



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting

FISCAL YEAR ENDED MARCH 31, 2017

Office of Operations

Division of Payroll, Accounting and Revenue Services

NYS Comptroller

THOMAS P. DINAPOLI

Additional information relating to New York State finances is available at the Comptroller's website at:

www.osc.state.ny.us

If you would like to be deleted from our mailing list or if your address has changed, please contact the Bureau of Financial Reporting and Oil Spill Remediation at (518) 474-3277, send an email to ***FinRep@osc.state.ny.us*** or write to:

Office of the State Comptroller
Bureau of Financial Reporting and Oil Spill Remediation
110 State Street - 9th Floor
Albany, NY 12236

**STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

| |
|--|
| COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2017 |
|--|

TABLE OF CONTENTS

Combined Statements of Cash Receipts, Disbursements and Changes in Fund Balances

| | | |
|--------------------------------------|--|----|
| | Governmental Funds - Receipts (Graphic Illustration) | 6 |
| | Governmental Funds - Disbursements (Graphic Illustration) | 7 |
| Exhibit A | Governmental Funds | 8 |
| Exhibit A Supplemental | Governmental Funds - State Operating | 9 |
| Exhibit B | Proprietary Funds | 10 |
| Exhibit C | Trust Funds | 11 |
| Exhibit D General Fund | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - General Fund | 12 |
| Exhibit D Special Revenue | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - Special Revenue | 13 |
| Exhibit D Special Revenue - State | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - Special Revenue - State | 14 |
| Exhibit D Special Revenue - Federal | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - Special Revenue - Federal | 15 |
| Exhibit D Debt | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - Debt Service | 16 |
| Exhibit D Capital Projects | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - Capital Projects | 17 |
| Exhibit D Capital Projects - State | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - Capital Projects - State | 18 |
| Exhibit D Capital Projects - Federal | Governmental Funds - Budgetary Basis Report - Financial Plan and Actual - Capital Projects - Federal | 19 |

Notes to Financial Statements 20

Combining Statements of Cash Receipts, Disbursements and Changes in Fund Balances

| | | |
|-----------------------|--|----|
| Exhibit A-1 | General Fund | 28 |
| Exhibit A-2 - State | Special Revenue Funds - State | 30 |
| Exhibit A-2 - Federal | Special Revenue Funds - Federal | 40 |
| Exhibit A-2 - Summary | Special Revenue Funds - State and Federal | 42 |
| Exhibit A-3 | Debt Service Funds | 43 |
| Exhibit A-4 | Capital Projects Funds - State and Federal | 45 |
| Exhibit B-1 | Enterprise Funds | 52 |
| Exhibit B-2 | Internal Service Funds | 54 |
| Exhibit C-1 | Pension Trust Fund | 55 |
| Exhibit C-2 | Private Purpose Trust Funds | 56 |
| Exhibit C-3 | Agency Funds | 57 |
| Exhibit C-4 | Sole Custody Funds and Accounts | 58 |

Supplementary Schedules

| | | |
|-----------------------------------|---|----|
| Schedule 1 | Governmental Funds - Schedule of Net Tax Receipts | 65 |
| Schedule 2 | Governmental Funds - Combined Statement of Receipts and Other Financing Sources | 66 |
| Schedule 2 Miscellaneous Receipts | Governmental Funds - Combined Statement of Receipts and Other Financing Sources | 67 |
| Schedule 3 | Governmental Funds - Schedule of Tax Receipts: Fiscal Years 2007-08 thru 2016-17 | 69 |
| Schedule 4 | Governmental Funds - Combining Schedule of Tax Receipts | 70 |
| Schedule 5 | Governmental Funds - State Tax Receipts per Capita: Fiscal Years 2007-08 thru 2016-17 | 72 |
| | Governmental Funds - Receipts: Ten Year Trend Analysis (Graphic Illustration) | 73 |
| | Governmental Funds - Receipts to Disbursements: Ten Year Trend Analysis (Graphic Illustration) | 74 |
| Schedule 6 | Governmental Funds - Schedule of Receipts, Disbursements and Other Financing Sources (Uses): Fiscal Years 2007-08 thru 2016-17 | 75 |
| Schedule 6 Supplemental | Governmental Funds - State Operating Funds - Schedule of Receipts, Disbursements and Other Financing Sources (Uses): Fiscal Years 2007-08 thru 2016-17 | 76 |
| | Governmental Funds - Local Assistance Grants (Graphic Illustration) | 77 |
| | Governmental Funds - Local Assistance Grants by Funding Source (Graphic Illustration) | 78 |

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

| |
|--|
| COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2017 |
|--|

TABLE OF CONTENTS

Supplementary Schedules (continued)

| | | |
|--------------|--|-----|
| Schedule 7 | Governmental Funds - Local Assistance Disbursements by Program | 79 |
| | Statement of Appropriation Transactions (in Force): | |
| Schedule 8 | All Funds by Fund Type | 80 |
| Schedule 9 | All Funds by Business Unit | 81 |
| | Appropriated Loans Receivable: | |
| Schedule 10 | Summary of Appropriated Loans Receivable Transactions By Fund and Business Unit - Governmental Funds | 87 |
| Schedule 11 | Summary of Appropriated Loans Receivable Transactions By Fund, Business Unit and Department - General Fund | 88 |
| Schedule 12 | Summary of Appropriated Loans Receivable Transactions By Fund, Business Unit and Department - Special Revenue Funds | 89 |
| Schedule 13 | Summary of Appropriated Loans Receivable Transactions By Fund, Business Unit and Department - Capital Projects Funds | 90 |
| | State Debt Activity: | |
| Schedule 14 | Statement of State General Obligation Debt Activity | 91 |
| Schedule 15 | General Obligation Debt - Authorized, Issued and Outstanding | 92 |
| Schedule 16 | General Obligation Debt - Principal and Interest Payments: Fiscal Years 2007-08 thru 2016-17 | 93 |
| Schedule 17 | Future General Obligation Debt Service Requirements Payable from Debt Service Funds | 96 |
| Schedule 18 | Debt Service Funds - Lease/Purchase and Other Financing Agreement Disbursements | 98 |
| Schedule 19 | Future Lease/Purchase and Contractual Obligation Requirements Payable from Debt Service Funds | 99 |
| | State Debt Outstanding as of March 31: Fiscal Years 2007-08 thru 2016-17 (Graphic Illustration) | 101 |
| Schedule 20 | State Debt Outstanding as of March 31: Fiscal Years 2007-08 thru 2016-17 | 102 |
| Schedule 20a | State Supported Debt Outstanding as of March 31 Per Capita: Fiscal Years 2007-08 thru 2016-17 | 103 |
| Schedule 20b | State Funded Debt Obligations Outstanding as of March 31 Per Capita: Fiscal Years 2007-08 thru 2016-17 | 104 |
| Schedule 20c | State Related Debt Obligations Outstanding as of March 31 Per Capita: Fiscal Years 2007-08 thru 2016-17 | 105 |
| | Combining Statements of Selected Departmental Disbursements: | |
| Schedule 21 | General Fund | 106 |
| Schedule 22 | Special Revenue Funds - State | 108 |
| Schedule 23 | Special Revenue Funds - Federal | 110 |
| Schedule 24 | Debt Service Funds | 111 |
| Schedule 25 | Capital Projects Funds - State | 112 |
| Schedule 26 | Capital Projects Funds - Federal | 113 |

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR ENDED MARCH 31, 2017

TABLE OF CONTENTS

Supplementary Schedules (continued)

| Other Supplementary Schedules: | | |
|--------------------------------|--|-----|
| Schedule 27 | Tax Stabilization Reserve Fund - Statement of Net Cash Transactions: Fiscal Years 1945-46 thru 2016-17 | 114 |
| Schedule 28 | Short-Term Investment Pool: Fiscal Years 2007-08 thru 2016-17 | 116 |
| Schedule 29 | Report of Investment Yields, Portfolio Balances and Interest Earnings | 117 |
| Schedule 30 | Short-Term Investment Portfolio Balances | 118 |
| Schedule 31 | Temporary Loans Outstanding: Fiscal Years 2007-08 thru 2016-17 | 119 |
| Schedule 32 | Temporary Loans Outstanding as of March 31, 2017 | 120 |
| Schedule 33 | Summary of Cash Advance Accounts by Business Unit and Department | 123 |
| Appendix | Fund Structure (Graphic Illustration) | 130 |
| | Fund Structure and List of Joint Custody Funds | 131 |

**STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

| |
|--|
| COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2017 |
|--|

GLOSSARY OF ACRONYMS

| | |
|----------|--|
| (ACTION) | Accelerated Capacity and Transportation Improvements of the Nineties |
| (ARRA) | American Recovery and Reinvestment Act of 2009 |
| (CIO) | Chief Information Officer |
| (COPS) | Certificates of Participation |
| (CUNY) | City University of New York |
| (CUTRA) | City University Tuition Reimbursement Account |
| (CW/CA) | Clean Water / Clean Air |
| (DASNY) | Dormitory Authority of the State of New York |
| (DDSO) | Developmental Disabilities Services Office |
| (DEC) | New York State Department of Environmental Conservation |
| (DHCR) | Division of Housing and Community Renewal |
| (DMV) | Department of Motor Vehicles |
| (DOCCS) | Department of Corrections and Community Supervision |
| (DOH) | Department of Health |
| (DOT) | Department of Transportation |
| (DSAS) | Division of Substance Abuse Services |
| (EFC) | New York State Environmental Facilities Corporation |
| (EHS) | Employee Health Services |
| (ENCON) | New York State Department of Environmental Conservation |
| (EPIC) | Elderly Pharmaceutical Insurance Coverage |
| (ERDA) | Energy Research and Development Authority |
| (FBI) | Federal Bureau of Investigation |
| (HAF) | Housing Assistance Fund |
| (HCRA) | Health Care Reform Act |
| (HESC) | Higher Education Services Corporation |
| (HFA) | Housing Finance Agency |
| (HHC) | Health and Hospital Corporation |
| (HSC) | Health Science Center |
| (IOLA) | Interest on Lawyer Account |
| (IT) | Information Technology |
| (LGAC) | New York Local Government Assistance Corporation |
| (LGATF) | Local Government Assistance Tax Fund |
| (LLC) | Limited Liability Company |
| (MAC) | Municipal Assistance Corporation |
| (MBBA) | Municipal Bond Bank Agency |
| (MCTD) | Metropolitan Commuter Transportation District |
| (MMIS) | Medicaid Management Information System |
| (MTA) | Metropolitan Transportation Authority |
| (NYC) | New York City |

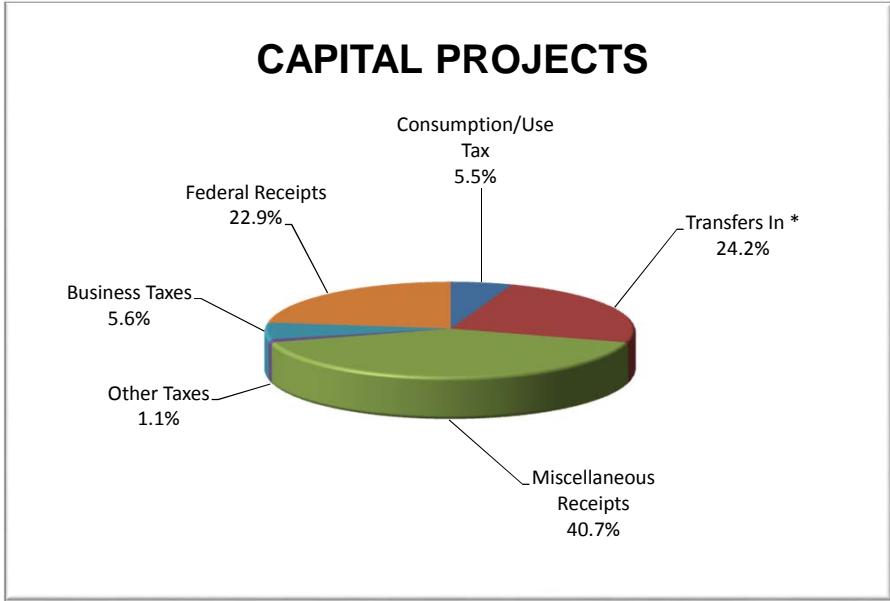
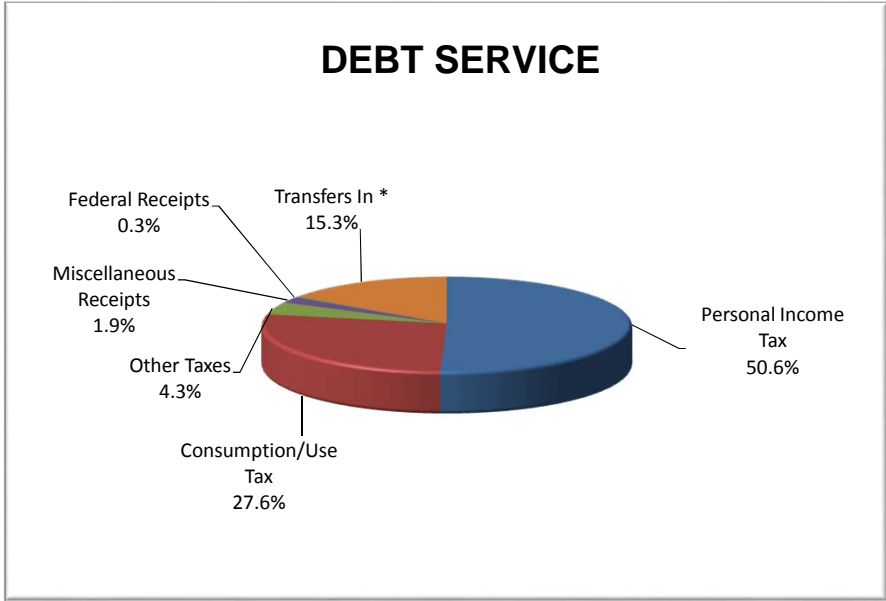
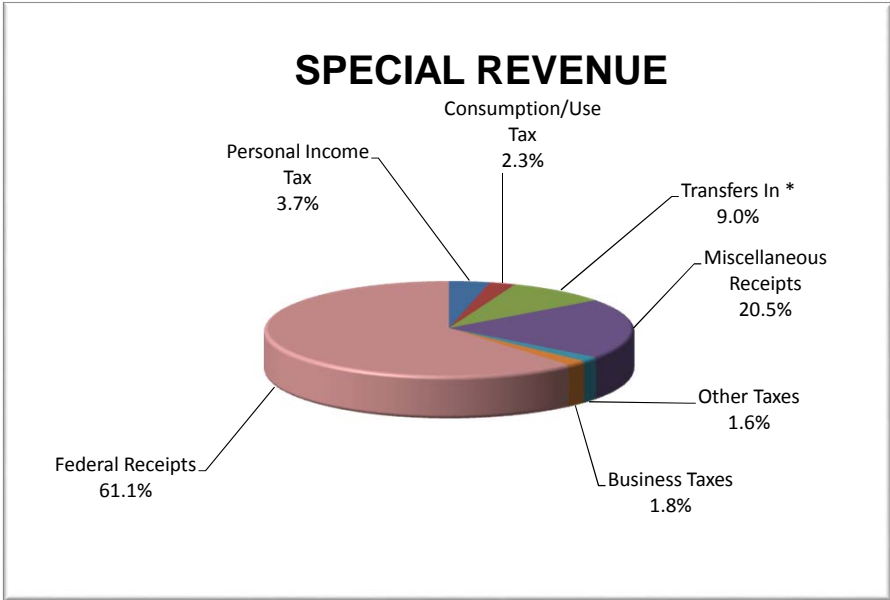
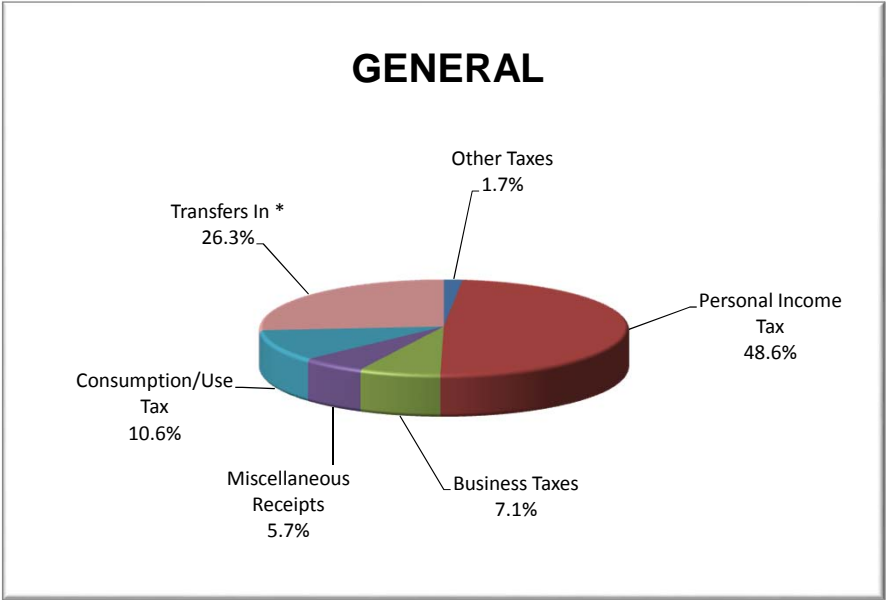
**STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

| |
|--|
| COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2017 |
|--|

GLOSSARY OF ACRONYMS

| | |
|----------|--|
| (NYCCC) | New York City County Clerk |
| (NYT) | New York Network |
| (OASAS) | Office of Alcoholism and Substance Abuse Services |
| (OGS) | Office of General Services |
| (OMH) | Office of Mental Health |
| (OPWDD) | Office for People with Developmental Disabilities |
| (OSC) | Office of the State Comptroller |
| (OSHA) | Occupational Safety and Health Administration |
| (PASNY) | Power Authority of the State of New York |
| (PIT) | Personal Income Tax |
| (RBTF) | Revenue Bond Tax Fund |
| (RPI) | Rensselaer Polytechnic Institute |
| (SPARCS) | Statewide Planning and Research Cooperative System |
| (SSI) | Supplemental Security Income |
| (SSP) | New York State Supplement Program |
| (STAR) | School Tax Relief |
| (STARC) | Sales Tax Asset Receivable Corporation |
| (STRBTF) | Sales Tax Revenue Bond Tax Fund |
| (SUNY) | State University of New York |
| (TFA) | Transitional Finance Authority |
| (UDC) | Urban Development Corporation |
| (UI) | Unemployment Insurance |
| (USDA) | United States Department of Agriculture |
| (VLT) | Video Lottery Terminal |
| (WIC) | Women, Infants and Children Program |

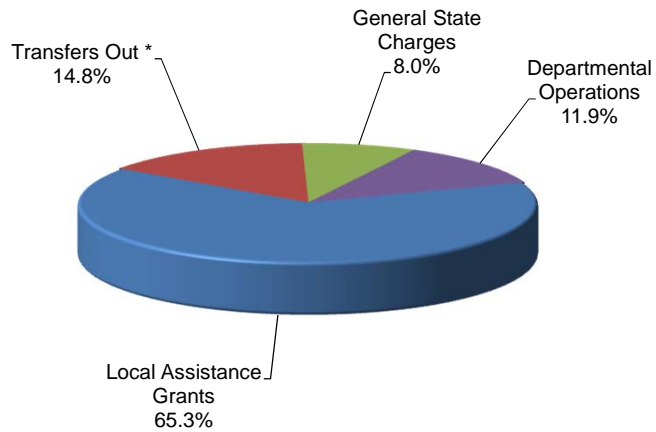
**GOVERNMENTAL FUNDS - RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2017**



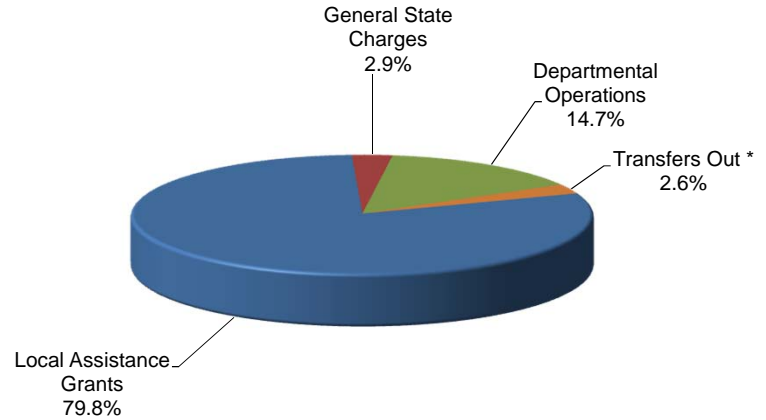
*Transfers from Other Funds

**GOVERNMENTAL FUNDS - DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017**

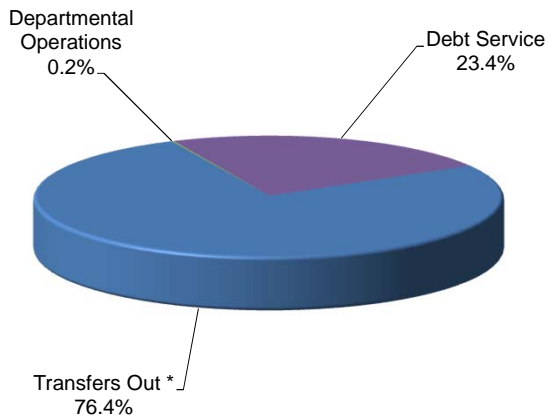
GENERAL



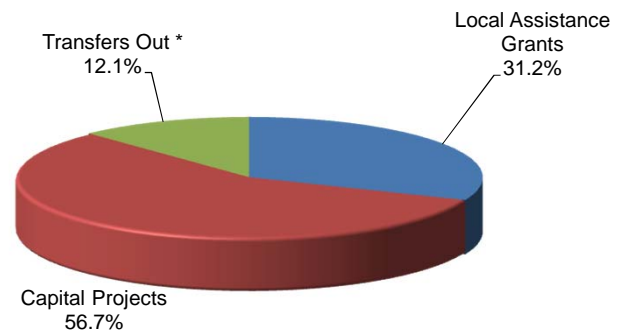
SPECIAL REVENUE



DEBT SERVICE



CAPITAL PROJECTS



*Transfers to Other Funds

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)**

EXHIBIT A

| | GENERAL | SPECIAL REVENUE | DEBT SERVICE | CAPITAL PROJECTS | TOTAL GOVERNMENTAL FUNDS | |
|---|---------------------|---------------------|---------------------|-----------------------|--------------------------|----------------------|
| | | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | | |
| Personal Income Tax..... | \$ 32,535,407 | \$ 3,139,051 | \$ 11,891,486 | \$ - | \$ 47,565,944 | \$ 47,055,283 |
| Consumption/Use Taxes | 7,100,700 | 2,003,773 | 6,483,267 | 624,090 | 16,211,830 | 15,725,343 |
| Business Taxes..... | 4,761,463 | 1,577,944 | - | 639,502 | 6,978,909 | 7,883,743 |
| Other Taxes..... | 1,109,621 | 1,380,246 | 1,007,269 | 119,100 | 3,616,236 | 4,008,704 |
| Miscellaneous Receipts..... | 3,812,509 | 17,685,458 | 457,594 | 4,636,612 | 26,592,173 | 27,268,336 |
| Federal Receipts..... | 372 | 52,724,858 | 73,405 | 2,608,397 | 55,407,032 | 51,323,529 |
| Total Receipts..... | 49,320,072 | 78,511,330 | 19,913,021 | 8,627,701 | 156,372,124 | 153,264,938 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants: | | | | | | |
| Education..... | 26,068,373 | 10,025,850 | - | 49,490 | 36,143,713 | 35,349,565 |
| Environment and Recreation..... | 4,804 | 6,958 | - | 311,894 | 323,656 | 321,479 |
| General Government..... | 989,952 | 260,464 | - | 455,712 | 1,706,128 | 1,573,960 |
| Public Health: | | | | | | |
| Medicaid..... | 12,865,947 | 39,575,154 | - | - | 52,441,101 | 49,664,291 |
| Other Public Health | 1,128,246 | 7,773,042 | - | 157,815 | 9,059,103 | 6,873,429 |
| Public Safety | 157,255 | 1,488,523 | - | 69,863 | 1,715,641 | 2,229,061 |
| Public Welfare | 2,919,416 | 4,155,030 | - | 185,209 | 7,259,655 | 7,806,779 |
| Support and Regulate Business | 199,193 | 70,803 | - | 817,084 | 1,087,080 | 796,912 |
| Transportation..... | 106,209 | 4,937,382 | - | 1,557,075 | 6,600,666 | 5,698,151 |
| Total Local Assistance Grants..... | 44,439,395 | 68,293,206 | - | 3,604,142 | 116,336,743 | 110,313,627 |
| Departmental Operations: | | | | | | |
| Personal Service..... | 6,064,629 | 7,658,905 | - | - | 13,723,534 | 13,598,151 |
| Non-Personal Service..... | 2,021,639 | 4,898,565 | 38,646 | - | 6,958,850 | 6,974,097 |
| General State Charges..... | 5,462,298 | 2,464,662 | - | - | 7,926,960 | 7,739,187 |
| Debt Service, Including Payments on Financing Agreements..... | - | - | 5,513,783 | - | 5,513,783 | 5,598,485 |
| Capital Projects..... | - | 2,544 | - | 6,552,851 | 6,555,395 | 6,484,930 |
| Total Disbursements..... | 57,987,961 | 83,317,882 | 5,552,429 | 10,156,993 | 157,015,265 | 150,708,477 |
| Excess (Deficiency) of Receipts over Disbursements..... | (8,667,889) | (4,806,552) | 14,360,592 | (1,529,292) | (643,141) | 2,556,461 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - |
| Transfers from Other Funds..... | 17,575,087 | 7,732,883 | 3,608,856 | 2,751,485 | 31,668,311 | 33,441,924 |
| Transfers to Other Funds..... | (10,092,655) | (2,261,218) | (17,984,802) | (1,391,915) | (31,730,590) | (33,543,814) |
| Investment..... | - | - | - | - | - | (5) |
| Total Other Financing Sources (Uses)..... | 7,482,432 | 5,471,665 | (14,375,946) | 1,359,570 | (62,279) | (101,895) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (1,185,457) | 665,113 | (15,354) | (169,722) | (705,420) | 2,454,566 |
| Fund Balances (Deficits) at April 1..... | 8,934,104 | 3,607,086 | 159,713 | (890,782) | 11,810,121 | 9,355,555 |
| Fund Balances (Deficits) at March 31..... | \$ 7,748,647 | \$ 4,272,199 | \$ 144,359 | \$ (1,060,504) | \$ 11,104,701 | \$ 11,810,121 |

See Accompanying Notes

**STATE OF NEW YORK
GOVERNMENTAL FUNDS - STATE OPERATING
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)**

**EXHIBIT A
SUPPLEMENTAL**

| | STATE | | | TOTAL STATE OPERATING FUNDS | |
|---|---------------------|---------------------|---------------------|-----------------------------|----------------------|
| | GENERAL | SPECIAL REVENUE | DEBT SERVICE | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ 32,535,407 | \$ 3,139,051 | \$ 11,891,486 | \$ 47,565,944 | \$ 47,055,283 |
| Consumption/Use Taxes..... | 7,100,700 | 2,003,773 | 6,483,267 | 15,587,740 | 15,089,642 |
| Business Taxes..... | 4,761,463 | 1,577,944 | - | 6,339,407 | 7,244,264 |
| Other Taxes..... | 1,109,621 | 1,380,246 | 1,007,269 | 3,497,136 | 3,889,604 |
| Miscellaneous Receipts..... | 3,812,509 | 17,486,557 | 457,594 | 21,756,660 | 23,254,991 |
| Federal Receipts..... | 372 | (1,305) | 73,405 | 72,472 | 73,548 |
| Total Receipts..... | 49,320,072 | 25,586,266 | 19,913,021 | 94,819,359 | 96,607,332 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants: | | | | | |
| Education..... | 26,068,373 | 6,480,458 | - | 32,548,831 | 31,662,758 |
| Environment and Recreation..... | 4,804 | 3,864 | - | 8,668 | 12,174 |
| General Government..... | 989,952 | 201,591 | - | 1,191,543 | 1,215,379 |
| Public Health: | | | | | |
| Medicaid..... | 12,865,947 | 5,785,626 | - | 18,651,573 | 18,314,157 |
| Other Public Health | 1,128,246 | 2,357,738 | - | 3,485,984 | 3,265,481 |
| Public Safety | 157,255 | 158,673 | - | 315,928 | 283,224 |
| Public Welfare | 2,919,416 | 3,271 | - | 2,922,687 | 2,935,571 |
| Support and Regulate Business | 199,193 | 66,953 | - | 266,146 | 219,285 |
| Transportation..... | 106,209 | 4,871,085 | - | 4,977,294 | 4,745,229 |
| Total Local Assistance Grants..... | 44,439,395 | 19,929,259 | - | 64,368,654 | 62,653,258 |
| Departmental Operations: | | | | | |
| Personal Service..... | 6,064,629 | 7,027,753 | - | 13,092,382 | 12,980,751 |
| Non-Personal Service..... | 2,021,639 | 3,527,114 | 38,646 | 5,587,399 | 5,601,777 |
| General State Charges..... | 5,462,298 | 2,172,026 | - | 7,634,324 | 7,452,462 |
| Debt Service, Including Payments on Financing Agreements... | - | - | 5,513,783 | 5,513,783 | 5,598,485 |
| Capital Projects..... | - | 2,544 | - | 2,544 | 1,749 |
| Total Disbursements..... | 57,987,961 | 32,658,696 | 5,552,429 | 96,199,086 | 94,288,482 |
| Excess (Deficiency) of Receipts over Disbursements..... | (8,667,889) | (7,072,430) | 14,360,592 | (1,379,727) | 2,318,850 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - |
| Transfers from Other Funds..... | 17,575,087 | 8,105,904 | 3,608,856 | 29,289,847 | 31,042,573 |
| Transfers to Other Funds..... | (10,092,655) | (848,505) | (17,984,802) | (28,925,962) | (30,611,048) |
| Investment..... | - | - | - | - | (5) |
| Total Other Financing Sources (Uses)..... | 7,482,432 | 7,257,399 | (14,375,946) | 363,885 | 431,520 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (1,185,457) | 184,969 | (15,354) | (1,015,842) | 2,750,370 |
| Fund Balances at April 1 | 8,934,104 | 3,547,354 | 159,713 | 12,641,171 | 9,890,801 |
| Fund Balances at March 31..... | \$ 7,748,647 | \$ 3,732,323 | \$ 144,359 | \$ 11,625,329 | \$ 12,641,171 |

See Accompanying Notes

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

EXHIBIT B

| | ENTERPRISE | INTERNAL SERVICE | TOTAL PROPRIETARY FUNDS | |
|---|------------------|---------------------|-------------------------|--------------------|
| | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | |
| Miscellaneous Receipts..... | \$ 58,782 | \$ 442,985 | \$ 501,767 | \$ 610,379 |
| Federal Receipts..... | 19,190 | - | 19,190 | 29,962 |
| Unemployment Taxes..... | 2,156,425 | - | 2,156,425 | 2,281,243 |
| Total Receipts..... | 2,234,397 | 442,985 | 2,677,382 | 2,921,584 |
| DISBURSEMENTS: | | | | |
| Departmental Operations: | | | | |
| Personal Service..... | 5,359 | 93,796 | 99,155 | 90,504 |
| Non-Personal Service..... | 50,740 | 421,182 | 471,922 | 473,500 |
| General State Charges..... | 1,167 | 53,179 | 54,346 | 47,817 |
| Unemployment Benefits..... | 2,219,630 | - | 2,219,630 | 2,284,639 |
| Total Disbursements..... | 2,276,896 | 568,157 | 2,845,053 | 2,896,460 |
| Excess (Deficiency) of Receipts over Disbursements..... | (42,499) | (125,172) | (167,671) | 25,124 |
| OTHER FINANCING SOURCES (USES): | | | | |
| Transfers from Other Funds..... | - | 87,579 | 87,579 | 98,216 |
| Transfers to Other Funds..... | - | (35,600) | (35,600) | (38,334) |
| Total Other Financing Sources (Uses)..... | - | 51,979 | 51,979 | 59,882 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (42,499) | (73,193) | (115,692) | 85,006 |
| Fund Balances (Deficits) at April 1..... | 66,134 | (127,172) | (61,038) | (146,044) |
| Fund Balances (Deficits) at March 31..... | \$ 23,635 | \$ (200,365) | \$ (176,730) | \$ (61,038) |

See Accompanying Notes

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT C

| | PENSION TRUST | PRIVATE PURPOSE TRUST | TOTAL TRUST FUNDS | |
|--|-------------------|-----------------------|-------------------|------------------|
| | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | |
| Miscellaneous Receipts..... | \$ 105,820 | \$ (615) | \$ 105,205 | \$ 127,834 |
| Total Receipts..... | 105,820 | (615) | 105,205 | 127,834 |
| DISBURSEMENTS: | | | | |
| Departmental Operations: | | | | |
| Personal Service..... | 55,755 | 165 | 55,920 | 56,875 |
| Non-Personal Service..... | 22,414 | 8 | 22,422 | 22,919 |
| General State Charges..... | 29,644 | 101 | 29,745 | 31,058 |
| Total Disbursements..... | 107,813 | 274 | 108,087 | 110,852 |
| Excess (Deficiency) of Receipts over Disbursements..... | (1,993) | (889) | (2,882) | 16,982 |
| Fund Balances (Deficits) at April 1..... | 60 | 11,552 | 11,612 | (5,370) |
| Fund Balances (Deficits) at March 31..... | \$ (1,933) | \$ 10,663 | \$ 8,730 | \$ 11,612 |

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - GENERAL FUND
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D

| | Financial Plan Amounts | | | Actual | Final to Actual Over/ (Under) Variance |
|--|------------------------|---------------------|---------------------|---------------------|---|
| | Original | Mid-Year | Final | | |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ 33,870,000 | \$ 32,839,000 | \$ 32,274,000 | \$ 32,535,400 | \$ 261,400 |
| Consumption/Use Taxes..... | 7,087,000 | 7,076,000 | 7,082,000 | 7,100,700 | 18,700 |
| Business Taxes..... | 5,750,000 | 5,775,000 | 5,571,000 | 4,761,500 | (809,500) |
| Other Taxes..... | 1,045,000 | 1,054,000 | 1,134,000 | 1,109,600 | (24,400) |
| Miscellaneous Receipts..... | 2,813,000 | 3,187,000 | 3,799,000 | 3,812,500 | 13,500 |
| Federal Receipts..... | - | - | - | 400 | 400 |
| Transfers from Other Funds: | | | | | |
| PIT in excess of Revenue Bond Debt Service..... | 10,899,000 | 10,634,000 | 10,421,000 | 10,275,000 | (146,000) |
| Sales Tax in excess of LGAC/STRBTF Debt Service..... | 5,515,000 | 5,536,000 | 5,535,000 | 5,542,200 | 7,200 |
| Real Estate Taxes in excess of CW/CA Debt Service..... | 951,000 | 951,000 | 952,000 | 940,200 | (11,800) |
| All Other..... | 1,046,000 | 1,218,000 | 1,222,000 | 817,700 | (404,300) |
| Total Receipts..... | 68,976,000 | 68,270,000 | 67,990,000 | 66,895,200 | (1,094,800) |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants..... | 45,957,000 | 45,379,000 | 44,826,000 | 44,439,400 | (386,600) |
| Departmental Operations..... | 8,299,000 | 8,259,000 | 8,253,000 | 8,086,300 | (166,700) |
| General State Charges..... | 5,425,000 | 5,567,000 | 5,491,000 | 5,462,300 | (28,700) |
| Transfers to Other Funds: | | | | | |
| Debt Service..... | 706,000 | 703,000 | 927,000 | 923,600 | (3,400) |
| Capital Projects..... | 4,461,000 | 3,619,000 | 3,458,000 | 2,569,500 | (888,500) |
| State Share of Medicaid..... | 1,437,000 | 1,432,000 | 1,432,000 | 1,519,900 | 87,900 |
| SUNY Operations..... | 996,000 | 996,000 | 996,000 | 996,300 | 300 |
| Other Purposes..... | 4,560,000 | 4,365,000 | 4,309,000 | 4,083,400 | (225,600) |
| Total Disbursements..... | 71,841,000 | 70,320,000 | 69,692,000 | 68,080,700 | (1,611,300) |
| Excess (Deficiency) of Receipts over Disbursements..... | (2,865,000) | (2,050,000) | (1,702,000) | (1,185,500) | 516,500 |
| Fund Balances (Deficits) at April 1..... | 8,934,000 | 8,934,000 | 8,934,000 | 8,934,100 | 100 |
| Fund Balances (Deficits) at March 31..... | \$ 6,069,000 | \$ 6,884,000 | \$ 7,232,000 | \$ 7,748,600 | \$ 516,600 |

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D
(continued)

| | Financial Plan Amounts | | | Actual | Eliminations Actual | Total Actual | Final to Actual Over/ (Under) Variance |
|--|------------------------|---------------------|---------------------|---------------------|------------------------|---------------------|---|
| | Original | Mid-Year | Final | | | | |
| RECEIPTS: | | | | | | | |
| Personal Income Tax..... | \$ 3,228,000 | \$ 3,228,000 | \$ 3,208,000 | \$ 3,139,100 | \$ - | \$ 3,139,100 | \$ (68,900) |
| Consumption/Use Taxes..... | 2,011,000 | 2,011,000 | 2,007,000 | 2,003,800 | - | 2,003,800 | (3,200) |
| Business Taxes..... | 1,622,000 | 1,622,000 | 1,653,000 | 1,577,900 | - | 1,577,900 | (75,100) |
| Other Taxes..... | 1,353,000 | 1,336,000 | 1,361,000 | 1,380,200 | - | 1,380,200 | 19,200 |
| Miscellaneous Receipts..... | 15,681,000 | 16,437,000 | 17,058,000 | 17,685,400 | - | 17,685,400 | 627,400 |
| Federal Receipts..... | 49,416,000 | 50,718,000 | 50,651,000 | 52,724,900 | - | 52,724,900 | 2,073,900 |
| Transfers from Other Funds..... | 7,853,000 | 7,802,000 | 7,781,000 | 8,105,900 | (373,000) | 7,732,900 | (48,100) |
| Total Receipts..... | 81,164,000 | 83,154,000 | 83,719,000 | 86,617,200 | (373,000) | 86,244,200 | 2,525,200 |
| DISBURSEMENTS: | | | | | | | |
| Local Assistance Grants..... | 64,737,000 | 65,913,000 | 66,337,000 | 68,293,200 | - | 68,293,200 | 1,956,200 |
| Departmental Operations..... | 12,077,000 | 12,392,000 | 12,561,000 | 12,557,500 | - | 12,557,500 | (3,500) |
| General State Charges..... | 2,445,000 | 2,443,000 | 2,443,000 | 2,464,700 | - | 2,464,700 | 21,700 |
| Capital Projects..... | 3,000 | 3,000 | 2,000 | 2,500 | - | 2,500 | 500 |
| Transfers to Other Funds..... | 2,417,000 | 2,636,000 | 2,513,000 | 2,634,200 | (373,000) | 2,261,200 | (251,800) |
| Total Disbursements..... | 81,679,000 | 83,387,000 | 83,856,000 | 85,952,100 | (373,000) | 85,579,100 | 1,723,100 |
| Excess (Deficiency) of Receipts over Disbursements..... | (515,000) | (233,000) | (137,000) | 665,100 | - | 665,100 | 802,100 |
| Fund Balances (Deficits) at April 1..... | 3,607,000 | 3,607,000 | 3,607,000 | 3,607,100 | - | 3,607,100 | 100 |
| Fund Balances (Deficits) at March 31..... | \$ 3,092,000 | \$ 3,374,000 | \$ 3,470,000 | \$ 4,272,200 | \$ - | \$ 4,272,200 | \$ 802,200 |

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - STATE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D
(continued)

| | Financial Plan Amounts | | | Actual | Final to Actual Over/ (Under) Variance |
|--|------------------------|---------------------|---------------------|---------------------|---|
| | Original | Mid-Year | Final | | |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ 3,228,000 | \$ 3,228,000 | \$ 3,208,000 | \$ 3,139,100 | \$ (68,900) |
| Consumption/Use Taxes..... | 2,011,000 | 2,011,000 | 2,007,000 | 2,003,800 | (3,200) |
| Business Taxes..... | 1,622,000 | 1,622,000 | 1,653,000 | 1,577,900 | (75,100) |
| Other Taxes..... | 1,353,000 | 1,336,000 | 1,361,000 | 1,380,200 | 19,200 |
| Miscellaneous Receipts..... | 15,465,000 | 16,221,000 | 16,823,000 | 17,486,500 | 663,500 |
| Federal Receipts..... | 1,000 | 1,000 | 1,000 | (1,300) | (2,300) |
| Transfers from Other Funds..... | 7,853,000 | 7,802,000 | 7,781,000 | 8,105,900 | 324,900 |
| Total Receipts..... | 31,533,000 | 32,221,000 | 32,834,000 | 33,692,100 | 858,100 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants..... | 18,932,000 | 19,278,000 | 19,639,000 | 19,929,300 | 290,300 |
| Departmental Operations..... | 10,196,000 | 10,416,000 | 10,500,000 | 10,554,800 | 54,800 |
| General State Charges..... | 2,126,000 | 2,140,000 | 2,140,000 | 2,172,100 | 32,100 |
| Capital Projects..... | 3,000 | 3,000 | 2,000 | 2,500 | 500 |
| Transfers to Other Funds..... | 698,000 | 869,000 | 689,000 | 848,500 | 159,500 |
| Total Disbursements..... | 31,955,000 | 32,706,000 | 32,970,000 | 33,507,200 | 537,200 |
| Excess (Deficiency) of Receipts over Disbursements..... | (422,000) | (485,000) | (136,000) | 184,900 | 320,900 |
| Fund Balances (Deficits) at April 1..... | 3,547,000 | 3,547,000 | 3,547,000 | 3,547,400 | 400 |
| Fund Balances (Deficits) at March 31..... | \$ 3,125,000 | \$ 3,062,000 | \$ 3,411,000 | \$ 3,732,300 | \$ 321,300 |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - FEDERAL
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D
(continued)

| | Financial Plan Amounts | | | Actual | Final to Actual Over/ (Under) Variance |
|--|------------------------|-------------------|-------------------|-------------------|---|
| | Original | Mid-Year | Final | | |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes..... | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - |
| Miscellaneous Receipts..... | 216,000 | 216,000 | 235,000 | 198,900 | (36,100) |
| Federal Receipts..... | 49,415,000 | 50,717,000 | 50,650,000 | 52,726,200 | 2,076,200 |
| Transfers from Other Funds..... | - | - | - | - | - |
| Total Receipts..... | 49,631,000 | 50,933,000 | 50,885,000 | 52,925,100 | 2,040,100 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants..... | 45,805,000 | 46,635,000 | 46,698,000 | 48,363,900 | 1,665,900 |
| Departmental Operations..... | 1,881,000 | 1,976,000 | 2,061,000 | 2,002,700 | (58,300) |
| General State Charges..... | 319,000 | 303,000 | 303,000 | 292,600 | (10,400) |
| Capital Projects..... | - | - | - | - | - |
| Transfers to Other Funds..... | 1,719,000 | 1,767,000 | 1,824,000 | 1,785,700 | (38,300) |
| Total Disbursements..... | 49,724,000 | 50,681,000 | 50,886,000 | 52,444,900 | 1,558,900 |
| Excess (Deficiency) of Receipts over Disbursements..... | (93,000) | 252,000 | (1,000) | 480,200 | 481,200 |
| Fund Balances (Deficits) at April 1..... | 60,000 | 60,000 | 60,000 | 59,700 | (300) |
| Fund Balances (Deficits) at March 31..... | \$ (33,000) | \$ 312,000 | \$ 59,000 | \$ 539,900 | \$ 480,900 |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - DEBT SERVICE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D
(continued)

| | Financial Plan Amounts | | | Actual | Final to Actual Over/ (Under) Variance |
|--|------------------------|-------------------|-------------------|-------------------|---|
| | Original | Mid-Year | Final | | |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ 12,366,000 | \$ 12,022,000 | \$ 11,827,000 | \$ 11,891,500 | \$ 64,500 |
| Consumption/Use Taxes..... | 6,481,000 | 6,479,000 | 6,479,000 | 6,483,300 | 4,300 |
| Business Taxes..... | - | - | - | - | - |
| Other Taxes..... | 1,019,000 | 1,019,000 | 1,019,000 | 1,007,300 | (11,700) |
| Miscellaneous Receipts..... | 455,000 | 485,000 | 489,000 | 457,500 | (31,500) |
| Federal Receipts..... | 73,000 | 73,000 | 73,000 | 73,400 | 400 |
| Transfers from Other Funds..... | 3,262,000 | 3,300,000 | 3,517,000 | 3,608,900 | 91,900 |
| Total Receipts..... | 23,656,000 | 23,378,000 | 23,404,000 | 23,521,900 | 117,900 |
| DISBURSEMENTS: | | | | | |
| Departmental Operations..... | 39,000 | 39,000 | 39,000 | 38,600 | (400) |
| Debt Service..... | 5,203,000 | 5,075,000 | 5,310,000 | 5,513,800 | 203,800 |
| Transfers to Other Funds..... | 18,325,000 | 18,231,000 | 18,042,000 | 17,984,800 | (57,200) |
| Total Disbursements..... | 23,567,000 | 23,345,000 | 23,391,000 | 23,537,200 | 146,200 |
| Excess (Deficiency) of Receipts over Disbursements..... | 89,000 | 33,000 | 13,000 | (15,300) | (28,300) |
| Fund Balances (Deficits) at April 1..... | 160,000 | 160,000 | 160,000 | 159,700 | (300) |
| Fund Balances (Deficits) at March 31..... | \$ 249,000 | \$ 193,000 | \$ 173,000 | \$ 144,400 | \$ (28,600) |

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D
(continued)

| | Financial Plan Amounts | | | Actual | Eliminations Actual | Total Actual | Final to Actual Over/ (Under) Variance |
|---|------------------------|---------------------|---------------------|-----------------------|------------------------|-----------------------|---|
| | Original | Mid-Year | Final | | | | |
| RECEIPTS: | | | | | | | |
| Personal Income Tax..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes..... | 555,000 | 562,000 | 616,000 | 624,100 | - | 624,100 | 8,100 |
| Business Taxes..... | 622,000 | 621,000 | 623,000 | 639,500 | - | 639,500 | 16,500 |
| Other Taxes..... | 119,000 | 119,000 | 119,000 | 119,100 | - | 119,100 | 100 |
| Miscellaneous Receipts..... | 4,618,000 | 4,924,000 | 4,829,000 | 4,636,600 | - | 4,636,600 | (192,400) |
| Federal Receipts..... | 2,162,000 | 2,162,000 | 2,161,000 | 2,608,400 | - | 2,608,400 | 447,400 |
| Transfers from Other Funds..... | 4,758,000 | 3,916,000 | 3,633,000 | 3,032,500 | (281,000) | 2,751,500 | (881,500) |
| Total Receipts..... | 12,834,000 | 12,304,000 | 11,981,000 | 11,660,200 | (281,000) | 11,379,200 | (601,800) |
| DISBURSEMENTS: | | | | | | | |
| Local Assistance Grants..... | 4,203,000 | 4,152,000 | 3,569,000 | 3,604,100 | - | 3,604,100 | 35,100 |
| Capital Projects..... | 7,717,000 | 7,258,000 | 7,334,000 | 6,552,900 | - | 6,552,900 | (781,100) |
| Transfers to Other Funds..... | 1,457,000 | 1,450,000 | 1,450,000 | 1,672,900 | (281,000) | 1,391,900 | (58,100) |
| Total Disbursements..... | 13,377,000 | 12,860,000 | 12,353,000 | 11,829,900 | (281,000) | 11,548,900 | (804,100) |
| Excess (Deficiency) of Receipts over Disbursements..... | (543,000) | (556,000) | (372,000) | (169,700) | - | (169,700) | 202,300 |
| OTHER FINANCING SOURCES (USES): | | | | | | | |
| Bond and Note Proceeds, net..... | 609,000 | 609,000 | 434,000 | - | - | - | (434,000) |
| Total Other Financing Sources (Uses)..... | 609,000 | 609,000 | 434,000 | - | - | - | (434,000) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 66,000 | 53,000 | 62,000 | (169,700) | - | (169,700) | (231,700) |
| Fund Balances (Deficits) at April 1..... | (891,000) | (891,000) | (891,000) | (890,800) | - | (890,800) | 200 |
| Fund Balances (Deficits) at March 31..... | \$ (825,000) | \$ (838,000) | \$ (829,000) | \$ (1,060,500) | \$ - | \$ (1,060,500) | \$ (231,500) |

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - STATE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D
(continued)

| | Financial Plan Amounts | | | Actual | Final to Actual Over/ (Under) Variance |
|---|------------------------|-------------------|-------------------|------------------|---|
| | Original | Mid-Year | Final | | |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes..... | 555,000 | 562,000 | 616,000 | 624,100 | 8,100 |
| Business Taxes..... | 622,000 | 621,000 | 623,000 | 639,500 | 16,500 |
| Other Taxes..... | 119,000 | 119,000 | 119,000 | 119,100 | 100 |
| Miscellaneous Receipts..... | 4,618,000 | 4,924,000 | 4,829,000 | 4,634,600 | (194,400) |
| Federal Receipts..... | 5,000 | 5,000 | 5,000 | 5,000 | - |
| Transfers from Other Funds..... | 5,084,000 | 4,243,000 | 3,959,000 | 3,032,500 | (926,500) |
| Total Receipts..... | 11,003,000 | 10,474,000 | 10,151,000 | 9,054,800 | (1,096,200) |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants..... | 3,497,000 | 3,446,000 | 2,863,000 | 2,754,400 | (108,600) |
| Capital Projects..... | 6,625,000 | 6,165,000 | 6,244,000 | 5,076,400 | (1,167,600) |
| Transfers to Other Funds..... | 1,445,000 | 1,438,000 | 1,438,000 | 1,383,400 | (54,600) |
| Total Disbursements..... | 11,567,000 | 11,049,000 | 10,545,000 | 9,214,200 | (1,330,800) |
| Excess (Deficiency) of Receipts over Disbursements..... | (564,000) | (575,000) | (394,000) | (159,400) | 234,600 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Bond and Note Proceeds, net..... | 609,000 | 609,000 | 434,000 | - | (434,000) |
| Total Other Financing Sources (Uses)..... | 609,000 | 609,000 | 434,000 | - | (434,000) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 45,000 | 34,000 | 40,000 | (159,400) | (199,400) |
| Fund Balances (Deficits) at April 1..... | (333,000) | (333,000) | (333,000) | (331,500) | 1,500 |
| Fund Balances (Deficits) at March 31..... | \$ (288,000) | \$ (299,000) | \$ (293,000) | \$ (490,900) | \$ (197,900) |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - FEDERAL
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT D
(continued)

| | Financial Plan Amounts | | | Actual | Final to Actual Over/ (Under) Variance |
|---|------------------------|------------------|------------------|------------------|---|
| | Original | Mid-Year | Final | | |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes..... | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - |
| Miscellaneous Receipts..... | - | - | - | 2,000 | 2,000 |
| Federal Receipts..... | 2,157,000 | 2,157,000 | 2,156,000 | 2,603,400 | 447,400 |
| Transfers from Other Funds..... | (326,000) | (327,000) | (326,000) | - | 326,000 |
| Total Receipts..... | 1,831,000 | 1,830,000 | 1,830,000 | 2,605,400 | 775,400 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants..... | 706,000 | 706,000 | 706,000 | 849,700 | 143,700 |
| Capital Projects..... | 1,092,000 | 1,093,000 | 1,090,000 | 1,476,500 | 386,500 |
| Transfers to Other Funds..... | 12,000 | 12,000 | 12,000 | 289,500 | 277,500 |
| Total Disbursements..... | 1,810,000 | 1,811,000 | 1,808,000 | 2,615,700 | 807,700 |
| Excess (Deficiency) of Receipts over Disbursements..... | 21,000 | 19,000 | 22,000 | (10,300) | (32,300) |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | - | - | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 21,000 | 19,000 | 22,000 | (10,300) | (32,300) |
| Fund Balances (Deficits) at April 1..... | (558,000) | (558,000) | (558,000) | (559,300) | (1,300) |
| Fund Balances (Deficits) at March 31..... | \$ (537,000) | \$ (539,000) | \$ (536,000) | \$ (569,600) | \$ (33,600) |

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 1 BASIS OF PRESENTATION:

As set forth in State Finance Law, this report is prepared on a cash basis of accounting and conforms substantially to the Financial Plan presentation by the Division of the Budget for the fiscal year ended March 31, 2017. The State Financial Plan sets forth projections of receipts and disbursements in the governmental fund types based initially upon the recommendations in the Executive Budget. After the budget is enacted, the State Financial Plan is adjusted to reflect revenue measures, appropriation bills, and certain related bills enacted by the Legislature. The Financial Plan is updated quarterly, or more frequently when necessary, by the Division of the Budget.

NOTE 2 FUND TYPES:

The State records its transactions in the following fund types:

Governmental Funds:

General - the major operating fund of the State. It accounts for all receipts that are not required by law to be deposited into another fund. The General Fund's income finances disbursements from the Local Assistance Account, the State Operations Account, the Contingency Reserve Fund, the Universal Pre-Kindergarten Reserve Fund, the Refund Reserve Account, the Fringe Benefit Escrow Account, and the Tobacco Revenue Guarantee Fund. Receipts in excess of General Fund requirements in the Local Assistance Account and State Operations Account are transferred to the Tax Stabilization Reserve Fund at year-end (see Schedule 27). Receipts retained in the Rainy Day Reserve Fund may only be used in an economic downturn or catastrophic event as defined in State Finance Law Section 92-cc.

