

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
October 2010**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (*)
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

		STATE OPERATING FUNDS						TOTAL		FEDERAL		CAPITAL PROJECTS		SPECIAL REVENUE ELIMINATIONS		TOTAL	
		GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		STATE OPERATING FUNDS		SPECIAL REVENUE						GOVERNMENTAL FUNDS	
		MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010
RECEIPTS:																	
Personal Income Tax	(8)	\$1,609.9	\$13,441.5	\$8.7	\$607.6	\$539.5	\$4,683.0	\$2,158.1	\$18,732.1	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,158.1	\$18,732.1
Consumption/Use Taxes	(9)	668.7	4,980.1	184.2	1,283.1	203.0	1,512.3	1,055.9	7,775.5	--	--	45.6	349.8	--	--	1,101.5	8,125.3
Business Taxes		59.5	2,128.2	56.7	668.6	--	--	116.2	2,796.8	--	--	50.7	364.6	--	--	166.9	3,161.4
Other Taxes		81.7	712.8	96.2	718.5	35.7	291.6	213.6	1,722.9	--	--	11.9	59.5	--	--	225.5	1,782.4
Miscellaneous Receipts	(9)(12)	147.2	1,473.1	1,210.6	8,574.8	84.1	487.3	1,441.9	10,535.2	10.3	96.1	209.7	1,999.0	--	--	1,661.9	12,630.3
Federal Receipts	(1)	15.6	28.9	0.1	0.3	5.8	23.4	21.5	52.6	3,542.5	26,850.0	221.0	1,404.2	--	--	3,785.0	28,306.8
Total Receipts		2,582.6	22,764.6	1,556.5	11,852.9	868.1	6,997.6	5,007.2	41,615.1	3,552.8	26,946.1	538.9	4,177.1	--	--	9,098.9	72,738.3
DISBURSEMENTS:																	
Local Assistance Grants:	(1)(2)(8)																
General Purpose		13.6	596.1	--	--	--	--	13.6	596.1	--	--	--	--	--	--	13.6	596.1
Education		478.9	10,675.2	93.3	3,037.6	--	--	572.2	13,712.8	268.2	3,308.3	5.5	29.7	--	--	845.9	17,050.8
Social Services:																	
Medicaid	(6)	220.9	4,706.4	532.6	2,710.6	--	--	753.5	7,417.0	1,987.7	16,305.1	--	--	--	--	2,741.2	23,722.1
Other Social Services		491.1	1,469.6	(1.1)	7.1	--	--	490.0	1,476.7	444.0	2,392.4	0.2	2.7	--	--	934.2	3,871.8
Health and Environment	(6)	71.7	584.9	120.3	817.9	--	--	192.0	1,402.8	62.7	684.2	33.2	206.9	--	--	287.9	2,293.9
Mental Hygiene		35.6	169.5	139.9	697.1	--	--	175.5	866.6	10.5	104.4	9.4	47.1	--	--	195.4	1,018.1
Transportation		--	38.9	257.7	2,057.1	--	--	257.7	2,096.0	5.3	24.5	53.0	338.6	--	--	316.0	2,459.1
Criminal Justice		12.7	57.4	3.0	33.7	--	--	15.7	91.1	16.5	133.9	--	--	--	--	32.2	225.0
Emergency Management & Security Service		1.3	4.8	--	--	--	--	1.3	4.8	34.6	96.4	--	--	--	--	35.9	101.2
Miscellaneous	(11)	29.5	191.4	15.2	110.3	--	--	44.7	301.7	37.5	309.9	82.2	613.4	--	--	164.4	1,225.0
Total Local Assistance Grants		1,355.3	18,494.2	1,160.9	9,471.4	--	--	2,516.2	27,965.6	2,867.0	23,359.1	183.5	1,238.4	--	--	5,566.7	52,563.1
Departmental Operations:																	
Personal Service		404.6	3,759.0	559.2	3,431.1	--	--	963.8	7,190.1	51.1	414.2	--	--	--	--	1,014.9	7,604.3
Non-Personal Service		123.1	1,051.3	260.4	1,583.9	1.4	39.5	384.9	2,674.7	120.0	589.7	--	--	--	--	504.9	3,264.4
General State Charges	(7)	438.7	1,833.7	75.1	878.7	--	--	513.8	2,712.4	12.4	132.9	--	--	--	--	526.2	2,845.3
Debt Service, Including Payments on Financing Agreements	(3)	--	--	--	--	103.6	2,293.8	103.6	2,293.8	--	--	--	--	--	--	103.6	2,293.8
Capital Projects	(4)(11)	--	--	1.7	14.5	--	--	1.7	14.5	--	--	443.6	2,999.9	--	--	445.3	3,014.4
Total Disbursements		2,321.7	25,138.2	2,057.3	15,379.6	105.0	2,333.3	4,484.0	42,851.1	3,050.5	24,495.9	627.1	4,238.3	--	--	8,161.6	71,585.3
Excess (Deficiency) of Receipts over Disbursements		260.9	(2,373.6)	(500.8)	(3,526.7)	763.1	4,664.3	523.2	(1,236.0)	502.3	2,450.2	(88.2)	(61.2)	--	--	937.3	1,153.0
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)		--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds	(5)(10)	617.4	5,954.5	702.3	4,584.5	970.8	4,437.5	2,290.5	14,976.5	--	--	92.6	360.7	(133.3)	(375.3)	2,249.8	14,961.9
Transfers to Other Funds	(5)	(1,003.4)	(3,626.3)	(4.6)	(174.8)	(874.5)	(8,120.8)	(1,882.5)	(11,921.9)	(411.2)	(2,672.7)	(94.1)	(791.5)	133.3	375.3	(2,254.5)	(15,010.8)
Total Other Financing Sources (Uses)		(386.0)	2,328.2	697.7	4,409.7	96.3	(3,683.3)	408.0	3,054.6	(411.2)	(2,672.7)	(1.5)	(430.8)	--	--	(4.7)	(48.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(125.1)	(45.4)	196.9	883.0	859.4	981.0	931.2	1,818.6	91.1	(222.5)	(89.7)	(492.0)	--	--	932.6	1,104.1
Beginning Fund Balances (Deficit)		2,381.4	2,301.7	2,783.7	2,097.6	532.5	410.9	5,697.6	4,810.2	(10.4)	303.2	(655.6)	(253.3)	--	--	5,031.6	4,860.1
Ending Fund Balances (Deficit)	(10)	\$2,256.3	\$2,256.3	\$2,980.6	\$2,980.6	\$1,391.9	\$1,391.9	\$6,628.8	\$6,628.8	\$80.7	\$80.7	(\$745.3)	(\$745.3)	\$ --	\$ --	\$5,964.2	\$5,964.2

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
Federal Special Revenue Funds accounts for all non-capital federal operating grants received by the State.
Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(8)	\$1,609.9	\$13,441.5	\$8.7	\$607.6	\$539.5	\$4,683.0	\$ --	\$ --	\$2,158.1	\$18,732.1	\$1,906.9	\$17,966.8	\$765.3	4.3%
Consumption/Use Taxes	(9)	668.7	4,980.1	184.2	1,283.1	203.0	1,512.3	45.6	349.8	1,101.5	8,125.3	993.1	7,541.9	583.4	7.7%
Business Taxes		59.5	2,128.2	56.7	668.6	--	--	50.7	364.6	166.9	3,161.4	278.4	3,758.9	(597.5)	-15.9%
Other Taxes		81.7	712.8	96.2	718.5	35.7	291.6	11.9	59.5	225.5	1,782.4	154.0	869.4	913.0	105.0%
Miscellaneous Receipts	(9)(12)	147.2	1,473.1	1,220.9	8,670.9	84.1	487.3	209.7	1,999.0	1,661.9	12,630.3	1,671.3	12,870.4	(240.1)	-1.9%
Federal Receipts	(1)	15.6	28.9	3,542.6	26,850.3	5.8	23.4	221.0	1,404.2	3,785.0	28,306.8	4,723.7	25,393.2	2,913.6	11.5%
Total Receipts		2,582.6	22,764.6	5,109.3	38,799.0	868.1	6,997.6	538.9	4,177.1	9,098.9	72,738.3	9,727.4	68,400.6	4,337.7	6.3%
DISBURSEMENTS:															
Local Assistance Grants:	(1)(2)(8)														
General Purpose		13.6	596.1	--	--	--	--	--	--	13.6	596.1	14.3	610.2	(14.1)	-2.3%
Education		478.9	10,675.2	361.5	6,345.9	--	--	5.5	29.7	845.9	17,050.8	1,420.6	15,128.9	1,921.9	12.7%
Social Services:															
Medicaid	(6)	220.9	4,706.4	2,520.3	19,015.7	--	--	--	--	2,741.2	23,722.1	3,118.9	22,834.3	887.8	3.9%
Other Social Services		491.1	1,469.6	442.9	2,399.5	--	--	0.2	2.7	934.2	3,871.8	994.5	4,238.9	(367.1)	-8.7%
Health and Environment	(6)	71.7	584.9	183.0	1,502.1	--	--	33.2	206.9	287.9	2,293.9	290.6	2,566.3	(272.4)	-10.6%
Mental Hygiene		35.6	169.5	150.4	801.5	--	--	9.4	47.1	195.4	1,018.1	238.7	1,084.7	(66.6)	-6.1%
Transportation		--	38.9	263.0	2,081.6	--	--	53.0	338.6	316.0	2,459.1	186.9	1,585.1	874.0	55.1%
Criminal Justice		12.7	57.4	19.5	167.6	--	--	--	--	32.2	225.0	30.7	286.2	(61.2)	-21.4%
Emergency Management & Security Services		1.3	4.8	34.6	96.4	--	--	--	--	35.9	101.2	10.4	97.3	3.9	4.0%
Miscellaneous	(11)	29.5	191.4	52.7	420.2	--	--	82.2	613.4	164.4	1,225.0	250.9	1,276.5	(51.5)	-4.0%
Total Local Assistance Grants		1,355.3	18,494.2	4,027.9	32,830.5	--	--	183.5	1,238.4	5,566.7	52,563.1	6,556.5	49,708.4	2,854.7	5.7%
Departmental Operations:															
Personal Service		404.6	3,759.0	610.3	3,845.3	--	--	--	--	1,014.9	7,604.3	1,247.6	7,979.5	(375.2)	-4.7%
Non-Personal Service		123.1	1,051.3	380.4	2,173.6	1.4	39.5	--	--	504.9	3,264.4	497.4	3,355.5	(91.1)	-2.7%
General State Charges	(7)	438.7	1,833.7	87.5	1,011.6	--	--	--	--	526.2	2,845.3	503.3	2,797.3	48.0	1.7%
Debt Service, Including Payments on															
Financing Agreements	(3)	--	--	--	--	103.6	2,293.8	--	--	103.6	2,293.8	637.3	2,594.6	(300.8)	-11.6%
Capital Projects	(4)(11)	--	--	1.7	14.5	--	--	443.6	2,999.9	445.3	3,014.4	474.2	3,118.7	(104.3)	-3.3%
Total Disbursements		2,321.7	25,138.2	5,107.8	39,875.5	105.0	2,333.3	627.1	4,238.3	8,161.6	71,585.3	9,916.3	69,554.0	2,031.3	2.9%
Excess (Deficiency) of Receipts over Disbursements		260.9	(2,373.6)	1.5	(1,076.5)	763.1	4,664.3	(88.2)	(61.2)	937.3	1,153.0	(188.9)	(1,153.4)	2,306.4	200.0%
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds	(5)(10)	617.4	5,954.5	569.0	4,209.2	970.8	4,437.5	92.6	360.7	2,249.8	14,961.9	2,370.7	14,336.4	625.5	4.4%
Transfers to Other Funds	(5)	(1,003.4)	(3,626.3)	(282.5)	(2,472.2)	(874.5)	(8,120.8)	(94.1)	(791.5)	(2,254.5)	(15,010.8)	(2,375.6)	(14,380.3)	630.5	4.4%
Total Other Financing Sources (Uses)		(386.0)	2,328.2	286.5	1,737.0	96.3	(3,683.3)	(1.5)	(430.8)	(4.7)	(48.9)	(4.9)	(43.9)	(5.0)	-11.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(125.1)	(45.4)	288.0	660.5	859.4	981.0	(89.7)	(492.0)	932.6	1,104.1	(193.8)	(1,197.3)	2,301.4	192.2%
Beginning Fund Balances (Deficit)		2,381.4	2,301.7	2,773.3	2,400.8	532.5	410.9	(655.6)	(253.3)	5,031.6	4,860.1	3,582.3	4,585.8	274.3	6.0%
Ending Fund Balances (Deficit)	(10)	\$2,256.3	\$2,256.3	\$3,061.3	\$3,061.3	\$1,391.9	\$1,391.9	(\$745.3)	(\$745.3)	\$5,964.2	\$5,964.2	\$3,388.5	\$3,388.5	\$2,575.7	76.0%

GOVERNMENTAL FUNDS FOOTNOTES

October 2010 - Exhibit A Notes

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in November 2010:

Federal DHHS (Medicaid)	\$42.6 million
Federal DHHS (All Other)	84.0
Federal USDA/Food and Consumer Services	--
Federal DHHS/Block Grant	--
Federal Education	10.6
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	2.8

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$17.2 million
Urban Development Corporation (Youth Facilities)	9.0
Housing Finance Agency (HFA)	118.1
Housing Assistance Fund	25.0
Dormitory Authority (Mental Hygiene)	394.8
Dormitory Authority and State University Income Fund	50.5
Federal Capital Projects	17.7
State bond and note proceeds	38.2

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects	\$267.6 million
General Debt Service	1,385.5
MTA Operating Assistance	31.6
MTA Financial Assistance	13.6
Housing Debt Fund	1.6
Banking Services	50.5
Alcoholic Beverage Control Account	11.0
Empire State Stem Cell	26.0
Court Facilities Incentive Aid	100.2
State University Income	36.1
NYC County Courts Operating	8.4

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$17.2m), the State University Income Funds (\$178.0m) and the Mental Hygiene Program Account (\$1,496.7m).

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds (\$2,256.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Dept of Health Services	\$41.0 million
Unemployment Insurance, Interest & Penalty	5.0
Revenue Arrearage Account	21.6
Youth Facilities Per Diem	54.7
Business & Licensing Services Account	13.0
Statewide Public Safety Communications Account	10.0
Code Enforcement Account	5.0
Workers Compensation Board	11.5
Miscellaneous State Special Revenue Funds	3.3

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,105.5 million
Local Government Assistance Tax	1,435.6
Clean Water/Clean Air	224.3

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$74.9m), Mental Hygiene (\$2,049.9m) and the State University (\$178.9m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$19.9m), the General Debt Service Fund (\$715.5m) and the Revenue Bond Fund (\$54.2m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts and accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

Account	Allocation of Month-End Balances	
	General Fund	Special Revenue-Federal
Medicaid Recoveries - Health Facilities	14,073,342	\$5,217,625
Medicaid Recoveries - Audit	--	4,452,944
Medicaid Recoveries - Third Parties	--	5,843,839
Pharmacy Rebates	--	2,107,110
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	18,760	--
Total	<u>\$14,092,102</u>	<u>\$17,621,518</u>

GOVERNMENTAL FUNDS FOOTNOTES (continued)

October 2010 - Exhibit A Notes
(continued)

7. The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of October 31, 2010, the Account had a balance of \$263.1m, and \$113.0m in appropriation authority was available to 'offset' the State's contribution for employee health insurance. This will leave a balance of \$150.1m in available cash for future offset or refunds to participating employees and pensioners.
8. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$496.6m for the month of June, \$102.3m for the month of September and \$8.7m in October.
9. Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes. For comparative purposes, the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts have been restated.
10. Chapter 56, Part JJ, §35 of the Laws of 2010 added State Finance Law §72(4)(b) to allow the General Debt Service Fund to maintain a cash reserve balance for the payment of debt service and related expenses in the current quarter of the State Fiscal Year. Pursuant to a certification submitted by the Director of the Budget, the State Comptroller is required to reserve in the General Debt Service Fund the amount of monies needed for these payments. At October 31, 2010, the General Debt Service Fund includes a reserve amount of \$589.4m to meet estimated debt service and related expense payments.
11. In October 2010, it was discovered some Economic Development Grant disbursements were coded as State Capital Projects. Upon further review it was determined these disbursements should properly be reported as Local Assistance Grants. Capital Project disbursements have been reduced and Local Assistance Grants increased in the current and prior fiscal year to reflect the determination.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

12. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	7 Months Ended October 31		\$ Increase/ (Decrease)
					2010	2009	
(amounts in millions)							
Abandoned Property							
Abandoned Property	\$177.2	\$ --	\$ --	\$ --	\$177.2	\$207.0	(\$29.8)
Unclaimed Bottle Deposits	75.5	--	--	--	75.5	4.2	71.3
Interest Earnings	3.3	9.6	0.4	0.5	13.8	29.0	(15.2)
Receipts from Public Authorities:							
Bond Issuance Fees	58.1	7.2	--	--	65.3	75.8	(10.5)
Cost Recovery Assessments	(0.8)	13.9	--	--	13.1	5.3	7.8
Empire State/Urban Development Corporation	--	0.1	--	--	0.1	0.7	(0.6)
Environmental Facilities Corporation	--	0.3	--	--	0.3	5.0	(4.7)
Hudson River Park Trust	--	--	--	4.3	4.3	12.1	(7.8)
Power Authority	40.0	3.2	--	--	43.2	106.5	(63.3)
State of NY Mortgage Agency	--	--	--	--	--	8.5	(8.5)
Thruway Authority - Policing the Thruway	--	28.8	--	--	28.8	32.8	(4.0)
Bond Proceeds							
Dormitory Authority	--	13.9	--	534.0	547.9	684.5	(136.6)
Empire State/Urban Development Corporation	--	--	--	626.7	626.7	394.5	232.2
Environmental Facilities Corporation	--	--	--	3.8	3.8	36.2	(32.4)
Housing Finance Agency	--	--	--	95.3	95.3	87.4	7.9
Thruway Authority	--	--	--	173.4	173.4	285.1	(111.7)
All Other	--	0.8	--	0.1	0.9	1.3	(0.4)
Refunds and Reimbursements:							
Receipts from Municipalities	96.4	112.6	7.1	--	216.1	306.1	(90.0)
Women, Infants and Children Rebates	--	58.5	--	--	58.5	60.5	(2.0)
HESC Student Loan Recoveries	--	37.7	--	--	37.7	40.5	(2.8)
Administrative Recoveries	42.3	52.7	--	--	95.0	84.5	10.5
Indirect Cost Assessments	59.2	--	--	--	59.2	69.1	(9.9)
Reimbursements from Cornell University	12.2	--	--	--	12.2	12.5	(0.3)
Hazardous Waste and Oil Spill	--	6.7	--	6.2	12.9	17.7	(4.8)
Third Party Recoveries	--	31.8	--	--	31.8	78.1	(46.3)
All Other	14.7	8.4	0.7	29.3	53.1	73.0	(19.9)
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	2,282.7	--	--	2,282.7	2,286.8	(4.1)
Public Asset Transfers	--	--	--	--	--	95.0	(95.0)
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	782.2	257.2	--	1,039.4	1,042.0	(2.6)
Medical Care Provider Assessments	102.2	437.8	--	--	540.0	513.1	26.9
Industry Assessments - Regular	40.7	552.9	--	18.7	612.3	663.8	(51.5)
Industry Assessments - Temporary Utility Surcharge	212.7	--	--	--	212.7	601.8	(389.1)
Student Tuition, Fees and Other SUNY Revenues	--	1,044.5	221.9	--	1,266.4	1,261.5	4.9
Student Tuition, Fees and Other CUNY Revenues	--	69.0	--	--	69.0	77.1	(8.1)
EPIC Fees and Rebates	--	129.5	--	--	129.5	119.0	10.5
Miscellaneous Sales, Rentals and Leases	3.3	16.5	--	5.8	25.6	26.3	(0.7)
Gifts	0.3	2.6	--	--	2.9	12.6	(9.7)
All Other	12.0	15.6	--	--	27.6	11.7	15.9
Gaming:							
Lottery - Education	--	995.8	--	--	995.8	1,055.7	(59.9)
Lottery - Administration	--	300.6	--	--	300.6	330.3	(29.7)
Video Lottery Terminal - Education	--	679.4	--	--	679.4	268.4	411.0
Video Lottery Terminal - Administration	--	18.6	--	--	18.6	24.6	(6.0)
Casinos	--	4.6	--	--	4.6	64.8	(60.2)
Licenses	13.9	111.3	--	0.3	125.5	137.4	(11.9)
Fees							
Motor Vehicle	--	142.0	--	435.4	577.4	505.0	72.4
Metropolitan Transportation Authority	--	114.2	--	--	114.2	20.0	94.2
Alcohol Beverage Control Licensing	25.7	--	--	--	25.7	32.7	(7.0)
All Other	247.0	507.3	--	61.9	816.2	741.0	75.2
Fines	237.2	77.6	--	3.3	318.1	261.9	56.2
TOTAL	\$1,473.1	\$8,670.9	\$487.3	\$1,999.0	\$12,630.3	\$12,870.4	(\$240.1)

