 328,188 |
| INDIAN HEALTH PROGRAM | | 6,215,187 | 5,288,953 | 2,320,551 | 1,173,239 | 742,709 | 15,740,639 |
| LEAD POISONING PREVENTION | | 127,151 | 52,548 | -- | -- | 49,539 | 229,238 |
| MATERNITY & EARLY CHHOOD FOUNDATION | | 74,657 | 149,750 | 74,875 | -- | -- | 299,282 |
| NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN | | 15,176 | 3,235,824 | 26,360 | 68,646 | 910,810 | 4,256,816 |
| PRENATAL CARE ASSISTANCE PROGRAM | | 450,071 | 619,875 | 163,307 | 184,335 | 204,654 | 1,622,242 |
| PUBLIC HEALTH CAMPAIGN | | 1,191,730 | 247,276 | 1,154,860 | 146,780 | 1,191,324 | 3,931,970 |
| RAPE CRISIS | | 66,775 | 21,733 | 1,975 | -- | -- | 90,483 |
| SCHOOL BASED HEALTH PROGRAM | | 793,740 | 2,642,457 | 441,623 | 683,425 | 285,598 | 4,846,843 |
| STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB | | 226,144 | 112,281 | 36,949 | -- | 112,767 | 488,141 |
| TOBACCO ENFORCEMENT | | 71,309 | 554,372 | -- | 63,347 | 23,091 | 712,119 |
| TUBERCULOSIS | | -- | 127,737 | 38,668 | 113,009 | 78,363 | 357,777 |
| CHILD HEALTH INSURANCE PROGRAM | 979,306,800 | 36,361,902 | 91,709,440 | 34,701,164 | 29,186,402 | 25,749,182 | 217,708,090 |
| CHILD HEALTH INSURANCE | | 36,361,902 | 91,709,440 | 34,701,164 | 29,186,402 | 25,749,182 | 217,708,090 |
| COMMUNITY SUPPORT PROGRAM | 120,000 | 12,000 | 24,000 | -- | -- | 12,000 | 48,000 |
| COMMUNITY SUPPORT | | 12,000 | 24,000 | -- | -- | 12,000 | 48,000 |
| ELDERLY PHARMACEUTICAL INS COVERAGE PRG | 329,800,000 | 10,521,272 | 25,077,291 | 13,833,345 | 8,426,115 | 6,148,866 | 64,006,889 |
| EDLERLY PHARMACEUTICAL INSURANCE COV | | 10,521,272 | 25,077,291 | 13,833,345 | 8,426,115 | 6,148,866 | 64,006,889 |
| HEALTH CARE FINANCING PROGRAM | 9,217,600 | 272,176 | 330,249 | 96,640 | 93,936 | 91,130 | 884,131 |
| HEALTH CARE FINANCING | | 272,176 | 330,249 | 96,640 | 93,936 | 91,130 | 884,131 |
| HEALTH CARE REFORM ACT PROGRAM | 1,776,039,106 | -- | 12,300,000 | -- | -- | -- | 12,300,000 |
| AIDS DRUG ASSISTANCE | | -- | 12,300,000 | -- | -- | -- | 12,300,000 |
| AMBULATORY CARE TRAINING | | -- | -- | -- | -- | -- | -- |
| AREA HEALTH EDUCATION CENTER | | -- | 2,199,723 | -- | -- | -- | 2,199,723 |
| DIAGNOSTIC & TREATMENT CTR UNCOMPENSATED CARE | | 4,598,105 | 935,396 | -- | 26,557,129 | -- | 32,090,630 |
| DIVERSITY IN MEDICINE | | -- | 855,794 | -- | -- | -- | 855,794 |
| EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP) | | -- | 4,730,000 | -- | -- | -- | 4,730,000 |
| HCRA PAYOR / PROVIDER AUDITS | | -- | 2,399,893 | 3,685,251 | (33,843) | 181,847 | 6,233,148 |
| HEALTH FACILITY RESTRUCTURING DASNY | | -- | 19,600,000 | 44,665 | -- | -- | 19,644,665 |
| HEALTH WORKFORCE RETRAINING | | 552,374 | 2,400,413 | -- | 1,943,633 | 1,309,532 | 6,205,952 |
| INFERTILITY SERVICES GRANTS | | 405,246 | 337,608 | -- | -- | 17,700 | 760,554 |
| MEDICAL INDEMNITY FUND | | -- | -- | -- | -- | -- | -- |
| PART 405_4 HOSPITAL AUDITS | | 212,056 | 212,418 | -- | 158,869 | 187,620 | 770,963 |
| PAY FOR PERFORMANCE | | -- | -- | -- | -- | -- | -- |
| PHYSICIAN EXCESS MEDICAL MALPRACTICE | | -- | 127,400,000 | -- | -- | -- | 127,400,000 |
| PHYSICIAN LOAN REPAYMENT | | 64,927 | 73,111 | 80,422 | 271,454 | 204,543 | 694,457 |
| PHYSICIAN PRACTICE SUPPORT | | -- | 138,375 | -- | 42,749 | -- | 181,124 |
| PHYSICIAN WORKFORCE STUDIES | | -- | -- | -- | -- | -- | -- |
| POISON CONTROL CENTERS | | -- | 1,250,000 | -- | -- | -- | 1,250,000 |
| POOL ADMINISTRATION | | -- | 1,465,874 | 364,653 | -- | -- | 1,830,527 |
| ROSWELL PARK CANCER INSTITUTE | | -- | 17,900,000 | -- | 17,900,000 | -- | 35,800,000 |
| RPCI CANC RSRCH OPERATING COSTS | | -- | 1,500,000 | -- | 1,500,000 | -- | 3,000,000 |
| RURAL HEALTH CARE ACCESS | | 167,146 | 2,745,776 | 656,311 | 151,867 | 860,672 | 4,581,772 |
| RURAL HEALTH NETWORK | | 128,244 | 1,718,949 | 543,651 | 371,221 | 742,347 | 3,504,412 |
| SCHOOL BASED HEALTH CENTERS | | -- | -- | -- | -- | -- | -- |
| SCHOOL BASED HEALTH CLINICS-POOL ADMN | | -- | -- | -- | -- | -- | -- |
| TOBACCO USE PREVENTION/CONTROL | | 7,618,122 | 9,350,965 | 2,212,772 | 1,208,550 | 2,456,911 | 22,847,320 |
| TRNSITION ACCT - PRIOR YEAR ALLOCATION | | -- | -- | -- | -- | -- | -- |