Special Revenue - to account for State receipts of specific revenue sources (other than debt service or major capital projects) such as Federal grants, that are legally restricted to disbursements for specified purposes. These restrictions may be imposed by the State or Federal government.

Debt Service - to account for the accumulation of resources for, and the payment of principal and interest on general long-term debt and State debt under lease/purchase and contractual obligation financing agreements. Debt service in relation to general obligation debt and certain lease/purchase and contractual obligation payments that are paid from the General Debt Service Fund are funded by transfers from the General Fund. Also disbursed from Debt Service Funds are debt service related activities for the Health and Mental Hygiene facilities, for highway construction, reconstruction, reconditioning and preservation and for certain local assistance payments made under contractual agreements with public authorities. Such activities are primarily funded by dedicated tax receipts and patient income.

Capital Projects - to account for resources used for the acquisition or construction of capital facilities. Capital assistance grants to local governments and advances for capital construction costs reimbursable by public authorities of the State, Federal or local governments are also accounted for in these funds. Financial resources are generated primarily from bond issuances, dedicated taxes, reimbursement of advances, Federal grants and transfers from the General Fund.

State Operating Funds:

State Operating Funds - are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

Proprietary Funds:

Enterprise - to account for activities for which a fee is charged to users for goods or services. Enterprise Funds include services provided where either the costs are intended to be recovered primarily through charges to users outside of the State entity, or where the potential exists for significant financing through user charges, even if the legislative intent is not to self-finance the service and instead subsidize it from general governmental resources.

Internal Service - to account for any activity that provides goods or services to other funds, other State departments or agencies of the primary government on a cost-reimbursement basis. Internal Service Funds should be used only if the reporting government is the predominant participant in the activity.

Fiduciary Funds:

Private Purpose Trust - to account for all other trust arrangements where the principal and income benefits individuals, private organizations or other governments.

Pension Trust - to account for the cash basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency - to account for funds held by the State in purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations or other governmental units.

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 3 DISBURSEMENT DESCRIPTIONS:

The State's Cash report includes payments made pursuant to an appropriation, as well as non-appropriated payments from funds held in a fiduciary capacity.

Local Assistance Grants - includes payments to counties, cities, towns, villages, school districts, private schools and other local entities as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Schedule 7 contains further information relating to local assistance disbursements by program.

Departmental Operations - includes the payment of salaries and compensation for State employees, miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other miscellaneous operating costs of State departments and agencies.

General State Charges - includes costs mandated either by statute, collective bargaining agreements or court order. Charges in this category include contributions to pension systems, to the employer's share of social security contributions, employer contributions toward the cost of medical and dental insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services. Also included are fixed costs for State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternately, payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - includes debt service on long-term debt and payments on certain lease/purchase or contractual obligation contracts accounted for in Debt Service Funds (see Schedules 14 and 18). Under lease/purchase or contractual obligation financing arrangements, public authorities and certain municipalities have issued debt to finance the acquisition, construction or rehabilitation of State facilities or equipment and expect to receive rental or contractual payments from the State in an amount equal to the debt issued by the authority or municipality.

Capital Projects - includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highways, parkways, rail preservation, outdoor recreation, and environmental conservation projects, as well as payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing and environmental quality projects. Advances are made for capital construction cost reimbursable by public benefit corporations, the Federal or local governments, or from the proceeds of State bonds and note sales.

NOTE 4 OTHER FINANCING SOURCES (USES):

Bond Proceeds - includes the proceeds from the sale of general obligation bonds. Schedule 14 provides an analysis of State debt activity during the fiscal year.

Operating Transfers - constitutes legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant General Fund transfers include transfers to the following Funds/Accounts (amounts in millions):

| | |
|--|-------------------|
| Banking Services | \$ 41.6 |
| Batavia School for the Blind | 0.9 |
| Centralized Technology Services | 2.3 |
| Certificates of Participation | 21.8 |
| Charter School Stimulus | 4.8 |
| Combined Expendable Trust | 0.8 |
| Correctional Industries Revolving | 10.5 |
| Court Facilities Incentive Aid | 107.2 |
| Dedicated Highway and Bridge Trust | 562.0 |
| Dedicated Infrastructure Investment | 696.1 |
| Dedicated Mass Transportation - Railroad | 8.8 |
| Dedicated Mass Transportation - Transit Authority | 48.9 |
| Dedicated Mass Transportation - Non MTA | 5.0 |
| Environmental Protection | 146.0 |
| Financial Crime Revenue | 6.3 |
| General Debt Service | 923.6 |
| Hazardous Waste Remediation Oversight and Assistance | 5.0 |
| Health Insurance Revolving | 11.3 |
| Housing Program | 0.7 |
| Indigent Legal Services | 31.4 |
| Mass Transportation Financial Assistance | 334.0 |
| Mass Transportation Operating Assistance | 33.8 |
| Medical Marihuana Health Operating and Oversight | 4.9 |
| Mental Hygiene Patient Income | 1,617.7 |
| Mental Hygiene Program | 1,669.4 |
| New York City County Clerks Operations Offset | 4.4 |
| Recruitment Incentive | 2.1 |
| Rome School for the Deaf | 1.0 |
| Spinal Cord Injury | 7.5 |
| State Capital Projects | 1,159.8 |
| State Housing Debt | 1.8 |
| SUNY General Income Fund Reimbursable | 14.2 |
| SUNY Hospital Income Fund Reimbursable | 87.9 |
| State University Income | 996.3 |
| Tax Revenue Arrearage | 3.0 |
| Total | \$ 8,572.8 |

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

In addition to the amounts listed in the prior table, reported General Fund Transfers to Other Funds include transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to the Health Income Fund (\$13.4m), the Mental Hygiene Program Fund (\$1,239.0m), the State University Income Fund (\$267.0m) and Miscellaneous State Special Revenue Fund (\$0.5m).

The Special Revenue Funds, Transfer to Other Funds include transfers to Debt Service Funds representing the federal share of Medicaid payments for patients residing in State-operated Health, SUNY, Education and Mental Hygiene facilities (\$1,223.6m), as well as, transfers to the Revenue Bond Tax Fund (\$58.4m) and to the General Debt Service Fund - Lease Purchase (\$1.3m), Community Projects Fund (\$1.0m), and Medicaid Management Information System Escrow Fund (\$10.3m).

Additionally, Special Revenue Funds include Transfers to the General Fund from the following Funds/Accounts (amounts in millions):

| | | |
|--|----|-------|
| Admin Adjudication | \$ | 3.1 |
| Alcohol Beverage Control | | 2.2 |
| Business and Licensing Services | | 40.2 |
| Certificate of Need | | 3.6 |
| Clean Air | | 7.4 |
| Commercial Gaming Revenue | | 120.8 |
| Criminal Justice Improvement | | 8.9 |
| Department of Labor Fee and Penalty | | 9.0 |
| ENCON Special Revenue | | 5.9 |
| Examination & Miscellaneous Revenue | | 3.3 |
| Federal Education | | 1.5 |
| Federal Employment & Training Grants | | 2.2 |
| Federal Health and Human Services | | 107.7 |
| Federal USDA/Food and Nutrition Services | | 38.5 |
| Fingerprint Identification Technology | | 6.2 |
| HESC Insurance Premium | | 14.4 |
| Legal Services Assistance | | 2.8 |
| Miscellaneous State Special Revenue | | 11.4 |
| MTA Financial Assistance | | 1.5 |
| Patron Services | | 1.6 |
| Professional Education Services | | 2.8 |
| Public Service | | 4.7 |
| Public Work Enforcement | | 3.8 |
| Rent Revenue Other - NYC | | 7.4 |
| State Central Register | | 1.8 |
| State Lottery | | 5.3 |
| State Police Motor Vehicle Law Enforcement | | 111.6 |
| State University Income | | 40.4 |
| Statewide Public Safety Communications | | 3.3 |
| Surplus Property | | 3.0 |
| System and Technology | | 5.3 |

| | | |
|---|-----------|--------------|
| Training and Education Program on OSHA | | 3.5 |
| Transportation Surplus Property | | 1.8 |
| Tribal State Compact Revenue | | 136.0 |
| Unemployment Insurance Administration | | 39.1 |
| Unemployment Insurance Interest and Penalty | | 3.9 |
| Vital Records Management | | 3.0 |
| Workers' Compensation Board | | 15.8 |
| Total | \$ | 784.7 |

Also included in Special Revenue Funds transfers are transfers to finance capital projects in the State Capital Projects Fund (\$26.4m), the State University Capital Projects Fund (\$56.7m), the Hazardous Waste Remedial Fund (\$12.9m), the SUNY Residence Halls Rehabilitation and Repair Fund (\$63.7m), the Dedicated Highway & Bridge Trust Fund (\$2.2m) and Miscellaneous Capital Projects Fund (\$20.0m).

Debt Service Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

| | | |
|---------------------------------|-----------|-----------------|
| Clean Water/Clean Air | \$ | 940.2 |
| Local Government Assistance Tax | | 2,870.3 |
| Revenue Bond Tax | | 10,275.0 |
| Sales Tax Revenue Bond Tax | | 2,671.9 |
| Total | \$ | 16,757.4 |

Also included in the Debt Service Funds transfers are transfers to Special Revenue Funds representing receipts in excess of lease/purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$148.9m) and Mental Hygiene Facilities (\$1,078.5m).

Capital Projects Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

| | | |
|--------------------------|-----------|-------------|
| Federal Capital Projects | \$ | 0.2 |
| Hazardous Waste Remedial | | 25.3 |
| Total | \$ | 25.5 |

Also included in Capital Projects Funds transfers are transfers to the General Debt Service Fund - Lease Purchase (\$382.5m), the Revenue Bond Tax Fund (\$975.6m) and Miscellaneous State Special Revenue Fund (\$8.3m).

Transfer Eliminations:

The Special Revenue Funds and Capital Project Funds include transfers of resources between Federal and State accounts within each fund group. To avoid recording spending twice, initially as a transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds.

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 5 FUTURE DEBT SERVICE REQUIREMENTS:

Future debt service payments reflect gross principal and interest due to bondholders as of March 31, 2017. Actual amounts paid by the State may vary from these estimates, due to offsetting interest earnings, actual variable rate results, related expenses and future bond sales conducted between the fiscal year end date and the date the Annual report was released.

Schedules 17 and 19 provide a complete detail of future debt service requirements.

NOTE 6 MEDICAID AND HEALTH GRANTS:

The State receives monies that represent refunds, pharmacy rebates, reimbursements, and disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State and Federal fund appropriations from which the medical assistance payments were originally made.

At the end of 2016-17, a balance of \$19.3m remained in the escrow fund to be returned, in fiscal year 2017-18, to the General Fund and the Special Revenue Fund as shown below (amounts in millions):

Allocation of Year-End Balance
General Fund Special Revenue Federal Fund

| | General Fund | Special Revenue Federal Fund |
|---|--------------|------------------------------|
| Medicaid Recoveries - Audit | \$ - | \$ 1.9 |
| Medicaid Recoveries - Health Facilities | - | - |
| Medicaid Recoveries - Third Parties | - | 16.9 |
| Pharmacy Rebates | - | 0.5 |
| Medicare Catastrophic Recovery | - | - |
| Medicare "Windfall" Recovery | - | - |
| Total | \$ - | \$ 19.3 |

NOTE 7 CAPITAL PROJECTS REIMBURSED DISBURSEMENTS:

Disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds from State bonds and notes, and reimbursements received from public authorities and the Federal Government. The following is a list of capital projects spending by agency and sources of financing (amounts in millions).

| Capital Projects Spending | 2016-17 |
|---|--------------------|
| Agriculture and Markets | \$ 82.2 |
| Alcoholism and Substance Abuse Services | 55.3 |
| Children and Family Services | 24.5 |
| City University of New York | 40.7 |
| Corrections and Community Supervision | 303.3 |
| Dormitory Authority | 144.8 |
| Education | 54.0 |
| Energy Research and Development Authority | 16.6 |
| Environmental Conservation and Parks | 782.1 |
| Health | 239.7 |
| Housing and Community Renewal | 131.0 |
| Mental Hygiene | 254.4 |
| Metropolitan Transportation Authority | 250.0 |
| Military and Naval Affairs | 147.9 |
| Motor Vehicle (Operating Expense) | 217.1 |
| Office of General Services | 150.0 |
| Other | 133.7 |
| State | 24.8 |
| State Police | 34.4 |
| State University | 793.8 |
| Temporary and Disability Assistance | 54.6 |
| Thruway Authority | 313.1 |
| Transportation | 5,015.5 |
| UDC (Empire State Development Corp) | 893.5 |
| Total | \$ 10,157.0 |

| Source of Financing | 2016-17 | Percentage of Spending Supported By: |
|----------------------------------|--------------------|--------------------------------------|
| Dedicated Taxes | \$ 24.6 | 0.24% |
| Federal Funds | 2,608.4 | 25.68% |
| Non-Public Authority Receipts | 973.3 | 9.58% |
| Public Authority Financing | 3,629.5 | 35.74% |
| Short-term Investment Pool Loans | 169.7 | 1.67% |
| State Debt | - | - |
| Tax Transfers from General Fund | 1,159.8 | 11.42% |
| Transfers from Other Funds | 1,591.7 | 15.67% |
| Total | \$ 10,157.0 | |

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

The Comptroller certifies monthly, to the Division of the Budget, the Assembly Ways and Means Committee and the State Finance Committee, capital disbursements that are eligible to be reimbursed by Public Authority financing or State-issued General Obligation Debt.

The unreimbursed capital disbursements are financed in the "first instance" with General Fund resources or loans from the State's short-term investment pool. As reimbursements are received, the transfers and loans are repaid.

The amounts shown below represent disbursements as of March 31, 2017 and March 31, 2016 respectively, which are eligible to be reimbursed in the following fiscal year from the funding sources listed below (amounts in millions):

| | Unreimbursed Balances | |
|---|------------------------------|-------------------|
| | 2016-17 | 2015-16 |
| Dormitory Authority (Mental Hygiene) | \$ 481.7 | \$ 447.5 |
| Dormitory Authority and State University Income Fund | 731.7 | 172.7 |
| Federal Capital Projects | 569.6 | 559.3 |
| Housing Finance Agency (HFA) | 125.1 | 144.3 |
| Housing Assistance Fund (HAF) | 13.6 | 10.8 |
| State Bond and Note Proceeds | 73.7 | 26.3 |
| Urban Development Corporation (Correctional Facilities) | 186.7 | 33.0 |
| Urban Development Corporation (Youth Facilities) | 15.1 | 22.6 |
| Total | \$ 2,197.2 | \$ 1,416.5 |

NOTE 8 SCHOOL TAX RELIEF:

A portion of Personal Income Tax receipts is transferred to the State Special Revenue - School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate program. School Tax Relief payments totaled \$3,139.1m for fiscal year 2016-17.

Schedule 2 provides a complete analysis of Personal Income Tax receipts.

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 9 NEW YORK LOCAL GOVERNMENT ASSISTANCE CORPORATION:

An amount equal to twenty-five percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Local Government Assistance Tax Fund (LGATF). The monies of such Fund are reserved for payment to the New York Local Government Assistance Corporation to enable it to meet principal and interest on its bonds.

Pursuant to Section 92-r(5) of the State Finance Law, monies in the LGATF in excess of debt service requirements and administrative expenses of the New York Local Government Assistance Corporation are required to be transferred to the General Fund.

Following is a summary of the transactions in the LGATF during Fiscal Years 2016-17 and 2015-16 (Amounts in thousands):

| FY 2016-17 | | | | | | | | | | | | | |
|---|-----------------|-------------------|-----------------|-----------------|------------------|------------------|-----------------|-----------------|-----------------|-----------------|-------------------|----------------|--------------------|
| | 2016 | | | | | | 2017 | | | | | | FISCAL YEAR |
| | <u>April</u> | <u>May</u> | <u>June</u> | <u>July</u> | <u>August</u> | <u>September</u> | <u>October</u> | <u>November</u> | <u>December</u> | <u>January</u> | <u>February</u> | <u>March</u> | TOTALS |
| Beginning Balance | \$ - | \$ 2,075 | \$ 127,868 | \$ 2,162 | \$ 2,220 | \$ 42,117 | \$ 2,345 | \$ 2,375 | \$ 3,296 | \$ 2,163 | \$ 1,904 | \$ 216,561 | \$ - |
| Sales Tax Receipts | 249,082 | 237,179 | 327,528 | 254,169 | 242,669 | 326,275 | 249,933 | 249,322 | 316,461 | 267,525 | 218,694 | 302,796 | 3,241,633 |
| Interest Income | - | 1 | 24 | - | 1 | 9 | - | - | - | 1 | 1 | 56 | 93 |
| Total Receipts | <u>249,082</u> | <u>237,180</u> | <u>327,552</u> | <u>254,169</u> | <u>242,670</u> | <u>326,284</u> | <u>249,933</u> | <u>249,322</u> | <u>316,461</u> | <u>267,526</u> | <u>218,695</u> | <u>302,852</u> | <u>3,241,726</u> |
| Contractual Services | - | - | 755 | - | - | 755 | - | - | 755 | - | - | 755 | 3,020 |
| Debt Service | - | - | - | 120 | 220 | 38,013 | 118 | 269 | 315 | 75 | - | 329,278 | 368,408 |
| Transfer to General Fund for STARC/NYC Payment | - | - | 170,000 | - | - | - | - | - | - | - | - | - | 170,000 |
| Transfer to General Fund | 247,007 | 111,387 | 282,503 | 253,991 | 202,553 | 327,288 | 249,785 | 248,132 | 316,524 | 267,710 | 4,038 | 189,380 | 2,700,298 |
| Total Disbursements | <u>247,007</u> | <u>111,387</u> | <u>453,258</u> | <u>254,111</u> | <u>202,773</u> | <u>366,056</u> | <u>249,903</u> | <u>248,401</u> | <u>317,594</u> | <u>267,785</u> | <u>4,038</u> | <u>519,413</u> | <u>3,241,726</u> |
| Ending Balance | <u>\$ 2,075</u> | <u>\$ 127,868</u> | <u>\$ 2,162</u> | <u>\$ 2,220</u> | <u>\$ 42,117</u> | <u>\$ 2,345</u> | <u>\$ 2,375</u> | <u>\$ 3,296</u> | <u>\$ 2,163</u> | <u>\$ 1,904</u> | <u>\$ 216,561</u> | <u>\$ -</u> | <u>\$ -</u> |
| FY 2015-16 | | | | | | | | | | | | | |
| | 2015 | | | | | | 2016 | | | | | | FISCAL YEAR |
| | <u>April</u> | <u>May</u> | <u>June</u> | <u>July</u> | <u>August</u> | <u>September</u> | <u>October</u> | <u>November</u> | <u>December</u> | <u>January</u> | <u>February</u> | <u>March</u> | TOTALS |
| Beginning Balance | \$ - | \$ 2,103 | \$ 202,092 | \$ 2,215 | \$ 1,977 | \$ 48,339 | \$ 3,026 | \$ 2,715 | \$ 2,478 | \$ 1,224 | \$ 1,192 | \$ 204,684 | \$ - |
| Sales Tax Receipts | 239,965 | 232,711 | 319,833 | 248,391 | 241,780 | 320,313 | 218,318 | 241,151 | 297,178 | 254,160 | 206,865 | 300,595 | 3,121,260 |
| Interest Income | - | - | 5 | - | - | 3 | - | - | - | - | 1 | 52 | 61 |
| Total Receipts | <u>239,965</u> | <u>232,711</u> | <u>319,838</u> | <u>248,391</u> | <u>241,780</u> | <u>320,316</u> | <u>218,318</u> | <u>241,151</u> | <u>297,178</u> | <u>254,160</u> | <u>206,866</u> | <u>300,647</u> | <u>3,121,321</u> |
| Contractual Services | - | - | 863 | - | - | 863 | - | - | 863 | - | - | 864 | 3,453 |
| Debt Service | - | - | - | - | - | 45,916 | - | - | - | - | - | 343,634 | 389,550 |
| Transfer to General Fund for STARC/NYC Payment | - | - | 170,000 | - | - | - | - | - | - | - | - | - | 170,000 |
| Transfer to General Fund | 237,862 | 32,722 | 348,852 | 248,629 | 195,418 | 318,850 | 218,629 | 241,388 | 297,569 | 254,192 | 3,374 | 160,833 | 2,558,318 |
| Total Disbursements | <u>237,862</u> | <u>32,722</u> | <u>519,715</u> | <u>248,629</u> | <u>195,418</u> | <u>365,629</u> | <u>218,629</u> | <u>241,388</u> | <u>298,432</u> | <u>254,192</u> | <u>3,374</u> | <u>505,331</u> | <u>3,121,321</u> |
| Ending Balance | <u>\$ 2,103</u> | <u>\$ 202,092</u> | <u>\$ 2,215</u> | <u>\$ 1,977</u> | <u>\$ 48,339</u> | <u>\$ 3,026</u> | <u>\$ 2,715</u> | <u>\$ 2,478</u> | <u>\$ 1,224</u> | <u>\$ 1,192</u> | <u>\$ 204,684</u> | <u>\$ -</u> | <u>\$ -</u> |

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 10 NEW YORK REVENUE BOND TAX FUND:

An amount equal to twenty five percent of the State's Personal Income Tax (PIT) receipts, less refunds to taxpayers, is to be deposited in the Revenue Bond Tax Fund (RBTF). The monies of such Fund are reserved for payment of debt service on Personal Income Tax Revenue Bonds.

Pursuant to Section 92-z(5) of the State Finance Law, monies in the RBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the RBTF during Fiscal Years 2016-17 and 2015-16 (Amounts in thousands):

| FY 2016-17 | | | | | | | | | | | | | |
|---|------------------|------------------|------------------|-------------------|-------------------|------------------|----------------|-------------------|------------------|---------------------|---------------------|------------------|--------------------|
| | 2016 | | | | | | 2017 | | | | | | FISCAL YEAR TOTALS |
| | April | May | June | July | August | September | October | November | December | January | February | March | |
| Opening Balance | \$ - | \$ 1,620 | \$ 17,146 | \$ 155 | \$ 172,512 | \$ 577,474 | \$ 155 | \$ 155 | \$ 278,029 | \$ 155 | \$ 1,318,011 | \$ 1,440,681 | \$ - |
| PIT Receipts | 1,595,906 | 546,444 | 1,180,974 | 640,433 | 734,973 | 1,176,093 | 633,190 | 523,435 | 1,188,043 | 1,898,738 | 873,384 | 899,873 | 11,891,486 |
| Federal Subsidies (*) | - | - | - | 1,595 | 33,325 | - | - | - | - | 1,594 | 33,289 | - | 69,803 |
| Transfer from - Health Care Reform Act (HCRA) Resources Fund (**) | - | - | - | - | 1,306 | 9,285 | - | - | - | - | 2,760 | 45,019 | 58,370 |
| Transfer from - Dedicated Highway and Bridge Trust Fund (**) | - | - | - | - | 4,293 | 186,919 | - | - | - | - | 24,242 | 760,126 | 975,580 |
| Transfer from - Mental Health Services Fund (**) | - | - | - | - | 67,016 | - | - | - | - | - | 186,027 | - | 253,043 |
| Transfer from - Centralized Services Fund (**) | - | - | - | - | - | 7,482 | - | - | - | - | - | 21,180 | 28,662 |
| Total Receipts | 1,595,906 | 546,444 | 1,180,974 | 642,028 | 840,913 | 1,379,779 | 633,190 | 523,435 | 1,188,043 | 1,900,332 | 1,119,702 | 1,726,198 | 13,276,944 |
| Non-Personal Service | - | 101 | 20 | - | 2,201 | 1,655 | - | - | 484 | - | 243 | 6,538 | 11,242 |
| Debt Service | - | - | 16,897 | - | 163,887 | 525,456 | - | - | 278,319 | - | 395,277 | 1,610,892 | 2,990,728 |
| Transfer to General Fund | 1,594,286 | 530,817 | 1,181,048 | 469,671 | 269,863 | 1,429,987 | 633,190 | 245,561 | 1,187,114 | 582,476 | 601,512 | 1,549,449 | 10,274,974 |
| Total Disbursements | 1,594,286 | 530,918 | 1,197,965 | 469,671 | 435,951 | 1,957,098 | 633,190 | 245,561 | 1,465,917 | 582,476 | 997,032 | 3,166,879 | 13,276,944 |
| Ending Balance | \$ 1,620 | \$ 17,146 | \$ 155 | \$ 172,512 | \$ 577,474 | \$ 155 | \$ 155 | \$ 278,029 | \$ 155 | \$ 1,318,011 | \$ 1,440,681 | \$ - | \$ - |

| FY 2015-16 | | | | | | | | | | | | | |
|---|------------------|------------------|------------------|-------------------|-------------------|------------------|------------------|-------------------|------------------|---------------------|---------------------|------------------|--------------------|
| | 2015 | | | | | | 2016 | | | | | | FISCAL YEAR TOTALS |
| | April | May | June | July | August | September | October | November | December | January | February | March | |
| Opening Balance | \$ - | \$ 206 | \$ 41,842 | \$ 82 | \$ 156,044 | \$ 452,402 | \$ 82 | \$ 50,082 | \$ 204,807 | \$ 82 | \$ 1,240,208 | \$ 1,330,520 | \$ - |
| PIT Receipts | 1,679,597 | 580,187 | 1,201,228 | 680,460 | 636,206 | 1,280,717 | 612,657 | 585,167 | 1,248,907 | 1,805,319 | 749,372 | 704,004 | 11,763,821 |
| Federal Subsidies (*) | - | - | - | - | 1,587 | 33,146 | - | - | - | - | 1,596 | 33,325 | 69,654 |
| Transfer from - Health Care Reform Act (HCRA) Resources Fund (**) | - | - | - | - | 1,306 | 10,237 | - | - | - | - | 1,306 | 51,692 | 64,541 |
| Transfer from - Dedicated Highway and Bridge Trust Fund (**) | - | - | - | - | 4,292 | 130,428 | - | - | - | - | 4,292 | 572,681 | 711,693 |
| Transfer from - Mental Health Services Fund (**) | - | - | - | - | 67,093 | - | - | - | - | - | 164,116 | - | 231,209 |
| Transfer from - Centralized Services Fund (**) | - | - | - | - | - | 7,802 | - | - | - | - | - | 22,272 | 30,074 |
| Total Receipts | 1,679,597 | 580,187 | 1,201,228 | 680,460 | 710,484 | 1,462,330 | 612,657 | 585,167 | 1,248,907 | 1,805,319 | 920,682 | 1,383,974 | 12,870,992 |
| Non-Personal Service | - | - | 124 | - | 2,136 | 1,384 | - | - | 447 | - | 748 | 8,111 | 12,950 |
| Debt Service | - | - | 41,802 | - | 151,426 | 451,542 | - | - | 204,259 | - | 295,962 | 1,553,939 | 2,698,930 |
| Transfer to General Fund | 1,679,391 | 538,551 | 1,201,062 | 524,498 | 260,564 | 1,461,724 | 562,657 | 430,442 | 1,248,926 | 565,193 | 533,660 | 1,152,444 | 10,159,112 |
| Total Disbursements | 1,679,391 | 538,551 | 1,242,988 | 524,498 | 414,126 | 1,914,650 | 562,657 | 430,442 | 1,453,632 | 565,193 | 830,370 | 2,714,494 | 12,870,992 |
| Ending Balance | \$ 206 | \$ 41,842 | \$ 82 | \$ 156,044 | \$ 452,402 | \$ 82 | \$ 50,082 | \$ 204,807 | \$ 82 | \$ 1,240,208 | \$ 1,330,520 | \$ - | \$ - |

(*) Pursuant to section 73 of the State Finance Law, as added by Chapter 56 of the Laws of 2010 and amended by Chapter 59 of the Laws of 2012, the Federal Subsidies are Federal Interest Subsidy receipts solely used for debt service on Build America Bonds and Qualified School Construction Bonds, as authorized pursuant to ARRA, under section 54AA and 54F of the Internal Revenue Code.

(**) Transfers represent reimbursements for debt service paid from the Revenue Bond Tax Fund. These reimbursements are made pursuant to State Finance Law sections 92-dd, 89-b, 97-f, and 97-g.

NOTES TO THE COMPTROLLER'S 2017 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 11 NEW YORK SALES TAX REVENUE BOND FUND:

An amount equal to twenty-five percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Sales Tax Revenue Bond Tax Fund (STRBTF). The monies of such Fund are reserved for payment of debt service on Sales Tax Revenue Bonds.

Pursuant to Section 92-h(5) of the State Finance Law, monies in the STRBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the STRBTF during Fiscal Years 2016-17 and 2015-16 (Amounts in thousands):

| FY 2016-17 | | | | | | | | | | | | | |
|----------------------------|------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------|--------------------|
| | 2016 | | | | | | 2017 | | | | | | FISCAL YEAR TOTALS |
| | April | May | June | July | August | September | October | November | December | January | February | March | |
| Beginning Balance | \$ - | \$ 53,266 | \$ 106,130 | \$ 159,195 | \$ 212,260 | \$ 265,325 | \$ 192,247 | \$ 251,516 | \$ 295,814 | \$ 352,296 | \$ 408,778 | \$ 465,260 | \$ - |
| Sales Tax Receipts | 249,082 | 237,180 | 327,528 | 254,169 | 242,670 | 326,275 | 249,933 | 249,322 | 316,460 | 267,525 | 218,694 | 302,796 | 3,241,634 |
| Total Receipts | 249,082 | 237,180 | 327,528 | 254,169 | 242,670 | 326,275 | 249,933 | 249,322 | 316,460 | 267,525 | 218,694 | 302,796 | 3,241,634 |
| Non-Personal Service | - | - | - | - | - | 563 | - | - | - | - | - | 64 | 627 |
| Debt Service | - | - | - | - | - | 104,256 | - | - | - | - | - | 464,841 | 569,097 |
| Transfer to General Fund | 195,816 | 184,316 | 274,463 | 201,104 | 189,605 | 294,534 | 190,664 | 205,024 | 259,978 | 211,043 | 162,212 | 303,151 | 2,671,910 |
| Total Disbursements | 195,816 | 184,316 | 274,463 | 201,104 | 189,605 | 399,353 | 190,664 | 205,024 | 259,978 | 211,043 | 162,212 | 768,056 | 3,241,634 |
| Ending Balance | \$ 53,266 | \$ 106,130 | \$ 159,195 | \$ 212,260 | \$ 265,325 | \$ 192,247 | \$ 251,516 | \$ 295,814 | \$ 352,296 | \$ 408,778 | \$ 465,260 | \$ - | \$ - |
| FY 2015-16 | | | | | | | | | | | | | |
| | 2015 | | | | | | 2016 | | | | | | FISCAL YEAR TOTALS |
| | April | May | June | July | August | September | October | November | December | January | February | March | |
| Beginning Balance | \$ - | \$ 16,442 | \$ 32,826 | \$ 49,238 | \$ 65,651 | \$ 158,667 | \$ 121,443 | \$ 163,564 | \$ 188,794 | \$ 227,695 | \$ 266,596 | \$ 305,497 | \$ - |
| Sales Tax Receipts | 239,965 | 232,532 | 320,012 | 248,391 | 241,780 | 320,313 | 231,097 | 228,371 | 297,178 | 254,160 | 206,865 | 300,595 | 3,121,259 |
| Total Receipts | 239,965 | 232,532 | 320,012 | 248,391 | 241,780 | 320,313 | 231,097 | 228,371 | 297,178 | 254,160 | 206,865 | 300,595 | 3,121,259 |
| Non-Personal Service | - | - | - | - | - | 558 | - | - | - | - | - | 62 | 620 |
| Debt Service | - | - | - | - | - | 56,715 | - | - | - | - | - | 305,181 | 361,896 |
| Transfer to General Fund | 223,523 | 216,148 | 303,600 | 231,978 | 148,764 | 300,264 | 188,976 | 203,141 | 258,277 | 215,259 | 167,964 | 300,849 | 2,758,743 |
| Total Disbursements | 223,523 | 216,148 | 303,600 | 231,978 | 148,764 | 357,537 | 188,976 | 203,141 | 258,277 | 215,259 | 167,964 | 606,092 | 3,121,259 |
| Ending Balance | \$ 16,442 | \$ 32,826 | \$ 49,238 | \$ 65,651 | \$ 158,667 | \$ 121,443 | \$ 163,564 | \$ 188,794 | \$ 227,695 | \$ 266,596 | \$ 305,497 | \$ - | \$ - |

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-1

| | LOCAL ASSISTANCE ACCOUNT (10000-10049) | STATE OPERATIONS ACCOUNT (10050-10099) | TAX STABILIZATION RESERVE (10100-10149) | CONTINGENCY RESERVE (10150-10199) | UNIVERSAL PRE-KINDERGARTEN RESERVE (10200-10249) | COMMUNITY PROJECTS (10250-10299) |
|--|---|---|--|---|---|--|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ 32,535,407 | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes (*)..... | - | 7,100,700 | - | - | - | - |
| Business Taxes..... | - | 4,761,463 | - | - | - | - |
| Other Taxes..... | - | 1,109,621 | - | - | - | - |
| Miscellaneous Receipts..... | 2,936 | 3,809,573 | - | - | - | - |
| Federal Receipts..... | - | 372 | - | - | - | - |
| Total Receipts..... | 2,936 | 49,317,136 | - | - | - | - |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | 26,068,373 | - | - | - | - | - |
| Environment and Recreation..... | 4,804 | - | - | - | - | - |
| General Government..... | 981,261 | - | - | - | - | 8,691 |
| Public Health: | | | | | | |
| Medicaid..... | 12,865,947 | - | - | - | - | - |
| Other Public Health..... | 1,128,246 | - | - | - | - | - |
| Public Safety..... | 157,255 | - | - | - | - | - |
| Public Welfare..... | 2,919,416 | - | - | - | - | - |
| Support and Regulate Business..... | 199,193 | - | - | - | - | - |
| Transportation..... | 106,209 | - | - | - | - | - |
| Total Local Assistance Grants..... | 44,430,704 | - | - | - | - | 8,691 |
| Departmental Operations: | | | | | | |
| Personal Service..... | - | 6,064,629 | - | - | - | - |
| Non-Personal Service..... | - | 2,021,639 | - | - | - | - |
| General State Charges..... | - | 5,462,298 | - | - | - | - |
| Total Disbursements..... | 44,430,704 | 13,548,566 | - | - | - | 8,691 |
| Excess (Deficiency) of Receipts over Disbursements..... | (44,427,768) | 35,768,570 | - | - | - | (8,691) |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds (*)..... | 45,958,388 | 24,336,177 | - | - | - | 1,000 |
| Transfers to Other Funds (*)..... | (1,530,620) | (60,104,747) | - | - | - | - |
| Total Other Financing Sources (Uses)..... | 44,427,768 | (35,768,570) | - | - | - | 1,000 |
| Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | - | - | - | - | (7,691) |
| Fund Balances at April 1..... | - | - | 1,257,763 | 20,624 | - | 63,284 |
| Fund Balances at March 31..... | \$ - | \$ - | \$ 1,257,763 | \$ 20,624 | \$ - | \$ 55,593 |

(*) See Accompanying Notes

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-1
(continued)

| | RAINY DAY RESERVE ACCOUNT (10300-10349) | REFUND RESERVE ACCOUNT (10400-10449) | FRINGE BENEFIT ESCROW ACCOUNT (10500-10549) | TOBACCO REVENUE GUARANTEE (10550-10599) | ELIMINATIONS | COMBINED TOTALS | |
|--|--|---|--|--|--------------------|---------------------|---------------------|
| | | | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 32,535,407 | \$ 31,956,762 |
| Consumption/Use Taxes (*)..... | - | - | - | - | - | 7,100,700 | 6,819,371 |
| Business Taxes..... | - | - | - | - | - | 4,761,463 | 5,647,308 |
| Other Taxes..... | - | - | - | - | - | 1,109,621 | 1,539,409 |
| Miscellaneous Receipts..... | - | - | 2,696,807 | - | (2,696,807) | 3,812,509 | 5,842,208 |
| Federal Receipts..... | - | - | - | - | - | 372 | 229 |
| Total Receipts..... | - | - | 2,696,807 | - | (2,696,807) | 49,320,072 | 51,805,287 |
| DISBURSEMENTS: | | | | | | | |
| Local Assistance Grants (*): | | | | | | | |
| Education..... | - | - | - | - | - | 26,068,373 | 25,133,656 |
| Environment and Recreation..... | - | - | - | - | - | 4,804 | 7,420 |
| General Government..... | - | - | - | - | - | 989,952 | 1,010,655 |
| Public Health: | | | | | | | |
| Medicaid..... | - | - | - | - | - | 12,865,947 | 13,005,715 |
| Other Public Health..... | - | - | - | - | - | 1,128,246 | 860,319 |
| Public Safety..... | - | - | - | - | - | 157,255 | 132,884 |
| Public Welfare..... | - | - | - | - | - | 2,919,416 | 2,932,762 |
| Support and Regulate Business..... | - | - | - | - | - | 199,193 | 119,567 |
| Transportation..... | - | - | - | - | - | 106,209 | 111,351 |
| Total Local Assistance Grants..... | - | - | - | - | - | 44,439,395 | 43,314,329 |
| Departmental Operations: | | | | | | | |
| Personal Service..... | - | - | - | - | - | 6,064,629 | 6,010,892 |
| Non-Personal Service..... | - | - | 122,299 | - | (122,299) | 2,021,639 | 1,944,289 |
| General State Charges..... | - | - | 2,574,508 | - | (2,574,508) | 5,462,298 | 5,397,230 |
| Total Disbursements..... | - | - | 2,696,807 | - | (2,696,807) | 57,987,961 | 56,666,740 |
| Excess (Deficiency) of Receipts over Disbursements..... | - | - | - | - | - | (8,667,889) | (4,861,453) |
| OTHER FINANCING SOURCES (USES): | | | | | | | |
| Transfers from Other Funds (*)..... | - | 5,875,123 | - | - | (58,595,601) | 17,575,087 | 17,870,827 |
| Transfers to Other Funds (*)..... | - | (7,052,889) | - | - | 58,595,601 | (10,092,655) | (11,374,787) |
| Total Other Financing Sources (Uses)..... | - | (1,177,766) | - | - | - | 7,482,432 | 6,496,040 |
| Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | (1,177,766) | - | - | - | (1,185,457) | 1,634,587 |
| Fund Balances at April 1..... | 539,544 | 7,052,889 | - | - | - | 8,934,104 | 7,299,517 |
| Fund Balances at March 31..... | \$ 539,544 | \$ 5,875,123 | \$ - | \$ - | \$ - | \$ 7,748,647 | \$ 8,934,104 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2

| | ARTS CAPITAL REVOLVING (21850-21899) | BIODIVERSITY STEWARDSHIP AND RESEARCH (21600-21649) | CHARTER SCHOOL STIMULUS (20600-20649) | CHEMICAL DEPENDENCE SERVICE (22700-22749) | CHILD PERFORMER'S PROTECTION (20400-20449) | CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449) |
|---|---|--|--|--|---|---|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | - | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 17 | - | 23 | 11,229 | 99 | 107,448 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | 17 | - | 23 | 11,229 | 99 | 107,448 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | 2,526 | - | - | - |
| Environment and Recreation..... | - | - | - | - | - | - |
| General Government..... | - | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | 1,000 | - | - |
| Public Safety | - | - | - | - | - | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | - | 2,526 | 1,000 | - | - |
| Departmental Operations: | | | | | | |
| Personal Service | - | - | - | - | 245 | 48,975 |
| Non-Personal Service | - | - | 1,734 | 8,228 | 30 | 44,735 |
| General State Charges..... | - | - | - | - | 142 | 7,661 |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | - | - | 4,260 | 9,228 | 417 | 101,371 |
| Excess (Deficiency) of Receipts over Disbursements..... | 17 | - | (4,237) | 2,001 | (318) | 6,077 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | - | - | 4,837 | - | 300 | - |
| Transfers to Other Funds (*)..... | - | - | - | - | (10) | - |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | 4,837 | - | 290 | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 17 | - | 600 | 2,001 | (28) | 6,077 |
| Fund Balances (Deficits) at April 1..... | 829 | - | 5,963 | 35,238 | 83 | 170,942 |
| Fund Balances (Deficits) at March 31..... | \$ 846 | \$ - | \$ 6,563 | \$ 37,239 | \$ 55 | \$ 177,019 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | CLEAN AIR (21450-21499) | COMBINED EXPENDABLE TRUST (20100-20299) | COMBINED NON- EXPENDABLE TRUST (21650-21699) | COMBINED STUDENT LOAN (20950-20999) | CONSERVATION (21150-21199) | COURT FACILITIES INCENTIVE AID (22500-22549) |
|---|-------------------------------|--|--|---|-------------------------------|---|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | - | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 41,081 | 2,251 | 2 | 24,534 | 50,388 | 133 |
| Federal Receipts..... | - | - | - | (1,008) | - | - |
| Total Receipts..... | 41,081 | 2,251 | 2 | 23,526 | 50,388 | 133 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | - | - | - |
| Environment and Recreation..... | - | - | - | - | 199 | - |
| General Government..... | - | - | - | - | - | 98,656 |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | 2,550 | - | - | - | - |
| Public Safety | - | 1,705 | - | - | - | - |
| Public Welfare | - | (353) | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | 3,902 | - | - | 199 | 98,656 |
| Departmental Operations: | | | | | | |
| Personal Service | 20,330 | 456 | - | - | 26,277 | 1,795 |
| Non-Personal Service | 5,177 | 1,271 | - | 28,436 | 9,460 | 6 |
| General State Charges..... | 11,637 | 233 | - | - | 15,303 | 712 |
| Capital Projects..... | - | 2,544 | - | - | - | - |
| Total Disbursements..... | 37,144 | 8,406 | - | 28,436 | 51,239 | 101,169 |
| Excess (Deficiency) of Receipts over Disbursements..... | 3,937 | (6,155) | 2 | (4,910) | (851) | (101,036) |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | - | 828 | - | - | - | 107,237 |
| Transfers to Other Funds (*)..... | (7,386) | (27) | - | - | (1,784) | (1,301) |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | (7,386) | 801 | - | - | (1,784) | 105,936 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (3,449) | (5,354) | 2 | (4,910) | (2,635) | 4,900 |
| Fund Balances (Deficits) at April 1..... | (18,709) | 65,282 | 456 | 10,593 | 77,267 | 3,458 |
| Fund Balances (Deficits) at March 31..... | \$ (22,158) | \$ 59,928 | \$ 458 | \$ 5,683 | \$ 74,632 | \$ 8,358 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | DEDICATED MASS TRANSPORTATION TRUST (20850-20899) | DEDICATED MISCELLANEOUS STATE SPECIAL REVENUE (23800-23899) | DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149) | EMPLOYMENT TRAINING (22550-22599) | ENCON SPECIAL REVENUE (21050-21149) | ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249) |
|---|---|---|---|---|--|---|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | 109,390 | 2,250 | - | - | - | - |
| Business Taxes..... | 366,578 | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 140,361 | 334 | 633 | 1 | 86,990 | 63,836 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | 616,329 | 2,584 | 633 | 1 | 86,990 | 63,836 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | - | - | - |
| Environment and Recreation..... | - | - | - | - | - | - |
| General Government..... | - | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - |
| Public Safety | - | - | - | - | - | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | 668,506 | - | - | - | - | - |
| Total Local Assistance Grants..... | 668,506 | - | - | - | - | - |
| Departmental Operations: | | | | | | |
| Personal Service | - | 425 | 85 | - | 50,918 | 11,511 |
| Non-Personal Service | - | - | - | - | 17,478 | 14,356 |
| General State Charges..... | - | 2 | 485 | - | 22,906 | 6,540 |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | 668,506 | 427 | 570 | - | 91,302 | 32,407 |
| Excess (Deficiency) of Receipts over Disbursements..... | (52,177) | 2,157 | 63 | 1 | (4,312) | 31,429 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | 62,661 | - | - | - | 12,781 | - |
| Transfers to Other Funds (*)..... | - | (1) | - | - | (5,899) | (16,231) |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | 62,661 | (1) | - | - | 6,882 | (16,231) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 10,484 | 2,156 | 63 | 1 | 2,570 | 15,198 |
| Fund Balances (Deficits) at April 1..... | 70,435 | - | (5,414) | 49 | (16,456) | 18,105 |
| Fund Balances (Deficits) at March 31..... | \$ 80,919 | \$ 2,156 | \$ (5,351) | \$ 50 | \$ (13,886) | \$ 33,303 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399) | FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949) | HEALTH CARE REFORM ACT RESOURCES (20800-20849) | HOUSING DEVELOPMENT (22950-22999) | INDIGENT LEGAL SERVICES (23550-23599) | INTERACTIVE FANTASY SPORTS (24950-24999) |
|---|--|---|---|---|--|---|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | - | - | 875,563 | - | - | - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | 40 | - | - | - | - | - |
| Miscellaneous Receipts..... | - | - | 4,923,316 | 93 | 112,931 | 3,163 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | 40 | - | 5,798,879 | 93 | 112,931 | 3,163 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | - | - | - |
| Environment and Recreation..... | - | - | - | - | - | - |
| General Government..... | - | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | 4,936,046 | - | - | - |
| Other Public Health | - | - | 765,660 | - | - | - |
| Public Safety | - | - | - | - | 60,503 | - |
| Public Welfare | - | - | - | (215) | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | - | 5,701,706 | (215) | 60,503 | - |
| Departmental Operations: | | | | | | |
| Personal Service | - | - | 9,836 | - | 1,678 | - |
| Non-Personal Service | 29 | - | 21,804 | - | 23,097 | - |
| General State Charges..... | - | - | 5,942 | - | 967 | - |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | 29 | - | 5,739,288 | (215) | 86,245 | - |
| Excess (Deficiency) of Receipts over Disbursements..... | 11 | - | 59,591 | 308 | 26,686 | 3,163 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | - | - | - | - | 31,394 | - |
| Transfers to Other Funds (*)..... | - | - | (125,254) | - | - | - |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | (125,254) | - | 31,394 | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 11 | - | (65,663) | 308 | 58,080 | 3,163 |
| Fund Balances (Deficits) at April 1..... | 519 | 23 | 77,569 | 10,352 | 157,184 | - |
| Fund Balances (Deficits) at March 31..... | \$ 530 | \$ 23 | \$ 11,906 | \$ 10,660 | \$ 215,264 | \$ 3,163 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | JUDICIARY DATA PROCESSING OFFSET (23200-23249) | LAKE GEORGE PARK TRUST (22750-22799) | LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349) | LEGISLATIVE COMPUTER SERVICES (21550-21599) | LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549) | MASS TRANSPORTATION ASSISTANCE (21400-21449) |
|---|--|--|---|--|--|---|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | - | - | - | - | - | 902,965 |
| Business Taxes..... | - | - | - | - | - | 1,211,366 |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 39,872 | 1,089 | 9,799 | 1,400 | 9,286 | 16,689 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | 39,872 | 1,089 | 9,799 | 1,400 | 9,286 | 2,131,020 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | - | 3,872 | - |
| Environment and Recreation..... | - | - | - | - | - | - |
| General Government..... | - | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - |
| Public Safety | - | - | - | - | - | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | 2,235,179 |
| Total Local Assistance Grants..... | - | - | - | - | 3,872 | 2,235,179 |
| Departmental Operations: | | | | | | |
| Personal Service | 18,546 | 740 | 651 | - | 1,500 | 2,651 |
| Non-Personal Service | 5,972 | 334 | 9,840 | 1,581 | 342 | 555 |
| General State Charges..... | 8,352 | 331 | 225 | - | 890 | 1,611 |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | 32,870 | 1,405 | 10,716 | 1,581 | 6,604 | 2,239,996 |
| Excess (Deficiency) of Receipts over Disbursements..... | 7,002 | (316) | (917) | (181) | 2,682 | (108,976) |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | - | - | - | - | - | 33,759 |
| Transfers to Other Funds (*)..... | - | - | - | - | (1,325) | - |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | - | - | (1,325) | 33,759 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 7,002 | (316) | (917) | (181) | 1,357 | (75,217) |
| Fund Balances (Deficits) at April 1..... | 2,755 | 303 | 5,129 | 10,898 | 2,951 | 175,839 |
| Fund Balances (Deficits) at March 31..... | \$ 9,757 | \$ (13) | \$ 4,212 | \$ 10,717 | \$ 4,308 | \$ 100,622 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | MEDICAL MARIHUANA TRUST (23750-23799) | MENTAL HEALTH GIFTS AND DONATIONS (20000-20099) | MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499) | MTA FINANCIAL ASSISTANCE (23650-23699) | MUSICAL INSTRUMENT REVOLVING (21750-21799) | NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199) |
|---|--|---|--|--|---|--|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | 584 | - | - | 113,021 | - | - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | 1,380,246 | - | - |
| Miscellaneous Receipts..... | 1 | 186 | 2,885,420 | 181,979 | - | 55,761 |
| Federal Receipts..... | - | - | (297) | - | - | - |
| Total Receipts..... | 585 | 186 | 2,885,123 | 1,675,246 | - | 55,761 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | 840 | - | - | - |
| Environment and Recreation..... | - | - | 3,665 | - | - | - |
| General Government..... | 172 | - | 77,623 | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | 849,580 | - | - | - |
| Other Public Health | - | - | 1,588,528 | - | - | - |
| Public Safety | - | - | 82,186 | - | - | - |
| Public Welfare | - | - | 3,839 | - | - | - |
| Support and Regulate Business | - | - | 66,953 | - | - | - |
| Transportation | - | - | - | 1,967,400 | - | - |
| Total Local Assistance Grants..... | 172 | - | 2,673,214 | 1,967,400 | - | - |
| Departmental Operations: | | | | | | |
| Personal Service | 1,481 | - | 3,136,133 | - | - | 19,878 |
| Non-Personal Service | 1,683 | 117 | 1,132,947 | - | - | 2,768 |
| General State Charges..... | 734 | - | 1,629,898 | - | - | 10,194 |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | 4,070 | 117 | 8,572,192 | 1,967,400 | - | 32,840 |
| Excess (Deficiency) of Receipts over Disbursements..... | (3,485) | 69 | (5,687,069) | (292,154) | - | 22,921 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | 4,886 | - | 6,108,403 | 334,035 | - | 4,378 |
| Transfers to Other Funds (*)..... | (160) | - | (316,722) | (1,499) | - | - |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | 4,726 | - | 5,791,681 | 332,536 | - | 4,378 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 1,241 | 69 | 104,612 | 40,382 | - | 27,299 |
| Fund Balances (Deficits) at April 1..... | 2,708 | 2,211 | 966,382 | 115,086 | 1 | (52,737) |
| Fund Balances (Deficits) at March 31..... | \$ 3,949 | \$ 2,280 | \$ 1,070,994 | \$ 155,468 | \$ 1 | \$ (25,438) |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | NEW YORK GREAT LAKES PROTECTION (22850-22899) | NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349) | NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399) | NEW YORK STATE COMMERCIAL GAMING REVENUE (23700-23749) | NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049) | NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549) |
|---|--|---|---|---|--|--|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | - | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 341 | 13,127 | 119 | 45,849 | 1,946 | 1 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | 341 | 13,127 | 119 | 45,849 | 1,946 | 1 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | 13,100 | - | - |
| Environment and Recreation..... | - | - | - | - | - | - |
| General Government..... | - | - | - | 25,140 | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - |
| Public Safety | - | 11,189 | - | - | - | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | 11,189 | - | 38,240 | - | - |
| Departmental Operations: | | | | | | |
| Personal Service | (54) | 664 | 285 | 1,366 | 3,067 | - |
| Non-Personal Service | 3 | 398 | 42 | 172 | 487 | - |
| General State Charges..... | 2 | 402 | 162 | 478 | - | - |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | (49) | 12,653 | 489 | 40,256 | 3,554 | - |
| Excess (Deficiency) of Receipts over Disbursements..... | 390 | 474 | (370) | 5,593 | (1,608) | 1 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | - | - | 300 | - | - | - |
| Transfers to Other Funds (*)..... | - | - | (44) | (124,702) | - | - |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | 256 | (124,702) | - | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 390 | 474 | (114) | (119,109) | (1,608) | 1 |
| Fund Balances (Deficits) at April 1..... | 222 | 40,562 | 175 | 136,280 | (7,716) | 67 |
| Fund Balances (Deficits) at March 31..... | \$ 612 | \$ 41,036 | \$ 61 | \$ 17,171 | \$ (9,324) | \$ 68 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | NOT-FOR-PROFIT SHORT-TERM REVOLVING LOAN (20650-20699) | SCHOOL TAX RELIEF (20550-20599) | SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049) | STATE LOTTERY (20900-20949) | STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849) | STATE UNIVERSITY DORMITORY INCOME (40350-40399) |
|---|--|---------------------------------------|---|-----------------------------------|--|---|
| RECEIPTS: | | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ 3,139,051 | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | - | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | - | - | 668 | 3,380,204 | 125,334 | 394,165 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | - | 3,139,051 | 668 | 3,380,204 | 125,334 | 394,165 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | 3,139,051 | - | 3,320,994 | - | - |
| Environment and Recreation..... | - | - | - | - | - | - |
| General Government..... | - | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - |
| Public Safety | - | - | - | - | 3,090 | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | 3,139,051 | - | 3,320,994 | 3,090 | - |
| Departmental Operations: | | | | | | |
| Personal Service | - | - | 571 | 17,558 | 119 | - |
| Non-Personal Service | - | - | 13 | 98,722 | 5,105 | - |
| General State Charges..... | - | - | 1,300 | 9,810 | 33 | - |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | - | 3,139,051 | 1,884 | 3,447,084 | 8,347 | - |
| Excess (Deficiency) of Receipts over Disbursements..... | - | - | (1,216) | (66,880) | 116,987 | 394,165 |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Transfers from Other Funds..... | - | - | - | 3,903 | - | - |
| Transfers to Other Funds (*)..... | - | - | - | (5,332) | (111,900) | (330,687) |
| Investment..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | - | (1,429) | (111,900) | (330,687) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | - | (1,216) | (68,309) | 5,087 | 63,478 |
| Fund Balances (Deficits) at April 1..... | - | - | (2,283) | 190,705 | 28,040 | 174,767 |
| Fund Balances (Deficits) at March 31..... | \$ - | \$ - | \$ (3,499) | \$ 122,396 | \$ 33,127 | \$ 238,245 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | STATE UNIVERSITY INCOME (22650-22699) | TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299) | TUITION REIMBURSEMENT (20450-20499) | UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649) | US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549) |
|---|--|---|---|---|---|
| RECEIPTS: | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - |
| Miscellaneous Receipts..... | 4,688,942 | 44,931 | 5,022 | 15,427 | 35 |
| Federal Receipts..... | - | - | - | - | - |
| Total Receipts..... | 4,688,942 | 44,931 | 5,022 | 15,427 | 35 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants (*): | | | | | |
| Education..... | - | - | - | - | - |
| Environment and Recreation..... | - | - | - | - | - |
| General Government..... | - | - | - | - | - |
| Public Health: | | | | | |
| Medicaid..... | - | - | - | - | - |
| Other Public Health | - | - | - | - | - |
| Public Safety | - | - | - | - | - |
| Public Welfare | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - |
| Transportation | - | - | - | - | - |
| Total Local Assistance Grants..... | - | - | - | - | - |
| Departmental Operations: | | | | | |
| Personal Service | 3,628,302 | 18,083 | 1,751 | 1,930 | - |
| Non-Personal Service | 2,078,521 | 10,825 | 374 | 464 | - |
| General State Charges..... | 420,001 | 12,851 | 1,105 | 1,117 | - |
| Capital Projects..... | - | - | - | - | - |
| Total Disbursements..... | 6,126,824 | 41,759 | 3,230 | 3,511 | - |
| Excess (Deficiency) of Receipts over Disbursements..... | (1,437,882) | 3,172 | 1,792 | 11,916 | 35 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds..... | 1,714,349 | - | - | - | - |
| Transfers to Other Funds (*)..... | (107,438) | (3,460) | (585) | (4,873) | - |
| Investment..... | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | 1,606,911 | (3,460) | (585) | (4,873) | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 169,029 | (288) | 1,207 | 7,043 | 35 |
| Fund Balances (Deficits) at April 1..... | 1,063,550 | 2,662 | 5,374 | 19,463 | 56 |
| Fund Balances (Deficits) at March 31..... | \$ 1,232,579 | \$ 2,374 | \$ 6,581 | \$ 26,506 | \$ 91 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | VOCATIONAL REHABILITATION (23050-23099) | WINTER SPORTS EDUCATION TRUST (21700-21749) | ELIMINATIONS (*) | STATE COMBINED TOTALS | |
|---|---|---|------------------|--------------------------|---------------------|
| | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ 3,139,051 | \$ 3,334,700 |
| Consumption/Use Taxes | - | - | - | 2,003,773 | 2,027,752 |
| Business Taxes..... | - | - | - | 1,577,944 | 1,596,956 |
| Other Taxes..... | - | - | - | 1,380,246 | 1,306,235 |
| Miscellaneous Receipts..... | 61 | - | - | 17,486,557 | 16,926,235 |
| Federal Receipts..... | - | - | - | (1,305) | 72 |
| Total Receipts..... | 61 | - | - | 25,586,266 | 25,191,950 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants (*): | | | | | |
| Education..... | 75 | - | - | 6,480,458 | 6,529,102 |
| Environment and Recreation..... | - | - | - | 3,864 | 4,754 |
| General Government..... | - | - | - | 201,591 | 204,724 |
| Public Health: | | | | | |
| Medicaid..... | - | - | - | 5,785,626 | 5,308,442 |
| Other Public Health | - | - | - | 2,357,738 | 2,405,162 |
| Public Safety | - | - | - | 158,673 | 150,340 |
| Public Welfare | - | - | - | 3,271 | 2,809 |
| Support and Regulate Business | - | - | - | 66,953 | 99,718 |
| Transportation | - | - | - | 4,871,085 | 4,633,878 |
| Total Local Assistance Grants..... | 75 | - | - | 19,929,259 | 19,338,929 |
| Departmental Operations: | | | | | |
| Personal Service | - | - | - | 7,027,753 | 6,969,859 |
| Non-Personal Service | 8 | - | - | 3,527,114 | 3,620,872 |
| General State Charges..... | - | - | - | 2,172,026 | 2,055,232 |
| Capital Projects..... | - | - | - | 2,544 | 1,749 |
| Total Disbursements..... | 83 | - | - | 32,658,696 | 31,986,641 |
| Excess (Deficiency) of Receipts over Disbursements..... | (22) | - | - | (7,072,430) | (6,794,691) |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds..... | - | - | (318,147) | 8,105,904 | 9,165,180 |
| Transfers to Other Funds (*)..... | (32) | - | 318,147 | (848,505) | (1,295,754) |
| Investment..... | - | - | - | - | (5) |
| Total Other Financing Sources (Uses)..... | (32) | - | - | 7,257,399 | 7,869,421 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (54) | - | - | 184,969 | 1,074,730 |
| Fund Balances (Deficits) at April 1..... | 136 | - | - | 3,547,354 | 2,472,624 |
| Fund Balances (Deficits) at March 31..... | \$ 82 | \$ - | \$ - | \$ 3,732,323 | \$ 3,547,354 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | FEDERAL EDUCATION (25200-25249) | FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049) | FEDERAL HEALTH AND HUMAN SERVICES (25100-25199) | FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899) |
|---|---------------------------------------|--|---|--|
| RECEIPTS: | | | | |
| Personal Income Tax..... | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes..... | - | - | - | - |
| Business Taxes..... | - | - | - | - |
| Miscellaneous Receipts..... | 12 | - | 2,470 | 13,317 |
| Federal Receipts..... | 3,127,020 | 159,889 | 45,154,790 | 1,838,220 |
| Total Receipts..... | 3,127,032 | 159,889 | 45,157,260 | 1,851,537 |
| DISBURSEMENTS: | | | | |
| Local Assistance Grants (*): | | | | |
| Education..... | 2,540,919 | - | 61 | 3,921 |
| Environment and Recreation..... | - | - | - | 3,094 |
| General Government..... | - | - | 58,446 | 427 |
| Public Health: | | | | |
| Medicaid..... | - | - | 33,789,528 | - |
| Other Public Health | 4,369 | - | 4,643,204 | 7,813 |
| Public Safety | - | - | 17,975 | 1,311,875 |
| Public Welfare | - | 124,213 | 3,589,959 | 26,080 |
| Support and Regulate Business | - | - | - | 3,850 |
| Transportation..... | - | - | - | 66,297 |
| Total Local Assistance Grants..... | 2,545,288 | 124,213 | 42,099,173 | 1,423,357 |
| Departmental Operations: | | | | |
| Personal Service | 102,793 | 15,412 | 228,404 | 106,292 |
| Non-Personal Service | 423,999 | 7,912 | 729,239 | 118,652 |
| General State Charges..... | 47,512 | 7,858 | 100,144 | 39,896 |
| Total Disbursements..... | 3,119,592 | 155,395 | 43,156,960 | 1,688,197 |
| Excess (Deficiency) of Receipts over Disbursements..... | 7,440 | 4,494 | 2,000,300 | 163,340 |
| OTHER FINANCING SOURCES (USES): | | | | |
| Transfers from Other Funds..... | - | - | - | - |
| Transfers to Other Funds (*)..... | (12,147) | (2,185) | (1,684,910) | (8,463) |
| Total Other Financing Sources (Uses)..... | (12,147) | (2,185) | (1,684,910) | (8,463) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (4,707) | 2,309 | 315,390 | 154,877 |
| Fund Balances (Deficits) at April 1..... | (6,105) | (3,253) | 313,559 | (329,582) |
| Fund Balances (Deficits) at March 31..... | \$ (10,812) | \$ (944) | \$ 628,949 | \$ (174,705) |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099) | UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949) | UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999) | FEDERAL COMBINED TOTALS | |
|---|--|--|--|----------------------------|--------------------|
| | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | |
| Personal Income Tax..... | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use Taxes..... | - | - | - | - | - |
| Business Taxes..... | - | - | - | - | - |
| Miscellaneous Receipts..... | 109,993 | 73,109 | - | 198,901 | 190,820 |
| Federal Receipts..... | 2,170,116 | 269,535 | 6,593 | 52,726,163 | 49,104,607 |
| Total Receipts..... | 2,280,109 | 342,644 | 6,593 | 52,925,064 | 49,295,427 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants (*): | | | | | |
| Education..... | 1,000,491 | - | - | 3,545,392 | 3,658,549 |
| Environment and Recreation..... | - | - | - | 3,094 | 2,955 |
| General Government..... | - | - | - | 58,873 | 55,158 |
| Public Health: | | | | | |
| Medicaid..... | - | - | - | 33,789,528 | 31,350,134 |
| Other Public Health | 759,918 | - | - | 5,415,304 | 3,463,090 |
| Public Safety | - | - | - | 1,329,850 | 1,844,002 |
| Public Welfare | 401,236 | 3,660 | 6,611 | 4,151,759 | 4,741,827 |
| Support and Regulate Business | - | - | - | 3,850 | 7,716 |
| Transportation..... | - | - | - | 66,297 | 39,315 |
| Total Local Assistance Grants..... | 2,161,645 | 3,660 | 6,611 | 48,363,947 | 45,162,746 |
| Departmental Operations: | | | | | |
| Personal Service | 23,049 | 155,202 | - | 631,152 | 617,400 |
| Non-Personal Service | 44,745 | 46,904 | - | 1,371,451 | 1,372,320 |
| General State Charges..... | 13,589 | 83,637 | - | 292,636 | 286,725 |
| Total Disbursements..... | 2,243,028 | 289,403 | 6,611 | 50,659,186 | 47,439,191 |
| Excess (Deficiency) of Receipts over Disbursements..... | 37,081 | 53,241 | (18) | 2,265,878 | 1,856,236 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds..... | - | - | - | - | 39,460 |
| Transfers to Other Funds (*)..... | (38,979) | (39,050) | - | (1,785,734) | (2,025,137) |
| Total Other Financing Sources (Uses)..... | (38,979) | (39,050) | - | (1,785,734) | (1,985,677) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (1,898) | 14,191 | (18) | 480,144 | (129,441) |
| Fund Balances (Deficits) at April 1..... | 15,124 | 68,801 | 1,188 | 59,732 | 189,173 |
| Fund Balances (Deficits) at March 31..... | \$ 13,226 | \$ 82,992 | \$ 1,170 | \$ 539,876 | \$ 59,732 |