Miscellaneous receipts includes Alcoholic Beverage Control and Motor Vehicle license fees. In prior years, these fees were reported as consumption/use taxes.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009
RECEIPTS:								
Miscellaneous Receipts	\$4.1	\$41.8	\$39.2	\$233.1	\$43.3	\$274.9	\$37.5	\$272.9
Federal Receipts (*)	436.3	3,480.1	--	--	436.3	3,480.1	428.0	3,052.0
Unemployment Taxes	265.0	2,184.4	--	--	265.0	2,184.4	333.7	2,515.3
TOTAL RECEIPTS	705.4	5,706.3	39.2	233.1	744.6	5,939.4	799.2	5,840.2
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.4	4.2	9.0	67.4	9.4	71.6	9.3	75.3
Non-Personal Service	4.9	33.5	40.8	209.1	45.7	242.6	38.4	281.1
General State Charges	--	0.3	2.2	23.9	2.2	24.2	1.6	26.5
Unemployment Benefits (*)	696.2	5,578.8	--	--	696.2	5,578.8	729.6	5,492.1
TOTAL DISBURSEMENTS	701.5	5,616.8	52.0	300.4	753.5	5,917.2	778.9	5,875.0
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	3.9	89.5	(12.8)	(67.3)	(8.9)	22.2	20.3	(34.8)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	4.9	51.9	4.9	51.9	4.9	52.9
Transfers to Other Funds	--	(1.0)	--	(1.9)	--	(2.9)	--	(4.0)
NET SOURCES (USES)	--	(1.0)	4.9	50.0	4.9	49.0	4.9	48.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3.9	88.5	(7.9)	(17.3)	(4.0)	71.2	25.2	14.1
BEGINNING FUND EQUITY (DEFICITS)	20.5	(64.1)	8.7	18.1	29.2	(46.0)	(38.8)	(27.7)
ENDING FUND EQUITY (DEFICITS)	\$24.4	\$24.4	\$0.8	\$0.8	\$25.2	\$25.2	(\$13.6)	(\$13.6)

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009
RECEIPTS:								
Miscellaneous Receipts	\$16.5	\$64.1	\$0.1	\$0.6	\$16.6	\$64.7	\$4.9	\$59.2
TOTAL RECEIPTS	<u>16.5</u>	<u>64.1</u>	<u>0.1</u>	<u>0.6</u>	<u>16.6</u>	<u>64.7</u>	<u>4.9</u>	<u>59.2</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	4.3	32.8	--	0.2	4.3	33.0	4.1	32.8
Non-Personal Service	4.0	11.0	--	--	4.0	11.0	1.1	12.4
General State Charges	8.1	20.3	--	0.1	8.1	20.4	4.1	18.0
TOTAL DISBURSEMENTS	<u>16.4</u>	<u>64.1</u>	<u>--</u>	<u>0.3</u>	<u>16.4</u>	<u>64.4</u>	<u>9.3</u>	<u>63.2</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>0.1</u>	<u>--</u>	<u>0.1</u>	<u>0.3</u>	<u>0.2</u>	<u>0.3</u>	<u>(4.4)</u>	<u>(4.0)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	0.1	--	0.1	0.3	0.2	0.3	(4.4)	(4.0)
BEGINNING FUND EQUITY (DEFICITS)	<u>(0.1)</u>	<u>--</u>	<u>9.5</u>	<u>9.3</u>	<u>9.4</u>	<u>9.3</u>	<u>10.2</u>	<u>9.8</u>
ENDING FUND EQUITY (DEFICITS)	<u>\$ --</u>	<u>\$ --</u>	<u>\$9.6</u>	<u>\$9.6</u>	<u>\$9.6</u>	<u>\$9.6</u>	<u>\$5.8</u>	<u>\$5.8</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2011
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2010
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$31,630	\$31,801.2	\$171.2
Miscellaneous Receipts.....	12,773	12,630.3	(142.7)
Federal Receipts.....	28,311	28,306.8	(4.2)
Total Receipts.....	72,714	72,738.3	24.3
DISBURSEMENTS:			
Local Assistance Grants.....	52,288	52,563.1	275.1
Departmental Operations.....	10,856	10,868.7	12.7
General State Charges.....	2,848	2,845.3	(2.7)
Debt Service.....	2,295	2,293.8	(1.2)
Capital Projects.....	3,476	3,014.4	(461.6)
Total Disbursements.....	71,763	71,585.3	(177.7)
Excess (Deficiency) of Receipts over Disbursements.....	951	1,153.0	202.0
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	--	--	--
Transfers from Other Funds.....	14,876	14,961.9	85.9
Transfers to Other Funds.....	(14,915)	(15,010.8)	95.8
Total Other Financing Sources (Uses).....	(39.0)	(48.9)	(9.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	912	1,104.1	192.1
Fund Balances (Deficit) at April 1.....	4,859	4,860.1	1.1
Fund Balances (Deficit) at October 31.....	\$5,771	\$5,964.2	\$193.2

(*) Source: DOB, 2010-11 Mid Year Financial Plan Update dated November 01, 2010.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2011
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2010
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$13,357	\$13,441.5	\$84.5	\$608	\$607.6	(\$0.4)
Consumption/Use	5,007	4,980.1	(26.9)	1,288	1,283.1	(4.9)
Business.....	2,061	2,128.2	67.2	658	668.6	10.6
Other.....	698	712.8	14.8	714	718.5	4.5
Miscellaneous Receipts	1,453	1,473.1	20.1	8,854	8,670.9	(183.1)
Federal Receipts.....	28	28.9	0.9	26,849	26,850.3	1.3
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	4,070	4,105.5	35.5	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,441	1,435.6	(5.4)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	221	224.3	3.3	--	--	--
All Other.....	200	189.1	(10.9)	4,189	4,209.2	20.2
Total Receipts.....	28,536	28,719.1	183.1	43,160	43,008.2	(151.8)
DISBURSEMENTS:						
Local Assistance Grants.....	18,512	18,494.2	(17.8)	33,000	32,830.5	(169.5)
Departmental Operations.....	4,806	4,810.3	4.3	6,011	6,018.9	7.9
General State Charges.....	1,836	1,833.7	(2.3)	1,012	1,011.6	(0.4)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	14	14.5	0.5
Transfers To:						
Debt Service.....	1,386	1,385.5	(0.5)	--	--	--
Capital Projects.....	269	267.6	(1.4)	--	--	--
State Share Medicaid.....	1,497	1,496.7	(0.3)	--	--	--
Other Purposes.....	461	476.5	15.5	2,426	2,472.2	46.2
Total Disbursements.....	28,767	28,764.5	(2.5)	42,463	42,347.7	(115.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(231)	(45.4)	185.6	697	660.5	(36.5)
Fund Balances (Deficit) at April 1.....	2,302	2,301.7	(0.3)	2,400	2,400.8	0.8
Fund Balances (Deficit) at October 31.....	\$2,071	\$2,256.3	\$185.3	\$3,097	\$3,061.3	(\$35.7)

(*) Source: DOB, 2010-11 Mid Year Financial Plan Update dated November 01, 2010.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2011
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2010
 (amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes	\$6,466	\$6,486.9	\$20.9	\$773	\$773.9	\$0.9
Miscellaneous Receipts	467	487.3	20.3	1,999	1,999.0	--
Federal Receipts.....	30	23.4	(6.6)	1,404	1,404.2	0.2
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers from Other Funds.....	4,395	4,437.5	42.5	360	360.7	0.7
Total Receipts.....	11,358	11,435.1	77.1	4,536	4,537.8	1.8
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	776	1,238.4	462.4 (**)
Departmental Operations.....	39	39.5	0.5	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,295	2,293.8	(1.2)	--	--	--
Capital Projects.....	--	--	--	3,462	2,999.9	(462.1) (**)
Transfers to Other Funds.....	8,086	8,120.8	34.8	790	791.5	1.5
Total Disbursements.....	10,420	10,454.1	34.1	5,028	5,029.8	1.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	938	981.0	43.0	(492)	(492.0)	--
Fund Balances (Deficit) at April 1.....	410	410.9	0.9	(253)	(253.3)	(0.3)
Fund Balances (Deficit) at October 31.....	\$1,348	\$1,391.9	\$43.9	(\$745)	(\$745.3)	(\$0.3)

(*) Source: DOB, 2010-11 Mid Year Financial Plan Update dated November 01, 2010.

(**) In October 2010, it was discovered some Economic Development Grant disbursements were coded as State Capital Projects. Upon further review it was determined these disbursements should properly be reported as Local Assistance Grants. Actual Capital Project disbursements have been reduced and Local Assistance Grants increased in the current fiscal year to reflect the determination.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,042.7	\$15,307.5	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,042.7	\$15,307.5	\$1,995.5	\$14,434.2	\$873.3	6.1%
Estimated payments	104.0	6,105.7	--	--	--	--	--	--	104.0	6,105.7	62.8	5,489.6	616.1	11.2%
Final returns	263.0	1,783.6	--	--	--	--	--	--	263.0	1,783.6	255.8	1,656.3	127.3	7.7%
State/City Offsets	(19.5)	(53.2)	--	--	--	--	--	--	(19.5)	(53.2)	(178.8)	119.4	(172.6)	-144.6%
Other (Assessments/LLC)	83.9	548.8	--	--	--	--	--	--	83.9	548.8	72.7	543.6	5.2	1.0%
Gross Receipts	2,474.1	23,692.4	--	--	--	--	--	--	2,474.1	23,692.4	2,208.0	22,243.1	1,449.3	6.5%
Transfers to School Tax Relief Fund	(8.7)	(607.6)	8.7	607.6	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(539.5)	(4,683.0)	--	--	539.5	4,683.0	--	--	--	--	--	--	--	--
Less: Refunds Issued	(316.0)	(4,960.3)	--	--	--	--	--	--	(316.0)	(4,960.3)	(301.1)	(4,276.3)	684.0	16.0%
Total	1,609.9	13,441.5	8.7	607.6	539.5	4,683.0	--	--	2,158.1	18,732.1	1,906.9	17,966.8	765.3	4.3%
CONSUMPTION / USE TAXES (*)														
Sales and Use	609.5	4,546.3	54.5	465.9	203.0	1,512.3	--	--	867.0	6,524.5	807.3	6,141.7	382.8	6.2%
Auto Rental (**)	--	--	--	18.3	--	--	--	31.0	--	49.3	0.1	33.6	15.7	46.7%
Cigarette/Tobacco Products	38.5	296.2	101.2	674.6	--	--	--	--	139.7	970.8	108.7	846.5	124.3	14.7%
Motor Fuel	--	--	9.2	63.6	--	--	34.5	241.4	43.7	305.0	45.7	301.7	3.3	1.1%
Alcoholic Beverage	20.7	137.6	--	--	--	--	--	--	20.7	137.6	19.0	133.7	3.9	2.9%
Highway Use	--	--	--	--	--	--	11.1	77.4	11.1	77.4	12.3	84.7	(7.3)	-8.6%
Metropolitan Commuter Trans. Taxicab Trip	--	--	19.3	60.7	--	--	--	--	19.3	60.7	--	--	60.7	100.0%
Total	668.7	4,980.1	184.2	1,283.1	203.0	1,512.3	45.6	349.8	1,101.5	8,125.3	993.1	7,541.9	583.4	7.7%
BUSINESS TAXES														
Corporation Franchise	40.7	964.5	8.3	158.8	--	--	--	--	49.0	1,123.3	15.8	1,250.7	(127.4)	-10.2%
Corporation and Utilities	(1.2)	235.5	4.0	77.9	--	--	--	7.0	2.8	320.4	0.8	423.2	(102.8)	-24.3%
Insurance	9.3	489.6	1.6	55.7	--	--	--	--	10.9	545.3	14.1	645.0	(99.7)	-15.5%
Bank	10.7	438.6	2.3	90.5	--	--	--	--	13.0	529.1	151.2	772.9	(243.8)	-31.5%
Petroleum Business	--	--	40.5	285.7	--	--	50.7	357.6	91.2	643.3	96.5	667.1	(23.8)	-3.6%
Total	59.5	2,128.2	56.7	668.6	--	--	50.7	364.6	166.9	3,161.4	278.4	3,758.9	(597.5)	-15.9%
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	--	(0.6)	0.6	100.0%
Estate and Gift	80.0	700.1	--	--	--	--	--	--	80.0	700.1	59.3	544.9	155.2	28.5%
Pari-Mutuel	1.5	12.2	--	--	--	--	--	--	1.5	12.2	1.5	12.9	(0.7)	-5.4%
Real Estate Transfer	--	--	--	--	35.7	291.6	11.9	59.5	47.6	351.1	47.0	265.8	85.3	32.1%
Racing and Exhibitions	0.2	0.5	--	--	--	--	--	--	0.2	0.5	0.3	0.5	--	--
Metropolitan Commuter Trans. Mobility (***)	--	--	96.2	718.5	--	--	--	--	96.2	718.5	45.9	45.9	672.6	1465.4%
Total	81.7	712.8	96.2	718.5	35.7	291.6	11.9	59.5	225.5	1,782.4	154.0	869.4	913.0	105.0%
TOTAL TAX RECEIPTS	\$2,419.8	\$21,262.6	\$345.8	\$3,277.8	\$778.2	\$6,486.9	\$108.2	\$773.9	\$3,652.0	\$31,801.2	\$3,332.4	\$30,137.0	\$1,664.2	5.5%

(*) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes.

(**) Auto Rental includes \$18.3 million of monies collected by New York State on behalf of the Metropolitan Transportation Authority.

(***) Collection of the Metropolitan Commuter Transportation Mobility Tax began in November 2009.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

GOVERNMENTAL FUNDS CASH FLOW

													7 Months Ended Oct. 31			
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
BEGINNING CASH BALANCE	\$4,860.1	\$7,322.1	\$5,413.9	\$3,632.2	\$4,944.2	\$4,990.0	\$5,031.6						\$4,860.1	\$4,585.8	\$274.3	6.0%
RECEIPTS:																
Personal Income Tax	4,092.1	1,044.3	3,548.4	2,100.2	2,224.9	3,564.1	2,158.1						18,732.1	17,966.8	765.3	4.3%
Consumption/Use Taxes (**)	1,126.5	954.5	1,350.9	1,093.3	1,082.8	1,415.8	1,101.5						8,125.3	7,541.9	583.4	7.7%
Business Taxes	139.1	104.1	1,171.7	197.4	132.2	1,250.0	166.9						3,161.4	3,758.9	(597.5)	-15.9%
Other Taxes	274.9	245.4	234.4	314.7	254.1	233.4	225.5						1,782.4	869.4	913.0	105.0%
Miscellaneous Receipts (**)	1,534.1	1,381.0	2,016.7	1,290.7	1,728.6	3,017.3	1,661.9						12,630.3	12,870.4	(240.1)	-1.9%
Federal Receipts	3,826.6	3,293.0	3,906.3	4,283.7	4,310.3	4,901.9	3,785.0						28,306.8	25,393.2	2,913.6	11.5%
Total Receipts	10,993.3	7,022.3	12,228.4	9,280.0	9,732.9	14,382.5	9,098.9	0.0	0.0	0.0	0.0	0.0	72,738.3	68,400.6	4,337.7	6.3%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	2.2	11.1	441.7	0.7	--	126.8	13.6						596.1	610.2	(14.1)	-2.3%
Education	1,116.9	3,112.9	5,668.2	1,005.8	913.7	4,387.4	845.9						17,050.8	15,128.9	1,921.9	12.7%
Social Services:																
Medicaid	3,925.0	2,977.2	4,266.4	2,803.9	3,171.2	3,837.2	2,741.2						23,722.1	22,834.3	887.8	3.9%
Other Social Services	154.5	255.1	135.7	258.0	1,657.8	476.5	934.2						3,871.8	4,238.9	(367.1)	-8.7%
Health and Environment	203.5	191.3	274.9	289.5	553.5	493.3	287.9						2,293.9	2,566.3	(272.4)	-10.6%
Mental Hygiene	111.8	57.4	105.3	237.4	149.7	161.1	195.4						1,018.1	1,084.7	(66.6)	-6.1%
Transportation	270.7	258.1	110.8	630.8	355.5	517.2	316.0						2,459.1	1,585.1	874.0	55.1%
Criminal Justice	28.7	24.0	54.9	32.7	20.5	32.0	32.2						225.0	286.2	(61.2)	-21.4%
Emergency Management & Security Services	2.7	0.6	3.6	3.6	--	54.8	35.9						101.2	97.3	3.9	4.0%
Miscellaneous (***)	173.2	112.9	141.2	233.2	154.6	245.5	164.4						1,225.0	1,276.5	(51.5)	-4.0%
Total Local Assistance Grants	5,989.2	7,000.6	11,202.7	5,495.6	6,976.5	10,331.8	5,566.7	0.0	0.0	0.0	0.0	0.0	52,563.1	49,708.4	2,854.7	5.7%
Departmental Operations:																
Personal Service	1,035.9	993.9	999.3	1,165.3	1,039.9	1,355.1	1,014.9						7,604.3	7,979.5	(375.2)	-4.7%
Non-Personal Service	495.8	343.4	414.2	423.1	516.9	566.1	504.9						3,264.4	3,355.5	(91.1)	-2.7%
General State Charges	153.6	146.8	550.6	373.4	395.2	699.5	526.2						2,845.3	2,797.3	48.0	1.7%
Debt Service, Including Payments on Financing Agreements	514.8	160.3	290.9	107.7	273.8	842.7	103.6						2,293.8	2,594.6	(300.8)	-11.6%
Capital Projects (***)	342.0	285.4	531.2	399.7	481.2	529.6	445.3						3,014.4	3,118.7	(104.3)	-3.3%
Total Disbursements	8,531.3	8,930.4	13,988.9	7,964.8	9,683.5	14,324.8	8,161.6	0.0	0.0	0.0	0.0	0.0	71,585.3	69,554.0	2,031.3	2.9%
Excess (Deficiency) of Receipts over Disbursements	2,462.0	(1,908.1)	(1,760.5)	1,315.2	49.4	57.7	937.3	0.0	0.0	0.0	0.0	0.0	1,153.0	(1,153.4)	2,306.4	200.0%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--
Transfers from Other Funds	2,707.3	1,150.8	2,372.5	2,553.0	1,340.5	2,588.0	2,249.8						14,961.9	14,336.4	625.5	4.4%
Transfers to Other Funds	(2,707.3)	(1,150.9)	(2,393.7)	(2,556.2)	(1,344.1)	(2,604.1)	(2,254.5)						(15,010.8)	(14,380.3)	630.5	4.4%
Total Other Financing Sources (Uses)	--	(0.1)	(21.2)	(3.2)	(3.6)	(16.1)	(4.7)	0.0	0.0	0.0	0.0	0.0	(48.9)	(43.9)	(5.0)	-11.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,462.0	(1,908.2)	(1,781.7)	1,312.0	45.8	41.6	932.6						1,104.1	(1,197.3)	2,301.4	192.2%
CLOSING CASH BALANCE	\$7,322.1	\$5,413.9	\$3,632.2	\$4,944.2	\$4,990.0	\$5,031.6	\$5,964.2	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$5,964.2	\$3,388.5	\$2,575.7	76.0%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(**) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes. For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