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2012-2013

APPENDIX B
 (continued)

| Program/Purpose | Appropriation Amount (1) | April - June Disbursements | July - September Disbursements | October Disbursements | November Disbursements | December Disbursements | Total Disbursements 9 Months Ending December 31, 2012 (2) |
|--|-----------------------------|-------------------------------|-----------------------------------|--------------------------|---------------------------|---------------------------|---|
| MEDICAL ASSISTANCE PROGRAM | \$ 18,568,492,000 | | | | | | |
| BREAST & CERVICAL CANCER | | 2,107,000 | -- | -- | -- | -- | 2,107,000 |
| DISABLED PERSONS | | 23,814,000 | -- | -- | -- | -- | 23,814,000 |
| FAMILY HEALTH PLUS | | 52,703,900 | 203,385,100 | 85,363,000 | 85,363,000 | 85,363,000 | 512,178,000 |
| FINANCIAL ASSISTANCE | | -- | -- | 8,000,000 | -- | -- | 8,000,000 |
| HOME HEALTH RATE INCREASE | | -- | -- | -- | -- | -- | -- |
| INPATIENT NURSING HOME PHARMACIES | | 553,766,100 | 472,365,900 | 155,000,000 | 155,000,000 | 155,000,000 | 1,491,132,000 |
| MEDICAID INDIGENT CARE | | 197,847,018 | 189,222,177 | 63,498,518 | 63,321,276 | 34,923,336 | 548,812,325 |
| MEDICAL ASSISTANCE | | 12,200,000 | 48,800,000 | 12,200,000 | 24,400,000 | 12,200,000 | 109,800,000 |
| NYC MEDICAID | | 13,320,000 | 39,960,000 | 13,320,000 | 13,320,000 | 13,320,000 | 93,240,000 |
| PHYSICIAN SERVICES | | 45,500,000 | 40,838,000 | -- | -- | -- | 86,338,000 |
| PRIMARY CARE CASE MANAGEMENT | | 2,009,000 | -- | -- | -- | -- | 2,009,000 |
| PSNL CRE WRKR RECR & RETEN NYC (3) | | -- | -- | -- | -- | -- | -- |
| PSNL CRE WRKR RECR & RETEN ROS (4) | | -- | -- | -- | -- | -- | -- |
| SUPPLEMENTAL MEDICAL INSURANCE | | 7,260,000 | 21,780,000 | 7,260,000 | 7,260,000 | 14,520,000 | 58,080,000 |
| OFFICE OF HEALTH INSURANCE PROGRAM | 19,810,800 | | | | | | |
| OFFICE OF HEALTH INSURANCE | | 1,995,988 | 785,557 | 654,773 | (45,228) | 427,886 | 3,818,976 |
| OFFICE OF HEALTH SYSTEMS MANAGEMENT | 51,940,100 | | | | | | |
| OFFICE HEALTH SYSTEMS MANAGEMENT | | 2,629,283 | 4,808,708 | 370,830 | 851,526 | 1,858,498 | 10,518,845 |
| OFFICE OF LONG TERM CARE | 23,898,240 | | | | | | |
| ADULT HOME INITIATIVE | | -- | -- | -- | -- | -- | -- |
| ENABLE AIR CONDITIONING | | -- | -- | -- | -- | -- | -- |
| ENABLE QUALITY OF LIFE | | -- | -- | -- | -- | -- | -- |
| QUALITY PROG ADULT CARE FACILITIES | | 31,949 | 4,298 | -- | -- | -- | 36,247 |
| TOTAL | 22,187,458,898 | 1,001,033,688 | 1,388,752,111 | 415,866,898 | 446,439,128 | 366,207,081 | 3,618,298,906 |
| Transfer to the General Fund - State Purposes Account (for administration of the program) | 636,003 | | | | | | |
| Reclass of SUNY Hospital Disprop Share to Transfer | | (2,602,026) | (2,314,454) | (480,852) | (1,141,402) | (480,852) | (7,019,586) |
| Reconciling Adjustment (P-Card and T-Card) | | (3,880) | (465) | (8,228) | 12,573 | (16) | (16) |
| TOTAL APPROPRIATED AMOUNT | \$ 22,188,094,901 | \$ 998,427,782 | \$ 1,386,437,192 | \$ 415,377,818 | \$ 445,310,299 | \$ 365,726,213 | \$ 3,611,279,304 |

- (1) Includes amounts appropriated in 2012 as well as prior year appropriations that were reappropriated in the SFY 2012 budget chapters.
 (2) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (3) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (4) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - DECEMBER 2012
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C