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE AND FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-2
(continued)

| | STATE SPECIAL REVENUE | FEDERAL SPECIAL REVENUE | ELIMINATIONS (*) | COMBINED TOTALS | |
|---|-----------------------------|-------------------------------|------------------|---------------------|---------------------|
| | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | |
| Personal Income Tax (*)..... | \$ 3,139,051 | \$ - | \$ - | \$ 3,139,051 | \$ 3,334,700 |
| Consumption/Use Taxes..... | 2,003,773 | - | - | 2,003,773 | 2,027,752 |
| Business Taxes..... | 1,577,944 | - | - | 1,577,944 | 1,596,956 |
| Other Taxes..... | 1,380,246 | - | - | 1,380,246 | 1,306,235 |
| Miscellaneous Receipts..... | 17,486,557 | 198,901 | - | 17,685,458 | 17,117,055 |
| Federal Receipts..... | (1,305) | 52,726,163 | - | 52,724,858 | 49,104,679 |
| Total Receipts..... | 25,586,266 | 52,925,064 | - | 78,511,330 | 74,487,377 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants (*): | | | | | |
| Education..... | 6,480,458 | 3,545,392 | - | 10,025,850 | 10,187,651 |
| Environment and Recreation..... | 3,864 | 3,094 | - | 6,958 | 7,709 |
| General Government..... | 201,591 | 58,873 | - | 260,464 | 259,882 |
| Public Health: | | | | | |
| Medicaid..... | 5,785,626 | 33,789,528 | - | 39,575,154 | 36,658,576 |
| Other Public Health..... | 2,357,738 | 5,415,304 | - | 7,773,042 | 5,868,252 |
| Public Safety..... | 158,673 | 1,329,850 | - | 1,488,523 | 1,994,342 |
| Public Welfare..... | 3,271 | 4,151,759 | - | 4,155,030 | 4,744,636 |
| Support and Regulate Business..... | 66,953 | 3,850 | - | 70,803 | 107,434 |
| Transportation..... | 4,871,085 | 66,297 | - | 4,937,382 | 4,673,193 |
| Total Local Assistance Grants..... | 19,929,259 | 48,363,947 | - | 68,293,206 | 64,501,675 |
| Departmental Operations: | | | | | |
| Personal Service..... | 7,027,753 | 631,152 | - | 7,658,905 | 7,587,259 |
| Non-Personal Service..... | 3,527,114 | 1,371,451 | - | 4,898,565 | 4,993,192 |
| General State Charges..... | 2,172,026 | 292,636 | - | 2,464,662 | 2,341,957 |
| Capital Projects..... | 2,544 | - | - | 2,544 | 1,749 |
| Total Disbursements..... | 32,658,696 | 50,659,186 | - | 83,317,882 | 79,425,832 |
| Excess (Deficiency) of Receipts over Disbursements..... | (7,072,430) | 2,265,878 | - | (4,806,552) | (4,938,455) |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds..... | 8,105,904 | - | (373,021) | 7,732,883 | 8,668,925 |
| Transfers to Other Funds (*)..... | (848,505) | (1,785,734) | 373,021 | (2,261,218) | (2,785,176) |
| Investment..... | - | - | - | - | (5) |
| Total Other Financing Sources (Uses)..... | 7,257,399 | (1,785,734) | - | 5,471,665 | 5,883,744 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 184,969 | 480,144 | - | 665,113 | 945,289 |
| Fund Balances (Deficits) at April 1..... | 3,547,354 | 59,732 | - | 3,607,086 | 2,661,797 |
| Fund Balances (Deficits) at March 31..... | \$ 3,732,323 | \$ 539,876 | \$ - | \$ 4,272,199 | \$ 3,607,086 |

(*) See Accompanying Notes

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-3

| | CLEAN WATER/ CLEAN AIR (40400-40449) | DEBT REDUCTION RESERVE (40000-40049) | DEPARTMENT OF HEALTH INCOME (40300-40349) | GENERAL DEBT SERVICE (40150-40199) | HOUSING DEBT (40250-40299) |
|---|--|---|--|--|----------------------------------|
| RECEIPTS: | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ 11,891,486 | \$ - |
| Consumption/Use Taxes (*)..... | - | - | - | 3,241,634 | - |
| Other Taxes..... | 1,007,269 | - | - | - | - |
| Miscellaneous Receipts..... | - | - | 123,095 | - | 5,431 |
| Federal Receipts..... | - | - | - | 73,405 | - |
| Total Receipts..... | 1,007,269 | - | 123,095 | 15,206,525 | 5,431 |
| DISBURSEMENTS: | | | | | |
| Departmental Operations: | | | | | |
| Non-Personal Service..... | - | - | 2,911 | 30,053 | - |
| Debt Service, Including Payments on Financing Agreements..... | - | - | 25,572 | 4,919,705 | 7,234 |
| Total Disbursements..... | - | - | 28,483 | 4,949,758 | 7,234 |
| Excess (Deficiency) of Receipts over Disbursements..... | 1,007,269 | - | 94,612 | 10,256,767 | (1,803) |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds..... | - | - | 32,932 | 2,690,119 | 1,803 |
| Transfers to Other Funds (*)..... | (1,007,269) | - | (148,916) | (12,946,886) | - |
| Total Other Financing Sources (Uses)..... | (1,007,269) | - | (115,984) | (10,256,767) | 1,803 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | - | (21,372) | - | - |
| Fund Balances at April 1 | - | - | 49,166 | - | - |
| Fund Balances at March 31..... | \$ - | \$ - | \$ 27,794 | \$ - | \$ - |

(*) See Accompanying Notes

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-3
(continued)

| | LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499) | MENTAL HEALTH SERVICES (40100-40149) | ELIMINATIONS | COMBINED TOTALS | |
|---|---|--|--------------|---------------------|---------------------|
| | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | |
| Personal Income Tax (*)..... | \$ - | \$ - | \$ - | \$ 11,891,486 | \$ 11,763,821 |
| Consumption/Use Taxes (*)..... | 3,241,633 | - | - | 6,483,267 | 6,242,519 |
| Other Taxes..... | - | - | - | 1,007,269 | 1,043,960 |
| Miscellaneous Receipts..... | 93 | 328,975 | - | 457,594 | 486,548 |
| Federal Receipts..... | - | - | - | 73,405 | 73,247 |
| Total Receipts..... | 3,241,726 | 328,975 | - | 19,913,021 | 19,610,095 |
| DISBURSEMENTS: | | | | | |
| Departmental Operations: | | | | | |
| Non-Personal Service..... | 3,020 | 2,662 | - | 38,646 | 36,616 |
| Debt Service, Including Payments on Financing Agreements..... | 368,408 | 192,864 | - | 5,513,783 | 5,598,485 |
| Total Disbursements..... | 371,428 | 195,526 | - | 5,552,429 | 5,635,101 |
| Excess (Deficiency) of Receipts over Disbursements..... | 2,870,298 | 133,449 | - | 14,360,592 | 13,974,994 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds..... | - | 1,204,113 | (320,111) | 3,608,856 | 4,006,566 |
| Transfers to Other Funds (*)..... | (2,870,298) | (1,331,544) | 320,111 | (17,984,802) | (17,940,507) |
| Total Other Financing Sources (Uses)..... | (2,870,298) | (127,431) | - | (14,375,946) | (13,933,941) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | 6,018 | - | (15,354) | 41,053 |
| Fund Balances at April 1 | - | 110,547 | - | 159,713 | 118,660 |
| Fund Balances at March 31..... | \$ - | \$ 116,565 | \$ - | \$ 144,359 | \$ 159,713 |

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

EXHIBIT A-4

| | STATE CAPITAL PROJECTS (30000-30049) | ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689) | CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299) | CLEAN WATER/ CLEAN AIR BOND (30690-30699) | CLEAN WATER/ CLEAN AIR IMPLEMENTATION (30500-30549) | CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399) |
|---|---|--|--|---|--|---|
| RECEIPTS: | | | | | | |
| Consumption/Use Taxes | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 2,506,998 | - | - | - | - | 149,595 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | 2,506,998 | - | - | - | - | 149,595 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | 49,490 | - | - | - | - | - |
| Environment and Recreation..... | 67,978 | - | - | - | - | - |
| General Government..... | 221,433 | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | 23,837 | - | - | - | - | - |
| Public Safety | 58,701 | - | - | - | - | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | 777,734 | - | - | - | - | - |
| Transportation | 897,518 | - | - | - | - | - |
| Total Local Assistance Grants..... | 2,096,691 | - | - | - | - | - |
| Capital Projects..... | 1,607,431 | - | - | - | - | 303,274 |
| Total Disbursements..... | 3,704,122 | - | - | - | - | 303,274 |
| Excess (Deficiency) of Receipts over Disbursements..... | (1,197,124) | - | - | - | - | (153,679) |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - |
| Transfers from Other Funds..... | 1,198,418 | - | - | - | - | - |
| Transfers to Other Funds (*)..... | (1,294) | - | - | (6,486) | - | - |
| Total Other Financing Sources (Uses)..... | 1,197,124 | - | - | (6,486) | - | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | - | - | (6,486) | - | (153,679) |
| Fund Balances (Deficits) at April 1..... | - | 2,778 | (24) | 7,914 | - | (33,035) |
| Fund Balances (Deficits) at March 31..... | \$ - | \$ 2,778 | \$ (24) | \$ 1,428 | \$ - | \$ (186,714) |

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

| | DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099) | DEDICATED INFRASTRUCTURE INVESTMENT FUND (33050-33099) | DEPARTMENT OF TRANSPORTATION ENGINEERING SERVICES (31950-31999) | DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749) | ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609) | ENVIRONMENTAL PROTECTION (30450-30499) | ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679) |
|---|---|--|---|---|---|--|--|
| RECEIPTS: | | | | | | | |
| Consumption/Use Taxes | \$ 624,090 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Business Taxes..... | 639,502 | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | 119,100 | - |
| Miscellaneous Receipts..... | 1,328,657 | - | - | 30,704 | - | 35,600 | - |
| Federal Receipts..... | 4,991 | - | - | - | - | - | - |
| Total Receipts..... | 2,597,240 | - | - | 30,704 | - | 154,700 | - |
| DISBURSEMENTS: | | | | | | | |
| Local Assistance Grants (*): | | | | | | | |
| Education..... | - | - | - | - | - | - | - |
| Environment and Recreation..... | - | - | - | - | - | 90,024 | - |
| General Government..... | - | 231,624 | - | - | - | - | - |
| Public Health: | | | | | | | |
| Medicaid..... | - | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - | - |
| Public Safety | - | - | - | - | - | - | - |
| Public Welfare | - | 34,554 | - | - | - | - | - |
| Support and Regulate Business | - | 39,350 | - | - | - | - | - |
| Transportation | 12,135 | - | - | - | - | - | - |
| Total Local Assistance Grants..... | 12,135 | 305,528 | - | - | - | 90,024 | - |
| Capital Projects..... | 2,146,040 | 418,229 | 86 | 23,225 | - | 105,584 | - |
| Total Disbursements..... | 2,158,175 | 723,757 | 86 | 23,225 | - | 195,608 | - |
| Excess (Deficiency) of Receipts over Disbursements..... | 439,065 | (723,757) | (86) | 7,479 | - | (40,908) | - |
| OTHER FINANCING SOURCES (USES): | | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - | - |
| Transfers from Other Funds..... | 845,134 | 696,072 | 34 | - | - | 146,000 | - |
| Transfers to Other Funds (*)..... | (1,358,104) | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | (512,970) | 696,072 | 34 | - | - | 146,000 | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (73,905) | (27,685) | (52) | 7,479 | - | 105,092 | - |
| Fund Balances (Deficits) at April 1..... | 42,855 | 110,333 | (12,564) | (22,591) | 164 | 42,066 | 5,576 |
| Fund Balances (Deficits) at March 31..... | \$ (31,050) | \$ 82,648 | \$ (12,616) | \$ (15,112) | \$ 164 | \$ 147,158 | \$ 5,576 |

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

| | ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1972) (30640-30649) | FOREST PRESERVE EXPANSION (31450-31499) | HAZARDOUS WASTE REMEDIAL (31500-31549) | HOUSING ASSISTANCE (31800-31849) | HOUSING PROGRAM (31850-31899) | MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349) |
|---|--|--|--|--|-------------------------------------|---|
| RECEIPTS: | | | | | | |
| Consumption/Use Taxes | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | - | 8 | 111,387 | - | 166,099 | 131,069 |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | - | 8 | 111,387 | - | 166,099 | 131,069 |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | - | - | - |
| Environment and Recreation..... | - | - | 5,583 | - | - | - |
| General Government..... | - | - | - | - | - | 2,655 |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | 87,201 |
| Public Safety | - | - | - | - | - | - |
| Public Welfare | - | - | - | 2,815 | 147,840 | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | - | 5,583 | 2,815 | 147,840 | 89,856 |
| Capital Projects..... | - | - | 123,691 | - | 53 | 76,424 |
| Total Disbursements..... | - | - | 129,274 | 2,815 | 147,893 | 166,280 |
| Excess (Deficiency) of Receipts over Disbursements..... | - | 8 | (17,887) | (2,815) | 18,206 | (35,211) |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - |
| Transfers from Other Funds..... | - | - | 17,938 | - | 960 | 1,000 |
| Transfers to Other Funds (*)..... | - | - | (25,278) | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | (7,340) | - | 960 | 1,000 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | 8 | (25,227) | (2,815) | 19,166 | (34,211) |
| Fund Balances (Deficits) at April 1..... | 1,451 | 899 | (130,803) | (10,816) | (144,252) | (447,532) |
| Fund Balances (Deficits) at March 31..... | \$ 1,451 | \$ 907 | \$ (156,030) | \$ (13,631) | \$ (125,086) | \$ (481,743) |

(*) See Accompanying Notes

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT A-4
(continued)

| | MISCELLANEOUS CAPITAL PROJECTS (32200-32249) | NATURAL RESOURCE DAMAGES (31900-31949) | NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349) | NEW YORK STATE STORM RECOVERY (33000-33049) | OUTDOOR RECREATION DEVELOPMENT BOND (30750-30799) | PARK AND RECREATION LAND ACQUISITION BOND (30610-30619) |
|---|---|---|--|---|---|---|
| RECEIPTS: | | | | | | |
| Consumption/Use Taxes | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 23,993 | 4,490 | 3,372 | - | - | - |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | 23,993 | 4,490 | 3,372 | - | - | - |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | - | - | - |
| Environment and Recreation..... | 35 | 86 | - | - | - | - |
| General Government..... | - | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - |
| Public Safety | - | - | - | 1,860 | - | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | 67 | - | 1,916 | - | - | - |
| Total Local Assistance Grants..... | 102 | 86 | 1,916 | 1,860 | - | - |
| Capital Projects..... | 35,303 | 1,442 | - | 5,991 | - | - |
| Total Disbursements..... | 35,405 | 1,528 | 1,916 | 7,851 | - | - |
| Excess (Deficiency) of Receipts over Disbursements..... | (11,412) | 2,962 | 1,456 | (7,851) | - | - |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - |
| Transfers from Other Funds..... | 20,000 | - | - | - | - | - |
| Transfers to Other Funds (*)..... | - | - | - | - | - | - |
| Total Other Financing Sources (Uses)..... | 20,000 | - | - | - | - | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 8,588 | 2,962 | 1,456 | (7,851) | - | - |
| Fund Balances (Deficits) at April 1..... | 37,047 | 16,210 | 4,786 | (48,673) | - | - |
| Fund Balances (Deficits) at March 31..... | \$ 45,635 | \$ 19,172 | \$ 6,242 | \$ (56,524) | \$ - | \$ - |

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

| | PASSENGER FACILITY CHARGE (30400-30449) | PURE WATERS BOND (30620-30629) | RAIL PRESERVATION AND DEVELOPMENT BOND (30900-30949) | REBUILD AND RENEW NY TRANSPORTATION BOND (30650-30659) | SMART SCHOOLS BOND (30710-30719) | STATE HOUSING BOND (30700-30709) |
|---|--|--------------------------------------|---|---|---|---|
| RECEIPTS: | | | | | | |
| Consumption/Use Taxes | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Business Taxes..... | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - |
| Miscellaneous Receipts..... | - | - | - | - | - | - |
| Federal Receipts..... | - | - | - | - | - | - |
| Total Receipts..... | - | - | - | - | - | - |
| DISBURSEMENTS: | | | | | | |
| Local Assistance Grants (*): | | | | | | |
| Education..... | - | - | - | - | - | - |
| Environment and Recreation..... | - | - | - | - | - | - |
| General Government..... | - | - | - | - | - | - |
| Public Health: | | | | | | |
| Medicaid..... | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - |
| Public Safety | - | - | - | - | - | - |
| Public Welfare | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | - | - | - | - | - |
| Capital Projects..... | - | - | - | - | - | - |
| Total Disbursements..... | - | - | - | - | - | - |
| Excess (Deficiency) of Receipts over Disbursements..... | - | - | - | - | - | - |
| OTHER FINANCING SOURCES (USES): | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - |
| Transfers from Other Funds..... | - | - | - | - | - | - |
| Transfers to Other Funds (*)..... | - | - | - | (5,724) | - | - |
| Total Other Financing Sources (Uses)..... | - | - | - | (5,724) | - | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | - | - | - | (5,724) | - | - |
| Fund Balances (Deficits) at April 1..... | 14 | 668 | - | 27,330 | - | - |
| Fund Balances (Deficits) at March 31..... | \$ 14 | \$ 668 | \$ - | \$ 21,606 | \$ - | \$ - |

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

| | STATE PARK INFRASTRUCTURE (30350-30399) | STATE UNIVERSITY CAPITAL PROJECTS (32400-32999) | STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299) | SUBURBAN TRANSPORTATION (31650-31699) | TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639) | TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669) | ELIMINATIONS (*) |
|---|--|---|--|---|---|---|------------------|
| RECEIPTS: | | | | | | | |
| Consumption/Use Taxes | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Business Taxes..... | - | - | - | - | - | - | - |
| Other Taxes..... | - | - | - | - | - | - | - |
| Miscellaneous Receipts..... | 125,105 | 16,889 | 710 | 3 | - | - | - |
| Federal Receipts..... | - | - | - | - | - | - | - |
| Total Receipts..... | 125,105 | 16,889 | 710 | 3 | - | - | - |
| DISBURSEMENTS: | | | | | | | |
| Local Assistance Grants (*): | | | | | | | |
| Education..... | - | - | - | - | - | - | - |
| Environment and Recreation..... | - | - | - | - | - | - | - |
| General Government..... | - | - | - | - | - | - | - |
| Public Health: | | | | | | | |
| Medicaid..... | - | - | - | - | - | - | - |
| Other Public Health | - | - | - | - | - | - | - |
| Public Safety | - | - | - | - | - | - | - |
| Public Welfare | - | - | - | - | - | - | - |
| Support and Regulate Business | - | - | - | - | - | - | - |
| Transportation | - | - | - | - | - | - | - |
| Total Local Assistance Grants..... | - | - | - | - | - | - | - |
| Capital Projects..... | 126,356 | 57,604 | 45,652 | - | - | - | - |
| Total Disbursements..... | 126,356 | 57,604 | 45,652 | - | - | - | - |
| Excess (Deficiency) of Receipts over Disbursements..... | (1,251) | (40,715) | (44,942) | 3 | - | - | - |
| OTHER FINANCING SOURCES (USES): | | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - | - |
| Transfers from Other Funds..... | - | 56,714 | 63,691 | - | - | - | (13,505) |
| Transfers to Other Funds (*)..... | - | - | - | - | - | - | 13,505 |
| Total Other Financing Sources (Uses)..... | - | 56,714 | 63,691 | - | - | - | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (1,251) | 15,999 | 18,749 | 3 | - | - | - |
| Fund Balances (Deficits) at April 1..... | (83,051) | 168,347 | 125,298 | 507 | 3,328 | 4,255 | - |
| Fund Balances (Deficits) at March 31..... | \$ (84,302) | \$ 184,346 | \$ 144,047 | \$ 510 | \$ 3,328 | \$ 4,255 | \$ - |

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE AND FEDERAL
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

| | TOTAL STATE CAPITAL PROJECTS | FEDERAL CAPITAL PROJECTS (31350-31449) | ELIMINATIONS (*) | COMBINED TOTALS | |
|---|---------------------------------------|---|------------------|-----------------------|---------------------|
| | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | |
| Consumption/Use Taxes | \$ 624,090 | \$ - | \$ - | \$ 624,090 | \$ 635,701 |
| Business Taxes..... | 639,502 | - | - | 639,502 | 639,479 |
| Other Taxes..... | 119,100 | - | - | 119,100 | 119,100 |
| Miscellaneous Receipts..... | 4,634,679 | 1,933 | - | 4,636,612 | 3,822,525 |
| Federal Receipts..... | 4,991 | 2,603,406 | - | 2,608,397 | 2,145,374 |
| Total Receipts..... | 6,022,362 | 2,605,339 | - | 8,627,701 | 7,362,179 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants (*): | | | | | |
| Education..... | 49,490 | - | - | 49,490 | 28,258 |
| Environment and Recreation..... | 163,706 | 148,188 | - | 311,894 | 306,350 |
| General Government..... | 455,712 | - | - | 455,712 | 303,423 |
| Public Health: | | | | | |
| Medicaid..... | - | - | - | - | - |
| Other Public Health | 111,038 | 46,777 | - | 157,815 | 144,858 |
| Public Safety | 60,561 | 9,302 | - | 69,863 | 101,835 |
| Public Welfare | 185,209 | - | - | 185,209 | 129,381 |
| Support and Regulate Business | 817,084 | - | - | 817,084 | 569,911 |
| Transportation | 911,636 | 645,439 | - | 1,557,075 | 913,607 |
| Total Local Assistance Grants..... | 2,754,436 | 849,706 | - | 3,604,142 | 2,497,623 |
| Capital Projects..... | 5,076,385 | 1,476,466 | - | 6,552,851 | 6,483,181 |
| Total Disbursements..... | 7,830,821 | 2,326,172 | - | 10,156,993 | 8,980,804 |
| Excess (Deficiency) of Receipts over Disbursements..... | (1,808,459) | 279,167 | - | (1,529,292) | (1,618,625) |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - |
| Transfers from Other Funds..... | 3,032,456 | - | (280,971) | 2,751,485 | 2,895,606 |
| Transfers to Other Funds (*)..... | (1,383,381) | (289,505) | 280,971 | (1,391,915) | (1,443,344) |
| Total Other Financing Sources (Uses)..... | 1,649,075 | (289,505) | - | 1,359,570 | 1,452,262 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (159,384) | (10,338) | - | (169,722) | (166,363) |
| Fund Balances (Deficits) at April 1..... | (331,515) | (559,267) | - | (890,782) | (724,419) |
| Fund Balances (Deficits) at March 31..... | \$ (490,899) | \$ (569,605) | \$ - | \$ (1,060,504) | \$ (890,782) |

(*) See Accompanying Notes

STATE OF NEW YORK
ENTERPRISE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT B-1

| | AGENCY ENTERPRISE (50300-50399) | CORRECTIONAL SERVICES COMMISSARY (50100-50299) | MENTAL HYGIENE COMMUNITY STORES (50500-50599) | SHELTERED AND PATIENT WORKSHOP (50400-50499) |
|---|---------------------------------------|---|---|---|
| RECEIPTS: | | | | |
| Miscellaneous Receipts..... | \$ 3,647 | \$ 37,783 | \$ 1,329 | \$ 1,884 |
| Federal Receipts..... | - | - | - | - |
| Unemployment Taxes..... | - | - | - | - |
| Total Receipts..... | 3,647 | 37,783 | 1,329 | 1,884 |
| DISBURSEMENTS: | | | | |
| Departmental Operations: | | | | |
| Personal Service..... | 1,242 | - | 51 | - |
| Non-Personal Service..... | 1,556 | 37,379 | 1,077 | 1,719 |
| General State Charges..... | 641 | - | 29 | - |
| Unemployment Benefits..... | - | - | - | - |
| Total Disbursements..... | 3,439 | 37,379 | 1,157 | 1,719 |
| Excess (Deficiency) of Receipts over Disbursements..... | 208 | 404 | 172 | 165 |
| OTHER FINANCING SOURCES (USES): | | | | |
| Transfers from Other Funds..... | - | - | - | - |
| Transfers to Other Funds..... | - | - | - | - |
| Total Other Financing Sources (Uses)..... | - | - | - | - |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 208 | 404 | 172 | 165 |
| Fund Balances at April 1..... | 3,122 | 2,826 | 4,125 | 3,803 |
| Fund Balances at March 31..... | \$ 3,330 | \$ 3,230 | \$ 4,297 | \$ 3,968 |

STATE OF NEW YORK
ENTERPRISE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT B-1
(continued)

| | STATE EXPOSITION SPECIAL (50050-50099) | UNEMPLOYMENT INSURANCE BENEFIT (50650-50699) | YOUTH COMMISSARY (50000-50049) | COMBINED TOTALS | |
|---|---|---|--------------------------------------|------------------|------------------|
| | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | |
| Miscellaneous Receipts..... | \$ 13,524 | \$ 561 | \$ 54 | \$ 58,782 | \$ 65,640 |
| Federal Receipts..... | - | 19,190 | - | 19,190 | 29,962 |
| Unemployment Taxes..... | - | 2,156,425 | - | 2,156,425 | 2,281,243 |
| Total Receipts..... | 13,524 | 2,176,176 | 54 | 2,234,397 | 2,376,845 |
| DISBURSEMENTS: | | | | | |
| Departmental Operations: | | | | | |
| Personal Service..... | 4,066 | - | - | 5,359 | 4,831 |
| Non-Personal Service..... | 8,945 | - | 64 | 50,740 | 70,581 |
| General State Charges..... | 497 | - | - | 1,167 | 1,298 |
| Unemployment Benefits..... | - | 2,219,630 | - | 2,219,630 | 2,284,639 |
| Total Disbursements..... | 13,508 | 2,219,630 | 64 | 2,276,896 | 2,361,349 |
| Excess (Deficiency) of Receipts over Disbursements..... | 16 | (43,454) | (10) | (42,499) | 15,496 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds..... | - | - | - | - | - |
| Transfers to Other Funds..... | - | - | - | - | (12) |
| Total Other Financing Sources (Uses)..... | - | - | - | - | (12) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | 16 | (43,454) | (10) | (42,499) | 15,484 |
| Fund Balances at April 1..... | 870 | 51,234 | 154 | 66,134 | 50,650 |
| Fund Balances at March 31..... | \$ 886 | \$ 7,780 | \$ 144 | \$ 23,635 | \$ 66,134 |

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)**

EXHIBIT B-2

| | AGENCY INTERNAL SERVICE (55050-55099) | AUDIT AND CONTROL REVOLVING (55250-55299) | CENTRALIZED SERVICES (55000-55049) | CORRECTIONAL INDUSTRIES REVOLVING (55350-55399) | HEALTH INSURANCE REVOLVING (55300-55349) | JOINT LABOR AND MANAGEMENT ADMINISTRATION (55200-55249) | MENTAL HYGIENE REVOLVING (55100-55149) | YOUTH VOCATIONAL EDUCATION (55150-55199) | ELIMINATIONS | COMBINED TOTALS | |
|---|--|--|--|--|---|---|---|---|--------------|---------------------|---------------------|
| | | | | | | | | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | | | | | | | | |
| Miscellaneous Receipts..... | \$ 71,016 | \$ 17,083 | \$ 303,814 | \$ 45,905 | \$ 2,970 | \$ 909 | \$ 1,284 | \$ 4 | \$ - | \$ 442,985 | \$ 544,739 |
| Total Receipts..... | 71,016 | 17,083 | 303,814 | 45,905 | 2,970 | 909 | 1,284 | 4 | - | 442,985 | 544,739 |
| DISBURSEMENTS: | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | |
| Personal Service..... | 21,014 | 12,619 | 33,453 | 16,589 | 9,074 | 468 | 579 | - | - | 93,796 | 85,673 |
| Non-Personal Service..... | 88,043 | 13,039 | 285,342 | 32,900 | 1,411 | 59 | 388 | - | - | 421,182 | 402,919 |
| General State Charges..... | 11,008 | 6,243 | 18,913 | 11,313 | 5,149 | 306 | 247 | - | - | 53,179 | 46,519 |
| Total Disbursements..... | 120,065 | 31,901 | 337,708 | 60,802 | 15,634 | 833 | 1,214 | - | - | 568,157 | 535,111 |
| Excess (Deficiency) of Receipts over Disbursements..... | (49,049) | (14,818) | (33,894) | (14,897) | (12,664) | 76 | 70 | 4 | - | (125,172) | 9,628 |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | |
| Transfers from Other Funds..... | 47,808 | - | 31,887 | 10,510 | 11,311 | - | - | - | (13,937) | 87,579 | 98,216 |
| Transfers to Other Funds..... | (15,119) | (20) | (30,491) | (851) | (3,054) | (2) | - | - | 13,937 | (35,600) | (38,322) |
| Total Other Financing Sources (Uses).... | 32,689 | (20) | 1,396 | 9,659 | 8,257 | (2) | - | - | - | 51,979 | 59,894 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (16,360) | (14,838) | (32,498) | (5,238) | (4,407) | 74 | 70 | 4 | - | (73,193) | 69,522 |
| Fund Balances (Deficits) at April 1..... | (59,997) | (748) | (38,040) | (17,359) | (12,729) | 1,407 | 239 | 55 | - | (127,172) | (196,694) |
| Fund Balances (Deficits) at March 31..... | \$ (76,357) | \$ (15,586) | \$ (70,538) | \$ (22,597) | \$ (17,136) | \$ 1,481 | \$ 309 | \$ 59 | \$ - | \$ (200,365) | \$ (127,172) |

STATE OF NEW YORK
PENSION TRUST FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCE
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT C-1

| | COMMON RETIREMENT ADMINISTRATION (65000-65049) | |
|--|--|-----------------|
| | 2016-17 | 2015-16 |
| RECEIPTS: | | |
| Miscellaneous Receipts..... | \$ 105,820 | \$ 127,349 |
| Total Receipts..... | 105,820 | 127,349 |
| DISBURSEMENTS: | | |
| Departmental Operations: | | |
| Personal Service..... | 55,755 | 56,593 |
| Non-Personal Service..... | 22,414 | 22,911 |
| General State Charges..... | 29,644 | 30,896 |
| Total Disbursements..... | 107,813 | 110,400 |
| Excess (Deficiency) of Receipts over Disbursements..... | (1,993) | 16,949 |
| Fund Balance (Deficit) at April 1..... | 60 | (16,889) |
| Fund Balance (Deficit) at March 31..... | \$ (1,933) | \$ 60 |

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT C-2

| | AGRICULTURE PRODUCERS' SECURITY (66000-66049) | MILK PRODUCERS' SECURITY (66050-66099) | COMBINED TOTALS | |
|--|--|---|------------------|------------------|
| | | | 2016-17 | 2015-16 |
| RECEIPTS: | | | | |
| Miscellaneous Receipts..... | \$ 208 | \$ (823) | \$ (615) | \$ 485 |
| Total Receipts..... | 208 | (823) | (615) | 485 |
| DISBURSEMENTS: | | | | |
| Departmental Operations: | | | | |
| Personal Service..... | 67 | 98 | 165 | 282 |
| Non-Personal Service..... | 4 | 4 | 8 | 8 |
| General State Charges..... | 37 | 64 | 101 | 162 |
| Total Disbursements..... | 108 | 166 | 274 | 452 |
| Excess (Deficiency) of Receipts over Disbursements..... | 100 | (989) | (889) | 33 |
| Fund Balances at April 1..... | 2,337 | 9,215 | 11,552 | 11,519 |
| Fund Balances at March 31..... | \$ 2,437 | \$ 8,226 | \$ 10,663 | \$ 11,552 |

STATE OF NEW YORK
AGENCY FUNDS (*)
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

EXHIBIT C-3

| | RECEIPTS | | | | DISBURSEMENTS | | | Cash Balance March 31, 2017 |
|--|-------------------------------|----------------------|--------------|-------------------------------|--------------------------|-----------------|------------------------|--------------------------------|
| | Cash Balance April 1, 2016 | Miscellaneous | Federal (**) | Transfers From Other Funds | Department Operations | Refunds | Dedicated Payments | |
| Child Performer's Holding (60150-60199)..... | \$ 322 | \$ 252 | \$ - | \$ - | \$ - | \$ (100) | \$ - | \$ 474 |
| CUNY Senior College Operating (60850-60899)..... | 71,845 | 2,544,554 | - | - | (2,612,655) | - | - | 3,744 |
| Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow (60800-60849)... | 26,625 | 98,599 | - | - | - | - | (100,356) | 24,868 |
| Employees Dental Insurance (60400-60449)..... | 4,207 | 79,771 | - | - | - | - | (74,071) | 9,907 |
| Employees Health Insurance (60200-60249)..... | 788,660 | 9,359,853 | 36 | - | - | - | (9,308,560) | 839,989 |
| Employee Payroll Withholding (60300-60399)..... | 7,312 | 4,708,362 | - | - | - | - | (4,711,530) | 4,144 |
| Health Insurance Reserve Receipts (60550-60599)..... | 120 | 192,417 | - | - | - | - | (192,400) | 137 |
| Lottery Prize (60500-60549) | 515,294 | 1,103,539 | - | - | - | - | (1,123,313) | 495,520 |
| Management Confidential Group Insurance (60450-60499)..... | 370 | 10,795 | - | - | - | - | (10,732) | 433 |
| Medicaid Management Information System (MMIS) Escrow (60900-60949)... | 221,979 | 65,120,706 | - | 10,301 | - | - | (65,203,729) | 149,257 |
| Miscellaneous New York State Agency (60600-60799)..... | 1,687,094 | 1,482,007 | - | - | - | - | (1,774,890) | 1,394,211 |
| School Capital Facilities Financing Reserve (60050-60149)..... | 19,399 | 16,808 | - | - | - | - | (16,744) | 19,463 |
| Social Security Contribution (60250-60299)..... | 14,972 | 1,191,159 | - | - | - | - | (1,191,087) | 15,044 |
| Special Education (60950-60999)..... | - | - | - | - | - | - | - | - |
| SSI SSP Payment (62000-62049)..... | - | - | - | - | - | - | - | - |
| State University Federal Direct Lending Program (61100-61999)..... | (77) | 1,154,128 | - | - | - | - | (1,155,858) | (1,807) |
| State University of New York Revenue Collection (61000-61099)..... | 134,521 | 1,320 | - | - | - | - | - | 135,841 |
| Total Agency Funds..... | \$ 3,492,643 | \$ 87,064,270 | \$ 36 | \$ 10,301 | \$ (2,612,655) | \$ (100) | \$ (84,863,270) | \$ 3,091,225 |

(*) Agency funds are used to account for funds held by the State in a purely custodial capacity or to hold monies where the disposition of the receipt is not known at the time the revenue is received. Cash is held until the proper disposition is determined (e.g., transferred to a State fund) or until payments are made to individuals, private organizations or other governmental units (e.g., payment of withholding taxes).

Agency funds are also used for temporary investment purposes where a State fund payment has been issued but the checks have not cleared the bank account from which the checks were issued (e.g., Medicaid, EPIC).

(**) Federal Receipts represent participating employers' share of the Medicare Part D subsidy. Participating employers include local governments, public authorities, public benefit corporations and municipal corporations.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

EXHIBIT C-4

| <u>GENERAL FUND</u> | <u>CASH AND INVESTMENT BALANCES APRIL 1, 2016</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>CASH AND INVESTMENT BALANCES MARCH 31, 2017</u> |
|--|---|-----------------|----------------------|--|
| Adirondack Park Agency: | | | | |
| Revenue Collection..... | \$ 2 | \$ 14,000 | \$ 14,000 | \$ 2 |
| Assembly: | | | | |
| Revenue Collection..... | - | 330 | 330 | - |
| Department of Agriculture and Markets: | | | | |
| Revenue Collection..... | 96,173 | 8,641,699 | 8,551,200 | 186,672 |
| Department of Children and Family Services: | | | | |
| Revenue Collection..... | 697,384 | 4,086 | 701,470 | - |
| Department of Corrections and Community Supervision: | | | | |
| Revenue Collection(*)..... | 427,779 | 7,301,806 | 7,219,591 | 509,994 |
| Department of Education: | | | | |
| Revenue Collection..... | 345,066 | 105,394,352 | 105,437,000 | 302,418 |
| Department of Financial Services: | | | | |
| Revenue Collection..... | 770,137 | 586,082,463 | 586,443,000 | 409,600 |
| Department of Health: | | | | |
| Revenue Collection..... | 459,618 | 52,628,828 | 52,946,489 | 141,957 |
| Medicaid Recoveries..... | 3,326,034 | 197,781,073 | 195,617,067 | 5,490,040 |
| Department of Labor: | | | | |
| Revenue Collection..... | 1,122,266 | 26,369,930 | 26,407,086 | 1,085,110 |
| Department of Motor Vehicles: | | | | |
| Revenue Collection..... | 41,948,746 | 1,799,218,324 | 1,776,290,145 | 64,876,925 |
| Department of Taxation and Finance: | | | | |
| Revenue Collection..... | 199,386 | - | - | 199,386 |
| Stock Transfer Incentive..... | 971,646 | 390,516 | 350,397 | 1,011,765 |
| Department of Transportation: | | | | |
| Revenue Collection..... | 483,727 | 61,078,984 | 61,051,577 | 511,134 |
| Division of Housing and Community Renewal: | | | | |
| Revenue Collection..... | 89,278 | 7,889,312 | 7,864,643 | 113,947 |
| Division of State Police: | | | | |
| Revenue Collection..... | 48,464 | 14,402,952 | 14,178,086 | 273,330 |
| Higher Education Services Corporation: | | | | |
| Tuition Assistance Program Recoveries..... | 6,239,869 | 28,963,208 | 25,925,975 | 9,277,102 |
| Joint Commission on Public Ethics: | | | | |
| Revenue Collection..... | 56,089 | 1,722,752 | 1,763,020 | 15,821 |

(*) At the time of publishing, some accounts remain unfilled.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

EXHIBIT C-4
(continued)

| <u>GENERAL FUND (continued)</u> | <u>CASH AND INVESTMENT BALANCES APRIL 1, 2016</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>CASH AND INVESTMENT BALANCES MARCH 31, 2017</u> |
|---|---|-----------------------|-----------------------|--|
| NYS Gaming Commission: | | | | |
| Revenue Collection..... | 558,704 | 32,100,312 | 31,904,534 | 754,482 |
| Office for People with Developmental Disabilities: | | | | |
| Revenue Collection..... | 19,791,552 | 1,621,053,390 | 1,632,013,287 | 8,831,655 |
| Electronic Benefits Account..... | 1,864,460 | 14,573,486 | 14,824,088 | 1,613,858 |
| Facility Revenue Collection(*)..... | 676,686 | 1,697,586 | 1,791,964 | 582,308 |
| Funded Depreciation..... | 550 | - | - | 550 |
| Office of Court Administration: | | | | |
| Revenue Collection..... | 17,667,149 | 545,234,325 | 541,704,386 | 21,197,088 |
| Office of General Services: | | | | |
| Executive Mansion Expenses..... | 12,795 | 136,909 | 118,816 | 30,888 |
| Escrow Fund..... | 26,962,224 | 15,958,729 | 23,234,085 | 19,686,868 |
| Office of Mental Health: | | | | |
| Revenue Collection(*)..... | 583,585 | 3,077,393 | 3,053,496 | 607,482 |
| Office of Parks, Recreation, and Historic Preservation: | | | | |
| Revenue Collection..... | 3,313,500 | 121,240,975 | 119,361,845 | 5,192,630 |
| Office of the State Comptroller: | | | | |
| Miscellaneous Revenue Collection..... | 1,858 | 468 | 2,239 | 87 |
| Tax Revenue Collection..... | 80,386,675 | 56,567,076,301 | 56,515,542,656 | 131,920,320 |
| Fee Collection..... | 217,780 | 191,008,036 | 190,899,302 | 326,514 |
| Tobacco Settlement..... | 2,715,803 | 14,454 | - | 2,730,257 |
| Workers' Compensation Board: | | | | |
| Assessment Revenue Collection..... | 250,998,331 | 252,411,337 | 503,409,668 | - |
| Revenue Collection..... | 4,313,557 | 22,932,710 | 22,534,397 | 4,711,870 |
| Total General Fund..... | 467,346,873 | 62,286,401,026 | 62,471,155,839 | 282,592,060 |
| <u>SPECIAL REVENUE FUNDS</u> | | | | |
| Archives Partnership Trust: | | | | |
| Endowment..... | 4,041,392 | 694,927 | 340,648 | 4,395,671 |
| Council on the Arts: | | | | |
| Theater Development(*)..... | - | - | - | - |
| Department of Agriculture and Markets: | | | | |
| Revenue Collection - Marketing/Publicity..... | 1,321,852 | 6,117,728 | 6,972,185 | 467,395 |
| Department of Children and Family Services: | | | | |
| Revenue Collection - Cost of Care Reimbursement..... | 5,640,312 | 2,006,964 | 7,582,599 | 64,677 |
| Revenue Collection - Medicaid Reimbursement..... | 960,430 | 230,941 | 1,161,260 | 30,111 |
| Revenue Collection - Miscellaneous..... | 84,149 | 3,974,663 | 3,962,133 | 96,679 |
| Department of Civil Service: | | | | |
| Affirmative Action Advisory Council..... | 5,142 | - | - | 5,142 |
| Revenue Collection - Exam Application Fees..... | 89,605 | 2,821,069 | 2,802,716 | 107,958 |

(*) At the time of publishing, some accounts remain unfiled.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

EXHIBIT C-4
(continued)

| <u>SPECIAL REVENUE FUNDS (continued)</u> | <u>CASH AND INVESTMENT BALANCES APRIL 1, 2016</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>CASH AND INVESTMENT BALANCES MARCH 31, 2017</u> |
|---|---|-----------------|----------------------|--|
| Department of Environmental Conservation: | | | | |
| Revenue Collection..... | 900,764 | 218,198,800 | 216,967,547 | 2,132,017 |
| Department of Education: | | | | |
| Revenue Collection - Batavia School for the Blind..... | 7,034 | 116,065 | 57,603 | 65,496 |
| Revenue Collection - Rome School for the Deaf..... | 1,542 | 37,421 | 38,602 | 361 |
| Department of Financial Services: | | | | |
| Excess Liability Pool (**)..... | 17,709,196 | 112,493,311 | 127,419,411 | 2,783,096 |
| Liquidation Bureau - Property and Liability Insurance Security..... | 314,190,342 | 62,507,002 | 90,524,933 | 286,172,411 |
| Liquidation Bureau - Public Motor Vehicle Liability Security..... | 133,063,194 | 30,768,134 | 19,048,863 | 144,782,465 |
| Medical Indemnity Fund..... | 180,282,058 | 105,220,586 | 78,113,634 | 207,389,010 |
| Rate Stabilization Pool (**)..... | 82,801,691 | 19,203,659 | 19,206,213 | 82,799,137 |
| Revenue Collection..... | 267,167 | 1,189,963,616 | 1,190,163,000 | 67,783 |
| Worker's Compensation Security Fund..... | 143,276,048 | 131,097,226 | 146,200,450 | 128,172,824 |
| Worker's Compensation Security Fund Payment Account..... | 100,015 | 59,846,344 | 59,846,319 | 100,040 |
| Department of Health: | | | | |
| Clinical Lab Fee..... | 146,819 | 15,298,063 | 15,323,765 | 121,117 |
| Elderly Pharmaceutical Insurance Coverage (EPIC) Program (**)..... | 10,212 | 174,952,652 | 174,930,555 | 32,309 |
| Health Facilities Assessments (**)..... | 1,396,851 | 891,437,965 | 891,512,174 | 1,322,642 |
| Medicaid Disproportionate Share (Indigent Care Pools) (**)..... | 3,140 | 969,464,338 | 956,595,070 | 12,872,408 |
| Prescription Saver Program | - | - | - | - |
| Public Goods Pool (**)..... | 299,817,850 | 4,872,819,955 | 4,851,634,445 | 321,003,360 |
| WIC Program Payment..... | 189,216 | 389,667,764 | 388,936,102 | 920,878 |
| Department of Law: | | | | |
| Shared Forfeiture..... | 6,968,039 | 23,050 | 4,155,519 | 2,835,570 |
| Department of Public Service: | | | | |
| Revenue Collection..... | 99,734 | 225,874,465 | 225,958,000 | 16,199 |
| Department of State: | | | | |
| Revenue Collection..... | 2,203,199 | 69,492,300 | 67,570,988 | 4,124,511 |
| Department of Taxation and Finance: | | | | |
| World Trade Center Memorial Foundation Fund..... | 150,177 | 108,663 | - | 258,840 |
| Division of Housing and Community Renewal: | | | | |
| Maximum Base Rent Fee Account..... | 34,605 | 57,682 | 87,000 | 5,287 |
| Division of Military and Naval Affairs: | | | | |
| Revenue Collection(*)..... | - | - | - | - |
| Lake George Park Commission: | | | | |
| Revenue Collection(*)..... | - | - | - | - |
| NYS Gaming Commission: | | | | |
| Revenue Collection..... | 14,298,281 | 1,377,369,588 | 1,378,006,257 | 13,661,612 |
| Office for People with Developmental Disabilities: | | | | |
| Nonexpendable Trust Fund..... | 25,000 | 11 | 11 | 25,000 |

(*) At the time of publishing, some accounts remain unfilled.