(***) In October 2010, it was discovered some Economic Development Grant disbursements were coded as State Capital Projects. Upon further review it was determined these disbursements should properly be reported as Local Assistance Grants. Capital Project disbursements have been reduced and Local Assistance Grants increased in the current and prior fiscal year to reflect the determination.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT "F"

	2010							2011					7 Months Ended Oct. 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$2,301.7	\$4,274.1	\$1,647.5	(\$87.1)	\$590.1	\$527.9	\$2,381.4						\$2,301.7	\$1,948.5	\$353.2	18.1%
RECEIPTS:																
Personal Income Tax	3,069.1	783.2	2,164.7	1,575.1	1,668.7	2,570.8	1,609.9						13,441.5	12,543.5	898.0	7.2%
Consumption/Use Taxes (*)	669.3	588.6	858.5	666.2	666.3	862.5	668.7						4,980.1	4,705.7	274.4	5.8%
Business Taxes	60.2	1.9	915.4	79.9	21.4	989.9	59.5						2,128.2	2,547.9	(419.7)	-16.5%
Other Taxes	93.3	83.0	102.8	154.8	81.2	116.0	81.7						712.8	557.7	155.1	27.8%
Miscellaneous Receipts (*)	90.2	98.6	252.8	138.7	159.7	585.9	147.2						1,473.1	1,857.2	(384.1)	-20.7%
Federal Receipts	0.7	12.5	0.1	--	--	--	15.6						28.9	45.3	(16.4)	-36.2%
Total Receipts	3,982.8	1,567.8	4,294.3	2,614.7	2,597.3	5,125.1	2,582.6	0.0	0.0	0.0	0.0	0.0	22,764.6	22,257.3	507.3	2.28%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	2.2	11.1	441.7	0.7	--	126.8	13.6						596.1	610.2	(14.1)	-2.3%
Education	523.7	2,645.4	4,162.0	322.8	676.0	1,866.4	478.9						10,675.2	10,090.6	584.6	5.8%
Social Services:																
Medicaid	1,074.7	625.7	1,014.9	454.4	633.0	682.8	220.9						4,706.4	4,230.3	476.1	11.3%
Other Social Services	71.1	155.5	75.4	128.9	391.3	156.3	491.1						1,469.6	1,955.7	(486.1)	-24.9%
Health and Environment	39.5	30.4	122.5	16.3	119.2	185.3	71.7						584.9	916.7	(331.8)	-36.2%
Mental Hygiene	9.6	5.3	7.4	49.7	24.1	37.8	35.6						169.5	210.5	(41.0)	-19.5%
Transportation	0.3	0.1	0.2	10.9	8.9	18.5	--						38.9	43.5	(4.6)	-10.6%
Criminal Justice	6.1	8.0	5.9	3.9	7.4	13.4	12.7						57.4	85.3	(27.9)	-32.7%
Emergency Management & Security Services	0.1	--	(0.1)	1.7	--	1.8	1.3						4.8	21.3	(16.5)	-77.5%
Miscellaneous	23.3	15.7	23.2	28.1	44.0	27.6	29.5						191.4	274.7	(83.3)	-30.3%
Total Local Assistance Grants	1,750.6	3,497.2	5,853.1	1,017.4	1,903.9	3,116.7	1,355.3	0.0	0.0	0.0	0.0	0.0	18,494.2	18,438.8	55.4	0.3%
Departmental Operations:																
Personal Service	514.5	547.5	586.0	619.1	465.4	621.9	404.6						3,759.0	4,137.8	(378.8)	-9.2%
Non-Personal Service	143.1	107.9	151.2	171.1	179.8	175.1	123.1						1,051.3	1,175.3	(124.0)	-10.6%
General State Charges	122.3	29.8	485.5	111.9	347.9	297.6	438.7						1,833.7	1,717.9	115.8	6.7%
Total Disbursements	2,530.5	4,182.4	7,075.8	1,919.5	2,897.0	4,211.3	2,321.7	0.0	0.0	0.0	0.0	0.0	25,138.2	25,469.8	(331.6)	-1.3%
Excess (Deficiency) of Receipts over Disbursements	1,452.3	(2,614.6)	(2,781.5)	695.2	(299.7)	913.8	260.9	0.0	0.0	0.0	0.0	0.0	(2,373.6)	(3,212.5)	838.9	26.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,238.6	243.1	1,332.0	837.1	416.7	1,269.6	617.4						5,954.5	6,116.2	(161.7)	-2.6%
Transfers to State Capital Projects	(14.3)	(21.5)	(3.9)	(119.8)	42.1	(62.5)	(87.7)						(267.6)	(285.1)	(17.5)	-6.1%
Transfers to General Debt Service	(414.1)	(38.7)	(0.3)	(470.3)	5.4	133.3	(600.8)						(1,385.5)	(1,471.6)	(86.1)	-5.9%
Transfers to All Other State Funds	(290.1)	(194.9)	(280.9)	(265.0)	(226.7)	(400.7)	(314.9)						(1,973.2)	(1,861.5)	111.7	6.0%
Total Other Financing Sources (Uses)	520.1	(12.0)	1,046.9	(18.0)	237.5	939.7	(386.0)	0.0	0.0	0.0	0.0	0.0	2,328.2	2,498.0	(169.8)	-6.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,972.4	(2,626.6)	(1,734.6)	677.2	(62.2)	1,853.5	(125.1)	0.0	0.0	0.0	0.0	0.0	(45.4)	(714.5)	669.1	93.6%
CLOSING CASH BALANCE	<u>\$4,274.1</u>	<u>\$1,647.5</u>	<u>(\$87.1)</u>	<u>\$590.1</u>	<u>\$527.9</u>	<u>\$2,381.4</u>	<u>\$2,256.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$2,256.3</u>	<u>\$1,234.0</u>	<u>\$1,022.3</u>	<u>82.8%</u>

(*) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes. For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2010-2011
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	7 Months Ended Oct. 31												2010	2009
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$2,504.5	\$2,060.9	\$2,324.7	\$2,098.6	\$2,189.6	\$2,086.5	\$2,042.7						\$15,307.5	\$14,434.2
Estimated payments	2,906.3	90.9	1,360.3	69.1	58.5	1,516.6	104.0						6,105.7	5,489.6
Final returns	1,345.0	42.0	33.1	28.4	28.7	43.4	263.0						1,783.6	1,656.3
State/City Offsets	(18.5)	(5.3)	(2.6)	(1.8)	(3.9)	(1.6)	(19.5)						(53.2)	119.4
Other (Assessments/LLC)	109.1	56.0	91.2	82.6	74.0	52.0	83.9						548.8	543.6
Gross Receipts	6,846.4	2,244.5	3,806.7	2,276.9	2,346.9	3,696.9	2,474.1	0.0	0.0	0.0	0.0	0.0	23,692.4	22,243.1
Transfers to School Tax Relief Fund	--	--	(496.6)	--	--	(102.3)	(8.7)						(607.6)	(931.6)
Transfers to Revenue Bond Tax Fund	(1,023.0)	(261.1)	(887.1)	(525.1)	(556.2)	(891.0)	(539.5)						(4,683.0)	(4,491.7)
Refunds issued	(2,754.3)	(1,200.2)	(258.3)	(176.7)	(122.0)	(132.8)	(316.0)						(4,960.3)	(4,276.3)
Total Personal Income Tax	3,069.1	783.2	2,164.7	1,575.1	1,668.7	2,570.8	1,609.9	0.0	0.0	0.0	0.0	0.0	13,441.5	12,543.5
CONSUMPTION/USE TAXES (*)														
Sales and Use	612.4	533.9	791.5	603.5	604.1	791.4	609.5						4,546.3	4,286.9
Auto Rental	--	--	--	--	--	--	--						--	--
Cigarette/Tobacco Products	38.6	36.2	46.8	38.4	46.3	51.4	38.5						296.2	285.1
Motor Fuel	--	--	--	--	--	--	--						--	--
Alcoholic Beverage	18.3	18.5	20.2	24.3	15.9	19.7	20.7						137.6	133.7
Highway Use	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--	--						--	--
Total Consumption/Use Taxes and Fees	669.3	588.6	858.5	666.2	666.3	862.5	668.7	0.0	0.0	0.0	0.0	0.0	4,980.1	4,705.7
BUSINESS TAXES														
Corporation Franchise	68.2	9.6	434.8	58.8	27.7	324.7	40.7						964.5	1,056.5
Corporation and Utilities	15.9	(8.8)	83.0	19.3	(12.2)	139.5	(1.2)						235.5	322.8
Insurance	5.5	0.9	214.3	0.6	7.9	251.1	9.3						489.6	520.8
Bank	(29.4)	0.2	183.3	1.2	(2.0)	274.6	10.7						438.6	647.8
Petroleum Business	--	--	--	--	--	--	--						--	--
Total Business Taxes	60.2	1.9	915.4	79.9	21.4	989.9	59.5	0.0	0.0	0.0	0.0	0.0	2,128.2	2,547.9
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--						--	(0.6)
Estate and Gift	92.4	81.4	100.8	153.2	78.6	113.7	80.0						700.1	544.9
Pari-Mutuel	0.9	1.6	1.8	1.6	2.5	2.3	1.5						12.2	12.9
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	0.2	--	0.1	--	0.2						0.5	0.5
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--	--						--	--
Total Other Taxes	93.3	83.0	102.8	154.8	81.2	116.0	81.7	0.0	0.0	0.0	0.0	0.0	712.8	557.7
TOTAL TAX RECEIPTS	\$3,891.9	\$1,456.7	\$4,041.4	\$2,476.0	\$2,437.6	\$4,539.2	\$2,419.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$21,262.6	\$20,354.8

(*) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

													7 Months Ended Oct. 31			
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$2,400.8	\$2,913.9	\$3,429.8	\$3,620.0	\$3,949.8	\$3,906.9	\$2,773.3						\$2,400.8	\$2,846.4	(\$445.6)	-15.7%
RECEIPTS:																
Personal Income Tax	--	--	496.6	--	--	102.3	8.7						607.6	931.6	(324.0)	-34.8%
Consumption/Use Taxes (**)(**)(**)	224.9	133.6	173.8	178.2	163.9	224.5	184.2						1,283.1	1,064.1	219.0	20.6%
Business Taxes	32.1	54.6	201.9	62.9	53.8	206.6	56.7						668.6	832.5	(163.9)	-19.7%
Other Taxes (***)	136.8	119.0	80.5	99.7	118.0	68.3	96.2						718.5	45.9	672.6	1465.4%
Miscellaneous Receipts (**)	1,040.3	1,056.7	1,340.9	929.2	1,143.6	1,939.3	1,220.9						8,670.9	8,566.8	104.1	1.2%
Federal Receipts	3,723.4	3,147.1	3,693.0	4,048.2	4,061.0	4,635.0	3,542.6						26,850.3	24,231.7	2,618.6	10.8%
Total Receipts	5,157.5	4,511.0	5,986.7	5,318.2	5,540.3	7,176.0	5,109.3	0.0	0.0	0.0	0.0	0.0	38,799.0	35,672.6	3,126.4	8.8%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	584.9	465.9	1,501.1	682.4	229.1	2,521.0	361.5						6,345.9	4,992.5	1,353.4	27.1%
Social Services:																
Medicaid	2,850.3	2,351.5	3,251.5	2,349.5	2,538.2	3,154.4	2,520.3						19,015.7	18,604.0	411.7	2.2%
Other Social Services	83.4	99.6	60.3	129.1	1,264.0	320.2	442.9						2,399.5	2,282.9	116.6	5.1%
Health and Environment	161.7	141.4	119.9	235.4	388.2	272.5	183.0						1,502.1	1,571.7	(69.6)	-4.4%
Mental Hygiene	97.7	50.7	87.7	177.3	119.8	117.9	150.4						801.5	836.6	(35.1)	-4.2%
Transportation (***)	206.5	224.7	88.9	593.6	265.0	439.9	263.0						2,081.6	1,268.7	812.9	64.1%
Criminal Justice	22.6	16.0	49.0	28.8	13.1	18.6	19.5						167.6	200.9	(33.3)	-16.6%
Emergency Management & Security Services	2.6	0.6	3.7	1.9	--	53.0	34.6						96.4	76.0	20.4	26.8%
Miscellaneous	49.2	67.2	59.5	67.4	67.6	56.6	52.7						420.2	520.8	(100.6)	-19.3%
Total Local Assistance Grants	4,058.9	3,417.6	5,221.6	4,265.4	4,885.0	6,954.1	4,027.9	0.0	0.0	0.0	0.0	0.0	32,830.5	30,354.1	2,476.4	8.2%
Departmental Operations:																
Personal Service	521.4	446.4	413.3	546.2	574.5	733.2	610.3						3,845.3	3,841.7	3.6	0.1%
Non-Personal Service	346.8	234.6	257.7	238.4	334.9	380.8	380.4						2,173.6	2,152.8	20.8	1.0%
General State Charges	31.3	117.0	65.1	261.5	47.3	401.9	87.5						1,011.6	1,079.4	(67.8)	-6.3%
Capital Projects	3.3	1.2	3.8	2.4	1.3	0.8	1.7						14.5	5.5	9.0	163.6%
Total Disbursements	4,961.7	4,216.8	5,961.5	5,313.9	5,843.0	8,470.8	5,107.8	0.0	0.0	0.0	0.0	0.0	39,875.5	37,433.5	2,442.0	6.5%
Excess (Deficiency) of Receipts over Disbursements	195.8	294.2	25.2	4.3	(302.7)	(1,294.8)	1.5	0.0	0.0	0.0	0.0	0.0	(1,076.5)	(1,760.9)	684.4	38.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	618.9	486.4	575.4	717.0	578.9	663.6	569.0						4,209.2	3,779.5	429.7	11.4%
Transfers to Other Funds	(301.6)	(264.7)	(410.4)	(391.5)	(319.1)	(502.4)	(282.5)						(2,472.2)	(2,460.9)	11.3	0.5%
Total Other Financing Sources (Uses)	317.3	221.7	165.0	325.5	259.8	161.2	286.5	0.0	0.0	0.0	0.0	0.0	1,737.0	1,318.6	418.4	31.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	513.1	515.9	190.2	329.8	(42.9)	(1,133.6)	288.0	0.0	0.0	0.0	0.0	0.0	660.5	(442.3)	1,102.8	249.3%
CLOSING CASH BALANCE	\$2,913.9	\$3,429.8	\$3,620.0	\$3,949.8	\$3,906.9	\$2,773.3	\$3,061.3	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$3,061.3	\$2,404.1	\$657.2	27.3%

(*) Consumption and Use Taxes includes \$18.3 million in Auto Rental Taxes collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

(**) Miscellaneous Receipts includes Motor Vehicle Fees. In prior years, receipts from this source was reported as Consumption/Use Taxes.

For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

(***) Consumption and Use Taxes includes the new Metropolitan Commuter Transportation Taxi-Cab Trip Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

(****) Other taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
STATE**

													7 Months Ended Oct. 31		\$ Increase/ (Decrease)	% Increase/ Decrease	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010			2009
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ 496.6	\$ --	\$ --	\$ 102.3	\$ 8.7						\$ --	\$ 607.6	\$ 931.6	(\$324.0)	-34.8%
Consumption/Use Taxes (**)(**)(****)	224.9	133.6	173.8	178.2	163.9	224.5	184.2						--	1,283.1	1,064.1	219.0	20.6%
Business Taxes	32.1	54.6	201.9	62.9	53.8	206.6	56.7						--	668.6	832.5	(163.9)	-19.7%
Other Taxes (*****)	136.8	119.0	80.5	99.7	118.0	68.3	96.2						--	718.5	45.9	672.6	1465.4%
Miscellaneous Receipts (**)	1,032.8	1,023.0	1,331.5	919.2	1,127.4	1,930.3	1,210.6						--	8,574.8	8,463.8	111.0	1.3%
Federal Receipts	--	--	--	--	0.2	--	0.1						--	0.3	0.5	(0.2)	-40.0%
Total Receipts	1,426.6	1,330.2	2,284.3	1,260.0	1,463.3	2,532.0	1,556.5	0.0	0.0	0.0	0.0	0.0	--	11,852.9	11,338.4	514.5	4.5%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	1.3	2.7	819.7	1.4	0.9	2,118.3	93.3						--	3,037.6	3,333.5	(295.9)	-8.9%
Social Services:																	
Medicaid	163.7	443.0	305.9	388.0	446.2	431.2	532.6						--	2,710.6	2,865.9	(155.3)	-5.4%
Other Social Services	0.8	2.7	0.3	3.5	--	0.9	(1.1)						--	7.1	2.9	4.2	144.8%
Health and Environment	63.6	64.2	43.4	97.6	285.3	143.5	120.3						--	817.9	962.2	(144.3)	-15.0%
Mental Hygiene	79.1	40.4	70.0	164.3	102.5	100.9	139.9						--	697.1	742.0	(44.9)	-6.1%
Transportation (****)(*****)	204.0	222.0	85.2	590.4	261.5	436.3	257.7						--	2,057.1	1,255.6	801.5	63.8%
Criminal Justice	5.9	5.2	7.2	5.3	5.3	1.8	3.0						--	33.7	35.8	(2.1)	-5.9%
Emergency Management & Security Services	--	--	--	--	--	--	--						--	--	1.2	(1.2)	-100.0%
Miscellaneous	7.2	3.9	14.0	23.4	13.6	33.0	15.2						--	110.3	138.8	(28.5)	-20.5%
Total Local Assistance Grants	525.6	784.1	1,345.7	1,273.9	1,115.3	3,265.9	1,160.9	0.0	0.0	0.0	0.0	0.0	--	9,471.4	9,337.9	133.5	1.4%
Departmental Operations:																	
Personal Service	454.1	375.2	364.5	498.6	519.0	660.5	559.2						--	3,431.1	3,458.1	(27.0)	-0.8%
Non-Personal Service	276.2	153.7	198.1	200.7	243.0	251.8	260.4						--	1,583.9	1,691.5	(107.6)	-6.4%
General State Charges	27.4	79.0	38.8	261.4	37.6	359.4	75.1						--	878.7	958.1	(79.4)	-8.3%
Capital Projects	3.3	1.2	3.8	2.4	1.3	0.8	1.7						--	14.5	5.5	9.0	163.6%
Total Disbursements	1,286.6	1,393.2	1,950.9	2,237.0	1,916.2	4,538.4	2,057.3	0.0	0.0	0.0	0.0	0.0	--	15,379.6	15,451.1	(71.5)	-0.5%
Excess (Deficiency) of Receipts over Disbursements	140.0	(63.0)	333.4	(977.0)	(452.9)	(2,006.4)	(500.8)	0.0	0.0	0.0	0.0	0.0	--	(3,526.7)	(4,112.7)	586.0	14.2%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	635.9	500.3	587.9	786.5	644.3	727.3	702.3						(375.3)	4,209.2	3,779.5	429.7	11.4%
Transfers to Other Funds	(3.3)	(13.9)	(65.7)	(33.9)	(41.8)	(11.6)	(4.6)						--	(174.8)	(457.7)	(282.9)	-61.8%
Total Other Financing Sources (Uses)	632.6	486.4	522.2	752.6	602.5	715.7	697.7	0.0	0.0	0.0	0.0	0.0	(375.3)	4,034.4	3,321.8	712.6	21.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$772.6	\$423.4	\$855.6	(\$224.4)	\$149.6	(\$1,290.7)	\$196.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$375.3)	\$507.7	(\$790.9)	\$1,298.6	164.2%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(**) Miscellaneous Receipts includes Motor Vehicle Fees. In prior years, receipts from this source was reported as Consumption/Use Taxes. For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