| Federal CFDA No. | Federal Agency | Program | December Disbursements | Life-to-Date Disbursements |
|--|--|---|------------------------|----------------------------|
| Education | | | | |
| 10.579 | Department of Agriculture | Child Nutrition Discretionary Grants Limited Availability | \$ -- | \$ 5,824,761.24 |
| 11.557 | Department of Commerce | Broadband Technology Opportunities Program (BTOP) | 25,005.54 | 9,369,787.48 |
| 45.025 | National Endowment for the Arts | Promotion of the Arts - Partnership Agreements | -- | 399,900.00 |
| 84.033 | Department of Education | Federal Work-Study Program | -- | 2,102,760.00 |
| 84.063 | Department of Education | Federal Pell Grant Program | -- | 147,198,591.00 |
| 84.384 | Department of Education | Statewide Data Systems, Recovery Act | 85,769.63 | 3,658,030.79 |
| 84.385 | Department of Education | Teacher Incentive Fund, Recovery Act | 5,216.06 | 178,942.53 |
| 84.386 | Department of Education | Education Technology State Grants, Recovery Act | 689,499.83 | 53,551,200.24 |
| 84.387 | Department of Education | Education for Homeless Children and Youth, Recovery Act | -- | 6,039,255.00 |
| 84.388 | Department of Education | School Improvement Grants, Recovery Act | 7,597,428.48 | 101,582,024.87 |
| 84.389 | Department of Education | Title I Grants to Local Education Agencies, Recovery Act | 92,399.00 | 906,803,696.00 |
| 84.390 | Department of Education | Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act | -- | 25,694,044.00 |
| 84.391 | Department of Education | Special Education Grants to States, Recovery Act | -- | 755,867,980.05 |
| 84.392 | Department of Education | Special Education - Preschool Grants, Recovery Act | -- | 34,302,395.00 |
| 84.394 | Department of Education | State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act | -- | 2,468,557,791.00 |
| 84.395 | Department of Education | State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act | 20,194,070.74 | 91,617,704.80 |
| 84.397 | Department of Education | State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act | -- | 527,364,018.81 |
| 84.398 | Department of Education | Independent Living State Grants, Recovery Act | -- | 856,884.00 |
| 84.399 | Department of Education | Independent Living Services for Older Individuals Who are Blind, Recovery Act | -- | 2,297,731.00 |
| 84.410 | Department of Education | Education Jobs Fund | 6,990,575.00 | 616,479,620.00 |
| 93.407 | Health and Human Services | ARRA - Scholarships for Disadvantaged Students | -- | 411,249.00 |
| Total Education | | | 35,679,964.28 | 5,760,158,366.81 |
| Energy and Environment | | | | |
| 10.086 | Department of Agriculture | Aquaculture Grants Program (AGP) | -- | 7,611.86 |
| 10.688 | Department of Agriculture | Recovery Act of 2009: Wildland Fire Management | -- | 617,163.65 |
| 66.039 | Environmental Protection Agency | National Clean Diesel Emissions Reduction Program | -- | 1,000,000.00 |
| 66.040 | Environmental Protection Agency | State Clean Diesel Grant Program | -- | 1,635,086.95 |
| 66.454 | Environmental Protection Agency | Water Quality Management Planning | -- | 4,132,731.39 |
| 66.458 | Environmental Protection Agency | Capitalization Grants for Clean Water State Revolving Funds | 28,324,056.53 | 382,193,893.96 |
| 66.468 | Environmental Protection Agency | Capitalization Grants for Drinking Water State Revolving Funds | -- | 83,365,696.72 |
| 66.805 | Environmental Protection Agency | Leaking Underground Storage Tank Trust Fund Corrective Action Program | -- | 9,212,000.00 |
| 81.042 | Department of Energy | Weatherization Assistance for Low-Income Persons | 6,067.24 | 392,612,235.26 |
| 81.122 | Department of Energy | Electricity Delivery and Energy Reliability, Research, Development and Analysis: | 368.75 | 322,668.85 |
| Total Energy and Environment | | | 28,330,492.52 | 875,099,088.64 |
| Food and Nutrition Services | | | | |
| 10.568 | Department of Agriculture | Emergency Food Assistance Program (Administrative Costs) | -- | 4,891,302.00 |
| 93.705 | Health and Human Services | Aging Home-Delivered Nutrition Services for States | -- | 2,042,446.00 |
| 93.707 | Health and Human Services | Aging Congregate Nutrition Services for States | -- | 4,148,718.00 |
| Total Food and Nutrition Services | | | -- | 11,082,466.00 |
| Health and Social Services | | | | |
| 10.557 | Department of Agriculture | Special Supplemental Nutrition Program for Women, Infants & Children (WIC) | -- | 5,468,978.00 |
| 10.561 | Department of Agriculture | State Administrative Matching Grants for the Supplemental Nutrition Assistance Program | -- | 24,402,283.00 |
| 10.578 | Department of Agriculture | WIC Grants To States (WGS) | 738,664.29 | 2,263,220.92 |
| 14.257 | Department of Housing and Urban Development | Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded) | -- | 26,951,329.00 |
| 84.393 | Department of Education | Special Education - Grants for Infants and Families, Recovery Act | -- | 26,406,387.04 |
| 93.563 | Health and Human Services | Child Support Enforcement | -- | 96,200,498.69 |
| 93.658 | Health and Human Services | Foster Care- Title IV-E | -- | 53,978,181.00 |
| 93.659 | Health and Human Services | Adoption Assistance | -- | 60,062,684.00 |
| 93.708 | Health and Human Services | ARRA - Head Start | 77,916.16 | 2,408,748.68 |
| 93.712 | Health and Human Services | ARRA - Immunization | -- | 4,275,750.26 |
| 93.713 | Health and Human Services | ARRA - Child Care and Development Block Grant | -- | 96,785,640.00 |
| 93.714 | Health and Human Services | ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs | -- | 723,023,290.00 |
| 93.725 | Health and Human Services | ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program | 2,709.50 | 1,119,391.57 |
| 93.778 | Health and Human Services | Medical Assistance Program (FMAP) | 16,988,378.13 | 13,550,465,191.85 |
| 94.006 | Corporation for National and Community Service | AmeriCorps | -- | 6,672,738.91 |
| Total Health and Social Services | | | 17,807,668.08 | 14,680,484,312.92 |

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - DECEMBER 2012
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C
 (continued)

| Federal CFDA No. | Federal Agency | Program | December Disbursements | Life-to-Date Disbursements |
|--------------------------|------------------------------|--|---------------------------|-------------------------------|
| Housing | | | | |
| 84.397 | Department of Education | State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act | \$ -- | \$ 21,875,000.00 |
| 93.710 | Health and Human Services | ARRA - Community Services Block Grant | -- | 85,384,063.91 |
| | | Total Housing | -- | 107,259,063.91 |
| Labor | | | | |
| 17.207 | Department of Labor | Employment Service/Wanger-Peyser Funded Activities | -- | 22,855,217.00 |
| 17.225 | Department of Labor | Unemployment Insurance | 195,023,169.24 | 14,660,349,787.66 |
| 17.235 | Department of Labor | Senior Community Service - Employment Program | -- | 1,539,762.38 |
| 17.258 | Department of Labor | Workforce Investment Act - Adult Program | -- | 31,516,111.00 |
| 17.259 | Department of Labor | Workforce Investment Act - Youth Activities | -- | 71,526,360.00 |
| 17.260 | Department of Labor | Workforce Investment Act - Dislocated Workers | 48,043.01 | 70,636,533.01 |
| 17.275 | Department of Labor | Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors | -- | 1,112,175.14 |
| | | Total Labor | 195,071,212.25 | 14,859,535,946.19 |
| Public Protection | | | | |
| 11.558 | Department of Commerce | State Broadband Data and Development Grant Program | 40,265.23 | 2,105,298.87 |
| 12.401 | Department of Defense | National Guard Military Operations and Maintenance (O&M) Projects | -- | 7,416,726.40 |
| 16.588 | Department of Justice | Violence Against Women Formula Grants | -- | 7,274,394.35 |
| 16.800 | Department of Justice | Recovery Act - Internet Crimes against Children Task Force Program (ICAC) | 48,211.46 | 954,437.21 |
| 16.801 | Department of Justice | Recovery Act - State Victim Assistance Formula Grant Program | -- | 1,788,999.08 |
| 16.802 | Department of Justice | Recovery Act - State Victim Compensation Formula Grant Program | -- | 2,828,986.58 |
| 16.803 | Department of Justice | Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories | 2,323,801.39 | 58,133,948.13 |
| | | Total Public Protection | 2,412,278.08 | 80,502,790.62 |
| Transportation | | | | |
| 20.205 | Department of Transportation | Highway Planning and Construction | 1,212,949.78 | 888,599,538.29 |
| 20.319 | Department of Transportation | High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants | 404,448.44 | 7,601,209.51 |
| 20.509 | Department of Transportation | Formula Grants for Other Than Urbanized Areas | 74,123.51 | 21,149,713.95 |
| | | Total Transportation | 1,691,521.73 | 917,350,461.75 |
| | | TOTAL ARRA DISBURSEMENTS \$ | 280,993,136.94 | \$ 37,291,472,496.84 |