(**) These accounts are administered by a fiscal agent on behalf of New York State.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

EXHIBIT C-4
(continued)

| | CASH AND INVESTMENT BALANCES APRIL 1, 2016 | RECEIPTS | DISBURSEMENTS | CASH AND INVESTMENT BALANCES MARCH 31, 2017 |
|---|---|------------------------------|------------------------------|--|
| <u>SPECIAL REVENUE FUNDS (continued)</u> | | | | |
| Office of Alcoholism and Substance Abuse Services: | | | | |
| Revenue Collection..... | 21,619 | 725,472 | 700,965 | 46,126 |
| Office of Mental Health: | | | | |
| Medication Grant Program..... | 100,110 | 2,001,112 | 1,916,269 | 184,953 |
| Office of the State Comptroller: | | | | |
| Fee Collection..... | - | 109,440,209 | 109,440,209 | - |
| Revenue Collection MTA Share..... | 1,390,032 | 2,425,305,570 | 2,424,421,262 | 2,274,340 |
| Tax Collection..... | 1,597,563 | 5,801,482,951 | 5,802,977,516 | 102,998 |
| State Board of Elections: | | | | |
| Revenue Collection..... | 8,854 | 162,748 | 166,728 | 4,874 |
| State University: | | | | |
| Revenue Collection(*)..... | 47,389,138 | 4,878,318,204 | 4,894,239,453 | 31,467,889 |
| Student Loan Repayment..... | 31,121 | 210,893,689 | 217,264,640 | (6,339,830) |
| Workers' Compensation Board: | | | | |
| Fund for Reopened Cases..... | 957,753,122 | 478,705,035 | 439,555,108 | 996,903,049 |
| Special Disability Fund..... | 323,937,657 | 1,292,542,024 | 1,146,472,853 | 470,006,828 |
| Special Fund for Disability Benefits..... | 18,616,254 | 19,669,661 | 17,693,221 | 20,592,694 |
| Uninsured Employers Fund..... | 25,850,419 | 68,001,147 | 65,875,789 | 27,975,777 |
| Total Special Revenue Funds..... | <u>2,586,780,945</u> | <u>26,219,112,774</u> | <u>26,045,842,015</u> | <u>2,760,051,704</u> |
| <u>DEBT SERVICE FUNDS</u> | | | | |
| Department of Health: | | | | |
| Revenue Collection..... | 2,940,635 | 165,509,675 | 162,946,314 | 5,503,996 |
| Department of Taxation and Finance: | | | | |
| DASNY-Mental Hygiene Facilities Improvement..... | - | - | - | - |
| DASNY Community College Tuition and Instructional Income..... | 11,672,088 | 5,397,264 | 10,638,815 | 6,430,537 |
| SUNY Construction Fund Debt Service..... | 29,418 | 238,543,620 | 238,543,484 | 29,554 |
| SUNY Dormitory Facilities Revenue Fund - Debt Service..... | 116,272,344 | 715,760,680 | 715,371,990 | 116,661,034 |
| Office for People with Developmental Disabilities: | | | | |
| Revenue Collection..... | - | - | - | - |
| Office of Alcoholism and Substance Abuse Services: | | | | |
| Revenue Collection..... | 1,066,667 | 23,016,845 | 23,226,938 | 856,574 |
| Office of Mental Health: | | | | |
| Revenue Collection..... | 16,187,445 | 1,059,751,548 | 1,067,243,467 | 8,695,526 |
| Office of the State Comptroller: | | | | |
| Tax Collection..... | 22,858,617 | 19,424,203,766 | 19,446,651,961 | 410,422 |
| Workers' Compensation Board: | | | | |
| Special Disability Fund Financing..... | 46,006,865 | 2,593,980,286 | 2,486,413,060 | 153,574,091 |
| Total Debt Service Funds..... | <u>217,034,079</u> | <u>24,226,163,684</u> | <u>24,151,036,029</u> | <u>292,161,734</u> |

(*) At the time of publishing, some accounts remain unfiled.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

EXHIBIT C-4
(continued)

| | CASH AND INVESTMENT BALANCES APRIL 1, 2016 | RECEIPTS | DISBURSEMENTS | CASH AND INVESTMENT BALANCES MARCH 31, 2017 |
|---|---|----------------------|----------------------|--|
| <u>CAPITAL PROJECTS FUNDS</u> | | | | |
| Department of Taxation and Finance: | | | | |
| DASNY-State Advances Repayment..... | 53 | 104,769,438 | 104,769,438 | 53 |
| SUNY Construction Fund Economic Development Facilities..... | 203,521 | 180,005 | 383,524 | 2 |
| SUNY Construction Fund Capital Projects Fund..... | 30,557,623 | 59,192,931 | 58,619,815 | 31,130,739 |
| SUNY Dormitory Facilities Revenue Fund - Repair and Rehabilitation..... | 62,749,297 | 43,054,939 | 53,249,753 | 52,554,483 |
| Office of the State Comptroller: | | | | |
| Hazardous Waste Remediation Collection..... | - | 1,468,343 | 1,462,385 | 5,958 |
| Tax Collection - Environmental Protection..... | - | 119,100,000 | 119,100,000 | - |
| Tax Collection - Highways and Bridges..... | 2,341,098 | 1,290,412,167 | 1,290,346,188 | 2,407,077 |
| Total Capital Projects Funds..... | 95,851,592 | 1,618,177,823 | 1,627,931,103 | 86,098,312 |
| <u>ENTERPRISE FUNDS</u> | | | | |
| Department of Agriculture and Markets: | | | | |
| Revenue Collection - State Fair..... | 547,144 | 16,668,720 | 16,501,488 | 714,376 |
| Department of Labor: | | | | |
| Unemployment Insurance Fund Clearing Account..... | (557,476) | 3,213,229,075 | 3,213,998,204 | (1,326,605) |
| Total Enterprise Funds..... | (10,332) | 3,229,897,795 | 3,230,499,692 | (612,229) |
| <u>INTERNAL SERVICE FUNDS</u> | | | | |
| Corcraft: | | | | |
| Revenue Collection..... | 266,841 | 46,054,986 | 44,949,000 | 1,372,827 |
| Division of Homeland Security and Emergency Services: | | | | |
| Revenue Collection - Fire Academy Account..... | 62,680 | 798,760 | 814,426 | 47,014 |
| Office of General Services: | | | | |
| Revenue Collection - State Office Buildings..... | 13,276 | 99,284 | 94,664 | 17,896 |
| Total Internal Service Funds..... | 342,797 | 46,953,030 | 45,858,090 | 1,437,737 |
| <u>PRIVATE PURPOSE TRUST</u> | | | | |
| Department of Agriculture and Markets: | | | | |
| Producer Security..... | 91,658 | 1,168,808 | 1,158,000 | 102,466 |
| Office of the State Comptroller: | | | | |
| New York State College Choice Tuition, LLC..... | 21,109,260,491 | 4,292,321,876 | 1,708,527,352 | 23,693,055,015 |
| Total Private Purpose Trust Funds..... | 21,109,352,149 | 4,293,490,684 | 1,709,685,352 | 23,693,157,481 |

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

EXHIBIT C-4
(continued)

| <u>AGENCY FUNDS</u> | <u>CASH AND INVESTMENT BALANCES APRIL 1, 2016</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>CASH AND INVESTMENT BALANCES MARCH 31, 2017</u> |
|---|---|-----------------|----------------------|--|
| Crime Victims Compensation Board: | | | | |
| Crime Victims Escrow..... | 186 | - | 186 | - |
| Crime Victims Restitution Escrow..... | 390 | - | - | 390 |
| Department of Agriculture and Markets: | | | | |
| Farmers Market Nutrition Program..... | 19,139 | 5,009,327 | 5,007,558 | 20,908 |
| Department of Children and Family Services: | | | | |
| Youth Residents..... | 54,046 | 191,191 | 199,124 | 46,113 |
| Department of Civil Service: | | | | |
| Employees' Health Insurance Premiums..... | 19,392,723 | 5,264,108,946 | 5,279,228,444 | 4,273,225 |
| Department of Corrections and Community Supervision: | | | | |
| Employee Benefit(*)..... | 497,674 | 653,473 | 574,035 | 577,112 |
| Inmates' Account(*)..... | 14,639,518 | 76,937,885 | 74,742,657 | 16,834,746 |
| Occupational Therapy(*)..... | 1,122,213 | 3,448,679 | 3,164,313 | 1,406,579 |
| Department of Education: | | | | |
| State Schools Student Activity..... | 16,973 | 21,928 | 24,742 | 14,159 |
| Department of Environmental Conservation: | | | | |
| Coastal Erosion Projects Escrow..... | 3,843,081 | 2,542 | - | 3,845,623 |
| Department of Financial Services: | | | | |
| Foreign Fire Insurance Tax Fund..... | 60,156,425 | 61,462,830 | 60,504,665 | 61,114,590 |
| Department of Health: | | | | |
| Patients' Account..... | 1,520,238 | 8,394,190 | 8,480,755 | 1,433,673 |
| Miscellaneous Agency Fund..... | 6,543 | 2,245 | 2,620 | 6,168 |
| American Indian Health Program(**)..... | - | 23,083,376 | 23,083,376 | - |
| Department of Labor: | | | | |
| Minimum and Prevailing Wage and Wage Claim Payment..... | (873,191) | 21,899,460 | 21,701,101 | (674,832) |
| Department of Law: | | | | |
| Civil Recoveries..... | 13,944,097 | 384,347,906 | 384,501,474 | 13,790,529 |
| Medicaid Fraud Control Escrow Fund..... | 29,404,506 | 496,015,160 | 496,454,572 | 28,965,094 |
| Restitution Fund..... | 152,495,882 | 140,058,591 | 168,250,271 | 124,304,202 |
| Division of State Police: | | | | |
| Seized Asset Fund..... | 5,400,364 | 858,237 | 1,575,715 | 4,682,886 |
| Department of Taxation and Finance: | | | | |
| DASNY NYC HHC Litigation Holding..... | 17,163,108 | 17,167,866 | 17,071,708 | 17,259,266 |
| Exchange..... | 37,652 | - | - | 37,652 |
| Linked Deposit Program..... | - | 15,674,786 | 15,674,786 | - |
| SUNY Construction Fund Payroll Deductions..... | 158,815 | 5,060,777 | 4,870,887 | 348,705 |
| Department of Transportation: | | | | |
| Contractors Bid Deposit Escrow..... | (29,899) | 6,350,282 | 6,216,411 | 103,972 |
| Employee Assistance Program..... | 160 | 500 | 350 | 310 |
| Division of Criminal Justice Services: | | | | |
| Revenue Collection - FBI Fingerprint Fees..... | 184,824 | 55,620,586 | 55,198,644 | 606,766 |

(*) At the time of publishing, some accounts remain unfilled.

(**) These accounts are administered by a fiscal agent on behalf of New York State.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

EXHIBIT C-4
(continued)

| <u>AGENCY FUNDS (continued)</u> | <u>CASH AND INVESTMENT BALANCES APRIL 1, 2016</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>CASH AND INVESTMENT BALANCES MARCH 31, 2017</u> |
|--|---|---------------------------|---------------------------|--|
| Higher Education Services Corporation: | | | | |
| Guaranteed Student Loans..... | 115,407,427 | 762,548,866 | 789,323,368 | 88,632,925 |
| NYS Gaming Commission: | | | | |
| Lottery Prize Payment..... | 1,515,214,659 | 1,094,788,691 | 1,175,242,027 | 1,434,761,323 |
| Office for People with Developmental Disabilities: | | | | |
| Patients' Account(*)..... | 28,669,673 | 124,665,746 | 122,155,323 | 31,180,096 |
| Office of Court Administration: | | | | |
| Judiciary Trust..... | 6,938,552 | 39,608,537 | 38,630,854 | 7,916,235 |
| Office of Employee Relations: | | | | |
| Flex Spending Account..... | 12,153,091 | 42,121,881 | 40,494,766 | 13,780,206 |
| Arbitrator Panel Administration..... | 6,230 | 2,400 | 6,400 | 2,230 |
| Office of Mental Health: | | | | |
| Patients' Account(*)..... | 8,995,367 | 26,865,779 | 26,166,003 | 9,695,143 |
| Employee Benefits and Escrow..... | 77,283 | 130,109 | 138,857 | 68,535 |
| Office of Parks, Recreation, and Historic Preservation: | | | | |
| Miscellaneous Agency Fund..... | 308,315 | 85,103 | 40,232 | 353,186 |
| Office of the State Comptroller: | | | | |
| Abandoned Property..... | 53,481,293 | 853,594,538 | 858,904,458 | 48,171,373 |
| City of Troy Debt Service Fund..... | 7,283,200 | 39,379,080 | 39,216,080 | 7,446,200 |
| City of Troy MAC..... | - | 28,103,702 | 28,103,702 | - |
| Comptroller's Refund..... | 127,354,831 | 2,497,709,930 | 2,499,456,145 | 125,608,616 |
| Exchange..... | 78,589 | 1,851,339 | 1,871,654 | 58,274 |
| Income Tax Holding - Localities Share..... | - | 11,281,882,336 | 11,272,360,914 | 9,521,422 |
| Income Tax Refund..... | 204,194,258 | 9,042,547,770 | 9,069,422,760 | 177,319,268 |
| Justice Court..... | 40,880,558 | 179,718,073 | 185,420,341 | 35,178,290 |
| New York City Debt Service Fund..... | 26,503,049 | 22,915,491,450 | 22,901,920,140 | 40,074,359 |
| Public Asset Fund..... | 8,973,027 | 47,755 | - | 9,020,782 |
| Sales Tax Holding - Localities Share..... | 1,367,804,009 | 15,185,856,426 | 15,134,264,427 | 1,419,396,008 |
| Transfer Tax Securities..... | - | - | - | - |
| Office of Temporary and Disability Assistance: | | | | |
| Exchange Account..... | 594 | 1,106,058 | 1,106,652 | - |
| Title IV-D Child Support..... | 7,831,679 | 78,967,490 | 71,582,992 | 15,216,177 |
| State University: | | | | |
| Miscellaneous Agency Fund..... | 834,065 | 1,645,588 | 1,456,743 | 1,022,910 |
| Workers' Compensation Board: | | | | |
| Group Self Insurers in Default Disbursement Account..... | - | 25,671,939 | 25,671,939 | - |
| Safe Depository..... | 3,332,569,506 | 154,097,429 | 149,211,582 | 3,337,455,353 |
| Self-Insured Fund..... | 1,168,294 | 239,942,610 | 241,078,825 | 32,079 |
| Total Agency Funds..... | 7,185,883,016 | 71,204,801,388 | 71,299,775,578 | 7,090,908,826 |
| TOTAL SOLE CUSTODY FUNDS and ACCOUNTS..... | \$ 31,662,581,119 | \$ 193,124,998,204 | \$ 190,581,783,698 | \$ 34,205,795,625 |

(*) At the time of publishing, some accounts remain unfiled.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF NET TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 1

| | GROSS RECEIPTS | REFUNDS | NET TAX RECEIPTS | |
|--|----------------------|---------------------|----------------------|----------------------|
| | | | 2016-17 | 2015-16 |
| PERSONAL INCOME TAX | \$ 55,666,633 | \$ 8,100,689 | \$ 47,565,944 | \$ 47,055,283 |
| CONSUMPTION/USE TAXES: | | | | |
| Sales and Use..... | 14,006,112 | 136,525 | 13,869,587 | 13,359,320 |
| Auto Rental..... | 127,004 | - | 127,004 | 126,076 |
| Cigarette and Tobacco Products..... | 1,244,029 | 8,799 | 1,235,230 | 1,250,612 |
| Medical Marihuana..... | 584 | - | 584 | 11 |
| Motor Fuel..... | 532,298 | 13,283 | 519,015 | 503,068 |
| Alcoholic Beverage..... | 257,702 | 24 | 257,678 | 254,548 |
| Highway Use..... | 140,138 | 1,442 | 138,696 | 158,562 |
| MCTD Taxicab Trip..... | 64,074 | 38 | 64,036 | 73,146 |
| Total Consumption/Use Taxes | 16,371,941 | 160,111 | 16,211,830 | 15,725,343 |
| BUSINESS TAXES: | | | | |
| Corporation Franchise..... | 4,543,463 | 1,377,879 | 3,165,584 | 4,527,319 |
| Corporation and Utility..... | 727,539 | 7,204 | 720,335 | 773,852 |
| Insurance..... | 1,612,190 | 32,545 | 1,579,645 | 1,580,071 |
| Bank..... | 410,519 | 20,859 | 389,660 | (121,350) |
| Petroleum Business..... | 1,150,012 | 26,327 | 1,123,685 | 1,123,851 |
| Lubricating Oil..... | - | - | - | - |
| Total Business Taxes | 8,443,723 | 1,464,814 | 6,978,909 | 7,883,743 |
| OTHER TAXES: | | | | |
| Real Property Gains..... | 63 | - | 63 | 10 |
| Estate and Gift..... | 1,170,588 | 79,699 | 1,090,889 | 1,520,792 |
| Pari-Mutuel..... | 15,755 | - | 15,755 | 17,182 |
| Real Estate Transfer..... | 1,126,700 | 331 | 1,126,369 | 1,163,060 |
| Racing and Exhibitions..... | 2,914 | - | 2,914 | 1,425 |
| MCTD Mobility Tax..... | 1,400,211 | 19,965 | 1,380,246 | 1,306,235 |
| Total Other Taxes | 3,716,231 | 99,995 | 3,616,236 | 4,008,704 |
| TOTAL TAX RECEIPTS | \$ 84,198,528 | \$ 9,825,609 | \$ 74,372,919 | \$ 74,673,073 |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING SOURCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)**

SCHEDULE 2

| | GENERAL | | SPECIAL REVENUE | | DEBT SERVICE | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|--------------------------|-----------------------|
| | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 |
| TAXES: | | | | | | | | | | |
| PERSONAL INCOME TAX: | | | | | | | | | | |
| Withholding..... | \$ 37,523,891 | \$ 36,549,038 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 37,523,891 | \$ 36,549,038 |
| Estimated Payments..... | 14,971,822 | 16,111,218 | - | - | - | - | - | - | 14,971,822 | 16,111,218 |
| Returns..... | 2,616,198 | 2,653,707 | - | - | - | - | - | - | 2,616,198 | 2,653,707 |
| State/City Offsets..... | (851,242) | (675,293) | - | - | - | - | - | - | (851,242) | (675,293) |
| Other (Assessments/LLC)..... | 1,405,964 | 1,286,154 | - | - | - | - | - | - | 1,405,964 | 1,286,154 |
| Gross Receipts..... | 55,666,633 | 55,924,824 | - | - | - | - | - | - | 55,666,633 | 55,924,824 |
| Transfers to School Tax Relief Fund..... | (3,139,051) | (3,334,700) | 3,139,051 | 3,334,700 | - | - | - | - | - | - |
| Transfers to Revenue Bond Tax Fund..... | (11,891,486) | (11,763,821) | - | - | 11,891,486 | 11,763,821 | - | - | - | - |
| Less: Refunds Issued..... | (8,100,689) | (8,869,541) | - | - | - | - | - | - | (8,100,689) | (8,869,541) |
| Total Personal Income Tax..... | 32,535,407 | 31,956,762 | 3,139,051 | 3,334,700 | 11,891,486 | 11,763,821 | - | - | 47,565,944 | 47,055,283 |
| CONSUMPTION/USE TAXES: | | | | | | | | | | |
| Sales and Use..... | 6,483,355 | 6,242,590 | 902,965 | 874,211 | 6,483,267 | 6,242,519 | - | - | 13,869,587 | 13,359,320 |
| Auto Rental..... | - | - | 48,985 | 47,012 | - | - | 78,019 | 79,064 | 127,004 | 126,076 |
| Cigarette and Tobacco Products..... | 359,667 | 322,233 | 875,563 | 928,379 | - | - | - | - | 1,235,230 | 1,250,612 |
| Medical Marihuana..... | - | - | 584 | 11 | - | - | - | - | 584 | 11 |
| Motor Fuel..... | - | - | 109,390 | 104,993 | - | - | 409,625 | 398,075 | 519,015 | 503,068 |
| Alcoholic Beverage..... | 257,678 | 254,548 | - | - | - | - | - | - | 257,678 | 254,548 |
| Highway Use..... | - | - | 2,250 | - | - | - | 136,446 | 158,562 | 138,696 | 158,562 |
| MCTD Taxicab Trip..... | - | - | 64,036 | 73,146 | - | - | - | - | 64,036 | 73,146 |
| Total Consumption/Use Taxes..... | 7,100,700 | 6,819,371 | 2,003,773 | 2,027,752 | 6,483,267 | 6,242,519 | 624,090 | 635,701 | 16,211,830 | 15,725,343 |
| BUSINESS TAXES: | | | | | | | | | | |
| Corporation Franchise..... | 2,475,773 | 3,762,975 | 689,811 | 764,344 | - | - | - | - | 3,165,584 | 4,527,319 |
| Corporation and Utility..... | 538,070 | 593,850 | 166,936 | 165,427 | - | - | 15,329 | 14,575 | 720,335 | 773,852 |
| Insurance..... | 1,410,013 | 1,419,437 | 169,632 | 160,634 | - | - | - | - | 1,579,645 | 1,580,071 |
| Bank..... | 337,607 | (128,954) | 52,053 | 7,604 | - | - | - | - | 389,660 | (121,350) |
| Petroleum Business..... | - | - | 499,512 | 498,947 | - | - | 624,173 | 624,904 | 1,123,685 | 1,123,851 |
| Lubricating Oil..... | - | - | - | - | - | - | - | - | - | - |
| Total Business Taxes..... | 4,761,463 | 5,647,308 | 1,577,944 | 1,596,956 | - | - | 639,502 | 639,479 | 6,978,909 | 7,883,743 |
| OTHER TAXES: | | | | | | | | | | |
| Real Property Gains..... | 63 | 10 | - | - | - | - | - | - | 63 | 10 |
| Estate and Gift..... | 1,090,889 | 1,520,792 | - | - | - | - | - | - | 1,090,889 | 1,520,792 |
| Pari-Mutuel..... | 15,755 | 17,182 | - | - | - | - | - | - | 15,755 | 17,182 |
| Real Estate Transfer..... | - | - | - | - | 1,007,269 | 1,043,960 | 119,100 | 119,100 | 1,126,369 | 1,163,060 |
| Racing and Exhibitions..... | 2,914 | 1,425 | - | - | - | - | - | - | 2,914 | 1,425 |
| MCTD Mobility Tax..... | - | - | 1,380,246 | 1,306,235 | - | - | - | - | 1,380,246 | 1,306,235 |
| Total Other Taxes..... | 1,109,621 | 1,539,409 | 1,380,246 | 1,306,235 | 1,007,269 | 1,043,960 | 119,100 | 119,100 | 3,616,236 | 4,008,704 |
| TOTAL TAX RECEIPTS..... | 45,507,191 | 45,962,850 | 8,101,014 | 8,265,643 | 19,382,022 | 19,050,300 | 1,382,692 | 1,394,280 | 74,372,919 | 74,673,073 |
| MISCELLANEOUS RECEIPTS (1)..... | 3,812,509 | 5,842,208 | 17,685,458 | 17,117,055 | 457,594 | 486,548 | 4,636,612 | 3,822,525 | 26,592,173 | 27,268,336 |
| FEDERAL RECEIPTS..... | 372 | 229 | 52,724,858 | 49,104,679 | 73,405 | 73,247 | 2,608,397 | 2,145,374 | 55,407,032 | 51,323,529 |
| OTHER FINANCING SOURCES: | | | | | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - | - | - | - | - |
| Transfers from Other Funds..... | 17,575,087 | 17,870,827 | 7,732,883 | 8,668,925 | 3,608,856 | 4,006,566 | 2,751,485 | 2,895,606 | 31,668,311 | 33,441,924 |
| Total Other Financing Sources..... | 17,575,087 | 17,870,827 | 7,732,883 | 8,668,925 | 3,608,856 | 4,006,566 | 2,751,485 | 2,895,606 | 31,668,311 | 33,441,924 |
| TOTAL..... | \$ 66,895,159 | \$ 69,676,114 | \$ 86,244,213 | \$ 83,156,302 | \$ 23,521,877 | \$ 23,616,661 | \$ 11,379,186 | \$ 10,257,785 | \$ 188,040,435 | \$ 186,706,862 |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 2
(continued)

(1) Miscellaneous Receipts in Governmental Funds include:

| | GENERAL | | SPECIAL REVENUE | | DEBT SERVICE | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | |
|--|------------------|------------------|------------------|------------------|----------------|----------------|------------------|----------------|--------------------------|------------------|
| | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 |
| Abandoned Property: | | | | | | | | | | |
| Abandoned Property..... | \$ 437,505 | \$ 527,477 | \$ 12,527 | \$ 11,389 | \$ - | \$ - | \$ - | \$ - | \$ 450,032 | \$ 538,866 |
| Bottle Bill..... | 89,661 | 79,695 | - | - | - | - | 23,000 | 23,000 | 112,661 | 102,695 |
| Total Abandoned Property..... | 527,166 | 607,172 | 12,527 | 11,389 | - | - | 23,000 | 23,000 | 562,693 | 641,561 |
| Assessments: | | | | | | | | | | |
| Business..... | 249,998 | 250,000 | 605,255 | 780,333 | - | - | 109,246 | 100,045 | 964,499 | 1,130,378 |
| Medical Care..... | 55,092 | 85,977 | 5,684,480 | 5,240,677 | - | - | - | - | 5,739,572 | 5,326,654 |
| Public Utilities..... | 123,276 | 206,427 | 87,557 | 63,747 | - | - | - | - | 210,833 | 270,174 |
| All Other..... | 657 | 717 | 164 | 220,057 | - | - | - | - | 821 | 220,774 |
| Total Assessments..... | 429,023 | 543,121 | 6,377,456 | 6,304,814 | - | - | 109,246 | 100,045 | 6,915,725 | 6,947,980 |
| Fees, Licenses and Permits: | | | | | | | | | | |
| Alcohol Beverage Control Licensing..... | 60,538 | 65,911 | - | - | - | - | - | - | 60,538 | 65,911 |
| Audit..... | - | - | 2,147 | 2,105 | - | - | - | - | 2,147 | 2,105 |
| Business/Professional..... | 211,898 | 186,224 | 684,522 | 1,279,925 | 5 | 7 | 36,762 | 33,256 | 933,187 | 1,499,412 |
| Civil..... | 214,895 | 222,050 | 57,584 | 54,284 | - | - | - | - | 272,479 | 276,334 |
| Criminal..... | 745 | 553 | 9,031 | 9,629 | - | - | - | - | 9,776 | 10,182 |
| Motor Vehicle..... | 173,847 | 193,543 | 483,897 | 481,866 | - | - | 698,245 | 681,811 | 1,355,989 | 1,357,220 |
| Recreational/Consumer..... | 15,092 | 14,580 | 713,660 | 233,537 | - | - | 37,316 | 38,144 | 766,068 | 286,261 |
| Total Fees, Licenses and Permits..... | 677,015 | 682,861 | 1,950,841 | 2,061,346 | 5 | 7 | 772,323 | 753,211 | 3,400,184 | 3,497,425 |
| Fines, Penalties and Forfeitures..... | 1,413,480 | 2,374,866 | 148,239 | 480,618 | - | - | 31,244 | 22,204 | 1,592,963 | 2,877,688 |
| Gaming: | | | | | | | | | | |
| Casino..... | - | - | 228,381 | 232,701 | - | - | - | - | 228,381 | 232,701 |
| Lottery..... | - | - | 2,421,637 | 2,504,086 | - | - | - | - | 2,421,637 | 2,504,086 |
| Video Lottery..... | - | - | 956,364 | 967,139 | - | - | - | - | 956,364 | 967,139 |
| Total Gaming..... | - | - | 3,606,382 | 3,703,926 | - | - | - | - | 3,606,382 | 3,703,926 |
| Interest Earnings..... | 23,805 | 13,330 | 55,731 | 32,928 | 710 | 254 | 2,581 | 981 | 82,827 | 47,493 |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 2
(continued)

| | GENERAL | | SPECIAL REVENUE | | DEBT SERVICE | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | |
|---|---------------------|---------------------|----------------------|----------------------|-------------------|-------------------|---------------------|---------------------|--------------------------|----------------------|
| | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 | MARCH 31, 2017 | MARCH 31, 2016 |
| Receipts from Public Authorities: | | | | | | | | | | |
| Bond Proceeds..... | - | - | - | 22,000 | - | - | 3,618,944 | 2,879,095 | 3,618,944 | 2,901,095 |
| Cost Recovery Assessments..... | 18,082 | 17,570 | 20,400 | 20,400 | - | - | - | - | 38,482 | 37,970 |
| Issuance Fees..... | 94,547 | 83,941 | 7,200 | 7,200 | - | - | - | - | 101,747 | 91,141 |
| Non Bond Related..... | 45,170 | 49,219 | 50,267 | 13,067 | - | - | 10,599 | 5,397 | 106,036 | 67,683 |
| Total Receipts from Public Authorities.. | 157,799 | 150,730 | 77,867 | 62,667 | - | - | 3,629,543 | 2,884,492 | 3,865,209 | 3,097,889 |
| Receipts from Municipalities..... | 183,428 | 48 | 176,037 | 139,850 | 5,431 | 5,490 | 2,114 | 1,987 | 367,010 | 147,375 |
| Rentals..... | 3,875 | 5,277 | 436,704 | 331,742 | - | - | 8,805 | 7,832 | 449,384 | 344,851 |
| Revenues of State Departments: | | | | | | | | | | |
| Administrative Recoveries..... | 85,069 | 78,744 | 145,656 | 123,595 | - | - | 2 | 12 | 230,727 | 202,351 |
| Commissions..... | 261 | 2 | 6,071 | 14,585 | 9 | 1 | - | 5 | 6,341 | 14,593 |
| Gifts, Grants and Donations..... | 15 | 109 | 13,249 | 4,451 | - | - | 27,283 | 4,156 | 40,547 | 8,716 |
| Indirect Cost Recoveries..... | 122,299 | 118,826 | 6,715 | 4,884 | - | - | - | - | 129,014 | 123,710 |
| Patient/Client Care Reimbursement..... | - | - | 1,937,280 | 1,114,629 | 451,280 | 480,658 | - | - | 2,388,560 | 1,595,287 |
| Rebates..... | 3,827 | 6,624 | 153,764 | 146,418 | - | - | 401 | 169 | 157,992 | 153,211 |
| Restitution and Settlements..... | 157,769 | 1,229,340 | 83,738 | 69,249 | - | - | 8,907 | 3,584 | 250,414 | 1,302,173 |
| Student Loans..... | 38 | 50 | 120,904 | 105,778 | - | - | - | - | 120,942 | 105,828 |
| All Other..... | 27,421 | 27,464 | 483,867 | 52,031 | 51 | - | 18,686 | 19,630 | 530,025 | 99,125 |
| Total Revenues of State Departments.. | 396,699 | 1,461,159 | 2,951,244 | 1,635,620 | 451,340 | 480,659 | 55,279 | 27,556 | 3,854,562 | 3,604,994 |
| Sales..... | 219 | 3,644 | 23,892 | 17,797 | 108 | 138 | 2,477 | 1,217 | 26,696 | 22,796 |
| Tuition..... | - | - | 1,868,538 | 2,334,358 | - | - | - | - | 1,868,538 | 2,334,358 |
| TOTAL MISCELLANEOUS RECEIPTS..... | \$ 3,812,509 | \$ 5,842,208 | \$ 17,685,458 | \$ 17,117,055 | \$ 457,594 | \$ 486,548 | \$ 4,636,612 | \$ 3,822,525 | \$ 26,592,173 | \$ 27,268,336 |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF TAX RECEIPTS
FISCAL YEARS 2007-08 THRU 2016-17
(Amounts in thousands)**

SCHEDULE 3

| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| PERSONAL INCOME TAX: | | | | | | | | | | |
| Withholding..... | \$ 28,440,136 | \$ 27,686,159 | \$ 29,443,180 | \$ 31,240,169 | \$ 31,198,971 | \$ 31,957,653 | \$ 33,367,555 | \$ 34,906,793 | \$ 36,549,038 | \$ 37,523,891 |
| Estimated..... | 11,640,484 | 12,689,974 | 9,028,060 | 9,735,271 | 11,628,433 | 12,192,942 | 14,637,177 | 13,743,147 | 16,111,218 | 14,971,822 |
| Returns..... | 2,222,407 | 2,718,295 | 1,877,109 | 2,022,248 | 2,174,476 | 2,192,787 | 2,415,648 | 2,260,045 | 2,653,707 | 2,616,198 |
| State/City Offsets..... | (479,235) | (474,835) | 61,952 | (100,179) | (365,944) | (309,012) | (615,003) | (590,752) | (675,293) | (851,242) |
| Other (Assessments/LLC)..... | 867,528 | 916,677 | 1,045,288 | 1,004,746 | 1,028,586 | 1,099,268 | 1,154,668 | 1,338,284 | 1,286,154 | 1,405,964 |
| Gross Total..... | 42,691,320 | 43,536,270 | 41,455,589 | 43,902,255 | 45,664,522 | 47,133,638 | 50,960,045 | 51,657,517 | 55,924,824 | 55,666,633 |
| Less: Refunds Issued..... | (6,127,371) | (6,696,250) | (6,704,208) | (7,693,040) | (6,896,695) | (6,906,923) | (7,999,270) | (7,947,684) | (8,869,541) | (8,100,689) |
| Net Personal Income Tax..... | 36,563,949 | 36,840,020 | 34,751,381 | 36,209,215 | 38,767,827 | 40,226,715 | 42,960,775 | 43,709,833 | 47,055,283 | 47,565,944 |
| CONSUMPTION/USE TAXES: | | | | | | | | | | |
| Sales and Use | 11,295,888 | 10,985,324 | 10,527,492 | 11,537,852 | 11,874,582 | 11,989,290 | 12,587,660 | 12,991,656 | 13,359,320 | 13,869,587 |
| Auto Rental | 46,973 | 60,702 | 76,109 | 95,027 | 104,017 | 109,050 | 113,979 | 119,093 | 126,076 | 127,004 |
| Hotel/Motel | - | - | - | 12 | - | - | - | - | - | - |
| Cigarette and Tobacco Products | 976,208 | 1,340,389 | 1,365,934 | 1,615,691 | 1,633,524 | 1,550,599 | 1,453,351 | 1,313,758 | 1,250,612 | 1,235,230 |
| Medical Marihuana | - | - | - | - | - | - | - | - | 11 | 584 |
| Motor Fuel | 524,934 | 503,937 | 506,910 | 516,145 | 501,610 | 492,464 | 473,156 | 486,955 | 503,068 | 519,015 |
| Alcoholic Beverage | 204,820 | 205,900 | 225,560 | 229,698 | 238,263 | 246,217 | 250,306 | 250,859 | 254,548 | 257,678 |
| Beverage Container | 32 | - | - | - | - | - | - | - | - | - |
| Highway Use | 147,956 | 140,907 | 137,247 | 129,162 | 132,129 | 145,008 | 136,223 | 140,400 | 158,562 | 138,696 |
| MCTD Taxicab Trip..... | - | - | 12,835 | 81,142 | 86,849 | 82,945 | 85,190 | 82,263 | 73,146 | 64,036 |
| Total Consumption/Use Taxes..... | 13,196,811 | 13,237,159 | 12,852,087 | 14,204,729 | 14,570,974 | 14,615,573 | 15,099,865 | 15,384,984 | 15,725,343 | 16,211,830 |
| BUSINESS TAXES: | | | | | | | | | | |
| Corporation Franchise | 3,997,344 | 3,220,248 | 2,510,832 | 2,845,857 | 3,176,226 | 3,008,746 | 3,811,628 | 3,547,992 | 4,527,319 | 3,165,584 |
| Corporation and Utility | 801,406 | 863,351 | 953,670 | 813,646 | 796,540 | 894,491 | 797,293 | 727,294 | 773,852 | 720,335 |
| Insurance | 1,219,088 | 1,181,067 | 1,490,767 | 1,350,932 | 1,413,093 | 1,508,600 | 1,444,398 | 1,532,832 | 1,580,071 | 1,579,645 |
| Bank | 1,057,538 | 1,233,215 | 1,399,278 | 1,178,239 | 1,391,665 | 1,911,860 | 1,050,058 | 1,536,194 | (121,350) | 389,660 |
| Petroleum Business | 1,155,330 | 1,106,561 | 1,103,542 | 1,090,439 | 1,100,354 | 1,139,721 | 1,154,510 | 1,158,332 | 1,123,851 | 1,123,685 |
| Lubricating Oil | 1 | 1 | 3 | 2 | - | 2 | 1 | 1 | - | - |
| Total Business Taxes..... | 8,230,707 | 7,604,443 | 7,458,092 | 7,279,115 | 7,877,878 | 8,463,420 | 8,257,888 | 8,502,645 | 7,883,743 | 6,978,909 |
| OTHER TAXES: | | | | | | | | | | |
| Real Property Gains | 567 | 86 | (513) | (3) | - | 209 | (159) | 39 | 10 | 63 |
| Estate and Gift | 1,037,532 | 1,165,247 | 866,377 | 1,219,248 | 1,078,531 | 1,014,863 | 1,238,321 | 1,108,530 | 1,520,792 | 1,090,889 |
| Pari-Mutuel | 23,580 | 22,301 | 18,818 | 17,039 | 17,197 | 17,416 | 16,821 | 18,038 | 17,182 | 15,755 |
| Real Estate Transfer | 1,020,669 | 701,164 | 493,050 | 580,101 | 610,048 | 756,355 | 911,352 | 1,037,880 | 1,163,060 | 1,126,369 |
| Racing and Exhibitions | 951 | 773 | 691 | 713 | 768 | 1,029 | 995 | 1,128 | 1,425 | 2,914 |
| MCTD Mobility Tax..... | - | - | 1,227,721 | 1,359,465 | 1,375,651 | 1,204,572 | 1,204,147 | 1,271,303 | 1,306,235 | 1,380,246 |
| Total Other Taxes..... | 2,083,299 | 1,889,571 | 2,606,144 | 3,176,563 | 3,082,195 | 2,994,444 | 3,371,477 | 3,436,918 | 4,008,704 | 3,616,236 |
| TOTAL TAX RECEIPTS..... | \$ 60,074,766 | \$ 59,571,193 | \$ 57,667,704 | \$ 60,869,622 | \$ 64,298,874 | \$ 66,300,152 | \$ 69,690,005 | \$ 71,034,380 | \$ 74,673,073 | \$ 74,372,919 |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINING SCHEDULE OF TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 4

| | GENERAL | SPECIAL REVENUE | | | | | | |
|--|---|---|---|---|--|--|--|--|
| | STATE OPERATIONS ACCOUNT (10050-10099) | DEDICATED MASS TRANSPORTATION TRUST (20850-20899) | HEALTH CARE REFORM ACT RESOURCES (20800-20849) | HIGHWAY USE TAX ADMIN. (23801) | MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449) | MEDICAL MARIHUANA TRUST (23750-23799) | MTA FINANCIAL ASSISTANCE (23650-23699) | SCHOOL TAX RELIEF (20550-20599) |
| PERSONAL INCOME TAX | \$ 32,535,407 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 3,139,051 |
| CONSUMPTION/USE TAXES: | | | | | | | | |
| Sales and Use..... | 6,483,355 | - | - | - | 902,965 | - | - | - |
| Auto Rental..... | - | - | - | - | - | - | 48,985 | - |
| Cigarette and Tobacco Products..... | 359,667 | - | 875,563 | - | - | - | - | - |
| Medical Marihuana..... | - | - | - | - | - | 584 | - | - |
| Motor Fuel..... | - | 109,390 | - | - | - | - | - | - |
| Alcoholic Beverage..... | 257,678 | - | - | - | - | - | - | - |
| Highway Use..... | - | - | - | 2,250 | - | - | - | - |
| MCTD Taxicab Trip..... | - | - | - | - | - | - | 64,036 | - |
| Total Consumption/Use Taxes | 7,100,700 | 109,390 | 875,563 | 2,250 | 902,965 | 584 | 113,021 | - |
| BUSINESS TAXES: | | | | | | | | |
| Corporation Franchise..... | 2,475,773 | - | - | - | 689,811 | - | - | - |
| Corporation and Utility..... | 538,070 | - | - | - | 166,936 | - | - | - |
| Insurance..... | 1,410,013 | - | - | - | 169,632 | - | - | - |
| Bank..... | 337,607 | - | - | - | 52,053 | - | - | - |
| Petroleum Business..... | - | 366,578 | - | - | 132,934 | - | - | - |
| Lubricating Oil..... | - | - | - | - | - | - | - | - |
| Total Business Taxes | 4,761,463 | 366,578 | - | - | 1,211,366 | - | - | - |
| OTHER TAXES: | | | | | | | | |
| Real Property Gains..... | 63 | - | - | - | - | - | - | - |
| Estate and Gift..... | 1,090,889 | - | - | - | - | - | - | - |
| Pari-Mutuel..... | 15,755 | - | - | - | - | - | - | - |
| Real Estate Transfer..... | - | - | - | - | - | - | - | - |
| Racing and Exhibitions..... | 2,914 | - | - | - | - | - | - | - |
| MCTD Mobility Tax..... | - | - | - | - | - | - | 1,380,246 | - |
| Total Other Taxes | 1,109,621 | - | - | - | - | - | 1,380,246 | - |
| TOTAL TAX RECEIPTS | \$ 45,507,191 | \$ 475,968 | \$ 875,563 | \$ 2,250 | \$ 2,114,331 | \$ 584 | \$ 1,493,267 | \$ 3,139,051 |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINING SCHEDULE OF TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 4
(continued)

| | DEBT SERVICE | | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | |
|---|---|---|---|--|--|--------------------------|----------------------|
| | CLEAN WATER / CLEAN AIR (40400-40449) | GENERAL DEBT SERVICE (40150-40199) | LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499) | DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099) | ENVIRONMENTAL PROTECTION (30450-30499) | 2016-17 | 2015-16 |
| | | | | | | | |
| PERSONAL INCOME TAX..... | \$ - | \$ 11,891,486 | \$ - | \$ - | \$ - | \$ 47,565,944 | \$ 47,055,283 |
| CONSUMPTION/USE TAXES: | | | | | | | |
| Sales and Use..... | - | 3,241,634 | 3,241,633 | - | - | 13,869,587 | 13,359,320 |
| Auto Rental..... | - | - | - | 78,019 | - | 127,004 | 126,076 |
| Cigarette and Tobacco Products..... | - | - | - | - | - | 1,235,230 | 1,250,612 |
| Medical Marihuana..... | - | - | - | - | - | 584 | 11 |
| Motor Fuel..... | - | - | - | 409,625 | - | 519,015 | 503,068 |
| Alcoholic Beverage..... | - | - | - | - | - | 257,678 | 254,548 |
| Highway Use..... | - | - | - | 136,446 | - | 138,696 | 158,562 |
| MCTD Taxicab Trip..... | - | - | - | - | - | 64,036 | 73,146 |
| Total Consumption/Use Taxes..... | - | 3,241,634 | 3,241,633 | 624,090 | - | 16,211,830 | 15,725,343 |
| BUSINESS TAXES: | | | | | | | |
| Corporation Franchise..... | - | - | - | - | - | 3,165,584 | 4,527,319 |
| Corporation and Utility..... | - | - | - | 15,329 | - | 720,335 | 773,852 |
| Insurance..... | - | - | - | - | - | 1,579,645 | 1,580,071 |
| Bank..... | - | - | - | - | - | 389,660 | (121,350) |
| Petroleum Business..... | - | - | - | 624,173 | - | 1,123,685 | 1,123,851 |
| Lubricating Oil..... | - | - | - | - | - | - | - |
| Total Business Taxes..... | - | - | - | 639,502 | - | 6,978,909 | 7,883,743 |
| OTHER TAXES: | | | | | | | |
| Real Property Gains..... | - | - | - | - | - | 63 | 10 |
| Estate and Gift..... | - | - | - | - | - | 1,090,889 | 1,520,792 |
| Pari-Mutuel..... | - | - | - | - | - | 15,755 | 17,182 |
| Real Estate Transfer..... | 1,007,269 | - | - | - | 119,100 | 1,126,369 | 1,163,060 |
| Racing and Exhibitions..... | - | - | - | - | - | 2,914 | 1,425 |
| MCTD Mobility Tax..... | - | - | - | - | - | 1,380,246 | 1,306,235 |
| Total Other Taxes..... | 1,007,269 | - | - | - | 119,100 | 3,616,236 | 4,008,704 |
| TOTAL TAX RECEIPTS..... | \$ 1,007,269 | \$ 15,133,120 | \$ 3,241,633 | \$ 1,263,592 | \$ 119,100 | \$ 74,372,919 | \$ 74,673,073 |

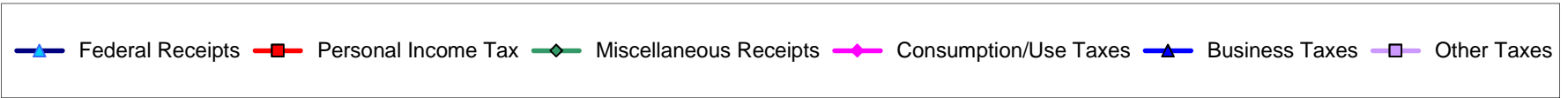
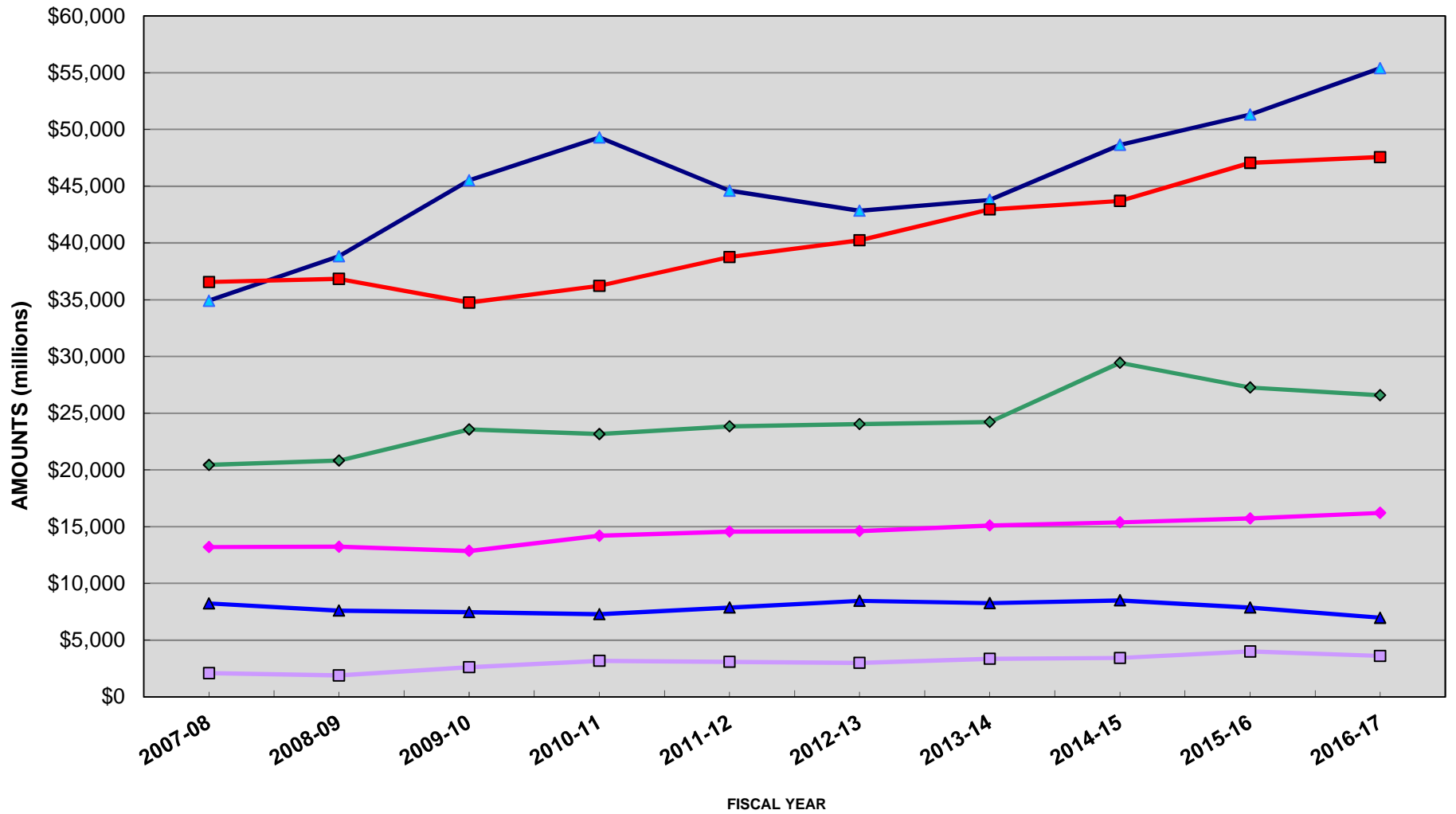
**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATE TAX RECEIPTS PER CAPITA (*)
FISCAL YEARS 2007-08 THRU 2016-17**

SCHEDULE 5

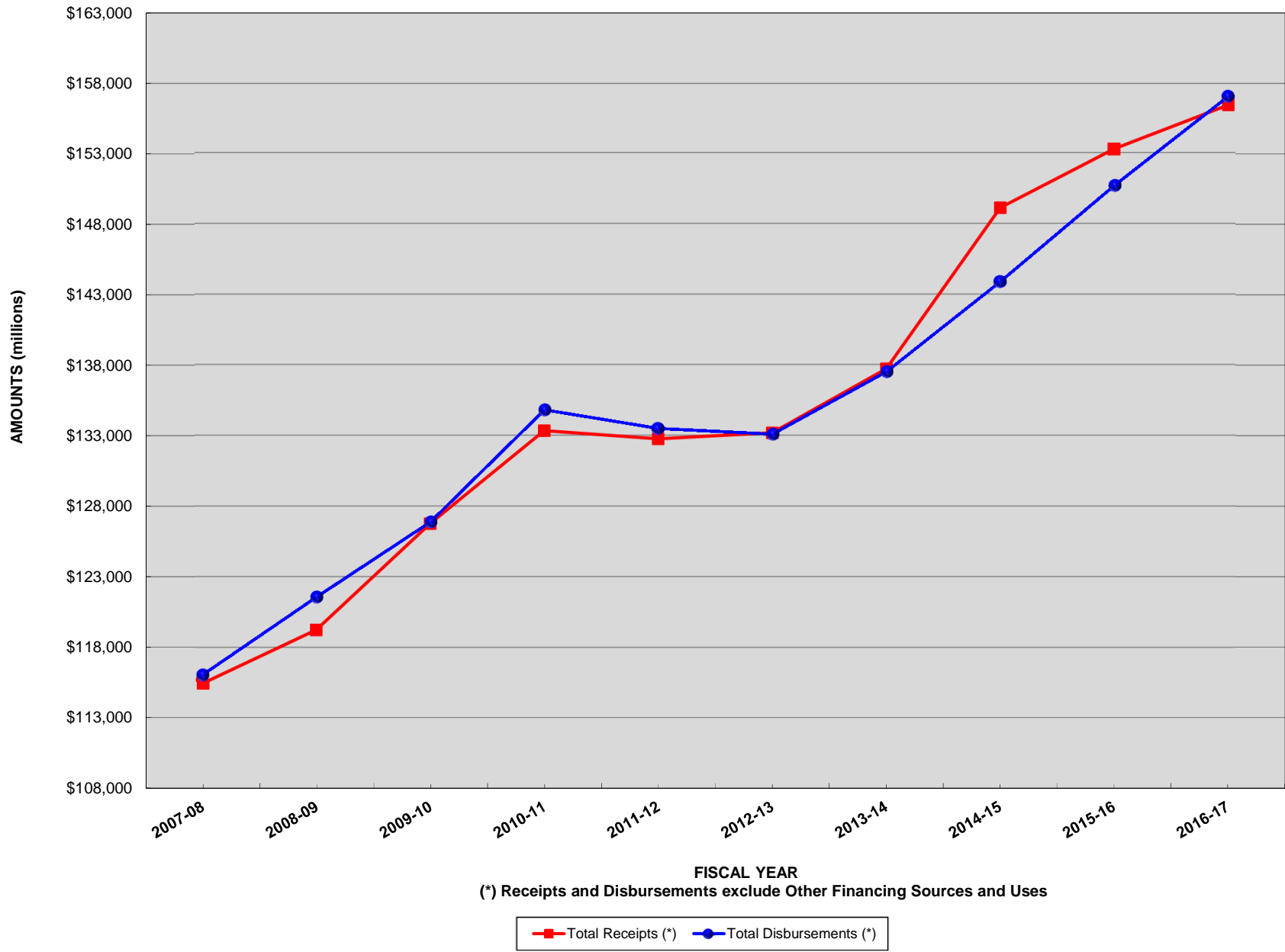
| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| PERSONAL INCOME TAX | | | | | | | | | | |
| Millions of Dollars..... | \$ 36,564 | \$ 36,840 | \$ 34,751 | \$ 36,209 | \$ 38,768 | \$ 40,227 | \$ 42,961 | \$ 43,710 | \$ 47,055 | \$ 47,566 |
| Percentage of Total Taxes..... | 60.86% | 61.84% | 60.26% | 59.48% | 60.30% | 60.67% | 61.64% | 61.53% | 63.01% | 63.96% |
| Change from Prior Year..... | 5.74% | 0.75% | -5.67% | 4.20% | 7.07% | 3.76% | 6.80% | 1.70% | 7.70% | 1.10% |
| Per Capita..... | 1,885 | 1,889 | 1,782 | 1,866 | 1,988 | 2,052 | 2,181 | 2,219 | 2,377 | 2,415 |
| CONSUMPTION/USE TAXES | | | | | | | | | | |
| Millions of Dollars..... | 13,197 | 13,237 | 12,852 | 14,205 | 14,571 | 14,616 | 15,100 | 15,385 | 15,725 | 16,212 |
| Percentage of Total Taxes..... | 21.97% | 22.22% | 22.29% | 23.34% | 22.66% | 22.04% | 21.67% | 21.66% | 21.06% | 21.80% |
| Change from Prior Year..... | 4.50% | 0.30% | -2.91% | 10.53% | 2.58% | 0.31% | 3.31% | 1.89% | 2.21% | 3.10% |
| Per Capita..... | 680 | 679 | 659 | 732 | 747 | 746 | 766 | 781 | 794 | 823 |
| BUSINESS TAXES | | | | | | | | | | |
| Millions of Dollars..... | 8,231 | 7,604 | 7,458 | 7,279 | 7,878 | 8,463 | 8,258 | 8,502 | 7,884 | 6,979 |
| Percentage of Total Taxes..... | 13.70% | 12.76% | 12.93% | 11.96% | 12.25% | 12.77% | 11.85% | 11.97% | 10.56% | 9.38% |
| Change from Prior Year..... | -4.36% | -7.62% | -1.92% | -2.40% | 8.23% | 7.43% | -2.42% | 2.95% | -7.27% | -11.48% |
| Per Capita..... | 424 | 390 | 382 | 375 | 404 | 432 | 419 | 432 | 398 | 354 |
| OTHER TAXES | | | | | | | | | | |
| Millions of Dollars..... | 2,083 | 1,890 | 2,606 | 3,177 | 3,082 | 2,994 | 3,371 | 3,437 | 4,009 | 3,616 |
| Percentage of Total Taxes..... | 3.47% | 3.18% | 4.52% | 5.22% | 4.79% | 4.52% | 4.84% | 4.84% | 5.37% | 4.86% |
| Change from Prior Year..... | -0.67% | -9.27% | 37.88% | 21.91% | -2.99% | -2.86% | 12.59% | 1.96% | 16.64% | -9.80% |
| Per Capita..... | 107 | 97 | 134 | 164 | 158 | 153 | 171 | 174 | 202 | 184 |
| TOTAL TAXES | | | | | | | | | | |
| Millions of Dollars..... | \$ 60,075 | \$ 59,571 | \$ 57,667 | \$ 60,870 | \$ 64,299 | \$ 66,300 | \$ 69,690 | \$ 71,034 | \$ 74,673 | \$ 74,373 |
| Percentage of Total Taxes..... | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% |
| Change from Prior Year..... | 3.73% | -0.84% | -3.20% | 5.55% | 5.63% | 3.11% | 5.11% | 1.93% | 5.12% | -0.40% |
| Per Capita..... | \$ 3,097 | \$ 3,055 | \$ 2,957 | \$ 3,137 | \$ 3,297 | \$ 3,383 | \$ 3,537 | \$ 3,606 | \$ 3,771 | \$ 3,776 |

(*) Population estimates are based on current information published by the U.S. Census Bureau.