(***) Consumption and Use Taxes includes \$18.3 million of Auto Rental Taxes collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

(****) Consumption and Use Taxes includes the new Metropolitan Commuter Transportation Taxi-Cab Trip Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

(***** Other Taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

													7 Months Ended Oct. 31		\$ Increase/ (Decrease)	% Increase/ Decrease	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010			2009
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --						\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--	--						--	--	--	--	
Business Taxes	--	--	--	--	--	--	--						--	--	--	--	
Other Taxes	--	--	--	--	--	--	--						--	--	--	--	
Miscellaneous Receipts	7.5	33.7	9.4	10.0	16.2	9.0	10.3						--	96.1	103.0	(6.9)	-6.7%
Federal Receipts	3,723.4	3,147.1	3,693.0	4,048.2	4,060.8	4,635.0	3,542.5						--	26,850.0	24,231.2	2,618.8	10.8%
Total Receipts	3,730.9	3,180.8	3,702.4	4,058.2	4,077.0	4,644.0	3,552.8	0.0	0.0	0.0	0.0	0.0	--	26,946.1	24,334.2	2,611.9	10.7%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	583.6	463.2	681.4	681.0	228.2	402.7	268.2						--	3,308.3	1,659.0	1,649.3	99.4%
Social Services:																	
Medicaid	2,686.6	1,908.5	2,945.6	1,961.5	2,092.0	2,723.2	1,987.7						--	16,305.1	15,738.1	567.0	3.6%
Other Social Services	82.6	96.9	60.0	125.6	1,264.0	319.3	444.0						--	2,392.4	2,280.0	112.4	4.9%
Health and Environment	98.1	77.2	76.5	137.8	102.9	129.0	62.7						--	684.2	609.5	74.7	12.3%
Mental Hygiene	18.6	10.3	17.7	13.0	17.3	17.0	10.5						--	104.4	94.6	9.8	10.4%
Transportation	2.5	2.7	3.7	3.2	3.5	3.6	5.3						--	24.5	13.1	11.4	87.0%
Criminal Justice	16.7	10.8	41.8	23.5	7.8	16.8	16.5						--	133.9	165.1	(31.2)	-18.9%
Emergency Management & Security Services	2.6	0.6	3.7	1.9	--	53.0	34.6						--	96.4	74.8	21.6	28.9%
Miscellaneous	42.0	63.3	45.5	44.0	54.0	23.6	37.5						--	309.9	382.0	(72.1)	-18.9%
Total Local Assistance Grants	3,533.3	2,633.5	3,875.9	2,991.5	3,769.7	3,688.2	2,867.0	0.0	0.0	0.0	0.0	0.0	--	23,359.1	21,016.2	2,342.9	11.1%
Departmental Operations:																	
Personal Service	67.3	71.2	48.8	47.6	55.5	72.7	51.1						--	414.2	383.6	30.6	8.0%
Non-Personal Service	70.6	80.9	59.6	37.7	91.9	129.0	120.0						--	589.7	461.3	128.4	27.8%
General State Charges	3.9	38.0	26.3	0.1	9.7	42.5	12.4						--	132.9	121.3	11.6	9.6%
Capital Projects	--	--	--	--	--	--	--						--	--	--	--	--
Total Disbursements	3,675.1	2,823.6	4,010.6	3,076.9	3,926.8	3,932.4	3,050.5	0.0	0.0	0.0	0.0	0.0	--	24,495.9	21,982.4	2,513.5	11.4%
Excess (Deficiency) of Receipts over Disbursements	55.8	357.2	(308.2)	981.3	150.2	711.6	502.3	0.0	0.0	0.0	0.0	0.0	--	2,450.2	2,351.8	98.4	4.2%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	(315.3)	(264.7)	(357.2)	(427.1)	(342.7)	(554.5)	(411.2)						375.3	(2,297.4)	(2,003.2)	294.2	14.7%
Total Other Financing Sources (Uses)	(315.3)	(264.7)	(357.2)	(427.1)	(342.7)	(554.5)	(411.2)	0.0	0.0	0.0	0.0	0.0	375.3	(2,297.4)	(2,003.2)	294.2	14.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$259.5)	\$92.5	(\$665.4)	\$554.2	(\$192.5)	\$157.1	\$91.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$375.3	\$152.8	\$348.6	(\$195.8)	-56.2%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
TAX RECEIPTS**

												7 Months Ended Oct. 31		
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
PERSONAL INCOME TAX	\$ --	\$ --	\$496.6	\$ --	\$ --	\$102.3	\$8.7						\$607.6	\$931.6
Total Personal Income Tax	--	--	496.6	--	--	102.3	8.7	0.0	0.0	0.0	0.0	0.0	607.6	931.6
CONSUMPTION/USE TAXES (*)														
Sales and Use	110.3	51.0	71.4	54.4	53.9	70.4	54.5						465.9	430.3
Auto Rental (**)	6.8	--	0.5	0.1	--	10.9	--						18.3	9.5
Cigarette/Tobacco Products	80.6	72.6	93.7	94.0	99.2	133.3	101.2						674.6	561.4
Motor Fuel	8.2	9.0	8.0	9.7	10.2	9.3	9.2						63.6	62.9
Alcoholic Beverage	--	--	--	--	--	--	--						--	--
Highway Use	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Taxicab Trip	19.0	1.0	0.2	20.0	0.6	0.6	19.3						60.7	--
Total Consumption/Use Taxes and Fees	224.9	133.6	173.8	178.2	163.9	224.5	184.2	0.0	0.0	0.0	0.0	0.0	1,283.1	1,064.1
BUSINESS TAXES														
Corporation Franchise	7.7	1.4	70.3	10.6	11.8	48.7	8.3						158.8	194.2
Corporation and Utilities	(4.0)	13.5	27.9	5.4	(5.1)	36.2	4.0						77.9	92.2
Insurance	1.2	(0.3)	25.0	1.0	0.8	26.4	1.6						55.7	124.2
Bank	(9.8)	1.9	38.0	2.7	0.7	54.7	2.3						90.5	125.1
Petroleum Business	37.0	38.1	40.7	43.2	45.6	40.6	40.5						285.7	296.8
Total Business Taxes	32.1	54.6	201.9	62.9	53.8	206.6	56.7	0.0	0.0	0.0	0.0	0.0	668.6	832.5
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	--	--	--	--	--	--	--						--	--
Pari-Mutuel	--	--	--	--	--	--	--						--	--
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Mobility (***)	136.8	119.0	80.5	99.7	118.0	68.3	96.2						718.5	45.9
Total Other Taxes	136.8	119.0	80.5	99.7	118.0	68.3	96.2	0.0	0.0	0.0	0.0	0.0	718.5	45.9
TOTAL TAX RECEIPTS	\$393.8	\$307.2	\$952.8	\$340.8	\$335.7	\$601.7	\$345.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$3,277.8	\$2,874.1

(*) Miscellaneous receipts includes Alcoholic Beverage Control license and Motor Vehicle fees. In prior years, receipts from these sources were reported as Consumption/Use taxes.

(**) Auto Rental includes \$18.3 million of monies collected by New York State on behalf of the Metropolitan Transportation Authority.

(***) Collection of the Metropolitan Commuter Transportation Mobility Tax began in November 2009.

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT "H"

	2010	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011	FEBRUARY	MARCH	7 Months Ended Oct. 31			
	APRIL									JANUARY			2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$410.9	\$428.4	\$640.7	\$440.4	\$807.0	\$1,023.3	\$532.5						\$410.9	\$298.1	\$112.8	37.8%
RECEIPTS:																
Personal Income Tax	1,023.0	261.1	887.1	525.1	556.2	891.0	539.5						4,683.0	4,491.7	191.3	4.3%
Consumption/Use Taxes																
Sales and Use	190.0	189.3	263.7	201.0	201.3	264.0	203.0						1,512.3	1,424.5	87.8	6.2%
Other Taxes	44.8	43.4	39.2	48.3	43.0	37.2	35.7						291.6	166.1	125.5	75.6%
Miscellaneous Receipts	67.3	35.0	75.8	41.7	61.7	121.7	84.1						487.3	494.1	(6.8)	-1.4%
Federal Receipts (*)	--	--	--	1.5	16.1	--	5.8						23.4	--	23.4	100.0%
Total Receipts	1,325.1	528.8	1,265.8	817.6	878.3	1,313.9	868.1	0.0	0.0	0.0	0.0	0.0	6,997.6	6,576.4	421.2	6.4%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	5.9	0.9	5.3	13.6	2.2	10.2	1.4						39.5	27.4	12.1	44.2%
Debt Service, including payments on financing agreements	514.8	160.3	290.9	107.7	273.8	842.7	103.6						2,293.8	2,594.6	(300.8)	-11.6%
Total Disbursements	520.7	161.2	296.2	121.3	276.0	852.9	105.0	0.0	0.0	0.0	0.0	0.0	2,333.3	2,622.0	(288.7)	-11.0%
Excess (Deficiency) of Receipts over Disbursements	804.4	367.6	969.6	696.3	602.3	461.0	763.1	0.0	0.0	0.0	0.0	0.0	4,664.3	3,954.4	709.9	18.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	809.3	384.5	437.7	876.7	369.2	589.3	970.8						4,437.5	4,046.4	391.1	9.7%
Transfers to Other Funds	(1,596.2)	(539.8)	(1,607.6)	(1,206.4)	(755.2)	(1,541.1)	(874.5)						(8,120.8)	(7,681.2)	439.6	5.7%
Total Other Financing Sources (Uses)	(786.9)	(155.3)	(1,169.9)	(329.7)	(386.0)	(951.8)	96.3	0.0	0.0	0.0	0.0	0.0	(3,683.3)	(3,634.8)	(48.5)	-1.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	17.5	212.3	(200.3)	366.6	216.3	(490.8)	859.4	0.0	0.0	0.0	0.0	0.0	981.0	319.6	661.4	207.0%
CLOSING CASH BALANCE	\$428.4	\$640.7	\$440.4	\$807.0	\$1,023.3	\$532.5	\$1,391.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,391.9	\$617.7	\$774.2	125.3%

(*) Federal receipts includes credit payments for interest paid on Build America Bonds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													7 Months Ended Oct. 31			
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)	(\$253.3)	(\$294.3)	(\$304.1)	(\$341.1)	(\$402.7)	(\$468.1)	(\$655.6)						(\$253.3)	(\$507.2)	\$253.9	50.1%
RECEIPTS:																
Consumption/Use Taxes (*)																
Auto Rental	(1.6)	--	13.1	--	0.1	19.4	--						31.0	24.1	6.9	28.6%
Motor Fuel	31.1	33.6	30.6	36.6	38.9	36.1	34.5						241.4	238.8	2.6	1.1%
Highway Use	12.8	9.4	11.2	11.3	12.3	9.3	11.1						77.4	84.7	(7.3)	-8.6%
Business Taxes																
Petroleum Business	46.3	47.5	51.1	54.1	56.8	51.1	50.7						357.6	370.3	(12.7)	-3.4%
Transmission	0.5	0.1	3.3	0.5	0.2	2.4	--						7.0	8.2	(1.2)	-14.6%
Other Taxes	--	--	11.9	11.9	11.9	11.9	11.9						59.5	99.7	(40.2)	-40.3%
Miscellaneous Receipts (*)	336.3	190.7	347.2	181.1	363.6	370.4	209.7						1,999.0	1,952.3	46.7	2.4%
Federal Receipts	102.5	133.4	213.2	234.0	233.2	266.9	221.0						1,404.2	1,116.2	288.0	25.8%
Total Receipts	527.9	414.7	681.6	529.5	717.0	767.5	538.9	0.0	0.0	0.0	0.0	0.0	4,177.1	3,894.3	282.8	7.3%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	8.3	1.6	5.1	0.6	8.6	--	5.5						29.7	45.8	(16.1)	-35.2%
Social Services	--	--	--	--	2.5	--	0.2						2.7	0.3	2.4	800.0%
Health and Environment	2.3	19.5	32.5	37.8	46.1	35.5	33.2						206.9	77.9	129.0	165.6%
Mental Hygiene	4.5	1.4	10.2	10.4	5.8	5.4	9.4						47.1	37.6	9.5	25.3%
Transportation	63.9	33.3	21.7	26.3	81.6	58.8	53.0						338.6	272.9	65.7	24.1%
Miscellaneous (**)	100.7	30.0	58.5	137.7	43.0	161.3	82.2						613.4	481.0	132.4	27.5%
Total Local Assistance Grants	179.7	85.8	128.0	212.8	187.6	261.0	183.5	0.0	0.0	0.0	0.0	0.0	1,238.4	915.5	322.9	35.3%
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--						--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--
Capital Projects (**)	338.7	284.2	527.4	397.3	479.9	528.8	443.6						2,999.9	3,113.2	(113.3)	-3.6%
Total Disbursements	518.4	370.0	655.4	610.1	667.5	789.8	627.1	0.0	0.0	0.0	0.0	0.0	4,238.3	4,028.7	209.6	5.2%
Excess (Deficiency) of Receipts over Disbursements	9.5	44.7	26.2	(80.6)	49.5	(22.3)	(88.2)	0.0	0.0	0.0	0.0	0.0	(61.2)	(134.4)	73.2	54.5%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--
Transfers from Other Funds	40.5	36.8	27.4	122.2	(24.3)	65.5	92.6						360.7	394.3	(33.6)	-8.5%
Transfers to Other Funds	(91.0)	(91.3)	(90.6)	(103.2)	(90.6)	(230.7)	(94.1)						(791.5)	(620.0)	171.5	27.7%
Total Other Financing Sources (Uses)	(50.5)	(54.5)	(63.2)	19.0	(114.9)	(165.2)	(1.5)	0.0	0.0	0.0	0.0	0.0	(430.8)	(225.7)	(205.1)	-90.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(41.0)	(9.8)	(37.0)	(61.6)	(65.4)	(187.5)	(89.7)	0.0	0.0	0.0	0.0	0.0	(492.0)	(360.1)	(131.9)	-36.6%
CLOSING CASH BALANCE (DEFICITS)	(\$294.3)	(\$304.1)	(\$341.1)	(\$402.7)	(\$468.1)	(\$655.6)	(\$745.3)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$745.3)	(\$867.3)	\$122.0	14.1%

(*) Miscellaneous Receipts includes Motor Vehicle Fees. In prior years, receipts from this source was reported as Consumption/Use Taxes.

For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

(**) In October 2010, it was discovered some Economic Development Grant disbursements were coded as State Capital Projects. Upon further review it was determined these disbursements should properly be reported as Local Assistance Grants. Capital Project disbursements have been reduced and Local Assistance Grants increased in the current and prior fiscal year to reflect the determination.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "I"
STATE**

													7 Months Ended Oct. 31				
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes (**)																	
Auto Rental	(\$1.6)	\$ --	\$13.1	\$ --	\$0.1	\$19.4	\$ --						\$ --	\$31.0	\$24.1	\$6.9	28.6%
Motor Fuel	31.1	33.6	30.6	36.6	38.9	36.1	34.5						--	241.4	238.8	2.6	1.1%
Highway Use	12.8	9.4	11.2	11.3	12.3	9.3	11.1						--	77.4	84.7	(7.3)	-8.6%
Business Taxes																	
Petroleum Business	46.3	47.5	51.1	54.1	56.8	51.1	50.7						--	357.6	370.3	(12.7)	-3.4%
Transmission	0.5	0.1	3.3	0.5	0.2	2.4	--						--	7.0	8.2	(1.2)	-14.6%
Other Taxes	--	--	11.9	11.9	11.9	11.9	11.9						--	59.5	99.7	(40.2)	-40.3%
Miscellaneous Receipts (**)	336.2	190.7	346.8	181.0	363.0	370.2	209.6						--	1,997.5	1,951.6	45.9	2.4%
Federal Receipts	--	--	--	--	--	--	--						--	--	--	--	--
Total Receipts	425.3	281.3	468.0	295.4	483.2	500.4	317.8	0.0	0.0	0.0	0.0	0.0	--	2,771.4	2,777.4	(6.0)	-0.2%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	8.3	1.6	5.1	0.6	8.6	--	5.5						--	29.7	45.8	(16.1)	-35.2%
Social Services	--	--	--	--	2.5	--	0.2						--	2.7	0.3	2.4	800.0%
Health and Environment	2.3	15.0	6.7	19.3	13.0	23.4	15.1						--	94.8	64.0	30.8	48.1%
Mental Hygiene	4.5	1.4	10.2	10.4	5.8	5.4	9.4						--	47.1	37.6	9.5	25.3%
Transportation	12.9	1.8	1.1	1.5	0.3	2.4	0.5						--	20.5	72.4	(51.9)	-71.7%
Miscellaneous	100.7	30.0	58.5	137.7	43.0	161.3	82.2						--	613.4	481.0	132.4	27.5%
Total Local Assistance Grants	128.7	49.8	81.6	169.5	73.2	192.5	112.9	0.0	0.0	0.0	0.0	0.0	--	808.2	701.1	107.1	15.3%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	294.4	206.6	402.3	275.6	338.5	395.6	290.7						--	2,203.7	2,330.4	(126.7)	-5.4%
Total Disbursements	423.1	256.4	483.9	445.1	411.7	588.1	403.6	0.0	0.0	0.0	0.0	0.0	--	3,011.9	3,031.5	(19.6)	-0.6%
Excess (Deficiency) of Receipts over Disbursements	2.2	24.9	(15.9)	(149.7)	71.5	(87.7)	(85.8)	0.0	0.0	0.0	0.0	0.0	--	(240.5)	(254.1)	13.6	5.4%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--	--
Transfers from Other Funds	40.5	36.8	27.4	122.2	(24.3)	65.5	92.6						--	360.7	394.3	(33.6)	-8.5%
Transfers to Other Funds	(91.0)	(91.3)	(90.6)	(103.2)	(90.6)	(230.7)	(94.1)						--	(791.5)	(620.0)	171.5	27.7%
Total Other Financing Sources (Uses)	(50.5)	(54.5)	(63.2)	19.0	(114.9)	(165.2)	(1.5)	0.0	0.0	0.0	0.0	0.0	--	(430.8)	(225.7)	(205.1)	-90.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$48.3)	(\$29.6)	(\$79.1)	(\$130.7)	(\$43.4)	(\$252.9)	(\$87.3)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$671.3)	(\$479.8)	(\$191.5)	-39.9%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

(**) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, these fees were reported as Consumption/Use Taxes.