APPENDIX D

STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2012-2013

| | <u>1st Quarter</u> <u>April-June</u> | <u>2nd Quarter</u> <u>July-September</u> | <u>2012</u> <u>OCTOBER</u> | <u>2012</u> <u>NOVEMBER</u> | <u>2012</u> <u>DECEMBER</u> | <u>2012-2013</u> |
|--|---|---|-------------------------------|--------------------------------|--------------------------------|---------------------------|
| OPENING CASH BALANCE | \$ 286,758,937.54 | \$ 137,093,786.70 | \$ 135,948,239.96 | \$ 225,084,328.72 | \$ 238,872,883.07 | \$ 286,758,937.54 |
| RECEIPTS: | | | | | | |
| Patient Services | 619,218,686.92 | 660,599,147.59 | 288,684,935.80 | 175,619,866.33 | 217,376,007.76 | 1,961,498,644.40 |
| Covered Lives | 218,746,792.16 | 260,382,115.71 | 128,573,331.98 | 81,520,361.89 | 90,939,881.24 | 780,162,482.98 |
| Provider Assessments | 18,222,091.50 | 19,953,830.51 | 8,190,595.40 | 6,749,666.26 | 5,398,046.02 | 58,514,229.69 |
| 1% Assessments | 80,320,357.00 | 81,845,552.06 | 29,928,067.00 | 25,926,380.00 | 26,310,839.00 | 244,331,195.06 |
| DASNY- MOE/Recast receivables | 0.00 | 2,219,958.69 | 0.00 | 0.00 | 0.00 | 2,219,958.69 |
| Interest Income | 54,777.16 | 60,101.22 | 22,347.41 | 15,370.53 | 18,487.91 | 171,084.23 |
| NYPHRM | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Hospital Quality Contribution | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Unassigned | (52,581.62) | 1,582,787.00 | 644,449.00 | 17,066,262.00 | (10,597,770.62) | 8,643,145.76 |
| Total Receipts | 936,510,123.12 | 1,026,643,492.78 | 456,043,726.59 | 306,897,907.01 | 329,445,491.31 | 3,055,540,740.81 |
| DISBURSEMENTS: | | | | | | |
| Program Disbursements: | | | | | | |
| Poison Control Centers | 0.00 | 0.00 | (1,250,000.00) | 0.00 | 0.00 | (1,250,000.00) |
| School Based Health Center Grants | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| ECRIP Distributions | 0.00 | 0.00 | (4,730,000.00) | 0.00 | 0.00 | (4,730,000.00) |
| Total Disbursements | 0.00 | 0.00 | (5,980,000.00) | 0.00 | 0.00 | (5,980,000.00) |
| Excess (Deficiency) of Receipts over Disbursements | 936,510,123.12 | 1,026,643,492.78 | 450,063,726.59 | 306,897,907.01 | 329,445,491.31 | 3,049,560,740.81 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Pools: | | | | | | |
| Medicaid Disproportionate Share | 0.00 | 13,421.00 | 1,793,792.00 | 0.00 | 0.00 | 1,807,213.00 |
| Health Facility Assessment Fund - Hospital Quality Contribution | 9,849,087.00 | 8,925,920.00 | 2,971,466.34 | 3,190,475.29 | 2,939,041.47 | 27,875,990.10 |
| Transfers From State Funds: | | | | | | |
| HCRA Resources Fund | 0.00 | 0.00 | 5,980,000.00 | 0.00 | 0.00 | 5,980,000.00 |
| HCRA Resources Fund FMAP | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total Other Financing Sources | 9,849,087.00 | 8,939,341.00 | 10,745,258.34 | 3,190,475.29 | 2,939,041.47 | 35,663,203.10 |
| Transfers to Other Pools: | | | | | | |
| Medicaid Disproportionate Share | 0.00 | (2,219,958.69) | 0.00 | 0.00 | 0.00 | (2,219,958.69) |
| Health Facility Assessment Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Transfers to State Funds: | | | | | | |
| HCRA Resources Fund | (894,327,322.28) | (841,421,983.04) | (306,880,003.17) | (230,338,040.35) | (240,955,941.13) | (2,513,923,289.97) |
| Indigent Care Fund (matched) | (197,593,228.63) | (191,743,563.18) | (63,967,309.14) | (65,136,203.74) | (70,445,920.25) | (588,886,224.94) |
| Indigent Care Fund (non-matched) | (4,103,810.05) | (1,342,875.61) | (825,583.86) | (825,583.86) | (826,193.46) | (7,924,046.84) |
| Total Other Financing Uses | (1,096,024,360.96) | (1,036,728,380.52) | (371,672,896.17) | (296,299,827.95) | (312,228,054.84) | (3,112,953,520.44) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (149,665,150.84) | (1,145,546.74) | 89,136,088.76 | 13,788,554.35 | 20,156,477.94 | (27,729,576.53) |
| CLOSING CASH BALANCE | \$ 137,093,786.70 | \$ 135,948,239.96 | \$ 225,084,328.72 | \$ 238,872,883.07 | \$ 259,029,361.01 | \$ 259,029,361.01 |