GOVERNMENTAL FUNDS - RECEIPTS TEN YEAR TREND ANALYSIS



**GOVERNMENTAL FUNDS - RECEIPTS TO DISBURSEMENTS
TEN YEAR TREND ANALYSIS**



**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF RECEIPTS, DISBURSEMENTS
AND OTHER FINANCING SOURCES (USES)**

SCHEDULE 6

FISCAL YEARS 2007-08 THRU 2016-17

(Amounts in thousands)

| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|----------------------|
| RECEIPTS: | | | | | | | | | | |
| Personal Income Tax..... | \$ 36,563,949 | \$ 36,840,020 | \$ 34,751,381 | \$ 36,209,215 | \$ 38,767,827 | \$ 40,226,715 | \$ 42,960,775 | \$ 43,709,833 | \$ 47,055,283 | \$ 47,565,944 |
| Consumption/Use Taxes..... | 13,196,811 | 13,237,159 | 12,852,087 | 14,204,729 | 14,570,974 | 14,615,573 | 15,099,865 | 15,384,984 | 15,725,343 | 16,211,830 |
| Business Taxes..... | 8,230,707 | 7,604,443 | 7,458,092 | 7,279,115 | 7,877,878 | 8,463,420 | 8,257,888 | 8,502,645 | 7,883,743 | 6,978,909 |
| Other Taxes..... | 2,083,299 | 1,889,571 | 2,606,144 | 3,176,563 | 3,082,195 | 2,994,444 | 3,371,477 | 3,436,918 | 4,008,704 | 3,616,236 |
| Miscellaneous Receipts..... | 20,438,905 | 20,830,802 | 23,556,702 | 23,148,031 | 23,836,115 | 24,030,850 | 24,233,835 | 29,437,525 | 27,268,336 | 26,592,173 |
| Federal Receipts..... | 34,909,118 | 38,833,165 | 45,523,753 | 49,303,727 | 44,609,856 | 42,842,927 | 43,789,256 | 48,636,693 | 51,323,529 | 55,407,032 |
| Total Receipts..... | 115,422,789 | 119,235,160 | 126,748,159 | 133,321,380 | 132,744,845 | 133,173,929 | 137,713,096 | 149,108,598 | 153,264,938 | 156,372,124 |
| DISBURSEMENTS: | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | |
| Education..... | - | - | - | - | - | 31,275,884 | 32,273,478 | 33,347,534 | 35,349,565 | 36,143,713 |
| Environment and Recreation..... | - | - | - | - | - | 452,989 | 455,295 | 315,309 | 321,479 | 323,656 |
| General Government..... | - | - | - | - | - | 1,190,751 | 1,369,339 | 1,358,364 | 1,573,960 | 1,706,128 |
| Public Health: | | | | | | | | | | |
| Medicaid..... | - | - | - | - | - | 41,585,429 | 42,125,677 | 47,642,930 | 49,664,291 | 52,441,101 |
| Other Public Health..... | - | - | - | - | - | 5,812,015 | 5,788,874 | 5,154,862 | 6,873,429 | 9,059,103 |
| Public Safety..... | - | - | - | - | - | 1,802,631 | 2,123,277 | 2,718,169 | 2,229,061 | 1,715,641 |
| Public Welfare..... | - | - | - | - | - | 7,836,605 | 8,132,748 | 7,597,686 | 7,806,779 | 7,259,655 |
| Support and Regulate Business..... | - | - | - | - | - | 691,811 | 802,690 | 657,668 | 796,912 | 1,087,080 |
| Transportation..... | - | - | - | - | - | 5,052,837 | 5,497,814 | 5,931,129 | 5,698,151 | 6,600,666 |
| General Purpose..... | 935,006 | 1,219,661 | 1,252,195 | 1,036,641 | 956,091 | - | - | - | - | - |
| Education..... | 29,792,268 | 31,200,821 | 30,295,799 | 35,055,672 | 31,872,529 | - | - | - | - | - |
| Medicaid..... | 32,539,606 | 34,015,519 | 38,441,781 | 40,495,297 | 41,127,169 | - | - | - | - | - |
| Other Social Services..... | 6,820,511 | 7,287,230 | 7,637,529 | 7,550,948 | 7,614,837 | - | - | - | - | - |
| Health and Environment..... | 4,717,890 | 4,735,510 | 4,759,646 | 4,469,502 | 4,900,692 | - | - | - | - | - |
| Mental Hygiene..... | 1,879,826 | 1,990,080 | 1,900,194 | 2,003,238 | 1,982,597 | - | - | - | - | - |
| Transportation..... | 3,305,975 | 3,639,345 | 4,468,169 | 5,130,684 | 4,974,616 | - | - | - | - | - |
| Criminal Justice, Emergency Management & Security Services and Miscellaneous..... | 3,212,923 | 3,178,608 | 2,783,895 | 2,891,467 | 3,059,315 | - | - | - | - | - |
| Total Local Assistance Grants..... | 83,204,005 | 87,266,774 | 91,539,208 | 98,633,449 | 96,487,846 | 95,700,952 | 98,569,192 | 104,723,651 | 110,313,627 | 116,336,743 |
| Departmental Operations: | | | | | | | | | | |
| Personal Service..... | 11,853,962 | 12,609,008 | 13,404,977 | 13,104,777 | 12,673,297 | 13,011,558 | 12,957,859 | 13,162,852 | 13,598,151 | 13,723,534 |
| Non-Personal Service..... | 6,360,433 | 6,357,831 | 6,025,211 | 5,979,320 | 6,347,746 | 6,170,765 | 6,803,869 | 6,977,664 | 6,974,097 | 6,958,850 |
| General State Charges..... | 5,475,911 | 5,325,321 | 5,733,604 | 6,361,086 | 6,854,416 | 6,675,439 | 7,279,909 | 7,337,109 | 7,739,187 | 7,926,960 |
| Debt Service, Including Payments on Financing Agreements..... | 4,104,000 | 4,529,667 | 4,961,470 | 5,614,669 | 5,864,022 | 6,137,929 | 6,399,696 | 6,182,817 | 5,598,485 | 5,513,783 |
| Capital Projects..... | 5,060,148 | 5,483,202 | 5,212,997 | 5,131,630 | 5,276,540 | 5,400,190 | 5,515,961 | 5,506,585 | 6,484,930 | 6,555,395 |
| Total Disbursements..... | 116,058,459 | 121,571,803 | 126,877,467 | 134,824,931 | 133,503,867 | 133,096,833 | 137,526,486 | 143,890,678 | 150,708,477 | 157,015,265 |
| Excess (Deficiency) of Receipts over Disbursements..... | (635,670) | (2,336,643) | (129,308) | (1,503,551) | (759,022) | 77,096 | 186,610 | 5,217,920 | 2,556,461 | (643,141) |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | |
| Bond and Note Proceeds, net..... | 269,234 | 456,513 | 448,267 | 525,154 | 352,069 | 433,631 | - | 161,343 | - | - |
| Transfers from Other Funds..... | 21,878,260 | 26,313,008 | 26,200,947 | 27,605,704 | 26,540,430 | 26,902,629 | 30,592,764 | 29,807,742 | 33,441,924 | 31,668,311 |
| Transfers to Other Funds..... | (21,878,933) | (26,333,089) | (26,245,557) | (27,675,129) | (26,585,488) | (26,897,343) | (30,621,199) | (29,866,485) | (33,543,814) | (31,730,590) |
| Investment..... | - | - | - | - | - | - | - | - | (5) | - |
| Total Other Financing Sources (Uses)..... | 268,561 | 436,432 | 403,657 | 455,729 | 307,011 | 438,917 | (28,435) | 102,600 | (101,895) | (62,279) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (367,109) | (1,900,211) | 274,349 | (1,047,822) | (452,011) | 516,013 | 158,175 | 5,320,520 | 2,454,566 | (705,420) |
| Beginning Fund Balances (*)..... | 6,853,122 | 6,486,013 | 4,585,802 | 4,860,151 | 3,812,329 | 3,360,318 | 3,876,331 | 4,034,506 | 9,355,555 | 11,810,121 |
| Ending Fund Balances..... | \$ 6,486,013 | \$ 4,585,802 | \$ 4,860,151 | \$ 3,812,329 | \$ 3,360,318 | \$ 3,876,331 | \$ 4,034,506 | \$ 9,355,026 | \$ 11,810,121 | \$ 11,104,701 |

(*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATE OPERATING FUNDS
SCHEDULE OF RECEIPTS, DISBURSEMENTS
AND OTHER FINANCING SOURCES (USES)**

**SCHEDULE 6
SUPPLEMENTAL**

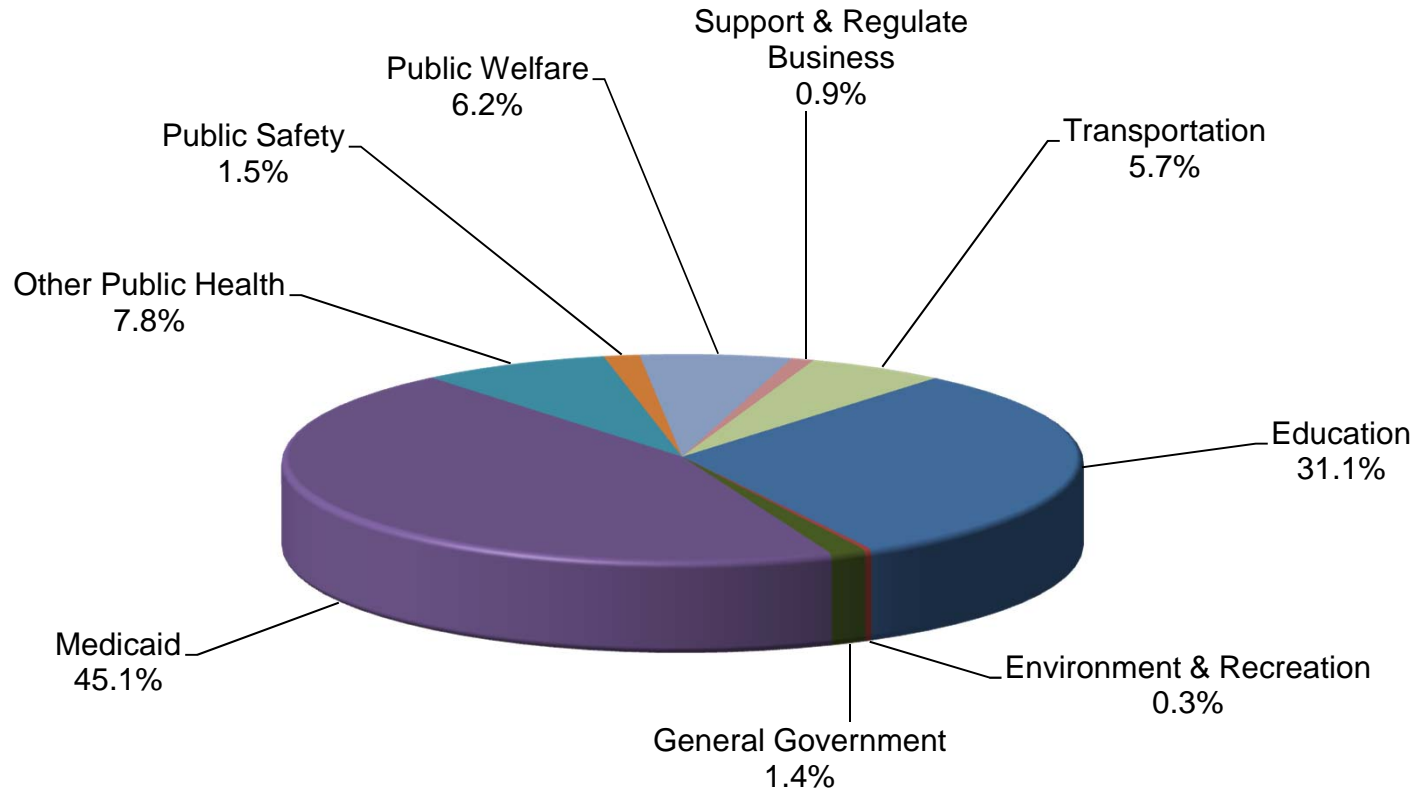
FISCAL YEARS 2007-08 THRU 2016-17

(Amounts in thousands)

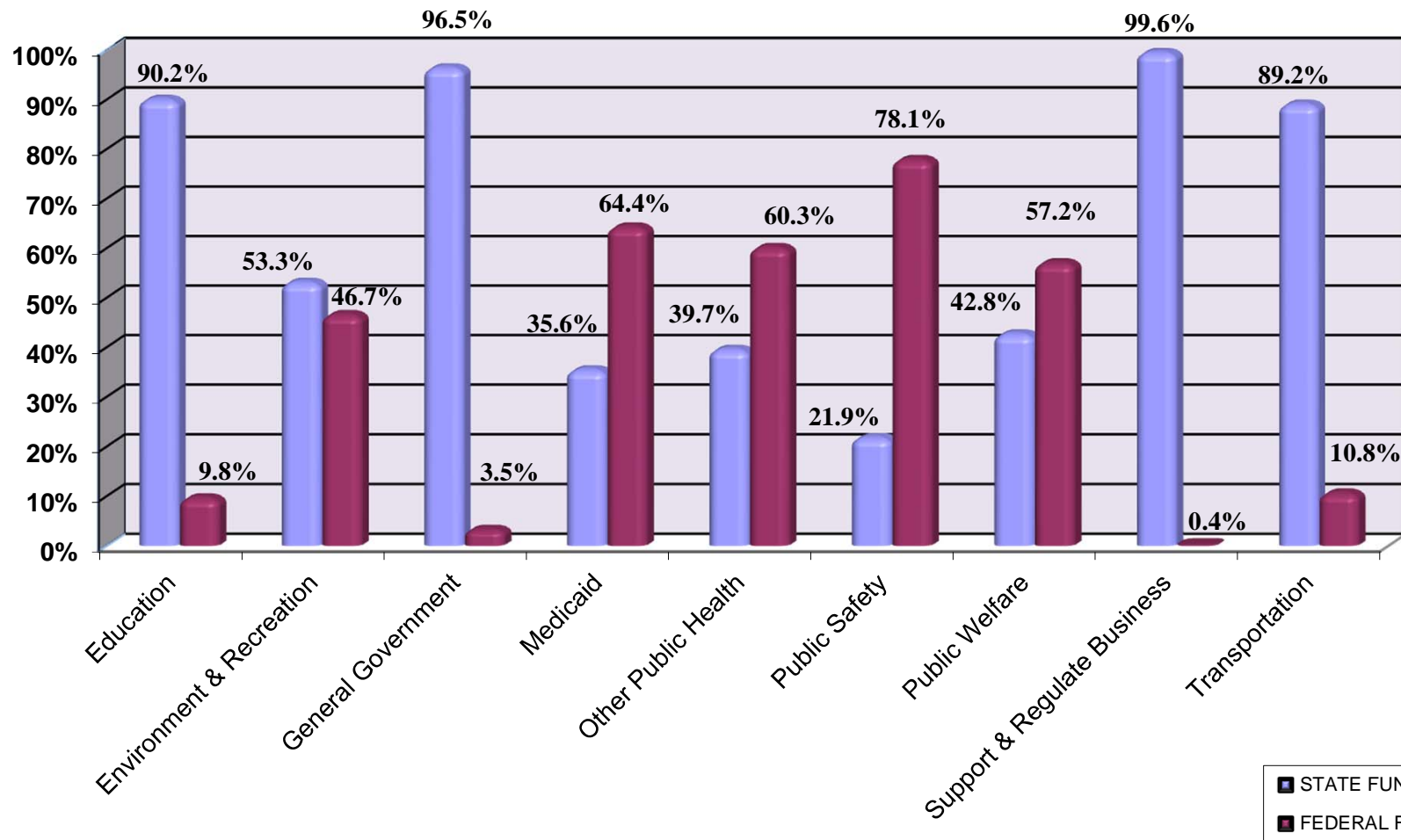
| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|----------------------|
| RECEIPTS: | | | | | | | | | | |
| Personal Income Tax..... | \$ 36,563,949 | \$ 36,840,020 | \$ 34,751,381 | \$ 36,209,215 | \$ 38,767,827 | \$ 40,226,715 | \$ 42,960,775 | \$ 43,709,833 | \$ 47,055,283 | \$ 47,565,944 |
| Consumption/Use Taxes..... | 12,587,210 | 12,637,239 | 12,262,016 | 13,607,810 | 13,977,493 | 14,013,281 | 14,518,137 | 14,784,464 | 15,089,642 | 15,587,740 |
| Business Taxes..... | 7,574,827 | 6,973,138 | 6,825,949 | 6,656,770 | 7,252,986 | 7,815,260 | 7,603,524 | 7,849,377 | 7,244,264 | 6,339,407 |
| Other Taxes..... | 1,871,299 | 1,652,571 | 2,406,844 | 3,057,463 | 2,963,095 | 2,875,344 | 3,252,377 | 3,317,818 | 3,889,604 | 3,497,136 |
| Miscellaneous Receipts..... | 16,952,899 | 17,080,968 | 19,516,108 | 19,148,276 | 19,515,006 | 20,000,668 | 20,521,164 | 25,300,841 | 23,254,991 | 21,756,660 |
| Federal Receipts..... | 69,003 | 44,773 | 84,314 | 112,070 | 139,961 | 140,547 | 71,420 | 74,718 | 73,548 | 72,472 |
| Total Receipts..... | 75,619,187 | 75,228,709 | 75,846,612 | 78,791,604 | 82,616,368 | 85,071,815 | 88,927,397 | 95,037,051 | 96,607,332 | 94,819,359 |
| DISBURSEMENTS: | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | |
| Education..... | - | - | - | - | - | 28,003,547 | 28,566,137 | 30,130,698 | 31,662,758 | 32,548,831 |
| Environment and Recreation..... | - | - | - | - | - | 7,814 | 10,465 | 9,539 | 12,174 | 8,668 |
| General Government..... | - | - | - | - | - | 1,096,762 | 1,249,088 | 1,192,301 | 1,215,379 | 1,191,543 |
| Public Health: | | | | | | | | | | |
| Medicaid..... | - | - | - | - | - | 17,591,758 | 17,436,669 | 18,019,396 | 18,314,157 | 18,651,573 |
| Other Public Health..... | - | - | - | - | - | 3,762,685 | 3,656,417 | 3,352,872 | 3,265,481 | 3,485,984 |
| Public Safety..... | - | - | - | - | - | 386,785 | 295,356 | 331,569 | 283,224 | 315,928 |
| Public Welfare..... | - | - | - | - | - | 3,049,037 | 3,085,434 | 2,829,894 | 2,935,571 | 2,922,687 |
| Support and Regulate Business..... | - | - | - | - | - | 376,466 | 380,256 | 351,665 | 219,285 | 266,146 |
| Transportation..... | - | - | - | - | - | 4,306,745 | 4,722,567 | 4,834,029 | 4,745,229 | 4,977,294 |
| General Purpose..... | 935,006 | 1,219,661 | 1,252,195 | 1,036,641 | 956,091 | - | - | - | - | - |
| Education..... | 26,579,930 | 27,819,469 | 26,072,535 | 28,926,703 | 27,094,238 | - | - | - | - | - |
| Medicaid..... | 13,086,383 | 12,417,963 | 12,255,062 | 13,149,970 | 16,489,870 | - | - | - | - | - |
| Other Social Services..... | 3,118,081 | 2,876,392 | 3,025,196 | 2,809,578 | 2,908,092 | - | - | - | - | - |
| Health and Environment..... | 3,415,389 | 3,293,798 | 3,280,635 | 2,635,388 | 2,968,850 | - | - | - | - | - |
| Mental Hygiene..... | 1,595,957 | 1,702,263 | 1,668,378 | 1,669,180 | 1,731,350 | - | - | - | - | - |
| Transportation..... | 2,825,426 | 2,982,101 | 3,823,477 | 4,253,827 | 4,229,733 | - | - | - | - | - |
| Criminal Justice, Emergency Management & Security Services and Miscellaneous..... | 2,184,870 | 2,197,883 | 946,101 | 813,359 | 889,489 | - | - | - | - | - |
| Total Local Assistance Grants..... | 53,741,042 | 54,509,530 | 52,323,579 | 55,294,646 | 57,267,713 | 58,581,599 | 59,402,389 | 61,051,963 | 62,653,258 | 64,368,654 |
| Departmental Operations: | | | | | | | | | | |
| Personal Service..... | 11,291,519 | 11,981,404 | 12,748,344 | 12,422,427 | 12,039,972 | 12,402,450 | 12,300,482 | 12,549,597 | 12,980,751 | 13,092,382 |
| Non-Personal Service..... | 5,616,197 | 5,491,187 | 5,114,447 | 4,965,584 | 5,410,795 | 5,280,742 | 5,563,854 | 5,607,541 | 5,601,777 | 5,587,399 |
| General State Charges..... | 5,259,763 | 5,104,907 | 5,500,551 | 6,101,494 | 6,592,416 | 6,436,100 | 6,957,594 | 7,033,234 | 7,452,462 | 7,634,324 |
| Debt Service, Including Payments on Financing Agreements..... | 4,104,000 | 4,529,667 | 4,961,470 | 5,614,669 | 5,864,022 | 6,137,929 | 6,399,696 | 6,182,817 | 5,598,485 | 5,513,783 |
| Capital Projects..... | 7,474 | 8,832 | 11,040 | 18,571 | 6,428 | 4,025 | 6,929 | 1,322 | 1,749 | 2,544 |
| Total Disbursements..... | 80,019,995 | 81,625,527 | 80,659,431 | 84,417,391 | 87,181,346 | 88,842,845 | 90,630,944 | 92,426,474 | 94,288,482 | 96,199,086 |
| Excess (Deficiency) of Receipts over Disbursements..... | (4,400,808) | (6,396,818) | (4,812,819) | (5,625,787) | (4,564,978) | (3,771,030) | (1,703,547) | 2,610,577 | 2,318,850 | (1,379,727) |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | |
| Bond and Note Proceeds, net..... | - | - | - | - | - | - | - | - | - | - |
| Transfers from Other Funds..... | 22,126,619 | 26,336,470 | 26,180,182 | 27,218,099 | 26,274,216 | 26,404,073 | 29,481,642 | 28,850,719 | 31,042,573 | 29,289,847 |
| Transfers to Other Funds..... | (18,170,423) | (21,800,884) | (21,433,944) | (22,433,710) | (21,831,104) | (22,120,550) | (27,348,419) | (26,360,135) | (30,611,048) | (28,925,962) |
| Investments..... | - | - | - | - | - | - | - | - | (5) | - |
| Total Other Financing Sources (Uses)..... | 3,956,196 | 4,535,586 | 4,746,238 | 4,784,389 | 4,443,112 | 4,283,523 | 2,133,223 | 2,490,584 | 431,520 | 363,885 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses..... | (444,612) | (1,861,232) | (66,581) | (841,398) | (121,866) | 512,493 | 429,676 | 5,101,161 | 2,750,370 | (1,015,842) |
| Beginning Fund Balances (*)..... | 7,182,631 | 6,738,019 | 4,876,787 | 4,810,206 | 3,968,808 | 3,846,942 | 4,359,435 | 4,789,111 | 9,890,801 | 12,641,171 |
| Ending Fund Balances..... | \$ 6,738,019 | \$ 4,876,787 | \$ 4,810,206 | \$ 3,968,808 | \$ 3,846,942 | \$ 4,359,435 | \$ 4,789,111 | \$ 9,890,272 | \$ 12,641,171 | \$ 11,625,329 |

(*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment.

**GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
FISCAL YEAR ENDED MARCH 31, 2017**



**GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS BY FUNDING SOURCE
FISCAL YEAR ENDED MARCH 31, 2017**



STATE OF NEW YORK
GOVERNMENTAL FUNDS
LOCAL ASSISTANCE DISBURSEMENTS BY PROGRAM
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 7

| | GENERAL | STATE SPECIAL REVENUE | FEDERAL SPECIAL REVENUE | STATE CAPITAL PROJECTS | FEDERAL CAPITAL PROJECTS | 2016-17 | 2015-16 |
|---|----------------------|--------------------------|----------------------------|---------------------------|-----------------------------|-----------------------|----------------------|
| Education: | | | | | | | |
| Public School Aid..... | \$ 22,878,043 | \$ 3,336,620 | \$ 3,482,460 | \$ 49,490 | \$ - | \$ 29,746,613 | \$ 28,683,404 |
| Higher Ed..... | 2,977,725 | - | 4,993 | - | - | 2,982,718 | 3,051,472 |
| School Tax Relief (STAR)..... | - | 3,139,051 | - | - | - | 3,139,051 | 3,334,700 |
| Other Education..... | 212,605 | 4,787 | 57,939 | - | - | 275,331 | 279,989 |
| Total Education..... | 26,068,373 | 6,480,458 | 3,545,392 | 49,490 | - | 36,143,713 | 35,349,565 |
| Environment and Recreation: | | | | | | | |
| Environmental Protection..... | 1,793 | 199 | - | 163,671 | 148,188 | 313,851 | 310,494 |
| Parks, Recreation and Historic Preservation.. | 3,011 | 3,665 | 3,094 | 35 | - | 9,805 | 10,985 |
| Total Environment and Recreation..... | 4,804 | 3,864 | 3,094 | 163,706 | 148,188 | 323,656 | 321,479 |
| General Government: | | | | | | | |
| Local Government Aid..... | 935,356 | 101,820 | - | 11,283 | - | 1,048,459 | 1,053,688 |
| Judicial..... | 2,443 | 98,656 | - | - | - | 101,099 | 114,655 |
| All Other..... | 52,153 | 1,115 | 58,873 | 444,429 | - | 556,570 | 405,617 |
| Total General Government..... | 989,952 | 201,591 | 58,873 | 455,712 | - | 1,706,128 | 1,573,960 |
| Public Health: | | | | | | | |
| Medicaid..... | 12,865,947 | 5,785,626 | 33,789,528 | - | - | 52,441,101 | 49,664,291 |
| Mental Hygiene..... | 7,187 | 1,572,463 | 162,516 | 45,687 | - | 1,787,853 | 1,693,014 |
| Health..... | 996,732 | 785,275 | 5,165,069 | 65,351 | 46,777 | 7,059,204 | 4,964,187 |
| Aging..... | 124,327 | - | 87,719 | - | - | 212,046 | 216,228 |
| Total Public Health..... | 13,994,193 | 8,143,364 | 39,204,832 | 111,038 | 46,777 | 61,500,204 | 56,537,720 |
| Public Safety: | | | | | | | |
| Criminal Justice..... | 145,745 | 120,747 | 55,177 | - | - | 321,669 | 313,727 |
| Emergency Management Service..... | 7,053 | 37,926 | 1,274,673 | 60,561 | 9,302 | 1,389,515 | 1,911,082 |
| Prisons and Reformatories..... | 4,457 | - | - | - | - | 4,457 | 4,252 |
| Total Public Safety..... | 157,255 | 158,673 | 1,329,850 | 60,561 | 9,302 | 1,715,641 | 2,229,061 |
| Public Welfare: | | | | | | | |
| Welfare..... | 2,881,347 | 3,164 | 3,999,210 | - | - | 6,883,721 | 7,469,996 |
| Public Housing..... | 23,050 | (215) | 18,064 | 185,209 | - | 226,108 | 168,175 |
| Employment Services..... | 15,019 | 322 | 134,485 | - | - | 149,826 | 168,608 |
| Total Public Welfare..... | 2,919,416 | 3,271 | 4,151,759 | 185,209 | - | 7,259,655 | 7,806,779 |
| Support and Regulate Business: | | | | | | | |
| Commerce, Industry & Agriculture..... | 199,193 | 250 | 3,850 | 817,084 | - | 1,020,377 | 716,226 |
| Regulate Businesses..... | - | 66,703 | - | - | - | 66,703 | 80,686 |
| Total Support and Regulate Business..... | 199,193 | 66,953 | 3,850 | 817,084 | - | 1,087,080 | 796,912 |
| Transportation: | | | | | | | |
| Traffic Safety..... | - | - | 17,786 | - | - | 17,786 | 16,610 |
| Transportation..... | 106,209 | 4,871,085 | 48,511 | 911,636 | 645,439 | 6,582,880 | 5,681,541 |
| Total Transportation..... | 106,209 | 4,871,085 | 66,297 | 911,636 | 645,439 | 6,600,666 | 5,698,151 |
| Total..... | \$ 44,439,395 | \$ 19,929,259 | \$ 48,363,947 | \$ 2,754,436 | \$ 849,706 | \$ 116,336,743 | \$110,313,627 |

STATE OF NEW YORK
 ALL FUNDS BY FUND TYPE
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2017

SCHEDULE 8

| | APPROPRIATIONS IN FORCE APRIL 1, 2016 | ADD: APPROPRIATIONS BY 2016 LEGISLATURE | LESS: APPROPRIATIONS LAPSED | AUTHORIZED BUDGET TRANSFERS (*) | OTHER 2016 LEGISLATIVE ACTIONS (**) | BUDGET PERIOD 2016-17 EXPENDITURES | BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2017 | BUDGET PERIOD 2016-17 DISBURSEMENTS |
|--------------------------------------|---|---|-----------------------------------|---------------------------------------|---|--|--|---|
| GOVERNMENTAL FUNDS: | | | | | | | | |
| General Fund..... | \$ 78,854,850,727 | \$ 90,027,452,877 | \$ 27,595,219,260 | \$ (128,284,137) | \$ 672,173,655 | \$ 59,330,536,275 | \$ 82,500,437,587 | \$ 59,366,481,447 |
| Special Revenue - Federal..... | 194,281,527,175 | 101,725,584,000 | 45,379,152,892 | (68,763,446) | - | 52,177,367,453 | 198,381,827,384 | 52,176,160,161 |
| Special Revenue - State..... | 27,266,641,079 | 43,242,907,201 | 13,916,164,415 | 62,697,110 | (311,794,951) | 32,612,588,356 | 23,731,697,668 | 32,613,043,025 |
| Debt Service..... | 2,153,594,281 | 8,142,200,000 | 2,310,701,132 | - | - | 5,345,495,684 | 2,639,597,465 | 5,471,014,477 |
| Capital Projects - Federal..... | 8,271,156,571 | 2,487,000,000 | 6,160,833 | 56,305,000 | 10,500,000 | 2,607,186,200 | 8,211,614,538 | 2,607,143,247 |
| Capital Projects - State..... | 41,904,203,269 | 16,896,131,000 | 446,481,924 | 74,652,821 | 361,794,951 | 8,100,188,463 | 50,690,111,654 | 8,095,741,111 |
| Special Emergency..... | 2,500,000,000 | 1,500,000,000 | 2,500,000,000 | - | - | - | 1,500,000,000 | - |
| TOTAL GOVERNMENTAL FUNDS..... | 355,231,973,102 | 264,021,275,078 | 92,153,880,456 | (3,392,652) | 732,673,655 | 160,173,362,431 | 367,655,286,296 | 160,329,583,468 |
| PROPRIETARY FUNDS: | | | | | | | | |
| Enterprise..... | 5,479,505,829 | 3,083,128,000 | 3,219,465,872 | 32,000 | - | 2,274,605,338 | 3,068,594,619 | 2,274,759,397 |
| Internal Service..... | 1,121,253,800 | 1,294,409,400 | 820,424,889 | 1,636,528 | - | 581,575,289 | 1,015,299,550 | 581,518,550 |
| TOTAL PROPRIETARY FUNDS..... | 6,600,759,629 | 4,377,537,400 | 4,039,890,761 | 1,668,528 | - | 2,856,180,627 | 4,083,894,169 | 2,856,277,947 |
| FIDUCIARY FUNDS: | | | | | | | | |
| Private Purpose Trust..... | 1,406,905 | 1,836,000 | 1,385,078 | 6,000 | - | 274,613 | 1,589,214 | 274,613 |
| Pension Trust..... | 4,644,756 | 106,729,000 | 3 | 1,718,124 | - | 107,812,413 | 5,279,464 | 107,813,081 |
| Agency..... | 2,190,547,950 | 2,931,439,900 | 567,417,269 | - | - | 3,009,788,799 | 1,544,781,782 | 3,009,789,199 |
| TOTAL FIDUCIARY FUNDS..... | 2,196,599,611 | 3,040,004,900 | 568,802,350 | 1,724,124 | - | 3,117,875,825 | 1,551,650,460 | 3,117,876,893 |
| GRAND TOTAL - ALL FUNDS..... | \$ 364,029,332,342 | \$ 271,438,817,378 | \$ 96,762,573,567 | \$ - | \$ 732,673,655 | \$ 166,147,418,883 | \$ 373,290,830,925 | \$ 166,303,738,308 |

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2017

SCHEDULE 9

| | APPROPRIATIONS IN FORCE APRIL 1, 2016 | ADD: APPROPRIATIONS BY 2016 LEGISLATURE | LESS: APPROPRIATIONS LAPSED | AUTHORIZED BUDGET TRANSFERS (*) | OTHER 2016 LEGISLATIVE ACTIONS (**) | BUDGET PERIOD 2016-17 EXPENDITURES | BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2017 | BUDGET PERIOD 2016-17 DISBURSEMENTS |
|---|---|---|-----------------------------------|---------------------------------------|---|--|--|---|
| GENERAL: | | | | | | | | |
| Adirondack Park Agency..... | \$ 374,780 | \$ 4,344,000 | \$ 186,683 | \$ 161,501 | \$ - | \$ 4,534,350 | \$ 159,248 | \$ 4,534,350 |
| Aging, State Office for the..... | 49,858,577 | 134,119,000 | 57,365 | 1,548,750 | - | 126,358,188 | 59,110,774 | 126,345,953 |
| Agriculture and Markets, Department of..... | 34,317,812 | 64,699,000 | 1,585,891 | 990,853 | - | 65,359,030 | 33,062,744 | 65,349,508 |
| Alcoholic Beverage Control, Division of..... | - | 13,313,000 | - | 122,000 | - | 10,842,586 | 2,592,414 | 10,842,586 |
| Alcoholism and Substance Abuse Services, Office of..... | 6,536,168 | 52,350,000 | 650,655 | 5,218,500 | - | 31,774,250 | 31,679,763 | 31,774,250 |
| Arts, Council on the..... | 8,567,944 | 45,434,000 | 782,826 | 34,000 | - | 42,831,607 | 10,421,511 | 42,831,607 |
| Budget, Division of the..... | 9,228,203 | 29,778,000 | 8,396,351 | - | - | 22,118,083 | 8,491,769 | 22,118,083 |
| Children and Family Services, Office of..... | 716,179,196 | 2,226,362,927 | 38,213,720 | 6,675,806 | - | 1,923,326,247 | 987,677,962 | 1,923,106,494 |
| City University of New York..... | 366,144,300 | 1,479,078,000 | 974,783 | 6,940,233 | - | 1,431,757,059 | 419,430,691 | 1,431,757,059 |
| Civil Service, Department of..... | 3,252,793 | 14,533,000 | 2,441,147 | 164,345 | - | 12,618,030 | 2,890,961 | 12,614,849 |
| Correction, State Commission of..... | 618,492 | 2,894,000 | 551,201 | 61,000 | - | 2,431,385 | 590,906 | 2,431,385 |
| Corrections and Community Supervision, Department of..... | 158,900,246 | 2,681,571,000 | 61,755,933 | 13,077,000 | - | 2,643,809,107 | 147,983,206 | 2,643,691,650 |
| Criminal Justice Services, Division of..... | 100,033,585 | 195,649,000 | 4,303,603 | 1,161,586 | - | 163,221,339 | 129,319,229 | 163,221,339 |
| Disaster Aid..... | 101,784,972 | - | - | - | - | - | 101,784,972 | - |
| Economic Development, Department of..... | 187,879,990 | 74,692,000 | 1,601,533 | (5,529,000) | - | 72,001,643 | 183,439,814 | 72,001,643 |
| Education, State Department of..... | 23,238,206,817 | 23,107,617,850 | 20,841,746,380 | (116,710,373) | 1,000,000 | 23,172,354,656 | 2,216,013,256 | 23,172,344,456 |
| Elections, Board of..... | 3,378,407 | 8,482,000 | 1,211,701 | 77,000 | - | 7,083,885 | 3,641,821 | 7,083,885 |
| Employee Relations, Office of..... | 72,710,284 | 23,537,000 | 802,708 | (1,174,000) | 32,816,000 | 31,129,010 | 95,957,562 | 31,129,010 |
| Environmental Conservation, Department of..... | 67,070,659 | 116,054,000 | 10,148,825 | 1,896,476 | - | 92,278,557 | 82,593,753 | 92,278,905 |
| Executive Chamber..... | 4,620,162 | 17,854,000 | 4,356,325 | (15,218) | - | 14,653,435 | 3,449,184 | 14,653,176 |
| Financial Services, Department of..... | 82,600 | - | - | - | - | - | 82,600 | - |
| Franchise Oversight Board..... | 1,648,723 | - | - | - | - | 8,759 | 1,639,964 | 8,759 |
| General Services, Office of..... | 20,866,661 | 169,502,000 | 14,351,008 | 273,345 | - | 153,855,669 | 22,435,329 | 153,855,669 |
| Governor's Judicial Screening Committee..... | 30,440 | 38,000 | 30,549 | - | - | 9,045 | 28,846 | 9,045 |
| Greenway Heritage Conservancy..... | - | 166,000 | - | - | - | 166,000 | - | 166,000 |
| Health, Department of..... | 43,866,352,346 | 38,104,227,254 | 386,103,345 | (371,762,608) | - | 14,469,823,095 | 66,742,890,552 | 14,469,493,329 |
| Higher Education Services Corporation..... | 522,819,195 | 1,135,176,000 | 89,964,399 | 1,500,000 | - | 957,598,931 | 611,931,865 | 957,504,476 |
| Homeland Security and Emergency Services, Division of..... | 757,532,177 | 154,300,000 | 2,155,464 | 800,283 | - | 6,640,380 | 903,836,616 | 6,640,380 |
| Housing and Community Renewal, Division of..... | 64,793,259 | 17,782,000 | 4,744,606 | 2,898,196 | - | 14,679,431 | 66,049,418 | 14,678,533 |
| Hudson River Valley Greenways..... | 932,264 | 321,000 | 102,500 | - | - | 224,860 | 926,354 | 224,860 |
| Human Rights, Division of..... | 2,025,892 | 12,010,000 | 1,777,531 | 250,000 | - | 10,381,674 | 2,126,687 | 10,381,674 |
| Information Technology Services, Office of..... | 9,076,673 | 567,791,000 | 12,851 | 9,856,000 | - | 548,073,832 | 38,636,990 | 548,073,832 |
| Inspector General, Office of the State..... | 261,967 | 6,944,000 | 345,274 | 540,220 | - | 7,179,439 | 221,474 | 7,179,439 |
| Interest on Lawyer Account (IOLA)..... | 5,729,044 | 15,000,000 | 2,055,514 | - | - | 15,495,453 | 3,178,277 | 15,571,305 |
| Joint Commission on Public Ethics..... | 1,341,979 | 5,582,000 | 1,218,513 | - | - | 4,876,782 | 828,684 | 4,876,782 |
| Judicial Conduct..... | 154,310 | 5,584,000 | 28,055 | - | - | 5,504,592 | 205,663 | 5,504,592 |
| Judicial Nomination..... | 10,207 | 30,000 | 10,207 | - | - | 10,268 | 19,732 | 10,268 |
| Justice Center for the Protection of People with Special Needs..... | 7,786,539 | 41,855,000 | 6,145,552 | 425,000 | - | 37,237,357 | 6,683,630 | 37,222,557 |
| Labor, Department of..... | 46,340,187 | 12,685,000 | 22,243,408 | 293,000 | (150,500) | 15,393,303 | 21,530,976 | 15,392,875 |
| Law, Department of..... | 4,058,258 | 102,823,000 | 1,761,993 | 1,101,491 | - | 104,149,581 | 2,071,175 | 104,150,741 |
| Legislative Bill Drafting Commission..... | 8,801,373 | 13,009,482 | - | - | - | 11,976,579 | 9,834,276 | 11,976,579 |
| Legislature - Assembly..... | 54,854,273 | 109,627,381 | - | - | - | 113,704,211 | 50,777,443 | 113,704,211 |
| Legislature - Senate..... | 63,083,567 | 94,933,303 | 9,793 | - | - | 98,440,287 | 59,566,790 | 98,438,829 |
| Lieutenant Governor..... | 159,045 | 904,635 | 167,903 | - | - | 785,231 | 110,546 | 785,231 |
| Lottery, Division of..... | 2,745 | - | 2,745 | - | - | - | - | - |
| Medicaid Inspector General, Office of..... | 2,368,916 | 20,752,000 | 1,160,562 | 553,000 | - | 20,490,918 | 2,022,436 | 20,489,066 |
| Mental Health, Office of..... | 126,131,218 | 394,778,000 | 63,826,629 | 32,748,185 | - | 297,703,687 | 192,127,087 | 297,703,687 |
| Military and Naval Affairs, Division of..... | 12,525,594 | 26,254,000 | 5,243,519 | - | - | 24,740,177 | 8,795,898 | 24,734,281 |
| Miscellaneous State Agencies and Public Authorities..... | 5,707,635,300 | 9,233,560,967 | 4,958,468,450 | 1,211,982,673 | 638,508,155 | 5,547,732,113 | 6,285,486,532 | 5,583,725,446 |
| Motor Vehicles, Department of..... | 7,859 | - | - | - | - | - | 7,859 | - |
| National and Community Service..... | 1,170,204 | 683,500 | 106,667 | 5,931 | - | 471,094 | 1,281,874 | 471,094 |
| NYS Gaming Commission..... | 1,363,095 | 7,946,000 | 829,862 | - | - | 5,700,244 | 2,778,989 | 5,700,244 |
| Parks, Recreation and Historic Preservation, Office of..... | 46,158,071 | 132,806,000 | 18,316,704 | 2,714,849 | - | 113,057,065 | 50,305,151 | 113,057,065 |
| People With Developmental Disabilities, Office for..... | 1,539,060,416 | 1,753,967,500 | 800,932,666 | 140,500 | - | 583,212,030 | 1,909,023,720 | 583,212,030 |
| Prevention of Domestic Violence, Office for the..... | 1,370,901 | 2,613,000 | 39,220 | - | - | 2,027,100 | 1,774,393 | 2,027,100 |
| Public Benefit Corporations..... | 459,286,875 | 313,936,241 | 183,648,817 | 5,999,000 | - | 127,191,548 | 468,381,751 | 127,191,548 |
| Public Employment Relations Board..... | 287,173 | 3,600,000 | 191,886 | - | - | 3,268,943 | 426,344 | 3,268,943 |
| Public Service, Department of..... | 6,200 | - | - | - | - | - | 6,200 | - |
| State Comptroller, Office of the..... | 3,492,701 | 162,722,000 | 1,554,593 | 4,246,967 | - | 165,582,107 | 3,324,968 | 165,582,128 |
| State Police, Division of..... | 20,732,950 | 625,828,000 | 5,678,972 | 53,817,952 | - | 652,542,188 | 42,157,742 | 652,546,593 |
| State University of New York..... | 25,995,625 | 1,958,301,000 | 1,698,892 | (1,432,093,264) | - | 509,262,060 | 41,242,409 | 509,262,060 |
| State, Department of..... | 35,660,875 | 21,322,000 | 2,890,528 | 1,384,450 | - | 24,949,008 | 30,527,789 | 24,962,050 |
| Statewide Financial System..... | 484,126 | 30,137,000 | 31 | 354,000 | - | 30,309,000 | 666,095 | 30,309,000 |
| Tax Appeals, Division of..... | 136,595 | 3,040,000 | 62,918 | - | - | 2,833,673 | 280,004 | 2,832,818 |