For comparison purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

(***) In October 2010, it was discovered some Economic Development Grant disbursements were coded as State Capital Projects. Upon further review it was determined these disbursements should properly be reported as Local Assistance Grants. Capital Project disbursements have been reduced and Local Assistance Grants increased in the current and prior fiscal year to reflect the determination.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													7 Months Ended Oct. 31				
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$0.1	\$ --	\$0.4	\$0.1	\$0.6	\$0.2	\$0.1						\$ --	\$1.5	\$0.7	\$0.8	114.3%
Federal Receipts	102.5	133.4	213.2	234.0	233.2	266.9	221.0						--	1,404.2	1,116.2	288.0	25.8%
Total Receipts	102.6	133.4	213.6	234.1	233.8	267.1	221.1	0.0	0.0	0.0	0.0	0.0	--	1,405.7	1,116.9	288.8	25.9%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--	--						--	--	--	--	--
Social Services	--	--	--	--	--	--	--						--	--	--	--	--
Health and Environment	--	4.5	25.8	18.5	33.1	12.1	18.1						--	112.1	13.9	98.2	706.5%
Mental Hygiene	--	--	--	--	--	--	--						--	--	--	--	--
Transportation	51.0	31.5	20.6	24.8	81.3	56.4	52.5						--	318.1	200.5	117.6	58.7%
Miscellaneous	--	--	--	--	--	--	--						--	--	--	--	--
Total Local Assistance Grants	51.0	36.0	46.4	43.3	114.4	68.5	70.6	0.0	0.0	0.0	0.0	0.0	--	430.2	214.4	215.8	100.7%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	44.3	77.6	125.1	121.7	141.4	133.2	152.9						--	796.2	782.8	13.4	1.7%
Total Disbursements	95.3	113.6	171.5	165.0	255.8	201.7	223.5	0.0	0.0	0.0	0.0	0.0	--	1,226.4	997.2	229.2	23.0%
Excess (Deficiency) of Receipts over Disbursements	7.3	19.8	42.1	69.1	(22.0)	65.4	(2.4)	0.0	0.0	0.0	0.0	0.0	--	179.3	119.7	59.6	49.8%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--	--	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$7.3	\$19.8	\$42.1	\$69.1	(\$22.0)	\$65.4	(\$2.4)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$179.3	\$119.7	\$59.6	49.8%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT J

													7 Months Ended Oct. 31	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
BEGINNING FUND EQUITY (DEFICITS)	(\$64.1)	\$24.2	\$27.7	\$9.5	\$27.8	(\$67.4)	\$20.5						(\$64.1)	(\$55.1)
RECEIPTS:														
Miscellaneous Receipts	6.0	3.9	6.1	5.2	5.4	11.1	4.1						41.8	40.4
Federal Receipts (*)	544.4	468.7	479.7	531.5	506.4	513.1	436.3						3,480.1	3,052.0
Unemployment Taxes	358.3	287.1	325.3	311.6	320.0	317.1	265.0						2,184.4	2,515.3
Total Receipts	908.7	759.7	811.1	848.3	831.8	841.3	705.4	0.0	0.0	0.0	0.0	0.0	5,706.3	5,607.7
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.3	0.4	0.3	0.4	0.5	1.9	0.4						4.2	4.4
Non-Personal Service	2.3	0.8	0.7	6.6	7.5	10.7	4.9						33.5	32.7
General State Charges	--	0.1	0.1	--	--	0.1	--						0.3	1.2
Unemployment Benefits (*)	817.8	754.9	828.2	822.0	919.0	740.7	696.2						5,578.8	5,492.1
Total Disbursements	820.4	756.2	829.3	829.0	927.0	753.4	701.5	0.0	0.0	0.0	0.0	0.0	5,616.8	5,530.4
Excess (Deficiency) of Receipts over Disbursements	88.3	3.5	(18.2)	19.3	(95.2)	87.9	3.9	0.0	0.0	0.0	0.0	0.0	89.5	77.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	(1.0)	--	--	--						(1.0)	--
Total Other Financing Sources (Uses)	--	--	--	(1.0)	--	--	--	0.0	0.0	0.0	0.0	0.0	(1.0)	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	88.3	3.5	(18.2)	18.3	(95.2)	87.9	3.9	0.0	0.0	0.0	0.0	0.0	88.5	77.3
CLOSING CASH BALANCE	\$24.2	\$27.7	\$9.5	\$27.8	(\$67.4)	\$20.5	\$24.4	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$24.4	\$22.2

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT K

													<u>7 Months Ended Oct. 31</u>	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
BEGINNING FUND EQUITY (DEFICITS)	\$18.1	\$7.3	(\$20.0)	\$17.8	\$7.7	\$27.4	\$8.7						\$18.1	\$27.4
RECEIPTS:														
Miscellaneous Receipts	25.3	13.8	42.2	23.5	56.6	32.5	39.2						233.1	232.5
Total Receipts	25.3	13.8	42.2	23.5	56.6	32.5	39.2	0.0	0.0	0.0	0.0	0.0	233.1	232.5
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.1	8.9	8.9	9.4	9.2	12.9	9.0						67.4	70.9
Non-Personal Service	25.0	22.7	16.1	28.4	29.6	46.5	40.8						209.1	248.4
General State Charges	2.0	9.5	0.7	--	1.7	7.8	2.2						23.9	25.3
Total Disbursements	36.1	41.1	25.7	37.8	40.5	67.2	52.0	0.0	0.0	0.0	0.0	0.0	300.4	344.6
Excess (Deficiency) of Receipts over Disbursements	(10.8)	(27.3)	16.5	(14.3)	16.1	(34.7)	(12.8)	0.0	0.0	0.0	0.0	0.0	(67.3)	(112.1)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	21.3	4.2	3.7	17.8	4.9						51.9	52.9
Transfers to Other Funds	--	--	--	--	(0.1)	(1.8)	--						(1.9)	(4.0)
Total Other Financing Sources (Uses)	--	--	21.3	4.2	3.6	16.0	4.9	0.0	0.0	0.0	0.0	0.0	50.0	48.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(10.8)	(27.3)	37.8	(10.1)	19.7	(18.7)	(7.9)	0.0	0.0	0.0	0.0	0.0	(17.3)	(63.2)
ENDING FUND EQUITY(DEFICITS)	<u>\$7.3</u>	<u>(\$20.0)</u>	<u>\$17.8</u>	<u>\$7.7</u>	<u>\$27.4</u>	<u>\$8.7</u>	<u>\$0.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.8</u>	<u>(\$35.8)</u>

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT L

													<u>7 Months Ended Oct. 31</u>	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
OPENING CASH BALANCE	\$9.3	\$9.4	\$9.4	\$9.5	\$9.5	\$9.5	\$9.5						\$9.3	\$9.9
RECEIPTS:														
Miscellaneous Receipts	0.1	--	0.2	--	0.1	0.1	0.1						0.6	0.7
Total Receipts	0.1	--	0.2	--	0.1	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.6	0.7
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	--	0.1	--	--	0.1	--						0.2	0.2
Non-Personal Service	--	--	--	--	--	--	--						--	--
General State Charges	--	--	--	--	0.1	--	--						0.1	0.1
Total Disbursements	--	--	0.1	--	0.1	0.1	--	0.0	0.0	0.0	0.0	0.0	0.3	0.3
Excess (Deficiency) of Receipts over Disbursements	0.1	--	0.1	--	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.3	0.4
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	--	0.1	--	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.3	0.4
CLOSING CASH BALANCE	<u>\$9.4</u>	<u>\$9.4</u>	<u>\$9.5</u>	<u>\$9.5</u>	<u>\$9.5</u>	<u>\$9.5</u>	<u>\$9.6</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$9.6</u>	<u>\$10.3</u>

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT M

													<u>7 Months Ended Oct. 31</u>	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
OPENING CASH BALANCE	\$ --	\$ --	\$ --	(\$0.2)	(\$1.2)	(\$9.6)	\$ (0.1)						\$ --	(\$0.1)
RECEIPTS:														
Miscellaneous Receipts	12.1	4.6	5.9	4.6	3.4	17.0	16.5						64.1	58.5
Total Receipts	12.1	4.6	5.9	4.6	3.4	17.0	16.5	0.0	0.0	0.0	0.0	0.0	64.1	58.5
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	4.4	4.3	4.6	4.2	4.5	6.5	4.3						32.8	32.6
Non-Personal Service	1.2	0.3	1.3	1.4	1.8	1.0	4.0						11.0	12.4
General State Charges	6.5	--	0.2	--	5.5	--	8.1						20.3	17.9
Total Disbursements	12.1	4.6	6.1	5.6	11.8	7.5	16.4	0.0	0.0	0.0	0.0	0.0	64.1	62.9
Excess (Deficiency) of Receipts over Disbursements	--	--	(0.2)	(1.0)	(8.4)	9.5	0.1	0.0	0.0	0.0	0.0	0.0	--	(4.4)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	--	--	(0.2)	(1.0)	(8.4)	9.5	0.1	0.0	0.0	0.0	0.0	0.0	--	(4.4)
CLOSING CASH BALANCE	\$ --	\$ --	(\$0.2)	(\$1.2)	(\$9.6)	(\$0.1)	\$ --	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$4.5)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2010
(amounts in millions)

SCHEDULE 1

	BALANCE 10/1/10	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/10
GENERAL FUND					
001-Local Assistance Account	\$ --	\$0.076	\$1,347.986	\$1,347.910	\$ --
003-State Operations Account	2,326.251	2,497.576	881.460	(1,733.913)	2,208.454
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	55.099	--	7.301	--	47.798
008-Rainy Day Reserve Fund	--	--	--	--	--
013-Attica State Employee Victims'	--	--	--	--	--
014-FMAP Contingency Fund	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	84.898	84.898	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	2,381.350	2,582.550	2,321.645	(386.003)	2,256.252
SPECIAL REVENUE FUNDS-STATE					
019-Mental Health Gifts and Donations	2.239	0.008	0.020	--	2.227
020-Combined Expendable Trust	58.631	3.162	1.958	--	59.835
023-New York Interest on Lawyer Account	5.517	0.651	0.089	--	6.079
024-NYS Archives Partnership Trust	0.234	--	0.062	--	0.172
025-Child Performer's Protection	0.222	0.002	0.031	--	0.193
050-Tuition Reimbursement	4.965	0.081	0.450	--	4.596
052-New York State Local Government Records Management Improvement	5.066	0.681	0.773	--	4.974
053-School Tax Relief	0.061	8.705	8.703	--	0.063
054-Charter Schools Stimulus	1.019	0.200	0.010	--	1.209
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.019	--	--	--	0.019
061-HCRA Resources	384.525	455.279	570.271	(0.491)	269.042
073-Dedicated Mass Transportation Trust	84.091	54.955	57.613	--	81.433
160-State Lottery	(613.812)	207.475	97.886	--	(504.223)
221-Combined Student Loan	20.973	1.276	2.630	--	19.619
225-MTA Financial Assistance Fund	81.489	131.185	71.000	1.071	142.745
300-Sewage Treatment Program Mgmt. & Administration	(3.083)	--	0.576	--	(3.659)
301-EnCon Special Revenue	(21.614)	9.586	16.815	5.158	(23.685)
302-Conservation	64.646	16.216	8.116	(1.740)	71.006
303-Environmental Protection and Oil Spill Compensation	11.721	4.117	3.783	(2.818)	9.237
305-Training and Education Program on OSHA	6.936	11.410	5.962	--	12.384
306-Lawyers' Fund for Client Protection	6.331	0.575	0.044	--	6.862
307-Equipment Loan for the Disabled	0.473	0.004	0.025	--	0.452
313-Mass Transportation Operating Assistance	225.028	81.588	129.442	9.000	186.174
314-Clean Air	(11.991)	3.412	7.468	--	(16.047)
318-New York State Infrastructure Trust	0.067	--	--	--	0.067
321-Legislative Computer Services	9.054	0.031	0.084	--	9.001
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	3.473	0.001	--	--	3.474
333-Winter Sports Education Trust	1.182	--	--	--	1.182
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.726	0.001	--	--	0.727
339-Miscellaneous State Special Revenue	1,318.485	290.773	618.759	453.805	1,444.304

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2010
(amounts in millions)

SCHEDULE 1
(Continued)

	BALANCE 10/1/10	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/10
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
340-Court Facilities Incentive Aid	3.351	0.003	12.743	22.650	13.261
341-Employment Training	0.041	--	--	--	0.041
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	1,040.556	248.791	428.817	211.069	1,071.599
346-Chemical Dependence Service	4.637	--	0.001	--	4.636
349-Lake George Park Trust	1.729	0.013	0.117	--	1.625
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(41.403)	9.926	0.179	--	(31.656)
355-New York Great Lakes Protection	0.949	--	0.029	--	0.920
359-Federal Revenue Maximization	0.023	--	--	--	0.023
360-Housing Development	9.218	0.005	0.500	--	8.723
362-NYS/DOT Highway Safety Program	(1.725)	--	0.272	--	(1.997)
365-Vocational Rehabilitation	0.152	0.004	0.002	--	0.154
366-Drinking Water Program Management and Administration	(6.847)	--	0.483	--	(7.330)
368-NYC County Clerks' Operations Offset	(16.949)	--	1.686	--	(18.635)
369-Judiciary Data Processing Offset	3.082	1.305	1.305	--	3.082
377-IFR / CUTRA	93.388	9.421	4.594	--	98.215
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.005	0.002	--	--	0.007
390-Indigent Legal Services	39.906	4.844	3.800	--	40.950
482-Unemployment Insurance Interest and Penalty	6.938	0.833	0.278	--	7.493
TOTAL SPECIAL REVENUE FUNDS-STATE	2,783.726	1,556.521	2,057.376	697.704	2,980.575
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(3.772)	132.576	128.245	--	0.559
265-Federal Health and Human Services	(235.304)	2,983.080	2,468.969	(405.410)	(126.603)
267-Federal Education	(41.354)	337.632	304.607	(2.232)	(10.561)
269-Federal DHHS Block Grant	(0.001)	0.001	--	--	--
290-Federal Miscellaneous Operating Grants	180.075	63.532	106.387	(3.556)	133.664
480-Unemployment Insurance Administration	89.857	19.769	23.464	--	86.162
484-Unemployment Insurance Occupational Training	0.250	0.300	0.231	--	0.319
486-Federal Employment and Training Grants	(0.213)	15.962	18.523	--	(2.774)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(10.462)	3,552.852	3,050.426	(411.198)	80.766
TOTAL SPECIAL REVENUE FUNDS	2,773.264	5,109.373	5,107.802	286.506	3,061.341
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	279.538	17.875	--	62.834	360.247
311-General Debt Service	0.396	545.357	103.329	310.376	752.800
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	0.565	1.560	0.995	--
319-Department of Health Income	31.463	11.531	--	(12.714)	30.280
330-State University Dormitory Income	200.787	54.092	--	(33.646)	221.233
361-Clean Water/Clean Air	11.483	35.662	--	(28.039)	19.106
364-Local Government Assistance Tax	8.828	203.067	0.053	(203.588)	8.254
TOTAL DEBT SERVICE FUNDS	532.495	868.149	104.942	96.218	1,391.920

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2010
(amounts in millions)

SCHEDULE 1
(Continued)

	BALANCE 10/1/10	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/10
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	--	62.608	167.783	105.175	--
072-Dedicated Highway and Bridge Trust	(421.909)	220.095	154.040	(90.695)	(446.549)
074-SUNY Residence Halls Rehabilitation and Repair	105.348	0.516	3.196	1.989	104.657
075-New York State Canal System Development	3.592	0.160	1.477	--	2.275
076-Parks Infrastructure	(25.872)	0.270	3.256	--	(28.858)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	60.260	12.415	9.383	--	63.292
079-Clean Water/Clean Air Implementation	(0.480)	--	--	0.322	(0.158)
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.392	--	--	--	3.392
115-Environmental Quality Protection Bond	2.165	--	--	--	2.165
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	97.544	0.004	--	(17.096)	80.452
123-Transportation Infrastructure Renewal Bond	4.815	--	--	(0.517)	4.298
124-1986 Environmental Quality Bond Act	--	--	--	--	--
126-Accelerated Capacity and Transportation Improvement Bond	--	--	--	--	--
127-Clean Water/Clean Air Bond	11.905	--	--	(0.320)	11.585
291-Federal Capital Projects	(15.253)	221.022	223.479	--	(17.710)
310-Forest Preserve Expansion	0.892	--	--	--	0.892
312-Hazardous Waste Remedial	(83.680)	1.123	9.414	(0.535)	(92.506)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.503	--	--	--	0.503
357-Division for Youth Facilities Improvement	(6.148)	--	2.850	--	(8.998)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(25.195)	0.235	--	--	(24.960)
376-Housing Program	(101.214)	5.213	22.077	--	(118.078)
378-Natural Resource Damage	19.390	0.052	0.620	--	18.822
380-DOT Engineering Services	(15.186)	--	0.811	--	(15.997)
384-State University Capital Projects	108.100	0.020	1.750	--	106.370
387-Miscellaneous Capital Projects	21.526	0.243	0.203	--	21.566
388-CUNY Capital Projects	(0.022)	--	--	--	(0.022)
389-Mental Hygiene Facilities Capital Improvement	(383.666)	0.053	11.329	0.133	(394.809)
399-Correction Facilities Capital Improvement	(16.658)	14.867	15.367	--	(17.158)
TOTAL CAPITAL PROJECTS FUNDS	(655.585)	538.896	627.035	(1.544)	(745.268)
TOTAL GOVERNMENTAL FUNDS	\$5,031.524	\$9,098.968	\$8,161.424	(\$4.823)	\$5,964.245

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF OCTOBER 2010
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 10/1/10</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 10/31/10</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.197	\$0.004	\$0.005	\$ --	\$0.196
325-State Exposition Special	5.894	0.889	2.039	--	4.744
326-Correctional Services Commissary	2.304	2.602	2.551	--	2.355
331-Agency Enterprise	2.303	0.253	0.203	--	2.353
351-Sheltered Workshop	1.912	0.065	0.087	--	1.890
352-Patient Workshop	1.232	0.075	0.216	--	1.091
353-Mental Hygiene Community Stores	2.776	0.156	0.167	--	2.765
481-Unemployment Insurance Benefit	3.856	701.383	696.267	--	8.972
TOTAL ENTERPRISE FUNDS	20.474	705.427	701.535	--	24.366
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	27.730	21.382	12.246	6.096	42.962
334-Agency Internal Service	3.367	10.865	34.344	(1.273)	(21.385)
343-Mental Hygiene Revolving	0.257	0.135	0.255	--	0.137
347-Youth Vocational Education	0.057	--	0.001	--	0.056
394-Joint Labor/Management Administration	1.260	--	0.165	--	1.095
395-Audit and Control Revolving	(0.541)	--	0.255	--	(0.796)
396-Health Insurance Revolving	(19.339)	3.095	1.230	--	(17.474)
397-Correctional Industries Revolving	(4.050)	3.798	3.545	--	(3.797)
TOTAL INTERNAL SERVICE FUNDS	8.741	39.275	52.041	4.823	0.798
TOTAL PROPRIETARY FUNDS	\$29.215	\$744.702	\$753.576	\$4.823	\$25.164

**STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2010
(amounts in millions)**

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/10</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/10</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	(\$0.087)	\$16.500	\$16.455	\$ --	(\$0.042)
TOTAL PENSION TRUST FUNDS	(0.087)	16.500	16.455	--	(0.042)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	1.688	0.027	0.010	--	1.705
022-Milk Producers' Security	7.823	0.057	0.022	--	7.858
TOTAL PRIVATE PURPOSE TRUST FUNDS	9.511	0.084	0.032	--	9.563
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	30.398	1.085	--	--	31.483
135-Child Performer's Holding	0.055	--	--	--	0.055
136-Child Performer's Holding II	0.075	0.001	0.003	--	0.073
137-Child Performer's Holding III	0.007	0.001	0.001	--	0.007
152-Employees Health Insurance (*)	401.629	594.359	605.397	--	390.591
153-Social Security Contribution	0.997	99.494	84.545	--	15.946
154-Employee Payroll Withholding Escrow	33.684	388.661	352.717	--	69.628
162-Employees Dental Insurance	0.739	8.204	6.500	--	2.443
163-Management Confidential Group Insurance	1.349	0.685	0.686	--	1.348
165-Lottery Prize	211.014	82.767	75.294	--	218.487
167-Health Insurance Reserve Receipts	0.085	--	--	--	0.085
169-Miscellaneous New York State Agency	621.911	(98.261)	13.652	--	509.998
175-Elderly Pharmaceutical Insurance Coverage Escrow	37.275	29.162	59.000	--	7.437
176-CUNY Senior College Operating	41.712	110.000	117.245	--	34.467
179-Medicaid Management Information System Escrow	276.624	3,664.390	3,780.622	--	160.392
309-Special Education	--	--	--	--	--
344-State University Collection	260.468	(107.892)	--	--	152.576
382-SUNY Federal Direct Lending Program	(14.719)	10.473	--	--	(4.246)
TOTAL AGENCY FUNDS	1,903.303	4,783.129	5,095.662	--	1,590.770
TOTAL FIDUCIARY FUNDS	\$1,912.727	\$4,799.713	\$5,112.149	\$ --	\$1,600.291

(*) The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of October 31, 2010, the Account had a balance of \$263.1m but only \$113.0m in appropriation authority was available to 'offset' the State's contribution for employee health insurance. This will leave a balance of \$150.1m in available cash for future "offset" or refunds to participating employees and pensioners.