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2012-2013

| | 1st Quarter April-June | 2nd Quarter July-September | 2012 OCTOBER | 2012 NOVEMBER | 2012 DECEMBER | 2012-2013 |
|--|---------------------------|-------------------------------|------------------------|------------------------|------------------------|-------------------------|
| OPENING CASH BALANCE | \$ 12,566.79 | \$ 1,064.46 | \$ 348.63 | \$ 1,051.58 | \$ 357.17 | \$ 12,566.79 |
| RECEIPTS: | | | | | | |
| Interest Income | 2,846.81 | 1,949.66 | 1,051.58 | 357.17 | 1,091.94 | 7,297.16 |
| Total Receipts | 2,846.81 | 1,949.66 | 1,051.58 | 357.17 | 1,091.94 | 7,297.16 |
| DISBURSEMENTS: | | | | | | |
| Program Disbursements: | | | | | | |
| Indigent Care | (188,800,520.91) | (189,111,187.20) | (63,092,499.03) | (64,207,853.61) | (69,412,683.31) | (574,624,744.06) |
| High Need Indigent Care | (7,655,760.00) | (123,480.00) | 0.00 | 0.00 | 0.00 | (7,779,240.00) |
| Other | 0.00 | (941,267.24) | 0.00 | 0.00 | 0.00 | (941,267.24) |
| Total Program Disbursements | (196,456,280.91) | (190,175,934.44) | (63,092,499.03) | (64,207,853.61) | (69,412,683.31) | (583,345,251.30) |
| Excess (Deficiency) of Receipts over Disbursements | (196,453,434.10) | (190,173,984.78) | (63,091,447.45) | (64,207,496.44) | (69,411,591.37) | (583,337,954.14) |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Pools: | | | | | | |
| Public Goods Pool | 0.00 | 2,219,958.69 | 0.00 | 0.00 | 0.00 | 2,219,958.69 |
| Health Facility Assessment Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Transfers From State Funds: | | | | | | |
| HCRA Resources Indigent Care - Matched | 98,796,614.33 | 95,871,781.60 | 31,983,654.57 | 32,568,101.87 | 35,222,960.13 | 294,443,112.50 |
| HCRA Resources Indigent Care - Unmatched | 2,865,696.04 | 104,587.08 | 412,791.93 | 412,791.93 | 413,096.73 | 4,208,963.71 |
| HCRA Resources Indigent Care - ATB | (4,013,061.73) | (3,863,616.51) | (1,287,602.04) | (1,310,979.92) | (1,417,180.36) | (11,892,440.56) |
| Federal DHHS Fund | 98,796,614.30 | 95,871,781.58 | 31,983,654.57 | 32,568,101.87 | 35,222,960.12 | 294,443,112.44 |
| Other | 0.00 | 0.00 | 1,793,792.00 | 0.00 | 0.00 | 1,793,792.00 |
| Total Other Financing Sources | 196,445,862.94 | 190,204,492.44 | 64,886,291.03 | 64,238,015.75 | 69,441,836.62 | 585,216,498.78 |
| Transfers to Other Pools: | | | | | | |
| Public Goods Pool | 0.00 | (13,421.00) | (1,793,792.00) | 0.00 | 0.00 | (1,807,213.00) |
| Health Facility Assessment Fund | 0.00 | (15,137.00) | 0.00 | (30,162.14) | (29,153.31) | (74,452.45) |
| Transfers to State Funds: | | | | | | |
| HCRA Resources Fund Indigent Care Acct | (3,931.17) | (2,665.49) | (348.63) | (1,051.58) | (357.17) | (8,354.04) |
| Total Other Financing Uses | (3,931.17) | (31,223.49) | (1,794,140.63) | (31,213.72) | (29,510.48) | (1,890,019.49) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (11,502.33) | (715.83) | 702.95 | (694.41) | 734.77 | (11,474.85) |
| CLOSING CASH BALANCE | \$ 1,064.46 | \$ 348.63 | \$ 1,051.58 | \$ 357.17 | \$ 1,091.94 | \$ 1,091.94 |

Source: HCRA - Office of Pool Administration

APPENDIX F

SUMMARY OF OFF-BUDGET SPENDING REPORT

| | DISBURSED APRIL '12 (000) | DISBURSED MAY '12 (000) | DISBURSED JUNE '12 (000) | DISBURSED JULY '12 (000) | DISBURSED AUG '12 (000) | DISBURSED SEPT '12 (000) | DISBURSED OCT '12 (000) | DISBURSED NOV '12 (000) | DISBURSED DEC '12 (000) | DISBURSED JAN '13 (000) | DISBURSED FEB '13 (000) | DISBURSED MAR '13 (000) | DISBURSED TOTAL 12-13 (000) |
|---|---------------------------------|-------------------------------|--------------------------------|--------------------------------|-------------------------------|--------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-----------------------------------|
| DORMITORY AUTHORITY: | | | | | | | | | | | | | |
| Education - All Other | 8 | 12 | -- | 142 | 12 | -- | 3 | 1 | | | | | 178 |
| Education - EXCEL | 7,243 | 13,924 | 9,706 | 10,267 | 2,719 | 761 | 5,993 | 899 | | | | | 51,512 |
| Department of Health - All Other | -- | -- | 17 | 94 | 96 | 25 | 96 | 22 | | | | | 350 |
| CEFAP | 1,289 | 738 | 234 | 273 | 500 | 177 | 218 | 197 | | | | | 3,626 |
| Regional Development: | | | | | | | | | | | | | |
| CCAP/RESTORE | 906 | 734 | -- | 77 | 73 | 25 | 758 | 114 | | | | | 2,687 |
| Multi-modal | -- | -- | -- | 3 | -- | -- | -- | -- | | | | | 3 |
| GenNYsis | -- | -- | -- | -- | -- | -- | -- | 17 | | | | | 17 |
| CUNY Senior Colleges | 17,285 | 23,690 | 14,687 | 29,174 | 25,849 | 22,446 | 37,098 | 29,109 | | | | | 199,338 |
| CUNY Community Colleges | 8,160 | 6,585 | 1,720 | 13,719 | 6,510 | 1,685 | 12,954 | 3,235 | | | | | 54,568 |
| SUNY Dormitories | 14,723 | 19,531 | 14,800 | 27,368 | 18,339 | 13,706 | 25,188 | 16,173 | | | | | 149,828 |
| Upstate Community Colleges | 2,213 | 10,067 | 6,163 | 7,139 | 16,218 | 7,481 | 4,831 | 4,327 | | | | | 58,439 |
| Mental Health | 5,495 | 5,651 | 3,145 | 6,525 | 5,410 | 4,552 | 11,612 | 18,979 | | | | | 61,369 |
| Developmental Disabilities | 1,569 | 1,520 | 1,636 | 3,755 | 1,262 | 933 | 2,360 | 2,232 | | | | | 15,267 |
| Alcoholism & Substance Abuse | 89 | 9 | 15 | 45 | 25 | -- | 623 | 182 | | | | | 988 |
| Brooklyn Court Officer Training Academy | 2 | -- | -- | 37 | 8 | 245 | 768 | 8 | | | | | 1,068 |
| TOTAL DORMITORY AUTHORITY: | 58,982 | 82,461 | 52,123 | 98,618 | 77,021 | 52,036 | 102,502 | 75,495 | -- | -- | -- | -- | 599,238 |
| EMPIRE STATE DEVELOPMENT CORP: | | | | | | | | | | | | | |
| Regional Development: | | | | | | | | | | | | | |
| Centers of Excellence | -- | -- | -- | -- | -- | -- | -- | -- | | | | | -- |
| CCAP | 54 | 284 | -- | 212 | -- | 199 | 222 | 99 | | | | | 1,070 |
| Empire Opportunity | -- | -- | -- | -- | -- | -- | -- | -- | | | | | -- |
| CEFAP | -- | 20 | -- | -- | -- | -- | -- | 1,552 | | | | | 1,572 |
| State Facilities and Equipment | -- | -- | -- | -- | -- | -- | -- | -- | | | | | -- |
| TOTAL EMPIRE STATE DEVELOPMENT CORP: | 54 | 304 | -- | 212 | -- | 199 | 222 | 1,651 | -- | -- | -- | -- | 2,642 |
| THRUWAY AUTHORITY: | | | | | | | | | | | | | |
| CHIPS | -- | -- | 57,216 | -- | -- | 142,788 | -- | -- | | | | | 200,004 |
| SHIPS | -- | -- | -- | -- | -- | -- | -- | -- | | | | | -- |
| Marchiselli | -- | -- | 7,325 | -- | -- | 8,993 | -- | -- | | | | | 16,318 |
| Multi-modal | -- | 5 | -- | -- | 236 | -- | -- | -- | | | | | 241 |
| TOTAL THRUWAY AUTHORITY: | -- | 5 | 64,541 | -- | 236 | 151,781 | -- | -- | -- | -- | -- | -- | 216,563 |
| TOTAL OFF-BUDGET: | 59,036 | 82,770 | 116,664 | 98,830 | 77,257 | 204,016 | 102,724 | 77,146 | -- | -- | -- | -- | 818,443 |
| TOTAL CEFAP | 1,289 | 758 | 234 | 273 | 500 | 177 | 218 | 1,749 | | | | | 5,198 |
| ECONOMIC DEVELOPMENT: | | | | | | | | | | | | | |
| Total CCAP | 960 | 1,018 | -- | 289 | 73 | 224 | 980 | 213 | | | | | 3,757 |
| Total Multi-modal | -- | -- | -- | 3 | -- | -- | -- | -- | | | | | 3 |
| Total GenNYsis | -- | -- | -- | -- | -- | -- | -- | 17 | | | | | 17 |
| Total Centers for Excellence | -- | -- | -- | -- | -- | -- | -- | -- | | | | | -- |
| Total Empire Opportunity | -- | -- | -- | -- | -- | -- | -- | -- | | | | | -- |
| Total Economic Development | 960 | 1,018 | -- | 292 | 73 | 224 | 980 | 230 | -- | -- | -- | -- | 3,777 |