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2017

SCHEDULE 9
 (continued)

| | APPROPRIATIONS IN FORCE APRIL 1, 2016 | ADD: APPROPRIATIONS BY 2016 LEGISLATURE | LESS: APPROPRIATIONS LAPSED | AUTHORIZED BUDGET TRANSFERS (*) | OTHER 2016 LEGISLATIVE ACTIONS (**) | BUDGET PERIOD 2016-17 EXPENDITURES | BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2017 | BUDGET PERIOD 2016-17 DISBURSEMENTS |
|---|---|---|-----------------------------------|---------------------------------------|---|--|--|---|
| GENERAL (continued): | | | | | | | | |
| Taxation and Finance, Department of..... | 14,315,832 | 263,100,000 | 3,821,883 | 3,861,000 | - | 262,466,821 | 14,988,128 | 262,987,551 |
| Temporary and Disability Assistance, Office of..... | 189,085,844 | 1,474,738,000 | 23,861,183 | 432,446,008 | - | 1,797,699,848 | 274,708,821 | 1,797,661,844 |
| Transportation, Department of..... | 9,640,154 | 105,850,800 | 38,015 | 1,169,093 | - | 107,383,209 | 9,238,823 | 107,383,209 |
| Unified Court System..... | 78,209,279 | 2,608,234,037 | 5,977,032 | (8,279,117) | - | 2,589,133,102 | 83,054,065 | 2,589,329,844 |
| Veterans' Affairs, Division of..... | 10,282,693 | 16,680,000 | 3,562,032 | 254,250 | - | 14,290,556 | 9,364,355 | 14,291,114 |
| Victim Services, Office of..... | 921,952 | 2,788,000 | - | 36,000 | - | 1,200,690 | 2,545,262 | 1,200,690 |
| Welfare Inspector General, Office of..... | 113,170 | 1,162,000 | 127,601 | (540,220) | - | 591,888 | 15,461 | 591,888 |
| TOTAL GENERAL..... | 78,854,850,727 | 90,027,452,877 | 27,595,219,260 | (128,284,137) | 672,173,655 | 59,330,536,275 | 82,500,437,587 | 59,366,481,447 |
| SPECIAL REVENUE - FEDERAL: | | | | | | | | |
| Adirondack Park Agency..... | 3,986,346 | 500,000 | - | - | - | 11,527 | 4,474,819 | 11,527 |
| Aging, State Office for the..... | 182,239,042 | 124,739,000 | 30,746,687 | 21,881,864 | - | 108,302,162 | 189,811,057 | 108,302,162 |
| Agriculture and Markets, Department of..... | 160,757,623 | 49,644,000 | 3,996,603 | 782,000 | - | 15,087,071 | 192,099,949 | 15,087,071 |
| Alcoholism and Substance Abuse Services, Office of..... | 144,783,225 | 141,170,000 | 41,858,104 | - | - | 128,707,757 | 115,387,364 | 128,707,757 |
| Arts, Council on the..... | 10,397,064 | 1,513,000 | - | - | - | 1,051,698 | 10,858,366 | 1,051,698 |
| Children and Family Services, Office of..... | 2,877,635,224 | 1,485,153,000 | 378,569,646 | 5,824,506 | - | 949,467,886 | 3,040,575,198 | 949,389,359 |
| City University of New York..... | 757,769 | - | 35,622 | 2,262,960 | - | 2,181,039 | 804,068 | 2,181,039 |
| Corrections and Community Supervision, Department of..... | 136,670,719 | 40,500,000 | 1,142,214 | 3,092,301 | - | 22,943,823 | 156,176,983 | 22,943,608 |
| Criminal Justice Services, Division of..... | 189,735,657 | 67,350,000 | 13,798,256 | 4,504,861 | - | 24,144,720 | 223,647,542 | 24,144,720 |
| Developmental Disabilities Planning Council..... | 9,060,648 | 4,750,000 | 448,563 | 12,000 | - | 3,891,622 | 9,482,463 | 3,891,657 |
| Economic Development, Department of..... | 12,899,277 | 10,000,000 | - | - | - | 4,441,966 | 18,457,311 | 4,441,966 |
| Education, State Department of..... | 9,815,675,948 | 4,793,404,000 | 239,708,831 | (5,301,748) | - | 3,763,263,224 | 10,600,806,145 | 3,763,259,881 |
| Elections, Board of..... | 38,988,253 | - | - | - | - | 3,100,762 | 35,887,491 | 3,100,762 |
| Environmental Conservation, Department of..... | 254,968,298 | 81,198,000 | 5,252,472 | (262,332) | - | 59,097,786 | 271,553,708 | 59,097,786 |
| Financial Services, Department of..... | 2,158,413 | - | 1 | 641,790 | - | 334,717 | 2,465,485 | 334,717 |
| General Services, Office of..... | 10,746,571 | 8,230,000 | 1,621,249 | - | - | 8,439,144 | 8,916,178 | 8,439,144 |
| Health, Department of..... | 157,150,838,346 | 87,823,204,000 | 43,893,161,018 | (449,221,785) | - | 40,817,210,953 | 159,814,448,590 | 40,816,148,090 |
| Higher Education Services Corporation..... | 13,068,478 | 3,500,000 | 4,090,532 | (336,278) | - | 5,904,296 | 6,237,372 | 5,904,296 |
| Homeland Security and Emergency Services, Division of..... | 10,566,440,456 | 1,253,774,000 | 3,884,126 | (76,745,735) | - | 1,297,914,626 | 10,441,669,969 | 1,297,914,626 |
| Housing and Community Renewal, Division of..... | 219,167,240 | 87,936,000 | 7,398,610 | 39,519,594 | - | 65,621,020 | 273,603,204 | 65,618,522 |
| Human Rights, Division of..... | 8,240,450 | 6,000,000 | - | 36,000 | - | 4,508,088 | 9,768,362 | 4,508,088 |
| Information Technology Services, Office of..... | 3,795,829 | - | - | 113,630 | - | 2,049,026 | 1,860,433 | 2,049,026 |
| Inspector General, Office of the State..... | - | 200,000 | - | - | - | 200,000 | 200,000 | - |
| Justice Center for the Protection of People with Special Needs..... | 3,617,294 | 1,921,000 | 11 | 1,255,696 | - | 2,163,287 | 4,630,692 | 2,163,287 |
| Labor, Department of..... | 1,487,801,052 | 705,740,000 | 319,215,265 | 7,972,987 | - | 451,701,968 | 1,430,596,787 | 451,702,745 |
| Lake George Park Commission..... | 27,498 | - | - | - | - | - | 27,498 | - |
| Law, Department of..... | 14,849,310 | 38,442,000 | 1,812,246 | - | - | 35,577,900 | 15,901,164 | 35,548,071 |
| Medicaid Inspector General, Office of..... | 24,808,979 | 31,921,000 | 3,303,328 | 2,104,000 | - | 30,506,348 | 25,024,303 | 30,504,496 |
| Mental Health, Office of..... | 46,186,306 | 49,948,000 | 5,824,045 | 7,715,767 | - | 44,041,011 | 53,985,017 | 44,041,011 |
| Military and Naval Affairs, Division of..... | 40,110,675 | 46,780,000 | - | 11,500,000 | - | 32,802,770 | 65,587,905 | 32,794,522 |
| Miscellaneous State Agencies and Public Authorities..... | 7,502,460,092 | - | - | - | - | - | 7,502,460,092 | - |
| Motor Vehicles, Department of..... | 91,149,029 | 41,566,000 | 3,228,886 | (10,703,505) | - | 21,178,622 | 97,604,016 | 21,178,622 |
| National and Community Service..... | 111,155,895 | 30,000,000 | 34,372,808 | 10,744 | - | 16,811,448 | 89,982,383 | 16,811,448 |
| Parks, Recreation and Historic Preservation, Office of..... | 43,537,755 | 10,453,000 | 109,751 | 318,191 | - | 10,507,124 | 43,692,071 | 10,507,124 |
| People With Developmental Disabilities, Office for..... | 78,696,793 | 751,000 | 504,981 | 16,009,851 | - | 45,738,489 | 49,214,174 | 45,738,489 |
| Prevention of Domestic Violence, Office for the..... | 1,639,322 | 1,600,000 | 1,102,418 | 39,622 | - | 34,046 | 2,142,480 | 34,046 |
| Public Service, Department of..... | 4,439,639 | 5,500,000 | - | - | - | 3,409,913 | 6,529,726 | 3,409,913 |
| Quality of Care and Advocacy, Commission on..... | 500,000 | - | 500,000 | - | - | - | - | - |
| State Police, Division of..... | 32,598,787 | 67,700,000 | 7,029,225 | 15,656,521 | - | 19,228,867 | 89,697,216 | 19,228,867 |
| State University of New York..... | 607,773,246 | 415,600,000 | 38,515,838 | 7,574,974 | - | 314,057,955 | 678,374,427 | 314,057,955 |
| State, Department of..... | 93,805,570 | 69,395,000 | 18,758,909 | - | - | 62,873,124 | 81,568,537 | 62,873,124 |
| Taxation and Finance, Department of..... | 4,959,528 | 5,000,000 | 4,252,217 | - | - | 1,216,115 | 4,491,196 | 1,216,115 |
| Temporary and Disability Assistance, Office of..... | 1,835,631,315 | 4,052,794,000 | 304,530,360 | 324,734,232 | - | 3,682,869,753 | 2,225,759,434 | 3,682,870,724 |
| Transportation, Department of..... | 329,433,453 | 83,019,000 | 711,593 | 874,742 | - | 61,593,500 | 351,022,102 | 61,593,500 |
| Unified Court System..... | 30,230,384 | 8,500,000 | 5,342,331 | 302,274 | - | 6,552,427 | 27,137,900 | 6,527,962 |
| Veterans' Affairs, Division of..... | 6,168,791 | 2,466,000 | 3,151,338 | 118,000 | - | 776,534 | 4,824,919 | 776,534 |
| Victim Services, Office of..... | 73,942,674 | 73,623,000 | 1,174,808 | (1,051,151) | - | 44,169,337 | 101,170,378 | 44,170,102 |
| Workers' Compensation Board..... | 2,992,912 | - | - | - | - | 1,882,002 | 1,110,910 | 1,882,002 |
| Welfare Inspector General, Office of..... | - | 100,000 | - | - | - | - | 100,000 | - |
| TOTAL SPECIAL REVENUE - FEDERAL..... | 194,281,527,175 | 101,725,584,000 | 45,379,152,892 | (68,763,446) | - | 52,177,367,453 | 198,381,827,384 | 52,176,160,161 |

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2017

SCHEDULE 9
 (continued)

| | APPROPRIATIONS IN FORCE APRIL 1, 2016 | ADD: APPROPRIATIONS BY 2016 LEGISLATURE | LESS: APPROPRIATIONS LAPSED | AUTHORIZED BUDGET TRANSFERS (*) | OTHER 2016 LEGISLATIVE ACTIONS (**) | BUDGET PERIOD 2016-17 EXPENDITURES | BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2017 | BUDGET PERIOD 2016-17 DISBURSEMENTS |
|---|---|---|-----------------------------------|---------------------------------------|---|--|--|---|
| SPECIAL REVENUE - STATE: | | | | | | | | |
| Adirondack Park Agency..... | 1,500,000 | - | - | - | - | - | 1,500,000 | - |
| Aging, State Office for the..... | 1,750,770 | 1,230,000 | 1,230,124 | 475,000 | - | 485,942 | 1,739,704 | 485,942 |
| Agriculture and Markets, Department of..... | 27,676,379 | 21,349,000 | 1,116,373 | 150,000 | - | 13,698,077 | 34,360,929 | 13,698,077 |
| Alcoholic Beverage Control, Division of..... | 1,894,855 | - | 1,490,030 | - | - | 404,825 | - | 404,450 |
| Alcoholism and Substance Abuse Services, Office of..... | 40,250,586 | 427,474,000 | 23,959,952 | 2,398,323 | - | 393,068,769 | 53,094,188 | 393,125,711 |
| Arts, Council on the..... | 588,000 | 196,000 | - | - | - | - | 784,000 | - |
| Budget, Division of the..... | 16,331,896 | 19,283,000 | 16,109,002 | - | - | 3,216,975 | 16,288,919 | 3,216,975 |
| Children and Family Services, Office of..... | 176,103,226 | 59,840,000 | 41,117,155 | 119,000 | - | 37,444,615 | 157,500,456 | 37,442,865 |
| City University of New York..... | 121,378,383 | 175,400,000 | 83,457,259 | - | - | 101,371,035 | 111,950,089 | 101,371,235 |
| Civil Service, Department of..... | 1,471,417 | 1,896,000 | 1,409,825 | - | - | 767,361 | 1,190,231 | 765,400 |
| Corrections and Community Supervision, Department of..... | 31,330,462 | 33,855,000 | 30,737,932 | 54,162 | - | 1,714,025 | 32,787,667 | 1,714,025 |
| Criminal Justice Services, Division of..... | 54,608,354 | 26,759,000 | 7,110,312 | (2,428,986) | - | 27,200,874 | 44,626,182 | 27,200,874 |
| Economic Development, Department of..... | 3,455,020 | 3,458,000 | 1,508,230 | (248,358) | - | 2,092,026 | 3,064,406 | 2,092,026 |
| Education, State Department of..... | 4,511,358,434 | 6,624,882,000 | 3,277,308,768 | (5,013,832) | - | 6,593,977,982 | 1,259,939,852 | 6,593,977,789 |
| Elections, Board of..... | 6,645,189 | 3,000,000 | 2,987,590 | - | - | 103,679 | 6,532,920 | 103,679 |
| Employee Relations, Office of..... | 601,259 | 1,031,000 | 557,435 | - | - | 573,776 | 501,048 | 573,776 |
| Environmental Conservation, Department of..... | 197,369,001 | 245,006,000 | 68,589,245 | 4,570,236 | - | 176,979,230 | 201,376,762 | 176,978,705 |
| Financial Control Board for New York City..... | 559,500 | 3,131,700 | 578,942 | - | - | 2,662,113 | 450,145 | 2,662,113 |
| Financial Services, Department of..... | 68,035,192 | 410,959,963 | 51,219,208 | 71,138,896 | - | 431,206,602 | 67,708,241 | 431,206,602 |
| General Services, Office of..... | 17,600,894 | 30,194,000 | 17,089,224 | 24,000 | - | 7,364,159 | 23,365,511 | 7,364,159 |
| Health, Department of..... | 13,043,919,526 | 12,354,017,000 | 5,510,914,382 | (63,870,531) | - | 6,752,673,465 | 13,070,478,148 | 6,753,199,933 |
| Higher Education Services Corporation..... | 27,340,103 | 62,688,000 | 12,849,225 | (1,564,445) | - | 60,119,736 | 15,494,697 | 60,119,736 |
| Homeland Security and Emergency Services, Office of..... | 306,095,032 | 110,881,000 | 7,064,211 | 22,757,342 | - | 86,317,930 | 346,351,233 | 86,318,419 |
| Housing and Community Renewal, Division of..... | 87,147,356 | 68,396,000 | - | 457,000 | - | 47,750,433 | 108,249,923 | 47,741,306 |
| Indigent Legal Services, Office of..... | 134,479,662 | 99,400,000 | 575,348 | - | - | 63,641,119 | 169,663,195 | 63,641,119 |
| Information Technology Services, Office of..... | 30,000,000 | 30,000,000 | 30,000,000 | - | - | - | 30,000,000 | - |
| Inspector General, Office of the State..... | 100,000 | 100,000 | 100,000 | 494,000 | - | 494,000 | 100,000 | 494,000 |
| Interest on Lawyer Account (IOLA)..... | 40,296,096 | 46,841,000 | 38,578,911 | - | - | 12,614,193 | 35,943,992 | 12,650,177 |
| Justice Center for the Protection of People with Special Needs..... | 8,352,080 | 10,268,000 | 8,053,248 | 61,424 | - | 2,522,049 | 8,106,207 | 2,522,049 |
| Labor, Department of..... | 29,465,033 | 72,740,000 | 1,422,755 | 1,423,000 | - | 67,363,682 | 34,841,596 | 67,363,682 |
| Lake George Park Commission..... | 520,677 | 2,032,000 | 421,316 | 13,788 | - | 1,695,459 | 449,690 | 1,695,459 |
| Law, Department of..... | 7,368,057 | 85,517,000 | 5,338,905 | 7,422,602 | - | 88,528,743 | 6,440,011 | 88,475,974 |
| Legislative Bill Drafting Commission..... | 318,641 | - | - | 1,500,000 | - | 1,581,074 | 237,567 | 1,581,074 |
| Legislature - Assembly..... | 400,000 | 300,000 | 300,000 | (1,500,000) | - | - | 400,000 | - |
| Legislature - Senate..... | 6,475,579 | 1,800,000 | 300,000 | - | - | - | 6,475,579 | - |
| Mental Health, Office of..... | 461,505,220 | 3,193,621,000 | 279,910,835 | (16,125,000) | - | 2,873,446,090 | 485,644,295 | 2,873,418,370 |
| Military and Naval Affairs, Division of..... | 7,201,015 | 9,277,000 | 7,181,673 | - | - | 2,859,489 | 6,436,853 | 2,859,489 |
| Miscellaneous State Agencies and Public Authorities..... | 725,058,134 | 600,000,000 | 715,651,812 | (25,256,236) | - | - | 584,150,086 | - |
| Motor Vehicles, Department of..... | 12,726,664 | 62,351,000 | 7,605,669 | 789,000 | - | 59,509,116 | 8,751,879 | 59,514,007 |
| NYS Gaming Commission..... | 50,502,978 | 236,038,000 | 26,677,381 | - | - | 186,258,317 | 73,605,280 | 186,238,597 |
| NYS Racing and Wagering Board..... | 40,000 | - | - | - | - | - | 40,000 | - |
| Oil Spill Fund (ENCON)..... | 11,322,374 | 21,200,000 | 9,499,701 | - | - | 12,566,232 | 10,456,441 | 12,566,232 |
| Parks, Recreation and Historic Preservation, Office of..... | 45,803,817 | 93,974,000 | 18,208,453 | 179,000 | - | 79,440,319 | 42,308,045 | 79,437,112 |
| People With Developmental Disabilities, Office for..... | 715,279,939 | 2,629,514,000 | 319,607,705 | 15,590,000 | - | 2,320,159,696 | 720,616,538 | 2,320,148,017 |
| Prevention of Domestic Violence, Office for the..... | 63,132 | 41,000 | 53,906 | 9,905 | - | - | 60,131 | - |
| Public Benefit Corporations..... | 2,336,840,575 | 2,373,934,000 | 2,336,774,075 | 400,358 | - | 389,332 | 2,374,011,526 | 389,332 |
| Public Employment Relations Board..... | 346,024 | 384,000 | 341,062 | - | - | 12,431 | 376,531 | 12,431 |
| Public Service, Department of..... | 32,105,327 | 86,270,000 | 8,643,106 | 1,693,000 | - | 75,514,031 | 35,911,190 | 75,512,880 |
| State Comptroller, Office of the..... | 4,373,519 | 19,484,000 | 3,360,069 | 925,233 | - | 17,754,848 | 3,667,835 | 17,755,111 |
| State Police, Division of..... | 12,229,374 | 118,609,000 | 8,746,980 | 2,434,956 | - | 86,080,878 | 38,445,472 | 86,081,612 |
| State University of New York..... | 2,795,342,089 | 7,018,272,100 | 523,826,013 | 23,343,369 | - | 6,427,292,869 | 2,885,838,676 | 6,427,225,377 |
| State, Department of..... | 12,821,807 | 49,116,000 | 3,062,969 | 8,790,289 | - | 51,480,586 | 16,184,541 | 51,479,363 |

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2017

SCHEDULE 9
 (continued)

| | APPROPRIATIONS IN FORCE APRIL 1, 2016 | ADD: APPROPRIATIONS BY 2016 LEGISLATURE | LESS: APPROPRIATIONS LAPSED | AUTHORIZED BUDGET TRANSFERS (*) | OTHER 2016 LEGISLATIVE ACTIONS (**) | BUDGET PERIOD 2016-17 EXPENDITURES | BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2017 | BUDGET PERIOD 2016-17 DISBURSEMENTS |
|--|---|---|-----------------------------------|---------------------------------------|---|--|--|---|
| SPECIAL REVENUE - STATE (continued): | | | | | | | | |
| Taxation and Finance, Department of..... | 41,181,103 | 110,977,000 | 18,568,208 | 150,000 | - | 94,390,835 | 39,349,060 | 94,412,413 |
| Temporary and Disability Assistance, Office of..... | 22,825,039 | 22,400,000 | 21,980,252 | 850,000 | - | 1,852,999 | 22,241,788 | 1,852,999 |
| Transportation, Department of..... | 796,326,285 | 5,085,687,500 | 333,306,808 | 331,179 | (311,794,951) | 4,895,913,302 | 341,329,903 | 4,895,913,302 |
| Unified Court System..... | 102,448,406 | 245,763,938 | 25,646,339 | 8,279,117 | - | 232,829,984 | 98,015,138 | 232,830,076 |
| Veterans' Affairs, Division of..... | 77,833 | - | - | - | - | - | 77,833 | - |
| Victim Services, Office of..... | 64,452,688 | 43,006,000 | 1,269,465 | (158,681) | - | 27,561,536 | 78,469,006 | 27,567,456 |
| Workers' Compensation Board..... | 12,981,078 | 189,063,000 | 2,747,027 | 2,040,000 | - | 187,641,518 | 13,695,533 | 187,641,518 |
| TOTAL SPECIAL REVENUE - STATE..... | 27,266,641,079 | 43,242,907,201 | 13,916,164,415 | 62,697,110 | (311,794,951) | 32,612,588,356 | 23,731,697,668 | 32,613,043,025 |
| DEBT SERVICE: | | | | | | | | |
| Miscellaneous State Agencies and Public Authorities..... | 2,153,594,281 | 8,142,200,000 | 2,310,701,132 | - | - | 5,345,495,684 | 2,639,597,465 | 5,471,014,477 |
| TOTAL DEBT SERVICE..... | 2,153,594,281 | 8,142,200,000 | 2,310,701,132 | - | - | 5,345,495,684 | 2,639,597,465 | 5,471,014,477 |
| CAPITAL PROJECTS - FEDERAL: | | | | | | | | |
| Environmental Conservation, Department of..... | 752,655,940 | 207,000,000 | 532,230 | 75,000 | - | 213,235,953 | 745,962,757 | 213,235,953 |
| General Services, Office of..... | 1,348,797 | - | - | - | - | - | 1,348,797 | - |
| Health, Department of..... | 156,230,984 | 77,000,000 | - | - | - | 52,765,452 | 180,465,532 | 52,765,452 |
| Homeland Security and Emergency Services, Office of..... | 199,738,050 | - | - | - | - | - | 199,738,050 | - |
| Housing and Community Renewal, Division of..... | 10,100,498 | - | - | - | - | - | 10,100,498 | - |
| Military and Naval Affairs, Division of..... | 163,322,966 | 31,000,000 | 3,829,385 | - | - | 34,643,740 | 155,849,841 | 34,643,740 |
| Miscellaneous State Agencies and Public Authorities..... | 100,000,000 | - | - | - | - | - | 100,000,000 | - |
| Parks, Recreation and Historic Preservation, Office of..... | 18,461,576 | 4,000,000 | 420,283 | (75,000) | - | 5,100,992 | 16,865,301 | 5,100,992 |
| State University of New York..... | 1 | - | - | - | - | - | 1 | - |
| Transportation, Department of..... | 6,869,297,759 | 2,168,000,000 | 1,378,935 | 56,305,000 | 10,500,000 | 2,301,440,063 | 6,801,283,761 | 2,301,397,110 |
| TOTAL CAPITAL PROJECTS - FEDERAL..... | 8,271,156,571 | 2,487,000,000 | 6,160,833 | 56,305,000 | 10,500,000 | 2,607,186,200 | 8,211,614,538 | 2,607,143,247 |
| CAPITAL PROJECTS - STATE: | | | | | | | | |
| Adirondack Park Agency..... | 261,348 | - | - | - | - | 31,608 | 229,740 | 31,608 |
| Agriculture and Markets, Department of..... | 143,092,436 | 10,500,000 | 5,678 | 33,032,000 | - | 82,158,712 | 104,460,046 | 82,158,712 |
| Alcoholism and Substance Abuse Services, Office of..... | 470,972,606 | 33,000,000 | 581,434 | - | - | 56,332,448 | 447,058,724 | 56,332,448 |
| Children and Family Services, Office of..... | 340,974,463 | 147,675,000 | 110,000,001 | - | - | 24,546,328 | 354,103,134 | 24,546,328 |
| City University Construction Fund..... | 5,795,783,165 | 241,623,000 | 5,529,080 | 5,000,000 | 20,000,000 | 40,815,173 | 6,016,061,912 | 40,653,807 |
| City University of New York..... | - | - | - | - | - | - | - | - |
| Corrections and Community Supervision (Corcraft), Department of..... | 1,196,928 | - | - | 1,500,000 | - | 1,429,958 | 1,266,970 | 1,429,958 |
| Corrections and Community Supervision, Department of..... | 401,292,673 | 310,000,000 | 136 | (1,565,783) | - | 301,847,728 | 407,879,026 | 301,844,596 |
| Criminal Justice Services, Division of..... | - | - | - | 10,000,000 | - | 9,066 | 9,990,934 | 9,066 |
| Disaster Aid..... | 93,042 | - | - | - | - | - | 93,042 | - |
| Economic Development, Department of..... | 9,627,912 | - | - | - | - | 314,666 | 9,313,246 | 314,666 |
| Education, State Department of..... | 2,126,431,005 | 22,400,000 | - | - | - | 54,011,854 | 2,094,819,151 | 54,011,854 |
| Environmental Conservation, Department of..... | 1,532,773,928 | 753,600,000 | 1,000,391 | (63,118,551) | - | 357,505,014 | 1,864,749,972 | 357,505,014 |
| General Services, Office of..... | 526,976,279 | 90,235,000 | 20,901 | 10,000,000 | - | 150,043,502 | 477,146,876 | 150,043,502 |
| Greenway Heritage Conservancy..... | - | - | - | 250,000 | - | 250,000 | - | 250,000 |
| Health, Department of..... | 2,301,251,813 | 281,100,000 | 43,055,199 | 388,282,000 | - | 186,965,394 | 2,740,613,220 | 186,965,085 |
| Higher Education Services Corporation..... | - | - | - | 20,000,000 | - | - | 20,000,000 | - |
| Homeland Security and Emergency Services, Division of..... | 481,546,130 | - | - | 11,800,000 | - | 57,021,725 | 436,324,405 | 57,021,725 |
| Housing and Community Renewal, Division of..... | 360,358,562 | 2,070,675,000 | - | - | - | 131,253,605 | 2,299,779,957 | 131,253,605 |
| Information Technology Services, Office of..... | 135,508,999 | 85,700,000 | - | (6,000,000) | - | 80,361,838 | 134,847,161 | 80,361,838 |
| Inspector General, Office of the State..... | 2,655 | - | - | 575,000 | - | 574,411 | 3,244 | 574,411 |
| Lake George Park Commission..... | 104,709 | - | - | 455,750 | - | 550,705 | 9,754 | 550,705 |
| Law, Department of..... | 8,368,643 | 10,000,000 | - | 1,337,458 | - | 7,811,692 | 11,894,409 | 7,811,692 |
| Mental Health, Office of..... | 2,275,530,951 | 323,472,000 | 1,059,746 | 48,700,000 | - | 182,001,709 | 2,464,641,496 | 182,418,013 |

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2017

SCHEDULE 9
 (continued)

| | APPROPRIATIONS IN FORCE APRIL 1, 2017 | ADD: APPROPRIATIONS BY 2016 LEGISLATURE | LESS: APPROPRIATIONS LAPSED | AUTHORIZED BUDGET TRANSFERS (*) | OTHER 2016 LEGISLATIVE ACTIONS (**) | BUDGET PERIOD 2016-17 EXPENDITURES | BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2017 | BUDGET PERIOD 2016-17 DISBURSEMENTS |
|---|---|---|-----------------------------------|---------------------------------------|---|--|--|---|
| CAPITAL PROJECTS - STATE (continued): | | | | | | | | |
| Military and Naval Affairs, Division of..... | 49,697,786 | 16,200,000 | - | 34,990,700 | - | 56,185,580 | 44,702,906 | 56,185,580 |
| Miscellaneous State Agencies and Public Authorities..... | 6,831,825,695 | 3,055,300,000 | 277,089,678 | (733,019,940) | - | 287,040,112 | 8,589,975,965 | 287,040,112 |
| Motor Vehicles, Department of..... | 31,976,997 | 216,553,000 | 8,128,168 | - | - | 217,108,045 | 23,293,784 | 217,104,351 |
| National and Community Service..... | 600,000 | - | - | 200,000 | - | 162,641 | 637,359 | 162,641 |
| Parks, Recreation and Historic Preservation, Office of..... | 586,452,780 | 150,700,000 | - | (17,300,000) | - | 206,240,734 | 513,612,046 | 206,292,757 |
| People With Developmental Disabilities, Office for..... | 625,631,764 | 28,000,000 | - | 25,100,000 | - | 72,005,560 | 606,726,204 | 72,005,560 |
| Public Benefit Corporations..... | 7,272,599,756 | 5,023,601,000 | 351 | 71,411,940 | - | 1,633,158,734 | 10,734,453,611 | 1,632,327,249 |
| State Comptroller, Office of the..... | - | 6,000,000 | - | - | - | 2,099,706 | 3,900,294 | 2,099,706 |
| State Police, Division of..... | 80,655,634 | 37,500,000 | 92 | 3,425,083 | - | 34,434,683 | 87,145,942 | 34,434,683 |
| State University of New York Construction Fund..... | 4,807,714,888 | 533,151,000 | - | 15,058,000 | 30,000,000 | 751,416,694 | 4,634,507,194 | 747,522,436 |
| State University of New York..... | 94,441,781 | - | - | 50,193,688 | - | 46,274,219 | 98,361,250 | 46,273,998 |
| State, Department of..... | 116,984,898 | - | - | 19,867,000 | - | 24,749,241 | 112,102,737 | 24,749,241 |
| Temporary and Disability Assistance, Office of..... | 117,013,406 | 63,500,000 | - | 25,000,000 | - | 54,595,834 | 150,917,572 | 54,595,834 |
| Transportation, Department of..... | 4,262,771,358 | 3,385,646,000 | 11,069 | 119,478,396 | 311,794,951 | 2,995,127,035 | 5,084,552,601 | 2,995,105,821 |
| Unified Court System..... | 56,805,546 | - | - | - | - | - | 56,805,546 | - |
| Workers' Compensation Board..... | 56,882,733 | - | - | - | - | 3,752,509 | 53,130,224 | 3,752,509 |
| TOTAL CAPITAL PROJECTS - STATE..... | 41,904,203,269 | 16,896,131,000 | 446,481,924 | 74,652,821 | 361,794,951 | 8,100,188,463 | 50,690,111,654 | 8,095,741,111 |
| SPECIAL EMERGENCY: | | | | | | | | |
| Miscellaneous State Agencies and Public Authorities..... | 2,500,000,000 | 1,500,000,000 | 2,500,000,000 | - | - | - | 1,500,000,000 | - |
| TOTAL SPECIAL EMERGENCY..... | 2,500,000,000 | 1,500,000,000 | 2,500,000,000 | - | - | - | 1,500,000,000 | - |
| TOTAL GOVERNMENTAL FUNDS..... | \$ 355,231,973,102 | \$ 264,021,275,078 | \$ 92,153,880,456 | \$ (3,392,652) | \$ 732,673,655 | \$ 160,173,362,431 | \$ 367,655,286,296 | \$ 160,329,583,468 |
| ENTERPRISE: | | | | | | | | |
| Aging, State Office for the..... | 100,000 | 100,000 | 100,000 | - | - | - | 100,000 | - |
| Agriculture and Markets, Department of..... | 9,340,386 | 21,261,000 | - | 21,000 | - | 13,507,765 | 17,114,621 | 13,507,765 |
| Children and Family Services, Office of..... | 597,128 | 475,000 | 186,708 | - | - | 63,635 | 821,785 | 63,635 |
| Correctional Services, Department of (Corcraft)..... | 257,231 | 742,000 | 167,077 | - | - | 613,346 | 218,808 | 613,346 |
| Corrections and Community Supervision, Department of..... | 8,326,046 | 42,601,000 | 5,563,777 | - | - | 38,477,059 | 6,886,210 | 38,631,033 |
| Developmental Disabilities Planning Council..... | 10,000 | 10,000 | 9,950 | - | - | 61 | 9,989 | 61 |
| General Services, Office of..... | 511,556 | 2,176,000 | 406,506 | 11,000 | - | 1,569,414 | 722,636 | 1,569,414 |
| Information Technology Services, Office of..... | 4,000,000 | 4,000,000 | 4,000,000 | - | - | - | 4,000,000 | - |
| Justice Center for the Protection of People with Special Needs..... | 500,000 | 500,000 | 500,000 | - | - | - | 500,000 | - |
| Labor, Department of..... | 5,447,248,635 | 3,000,000,000 | 3,200,512,480 | - | - | 2,217,497,693 | 3,029,238,462 | 2,217,497,693 |
| Mental Health, Office of..... | 6,478,538 | 6,606,000 | 6,261,896 | - | - | 1,606,510 | 7,216,132 | 1,606,595 |
| People With Developmental Disabilities, Office for..... | 2,136,309 | 2,657,000 | 1,757,478 | - | - | 1,269,855 | 1,765,976 | 1,269,855 |
| TOTAL ENTERPRISE..... | 5,479,505,829 | 3,083,128,000 | 3,219,465,872 | 32,000 | - | 2,274,605,338 | 3,068,594,619 | 2,274,759,397 |
| INTERNAL SERVICE: | | | | | | | | |
| Budget, Division of the..... | 571,261 | 1,650,000 | 571,261 | - | - | 1,225,140 | 424,860 | 1,225,140 |
| Children and Family Services, Office of..... | 7,774,182 | 14,208,000 | 6,824,782 | 66,000 | - | 9,442,635 | 5,780,765 | 9,442,635 |
| Civil Service, Department of..... | 17,241,985 | 39,009,000 | 15,551,842 | (1,667,928) | - | 21,073,708 | 17,957,507 | 21,078,851 |
| Correctional Services, Department of (Corcraft)..... | 9,836,153 | 64,122,000 | 374,511 | 116,000 | - | 61,323,979 | 12,375,663 | 61,289,869 |
| Corrections and Community Supervision, Department of..... | 34,088,627 | 9,000,000 | - | - | - | 1,795,162 | 41,293,465 | 1,795,162 |
| Education, State Department of..... | 13,228,644 | 33,663,000 | 10,793,851 | 585,000 | - | 21,397,465 | 15,285,328 | 21,397,465 |
| Employee Relations, Office of..... | 1,272,017 | 1,947,000 | 1,167,254 | - | - | 835,238 | 1,216,525 | 835,238 |
| Environmental Conservation, Department of..... | 26,967 | 95,000 | 26,967 | - | - | - | 95,000 | - |
| General Services, Office of..... | 523,381,513 | 830,186,000 | 494,581,207 | 1,712,000 | - | 337,671,152 | 523,027,154 | 337,704,756 |
| Information Technology Services, Office of..... | 417,110,938 | 151,636,000 | 222,861,327 | - | - | 47,516,597 | 298,369,014 | 47,466,619 |
| Labor, Department of..... | 6,224,321 | 4,253,000 | 3,270,575 | 13,000 | - | 1,890,652 | 5,329,094 | 1,890,652 |

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2017

SCHEDULE 9
 (continued)

| | APPROPRIATIONS IN FORCE APRIL 1, 2016 | ADD: APPROPRIATIONS BY 2016 LEGISLATURE | LESS: APPROPRIATIONS LAPSED | AUTHORIZED BUDGET TRANSFERS (*) | OTHER 2016 LEGISLATIVE ACTIONS (**) | BUDGET PERIOD 2016-17 EXPENDITURES | BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2017 | BUDGET PERIOD 2016-17 DISBURSEMENTS |
|--|---|---|-----------------------------------|---------------------------------------|---|--|--|---|
| INTERNAL SERVICE (continued): | | | | | | | | |
| Mental Health, Office of..... | 1,809,153 | 2,597,000 | 1,746,169 | - | - | 1,213,850 | 1,446,134 | 1,213,850 |
| Motor Vehicles, Department of..... | 4,821,008 | 5,300,000 | 4,784,272 | - | - | 408,039 | 4,928,697 | 408,039 |
| People With Developmental Disabilities, Office for..... | 348,001 | 348,000 | 348,000 | - | - | - | 348,001 | - |
| Prevention of Domestic Violence, Office for the..... | 286,995 | 890,000 | 273,167 | 13,780 | - | 592,929 | 324,679 | 592,929 |
| State Comptroller, Office of the..... | 7,998,475 | 35,063,000 | 5,187,304 | 798,676 | - | 33,910,824 | 4,762,023 | 33,910,947 |
| State University of New York..... | 4,683,246 | 23,000,000 | - | - | - | 20,854,402 | 6,828,844 | 20,842,881 |
| Taxation and Finance, Department of..... | 70,550,314 | 77,442,400 | 52,062,400 | - | - | 20,423,517 | 75,506,797 | 20,423,517 |
| TOTAL INTERNAL SERVICE..... | 1,121,253,800 | 1,294,409,400 | 820,424,889 | 1,636,528 | - | 581,575,289 | 1,015,299,550 | 581,518,550 |
| TOTAL PROPRIETARY FUNDS..... | \$ 6,600,759,629 | \$ 4,377,537,400 | \$ 4,039,890,761 | \$ 1,668,528 | \$ - | \$ 2,856,180,627 | \$ 4,083,894,169 | \$ 2,856,277,947 |
| PRIVATE PURPOSE TRUST: | | | | | | | | |
| Agriculture and Markets, Department of..... | 1,406,905 | 1,836,000 | 1,385,078 | 6,000 | - | 274,613 | 1,589,214 | 274,613 |
| TOTAL PRIVATE PURPOSE TRUST..... | 1,406,905 | 1,836,000 | 1,385,078 | 6,000 | - | 274,613 | 1,589,214 | 274,613 |
| PENSION TRUST: | | | | | | | | |
| State Comptroller, Office of the..... | 4,644,756 | 106,729,000 | 3 | 1,718,124 | - | 107,812,413 | 5,279,464 | 107,813,081 |
| TOTAL PENSION TRUST..... | 4,644,756 | 106,729,000 | 3 | 1,718,124 | - | 107,812,413 | 5,279,464 | 107,813,081 |
| AGENCY: | | | | | | | | |
| City University of New York..... | 857,381,524 | 2,357,189,900 | 131,850,232 | - | - | 2,612,654,679 | 470,066,513 | 2,612,655,079 |
| General Services, Office of..... | 750,000 | 750,000 | 750,000 | - | - | - | 750,000 | - |
| Housing and Community Renewal, Division of..... | 385,628,696 | - | - | (76,125,000) | - | 31,542,548 | 277,961,148 | 31,542,548 |
| Law, Department of..... | 76,934,245 | - | - | - | - | 19,020,301 | 57,913,944 | 19,020,301 |
| Mental Health, Office of..... | - | - | - | 10,000,000 | - | 10,000,000 | - | 10,000,000 |
| Miscellaneous State Agencies and Public Authorities..... | 427,211,673 | 513,500,000 | 427,211,673 | - | - | 277,815,605 | 235,684,395 | 277,815,605 |
| Public Benefit Corporations..... | 5,435,000 | - | - | 44,125,000 | - | 37,257,231 | 12,302,769 | 37,257,231 |
| Temporary and Disability Assistance, Office of..... | 17,730,690 | 10,000,000 | 7,605,364 | 22,000,000 | - | 14,420,215 | 27,705,111 | 14,420,215 |
| Transportation, Department of..... | 419,476,122 | 50,000,000 | - | - | - | 7,078,220 | 462,397,902 | 7,078,220 |
| TOTAL AGENCY..... | 2,190,547,950 | 2,931,439,900 | 567,417,269 | - | - | 3,009,788,799 | 1,544,781,782 | 3,009,789,199 |
| TOTAL FIDUCIARY FUNDS..... | \$ 2,196,599,611 | \$ 3,040,004,900 | \$ 568,802,350 | \$ 1,724,124 | \$ - | \$ 3,117,875,825 | \$ 1,551,650,460 | \$ 3,117,876,893 |
| GRAND TOTAL - ALL FUNDS..... | \$ 364,029,332,342 | \$ 271,438,817,378 | \$ 96,762,573,567 | \$ - | \$ 732,673,655 | \$ 166,147,418,883 | \$ 373,290,830,925 | \$ 166,303,738,308 |

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND AND BUSINESS UNIT
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 10

| | <u>BALANCE APRIL 1, 2016</u> | <u>ADVANCES</u> | <u>REIMBURSEMENTS</u> | <u>BALANCE MARCH 31, 2017</u> |
|---------------------------------------|----------------------------------|-----------------|-----------------------|-----------------------------------|
| GENERAL: | | | | |
| Public Benefit Corporations..... | \$ 11,876 | \$ - | \$ - | \$ 11,876 |
| TOTAL GENERAL..... | 11,876 | - | - | 11,876 |
| SPECIAL REVENUE: | | | | |
| NYS Gaming Commission..... | 9,000 | - | - | 9,000 |
| TOTAL SPECIAL REVENUE..... | 9,000 | - | - | 9,000 |
| CAPITAL PROJECTS: | | | | |
| Environmental Conservation | 17,299 | 150 | - | 17,449 |
| Office of General Services..... | 923 | - | - | 923 |
| Transportation..... | 23,284 | 20 | - | 23,304 |
| Public Benefit Corporations..... | 156,138 | - | 100 | 156,038 |
| TOTAL CAPITAL PROJECTS..... | 197,644 | 170 | 100 | 197,714 |
| TOTAL GOVERNMENTAL FUNDS | \$ 218,520 | \$ 170 | \$ 100 | \$ 218,590 |

STATE OF NEW YORK
GENERAL FUND
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT, AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 11

| | BALANCE APRIL 1, 2016 | ADVANCES | REIMBURSEMENTS | BALANCE MARCH 31, 2017 |
|---|--------------------------|-------------|----------------|---------------------------|
| LOCAL ASSISTANCE ACCOUNT: | | | | |
| PUBLIC BENEFIT CORPORATIONS: | | | | |
| Development Authority of the North Country: | | | | |
| Expansion of US Army Fort Drum..... | \$ 791 | \$ - | \$ - | \$ 791 |
| Urban Development Corporation: | | | | |
| Regional Economic Development Program..... | 1,150 | - | - | 1,150 |
| New York Racing Association - Economic Development..... | 8,400 | - | - | 8,400 |
| TOTAL LOCAL ASSISTANCE ACCOUNT..... | 10,341 | - | - | 10,341 |
| STATE OPERATIONS ACCOUNT: | | | | |
| PUBLIC BENEFIT CORPORATIONS: | | | | |
| Development Authority of the North Country: | | | | |
| Expansion of US Army Fort Drum..... | 373 | - | - | 373 |
| Urban Development Corporation: | | | | |
| High Risk Targeted Investment Program..... | 1,162 | - | - | 1,162 |
| TOTAL STATE OPERATIONS ACCOUNT..... | 1,535 | - | - | 1,535 |
| TOTAL GENERAL FUND..... | \$ 11,876 | \$ - | \$ - | \$ 11,876 |

STATE OF NEW YORK
 SPECIAL REVENUE FUNDS
 SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

SCHEDULE 12

| | <u>BALANCE</u> <u>APRIL 1, 2016</u> | <u>ADVANCES</u> | <u>REIMBURSEMENTS</u> | <u>BALANCE</u> <u>MARCH 31, 2017</u> |
|---|--|-----------------|-----------------------|---|
| STATE LOTTERY FUND: | | | | |
| NYS GAMING COMMISSION: | | | | |
| New York Racing Association..... | \$ 9,000 | \$ - | \$ - | \$ 9,000 |
| TOTAL STATE LOTTERY FUND..... | <u>9,000</u> | <u>-</u> | <u>-</u> | <u>9,000</u> |
| | | | | |
| TOTAL SPECIAL REVENUE FUNDS..... | <u>\$ 9,000</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ 9,000</u> |

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 13

| | BALANCE APRIL 1, 2016 | ADVANCES | REIMBURSEMENTS | BALANCE MARCH 31, 2017 |
|---|--------------------------|---------------|----------------|---------------------------|
| STATE CAPITAL PROJECTS FUND: | | | | |
| ENVIRONMENTAL CONSERVATION: | | | | |
| Municipal Share of Flood Control Projects..... | \$ 17,299 | \$ 150 | \$ - | \$ 17,449 |
| OFFICE OF GENERAL SERVICES: | | | | |
| Real Property Maintenance and Improvements..... | 923 | - | - | 923 |
| TRANSPORTATION: | | | | |
| Railroad Crossing Improvement Projects - Municipal Share..... | 1,116 | - | - | 1,116 |
| South Bronx Oak Point Link Project - New York..... | 630 | - | - | 630 |
| PUBLIC BENEFIT CORPORATIONS: | | | | |
| Hudson River Park Trust - Regional Development..... | 22,378 | - | - | 22,378 |
| Niagara Frontier Transportation Authority: | | | | |
| Construction/Rehabilitation of Buffalo International Airport..... | 3,380 | - | - | 3,380 |
| Ogdensburg Bridge and Port Authority: | | | | |
| Construction and Development of Port Facilities..... | 18,630 | - | - | 18,630 |
| Port Authority of New York and New Jersey - South Bronx Oak Point Link..... | 3,230 | - | - | 3,230 |
| Port of Oswego Authority - Construction and Development of Port Facilities..... | 3,706 | - | 100 | 3,606 |
| Urban Development Corporation: | | | | |
| Broadway Redevelopment..... | 5,000 | - | - | 5,000 |
| Construction of Albany Civic Center..... | 6,500 | - | - | 6,500 |
| Construction of Binghamton Multi - Use Stadium..... | 1,400 | - | - | 1,400 |
| Construction of Buffalo Parking Facility..... | 5,399 | - | - | 5,399 |
| Construction of Buffalo Sports Stadium..... | 22,420 | - | - | 22,420 |
| Construction of Rochester Convention Center..... | 39,433 | - | - | 39,433 |
| Economic Development Program..... | 348 | - | - | 348 |
| High Risk Targeted Investment Program..... | 11,234 | - | - | 11,234 |
| Minority and Women Business Assistance..... | 3,010 | - | - | 3,010 |
| South Street Seaport Development Project..... | 1,929 | - | - | 1,929 |
| Strategic Resurgence | 1,146 | - | - | 1,146 |
| Urban Community Development..... | 6,995 | - | - | 6,995 |
| TOTAL STATE CAPITAL PROJECTS FUND | 176,106 | 150 | 100 | 176,156 |
| DEDICATED HIGHWAY AND BRIDGE TRUST FUND: | | | | |
| TRANSPORTATION: | | | | |
| Industrial Access Program..... | 21,538 | 20 | - | 21,558 |
| TOTAL DEDICATED HIGHWAY AND BRIDGE TRUST FUND..... | 21,538 | 20 | - | 21,558 |
| TOTAL CAPITAL PROJECTS FUNDS | \$ 197,644 | \$ 170 | \$ 100 | \$ 197,714 |

STATE OF NEW YORK
STATEMENT OF STATE GENERAL OBLIGATION DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 14

| PURPOSE | PRINCIPAL | | | | | INTEREST (*) | |
|--|------------------------------|-------------|-------------|--------------------------|-------------------------------|--------------------------|--------------------------|
| | OUTSTANDING APRIL 1, 2016 | ISSUED | | REDEEMED | OUTSTANDING MARCH 31, 2017 | MARCH 31, 2017 | MARCH 31, 2016 |
| | | REFUNDING | ISSUANCE | | | | |
| Accelerated Capacity and Transportation | | | | | | | |
| Improvements of the Nineties (ACTION)..... | \$ 106,955,627.05 | \$ - | \$ - | \$ 44,215,664.05 | \$ 62,739,963.00 | \$ 4,219,269.36 | \$ 4,951,534.67 |
| Clean Water/Clean Air: | | | | | | | |
| Air Quality..... | 3,010,952.09 | - | - | 1,195,274.08 | 1,815,678.01 | 127,793.62 | 302,387.62 |
| Safe Drinking Water..... | - | - | - | - | - | - | - |
| Clean Water..... | 402,544,044.61 | - | - | 28,512,699.10 | 374,031,345.51 | 15,234,691.82 | 15,292,838.51 |
| Solid Waste..... | 37,125,908.42 | - | - | 5,654,801.48 | 31,471,106.94 | 898,918.54 | 777,868.31 |
| Environmental Restoration..... | 79,264,390.55 | - | - | 12,168,463.95 | 67,095,926.60 | 3,275,982.22 | 3,768,087.51 |
| Energy Conservation Through Improved Transportation: | | | | | | | |
| Local Streets and Highways..... | - | - | - | - | - | - | - |
| Rapid Transit and Rail Freight..... | 2,574,158.76 | - | - | 726,808.55 | 1,847,350.21 | 98,450.66 | 171,353.98 |
| Environmental Quality (1972): | | | | | | | |
| Air..... | 494,853.29 | - | - | 162,781.40 | 332,071.89 | 20,530.28 | 86,827.17 |
| Land and Wetlands..... | 6,671,868.18 | - | - | 2,958,456.74 | 3,713,411.44 | 264,814.64 | 408,774.21 |
| Water..... | 29,701,680.75 | - | - | 8,162,461.14 | 21,539,219.61 | 1,028,548.61 | 1,449,961.62 |
| Environmental Quality (1986): | | | | | | | |
| Land Acquisition/Development/Restoration/Forests..... | 15,489,192.93 | - | - | 3,724,569.55 | 11,764,623.38 | 520,427.61 | 538,920.33 |
| Solid Waste Management..... | 179,135,421.94 | - | - | 37,584,067.48 | 141,551,354.46 | 7,532,613.31 | 8,122,623.64 |
| Housing: | | | | | | | |
| Low Income..... | 16,120,000.00 | - | - | 2,880,000.00 | 13,240,000.00 | 479,000.00 | 584,100.00 |
| Middle Income..... | 13,975,000.00 | - | - | 3,455,000.00 | 10,520,000.00 | 419,983.75 | 503,900.00 |
| Urban Renewal..... | - | - | - | - | - | - | - |
| Outdoor Recreation Development..... | | | | | | | |
| Park and Recreation Land Acquisition..... | | | | | | | |
| | 6,351.45 | - | - | 3,113.45 | 3,238.00 | 254.06 | 370.80 |
| Pure Waters..... | | | | | | | |
| | 31,246,366.40 | - | - | 5,697,235.48 | 25,549,130.92 | 1,209,791.01 | 1,423,839.10 |
| Rail Preservation..... | | | | | | | |
| | 297,438.64 | - | - | 297,438.64 | - | 7,867.25 | 26,288.73 |
| Rebuild and Renew New York Transportation: | | | | | | | |
| Highway Facilities..... | 802,029,290.37 | - | - | 55,248,657.25 | 746,780,633.12 | 35,814,737.02 | 38,055,126.36 |
| Canals and Waterways..... | 15,019,108.04 | - | - | 2,579,356.28 | 12,439,751.76 | 703,895.61 | 806,690.04 |
| Aviation..... | 48,703,092.50 | - | - | 2,734,938.05 | 45,968,154.45 | 2,098,775.67 | 2,214,033.37 |
| Rail and Port..... | 79,651,413.07 | - | - | 3,257,339.76 | 76,394,073.31 | 3,644,104.76 | 3,786,378.25 |
| Mass Transit - Dept. of Transportation..... | 6,268,331.08 | - | - | 1,813,666.82 | 4,454,664.26 | 283,408.70 | 362,697.18 |
| Mass Transit - Metropolitan Transportation Authority..... | 838,086,893.13 | - | - | 38,675,678.26 | 799,411,214.87 | 36,743,919.08 | 38,201,473.46 |
| Rebuild New York - Transportation Infrastructure Renewal: | | | | | | | |
| Highways, Parkways and Bridges..... | 1,427,346.08 | - | - | 337,856.04 | 1,089,490.04 | 66,668.94 | 84,018.73 |
| Ports, Canals and Waterways..... | - | - | - | - | - | - | - |
| Rapid Transit, Rail and Aviation..... | 5,775,985.38 | - | - | 1,304,038.11 | 4,471,947.27 | 230,057.77 | 283,009.87 |
| Smart Schools Bond Act..... | | | | | | | |
| | - | - | - | - | - | - | - |
| Transportation Capital Facilities: | | | | | | | |
| Aviation..... | 5,846,614.98 | - | - | 1,455,964.57 | 4,390,650.41 | 215,414.77 | 291,604.63 |
| Highways..... | - | - | - | - | - | - | - |
| Mass Transportation..... | 38,669.77 | - | - | 38,669.77 | - | 996.40 | 3,010.63 |
| TOTAL GENERAL OBLIGATION DEBT..... | \$ 2,727,459,999.46 | \$ - | \$ - | \$ 264,845,000.00 | \$ 2,462,614,999.46 | \$ 115,140,915.46 | \$ 122,497,718.72 |

(*) Interest on general obligation bonds is paid from the General Debt Service Fund, except for interest on housing bonds, which is paid from the Housing Debt Fund.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
AUTHORIZED, ISSUED AND OUTSTANDING
AS OF MARCH 31, 2017

SCHEDULE 15

| PURPOSE | YEAR OF FIRST ISSUANCE | YEAR OF LAST MATURITY | BONDS AUTHORIZED | BONDS AND NOTES ISSUED (*) | AUTHORIZED BUT UNISSUED | TOTAL DEBT OUTSTANDING |
|--|------------------------|-----------------------|--------------------------|----------------------------|-------------------------|-------------------------|
| Accelerated Capacity and Transportation Improvements of the Nineties (ACTION) | 1989 | 2031 | \$ 3,000,000,000 | \$ 2,979,768,596 | \$ 20,231,404 | \$ 62,739,963 |
| Clean Water/Clean Air: | | | | | | |
| Air Quality..... | 1997 | 2022 | 230,000,000 | 200,291,165 | 29,708,835 | 1,815,678 |
| Safe Drinking Water..... | 1998 | 2015 | 355,000,000 | 355,000,000 | - | - |
| Clean Water..... | 1997 | 2045 | 790,000,000 | 728,183,917 (***) | 61,816,083 | 374,031,346 |
| Solid Waste..... | 1999 | 2040 | 175,000,000 | 172,096,402 (***) | 2,903,598 | 31,471,107 |
| Environmental Restoration..... | 1998 | 2035 | 200,000,000 | 176,917,397 | 23,082,603 | 67,095,927 |
| Energy Conservation Through Improved Transportation: | | | | | | |
| Local Streets and Highways..... | 1981 | 1995 | 100,000,000 | 100,000,000 | - | - |
| Rapid Transit and Rail Freight..... | 1981 | 2033 | 400,000,000 | 400,000,000 | - | 1,847,350 |
| Environmental Quality (1972): | | | | | | |
| Air..... | 1977 | 2021 | 150,000,000 | 137,646,893 (***) | 12,353,107 | 332,072 |
| Land and Wetlands..... | 1976 | 2029 | 350,000,000 | 342,645,602 | 7,354,398 | 3,713,411 |
| Water..... | 1976 | 2043 | 650,000,000 | 647,667,912 | 2,332,088 | 21,539,220 |
| Environmental Quality (1986): | | | | | | |
| Land Acquisition/Development/Restoration/Forests..... | 1989 | 2035 | 250,000,000 | 248,964,527 | 1,035,473 | 11,764,623 |
| Solid Waste Management..... | 1989 | 2033 | 1,200,000,000 | 1,151,010,576 | 48,989,424 | 141,551,355 |
| Housing: | | | | | | |
| Low Income..... | 1941 | 2024 | 960,000,000 | 952,072,000 | 7,928,000 | 13,240,000 |
| Middle Income..... | 1957 | 2023 | 150,000,000 | 149,500,000 | 500,000 | 10,520,000 |
| Urban Renewal..... | 1957 | 2009 | 25,000,000 | 23,425,000 | 1,575,000 | - |
| Outdoor Recreation Development | 1970 | 2010 | 200,000,000 | 199,770,000 | 230,000 | - |
| Park and Recreation Land Acquisition | 1959 | 2018 | 100,000,000 | 99,228,000 | 772,000 | 3,238 |
| Pure Waters | 1968 | 2043 | 1,000,000,000 | 980,075,995 | 19,924,005 | 25,549,131 |
| Rail Preservation | 1977 | 2017 | 250,000,000 | 250,000,000 | - | - |
| Rebuild and Renew New York Transportation: | | | | | | |
| Transportation Purposes (excluding MTA): | | | | | | |
| Highway Facilities..... | 2006 | 2042 | - | 1,142,172,938 (**) | - | 746,780,633 |
| Canals and Waterways..... | 2009 | 2025 | - | 28,422,423 (**) | - | 12,439,752 |
| Aviation..... | 2009 | 2043 | - | 64,187,404 (**) | - | 45,968,154 |
| Rail and Port..... | 2007 | 2045 | - | 97,595,450 (**) | - | 76,394,073 |
| Mass Transit - Department of Transportation..... | 2008 | 2021 | - | 17,672,000 (**) | - | 4,454,664 |
| Total Transportation Purposes (excluding MTA)..... | | | 1,450,000,000 (**) | 1,350,050,215 (**) | 99,949,785 (**) | 886,037,276 |
| Mass Transit - Metropolitan Transportation Authority..... | 2006 | 2043 | 1,450,000,000 | 1,064,151,648 | 385,848,352 | 799,411,215 |
| Rebuild New York - Transportation Infrastructure Renewal: | | | | | | |
| Highways, Parkways and Bridges..... | 1984 | 2025 | 1,064,000,000 (***) | 1,043,500,569 | 20,499,431 | 1,089,490 |
| Ports, Canals and Waterways..... | 1984 | 2013 | 49,360,000 (***) | 49,360,000 | - | - |
| Rapid Transit, Rail and Aviation..... | 1984 | 2027 | 136,640,000 (***) | 136,640,000 | - | 4,471,947 |
| Smart Schools Bond Act | - | - | 2,000,000,000 | - | 2,000,000,000 | - |
| Transportation Capital Facilities: | | | | | | |
| Aviation..... | 1971 | 2027 | 250,000,000 | 250,000,000 | - | 4,390,650 |
| Highways..... | 1968 | 1998 | 1,250,000,000 | 1,250,000,000 | - | - |
| Mass Transportation..... | 1970 | 2017 | 1,000,000,000 | 1,000,000,000 | - | - |
| TOTAL GENERAL OBLIGATION DEBT | | | \$ 19,185,000,000 | \$ 16,437,966,416 | \$ 2,747,033,584 | \$ 2,462,614,999 |

(*) For the purpose of calculating bonds issued, par values of the bonds sold are adjusted for any premium/discount that was applied at the time of issuance.