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF OCTOBER 2010
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 10/1/10</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 10/31/10</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$2.692	\$ --	\$ --	\$2.692
149-Sole Custody Investment (*)	1,818.889	1,651.944	1,913.750	1,557.083
650-Comptroller's Refund	--	166.254	166.254	--
TOTAL ACCOUNTS	\$1,821.581	\$1,818.198	\$2,080.004	\$1,559.775

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of October 31, 2010, \$14,355,968.94 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2011

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2010	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCT. 31, 2010	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2010	MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2010		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2010
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$552,561,243.13	\$ --	\$ --	\$ --	\$56,872,506.14	\$495,688,736.99	\$4,720,133.89	\$17,345,523.91
Clean Water/Clean Air:								
Air Quality	72,349,429.42	--	--	--	9,198,182.18	63,151,247.24	614,869.40	1,979,374.11
Safe Drinking Water	48,664,998.34	--	--	--	9,130,119.70	39,534,878.64	50,011.54	1,010,973.27
Water	495,662,014.13	--	--	--	7,298,741.51	488,363,272.62	947,415.51	9,907,089.78
Solid Waste	94,267,616.42	--	--	--	6,291,399.05	87,976,217.37	384,077.63	1,548,431.96
Environmental Restoration	87,951,097.20	--	--	--	--	87,951,097.20	9,412.14	1,785,165.90
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	20,818,473.97	--	--	--	4,623,135.53	16,195,338.44	121,333.05	653,095.45
Environmental Quality Protection (1972):								
Air	18,185,265.53	--	--	--	3,440,088.51	14,745,177.02	241,277.87	625,484.14
Land and Wetlands	39,928,781.54	--	--	--	6,996,003.24	32,932,778.30	408,465.00	1,327,553.83
Water	113,136,341.92	--	--	688,571.00	14,763,535.07	98,372,806.85	1,415,825.21	4,153,072.11
Environmental Quality (1986):								
Land and Forests	45,543,357.01	--	--	--	3,809,391.46	41,733,965.55	316,186.87	1,165,972.63
Solid Waste Management	472,147,453.04	--	--	--	30,407,005.70	441,740,447.34	439,652.76	9,254,823.88
Housing:								
Low Cost	49,118,825.82	--	--	1,060,000.00	5,985,252.94	43,133,572.88	500,655.76	1,246,905.81
Middle Income	41,077,000.00	--	--	--	565,000.00	40,512,000.00	--	944,871.25
Park and Recreation Land Acquisition	33,056.10	--	--	--	5,253.60	27,802.50	--	693.86
Pure Waters	82,913,314.60	--	--	430,357.00	8,557,158.91	74,356,155.69	994,601.66	2,940,820.39
Rail Preservation Development	11,722,509.42	--	--	--	4,024,813.98	7,697,695.44	114,882.90	427,778.86
Rebuild and Renew New York Transportation:								
Highway Facilities	521,372,024.37	--	--	--	--	521,372,024.37	--	10,503,829.05
Canals and Waterways	13,545,267.83	--	--	--	--	13,545,267.83	--	236,225.96
Aviation	32,753,241.85	--	--	--	--	32,753,241.85	--	631,271.79
Rail and Port	50,528,670.28	--	--	--	--	50,528,670.28	--	1,103,183.75
Mass Transit - Dept. of Transportation	10,917,928.77	--	--	--	--	10,917,928.77	--	212,602.83
Mass Transit - Metropolitan Transportation Authority	458,685,506.33	--	--	--	--	458,685,506.33	--	9,945,375.45
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	4,028,553.23	--	--	--	306,740.35	3,721,812.88	--	82,564.86
Ports, Canals, and Waterways	111,526.01	--	--	--	35,694.75	75,831.26	1,516.63	3,747.15
Rapid Transit, Rail, and Aviation	21,874,355.55	--	--	--	2,358,470.97	19,515,884.58	280,857.08	788,952.33
Transportation Capital Facilities:								
Aviation	23,248,935.03	--	--	86,072.00	3,260,848.88	19,988,086.15	330,250.46	888,783.23
Mass Transportation	16,788,212.61	--	--	--	8,145,657.52	8,642,555.09	150,487.88	604,433.06
Total General Obligation Bonded Debt	\$3,399,934,999.45	\$ --	\$ --	\$2,265,000.00	\$186,074,999.99	\$3,213,859,999.46	\$12,041,913.24	\$81,318,600.60

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN (7) MONTHS ENDED OCTOBER 31, 2010

SCHEDULE 5a

	DEBT	GENERAL DEBT SERVICE FUND (064)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL	MENTAL HEALTH SERVICES (304)	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION RESERVE			GOVERNMENT ASSISTANCE TAX (364)		BOND TAX (311-02)	UNIVERSITY DORMITORY INCOME (330)	7 MONTHS ENDED OCT. 31		
	FUND							2010	2009	
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady	\$ --	\$427,769	\$ --	\$ --	\$ --	\$ --	\$ --	\$427,769	\$450,548	(\$22,779)
Hampton Plaza	--	112,656	--	--	--	--	--	112,656	123,281	(10,625)
Subtotal	\$ --	\$540,425	\$ --	\$ --	\$ --	\$ --	\$ --	\$540,425	\$573,829	(\$33,404)
Payments to Public Authorities:										
City University Construction	--	115,052,452	--	--	--	--	--	115,052,452	259,066,835	(144,014,383)
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	--	--
Dormitory Authority:										
OGS Parking	--	445,125	--	--	--	--	--	445,125	961,103	(515,978)
Albany County Airport	--	407,257	--	--	--	--	--	407,257	442,698	(35,441)
Child Care Facilities	--	106,066	--	--	--	--	--	106,066	147,391	(41,325)
Consolidated Service Contract Refunding	--	45,263,541	--	--	--	--	--	45,263,541	9,386,705	35,876,836
David Axelrod Institute	--	4,529,904	--	--	--	--	--	4,529,904	5,607,339	(1,077,435)
Department of Health Facilities	--	--	14,715,620	--	--	--	--	14,715,620	14,847,374	(131,754)
Economic Development Housing	--	--	--	--	--	12,504,194	--	12,504,194	9,634,700	2,869,494
Education	--	--	--	--	--	136,293,171	--	136,293,171	134,859,361	1,433,810
General Purpose	--	--	--	--	--	122,733,551	--	122,733,551	--	122,733,551
Health Care	--	--	--	--	--	3,200,144	--	3,200,144	3,649,608	(449,464)
Judicial Training Institute	--	428,018	--	--	--	--	--	428,018	344,643	83,375
Library for the Blind	--	489,719	--	--	--	--	--	489,719	975,382	(485,663)
Mental Health Facilities	--	--	--	--	107,191,572	--	--	107,191,572	111,092,306	(3,900,734)
RESCUE	--	211,263	--	--	--	--	--	211,263	414,937	(203,674)
State Department of Education Facilities	--	1,052,887	--	--	--	--	--	1,052,887	1,300,490	(247,603)
State Facilities and Equipment	--	--	--	--	--	937,801	--	937,801	1,443,512	(505,711)
SUNY Athletic Facilities	--	--	--	--	--	--	--	--	1,061,175	(1,061,175)
SUNY Community Colleges	--	18,536,069	--	--	--	--	--	18,536,069	32,561,055	(14,024,986)
SUNY Dormitory Facilities	--	--	--	--	--	--	51,341,163	51,341,163	50,611,853	729,310
SUNY Educational Facilities	--	169,944,417	--	--	--	--	--	169,944,417	315,136,151	(145,191,734)
Environmental Facilities Corporation	--	3,941,181	--	--	--	20,293,969	--	24,235,150	22,419,869	1,815,281
Housing Finance Agency	--	12,859,917	--	--	--	39,137,779	--	51,997,696	61,907,151	(9,909,455)
Local Government Assistance Corporation	--	--	--	64,414,155	--	--	--	64,414,155	57,594,648	6,819,507
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	82,487,937	--	--	--	--	--	82,487,937	164,982,275	(82,494,338)
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	1,184,250	--	--	--	--	--	1,184,250	41,841,609	(40,657,359)
Thruway Authority:										
Dedicated Highway & Bridge	--	595,122,193	--	--	--	--	--	595,122,193	381,693,321	213,428,872
Local Highway & Bridge	--	120,297,286	--	--	--	--	--	120,297,286	120,812,823	(515,537)
Transportation	--	--	--	--	--	54,138,953	--	54,138,953	50,232,462	3,906,491
Urban Development Corporation:										
Center for Industrial Innovation at RPI	--	315,012	--	--	--	--	--	315,012	4,243,400	(3,928,388)
Clarkson University	--	187,163	--	--	--	--	--	187,163	1,021,530	(834,367)
Columbia Univer. Telecommunications Center	--	2,806,000	--	--	--	--	--	2,806,000	4,630,000	(1,824,000)
Consolidated Service Contract Refunding	--	48,351,935	--	--	--	--	--	48,351,935	130,313,729	(81,961,794)
Cornell Univer. Supercomputer Center	--	366,000	--	--	--	--	--	366,000	620,000	(254,000)
Correctional Facilities	--	53,061,885	--	--	--	--	--	53,061,885	197,353,905	(144,292,020)
Debt Reduction Reserve	--	--	--	--	--	--	--	--	--	--
Economic Development Housing	--	--	--	--	--	31,543,799	--	31,543,799	25,281,775	6,262,024
General Purpose	--	--	--	--	--	35,311,904	--	35,311,904	--	35,311,904
South Mall	--	--	--	--	--	--	--	--	34,424,808	(34,424,808)
State Facilities and Equipment	--	--	--	--	--	51,661,195	--	51,661,195	32,947,133	18,714,062
Syracuse University Science and										
Technology Center	--	413,875	--	--	--	--	--	413,875	2,650,950	(2,237,075)
University Facilities Grant 95 Refunding	--	374,672	--	--	--	--	--	374,672	1,591,644	(1,216,972)
Youth Facilities	--	2,174,700	--	--	--	--	--	2,174,700	18,166,125	(15,991,425)
Subtotal	\$ --	\$1,280,410,724	\$14,715,620	\$64,414,155	\$107,191,572	\$507,756,460	\$51,341,163	\$2,025,829,694	\$2,308,273,775	(\$282,444,081)
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$1,280,951,149	\$14,715,620	\$64,414,155	\$107,191,572	\$507,756,460	\$51,341,163	\$2,026,370,119	\$2,308,847,604	(\$282,477,485)

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2010
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>OCTOBER 2010</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD OCTOBER 2009</u>
<u>SHORT TERM INVESTMENT POOL*</u>			
AVERAGE DAILY INVESTMENT BALANCE**	\$7,013.8	\$6,677.8	\$6,326.1
AVERAGE YIELD**	0.241%	0.237%	0.339%
TOTAL INVESTMENT EARNINGS	\$1.562	\$10.462	\$15.367

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>OCTOBER 2010</u>	<u>OCTOBER 2009</u>
	<u>PAR AMOUNT</u>	<u>PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --	\$ --
REPURCHASE AGREEMENTS	\$427.7	\$35.6
COMMERCIAL PAPER	\$3,570.4	\$2,095.0
CERTIFICATES OF DEPOSIT/SAVINGS	\$3,209.6	\$3,601.6
0% COMPENSATING BALANCE CD's	\$1,825.0	\$1,105.0
	<u>\$9,032.7</u>	<u>\$6,837.2</u>

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2010-2011**

APPENDIX - TABLE OF CONTENTS

<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
<u>HCRA Resources Fund - Statement of Program Disbursements</u>	Appendix B
<u>American Recovery and Reinvestment Act of 2009 - Schedule of Disbursements of Federal Awards</u>	Appendix C
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix D
<u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>	Appendix E
<u>Public Authority Off Budget Spending Report</u>	Appendix F
<u>Schedule of Month-End Temporary Loans Outstanding</u>	Appendix G

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2010-2011

APPENDIX A

	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
OPENING CASH BALANCE	\$26,183,364	\$262,462,884	\$264,788,002	\$450,946,657	\$464,518,202	\$418,678,845
RECEIPTS:						
Cigarette Tax	80,617,942	72,622,830	93,587,422	94,070,672	99,209,862	133,334,013
State Share of NYC Cigarette Tax	6,541,000	6,727,000	8,636,000	6,584,000	4,591,000	4,590,000
STIP Interest	45,789	47,122	92,923	84,877	98,898	102,412
Public Asset Transfers	--	--	--	--	--	--
Indigent Care Pool	3,747	--	1,313	2,026	--	796
Public Goods Pool	373,020,176	318,247,626	329,962,796	310,422,588	318,071,833	283,513,652
Miscellaneous	42,741	--	76,908	720,561	--	1,008
Total Receipts	460,271,395	397,644,578	432,357,362	411,884,724	421,971,593	421,541,881
DISBURSEMENTS:						
Grants - Social Service	187,396	63,279	16,182	199,727	65,992	5,052
Medical Assistance Payments	163,700,366	344,382,326	220,778,368	330,402,019	386,414,651	362,493,989
Grants - Health	51,555,507	31,684,715	18,560,035	65,515,955	58,135,240	85,633,237
Grants - Mental Hygiene	--	--	--	12,000	24,000	--
Grants - Miscellaneous	--	--	--	--	--	--
Interest - Late Payments	7,269	4,316	2,672	192	3,355	18,731
Personal Service	974,682	390,772	954,904	857,693	894,973	1,270,086
Non-Personal Service	5,188,953	5,662,214	4,403,159	47,838	2,979,577	1,653,281
Employee Benefits/Indirect Costs	--	897,818	566,770	--	--	522,663
Appropriated Transfers						
Transfers to 339-ES	--	--	490,000	--	--	--
Total Disbursements	221,614,173	383,085,440	245,772,090	397,035,424	448,517,788	451,597,039
OPERATING TRANSFERS:						
Transfers to 002	--	11,581,599	--	--	17,827,130	--
Transfers to 003	--	--	--	--	--	--
Transfers to 311-02	--	--	--	--	1,117,527	3,200,144
Transfers to 339-AP	--	--	--	--	--	--
Transfers to 345	2,377,702	652,421	426,617	1,277,755	348,505	898,101
Total Operating Transfers	2,377,702	12,234,020	426,617	1,277,755	19,293,162	4,098,245
Total Disbursements and Transfers	223,991,875	383,085,440	246,198,707	398,313,179	467,810,950	455,695,284
CLOSING CASH BALANCE	\$262,462,884	\$264,788,002	\$450,946,657	\$464,518,202	\$418,678,845	\$384,525,442

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2010-2011

APPENDIX A
 (continued)

	<u>OCTOBER</u>	<u>7 Months Ended October 31, 2010</u>
OPENING CASH BALANCE	\$384,525,442	\$26,183,364
RECEIPTS:		
Cigarette Tax	101,010,636	674,453,377
State share of NYC Cigarette Tax	4,700,000	42,369,000
STIP Interest	104,200	576,221
Public Asset Transfers	--	--
Indigent Care Pool	396	8,278
Public Goods Pool	349,449,575	2,282,688,246
Miscellaneous	13,281	854,499
Total Receipts	<u>455,278,088</u>	<u>3,000,949,621</u>
DISBURSEMENTS:		
Grants - Social Service	150,015	687,643
Medical Assistance Payments	466,704,246	2,274,875,965
Grants - Health	99,433,670	410,518,359
Grants - Mental Hygiene	11,868	47,868
Grants - Miscellaneous	--	--
Interest - Late Payments	36,248	72,783
Personal Service	809,454	6,152,564
Non-Personal Service	3,125,870	23,060,892
Employee Benefits/Indirect Costs	--	1,987,251
Appropriated Transfers		
Transfers to 339-ES	--	490,000
Total Disbursements	<u>570,271,371</u>	<u>2,717,893,325</u>
OPERATING TRANSFERS:		
Transfers to 002	--	29,408,729
Transfers to 003	--	--
Transfers to 311-02	--	4,317,671
Transfers to 339-AP	--	--
Transfers to 345	490,526	6,471,627
Total Operating Transfers	<u>490,526</u>	<u>40,198,027</u>
Total Disbursements and Transfers	<u>570,761,897</u>	<u>2,758,091,352</u>
CLOSING CASH BALANCE	<u>\$269,041,633</u>	<u>\$269,041,633</u>