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

Schedule of Month-End Temporary Loans Outstanding December 31, 2012

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

Office of the State Comptroller
Summary of Month-End Temporary Loans Outstanding

| | <u>August 31, 2012</u> | <u>September 30, 2012</u> | <u>October 31, 2012</u> | <u>November 30, 2012</u> | <u>Change</u> | <u>December 31, 2012</u> |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|--------------------------------|----------------------------------|
| TOTAL GENERAL FUND | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- |
| TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS | 1,179,014,808.97 | 1,412,103,252.27 | 1,194,640,115.60 | 1,264,191,262.51 | 148,330,414.09 | 1,412,521,676.60 |
| TOTAL STATE SPECIAL REVENUE FUNDS | 679,447,175.37 | 1,994,656,145.19 | 1,831,869,899.82 | 1,920,348,252.53 | 289,869,966.90 | 2,210,218,219.43 |
| TOTAL FEDERAL FUNDS | 518,581,719.57 | 749,374,219.48 | 1,067,349,085.32 | 466,440,871.94 | \$480,424,723.99 | 946,865,595.93 |
| TOTAL AGENCY FUNDS | -- | 79,000,289.66 | -- | -- | -- | -- |
| TOTAL ENTERPRISE FUND | -- | -- | -- | -- | -- | -- |
| TOTAL INTERNAL SERVICE FUNDS | 82,965,960.65 | 93,018,265.55 | 98,738,243.64 | 110,619,816.85 | (26,474,032.86) | 84,145,783.99 |
| 0.00 | <u>\$2,460,009,664.56</u> | <u>\$4,328,152,172.15</u> | <u>\$4,192,597,344.38</u> | <u>\$3,761,600,203.83</u> | <u>\$892,151,072.12</u> | <u>\$4,653,751,275.95</u> |