(**) Legislation authorizing the issuance of Rebuild and Renew New York Transportation Bonds only specified the total amount of bonds authorized to be issued for transportation purposes (excluding MTA). As bonds are issued, this report will categorize the issuance under its designated purpose.

(***) Authorizations have been adjusted to reflect reallocations made by Chapter 54 of the Laws of 1990.

(****) Bond proceeds from Series 2003D were reallocated from EQ72-Air to Clean Water/Clean Air - Water and Solid Waste purposes.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2007-08 THRU 2016-17

SCHEDULE 16

| PURPOSE | YEAR OF FIRST ISSUANCE | YEAR OF LAST MATURITY | March 31, 2008 | | March 31, 2009 | | March 31, 2010 | |
|--|------------------------------|-----------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | | | PRINCIPAL | INTEREST | PRINCIPAL | INTEREST | PRINCIPAL | INTEREST |
| Accelerated Capacity and Transportation Improvements of the Nineties (ACTION) | 1989 | 2031 | \$ 90,972,644 | \$ 36,316,411 | \$ 92,815,636 | \$ 32,361,946 | \$ 93,970,062 | \$ 27,349,527 |
| Clean Water/Clean Air: | | | | | | | | |
| Air Quality | 1997 | 2022 | 15,882,790 | 4,955,950 | 15,996,664 | 4,057,198 | 14,887,861 | 3,143,766 |
| Safe Drinking Water | 1998 | 2015 | 39,614,549 | 7,238,956 | 33,540,365 | 4,758,315 | 26,522,789 | 2,803,835 |
| Clean Water | 1997 | 2045 | 19,054,377 | 18,737,796 | 21,276,439 | 18,478,671 | 23,574,084 | 15,642,941 |
| Solid Waste | 1999 | 2040 | 10,989,823 | 4,316,190 | 11,443,816 | 3,483,526 | 12,425,758 | 2,387,169 |
| Environmental Restoration | 1998 | 2035 | 4,153,956 | 1,817,310 | 5,341,624 | 2,088,664 | 7,749,049 | 3,109,731 |
| Energy Conservation Through Improved Transportation: | | | | | | | | |
| Local Streets and Highways | 1981 | 1995 | - | - | - | - | - | - |
| Rapid Transit and Rail Freight | 1981 | 2033 | 4,461,422 | 1,612,855 | 4,388,022 | 1,384,635 | 3,812,513 | 1,165,854 |
| Environmental Quality (1972): | | | | | | | | |
| Air | 1977 | 2021 | 3,607,154 | 1,228,184 | 3,775,156 | 1,031,090 | 3,333,165 | 832,198 |
| Land and Wetlands | 1976 | 2029 | 9,021,260 | 2,826,162 | 9,073,796 | 2,413,490 | 7,447,114 | 1,981,066 |
| Water | 1976 | 2043 | 17,236,300 | 7,542,077 | 17,164,312 | 6,622,378 | 13,663,045 | 5,804,401 |
| Environmental Quality (1986): | | | | | | | | |
| Land Acquisition/Development/Restoration/Forests | 1989 | 2035 | 12,681,755 | 3,755,551 | 12,544,326 | 2,952,220 | 14,674,181 | 1,996,679 |
| Solid Waste Management | 1989 | 2033 | 54,520,571 | 25,397,214 | 56,202,570 | 19,748,632 | 56,457,669 | 14,912,486 |
| Housing: | | | | | | | | |
| Low Income | 1941 | 2024 | 10,461,765 | 2,455,948 | 10,471,572 | 2,089,381 | 10,361,326 | 1,736,069 |
| Middle Income | 1957 | 2023 | 4,542,000 | 2,454,758 | 4,733,000 | 2,269,755 | 4,925,000 | 2,076,600 |
| Urban Renewal | 1957 | 2009 | 10,463 | 871 | 10,284 | 289 | - | - |
| Outdoor Recreation Development | 1970 | 2010 | 105,639 | 12,338 | 100,207 | 6,893 | 30,318 | 1,637 |
| Park and Recreation Land Acquisition | 1959 | 2018 | 5,388 | 1,227 | 7,717 | 1,868 | 7,169 | 1,609 |
| Pure Waters | 1968 | 2043 | 13,379,805 | 5,180,404 | 11,291,284 | 4,577,512 | 9,661,085 | 4,093,915 |
| Rail Preservation | 1977 | 2017 | 5,901,567 | 1,385,409 | 5,878,403 | 1,066,504 | 4,860,550 | 758,369 |
| Rebuild and Renew New York Transportation: | | | | | | | | |
| Highway Facilities | 2006 | 2042 | 2,739,683 | 2,001,372 | 11,828,414 | 7,606,786 | 16,840,573 | 13,046,843 |
| Canals and Waterways | 2009 | 2025 | - | - | - | - | 607,862 | 275,990 |
| Aviation | 2009 | 2043 | - | - | - | - | 645,134 | 665,354 |
| Rail and Port | 2007 | 2045 | 68,438 | 157,348 | 71,392 | 164,357 | 1,129,798 | 1,699,816 |
| Mass Transit - Department of Transportation | 2008 | 2021 | - | - | 338,907 | 131,898 | 1,003,156 | 422,134 |
| Mass Transit - Metropolitan Transportation Authority | 2006 | 2043 | 2,675,581 | 3,761,327 | 5,843,788 | 5,152,346 | 11,226,443 | 13,313,863 |
| Rebuild New York - Transportation Infrastructure Renewal: | | | | | | | | |
| Highways, Parkways and Bridges | 1984 | 2025 | 1,517,202 | 325,825 | 1,463,850 | 269,349 | 1,403,809 | 202,001 |
| Ports, Canals and Waterways | 1984 | 2013 | 264,198 | 19,759 | 73,762 | 7,130 | 14,912 | 4,722 |
| Rapid Transit, Rail and Aviation | 1984 | 2027 | 6,142,255 | 1,553,034 | 2,832,446 | 1,203,904 | 1,788,927 | 1,075,196 |
| Smart Schools Bond Act | - | - | - | - | - | - | - | - |
| Transportation Capital Facilities: | | | | | | | | |
| Aviation | 1971 | 2027 | 6,206,476 | 1,776,849 | 4,061,352 | 1,423,428 | 3,858,075 | 1,220,590 |
| Highways | 1968 | 1998 | - | - | - | - | - | - |
| Mass Transportation | 1970 | 2017 | 13,483,939 | 2,351,344 | 10,287,896 | 1,686,119 | 8,422,573 | 1,143,087 |
| TOTALS | | | \$ 349,701,000 | \$ 139,182,469 | \$ 352,857,000 | \$ 127,038,284 | \$ 355,304,000 | \$ 122,867,447 |

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2007-08 THRU 2016-17

SCHEDULE 16
(continued)

| PURPOSE | YEAR OF FIRST ISSUANCE | YEAR OF LAST MATURITY | March 31, 2011 | | March 31, 2012 | | March 31, 2013 | |
|--|------------------------------|-----------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | | | PRINCIPAL | INTEREST | PRINCIPAL | INTEREST | PRINCIPAL | INTEREST |
| Accelerated Capacity and Transportation Improvements of the Nineties (ACTION) | 1989 | 2031 | \$ 92,979,557 | \$ 24,007,853 | \$ 88,086,857 | \$ 18,490,218 | \$ 80,150,520 | \$ 15,514,645 |
| Clean Water/Clean Air: | | | | | | | | |
| Air Quality..... | 1997 | 2022 | 14,508,714 | 2,525,816 | 14,736,251 | 2,047,370 | 12,643,037 | 1,592,780 |
| Safe Drinking Water..... | 1998 | 2015 | 20,904,914 | 1,780,093 | 15,195,455 | 982,335 | 9,109,125 | 436,578 |
| Clean Water..... | 1997 | 2045 | 27,515,255 | 17,843,809 | 25,584,706 | 17,694,260 | 27,069,670 | 17,361,198 |
| Solid Waste..... | 1999 | 2040 | 13,092,695 | 2,245,038 | 11,780,361 | 1,919,064 | 11,039,456 | 1,629,009 |
| Environmental Restoration..... | 1998 | 2035 | 8,886,205 | 3,577,987 | 10,127,430 | 3,683,712 | 11,350,173 | 4,010,003 |
| Energy Conservation Through Improved Transportation: | | | | | | | | |
| Local Streets and Highways..... | 1981 | 1995 | - | - | - | - | - | - |
| Rapid Transit and Rail Freight..... | 1981 | 2033 | 4,757,324 | 942,002 | 3,703,162 | 526,891 | 3,498,079 | 399,450 |
| Environmental Quality (1972): | | | | | | | | |
| Air..... | 1977 | 2021 | 3,476,088 | 697,123 | 3,633,186 | 561,329 | 3,140,960 | 438,407 |
| Land and Wetlands..... | 1976 | 2029 | 8,197,214 | 1,669,435 | 7,121,195 | 1,236,074 | 7,015,543 | 977,624 |
| Water..... | 1976 | 2043 | 15,270,074 | 5,117,574 | 13,785,658 | 3,700,533 | 16,670,711 | 2,963,331 |
| Environmental Quality (1986): | | | | | | | | |
| Land Acquisition/Development/Restoration/Forests..... | 1989 | 2035 | 6,108,064 | 1,618,094 | 6,038,641 | 1,289,450 | 6,927,201 | 1,089,955 |
| Solid Waste Management..... | 1989 | 2033 | 57,045,834 | 16,929,358 | 61,518,957 | 14,785,149 | 50,032,595 | 13,468,435 |
| Housing: | | | | | | | | |
| Low Income..... | 1941 | 2024 | 7,619,253 | 1,412,401 | 7,149,472 | 1,141,010 | 5,935,000 | 967,650 |
| Middle Income..... | 1957 | 2023 | 5,123,000 | 1,875,053 | 5,344,000 | 751,158 | 4,415,000 | 685,251 |
| Urban Renewal..... | 1957 | 2009 | - | - | - | - | - | - |
| Outdoor Recreation Development | 1970 | 2010 | - | - | - | - | - | - |
| Park and Recreation Land Acquisition | 1959 | 2018 | 7,783 | 1,250 | 12,605 | 874 | 2,601 | 685 |
| Pure Waters | 1968 | 2043 | 9,013,047 | 3,691,330 | 9,585,460 | 2,912,892 | 10,178,930 | 2,518,976 |
| Rail Preservation | 1977 | 2017 | 4,024,814 | 514,339 | 2,885,412 | 264,874 | 2,043,406 | 138,739 |
| Rebuild and Renew New York Transportation: | | | | | | | | |
| Highway Facilities..... | 2006 | 2042 | 27,569,401 | 21,218,377 | 34,756,665 | 28,962,644 | 39,875,281 | 33,531,325 |
| Canals and Waterways..... | 2009 | 2025 | 1,261,216 | 477,201 | 1,288,473 | 455,013 | 1,674,360 | 627,868 |
| Aviation..... | 2009 | 2043 | 1,431,412 | 1,276,818 | 1,982,907 | 1,846,232 | 2,038,342 | 1,875,106 |
| Rail and Port..... | 2007 | 2045 | 1,715,052 | 2,216,286 | 2,236,652 | 3,393,485 | 2,309,975 | 3,494,641 |
| Mass Transit - Department of Transportation..... | 2008 | 2021 | 1,098,450 | 425,206 | 1,491,192 | 579,552 | 1,524,394 | 561,064 |
| Mass Transit - Metropolitan Transportation Authority.... | 2006 | 2043 | 17,753,650 | 20,050,556 | 21,930,194 | 27,956,978 | 27,585,257 | 35,749,267 |
| Rebuild New York - Transportation Infrastructure Renewal: | | | | | | | | |
| Highways, Parkways and Bridges..... | 1984 | 2025 | 941,679 | 157,461 | 677,982 | 131,769 | 715,024 | 113,449 |
| Ports, Canals and Waterways..... | 1984 | 2013 | 35,695 | 3,747 | 37,435 | 2,285 | 38,396 | 768 |
| Rapid Transit, Rail and Aviation..... | 1984 | 2027 | 2,657,637 | 958,247 | 2,387,899 | 753,898 | 3,333,691 | 631,031 |
| Smart Schools Bond Act | - | - | - | - | - | - | - | - |
| Transportation Capital Facilities: | | | | | | | | |
| Aviation..... | 1971 | 2027 | 3,552,316 | 1,032,850 | 3,224,757 | 758,251 | 2,594,718 | 607,650 |
| Highways..... | 1968 | 1998 | - | - | - | - | - | - |
| Mass Transportation..... | 1970 | 2017 | 8,145,657 | 682,885 | 4,695,036 | 286,703 | 2,833,555 | 67,189 |
| TOTALS | | | \$ 364,692,000 | \$ 134,948,189 | \$ 360,998,000 | \$ 137,114,003 | \$ 345,745,000 | \$ 141,452,074 |

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2007-08 THRU 2016-17

SCHEDULE 16
(continued)

| PURPOSE | YEAR OF FIRST ISSUANCE | YEAR OF LAST MATURITY | March 31, 2014 | | March 31, 2015 | | March 31, 2016 | | March 31, 2017 | |
|--|------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | | | PRINCIPAL | INTEREST | PRINCIPAL | INTEREST | PRINCIPAL | INTEREST | PRINCIPAL | INTEREST |
| Accelerated Capacity and Transportation Improvements of the Nineties (ACTION) | 1989 | 2031 | \$ 59,239,005 | \$ 11,324,805 | \$ 73,050,730 | \$ 9,150,971 | \$ 44,088,768 | \$ 4,951,535 | \$ 44,215,664 | \$ 4,219,269 |
| Clean Water/Clean Air: | | | | | | | | | | |
| Air Quality | 1997 | 2022 | 12,211,777 | 1,102,502 | 10,440,575 | 656,918 | 6,743,376 | 302,388 | 1,195,274 | 127,794 |
| Safe Drinking Water | 1998 | 2015 | 3,447,572 | 64,376 | 7,932 | 377 | - | - | - | - |
| Clean Water | 1997 | 2045 | 28,732,807 | 16,353,261 | 25,355,095 | 16,532,868 | 26,882,316 | 15,292,839 | 28,512,699 | 15,234,692 |
| Solid Waste | 1999 | 2040 | 9,416,589 | 1,263,579 | 5,417,017 | 1,063,746 | 5,684,198 | 777,868 | 5,654,801 | 898,918 |
| Environmental Restoration | 1998 | 2035 | 11,328,773 | 3,724,766 | 11,780,068 | 3,352,018 | 12,340,510 | 3,768,088 | 12,168,464 | 3,275,982 |
| Energy Conservation Through Improved Transportation: | | | | | | | | | | |
| Local Streets and Highways | 1981 | 1995 | - | - | - | - | - | - | - | - |
| Rapid Transit and Rail Freight | 1981 | 2033 | 3,290,514 | 295,223 | 1,273,923 | 273,740 | 2,712,050 | 171,354 | 726,809 | 98,451 |
| Environmental Quality (1972): | | | | | | | | | | |
| Air | 1977 | 2021 | 3,100,735 | 297,401 | 1,797,336 | 194,137 | 2,491,605 | 86,827 | 162,781 | 20,530 |
| Land and Wetlands | 1976 | 2029 | 6,559,377 | 702,250 | 3,437,109 | 489,754 | 3,357,287 | 408,774 | 2,958,457 | 264,815 |
| Water | 1976 | 2043 | 18,317,279 | 2,152,239 | 8,371,903 | 1,855,917 | 11,228,184 | 1,449,962 | 8,162,461 | 1,028,548 |
| Environmental Quality (1986): | | | | | | | | | | |
| Land Acquisition/Development/Restoration/Forests | 1989 | 2035 | 4,431,460 | 825,329 | 3,046,876 | 736,483 | 4,547,720 | 538,920 | 3,724,570 | 520,427 |
| Solid Waste Management | 1989 | 2033 | 51,812,599 | 11,356,614 | 46,469,128 | 10,511,030 | 46,980,744 | 8,122,624 | 37,584,068 | 7,532,613 |
| Housing: | | | | | | | | | | |
| Low Income | 1941 | 2024 | 4,765,000 | 822,050 | 3,770,000 | 698,100 | 3,770,000 | 584,100 | 2,880,000 | 479,000 |
| Middle Income | 1957 | 2023 | 4,720,000 | 643,526 | 4,740,000 | 578,230 | 3,310,000 | 503,900 | 3,455,000 | 419,984 |
| Urban Renewal | 1957 | 2009 | - | - | - | - | - | - | - | - |
| Outdoor Recreation Development | 1970 | 2010 | - | - | - | - | - | - | - | - |
| Park and Recreation Land Acquisition | 1959 | 2018 | 2,787 | 581 | 2,804 | 483 | 2,919 | 371 | 3,113 | 254 |
| Pure Waters | 1968 | 2043 | 10,965,252 | 1,993,405 | 6,159,061 | 1,814,867 | 7,447,408 | 1,423,839 | 5,697,235 | 1,209,791 |
| Rail Preservation | 1977 | 2017 | 1,533,034 | 80,838 | 396,834 | 45,693 | 449,723 | 26,289 | 297,439 | 7,867 |
| Rebuild and Renew New York Transportation: | | | | | | | | | | |
| Highway Facilities | 2006 | 2042 | 46,821,599 | 38,098,806 | 48,236,187 | 36,785,952 | 53,663,190 | 38,055,126 | 55,248,657 | 35,814,737 |
| Canals and Waterways | 2009 | 2025 | 1,853,459 | 652,102 | 1,919,404 | 589,713 | 2,473,810 | 806,690 | 2,579,356 | 703,896 |
| Aviation | 2009 | 2043 | 2,425,104 | 2,364,454 | 2,511,284 | 2,308,409 | 2,619,079 | 2,214,033 | 2,734,938 | 2,098,776 |
| Rail and Port | 2007 | 2045 | 2,612,405 | 3,589,764 | 2,716,588 | 3,495,470 | 3,111,383 | 3,786,378 | 3,257,340 | 3,644,105 |
| Mass Transit - Department of Transportation | 2008 | 2021 | 1,595,202 | 502,433 | 1,652,617 | 433,575 | 1,723,730 | 362,697 | 1,813,667 | 283,409 |
| Mass Transit - Metropolitan Transportation Authority | 2006 | 2043 | 36,650,462 | 40,302,233 | 37,666,361 | 39,487,751 | 38,944,442 | 38,201,473 | 38,675,678 | 36,743,919 |
| Rebuild New York - Transportation Infrastructure Renewal: | | | | | | | | | | |
| Highways, Parkways and Bridges | 1984 | 2025 | 799,745 | 142,524 | 830,180 | 115,657 | 381,335 | 84,019 | 337,856 | 66,669 |
| Ports, Canals and Waterways | 1984 | 2013 | - | - | - | - | - | - | - | - |
| Rapid Transit, Rail and Aviation | 1984 | 2027 | 2,970,463 | 417,897 | 1,588,953 | 398,640 | 2,073,634 | 283,010 | 1,304,038 | 230,058 |
| Smart Schools Bond Act | - | - | - | - | - | - | - | - | - | - |
| Transportation Capital Facilities: | | | | | | | | | | |
| Aviation | 1971 | 2027 | 2,309,984 | 481,966 | 1,756,299 | 431,551 | 3,222,876 | 291,605 | 1,455,965 | 215,415 |
| Highways | 1968 | 1998 | - | - | - | - | - | - | - | - |
| Mass Transportation | 1970 | 2017 | 892,017 | 19,001 | 40,736 | 5,063 | 39,714 | 3,011 | 38,670 | 996 |
| TOTALS | | | \$ 332,805,000 | \$ 139,573,925 | \$ 304,435,000 | \$ 132,007,113 | \$ 290,290,000 | \$ 122,497,719 | \$ 264,845,000 | \$ 115,140,915 |

**STATE OF NEW YORK
FUTURE GENERAL OBLIGATION DEBT SERVICE
REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (*)**

SCHEDULE 17

| PURPOSE | March 31, 2018 | | | March 31, 2019 | | | March 31, 2020 | | |
|--|-----------------------|-----------------------|-----------------------|-----------------------|----------------------|-----------------------|-----------------------|----------------------|-----------------------|
| | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL |
| Accelerated Capacity and Transportation Improvements of the Nineties (ACTION) | \$ 30,464,862 | \$ 2,377,655 | \$ 32,842,517 | \$ 15,527,176 | \$ 1,252,386 | \$ 16,779,562 | \$ 5,501,074 | \$ 755,643 | \$ 6,256,717 |
| Clean Water/Clean Air: | | | | | | | | | |
| Air Quality..... | 472,507 | 72,010 | 544,517 | 490,368 | 51,606 | 541,974 | 509,359 | 30,599 | 539,958 |
| Safe Drinking Water..... | - | - | - | - | - | - | - | - | - |
| Clean Water..... | 26,962,570 | 15,272,791 | 42,235,361 | 25,521,933 | 14,074,480 | 39,596,413 | 26,517,327 | 12,948,879 | 39,466,206 |
| Solid Waste..... | 5,338,512 | 1,174,711 | 6,513,223 | 5,597,765 | 953,731 | 6,551,496 | 5,784,618 | 728,284 | 6,512,902 |
| Environmental Restoration..... | 10,767,101 | 2,803,096 | 13,570,197 | 10,138,496 | 2,316,189 | 12,454,685 | 7,101,664 | 1,850,104 | 8,951,768 |
| Energy Conservation Through Improved Transportation: | | | | | | | | | |
| Local Streets and Highways..... | - | - | - | - | - | - | - | - | - |
| Rapid Transit and Rail Freight..... | 229,318 | 75,186 | 304,504 | 264,788 | 65,555 | 330,343 | 138,980 | 56,736 | 195,716 |
| Environmental Quality (1972): | | | | | | | | | |
| Air..... | 162,864 | 12,450 | 175,314 | 162,960 | 4,357 | 167,317 | 3,063 | 250 | 3,313 |
| Land and Wetlands..... | 709,823 | 176,258 | 886,081 | 986,004 | 136,191 | 1,122,195 | 570,058 | 98,225 | 668,283 |
| Water..... | 5,880,496 | 729,351 | 6,609,847 | 4,821,685 | 532,750 | 5,354,435 | 4,038,036 | 375,590 | 4,413,626 |
| Environmental Quality (1986): | | | | | | | | | |
| Land Acquisition/Development/Restoration/Forests..... | 3,182,377 | 466,474 | 3,648,851 | 2,379,711 | 343,339 | 2,723,050 | 2,311,965 | 242,904 | 2,554,869 |
| Solid Waste Management..... | 27,787,939 | 6,215,298 | 34,003,237 | 14,088,373 | 4,942,716 | 19,031,089 | 14,561,297 | 4,295,907 | 18,857,204 |
| Housing: | | | | | | | | | |
| Low Income..... | 2,880,000 | 382,800 | 3,262,800 | 1,860,000 | 302,800 | 2,162,800 | 1,860,000 | 243,000 | 2,103,000 |
| Middle Income..... | 2,110,000 | 341,882 | 2,451,882 | 2,185,000 | 275,781 | 2,460,781 | 2,190,000 | 200,855 | 2,390,855 |
| Urban Renewal..... | - | - | - | - | - | - | - | - | - |
| Outdoor Recreation Development | - | - | - | - | - | - | - | - | - |
| Park and Recreation Land Acquisition | 3,238 | 130 | 3,368 | - | - | - | - | - | - |
| Pure Waters | 4,276,347 | 986,610 | 5,262,957 | 3,217,264 | 839,407 | 4,056,671 | 2,775,450 | 726,634 | 3,502,084 |
| Rail Preservation | - | - | - | - | - | - | - | - | - |
| Rebuild and Renew New York Transportation: | | | | | | | | | |
| Highway Facilities..... | 55,858,222 | 33,511,076 | 89,369,298 | 49,599,735 | 30,971,323 | 80,571,058 | 49,509,436 | 28,847,621 | 78,357,057 |
| Canals and Waterways..... | 2,692,443 | 591,155 | 3,283,598 | 2,815,133 | 468,539 | 3,283,672 | 1,971,091 | 339,085 | 2,310,176 |
| Aviation..... | 2,849,840 | 1,982,870 | 4,832,710 | 2,984,498 | 1,848,517 | 4,833,015 | 2,300,142 | 1,724,050 | 4,024,192 |
| Rail and Port..... | 3,414,705 | 3,485,942 | 6,900,647 | 3,591,108 | 3,310,819 | 6,901,927 | 2,661,304 | 3,151,341 | 5,812,645 |
| Mass Transit - Department of Transportation..... | 1,892,336 | 205,135 | 2,097,471 | 1,495,780 | 120,699 | 1,616,479 | 520,267 | 53,327 | 573,594 |
| Mass Transit - Metropolitan Transportation Authority..... | 40,070,170 | 35,343,116 | 75,413,286 | 37,449,646 | 33,753,946 | 71,203,592 | 34,468,972 | 32,361,383 | 66,830,355 |
| Rebuild New York - Transportation Infrastructure Renewal: | | | | | | | | | |
| Highways, Parkways and Bridges..... | 169,791 | 52,793 | 222,584 | 178,281 | 44,303 | 222,584 | 187,195 | 35,389 | 222,584 |
| Ports, Canals and Waterways..... | - | - | - | - | - | - | - | - | - |
| Rapid Transit, Rail and Aviation..... | 781,006 | 183,789 | 964,795 | 1,088,953 | 147,448 | 1,236,401 | 566,568 | 112,023 | 678,591 |
| Smart Schools Bond Act | - | - | - | - | - | - | - | - | - |
| Transportation Capital Facilities: | | | | | | | | | |
| Aviation..... | 628,533 | 170,406 | 798,939 | 720,343 | 145,408 | 865,751 | 947,134 | 114,603 | 1,061,737 |
| Highways..... | - | - | - | - | - | - | - | - | - |
| Mass Transportation..... | - | - | - | - | - | - | - | - | - |
| TOTAL FUTURE DEBT SERVICE REQUIREMENTS | \$ 229,585,000 | \$ 106,612,984 | \$ 336,197,984 | \$ 187,165,000 | \$ 96,902,290 | \$ 284,067,290 | \$ 166,995,000 | \$ 89,292,432 | \$ 256,287,432 |

(*) See Accompanying Footnotes

STATE OF NEW YORK
 FUTURE GENERAL OBLIGATION DEBT SERVICE
 REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (*)

SCHEDULE 17
 (continued)

| PURPOSE | March 31, 2021 | | | March 31, 2022 | | | THEREAFTER | | | TOTAL FUTURE DEBT SERVICE | | |
|--|-----------------------|----------------------|-----------------------|-----------------------|----------------------|-----------------------|-------------------------|-----------------------|-------------------------|---------------------------|-------------------------|-------------------------|
| | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL |
| Accelerated Capacity and Transportation | | | | | | | | | | | | |
| Improvements of the Nineties (ACTION)..... | \$ 1,464,683 | \$ 504,701 | \$ 1,969,384 | \$ 1,562,917 | \$ 434,131 | \$ 1,997,048 | \$ 8,219,251 | \$ 1,303,513 | \$ 9,522,764 | \$ 62,739,963 | \$ 6,628,029 | \$ 69,367,992 |
| Clean Water/Clean Air: | | | | | | | | | | | | |
| Air Quality..... | 309,518 | 14,179 | 323,697 | 33,926 | 1,018 | 34,944 | - | - | - | 1,815,678 | 169,412 | 1,985,090 |
| Safe Drinking Water..... | - | - | - | - | - | - | - | - | - | - | - | - |
| Clean Water..... | 25,986,973 | 11,784,735 | 37,771,708 | 24,488,912 | 10,673,584 | 35,162,496 | 244,553,631 | 72,798,461 | 317,352,092 | 374,031,346 | 137,552,930 | 511,584,276 |
| Solid Waste..... | 5,546,300 | 508,000 | 6,054,300 | 3,869,820 | 329,175 | 4,198,995 | 5,334,092 | 1,128,952 | 6,463,044 | 31,471,107 | 4,822,853 | 36,293,960 |
| Environmental Restoration..... | 5,239,087 | 1,566,073 | 6,805,160 | 3,294,594 | 1,350,343 | 4,644,937 | 30,554,985 | 7,153,242 | 37,708,227 | 67,095,927 | 17,039,047 | 84,134,974 |
| Energy Conservation Through Improved Transportation: | | | | | | | | | | | | |
| Local Streets and Highways..... | - | - | - | - | - | - | - | - | - | - | - | - |
| Rapid Transit and Rail Freight..... | 191,808 | 49,218 | 241,026 | 263,712 | 38,507 | 302,219 | 758,744 | 102,808 | 861,552 | 1,847,350 | 388,010 | 2,235,360 |
| Environmental Quality (1972): | | | | | | | | | | | | |
| Air..... | 3,185 | 128 | 3,313 | - | - | - | - | - | - | 332,072 | 17,185 | 349,257 |
| Land and Wetlands..... | 304,452 | 71,194 | 375,646 | 316,420 | 56,031 | 372,451 | 826,654 | 87,038 | 913,692 | 3,713,411 | 624,937 | 4,338,348 |
| Water..... | 1,170,720 | 278,686 | 1,449,406 | 2,122,395 | 205,978 | 2,328,373 | 3,505,888 | 1,234,716 | 4,740,604 | 21,539,220 | 3,357,071 | 24,896,291 |
| Environmental Quality (1986): | | | | | | | | | | | | |
| Land Acquisition/Development/Restoration/Forests... | 1,475,188 | 149,018 | 1,624,206 | 455,644 | 95,855 | 551,499 | 1,959,738 | 382,588 | 2,342,326 | 11,764,623 | 1,680,178 | 13,444,801 |
| Solid Waste Management..... | 14,770,062 | 3,628,854 | 18,398,916 | 14,828,703 | 2,949,163 | 17,777,866 | 55,514,981 | 7,549,857 | 63,064,838 | 141,551,355 | 29,581,795 | 171,133,150 |
| Housing: | | | | | | | | | | | | |
| Low Income..... | 1,860,000 | 187,200 | 2,047,200 | 1,860,000 | 131,400 | 1,991,400 | 2,920,000 | 107,400 | 3,027,400 | 13,240,000 | 1,354,600 | 14,594,600 |
| Middle Income..... | 2,240,000 | 117,195 | 2,357,195 | 1,280,000 | 47,035 | 1,327,035 | 515,000 | 10,558 | 525,558 | 10,520,000 | 993,306 | 11,513,306 |
| Urban Renewal..... | - | - | - | - | - | - | - | - | - | - | - | - |
| Outdoor Recreation Development: | | | | | | | | | | | | |
| Park and Recreation Land Acquisition..... | - | - | - | - | - | - | - | - | - | 3,238 | 130 | 3,368 |
| Pure Waters: | | | | | | | | | | | | |
| Pure Waters..... | 2,228,016 | 629,101 | 2,857,117 | 1,229,090 | 554,891 | 1,783,981 | 11,822,964 | 4,293,524 | 16,116,488 | 25,549,131 | 8,030,167 | 33,579,298 |
| Rail Preservation: | | | | | | | | | | | | |
| Rail Preservation..... | - | - | - | - | - | - | - | - | - | - | - | - |
| Rebuild and Renew New York Transportation: | | | | | | | | | | | | |
| Highway Facilities..... | 47,341,116 | 26,752,171 | 74,093,287 | 49,549,362 | 24,523,877 | 74,073,239 | 494,922,762 | 118,655,993 | 613,578,755 | 746,780,633 | 263,262,061 | 1,010,042,694 |
| Canals and Waterways..... | 1,294,178 | 246,069 | 1,540,247 | 1,358,887 | 181,360 | 1,540,247 | 2,308,020 | 222,318 | 2,530,338 | 12,439,752 | 2,048,526 | 14,488,278 |
| Aviation..... | 1,785,925 | 1,656,470 | 3,442,395 | 1,291,583 | 1,575,111 | 2,866,694 | 34,756,166 | 16,942,420 | 51,698,586 | 45,968,154 | 25,729,438 | 71,697,592 |
| Rail and Port..... | 2,757,022 | 3,054,806 | 5,811,828 | 2,877,992 | 2,933,419 | 5,811,411 | 61,091,942 | 29,071,368 | 90,163,310 | 76,394,073 | 45,007,695 | 121,401,768 |
| Mass Transit - Department of Transportation..... | 546,281 | 27,314 | 573,595 | - | - | - | - | - | - | 4,454,664 | 406,475 | 4,861,139 |
| Mass Transit - Metropolitan Transportation Authority..... | 30,907,004 | 31,035,423 | 61,942,427 | 31,342,288 | 29,755,962 | 61,098,250 | 625,173,135 | 312,966,279 | 938,139,414 | 799,411,215 | 475,216,109 | 1,274,627,324 |
| Rebuild New York - Transportation Infrastructure Renewal: | | | | | | | | | | | | |
| Highways, Parkways and Bridges..... | 196,555 | 26,029 | 222,584 | 180,373 | 16,202 | 196,575 | 177,295 | 7,653 | 184,948 | 1,089,490 | 182,369 | 1,271,859 |
| Ports, Canals and Waterways..... | - | - | - | - | - | - | - | - | - | - | - | - |
| Rapid Transit, Rail and Aviation..... | 491,155 | 85,698 | 576,853 | 347,474 | 64,854 | 412,328 | 1,196,791 | 96,578 | 1,293,369 | 4,471,947 | 690,390 | 5,162,337 |
| Smart Schools Bond Act: | | | | | | | | | | | | |
| Smart Schools Bond Act..... | - | - | - | - | - | - | - | - | - | - | - | - |
| Transportation Capital Facilities: | | | | | | | | | | | | |
| Aviation..... | 460,772 | 87,746 | 548,518 | 550,908 | 64,599 | 615,507 | 1,082,960 | 95,215 | 1,178,175 | 4,390,650 | 677,977 | 5,068,627 |
| Highways..... | - | - | - | - | - | - | - | - | - | - | - | - |
| Mass Transportation..... | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL FUTURE DEBT SERVICE REQUIREMENTS..... | \$ 148,570,000 | \$ 82,460,008 | \$ 231,030,008 | \$ 143,105,000 | \$ 75,982,495 | \$ 219,087,495 | \$ 1,587,194,999 | \$ 574,210,481 | \$ 2,161,405,480 | \$ 2,462,614,999 | \$ 1,025,460,690 | \$ 3,488,075,689 |

(*) See Accompanying Footnotes

STATE OF NEW YORK
DEBT SERVICE FUNDS
LEASE/PURCHASE AND OTHER FINANCING AGREEMENT DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 18

| Special Contractual Financing Obligations: | DEBT REDUCTION RESERVE (40000-40049) | GENERAL DEBT SERVICE (40151) | DEPARTMENT OF HEALTH INCOME (40300-40349) | LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499) | MENTAL HEALTH SERVICES (40100-40149) | REVENUE BOND TAX (40152) | SALES TAX REVENUE BOND TAX (40154) | COMBINED TOTALS | |
|--|---|---------------------------------------|--|---|---|-----------------------------------|---|-------------------------|-------------------------|
| | | | | | | | | 2016-17 | 2015-16 |
| Payments to Public Authorities: | | | | | | | | | |
| City University Construction..... | \$ - | \$ 162,560,000 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 162,560,000 | \$ 219,529,219 |
| Dormitory Authority: | | | | | | | | | |
| Consolidated Service Contract Refunding..... | - | - | - | - | - | - | - | - | 89,809,150 |
| DASNY Revenue Bond..... | - | - | - | - | - | 1,525,972,544 | 569,097,474 | 2,095,070,018 | 1,781,765,665 |
| Department of Health Facilities..... | - | - | 25,572,497 | - | - | - | - | 25,572,497 | 28,307,274 |
| Mental Health Facilities..... | - | - | - | - | 192,864,086 | - | - | 192,864,086 | 202,444,923 |
| Sales Tax Revenue Bond..... | - | - | - | - | - | - | - | - | - |
| Secured Hospital Program..... | - | 29,550,664 | - | - | - | - | - | 29,550,664 | 19,276,575 |
| State Department of Education Facilities..... | - | - | - | - | - | - | - | - | 2,453,831 |
| SUNY Community Colleges..... | - | - | - | - | - | - | - | - | - |
| SUNY Educational Facilities..... | - | 238,543,485 | - | - | - | - | - | 238,543,485 | 93,015,153 |
| Environmental Facilities Corporation..... | - | 4,926,010 | - | - | - | 53,436,166 | - | 58,362,176 | 81,179,416 |
| Housing Finance Agency..... | - | 27,662,601 | - | - | - | 33,703,729 | - | 61,366,330 | 91,680,705 |
| Local Government Assistance Corporation..... | - | - | - | 368,407,770 | - | - | - | 368,407,770 | 389,549,865 |
| Metropolitan Transportation Authority: | | | | | | | | | |
| Transit and Commuter Railroad Projects..... | - | 84,088,774 | - | - | - | - | - | 84,088,774 | 84,084,181 |
| Thruway Authority: | | | | | | | | | |
| Dedicated Highway and Bridge..... | - | 251,146,620 | - | - | - | - | - | 251,146,620 | 502,940,316 |
| Local Highway and Bridge..... | - | 129,855,900 | - | - | - | - | - | 129,855,900 | 127,271,900 |
| Transportation..... | - | - | - | - | - | 262,362,150 | - | 262,362,150 | 290,852,150 |
| Urban Development Corporation: | | | | | | | | | |
| Clarkson University..... | - | 75,900 | - | - | - | - | - | 75,900 | 1,927,350 |
| Columbia University Telecommunications Center..... | - | 2,806,000 | - | - | - | - | - | 2,806,000 | 3,516,000 |
| Consolidated Service Contract Refunding..... | - | 36,841,810 | - | - | - | - | - | 36,841,810 | 292,929,550 |
| Cornell University Supercomputer Center..... | - | 366,000 | - | - | - | - | - | 366,000 | 492,000 |
| Correctional Facilities..... | - | 18,559,435 | - | - | - | - | - | 18,559,435 | 20,169,183 |
| Debt Reduction Reserve..... | - | - | - | - | - | - | - | - | - |
| Syracuse University Science and Technology Center..... | - | - | - | - | - | - | - | - | 2,578,638 |
| UDC Revenue Bond..... | - | - | - | - | - | 1,115,253,854 | - | 1,115,253,854 | 856,611,031 |
| University Facilities Grant 95 Refunding..... | - | 143,809 | - | - | - | - | - | 143,809 | 3,313,503 |
| TOTAL..... | \$ - | \$ 987,127,008 | \$ 25,572,497 | \$ 368,407,770 | \$ 192,864,086 | \$ 2,990,728,443 | \$ 569,097,474 | \$ 5,133,797,278 | \$ 5,185,697,578 |

STATE OF NEW YORK
FUTURE LEASE/PURCHASE AND CONTRACTUAL
OBLIGATION REQUIREMENTS (*)
PAYABLE FROM DEBT SERVICE FUNDS
(Amounts in thousands)

SCHEDULE 19

| Lease/Purchase and Other Contractual Financing Obligations: | March 31, 2018 | | | March 31, 2019 | | | March 31, 2020 | | | March 31, 2021 | | |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL |
| Dormitory Authority: | | | | | | | | | | | | |
| City University of New York..... | \$ 174,996 | \$ 242,869 | \$ 417,865 | \$ 196,889 | \$ 233,964 | \$ 430,853 | \$ 224,514 | \$ 224,337 | \$ 448,851 | \$ 265,860 | \$ 210,115 | \$ 475,975 |
| Correctional Facilities..... | - | 10,117 | 10,117 | - | 10,117 | 10,117 | - | 10,117 | 10,117 | - | 10,117 | 10,117 |
| Dedicated Highway and Bridge Bonds..... | 311,365 | 135,027 | 446,392 | 276,510 | 119,598 | 396,108 | 129,560 | 105,897 | 235,457 | 357,695 | 99,472 | 457,167 |
| Environmental Programs..... | 78,495 | 64,812 | 143,307 | 62,075 | 62,208 | 124,283 | 71,120 | 60,052 | 131,172 | 142,020 | 57,381 | 199,401 |
| Housing Programs..... | 12,590 | 6,723 | 19,313 | 13,190 | 6,129 | 19,319 | 13,820 | 5,492 | 19,312 | 14,510 | 4,813 | 19,323 |
| Local Highways..... | - | 20,516 | 20,516 | 21,255 | 20,516 | 41,771 | 35,080 | 19,418 | 54,498 | 15,785 | 17,653 | 33,438 |
| MTA Service Contract Bonds..... | - | 67,765 | 67,765 | 43,675 | 67,765 | 111,440 | 80,895 | 66,026 | 146,921 | 84,910 | 62,006 | 146,916 |
| State Mental Health Facilities..... | 275,800 | 171,045 | 446,845 | 269,060 | 157,740 | 426,800 | 223,120 | 144,716 | 367,836 | 271,940 | 134,069 | 406,009 |
| State University of New York..... | 388,575 | 434,495 | 823,070 | 312,097 | 417,298 | 729,395 | 251,990 | 404,251 | 656,241 | 261,819 | 393,503 | 655,322 |
| Others | 188,189 | 182,596 | 370,785 | 275,225 | 173,592 | 448,817 | 308,244 | 160,683 | 468,927 | 208,173 | 145,625 | 353,798 |
| Environmental Facilities Corporation | 28,225 | 5,635 | 33,860 | 33,915 | 4,183 | 38,098 | 19,915 | 2,423 | 22,338 | 20,945 | 1,406 | 22,351 |
| Housing Finance Agency | 52,835 | 8,247 | 61,082 | 38,455 | 6,282 | 44,737 | 27,825 | 4,609 | 32,434 | 21,080 | 3,475 | 24,555 |
| Local Government Assistance Corporation | 292,495 | 74,735 | 367,230 | 224,395 | 62,350 | 286,745 | 341,080 | 48,340 | 389,420 | 356,935 | 31,472 | 388,407 |
| Metropolitan Transportation Authority Service Contract Bonds | 72,540 | 4,984 | 77,524 | 34,490 | 991 | 35,481 | - | - | - | - | - | - |
| Thruway Authority: | | | | | | | | | | | | |
| Dedicated Highway and Bridge Trust Fund Bonds..... | 137,850 | 110,639 | 248,489 | 173,190 | 103,461 | 276,651 | 193,620 | 94,738 | 288,358 | 431,960 | 78,843 | 510,803 |
| Local Highway and Bridge Service Contract Bonds..... | 286,040 | 97,504 | 383,544 | 259,520 | 84,370 | 343,890 | 186,080 | 72,605 | 258,685 | 180,395 | 64,387 | 244,782 |
| Urban Development Corporation: | | | | | | | | | | | | |
| City University of New York..... | 2,319 | 544 | 2,863 | 2,053 | 483 | 2,536 | 4,800 | 452 | 5,252 | 800 | 297 | 1,097 |
| Correctional Facilities..... | 230,193 | 185,981 | 416,174 | 220,560 | 175,035 | 395,595 | 224,155 | 163,798 | 387,953 | 282,370 | 152,449 | 434,819 |
| Dedicated Highway and Bridge Bonds..... | 34,668 | 78,350 | 113,018 | 36,402 | 76,616 | 113,018 | 166,332 | 74,796 | 241,128 | 104,315 | 66,480 | 170,795 |
| Environmental Programs..... | - | 4,077 | 4,077 | 9,450 | 4,081 | 13,531 | - | 3,608 | 3,608 | - | 3,608 | 3,608 |
| Housing Programs..... | 121,165 | 44,894 | 166,059 | 118,860 | 41,169 | 160,029 | 119,735 | 37,105 | 156,840 | 98,770 | 32,401 | 131,171 |
| Local Highways..... | 32,267 | 81,910 | 114,177 | 26,588 | 80,297 | 106,885 | 60,443 | 78,981 | 139,424 | 115,075 | 75,981 | 191,056 |
| State Facility Revenue Bonds..... | 16,510 | 3,628 | 20,138 | 17,455 | 2,660 | 20,115 | 18,445 | 1,637 | 20,082 | 19,500 | 557 | 20,057 |
| State University of New York | 7,716 | 1,432 | 9,148 | 7,230 | 1,297 | 8,527 | 8,145 | 1,149 | 9,294 | 6,790 | 935 | 7,725 |
| Others..... | 143,176 | 67,598 | 210,774 | 145,480 | 60,753 | 206,233 | 91,261 | 53,739 | 145,000 | 151,812 | 44,583 | 196,395 |
| Multiple Issuers: | | | | | | | | | | | | |
| Community Enhancement Facilities Assistance Program (DASNY/UDC)..... | 11,058 | 1,035 | 12,093 | 2,275 | 677 | 2,952 | 2,100 | 564 | 2,664 | 690 | 461 | 1,151 |
| Strategic Investment Programs (DASNY/UDC)..... | 3,280 | 590 | 3,870 | 2,960 | 447 | 3,407 | 2,715 | 307 | 3,022 | 1,865 | 180 | 2,045 |
| Other Economic Development Programs (DASNY/UDC)..... | 474,645 | 149,675 | 624,320 | 503,335 | 134,697 | 638,032 | 442,540 | 116,845 | 559,385 | 351,078 | 101,497 | 452,575 |
| TOTALS..... | \$ 3,376,992 | \$ 2,257,423 | \$ 5,634,415 | \$ 3,326,589 | \$ 2,108,776 | \$ 5,435,365 | \$ 3,247,534 | \$ 1,956,685 | \$ 5,204,219 | \$ 3,767,092 | \$ 1,793,766 | \$ 5,560,858 |

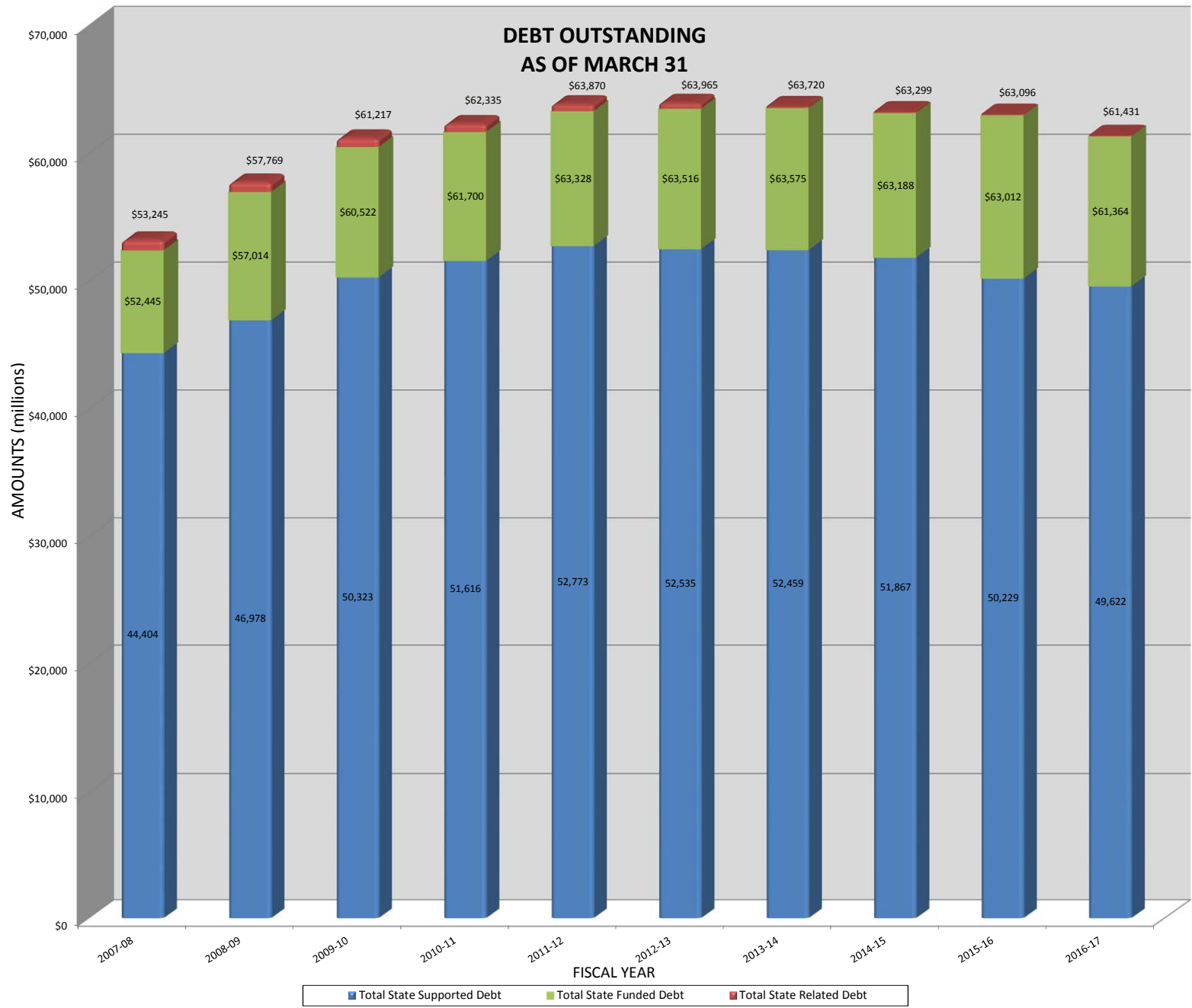
(*) See Accompanying Footnotes

STATE OF NEW YORK
FUTURE LEASE/PURCHASE AND CONTRACTUAL
OBLIGATION REQUIREMENTS (*)
PAYABLE FROM DEBT SERVICE FUNDS
(Amounts in thousands)

SCHEDULE 19
(continued)

| Lease/Purchase and Other Contractual Financing Obligations: | March 31, 2022 | | | THEREAFTER | | | TOTAL FUTURE DEBT SERVICE | | |
|---|---------------------|---------------------|---------------------|----------------------|----------------------|----------------------|---------------------------|----------------------|----------------------|
| | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL | PRINCIPAL | INTEREST | TOTAL |
| Dormitory Authority: | | | | | | | | | |
| City University of New York..... | \$ 168,650 | \$ 197,807 | \$ 366,457 | \$ 3,689,400 | \$ 2,002,102 | \$ 5,691,502 | \$ 4,720,309 | \$ 3,111,194 | \$ 7,831,503 |
| Correctional Facilities..... | - | 10,117 | 10,117 | 204,515 | 60,244 | 264,759 | 204,515 | 110,829 | 315,344 |
| Dedicated Highway and Bridge Bonds..... | 166,285 | 81,952 | 248,237 | 1,483,745 | 461,005 | 1,944,750 | 2,725,160 | 1,002,951 | 3,728,111 |
| Environmental Programs..... | 124,425 | 51,217 | 175,642 | 934,930 | 273,306 | 1,208,236 | 1,413,065 | 568,976 | 1,982,041 |
| Housing Programs..... | 585 | 4,437 | 5,022 | 89,730 | 24,625 | 114,355 | 144,425 | 52,219 | 196,644 |
| Local Highways..... | 18,525 | 16,910 | 35,435 | 319,675 | 115,557 | 435,232 | 410,320 | 210,570 | 620,890 |
| MTA Service Contract Bonds..... | 89,055 | 57,866 | 146,921 | 1,086,440 | 300,251 | 1,386,691 | 1,384,975 | 621,679 | 2,006,654 |
| State Mental Health Facilities..... | 190,160 | 120,882 | 311,042 | 2,305,535 | 1,007,292 | 3,312,827 | 3,535,615 | 1,735,744 | 5,271,359 |
| State University of New York..... | 372,973 | 381,112 | 754,085 | 7,201,145 | 3,656,276 | 10,857,421 | 8,788,599 | 5,686,935 | 14,475,534 |
| Others | 272,363 | 135,844 | 408,207 | 2,568,716 | 884,516 | 3,453,232 | 3,820,910 | 1,682,856 | 5,503,766 |
| Environmental Facilities Corporation | 5,730 | 360 | 6,090 | 5,195 | 326 | 5,521 | 113,925 | 14,333 | 128,258 |
| Housing Finance Agency | 2,700 | 2,903 | 5,603 | 77,000 | 19,917 | 96,917 | 219,895 | 45,433 | 265,328 |
| Local Government Assistance Corporation | 240,005 | 17,971 | 257,976 | 303,265 | 18,005 | 321,270 | 1,758,175 | 252,873 | 2,011,048 |
| Metropolitan Transportation Authority Service Contract Bonds | - | - | - | - | - | - | 107,030 | 5,975 | 113,005 |
| Thruway Authority: | | | | | | | | | |
| Dedicated Highway and Bridge Trust Fund Bonds..... | 134,325 | 64,281 | 198,606 | 1,193,090 | 304,749 | 1,497,839 | 2,264,035 | 756,711 | 3,020,746 |
| Local Highway and Bridge Service Contract Bonds..... | 151,625 | 56,349 | 207,974 | 993,480 | 242,018 | 1,235,498 | 2,057,140 | 617,233 | 2,674,373 |
| Urban Development Corporation: | | | | | | | | | |
| City University of New York..... | 840 | 257 | 1,097 | 4,385 | 932 | 5,317 | 15,197 | 2,965 | 18,162 |
| Correctional Facilities..... | 192,670 | 138,292 | 330,962 | 2,684,952 | 1,123,997 | 3,808,949 | 3,834,900 | 1,939,552 | 5,774,452 |
| Dedicated Highway and Bridge Bonds..... | 43,258 | 61,264 | 104,522 | 1,186,152 | 300,046 | 1,486,198 | 1,571,127 | 657,552 | 2,228,679 |
| Environmental Programs..... | 57,275 | 3,608 | 60,883 | 14,885 | 3,564 | 18,449 | 81,610 | 22,546 | 104,156 |
| Housing Programs..... | 65,100 | 28,555 | 93,655 | 571,490 | 249,414 | 820,904 | 1,095,120 | 433,538 | 1,528,658 |
| Local Highways..... | 216,719 | 70,381 | 287,100 | 1,190,897 | 275,400 | 1,466,297 | 1,641,989 | 662,950 | 2,304,939 |
| State Facility Revenue Bonds..... | - | - | - | - | - | - | 71,910 | 8,482 | 80,392 |
| State University of New York | 7,010 | 718 | 7,728 | 11,510 | 1,345 | 12,855 | 48,401 | 6,876 | 55,277 |
| Others..... | 120,339 | 37,505 | 157,844 | 641,867 | 178,031 | 819,898 | 1,293,935 | 442,209 | 1,736,144 |
| Multiple Issuers: | | | | | | | | | |
| Community Enhancement Facilities Assistance Program (DASNY/UDC)..... | 305 | 436 | 741 | 8,440 | 3,205 | 11,645 | 24,868 | 6,378 | 31,246 |
| Strategic Investment Programs (DASNY/UDC)..... | 1,185 | 110 | 1,295 | 1,700 | 329 | 2,029 | 13,705 | 1,963 | 15,668 |
| Other Economic Development Programs (DASNY/UDC)..... | 256,329 | 89,454 | 345,783 | 1,770,143 | 455,238 | 2,225,381 | 3,798,070 | 1,047,406 | 4,845,476 |
| TOTALS..... | \$ 2,898,436 | \$ 1,630,588 | \$ 4,529,024 | \$ 30,542,282 | \$ 11,961,690 | \$ 42,503,972 | \$ 47,158,925 | \$ 21,708,928 | \$ 68,867,853 |

(*) See Accompanying Footnotes



STATE OF NEW YORK
DEBT OUTSTANDING AS OF MARCH 31
FISCAL YEARS 2007-08 THRU 2016-17
(Amounts in millions)

SCHEDULE 20

| | <u>2007-08</u> | <u>2008-09</u> | <u>2009-10</u> | <u>2010-11</u> | <u>2011-12</u> | <u>2012-13</u> | <u>2013-14</u> | <u>2014-15</u> | <u>2015-16</u> | <u>2016-17</u> |
|--|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| <u>STATE SUPPORTED DEBT</u> | | | | | | | | | | |
| General Obligation Debt..... | \$ 3,221 | \$ 3,323 | \$ 3,400 | \$ 3,525 | \$ 3,494 | \$ 3,524 | \$ 3,191 | \$ 3,018 | \$ 2,727 | \$ 2,463 |
| Certificates of Participation..... | 38 | 19 | - | - | - | - | - | - | - | - |
| Lease/Purchase and Contractual Obligation Debt..... | <u>41,145</u> | <u>43,636</u> | <u>46,923</u> | <u>48,091</u> | <u>49,279</u> | <u>49,011</u> | <u>49,268</u> | <u>48,849</u> | <u>47,502</u> | <u>47,159</u> |
| SUBTOTAL - STATE SUPPORTED DEBT..... | 44,404 | 46,978 | 50,323 | 51,616 | 52,773 | 52,535 | 52,459 | 51,867 | 50,229 | 49,622 |
| <u>STATE FUNDED DEBT</u> | | | | | | | | | | |
| Tobacco Settlement Financing Corporation..... | 3,870 | 3,588 | 3,257 | 3,012 | 2,690 | 2,411 | 2,054 | 1,745 | 1,378 | 660 |
| Municipal Bond Bank Agency (MBBA)..... | 464 | 442 | 419 | 396 | 368 | 294 | 281 | 263 | 234 | 203 |
| Sales Tax Asset Receivable Corporation (STARC). | 2,407 | 2,355 | 2,302 | 2,246 | 2,188 | 2,127 | 2,063 | 1,996 | 1,961 | 1,884 |
| Secured Hospital Debt..... | - | - | - | - | - | - | 226 | 204 | 181 | 157 |
| SUNY Dormitory Facilities Revenue Bonds..... | - | - | - | - | - | - | 440 | 437 | 985 | 956 |
| Transitional Finance Authority (TFA)..... | <u>1,300</u> | <u>3,651</u> | <u>4,221</u> | <u>4,430</u> | <u>5,309</u> | <u>6,149</u> | <u>6,052</u> | <u>6,676</u> | <u>8,044</u> | <u>7,882</u> |
| SUBTOTAL - STATE FUNDED DEBT..... | 52,445 | 57,014 | 60,522 | 61,700 | 63,328 | 63,516 | 63,575 | 63,188 | 63,012 | 61,364 |
| <u>STATE RELATED DEBT</u> | | | | | | | | | | |
| Moral Obligation Debt..... | 50 | 40 | 30 | 26 | 20 | 12 | 7 | 2 | 2 | 1 |
| Secured Hospital Debt - Contingent..... | 713 | 682 | 637 | 586 | 503 | 422 | 126 | 100 | 76 | 63 |
| State Guaranteed Authority Debt..... | <u>37</u> | <u>33</u> | <u>28</u> | <u>23</u> | <u>19</u> | <u>15</u> | <u>12</u> | <u>9</u> | <u>6</u> | <u>3</u> |
| TOTAL DEBT OUTSTANDING..... | <u>\$ 53,245</u> | <u>\$ 57,769</u> | <u>\$ 61,217</u> | <u>\$ 62,335</u> | <u>\$ 63,870</u> | <u>\$ 63,965</u> | <u>\$ 63,720</u> | <u>\$ 63,299</u> | <u>\$ 63,096</u> | <u>\$ 61,431</u> |

**STATE OF NEW YORK
STATE SUPPORTED DEBT OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2007-08 THRU 2016-17**

SCHEDULE 20a

State supported debt includes certificates of participation (COPS), capital leases for State facilities, and debt as defined in State Finance Law 67-a, where the State is constitutionally obligated to pay debt service to bondholders or where it is contractually obligated to pay money to public authorities to enable it to make payments on its outstanding bonds.

| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| STATE SUPPORTED DEBT: | | | | | | | | | | |
| General Obligation Debt | | | | | | | | | | |
| Millions of Dollars..... | \$ 3,221 | \$ 3,323 | \$ 3,400 | \$ 3,525 | \$ 3,494 | \$ 3,524 | \$ 3,191 | \$ 3,018 | \$ 2,727 | \$ 2,463 |
| Change from Prior Year..... | -2.45% | 3.17% | 2.32% | 3.68% | -0.88% | 0.86% | -9.45% | -5.42% | -9.64% | -9.68% |
| Per Capita..... | 166 | 170 | 174 | 182 | 179 | 180 | 162 | 153 | 138 | 125 |
| Certificates of Participation | | | | | | | | | | |
| Millions of Dollars..... | 38 | 19 | - | - | - | - | - | - | - | - |
| Change from Prior Year..... | -32.14% | -50.00% | -100.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Per Capita..... | 2 | 1 | - | - | - | - | - | - | - | - |
| Lease/Purchase and Contractual Obligation Debt | | | | | | | | | | |
| Millions of Dollars..... | 41,145 | 43,636 | 46,923 | 48,091 | 49,279 | 49,011 | 49,268 | 48,849 | 47,502 | 47,159 |
| Change from Prior Year..... | 4.71% | 6.05% | 7.53% | 2.49% | 2.47% | -0.54% | 0.52% | -0.85% | -2.76% | -0.72% |
| Per Capita..... | 2,121 | 2,238 | 2,406 | 2,479 | 2,527 | 2,501 | 2,501 | 2,480 | 2,399 | 2,394 |
| TOTAL STATE SUPPORTED DEBT: | | | | | | | | | | |
| Millions of Dollars..... | \$ 44,404 | \$ 46,978 | \$ 50,323 | \$ 51,616 | \$ 52,773 | \$ 52,535 | \$ 52,459 | \$ 51,867 | \$ 50,229 | \$ 49,622 |
| Change from Prior Year..... | 4.11% | 5.80% | 7.12% | 2.57% | 2.24% | -0.45% | -0.14% | -1.13% | -3.16% | -1.21% |
| Per Capita..... | \$ 2,289 | \$ 2,409 | \$ 2,580 | \$ 2,661 | \$ 2,706 | \$ 2,681 | \$ 2,663 | \$ 2,633 | \$ 2,537 | \$ 2,519 |

(*) Population estimates are based on current information published by the US Census Bureau.