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2010-2011

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2010 (3)
ADULT HOMES PROGRAM	\$ 119,736	\$	\$	\$	\$	\$
ADULT HOME RESIDENT COUNCIL PROJECT		84,000	--	36,000	11,868	47,868
ADMIN & EXECUTIVE DIRECTION PROGRAM	6,569,700					
HEALTH CARE DELIVERY ADMINISTRATION		576,520	66,137	44,468	--	110,605
HEALTH OCCUPATION DEVELOP/WORK DEMO		734,600	127,335	83,550	--	210,885
HEALTH WORKFORCE RETRAINING PROGRAM		1,177,100	179,222	--	--	179,222
PILOT HEALTH INSURANCE ACCOUNT		1,696,950	286,256	215,761	57,389	559,406
PRIMARY CARE INITIATIVES MONITORING		816,300	106,018	71,413	--	177,431
AIDS INSTITUTE PROGRAM	243,976,322					
HEALTH CARE SERVICES ACCOUNT		150,063,408	9,883,317	10,464,675	13,356,757	33,704,749
HOSPITAL BASED GRANTS PROGRAM		5,539,000	1,231,317	149,569	--	1,380,886
MATERNAL & CHILD HIV SERVICES		4,050,877	839,893	91,903	--	931,796
OPERATIONAL SUPPORT FOR AIDS HOUSING		1,833,500	266,857	270,771	150,015	687,643
CENTER FOR COMMUNITY HEALTH PROGRAM	192,641,036					
EVIDENCE BASED CANCER SERVICES		14,524,223	--	2,574,330	3,255,587	5,829,917
HEALTH CARE SERVICES ACCOUNT		65,329,942	5,475,345	11,549,824	3,875,748	20,900,917
HOSPITAL BASED GRANTS PROGRAM		36,504,834	7,614,029	2,239,708	459,565	10,313,302
TOBACCO CONTROL & CANCER SERVICES		5,587,431	730,504	716,585	158,962	1,606,051
OFFICE OF HEALTH SYSTEMS MANAGEMENT	53,173,768					
EMERGENCY MEDICAL SERVICES ACCOUNT		26,367,775	3,216,226	3,438,344	734,436	7,389,006
HEALTH CARE DELIVERY ADMINISTRATION		98,200	--	7,318	10,731	18,049
HEALTH CARE SERVICES ACCOUNT		8,480,268	235,570	200,565	18,710	454,845
HEALTH OCCUPATION DEVELOP/WORK DEMO		217,800	--	13,679	27,591	41,270
PRIMARY CARE INITIATIVES MONITORING		174,100	--	11,693	23,386	35,079
HEALTH CARE FINANCING PROGRAM	10,681,600					
PROVIDER COLLECTION MONITORING ACCOUNT		5,633,400	568,084	589,706	105,730	1,263,520
OFFICE OF HEALTH INSURANCE PROGRAM	18,181,200					
FAMILY HEALTH PLUS		9,526,000	1,562,463	1,290,787	659,238	3,512,488
MEDICAID FRAUD HOTLINE/ADMIN.		671,520	38,140	25,745	35,219	99,104
PILOT HEALTH INSURANCE ACCOUNT		395,970	--	451	--	451
MEDICAL ASSISTANCE PROGRAM	7,612,828,082					
BREAST & CERVICAL CANCER GRANTS		4,200,000	2,100,000	--	--	2,100,000
D&TC RATES FOR R&R GRANTS (4)		2,590,100	--	--	--	--
DISABLED PERSONS GRANTS		47,000,000	23,500,000	--	--	23,500,000
FAMILY HEALTH PLUS GRANTS		1,163,208,100	--	590,900,000	--	590,900,000
HOME HEALTH R&R RATES GRANTS (5)		149,450,000	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		2,135,631,054	298,717,800	285,935,021	74,194,772	658,847,593
MEDICAL ASSISTANCE - PAYMENTS GRANTS		244,200,000	130,100,000	--	--	130,100,000
NASSAU & SUFFOLK HOSPITAL DIST GRANTS		4,175,000	--	--	--	--
NON-PUBLIC GENERAL HOSP RATES FOR R&R (6)		61,800,000	--	--	--	--
NON-PUBLIC NH RATES FOR R&R GRANTS (7)		21,600,000	--	--	--	--
NURSING HOME FINANCIAL ASSIST GRANTS		29,835,000	--	--	--	--
NYC MEDICAID GRANTS		249,400,000	124,700,000	--	--	124,700,000
NYC NON-PUBLIC HOSP MEANINGFUL ACCESS		29,720,000	--	--	--	--
NYC PERSONAL CARE WRR RATES GRANTS (8)		270,504,000	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (9)		22,276,800	--	--	--	--
PHARMACY SERVICES GRANT		2,705,783,028	--	205,000,000	393,000,000	598,000,000
PHYSICIAN SERVICES GRANT		170,400,000	85,200,000	--	--	85,200,000
PRIMARY CARE CASE MANAGEMENT		3,978,000	--	--	--	--
PRIORITY RESTORATION GRANTS		64,100,000	--	--	--	--
PUBLIC HOSPITAL RATES - SECTION 2807C		40,100,000	--	--	--	--
SUPPLEMENTAL MED INS PAYMENTS GRANTS		136,000,000	68,000,000	--	--	68,000,000
SUPPLEMENTAL RURAL HOSPITAL RATES		2,345,000	--	--	--	--
ENHANCED COMMUNITY SERVICES PROGRAM	500,000					
ENHANCED COMMUNITY SERVICES ACCOUNT		500,000	--	--	--	--
OFFICE OF LONG TERM CARE	17,157,972					
ADULT HOME INITIATIVES		3,571,041	136,628	89,414	91,108	317,150
ENHANCING ABILITIES & LIFE EXPERIENCE		2,639,525	29,577	16,139	--	45,716
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	421,772,140					
ELDERLY PHARMACEUTICAL INSURANCE COVER		277,100,000	17,227	29,735,390	29,161,958	58,914,575
CHILD HEALTH INSURANCE PROGRAM	942,401,749					
CHILD HEALTH INSURANCE		583,754,621	60,378,840	109,579,234	599,860	170,557,934

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2010-2011

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2010 (3)
HEALTH CARE REFORM ACT PROGRAM	\$ 1,887,852,628	\$	\$	\$	\$	\$
ADAP/HIV UNINSURED CARE (HRI) (10)		21,150,000	--	--	--	--
AMBULATORY CARE TRAINING PROGRAM		2,150,000	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		30,359,519	1,162,250	315,501	--	1,477,751
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		14,016,061	5,212,747	124,866	870,622	6,208,235
CANCER RELATED SERVICES		26,052,320	2,538,936	368,444	--	2,907,380
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		2,755,000	--	--	--	--
COMMISSIONER'S PRIORITY POOL DISTRIB.		13,638,892	--	--	--	--
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		102,710,646	--	12,194,751	12,524,344	24,719,095
DIVERSITY IN MEDICINE/POST BACCALAUREAT		3,398,001	526,860	727,881	--	1,254,741
HEALTH CARE STABILIZATION PROGRAM		26,995,288	--	--	--	--
HEALTH FACILITY RESTRUCTURING		38,984,400	--	--	9,692,200	9,692,200
HEALTH WORKFORCE RETRAINING		92,667,420	2,566,228	(645)	--	2,565,583
INDIVIDUAL SUBSIDY PROGRAM		357,330	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		13,347,665	982,524	229,229	--	1,211,753
LONG TERM CARE DELIVERY DEMO PROJECTS		956,244	--	--	--	--
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		1,080,000	3,720	--	--	3,720
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		4,727,043	863,023	--	--	863,023
OTHER MEDICAL SCHOOL		945,101	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,406,209	--	--	--	--
PHYSICIAN LOAN REPAYMENT PROGRAM		1,700,170	114,173	55,632	--	169,805
PHYSICIAN PRACTICE SUPPORT PROGRAM		6,407,786	267,508	314,373	664,846	1,246,727
PHYSICIAN WORKFORCE STUDIES PROGRAM		258,000	--	--	--	--
PHYSICIANS EXCESS MEDICAL MALPRACTICE		127,400,000	--	--	--	--
POISON CONTROL CENTERS		5,748,477	--	--	1,236,250	1,236,250
POOL ADMINISTRATOR-SERVICES & EXPENSES		7,353,421	639,886	--	662,401	1,302,287
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		124,018,750	--	19,400,000	19,400,000	38,800,000
RURAL HEALTH CARE ACCESS DEVELOP		25,073,959	1,259,261	951,179	939,912	3,150,352
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		10,896,691	1,194,522	1,721,325	293,355	3,209,202
SCHOOL BASED HEALTH CENTERS		2,800,000	716,372	--	--	716,372
SCHOOL BASED HEALTH CLINICS		5,600,000	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		2,725,000	944,530	--	--	944,530
SENATE PRIORITY DISTRIBUTIONS		30,823,524	--	--	--	--
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		31,676,250	--	694,687	--	694,687
TOBACCO USE PREVENTION & CONTROL		88,818,567	9,629,118	7,235,346	4,489,337	21,353,801
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		21,683,334	--	--	--	--
TOTAL	11,407,855,933 (2)	9,680,208,435	853,928,443	1,299,674,612	570,761,897	2,724,364,952
Transfer to the General Fund - State Purposes Account (for administration of the program)	353,079					
Reclass of SUNY Hospital Disprop Share to Transfer			(3,456,740)	(2,524,361)	(490,526)	(6,471,627)
TOTAL APPROPRIATED AMOUNT	\$ 11,408,209,012	\$ 9,680,208,435	\$ 850,471,703	\$ 1,297,150,251	\$ 570,271,371	\$ 2,717,893,325

- (1) Includes amounts appropriated in 2010 as well as prior year appropriations that were reappropriated in the SFY 2010 budget chapters.
- (2) Unsegregated appropriation total is \$1,727,647,498.
- (3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent
- (4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers
- (5) Full title is: Home Health Recruitment and Retention Rates Grants
- (6) Full title is: Non-Public General Hospital Rates for Recruitment and Retention of Health Care Workers
- (7) Full title is: Non-Public Nursing Home Rates for Recruitment and Retention of Health Care Workers
- (8) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants
- (9) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants
- (10) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - OCTOBER 2010
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	October Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ 43,497.00	\$ 5,857,467.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	221,946.00	866,157.31
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	(2,232.87)	387,767.13
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	104,716.00	128,242,844.00
84.386	Department of Education	Education Technology State Grants, Recovery Act	219,864.00	3,446,375.00
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	18,554.00	1,630,533.00
84.388	Department of Education	School Improvement Grants, Recovery Act	1,654,644.20	5,382,546.73
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	1,861,084.00	333,013,835.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	61,517.74	14,253,704.60
84.391	Department of Education	Special Education Grants to States, Recovery Act	9,823,623.32	260,517,065.79
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	537,717.00	11,168,715.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	147,661,656.00	1,625,853,374.00
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	3,206,362.00	476,746,434.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	10,563.07	216,804.73
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	211,790.00	469,240.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	60,659.00	299,587.50
Total Education			165,695,960.46	2,870,455,211.84
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	60,052.89	263,112.85
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	870,354.12	1,024,176.62
66.454	Environmental Protection Agency	Water Quality Management Planning	211,311.63	1,888,836.02
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	16,138,003.71	123,009,935.57
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	1,964,968.71	55,418,387.98
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	173,006.90	5,115,758.63
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	6,937,136.34	117,737,005.91
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	49,746.56	84,383.62
Total Energy and Environment			26,404,580.86	304,549,209.06
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	3,079,109.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
Total Food and Nutrition Services			--	9,270,273.00
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	94,765.55	94,765.55
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	773,748.66	14,384,440.32
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	810,140.51	3,085,665.19
93.563	Health and Human Services	Child Support Enforcement	7,080,981.11	40,384,704.13
93.658	Health and Human Services	Foster Care- Title IV-E	--	27,227,313.00
93.659	Health and Human Services	Adoption Assistance	5,981,797.00	37,937,876.00
93.712	Health and Human Services	ARRA - Immunization	60,768.25	930,758.79
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	531,422.00	38,594,989.25
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	38,429,471.00	510,244,072.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	4,261.70	24,716.71
93.778	Health and Human Services	Medical Assistance Program (FMAP)	369,661,841.48	9,543,040,048.89
94.006	Corporation for National and Community Service	AmeriCorps	665,513.60	5,352,066.20
Total Health and Social Services			424,094,710.86	10,251,172,677.03

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - OCTOBER 2010
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C
 (continued)

Federal CFDA No.	Federal Agency	Program	October Disbursements	Life-to-Date Disbursements
Housing				
93.710	Health and Human Services	ARRA - Community Services Block Grant	\$ 2,395,543.39	\$ 58,940,421.39
Total Housing			<u>2,395,543.39</u>	<u>58,940,421.39</u>
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	21,944,760.84
17.225	Department of Labor	Unemployment Insurance	366,453,810.19	7,201,655,702.23
17.235	Department of Labor	Senior Community Service - Employment Program	341,476.49	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	1,830,187.36	24,173,998.87
17.259	Department of Labor	Workforce Investment Act - Youth Activities	1,416,285.86	62,520,963.75
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	1,609,437.22	50,836,814.81
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	20,222.10	104,114.84
Total Labor			<u>371,671,419.22</u>	<u>7,362,776,117.72</u>
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	194,020.14	430,274.01
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	456,416.94	5,630,779.59
16.588	Department of Justice	Violence Against Women Formula Grants	299,057.56	2,467,368.74
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	11,609.00	363,621.40
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	189,104.40	917,862.00
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	194,779.65	2,734,549.39
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	1,900,660.98	13,079,037.84
Total Public Protection			<u>3,245,648.67</u>	<u>25,623,492.97</u>
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	50,034,838.65	501,468,790.16
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	42,344.75	143,750.93
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	1,491,767.55	7,991,641.20
Total Transportation			<u>51,568,950.95</u>	<u>509,604,182.29</u>
TOTAL DISBURSEMENTS			<u>\$ 1,045,076,814.41</u>	<u>\$ 21,392,391,585.30</u>

APPENDIX D

STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2010-2011

	1st Quarter April-June	2nd Quarter July-September	2010 OCTOBER	2010-2011
OPENING CASH BALANCE	\$ 253,139,434.36	\$ 220,136,159.64	\$ 259,829,237.38	\$ 253,139,434.36
RECEIPTS:				
Patient Services	637,594,837.64	602,451,559.17	103,354,874.52	1,343,401,271.33
Covered Lives	254,577,640.92	251,900,410.89	38,429,317.85	544,907,369.66
Provider Assessments	15,435,054.35	16,990,403.44	4,590,392.29	37,015,850.08
1% Assessments	80,333,434.00	79,657,491.04	23,863,512.00	183,854,437.04
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00
Interest Income	38,493.40	38,263.40	11,963.07	88,719.87
NYPHRM	456.09	349.93	212.00	1,018.02
Unassigned	(35,868.00)	638,751.00	(572,023.00)	30,860.00
Total Receipts	987,944,048.40	951,677,228.87	169,678,248.73	2,109,299,526.00
DISBURSEMENTS:				
Program Disbursements:				
Poison Control Centers	0.00	0.00	(1,236,250.00)	(1,236,250.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	(694,687.00)	0.00	(694,687.00)
Total Disbursements	0.00	(694,687.00)	(1,236,250.00)	(1,930,937.00)
Excess (Deficiency) of Receipts over Disbursements	987,944,048.40	950,982,541.87	168,441,998.73	2,107,368,589.00
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Medicaid Disproportionate Share	428,156.33	0.00	0.00	428,156.33
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-HCRA Resources Fund	0.00	694,687.00	1,250,000.00	1,944,687.00
061-HCRA Resources Fund FMAP	0.00	0.00	(13,750.00)	(13,750.00)
Total Other Financing Sources	428,156.33	694,687.00	1,236,250.00	2,359,093.33
Transfers to Other Pools:				
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-HCRA Resources Fund	(720,559,573.31)	(625,977,511.85)	(247,741,239.79)	(1,594,278,324.95)
061-IN Indigent Care Fund (matched)	(298,054,606.98)	(284,318,719.61)	(100,911,805.53)	(683,285,132.12)
061-IN Indigent Care Fund (non-matched)	(2,761,299.16)	(1,687,919.67)	(796,529.82)	(5,245,748.65)
Total Other Financing Uses	(1,021,375,479.45)	(911,984,151.13)	(349,449,575.14)	(2,282,809,205.72)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(33,003,274.72)	39,693,077.74	(179,771,326.41)	(173,081,523.39)
CLOSING CASH BALANCE	\$ 220,136,159.64	\$ 259,829,237.38	\$ 80,057,910.97	\$ 80,057,910.97

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2010-2011

	<i>1st Quarter</i> April-June	<i>2nd Quarter</i> July-September	2010 OCTOBER	2010-2011
OPENING CASH BALANCE	\$ 3,747.16	\$ 63,725.40	\$ 394.94	\$ 3,747.16
RECEIPTS:				
Interest Income	3,339.60	1,191.16	834.97	5,365.73
Total Receipts	3,339.60	1,191.16	834.97	5,365.73
DISBURSEMENTS:				
Program Disbursements:				
Indigent Care	(291,781,106.24)	(285,903,160.75)	(99,693,073.07)	(677,377,340.06)
High Need Indigent Care	(7,952,256.16)	0.00	0.00	(7,952,256.16)
Other	607,303.58	1,447,081.22	0.00	2,054,384.80
Total Program Disbursements	(299,126,058.82)	(284,456,079.53)	(99,693,073.07)	(683,275,211.42)
Excess (Deficiency) of Receipts over Disbursements	(299,122,719.22)	(284,454,888.37)	(99,692,238.10)	(683,269,845.69)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Public Goods Pool	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-IN HCRA Resources Indigent Care - Matched	149,027,303.50	142,159,359.81	50,455,902.77	341,642,566.08
061-IN HCRA Resources Indigent Care - Unmatched	1,561,307.29	75,660.80	398,264.91	2,035,233.00
061-IN HCRA Resources Indigent Care - FMAP	0.00	0.00	(1,114,411.24)	(1,114,411.24)
265-Federal DHHS Fund	149,027,303.48	142,159,359.80	50,455,902.76	341,642,566.04
Total Other Financing Sources	299,615,914.27	284,394,380.41	100,195,659.20	684,205,953.88
Transfers to Other Pools:				
Public Goods Pool	(428,156.33)	0.00	0.00	(428,156.33)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-IN -HCRA Resources Fund Indigent Care Acct	(5,060.48)	(2,822.50)	(394.94)	(8,277.92)
Total Other Financing Uses	(433,216.81)	(2,822.50)	(394.94)	(436,434.25)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	59,978.24	(63,330.46)	503,026.16	499,673.94
CLOSING CASH BALANCE	\$ 63,725.40	\$ 394.94	\$ 503,421.10	\$ 503,421.10

Source: HCRA - Office of Pool Administration

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '10 (000)	DISBURSED MAY '10 (000)	DISBURSED JUNE '10 (000)	DISBURSED JULY '10 (000)	DISBURSED AUG '10 (000)	DISBURSED SEPT '10 (000)	DISBURSED OCT '10 (000)	DISBURSED NOV '10 (000)	DISBURSED DEC '10 (000)	DISBURSED JAN '11 (000)	DISBURSED FEB '11 (000)	DISBURSED MAR '11 (000)	DISBURSED TOTAL 10-11 (000)
DORMITORY AUTHORITY:													
Education - All Other	81	--	--	18	62	10							171
Education - EXCEL	9,092	881	6,892	--	14,596	3,762							35,223
Department of Health - All Other	20	--	42	99	96	54							311
Department of Health - Oxford	--	--	--	--	--	--							--
Judicial Institutes (Pace)	--	--	--	--	--	--							--
CEFAP	1,087	669	176	--	342	231							2,505
Regional Development:													
CCAP	2,465	700	2,310	478	1,927	1,249							9,129
Multi-modal	33	446	--	--	--	--							479
GenNYsis	103	--	64	--	251	59							477
RESTORE	--	--	--	--	--	--							--
CUNY Senior Colleges	20,580	10,041	53,691	12,895	56,617	33,465							187,289
CUNY Community Colleges	7,853	2,561	17,706	3,684	7,460	4,169							43,433
SUNY Dormitories	26,034	8,392	25,222	11,520	37,945	12,631							121,744
Upstate Community Colleges	7,503	1,344	5,226	638	6,358	5,021							26,090
Mental Health	4,728	815	4,989	1,147	7,452	7,239							26,370
Mental Retardation	2,464	608	2,143	750	3,872	1,404							11,241
Alcoholism & Alcohol Abuse	73	10	124	2	351	30							590
TOTAL DORMITORY AUTHORITY:	82,116	26,467	118,585	31,231	137,329	69,324	--	--	--	--	--	--	465,052
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	--	104	--	(79)	1,456	--							1,481
CCAP	392	608	633	348	631	336							2,948
Empire Opportunity	--	--	--	--	--	--							--
CEFAP	97	--	--	--	--	--							97
SEMATECH	--	--	--	--	--	--							--
State Facilities and Equipment	74	--	--	--	--	--							74
TOTAL EMPIRE STATE DEVELOPMENT CORP:	563	712	633	269	2,087	336	--	--	--	--	--	--	4,600
THRUWAY AUTHORITY:													
CHIPS	--	--	--	46,449	--	77,059							123,508
SHIPS	--	--	--	--	--	--							--
Marchiselli	--	--	5,676	--	--	--							5,676
Multi-modal	--	380	--	--	--	--							380
TOTAL THRUWAY AUTHORITY:	--	380	5,676	46,449	--	77,059	--	--	--	--	--	--	129,564
TOTAL OFF-BUDGET:	82,679	27,559	124,894	77,949	139,416	146,719	--	--	--	--	--	--	599,216
TOTAL CEFAP	1,184	669	176	--	342	231							2,602
ECONOMIC DEVELOPMENT:													
Total CCAP	2,857	1,308	2,943	826	2,558	1,585							12,077
Total Multi-modal	33	446	--	--	--	--							479
Total GenNYsis	103	--	64	--	251	59							477
Total RESTORE	--	--	--	--	--	--							--
Total Centers for Excellence	--	104	--	(79)	1,456	--							1,481
Total Empire Opportunity	--	--	--	--	--	--							--
Total Economic Development	2,993	1,858	3,007	747	4,265	1,644	--	--	--	--	--	--	14,514