| SFS Fund | FUND TITLE | August 31, 2012 | September 30, 2012 | October 31, 2012 | November 30, 2012 | Change | December 31, 2012 |
|-------------|---|-------------------------|---------------------------|---------------------------|---------------------------|-------------------------|-----------------------------|
| 21994 | INSURANCE DEPT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22003 | BELL JAR COLLECTION ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22004 | INDUSTRY AND UTILITY SERVICE | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22006 | REAL PROPERTY DISPOSITION | 91,308.70 | 124,118.64 | 193,803.91 | 188,143.62 | 37,492.21 | 225,635.83 |
| 22007 | PARKING ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22009 | ASBESTOS SAFETY TRAINING | 162,158.14 | 88,775.62 | 102,054.09 | 46,771.51 | (18,636.58) | 28,134.93 |
| 22011 | PUBLIC SERVICE | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22021 | REG MANUFACTURED HOUSING | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22027 | SPECIAL CONSERVATION ACTIVITIES | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22032 | BATAVIA SCHOOL FOR THE BLIND | 5,242,603.41 | 5,670,561.49 | 6,938,851.30 | 7,597,309.75 | 449,888.42 | 8,047,198.17 |
| 22034 | INVESTMENT SERVICES | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22036 | SURPLUS PROPERTY ACCOUNT | 412,605.85 | 491,245.50 | 225,445.93 | 342,987.82 | (342,987.82) | 0.00 |
| 22038 | OPWDD DAY SERVICES ACCOUNT | 2,178,738.01 | 2,179,047.38 | 2,179,692.08 | 2,180,342.46 | 335.96 | 2,180,678.42 |
| 22039 | FINANCIAL OVERSIGHT | 479,404.12 | 661,640.45 | 484,803.62 | 1,005,375.08 | 188,042.99 | 1,193,418.07 |
| 22046 | REGULATION INDIAN GAMING | 99,783,446.14 | 99,378,735.39 | 99,997,849.95 | 101,916,709.54 | 134,814.90 | 102,051,524.44 |
| 22051 | PROFESSIONAL EDUC SERVICE | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22053 | ROME SCHOOL FOR THE DEAF | 1,260,855.42 | 1,584,811.86 | 2,623,581.49 | 3,151,222.74 | 366,699.95 | 3,517,922.69 |
| 22054 | DSP-SEIZED ASSETS | 11,875,965.74 | 12,037,096.96 | 10,051,867.08 | 7,300,599.09 | (304,936.63) | 6,995,662.46 |
| 22055 | ADMINISTRATIVE ADJUDICATION | 3,541,563.93 | 3,144,723.05 | 0.00 | 439,969.31 | 2,048,959.03 | 2,488,928.34 |
| 22056 | FEDERAL SALARY SHARING | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22062 | NYC ASSESSMENT ACCT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22063 | CULTURAL EDUCATION ACCOUNT | 7,354,938.85 | 6,582,531.74 | 4,757,383.30 | 3,035,661.54 | (824,828.34) | 2,210,833.20 |
| 22065 | EXAMINATION & MISC REV | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22067 | TRANSPORTATION REGULATION | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22068 | CONSUMER PROTECTION BD. | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22078 | LOCAL SERVICE ACCOUNT | 0.00 | 0.00 | 0.00 | 11,243.00 | (11,243.00) | 0.00 |
| 22085 | DHCR MORTGAGE SERVICES | 1,873,784.29 | 2,199,660.36 | 1,831,829.37 | 2,485,949.99 | 303,021.74 | 2,788,971.73 |
| 22087 | DMV-COMPULSORY INS PRGM | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22090 | HOUSING INDIRECT COST RECOVERY | 1,314,670.83 | 1,387,641.18 | 1,589,052.94 | 1,748,689.94 | 162,282.23 | 1,910,972.17 |
| 22100 | DHCR-HOUSING CREDIT AGENCY APPLY FEE | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22101 | EPIC PREMIUM | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22112 | OTDA INCOME ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22130 | LOW INCOME HOUSING CREDIT MONITORING | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22133 | PROCUREMENT OPPORTUNITY NEWSLETTER | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22135 | EFC-CORPORATION ADMINISTRATION | 39,070.25 | 39,070.25 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22144 | MONTROSE VETERAN'S HOME | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22149 | MOTOR FUEL QUALITY ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22151 | DEFERRED COMPENSATION ADMIN | 81,698.79 | 152,154.18 | 181,132.27 | 116,095.35 | 28,865.80 | 144,961.15 |
| 22156 | RENT REVENUE OTHER - NYC | 820,166.48 | 3,267,796.29 | 0.00 | 0.00 | 183,665.05 | 183,665.05 |
| 22158 | RENT REVENUE | 374,375.48 | 385,615.93 | 412,966.25 | 382,106.44 | 32,268.79 | 414,375.23 |
| 22168 | TAX REVENUE ARREARAGE ACCOUNT | 2,329,087.51 | 2,361,201.75 | 2,389,484.48 | 2,418,428.18 | 25,763.34 | 2,444,191.52 |
| 22176 | OGS-SOLID WASTE MGMT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22177 | OCCUPATIONAL HEALTH CLINICS | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22193 | SALES TAX RE-REG FEE ADMN | 213,339.26 | 292,730.88 | 325,389.09 | 469,128.03 | 38,469.37 | 507,597.40 |
| 22195 | EQUITABLE SHARING AGMT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 22192 | TAX RETURN PREPARER REG FEE ADM | 34,302.51 | 68,711.88 | 76,611.17 | 103,261.77 | 10,841.01 | 114,102.78 |
| 22654 | S.U. NON-RESIDENT REV. OFFSET | 44,191,771.08 | 44,191,771.08 | 44,191,771.08 | 44,191,771.08 | 0.00 | 44,191,771.08 |
| 22802 | STATE POLICE MV ENFORCE | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 23001 | DOT - HIGHWAY SAFETY PRGM | 3,604,434.26 | 3,462,666.65 | 3,694,198.48 | 3,577,218.61 | 286,453.10 | 3,863,671.71 |
| 23101 | EFC DRINKING WATER PROGRAM | 97,046.44 | 97,046.44 | 97,046.44 | 0.00 | 0.00 | 0.00 |
| 23102 | DOH DRINKING WATER PROGRAM | 3,466,949.61 | 3,024,428.84 | 3,355,942.70 | 3,535,793.07 | (1,007,768.83) | 2,528,024.24 |
| 23151 | NYCCC OPERATING OFFSET | 25,612,667.94 | 28,025,411.59 | 30,460,078.33 | 32,545,025.09 | 2,296,499.21 | 34,841,524.30 |
| | TOTAL STATE SPECIAL REVENUE FUNDS | \$679,447,175.37 | \$1,994,656,145.19 | \$1,831,869,899.82 | \$1,920,348,252.53 | \$289,869,966.90 | \$2,210,218,219.43 |
| | FEDERAL FUNDS | | | | | | |
| 250 | FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND (1) | 3,811,519.69 | 84,555,333.84 | 10,201,746.29 | 15,772,281.19 | (13,213,347.76) | 2,558,933.43 (1) |
| 251 | FEDERAL HEALTH AND HUMAN SERVICES FUND (2) | 185,697,536.97 | 163,994,382.35 | 883,131,291.79 | 83,400,027.73 | 299,795,316.51 | 383,195,344.24 (2) |
| 25200-25220 | FEDERAL EDUCATION GRANTS FUND (3) | 22,103,385.45 | 35,310,062.10 | 13,412,049.09 | 37,706,616.24 | 126,590.64 | 37,833,206.88 (3) |
| 25250-25261 | FEDERAL BLOCK GRANT FUND (4) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 (4) |
| 25300-25519 | FEDERAL OPERATING GRANTS FUND (5) | 212,568,684.88 | 311,833,629.70 | 139,031,924.83 | 299,849,132.59 | 147,907,178.54 | 447,756,311.13 (5) |
| 31351 | MILITARY AND NAVAL AFFAIRS | 8,710,737.96 | 8,654,571.42 | 8,414,164.61 | 8,099,263.41 | 166,470.45 | 8,265,733.86 |
| 31354 | DEPARTMENT OF TRANSPORTATION | 57,147,917.96 | 123,728,137.88 | 0.00 | 0.00 | 0.00 | 0.00 (7) |
| 313XX | FEDERAL CAPITAL PROJECTS FUND (ALL OTHER) (6) | 15,164,060.93 | 18,843,953.78 | 10,952,544.74 | 20,975,035.94 | 45,609,635.25 | 66,584,671.19 (6) |
| 25901 | UI ADMINISTRATION | 10,361,992.91 | 1,136,363.28 | 506,789.66 | 0.00 | 0.00 | 0.00 |
| 25950 | FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 26001 | DOL WORKFORCE INVESTMENT ACT | 3,015,882.82 | 1,317,785.13 | 1,698,574.31 | 638,514.84 | 32,880.36 | 671,395.20 |
| 26002 | DOL FEDERAL GRANTS | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | TOTAL FEDERAL FUNDS | \$518,581,719.57 | \$749,374,219.48 | \$1,067,349,085.32 | \$466,440,871.94 | \$480,424,723.99 | \$946,865,595.93 (8) |