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

Schedule of Month-End Temporary Loans Outstanding October 31, 2010

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

**Office of the State Comptroller
Summary of Month-End Temporary Loans Outstanding**

	June 30, 2010	July 31, 2010	August 31, 2010	September 30, 2010	Change	October 31, 2010
TOTAL GENERAL FUND	\$168,490,330.85	\$ --	\$ --	\$ --	\$ --	\$ --
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	851,554,033.90	946,409,785.35	924,886,456.04	1,220,659,598.88	49,100,298.57	1,269,759,897.45
TOTAL STATE SPECIAL REVENUE FUNDS	490,940,580.80	531,092,554.21	559,824,765.92	2,099,067,774.08	(74,422,411.24)	2,024,645,362.84
TOTAL FEDERAL FUNDS	902,199,450.11	346,664,620.57	950,530,129.08	307,495,626.85	(87,322,582.33)	220,173,044.52
TOTAL AGENCY FUNDS	--	--	--	--	--	--
TOTAL ENTERPRISE FUND	--	--	--	--	--	--
TOTAL INTERNAL SERVICE FUNDS	49,395,860.84	54,305,150.47	63,341,707.34	65,495,848.52	21,260,490.00	86,756,338.52
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$2,462,580,256.50	\$1,878,472,110.60	\$2,498,583,058.38	3,692,718,848.33	(\$91,384,205.00)	\$3,601,334,643.33

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	June 30, 2010	July 31, 2010	August 31, 2010	September 30, 2010	Change	October 31, 2010
GENERAL FUND							
	State Operations and Local Assistance	168,490,330.85	0.00	0.00	0.00	0.00	0.00 (9)
	TOTAL GENERAL FUND	\$168,490,330.85	\$0.00	\$0.00	\$0.00	0.00	\$0.00
CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS							
072 -01	HIGHWAY AND BRIDGE CAPITAL	197,414,773.09	235,470,032.06	229,777,061.90	530,809,545.37	6,772,664.55	537,582,209.92 (7)
074 -4Y	REHAB/REPAIR MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
-4Z	D21RVE- MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
-6Z	D36RVE- CENTRAL ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-8A	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	5,550,064.27	4,969,830.93	1,880,621.99	2,369,057.35	(366,678.18)	2,002,379.17
-AY	REHAB/REPAIR ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
-AZ	D01RVE- ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
-BY	REHAB/REPAIR BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
-BZ	D07RVE- BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
-CY	REHAB/REPAIR BUFFALO UNIVERSITY	0.00	0.00	0.00	0.00	0.00	0.00
-CZ	D28RVE- SUNY BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
-DY	REHAB/REPAIR STONYBROOK	0.00	146,644.80	0.00	0.00	0.00	0.00
-DZ	D13RVE- STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
-EY	REHAB/REPAIR BROOKLYN	0.00	0.00	0.00	0.00	23,626.79	23,626.79
-EZ	D14RVE - HSC BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
-FY	REHAB/REPAIR SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
-FZ	D15RVE- HSC SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
-GY	REHAB/REPAIR BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
-GZ	D02RVE- BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
-HY	REHAB/REPAIR BUFFALO COLLEGE	0.00	0.00	0.00	0.00	0.00	0.00
-HZ	D03RVE -SUB BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
-IY	REHAB/REPAIR CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
-IZ	D04RVE- CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
-JY	REHAB/REPAIR FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
-JZ	D05RVE- FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
-KY	REHAB/REPAIR GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
-KZ	D06RVE- GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
-LY	REHAB/REPAIR OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
-LZ	D31RVE- OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
-MY	REHAB/REPAIR NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
-MZ	D08RVE- NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
-NY	REHAB/REPAIR ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
-NZ	D09RVE- ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
-OY	REHAB/REPAIR OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
-OZ	D10RVE- OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
-PY	REHAB/REPAIR PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
-PZ	D11RVE- PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
-QY	REHAB/REPAIR POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
-QZ	D12RVE- POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
-RY	REHAB/REPAIR PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
-RZ	D29RVE- PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
-SY	REHAB/REPAIR FOR UTICA/ROME	0.00	0.00	0.00	0.00	0.00	0.00
-SZ	D27RVE- CAMPUS RESERVE	0.00	0.00	0.00	0.00	0.00	0.00
-UY	REHAB/REPAIR ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
-UZ	D22RVE- ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
-VY	REHAB/REPAIR CANTON	0.00	0.00	0.00	0.00	0.00	0.00
-VZ	D23RVE- CANTON	0.00	0.00	0.00	0.00	0.00	0.00
-WY	REHAB/REPAIR COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
-WZ	D24RVE- COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
-XY	REHAB/REPAIR DELHI	0.00	0.00	0.00	0.00	0.00	0.00
-XZ	D25RVE- DELHI	0.00	0.00	0.00	0.00	0.00	0.00
-YY	REHAB/REPAIR FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
-YZ	D26RVE- FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
-ZY	REHAB/REPAIR MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
-ZZ	D27RVE- MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
076 -01	STATE PARK INFRASTRUCTURE	23,169,943.53	23,822,346.15	26,797,261.65	25,871,868.40	2,985,752.29	28,857,620.69
079 -01	CW/CA IMPLEMENTATION DEC	158,394.33	158,394.33	158,394.33	158,394.33	0.00	158,394.33
-04	CW/CA IMPLEMENTATION STATE	0.00	0.00	0.00	0.00	0.00	0.00
-05	CW/CA IMPLEMENTATION ERDA	0.00	0.00	0.00	0.00	0.00	0.00
-06	CW/CA IMPLEMENTATION EFC	321,400.00	321,400.00	321,400.00	321,400.00	(321,400.00)	0.00
312 -01	SITE INVESTIGATION & CONSTRUCTION	0.00	0.00	0.00	0.00	0.00	0.00
-06	HAZARDOUS WASTE CLEAN UP	97,078,835.92	100,972,042.50	106,595,241.58	108,983,539.96	8,043,102.58	117,026,642.54
357 -01	YOUTH FACILITIES IMPROVEMENT	3,473,624.88	4,180,056.53	8,446,292.64	6,148,129.16	2,850,129.14	8,998,258.30
374 -01	HOUSING ASSISTANCE	26,530,578.83	26,210,808.47	26,100,008.47	25,195,190.75	(235,436.00)	24,959,754.75
376 -01	HOUSING PROG FD-HSG TR FD CORP	63,089,511.36	75,192,325.49	67,379,172.97	62,777,406.78	14,037,996.57	76,815,403.35
-02	HOUSING PROG FD AFFORD HSG CORP	8,003,742.65	12,337,310.65	11,301,423.65	8,198,004.97	4,770,711.20	12,968,716.17
-03	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	20,654,397.81	26,188,416.85	25,680,048.74	30,530,647.47	(1,944,272.24)	28,586,375.23
-05	HOUSING PROG FD-HFA	0.00	0.00	0.00	0.00	0.00	0.00
380 -01	HIGHWAY FAC PURPOSE	13,420,958.01	14,026,066.41	14,581,166.01	15,185,712.16	811,148.42	15,996,860.58
387 -08	CLEAN AIR CAPITAL	0.00	0.00	0.00	0.00	0.00	0.00
-22	NY RACING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
389 -02	OPWDD-STATE FACILITIES PRE 12/99	0.00	0.00	0.00	0.00	0.00	0.00
389 -03	DSAS-COMMUNITY FACILITIES	1,557,672.51	1,293,001.24	1,293,001.24	1,293,001.24	0.00	1,293,001.24

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	June 30, 2010	July 31, 2010	August 31, 2010	September 30, 2010	Change	October 31, 2010
339 -B6	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
-BJ	BELL JAR COLLECTION ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BK	INDUSTRY AND UTILITY SERVICE	0.00	0.00	0.00	0.00	159,532.98	159,532.98
-BP	REAL PROPERTY DISPOSITION	71,515.11	0.00	0.00	0.00	0.00	0.00
-BQ	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BW	ASBESTOS SAFETY TRAINING	48,967.72	29,351.24	5,454.84	27,283.38	5,047.01	32,330.39
-BZ	REAL PROPERTY TAX ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-C3	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-CC	CAPACITY CONTRACTING	0.00	0.00	0.00	0.00	0.00	0.00
-CU	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
-D9	BATAVIA SCHOOL FOR THE BLIND	5,702,342.39	6,080,876.78	6,483,477.14	6,935,478.00	1,182,426.73	8,117,904.73
-DC	INVESTMENT SERVICES	731,531.53	289,708.13	611,740.84	791,313.94	(294,990.81)	496,323.13
-DE	SURPLUS PROPERTY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-DH	OPWDD SAY SERVICES ACCOUNT	10,094,190.12	7,951,734.70	7,860,630.78	10,998,788.36	(3,034,817.34)	7,963,971.02
-DI	FINANCIAL OVERSIGHT	923,142.43	195,311.15	674,233.80	956,890.56	(431,228.61)	525,661.95
-DT	REGULATION INDIAN GAMING	84,176,189.60	85,348,826.68	86,316,698.27	87,954,436.11	1,163,487.25	89,117,923.36
-DZ	INTEREST ASSESSMENT ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-E3	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-E6	ROME SCHOOL FOR THE DEAF	0.00	367,646.32	608,977.64	990,650.18	1,101,517.01	2,092,167.19
-E8	DSP-SEIZED ASSETS	17,243,706.35	21,337,035.80	21,942,899.84	21,325,875.01	3,182,521.80	24,508,396.81
-E9	ADMINISTRATIVE ADJUDICATION	4,489,349.36	897,997.19	7,272,132.13	2,999,094.51	(1,035,663.38)	1,963,431.13
-EC	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
-EN	CULTURAL EDUCATION ACCOUNT	8,161,202.94	7,735,148.11	8,856,310.92	8,545,005.10	2,110,298.33	10,655,303.43
-ER	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
-F1	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
-G3	LOCAL SERVICE ACCOUNT	0.00	0.00	0.00	60,517.28	149,710.36	210,227.64
-GD	ELECTRONIC BENEFIT ISSUE	377,448.82	3,394,433.80	3,204,700.12	0.00	0.00	0.00
-H2	DHCR MORTGAGE SERVICES	512,782.50	842,354.30	1,208,854.79	2,266,217.32	(572,341.49)	1,693,875.83
-H7	DMV-COMPULSORY INS PRGM	0.00	0.00	742,355.43	0.00	0.00	0.00
-HI	HOUSING INDIRECT COST RECOVERY	0.00	0.00	0.00	0.00	0.00	0.00
-J5	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
-J6	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
-L7	OTDA INCOME ACCOUNT	0.00	1,589,085.14	6,706,044.76	11,072,870.47	5,244,177.65	16,317,048.12
-NG	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	74,297.74	74,297.74
-P4	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
-P6	EFC-CORPORATION ADMINISTRATION	708,986.93	927,988.04	394,198.46	569,868.84	128,726.05	698,594.89
-Q6	MONTRÖSE VETERAN'S HOME	0.00	0.00	0.00	0.00	0.00	0.00
-R4	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-R7	DEFERRED COMPENSATION ADMIN	131,400.98	167,725.61	63,229.92	150,756.23	(70,238.34)	80,517.89
-RR	RENT REVENUE OTHER - NYC	0.00	338,297.35	3,031,964.29	0.00	1,238,571.42	1,238,571.42
-S1	BATAVIA MEDICAID INCOME	0.00	0.00	0.00	0.00	0.00	0.00
-S8	RENT REVENUE	194,854.17	106,309.63	12,930.01	88,250.67	33,795.33	122,046.00
-TR	TAX REV. ARREARAGE ACCOUNT	1,477,921.64	1,477,921.64	1,670,916.06	1,719,215.45	26,703.50	1,745,918.95
-W3	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
-W4	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
345 -11	S.U. NON-RESIDENT REV. OFFSET	60,645,062.03	60,657,571.84	60,669,726.49	60,682,041.25	11,668.05	60,693,709.30
354 -02	STATE POLICE MV ENFORCE	19,140,561.64	39,868,108.64	60,533,540.64	48,523,354.64	(9,924,966.00)	38,598,388.64
362 -01	DOT - HIGHWAY SAFETY PRGM	1,481,309.14	1,737,996.83	1,472,261.39	1,725,036.29	272,342.76	1,997,379.05
366 -01	EFC DRINKING WATER PROGRAM	0.00	0.00	0.00	57,550.99	130,977.57	188,528.56
366 -02	DOH DRINKING WATER PROGRAM	5,167,321.12	5,497,206.22	5,854,369.41	6,789,393.84	352,376.21	7,141,770.05
368 -01	NYCCC OPERATING OFFSET	10,780,479.24	12,583,453.15	14,399,442.76	16,948,861.82	1,686,177.32	18,635,039.14
TOTAL STATE SPECIAL REVENUE FUNDS		\$490,940,580.80	\$531,092,554.21	\$559,824,765.92	\$2,099,067,774.08	(74,422,411.24)	\$2,024,645,362.84
FEDERAL FUNDS							
261 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	14,087,639.17	9,664,721.67	35,356,910.75	8,382,784.58	(4,281,595.74)	4,101,188.84 (1)
265 -	FEDERAL HEALTH AND HUMAN SERVICES FUND	626,336,793.14	165,345,565.39	767,667,352.50	175,159,865.84	(73,565,435.06)	101,594,430.78 (2)
267 -	FEDERAL EDUCATION GRANTS FUND	56,936,917.20	56,763,510.93	12,106,950.84	54,425,753.11	(42,756,455.49)	11,669,297.62 (3)
269 -	FEDERAL BLOCK GRANT FUND	0.00	0.00	92,344.77	978.00	(978.00)	- (4)
290 -	FEDERAL OPERATING GRANTS FUND	64,497,951.76	38,949,312.48	39,263,188.31	40,472,015.38	28,310,543.05	68,782,558.43 (5)
291 -04	MILITARY AND NAVAL AFFAIRS	13,976,788.92	14,004,495.30	14,217,662.08	14,068,914.93	674,333.78	14,743,248.71
291 -10	DEPARTMENT OF TRANSPORTATION	119,696,414.75	38,757,035.49	70,792,711.95	8,491,067.91	(2,505,144.20)	5,985,923.71 (7)
291 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	6,411,937.37	21,561,019.82	5,094,974.50	6,280,821.82	3,086,380.71	9,367,202.53 (6)
480 -01	UI ADMINISTRATION	0.00	254,144.30	1,012,282.34	0.00	1,155,652.46	1,155,652.46
484 -00	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
486 -09	DOL WORKFORCE INVESTMENT ACT	255,007.80	1,364,815.19	4,925,751.04	213,425.28	2,560,116.16	2,773,541.44
486 -10	DOL FEDERAL GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL FEDERAL FUNDS		\$902,199,450.11	\$346,664,620.57	\$950,530,129.08	\$307,495,626.85	(87,322,582.33)	\$220,173,044.52 (8)

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	June 30, 2010	July 31, 2010	August 31, 2010	September 30, 2010	Change	October 31, 2010
AGENCY FUNDS							
179 -02	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL AGENCY FUNDS	\$0.00	\$0.00	\$0.00	\$0.00	0.00	\$0.00
ENTERPRISE FUND							
325 -01	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
331 -55	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL ENTERPRISE FUND	\$0.00	\$0.00	\$0.00	\$0.00	0.00	\$0.00
INTERNAL SERVICE FUNDS							
323 -03	CENTRALIZED SERVICES-FLEET MGMT	139,283.76	284,283.79	79,389.59	0.00	137,551.11	137,551.11
-05	CENTRALIZED SERVICES-DATA PROCESSING	0.00	0.00	0.00	20,159.64	327,424.73	347,584.37
-06	CENTRALIZED SERVICES-REPRODUCTION	1,738,394.97	1,720,646.58	1,805,534.80	1,817,665.14	8,906.44	1,826,571.58
-07	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	0.00	231,827.63	137,910.86	347,289.25	101,010.24	448,299.49
-08	CENTRALIZED SERVICES-SURPLUS FED FOODS	1,269,144.97	1,587,862.77	1,543,548.45	1,006,084.07	179,373.06	1,185,457.13
-09	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
-12	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,581,607.91	2,500,141.47	2,608,759.38	2,834,420.41	(23,257.95)	2,811,162.46
-13	CENTRALIZED SERVICES-PASNY	1,355,059.77	3,921,229.82	4,915,871.94	7,640,221.45	193,398.95	7,833,620.40
-14	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
-15	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
-17	CENTRALIZED SERVICES-INSURANCE	1,551,404.14	1,840,786.61	2,049,501.33	2,643,790.37	728,486.82	3,372,277.19
-18	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
-19	CENTRALIZED SERVICES-COP'S	0.00	0.00	0.00	0.00	0.00	0.00
-20	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
-21	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
-23	CENTRALIZED SERVICES-IMMICS	63,373.93	306,699.69	401,173.53	590,206.66	(85,566.41)	504,640.25
-26	DOWNSTATE DISTRIBUTION	914,594.15	884,306.23	517,604.95	542,882.20	52,755.51	595,637.71
-27	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-28	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
334 -02	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
-05	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
-09	CIVIL SERVICE LAW:SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-10	CIVIL SERVICE EHS OCCUP HEALTH PROG	0.00	0.00	0.00	0.00	0.00	0.00
-12	BANKING SERVICES ACCOUNT	353,810.18	313,286.48	84,140.65	146,449.16	828,669.74	975,118.90
-14	CULTURAL RESOURCE SURVEY	3,938,987.64	4,092,424.16	4,380,345.20	5,357,844.99	496,477.14	5,854,322.13
-17	NEIGHBOR WORK PROJECT	5,184,979.65	5,252,909.06	5,411,601.39	5,073,951.72	(28,145.05)	5,045,806.67
-18	AUTOMATIC/PRINT CHARGBACKS	0.00	0.00	0.00	0.00	0.00	0.00
-20	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-23	DATA CENTER ACCOUNT	10,807,914.60	9,205,067.00	15,179,525.02	11,640,847.36	20,632,828.94	32,273,676.30
-24	HUMAN SVCE TELECOM ACCT	0.00	0.00	0.00	0.00	18,331.55	18,331.55
-26	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-27	CYBER SECURITY INTRUSION ACCT	0.00	28,663.67	142,777.77	257,422.45	3,654.00	261,076.45
-28	DOMESTIC VIOLENCE GRANT	332,598.98	272,664.14	245,264.86	290,575.91	52,681.38	343,257.29
-30	CENTRALIZED TECHNOLOGY SERVICES	874,568.34	948,699.32	1,132,155.25	1,355,688.61	(501,711.15)	853,977.46
394 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
395 -04	EXECUTIVE DIRECTION INTERNAL AUDIT	87,652.89	176,086.90	403,127.79	541,478.34	254,897.63	796,375.97
396 -00	HEALTH INSURANCE INTERNAL SERVICE	14,644,710.12	15,444,482.82	16,773,889.85	17,594,717.20	(2,063,994.76)	15,530,722.44
396 -01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	2,180,058.75	1,336,917.17	1,547,071.26	1,744,555.48	198,905.82	1,943,461.30
397 -00	CORR INDUSTRIES INTERNAL SERVICE	1,377,716.09	3,956,165.16	3,982,513.47	4,049,598.11	(252,187.74)	3,797,410.37
	TOTAL INTERNAL SERVICE FUNDS	\$49,395,860.84	\$54,305,150.47	\$63,341,707.34	\$65,495,848.52	21,260,490.00	\$86,756,338.52
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING		\$2,462,580,256.50	\$1,878,472,110.60	\$2,498,583,058.38	\$3,692,718,848.33	(91,384,205.00)	\$3,601,334,643.33

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1 and 1A, of the Laws of 2010-11. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

NOTE:

- (1) - Includes all negative cash balance Subfunds within fund 261.
- (2) - Includes all negative cash balance Subfunds within fund 265.
- (3) - Includes all negative cash balance Subfunds within fund 267.
- (4) - Includes all negative cash balance Subfunds within fund 269.
- (5) - Includes all negative cash balance Subfunds within fund 290.
- (6) - Includes all other negative cash balance Subfunds within fund 291.
- (7) - The Fund 291-10 temporary loan balance includes \$170.3million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 072-01 based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 072-01 on or before March 31, 2011 .
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 001 and State Purpose Fund 003.