| SFS Fund | FUND TITLE | August 31, 2012 | September 30, 2012 | October 31, 2012 | November 30, 2012 | Change | December 31, 2012 |
|--|--|---------------------------|---------------------------|---------------------------|---------------------------|--------------------------|---------------------------|
| AGENCY FUNDS | | | | | | | |
| 60901 | MMIS - STATE & FEDERAL | 0.00 | 79,000,289.66 | 0.00 | 0.00 | 0.00 | 0.00 |
| | TOTAL AGENCY FUNDS | \$0.00 | \$79,000,289.66 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| ENTERPRISE FUND | | | | | | | |
| 50051 | STATE FAIR RECEIPTS FUND | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 50318 | OGS CONVENTION CENTER ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | TOTAL ENTERPRISE FUND | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| INTERNAL SERVICE FUNDS | | | | | | | |
| 55001 | CENTRALIZED SERVICES-FLEET MGMT | 1,135,937.09 | 944,287.36 | 719,761.78 | 865,544.72 | (671,339.91) | 194,204.81 |
| 55002 | CENTRALIZED SERVICES-DATA PROCESSING | 228,185.45 | 291,364.55 | 342,598.88 | 349,568.73 | 199,408.63 | 548,977.36 |
| 55003 | CENTRALIZED SERVICES-REPRODUCTION | 1,728,586.85 | 2,271,430.33 | 2,407,604.46 | 2,552,313.93 | (277,531.36) | 2,274,782.57 |
| 55004 | CENTRALIZED SERVICES-REAL PROPERTY-LABOR | 257,362.87 | 431,705.49 | 542,385.25 | 606,781.48 | 204,529.42 | 811,310.90 |
| 55005 | CENTRALIZED SERVICES-SURPLUS FED FOODS | 1,326,214.81 | 1,042,393.25 | 1,126,884.95 | 1,269,088.39 | 4,403.61 | 1,273,492.00 |
| 55006 | CENTRALIZED SERVICES-PERSONAL PROPERTY | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55007 | CENTRALIZED SERVICES-CONSTRUCTION SERVICES | 3,165,700.41 | 3,211,601.26 | 3,858,479.09 | 4,022,217.27 | 77,933.86 | 4,100,151.13 |
| 55008 | CENTRALIZED SERVICES-PASNY | 27,806,173.72 | 26,994,526.21 | 28,230,967.60 | 31,933,323.56 | (9,279,198.16) | 22,654,125.40 |
| 55009 | CENTRALIZED SERVICES-ADMIN SUPPORT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55010 | CENTRALIZED SERVICES-DESIGN & CONSTR | 0.00 | 0.00 | 0.00 | 1,445,876.41 | (457,203.73) | 988,672.68 |
| 55011 | CENTRALIZED SERVICES-INSURANCE | 1,639,382.78 | 1,703,277.62 | 1,774,755.18 | 1,884,970.79 | (314,091.96) | 1,570,878.83 |
| 55012 | CENTRALIZED SERVICES-SECURITY CARD ACCESS | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55013 | CENTRALIZED SERVICES-COP'S | 425,899.83 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55014 | CENTRALIZED SERVICES-FOOD SERVICES | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55015 | CENTRALIZED SERVICES-HOMER FOLKS | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55016 | CENTRALIZED SERVICES-IMMICS | 26,961.54 | 26,961.54 | 26,961.54 | 26,961.54 | 0.00 | 26,961.54 |
| 55017 | DOWNSTATE DISTRIBUTION | 832,776.93 | 884,469.54 | 929,958.32 | 959,251.10 | (215,792.19) | 743,458.91 |
| 55018 | BUILDING ADMINISTRATION | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55019 | LEASE SPACE INITIATIVE | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55052 | ARCHIVES RECORD MGMT I.S. | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55053 | FEDERAL SINGLE AUDIT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55055 | CIVIL SERVICE LAW-SEC. 11 ADMIN | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55056 | CIVIL SERVICE EHS OCCUP HEALTH PROG | 133,516.10 | 192,404.91 | 340,532.19 | 476,854.67 | (22,712.97) | 454,141.70 |
| 55057 | BANKING SERVICES ACCOUNT | 243,726.17 | 197,971.92 | 21,974.14 | 4,100.91 | 164,003.12 | 168,104.03 |
| 55058 | CULTURAL RESOURCE SURVEY | 2,442,602.25 | 2,238,270.87 | 2,589,309.97 | 2,767,096.42 | (634,846.15) | 2,132,250.27 |
| 55059 | NEIGHBOR WORK PROJECT | 7,590,449.29 | 7,867,730.77 | 8,288,876.07 | 7,888,883.72 | 1,069,394.53 | 8,958,278.25 |
| 55060 | AUTOMATIC/PRINT CHARGBACKS | 1,641,820.15 | 2,389,407.52 | 1,283,218.75 | 1,902,463.81 | 486,278.68 | 2,388,742.49 |
| 55061 | OFT NYT ACCT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55062 | DATA CENTER ACCOUNT | 4,779,308.36 | 13,792,666.19 | 16,524,844.54 | 19,724,764.62 | (15,764,384.32) | 3,960,380.30 |
| 55063 | HUMAN SVCE TELECOM ACCT | 0.00 | 0.00 | 597,425.84 | 1,212,299.44 | (1,212,299.44) | 0.00 |
| 55065 | OPWDD COPY CENTER ACCOUNT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55066 | CYBER SECURITY INTRUSION ACCT | 1,010,444.32 | 914,860.54 | 914,860.54 | 1,367,712.88 | 22.00 | 1,367,734.88 |
| 55067 | DOMESTIC VIOLENCE GRANT | 320,787.56 | 362,741.59 | 258,647.58 | 244,418.93 | (244,418.93) | 0.00 |
| 55069 | CENTRALIZED TECHNOLOGY SERVICES | 1,363,088.84 | 1,499,603.41 | 2,012,790.82 | 0.00 | 0.00 | 0.00 |
| 55070 | LEARNING MGMT SYSTEM | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55201 | JOINT LABOR MANAGEMENT ADMIN | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 55251 | EXECUTIVE DIRECTION INTERNAL AUDIT | 477,780.23 | 572,665.69 | 829,011.04 | 1,124,212.97 | 131,608.69 | 1,255,821.66 |
| 55300 | HEALTH INSURANCE INTERNAL SERVICE | 15,377,254.63 | 15,987,768.33 | 17,577,526.22 | 17,419,768.94 | (536,895.70) | 16,882,873.24 |
| 55301 | CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM | 2,897,872.78 | 2,987,520.34 | 1,851,670.76 | 2,047,156.60 | 180,635.84 | 2,227,792.44 |
| 55350 | CORR INDUSTRIES INTERNAL SERVICE | 6,114,127.69 | 6,212,636.32 | 5,687,198.13 | 8,524,185.02 | 638,463.58 | 9,162,648.60 |
| | TOTAL INTERNAL SERVICE FUNDS | \$82,965,960.65 | \$93,018,265.55 | \$98,738,243.64 | \$110,619,816.85 | (\$26,474,032.86) | \$84,145,783.99 |
| GRAND TOTAL - TEMPORARY LOANS OUTSTANDING | | \$2,460,009,664.56 | \$4,328,152,172.15 | \$4,192,597,344.38 | \$3,761,600,203.83 | \$892,151,072.12 | \$4,653,751,275.95 |

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part U, Section 1 and 1A, of the Laws of 2012-13. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

NOTE:

- (1) - Includes all negative cash balance Subfunds within fund 25000-25036 (261).
- (2) - Includes all negative cash balance Subfunds within fund 25100-25183 (265).
- (3) - Includes all negative cash balance Subfunds within fund 25200-25220 (267).
- (4) - Includes all negative cash balance Subfunds within fund 25250-25261 (269).
- (5) - Includes all negative cash balance Subfunds within fund 25300-25519 (290).
- (6) - Includes all other negative cash balance Subfunds within fund 31350-31376 (291).
- (7) - The Fund 31354 (291-10) temporary loan balance includes \$69.8 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 30051 (072-01) based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 30051 (072-01) on or before March 31, 2013.
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 10000 (001) and State Purpose Fund 10050 (003).