**STATE OF NEW YORK
STATE FUNDED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2007-08 THRU 2016-17**

SCHEDULE 20b

State funded debt obligations include all state-supported debt from Schedule 20a, plus certain other debt where the State has agreed to allow certain other entities to pledge or assign State resources to pay debt service on debt issued for State purposes or to finance State assistance payments to another entity. These obligations include those associated with the sale of the State's share of Tobacco Settlement proceeds, prior year school aid claims, debt issued by the Sales Tax Asset Receivable Corporation (STARC) for NYC debt relief, debt issued by the Transitional Finance Authority (TFA) for NYC school building aid, portions of the secured hospital program and obligations issued to finance certain State University of New York (SUNY) dormitory facilities. Debt service on these obligations is secured by future State local assistance payments (financed with available General Fund resources or taxes), future tobacco settlement payments, contingent debt service appropriations or SUNY dormitory rental income.

| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| TOTAL STATE SUPPORTED DEBT: | | | | | | | | | | |
| Millions of Dollars..... | \$ 44,404 | \$ 46,978 | \$ 50,323 | \$ 51,616 | \$ 52,773 | \$ 52,535 | \$ 52,459 | \$ 51,867 | \$ 50,229 | \$ 49,622 |
| Change from Prior Year..... | 4.11% | 5.80% | 7.12% | 2.57% | 2.24% | -0.45% | -0.14% | -1.13% | -3.16% | -1.21% |
| Per Capita..... | 2,289 | 2,409 | 2,580 | 2,661 | 2,706 | 2,681 | 2,663 | 2,633 | 2,537 | 2,519 |
| Tobacco Settlement Financing Corporation | | | | | | | | | | |
| Millions of Dollars..... | 3,870 | 3,588 | 3,257 | 3,012 | 2,690 | 2,411 | 2,054 | 1,745 | 1,378 | 660 |
| Change from Prior Year..... | -5.24% | -7.29% | -9.23% | -7.52% | -10.69% | -10.37% | -14.81% | -15.04% | -21.03% | -52.10% |
| Per Capita..... | 199 | 184 | 167 | 155 | 138 | 123 | 104 | 89 | 70 | 34 |
| Municipal Bond Bank Agency (MBBA) | | | | | | | | | | |
| Millions of Dollars..... | 464 | 442 | 419 | 396 | 368 | 294 | 281 | 263 | 234 | 203 |
| Change from Prior Year..... | -4.13% | -4.74% | -5.20% | -5.49% | -7.07% | -20.11% | -4.42% | -6.41% | -11.03% | -13.25% |
| Per Capita..... | 24 | 23 | 21 | 20 | 19 | 15 | 14 | 13 | 12 | 10 |
| Sales Tax Asset Receivable Corporation (STARC) | | | | | | | | | | |
| Millions of Dollars..... | 2,407 | 2,355 | 2,302 | 2,246 | 2,188 | 2,127 | 2,063 | 1,996 | 1,961 | 1,884 |
| Change from Prior Year..... | -2.04% | -2.16% | -2.25% | -2.43% | -2.58% | -2.79% | -3.01% | -3.25% | -1.75% | -3.93% |
| Per Capita..... | 124 | 121 | 118 | 116 | 112 | 109 | 105 | 101 | 99 | 96 |
| Secured Hospital Debt | | | | | | | | | | |
| Millions of Dollars..... | - | - | - | - | - | - | 226 | 204 | 181 | 157 |
| Change from Prior Year..... | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 100.00% | -9.73% | -11.27% | -13.26% |
| Per Capita..... | - | - | - | - | - | - | 11 | 10 | 9 | 8 |
| SUNY Dormitory Facilities Revenue Bonds | | | | | | | | | | |
| Millions of Dollars..... | - | - | - | - | - | - | 440 | 437 | 985 | 956 |
| Change from Prior Year..... | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 100.00% | -0.68% | 125.40% | -2.94% |
| Per Capita..... | - | - | - | - | - | - | 22 | 22 | 50 | 49 |
| Transitional Finance Authority (TFA) | | | | | | | | | | |
| Millions of Dollars..... | 1,300 | 3,651 | 4,221 | 4,430 | 5,309 | 6,149 | 6,052 | 6,676 | 8,044 | 7,882 |
| Change from Prior Year..... | 0.00% | 180.85% | 15.61% | 4.95% | 19.84% | 15.82% | -1.58% | 10.31% | 20.49% | -2.01% |
| Per Capita..... | 67 | 187 | 216 | 228 | 272 | 314 | 307 | 339 | 406 | 400 |
| TOTAL STATE FUNDED DEBT: | | | | | | | | | | |
| Millions of Dollars..... | \$ 52,445 | \$ 57,014 | \$ 60,522 | \$ 61,700 | \$ 63,328 | \$ 63,516 | \$ 63,575 | \$ 63,188 | \$ 63,012 | \$ 61,364 |
| Change from Prior Year..... | 2.88% | 8.71% | 6.15% | 1.95% | 2.64% | 0.30% | 0.09% | -0.61% | -0.28% | -2.62% |
| Per Capita..... | \$ 2,703 | \$ 2,924 | \$ 3,102 | \$ 3,180 | \$ 3,247 | \$ 3,242 | \$ 3,227 | \$ 3,208 | \$ 3,182 | \$ 3,116 |

(*) Population estimates are based on current information published by the US Census Bureau.

**STATE OF NEW YORK
STATE RELATED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2007-08 THRU 2016-17**

SCHEDULE 20c

State related debt obligations include all state funded debt from Schedule 20b, plus certain other debt issued by various authorities that may be supported by contingent State obligations to make payments. Such moral obligation, contingent obligation (secured hospital debt where the State has not been called on to pay debt service) and State guaranteed authority debt carries a pledge by the State to finance deficiencies in the authority's debt service or debt service reserve funds, if necessary.

| | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| TOTAL STATE FUNDED DEBT: | | | | | | | | | | |
| Millions of Dollars..... | \$ 52,445 | \$ 57,014 | \$ 60,522 | \$ 61,700 | \$ 63,328 | \$ 63,516 | \$ 63,575 | \$ 63,188 | \$ 63,012 | \$ 61,364 |
| Change from Prior Year..... | 2.88% | 8.71% | 6.15% | 1.95% | 2.64% | 0.30% | 0.09% | -0.61% | -0.28% | -2.62% |
| Per Capita..... | 2,703 | 2,924 | 3,102 | 3,180 | 3,247 | 3,242 | 3,227 | 3,208 | 3,182 | 3,116 |
| Moral Obligation Debt | | | | | | | | | | |
| Millions of Dollars..... | 50 | 40 | 30 | 26 | 20 | 12 | 7 | 2 | 2 | 1 |
| Change from Prior Year..... | -10.71% | -20.00% | -25.00% | -13.33% | -23.08% | -40.00% | -41.67% | -71.43% | 0.00% | -50.00% |
| Per Capita..... | 3 | 2 | 2 | 1 | 1 | 1 | - | - | - | - |
| Secured Hospital Debt - Contingent | | | | | | | | | | |
| Millions of Dollars..... | 713 | 682 | 637 | 586 | 503 | 422 | 126 | 100 | 76 | 63 |
| Change from Prior Year..... | -8.00% | -4.35% | -6.60% | -8.01% | -14.16% | -16.10% | -70.14% | -20.63% | -24.00% | -17.11% |
| Per Capita..... | 37 | 35 | 33 | 30 | 26 | 22 | 6 | 5 | 4 | 3 |
| State Guaranteed Authority Debt | | | | | | | | | | |
| Millions of Dollars..... | 37 | 33 | 28 | 23 | 19 | 15 | 12 | 9 | 6 | 3 |
| Change from Prior Year..... | -11.90% | -10.81% | -15.15% | -17.86% | -17.39% | -21.05% | -20.00% | -25.00% | -33.33% | -50.00% |
| Per Capita..... | 2 | 2 | 1 | 1 | 1 | 1 | 1 | - | - | - |
| TOTAL STATE RELATED DEBT | | | | | | | | | | |
| Millions of Dollars..... | \$ 53,245 | \$ 57,769 | \$ 61,217 | \$ 62,335 | \$ 63,870 | \$ 63,965 | \$ 63,720 | \$ 63,299 | \$ 63,096 | \$ 61,431 |
| Change from Prior Year..... | 2.69% | 8.50% | 5.97% | 1.83% | 2.46% | 0.15% | -0.38% | -0.66% | -0.32% | -2.64% |
| Per Capita..... | \$ 2,745 | \$ 2,963 | \$ 3,138 | \$ 3,212 | \$ 3,275 | \$ 3,266 | \$ 3,235 | \$ 3,213 | \$ 3,187 | \$ 3,119 |

(*) Population estimates are based on current information published by the US Census Bureau.

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 21

| Department/Division | LOCAL ASSISTANCE GRANTS | | | | | | | | | | OPERATIONS | | | TOTAL | |
|--|----------------------------|------------|--------------------|------------|---------------------|---------------|----------------|-------------------------------|----------------|--------------|------------------|----------------------|-----------------------|------------|------------|
| | Environment and Recreation | | General Government | Medicaid | Other Public Health | Public Safety | Public Welfare | Support and Regulate Business | Transportation | Total Grants | Personal Service | Non-Personal Service | General State Charges | 2016-17 | 2015-16 |
| | Education | Recreation | Government | Medicaid | Public Health | Safety | Welfare | Regulate Business | Transportation | Grants | Service | Service | Charges | 2016-17 | 2015-16 |
| Adirondack Park Agency | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 4,112 | \$ 424 | \$ - | \$ 4,536 | \$ 4,295 |
| Board of Elections | - | - | 93 | - | - | - | - | - | - | 93 | 5,765 | 1,226 | - | 7,084 | 7,696 |
| City University of New York..... | 1,425,494 | - | - | - | - | - | - | - | - | 1,425,494 | - | 502 | - | 1,425,996 | 1,430,975 |
| Commission of Quality of Care and Advocacy | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Council on the Arts..... | 38,907 | - | - | - | - | - | - | - | - | 38,907 | 2,491 | 1,434 | - | 42,832 | 41,817 |
| Department of Agriculture and Markets..... | - | - | 135 | - | 561 | - | 505 | 31,283 | - | 32,484 | 27,374 | 5,499 | - | 65,357 | 62,955 |
| Department of Civil Service..... | - | - | - | - | - | - | - | - | - | - | 12,061 | 557 | - | 12,618 | 12,950 |
| Department of Corrections and Community Supervision..... | - | - | - | - | - | 4,457 | - | - | - | 4,457 | 2,113,239 | 525,898 | 117 | 2,643,711 | 2,695,479 |
| Department of Economic Development..... | - | - | 78 | - | 1,421 | - | - | 52,248 | - | 53,747 | 12,152 | 6,108 | - | 72,007 | 63,741 |
| Department of Environmental Conservation..... | - | 1,793 | 113 | - | - | - | - | - | - | 1,906 | 83,931 | 6,442 | - | 92,279 | 98,676 |
| Department of Health..... | - | - | 411 | 11,507,923 | 986,402 | - | 1,091 | - | - | 12,495,827 | 124,721 | 309,026 | - | 12,929,574 | 12,363,904 |
| Department of Labor | - | - | 143 | - | - | - | 14,939 | - | - | 15,082 | 120 | 192 | - | 15,394 | 12,355 |
| Department of Law..... | - | - | - | - | - | - | - | - | - | - | 92,699 | 11,441 | - | 104,140 | 102,079 |
| Department of State..... | - | - | 11,701 | - | - | - | - | - | - | 11,701 | 12,334 | 928 | - | 24,963 | 27,242 |
| Department of Taxation and Finance..... | - | - | 908 | - | - | - | - | - | - | 908 | 234,902 | 27,850 | 54 | 263,714 | 264,596 |
| Department of Transportation..... | - | - | 5 | - | - | - | - | - | 106,209 | 106,214 | - | 1,169 | - | 107,383 | 112,503 |
| Division of Alcoholic Beverage Control..... | - | - | - | - | - | - | - | - | - | - | 7,567 | 3,275 | - | 10,842 | - |
| Division of Budget | - | - | - | - | - | - | - | - | - | - | 19,346 | 2,773 | - | 22,119 | 21,078 |
| Division of Criminal Justice Services..... | - | - | 276 | - | - | 128,978 | 100 | - | - | 129,354 | 26,739 | 7,128 | - | 163,221 | 162,498 |
| Division of Homeland Security and Emergency Services..... | - | - | 335 | - | - | 5,166 | - | - | - | 5,501 | 992 | 151 | - | 6,644 | (63,094) |
| Division of Housing and Community Renewal..... | - | - | 348 | - | 2,303 | - | 4,237 | - | - | 6,888 | 5,000 | 2,792 | - | 14,680 | 14,254 |
| Division of Human Rights | - | - | - | - | - | - | - | - | - | - | 9,533 | 847 | - | 10,380 | 10,261 |
| Division of Military and Naval Affairs..... | - | - | - | - | - | 786 | - | - | - | 786 | 16,761 | 7,186 | - | 24,733 | 23,367 |
| Division of State Police..... | - | - | - | - | - | - | - | - | - | - | 608,046 | 44,504 | - | 652,550 | 652,267 |
| Division of Tax Appeals | - | - | - | - | - | - | - | - | - | - | 2,604 | 229 | - | 2,833 | 3,035 |
| Division of Veterans' Affairs | - | - | 58 | - | - | - | 8,282 | - | - | 8,340 | 5,086 | 865 | - | 14,291 | 12,920 |
| Executive Chamber..... | - | - | - | - | - | - | - | - | - | - | 10,746 | 3,903 | - | 14,649 | 13,669 |
| Greenway Heritage Conservancy for the Hudson River Valley..... | - | - | - | - | - | - | - | - | - | - | - | 166 | - | 166 | 166 |
| Higher Education Services | 957,504 | - | - | - | - | - | - | - | - | 957,504 | - | 1 | - | 957,505 | 1,009,146 |
| Hudson River Valley Greenway | - | 144 | - | - | - | - | - | - | - | 144 | 49 | 32 | - | 225 | 184 |
| Interest on Lawyer Account | - | - | - | - | - | 15,571 | - | - | - | 15,571 | - | - | - | 15,571 | 12,910 |
| Judicial Conduct..... | - | - | - | - | - | - | - | - | - | - | 4,181 | 1,324 | - | 5,505 | 5,572 |
| Judicial Screening | - | - | - | - | - | - | - | - | - | - | - | 9 | - | 9 | 14 |
| Judicial Nomination | - | - | - | - | - | - | - | - | - | - | - | 10 | - | 10 | 20 |
| Justice Center for the Protection of People with Special Needs | - | - | - | - | 200 | - | - | - | - | 200 | 28,113 | 8,911 | - | 37,224 | 37,204 |
| Legislature..... | - | - | - | - | - | - | - | - | - | - | 170,349 | 53,689 | 82 | 224,120 | 213,851 |
| Lieutenant Governor | - | - | - | - | - | - | - | - | - | - | 639 | 152 | - | 791 | 754 |
| National and Community Service | - | - | - | - | - | - | 267 | - | - | 267 | 203 | 1 | - | 471 | 459 |
| NYS Gaming Commission | - | - | - | - | - | - | - | - | - | - | 3,724 | 1,977 | - | 5,701 | 5,607 |
| NYS Joint Commission on Public Ethics | - | - | - | - | - | - | - | - | - | - | 3,809 | 1,068 | - | 4,877 | 4,333 |
| Office for People with Developmental Disabilities..... | - | - | 92 | 583,120 | - | - | - | - | - | 583,212 | - | - | - | 583,212 | 883,457 |
| Office of Alcoholism and Substance Abuse Services..... | 200 | - | 8 | 26,001 | 5,566 | - | - | - | - | 31,775 | - | - | - | 31,775 | 30,480 |
| Office of Children and Family Services | 9,762 | - | 1,907 | 29,444 | - | - | 1,664,789 | - | - | 1,705,902 | 166,257 | 50,950 | - | 1,923,109 | 1,960,802 |
| Office of Employee Relations | - | - | - | - | - | - | - | - | - | - | 9,058 | 19,226 | 2,850 | 31,134 | 27,863 |

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 21
(continued)

| Department/Division | LOCAL ASSISTANCE GRANTS | | | | | | | | | OPERATIONS | | | TOTAL | | |
|--|-------------------------|--------------------------|--------------------|----------------------|---------------------|-------------------|---------------------|-------------------------------|-------------------|----------------------|---------------------|----------------------|-----------------------|----------------------|----------------------|
| | Education | Environment & Recreation | General Government | Medicaid | Other Public Health | Public Safety | Public Welfare | Support and Regulate Business | Transportation | Total Grants | Personal Service | Non-Personal Service | General State Charges | 2016-17 | 2015-16 |
| Office of General Services..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 73,516 | \$ 80,342 | \$ - | \$ 153,858 | \$ 146,198 |
| Office of Information Technology Services | - | - | - | - | - | - | - | - | - | - | 298,542 | 249,824 | - | 548,366 | 505,944 |
| Office of Medicaid Inspector General | - | - | - | - | - | - | - | - | - | - | 16,415 | 4,079 | - | 20,494 | 20,613 |
| Office of Mental Health..... | 6,572 | - | 15 | 284,568 | - | - | 5,767 | - | - | 296,922 | - | 786 | - | 297,708 | 304,735 |
| Office of Parks, Recreation and Historic Preservation..... | - | 2,867 | 1,431 | - | - | - | - | - | - | 4,298 | 103,327 | 5,435 | - | 113,060 | 115,843 |
| Office of Prevention of Domestic Violence | - | - | - | - | - | - | 527 | - | - | 527 | 1,338 | 162 | - | 2,027 | 2,048 |
| Office of State Inspector General | - | - | - | - | - | - | - | - | - | - | 5,833 | 1,346 | - | 7,179 | 7,061 |
| Office of Temporary and Disability Assistance | - | - | - | 434,891 | 7,178 | - | 1,218,912 | - | - | 1,660,981 | 73,430 | 63,262 | - | 1,797,673 | 1,935,280 |
| Office of the State Comptroller..... | - | - | 32,025 | - | - | - | - | - | - | 32,025 | 103,758 | 29,799 | - | 165,582 | 158,876 |
| Office of Victim Services..... | - | - | 5 | - | - | 1,196 | - | - | - | 1,201 | - | - | - | 1,201 | 1,870 |
| Office of Welfare Inspector General | - | - | - | - | - | - | - | - | - | - | 566 | 26 | - | 592 | 570 |
| Public Benefits Corporations: | | | | | | | | | | | | | | | |
| Energy Research & Development Authority | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Metropolitan Transportation Authority | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| New York State Canal Corporation | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 21,500 |
| Olympic Regional Development Authority | - | - | - | - | - | - | - | - | - | - | 2,548 | 188 | 1,157 | 3,893 | 4,168 |
| Urban Development Corporation (Empire State Dev Corp)..... | - | - | 5,545 | - | - | 1,101 | - | 115,653 | - | 122,299 | 1,000 | - | - | 123,299 | 58,756 |
| Public Employment Relations Board | - | - | - | - | - | - | - | - | - | - | 3,056 | 214 | - | 3,270 | 3,399 |
| State Commission of Correction | - | - | - | - | - | - | - | - | - | - | 2,234 | 197 | - | 2,431 | 2,297 |
| State Education Department..... | 23,128,329 | - | 743 | - | - | - | - | - | - | 23,129,072 | 30,913 | 27,439 | - | 23,187,424 | 22,185,427 |
| State Office for the Aging..... | - | - | 788 | - | 124,327 | - | - | - | - | 125,115 | 1,125 | 106 | - | 126,346 | 128,411 |
| State University of New York..... | 501,605 | - | 20 | - | 288 | - | - | 9 | - | 501,922 | 2,002 | 5,293 | 45 | 509,262 | 561,357 |
| Statewide Financial System..... | - | - | - | - | - | - | - | - | - | - | 11,045 | 19,264 | - | 30,309 | 30,070 |
| Unified Court System..... | - | - | 2,443 | - | - | - | - | - | - | 2,443 | 1,509,278 | 402,570 | 675,050 | 2,589,341 | 2,504,177 |
| All Other..... | - | - | 930,326 | - | - | - | - | - | - | 930,326 | - | 21,442 | 4,782,943 | 5,734,711 | 5,643,800 |
| Total..... | \$ 26,068,373 | \$ 4,804 | \$ 989,952 | \$ 12,865,947 | \$ 1,128,246 | \$ 157,255 | \$ 2,919,416 | \$ 199,193 | \$ 106,209 | \$ 44,439,395 | \$ 6,064,629 | \$ 2,021,639 | \$ 5,462,298 | \$ 57,987,961 | \$ 56,666,740 |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 22

| Department/Division | LOCAL ASSISTANCE GRANTS | | | | | | | | | | OPERATIONS | | | TOTAL | | |
|--|----------------------------|------|--------------------|-----------|---------------------|---------------|----------------|-------------------------------|----------------|--------------|------------------|----------------------|-----------------------|----------------------|-----------|-----------|
| | Environment and Recreation | | General Government | Medicaid | Other Public Health | Public Safety | Public Welfare | Support and Regulate Business | Transportation | Total Grants | Personal Service | Non-Personal Service | General State Charges | Capital Construction | 2016-17 | 2015-16 |
| | Education | | | | | | | | | | | | | | | |
| Board of Elections..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 104 | \$ - | \$ - | \$ 104 | \$ 221 |
| City University of New York | - | - | - | - | - | - | - | - | - | - | 48,975 | 44,735 | 7,661 | - | 101,371 | 94,584 |
| Commission of Quality of Care and Advocacy | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Department of Agriculture and Markets | - | - | - | - | - | - | - | - | - | - | 3,149 | 8,830 | 1,718 | - | 13,697 | 23,899 |
| Department of Civil Service | - | - | - | - | - | - | - | - | - | - | 151 | 532 | 84 | - | 767 | 473 |
| Department of Corrections and Community Supervision | - | - | - | - | - | - | - | - | - | - | 177 | 1,432 | 105 | - | 1,714 | 3,753 |
| Department of Economic Development | - | - | - | - | - | - | - | - | - | - | 97 | 1,940 | 55 | - | 2,092 | 1,826 |
| Department of Environmental Conservation | - | 199 | - | - | - | - | - | - | - | 199 | 95,376 | 31,847 | 49,557 | - | 176,979 | 184,307 |
| Department of Financial Services..... | - | - | - | - | 164,400 | - | - | 36,941 | - | 201,341 | 122,960 | 36,409 | 70,556 | - | 431,266 | 448,631 |
| Department of Health | - | - | - | 5,785,626 | 619,152 | - | - | 26,823 | - | 6,431,601 | 148,081 | 126,171 | 37,002 | - | 6,742,855 | 6,412,316 |
| Department of Labor | - | - | - | - | - | - | 322 | - | - | 322 | 33,991 | 13,400 | 19,651 | - | 67,364 | 60,924 |
| Department of Law | - | - | - | - | - | - | - | - | - | - | 31,770 | 39,362 | 17,357 | - | 88,489 | 90,648 |
| Department of Motor Vehicles | - | - | - | - | - | - | - | - | - | - | 29,883 | 12,440 | 17,191 | - | 59,514 | 74,194 |
| Department of Public Service | - | - | - | - | - | - | - | - | - | - | 41,485 | 8,517 | 25,523 | - | 75,525 | 68,443 |
| Department of State | - | - | 942 | - | - | - | - | - | - | 942 | 20,039 | 19,272 | 11,247 | - | 51,500 | 44,707 |
| Department of Taxation and Finance | 79 | - | 172 | - | - | - | - | - | - | 251 | 49,624 | 19,748 | 25,017 | - | 94,640 | 93,354 |
| Department of Transportation | - | - | - | - | - | - | - | 4,871,085 | - | 4,871,085 | 7,012 | 13,771 | 4,045 | - | 4,895,913 | 4,655,786 |
| Division of Alcoholic Beverage Control..... | - | - | - | - | - | - | - | - | - | - | 174 | 110 | 121 | - | 405 | 17,276 |
| Division of Budget | - | - | - | - | - | - | - | - | - | - | 1,274 | 1,167 | 776 | - | 3,217 | 3,147 |
| Division of Criminal Justice Services | - | - | - | - | - | 23,891 | - | 1,950 | - | 25,841 | 259 | 1,068 | 33 | - | 27,201 | 34,689 |
| Division of Homeland Security and Emergency Services..... | - | - | - | - | - | 37,926 | - | 989 | - | 38,915 | 26,550 | 14,342 | 6,532 | - | 86,339 | 81,408 |
| Division of Housing and Community Renewal | - | - | - | - | - | - | (215) | - | - | (215) | 37,729 | 4,786 | 5,449 | - | 47,749 | 59,402 |
| Division of Military and Naval Affairs | - | - | - | - | - | - | - | - | - | - | 404 | 2,447 | 9 | - | 2,860 | 3,481 |
| Division of State Police | - | - | - | - | - | - | - | - | - | - | 41,756 | 26,164 | 18,162 | - | 86,082 | 43,807 |
| Financial Control Board for New York City | - | - | - | - | - | - | - | - | - | - | 1,226 | 730 | 706 | - | 2,662 | 2,732 |
| Higher Education Services..... | - | - | - | - | 687 | 2,617 | - | - | - | 3,304 | 14,475 | 33,817 | 8,524 | - | 60,120 | 73,143 |
| Interest on Lawyer Account | - | - | - | - | - | 11,189 | - | - | - | 11,189 | 664 | 398 | 402 | - | 12,653 | 8,233 |
| Justice Center for the Protection of People with Special Needs.... | - | - | - | - | 479 | - | - | - | - | 479 | 1,148 | 45 | 850 | - | 2,522 | 2,284 |
| Lake George Park Commission..... | - | - | - | - | - | - | - | - | - | - | 740 | 623 | 331 | - | 1,694 | 1,562 |
| Legislature | - | - | - | - | - | - | - | - | - | - | - | 1,581 | - | - | 1,581 | 1,469 |
| NYS Gaming Commission..... | - | - | 101,821 | - | - | - | - | - | - | 101,821 | 29,097 | 103,665 | 12,778 | - | 247,361 | 245,741 |
| Office for People with Developmental Disabilities..... | - | - | - | - | 385,559 | - | - | - | - | 385,559 | 1,092,003 | 205,408 | 637,200 | - | 2,320,170 | 2,320,509 |
| Office of Alcoholism and Substance Abuse Services..... | - | - | - | - | 276,997 | - | - | - | - | 276,997 | 54,557 | 27,849 | 33,725 | - | 393,128 | 392,899 |
| Office of Children and Family Services | - | - | - | - | - | - | 3,164 | - | - | 3,164 | 2,766 | 29,856 | 1,657 | - | 37,443 | 35,300 |
| Office of Employee Relations | - | - | - | - | - | - | - | - | - | - | 339 | 41 | 194 | - | 574 | 463 |
| Office of General Services | - | - | - | - | - | - | - | - | - | - | 4,064 | 2,893 | 407 | - | 7,364 | 13,325 |
| Office of Indigent Legal Services..... | - | - | - | - | - | 60,503 | - | - | - | 60,503 | 1,678 | 497 | 967 | - | 63,645 | 60,111 |
| Office of Information Technology Services..... | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 490 |
| Office of Medicaid Inspector General | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Office of Mental Health | - | - | - | - | 909,429 | - | - | - | - | 909,429 | 1,072,565 | 269,930 | 621,496 | - | 2,873,420 | 2,880,563 |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 22
(continued)

| Department/Division | LOCAL ASSISTANCE GRANTS | | | | | | | | | | OPERATIONS | | | | TOTAL | |
|---|-------------------------|----------------------------|--------------------|---------------------|---------------------|-------------------|-----------------|-------------------------------|---------------------|----------------------|---------------------|----------------------|-----------------------|----------------------|----------------------|----------------------|
| | Education | Environment and Recreation | General Government | Medicaid | Other Public Health | Public Safety | Public Welfare | Support and Regulate Business | Transportation | Total Grants | Personal Service | Non-Personal Service | General State Charges | Capital Construction | 2016-17 | 2015-16 |
| Office of Parks, Recreation and Historic Preservation | \$ - | \$ 3,665 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 3,665 | \$ 32,020 | \$ 38,239 | \$ 2,973 | \$ 2,544 | \$ 79,441 | \$ 80,121 |
| Office of Prevention of Domestic Violence | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Office of State Inspector General | - | - | - | - | - | - | - | - | - | - | - | 494 | - | - | 494 | 722 |
| Office of Temporary and Disability Assistance | - | - | - | - | 785 | - | - | - | - | 785 | - | 946 | 121 | - | 1,852 | 2,107 |
| Office of the State Comptroller | - | - | - | - | - | - | - | - | - | - | 12,549 | 15,990 | 1,782 | - | 30,321 | 27,108 |
| Office of Victim Services..... | - | - | - | - | - | 22,547 | - | - | - | 22,547 | 3,030 | 476 | 1,515 | - | 27,568 | 22,460 |
| Office of Welfare Inspector General..... | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Public Benefits Corporations: | | | | | | | | | | | | | | | | |
| Energy Research and Development Authority | - | - | - | - | - | - | - | - | - | - | - | 139 | - | - | 139 | 3,907 |
| Environmental Facilities Corporation | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Olympic Regional Development Authority | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 100 |
| Urban Development Corporation (Empire State Dev Corp)..... | - | - | - | - | - | - | - | 250 | - | 250 | - | - | - | - | 250 | 17,190 |
| Public Employment Relations Board..... | - | - | - | - | - | - | - | - | - | - | - | 12 | - | - | 12 | 33 |
| State Education Department | 6,477,853 | - | - | - | - | - | - | - | - | 6,477,853 | 55,689 | 22,764 | 32,141 | - | 6,588,447 | 6,622,765 |
| State Office for the Aging | - | - | - | - | 250 | - | - | - | - | 250 | 140 | 4 | 92 | - | 486 | 212 |
| State University of New York | 2,526 | - | - | - | - | - | - | - | - | 2,526 | 3,766,633 | 2,237,783 | 420,283 | - | 6,427,225 | 6,232,206 |
| State University of New York Construction Fund | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Statewide Financial System..... | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Unified Court System | - | - | 98,656 | - | - | - | - | - | - | 98,656 | 58,822 | 48,240 | 27,112 | - | 232,830 | 254,413 |
| Workers' Compensation Board | - | - | - | - | - | - | - | - | - | - | 82,632 | 56,100 | 48,919 | - | 187,651 | 183,197 |
| Total..... | \$ 6,480,458 | \$ 3,864 | \$ 201,591 | \$ 5,785,626 | \$ 2,357,738 | \$ 158,673 | \$ 3,271 | \$ 66,953 | \$ 4,871,085 | \$ 19,929,259 | \$ 7,027,753 | \$ 3,527,114 | \$ 2,172,026 | \$ 2,544 | \$ 32,658,696 | \$ 31,986,641 |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 23

| Department/Division | LOCAL ASSISTANCE GRANTS | | | | | | | | | | OPERATIONS | | | TOTAL | |
|---|-------------------------|----------------------------|--------------------|----------------------|---------------------|---------------------|---------------------|-------------------------------|------------------|----------------------|-------------------|----------------------|-----------------------|----------------------|----------------------|
| | Education | Environment and Recreation | General Government | Medicaid | Other Public Health | Public Safety | Public Welfare | Support and Regulate Business | Transportation | Total Grants | Personal Service | Non-Personal Service | General State Charges | 2016-17 | 2015-16 |
| Adirondack Park Agency..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 12 | \$ - | \$ 12 | \$ 53 | |
| Board of Elections..... | - | - | 554 | - | - | - | - | - | - | 554 | 98 | 2,400 | 49 | 3,101 | 4,529 |
| City University of New York..... | - | - | - | - | - | - | - | - | - | - | 2,181 | - | 2,181 | 7,633 | |
| Commission of Quality of Care and Advocacy | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Council on the Arts..... | 1,052 | - | - | - | - | - | - | - | 1,052 | - | - | - | 1,052 | 359 | |
| Department of Agriculture and Markets..... | - | - | - | - | - | - | 750 | - | 750 | 3,665 | 8,626 | 2,047 | 15,088 | 16,287 | |
| Department of Corrections and Community Supervision..... | - | - | - | - | - | - | - | - | - | 21,417 | 538 | 991 | 22,946 | 25,410 | |
| Department of Economic Development..... | - | - | - | - | - | - | - | 3,850 | 3,850 | - | 592 | - | 4,442 | 8,308 | |
| Department of Environmental Conservation..... | - | - | - | - | - | - | - | - | - | 26,164 | 18,551 | 14,383 | 59,098 | 54,862 | |
| Department of Financial Services..... | - | - | - | - | - | - | - | - | - | - | 335 | - | 335 | 1,424 | |
| Department of Health..... | - | - | - | 33,362,999 | 5,156,528 | - | 5,349 | - | 38,524,876 | 98,664 | 637,504 | 38,040 | 39,299,084 | 34,738,202 | |
| Department of Labor..... | - | - | - | - | - | - | 134,716 | - | 134,716 | 170,666 | 54,854 | 91,534 | 451,770 | 473,617 | |
| Department of Law..... | - | - | - | - | - | - | - | - | - | 18,619 | 6,631 | 10,298 | 35,548 | 38,533 | |
| Department of Motor Vehicles..... | - | - | - | - | - | - | - | 15,199 | 15,199 | 1,357 | 3,938 | 685 | 21,179 | 18,805 | |
| Department of Public Service..... | - | - | - | - | - | - | - | - | - | 1,432 | 342 | 1,640 | 3,414 | 2,607 | |
| Department of State..... | - | - | 58,319 | - | - | - | - | - | 58,319 | 2,546 | 842 | 1,172 | 62,879 | 58,874 | |
| Department of Taxation and Finance..... | - | - | - | - | - | - | - | - | - | - | 1,216 | - | 1,216 | 189 | |
| Department of Transportation..... | - | - | - | - | - | - | 313 | 48,511 | 48,824 | 5,754 | 4,466 | 2,549 | 61,593 | 30,060 | |
| Division of Criminal Justice Services..... | - | - | - | - | - | 12,975 | - | 2,587 | 15,562 | 4,285 | 3,966 | 332 | 24,145 | 25,772 | |
| Division of Homeland Security and Emergency Services..... | - | - | - | - | - | 1,254,509 | - | - | 1,254,509 | 10,004 | 26,672 | 6,730 | 1,297,915 | 1,819,922 | |
| Division of Housing and Community Renewal..... | - | - | - | - | - | - | 52,324 | - | 52,324 | 6,901 | 2,584 | 3,811 | 65,620 | 62,245 | |
| Division of Human Rights..... | - | - | - | - | - | - | - | - | - | 2,672 | 1,830 | - | 4,502 | 4,375 | |
| Division of Military and Naval Affairs..... | - | - | - | - | - | - | - | - | - | 11,856 | 14,876 | 6,068 | 32,800 | 37,564 | |
| Division of State Police..... | - | - | - | - | - | 91 | - | 91 | 91 | 5,574 | 11,623 | 1,945 | 19,233 | 18,610 | |
| Division of Veterans' Affairs..... | - | - | - | - | - | - | - | - | - | 426 | 130 | 218 | 774 | 690 | |
| Higher Education Services..... | - | - | - | - | - | 45 | - | 45 | 45 | 55 | 5,803 | 1 | 5,904 | 6,528 | |
| Justice Center for the Protection of People with Special Needs..... | - | - | - | 257 | - | - | - | - | 257 | 94 | 1,812 | - | 2,163 | 728 | |
| National and Community Service | - | - | - | - | - | - | - | - | - | 262 | 16,543 | - | 16,805 | 14,631 | |
| Office for People with Developmental Disabilities..... | - | - | - | 44,628 | - | - | - | - | 44,628 | 976 | 3,795 | 231 | 49,630 | 41,664 | |
| Office of Alcoholism and Substance Abuse Services..... | - | - | - | - | 121,023 | - | - | - | 121,023 | 5,107 | 2,578 | - | 128,708 | 136,345 | |
| Office of Children and Family Services..... | - | - | - | - | 3,227 | 17,975 | 839,948 | - | 861,150 | 25,808 | 52,617 | 9,812 | 949,387 | 992,190 | |
| Office of Information Technology Services..... | - | - | - | - | - | - | - | - | - | - | 2,049 | - | 2,049 | 1,105 | |
| Office of General Services..... | - | - | - | - | - | - | - | - | - | - | 8,439 | - | 8,439 | 6,908 | |
| Office of Medicaid Inspector General..... | - | - | - | - | - | - | - | - | - | 16,322 | 5,045 | 9,143 | 30,510 | 31,374 | |
| Office of Mental Health..... | - | - | - | 914 | 41,493 | - | - | - | 42,407 | 813 | 386 | 435 | 44,041 | 43,735 | |
| Office of Parks, Recreation and Historic Preservation..... | - | 3,094 | - | - | - | 2,189 | - | - | 5,283 | 2,710 | 2,518 | - | 10,511 | 8,019 | |
| Office of Prevention of Domestic Violence..... | - | - | - | - | - | - | - | - | - | 30 | 4 | - | 34 | 17 | |
| Office of Temporary and Disability Assistance..... | - | - | - | 371,624 | 5,314 | - | 3,114,459 | - | 3,491,397 | 80,633 | 68,623 | 42,221 | 3,682,874 | 4,318,165 | |
| Office of Victim Services..... | - | - | - | - | - | 42,066 | - | - | 42,066 | 1,493 | 613 | - | 44,172 | 39,809 | |
| State Education Department..... | 3,544,340 | - | - | - | - | - | - | - | 3,544,340 | 88,194 | 82,883 | 47,843 | 3,763,260 | 3,905,942 | |
| State Office for the Aging..... | - | - | - | 9,106 | 87,719 | - | 168 | - | 96,993 | 6,071 | 5,239 | - | 108,303 | 102,742 | |
| State University of New York..... | - | - | - | - | - | - | 3,732 | - | 3,732 | 8,487 | 301,814 | 25 | 314,058 | 325,784 | |
| Unified Court System..... | - | - | - | - | - | - | - | - | - | 1,997 | 4,099 | 433 | 6,529 | 5,932 | |
| Workers' Compensation Board..... | - | - | - | - | - | - | - | - | - | - | 1,882 | - | 1,882 | 8,643 | |
| Total..... | \$ 3,545,392 | \$ 3,094 | \$ 58,873 | \$ 33,789,528 | \$ 5,415,304 | \$ 1,329,850 | \$ 4,151,759 | \$ 3,850 | \$ 66,297 | \$ 48,363,947 | \$ 631,152 | \$ 1,371,451 | \$ 292,636 | \$ 50,659,186 | \$ 47,439,191 |

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in thousands)

SCHEDULE 24

| Description | Related Expenses (*) | Debt Service | Total | |
|--|----------------------|---------------------|---------------------|---------------------|
| | | | 2016-17 | 2015-16 |
| <u>Special Contractual Financing Obligation Payments:</u> | | | | |
| To Public Benefit Corporations: | | | | |
| City University of New York..... | \$ 15,950 | \$ 162,560 | \$ 178,510 | \$ 230,759 |
| Dormitory Authority..... | 9,086 | 2,581,601 | 2,590,687 | 2,227,054 |
| Environmental Facilities Corporation..... | 406 | 58,362 | 58,768 | 81,652 |
| Housing Finance Agency..... | 1,690 | 61,366 | 63,056 | 93,653 |
| Local Government Assistance Corporation..... | 3,020 | 368,407 | 371,427 | 393,003 |
| Metropolitan Transportation Authority..... | - | 84,089 | 84,089 | 84,084 |
| Thruway Authority..... | 645 | 643,365 | 644,010 | 921,711 |
| Urban Development Corporation..... | 7,605 | 1,174,047 | 1,181,652 | 1,189,772 |
| Subtotal..... | 38,402 | 5,133,797 | 5,172,199 | 5,221,688 |
| Interest on General Obligation Bonds..... | - | 115,141 | 115,141 | 122,498 |
| Principal on General Obligation Bonds..... | - | 264,845 | 264,845 | 290,290 |
| Administrative Expenses for Variable Rate General Obligation Bonds..... | 244 | - | 244 | 625 |
| Total Debt Service Funds Disbursements..... | \$ 38,646 | \$ 5,513,783 | \$ 5,552,429 | \$ 5,635,101 |

(*) Related expenses include remarketing fees, cost of issuance expenses, administrative fees, capital expenses and other expenses paid to the bank and/or the public benefit corporations issuing the bonds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

SCHEDULE 25

| Department/Division | LOCAL ASSISTANCE GRANTS | | | | | | | | | | TOTAL | | |
|--|----------------------------|-------------------|--------------------|-------------|---------------------|------------------|-------------------|-------------------------------|-------------------|---------------------|----------------------|---------------------|---------------------|
| | Environment and Recreation | | General Government | Medicaid | Other Public Health | Public Safety | Public Welfare | Support and Regulate Business | Transportation | Total Grants | Capital Construction | 2016-17 | 2015-16 |
| | Education | Recreation | Government | | Public Health | Safety | Welfare | Business | | Grants | Construction | | |
| Adirondack Park Agency..... | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 32 | \$ 32 | \$ 308 |
| City University of New York..... | - | - | - | - | - | - | - | - | - | - | 40,654 | 40,654 | 36,711 |
| Department of Agriculture and Markets..... | - | 1,033 | 475 | - | - | - | - | - | - | 1,508 | 80,651 | 82,159 | 36,435 |
| Department of Corrections and Community Supervision..... | - | - | - | - | - | - | - | - | - | - | 303,274 | 303,274 | 272,822 |
| Department of Economic Development..... | - | 11 | - | - | - | - | - | - | - | 11 | 304 | 315 | 794 |
| Department of Environmental Conservation..... | - | 115,916 | 568 | - | - | 6,375 | - | - | - | 122,859 | 234,646 | 357,505 | 271,081 |
| Department of Health..... | - | - | 130,788 | - | 17,454 | - | - | - | - | 148,242 | 38,723 | 186,965 | 82,702 |
| Department of Law..... | - | - | - | - | - | - | - | - | - | - | 7,812 | 7,812 | 2,247 |
| Department of Motor Vehicles..... | - | - | - | - | - | - | - | - | - | - | 217,109 | 217,109 | 189,879 |
| Department of State..... | - | 17,984 | 6,610 | - | - | - | - | - | - | 24,594 | 155 | 24,749 | 24,615 |
| Department of Transportation..... | - | - | 87,095 | - | - | - | - | - | 659,720 | 746,815 | 2,248,290 | 2,995,105 | 2,567,585 |
| Disaster Aid..... | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Division of Criminal Justice Services..... | - | - | 9 | - | - | - | - | - | - | 9 | - | 9 | - |
| Division of Homeland Security and Emergency Services..... | - | - | 381 | - | - | 54,186 | - | - | - | 54,567 | 2,455 | 57,022 | 105,252 |
| Division of Housing and Community Renewal..... | - | - | - | - | - | - | 130,959 | - | - | 130,959 | - | 130,959 | 83,881 |
| Division of Military and Naval Affairs..... | - | - | - | - | - | - | - | - | - | - | 56,186 | 56,186 | 36,006 |
| Division of State Police..... | - | - | - | - | - | - | - | - | - | - | 34,435 | 34,435 | 44,545 |
| Office for People with Developmental Disabilities..... | - | - | - | - | 817 | - | - | - | - | 817 | 71,189 | 72,006 | 39,094 |
| Office of Alcoholism and Substance Abuse Services..... | - | - | 5,657 | - | 48,609 | - | - | - | - | 54,266 | 1,066 | 55,332 | 44,857 |
| Office of Children and Family Services..... | - | - | - | - | - | - | - | - | - | - | 24,547 | 24,547 | 21,185 |
| Office of General Services..... | - | - | - | - | - | - | - | - | - | - | 150,043 | 150,043 | 116,248 |
| Office of Information Technology Services..... | - | - | - | - | - | - | - | - | - | - | 80,361 | 80,361 | 81,900 |
| Office of Mental Health..... | - | - | 425 | - | 44,158 | - | - | - | - | 44,583 | 137,835 | 182,418 | 168,048 |
| Office of Parks, Recreation and Historic Preservation..... | - | 28,762 | - | - | - | - | - | - | - | 28,762 | 177,529 | 206,291 | 183,721 |
| Office of State Inspector General..... | - | - | - | - | - | - | - | - | - | - | 574 | 574 | 175 |
| Office of Temporary and Disability Assistance..... | - | - | - | - | - | - | 54,250 | - | - | 54,250 | 346 | 54,596 | 45,876 |
| Public Benefits Corporations: | | | | | | | | | | | | | |
| Development Authority of The North Country..... | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Dormitory Authority..... | - | - | 99,783 | - | - | - | - | 44,976 | - | 144,759 | - | 144,759 | 206,431 |
| Energy Research and Development Authority..... | - | - | - | - | - | - | - | - | - | - | 16,643 | 16,643 | 13,682 |
| Higher Education Capital Match Grant Board..... | - | - | - | - | - | - | - | - | - | - | - | - | 136 |
| Hudson River Park Trust..... | - | - | - | - | - | - | - | - | - | - | 1,360 | 1,360 | 7,750 |
| Metropolitan Transportation Authority..... | - | - | - | - | - | - | - | 250,000 | - | 250,000 | - | 250,000 | - |
| New York State Canal Corporation..... | - | - | - | - | - | - | - | 555 | - | 555 | - | 555 | 2,725 |
| New York State Thruway Authority..... | - | - | - | - | - | - | - | - | - | - | 313,119 | 313,119 | 608,420 |
| Olympic Regional Development Authority..... | - | - | - | - | - | - | - | - | - | - | 10,500 | 10,500 | 10,500 |
| Power Authority..... | - | - | - | - | - | - | - | 1,916 | - | 1,916 | - | 1,916 | - |
| Urban Development Corporation (Empire State Dev Corp)..... | - | - | 121,920 | - | - | - | - | 771,553 | - | 893,473 | - | 893,473 | 643,249 |
| State Education Department..... | 49,490 | - | - | - | - | - | - | - | - | 49,490 | 4,522 | 54,012 | 20,423 |
| State University of New York..... | - | - | - | - | - | - | - | - | - | - | 46,274 | 46,274 | 42,629 |
| State University of New York Construction Fund..... | - | - | - | - | - | - | - | - | - | - | 747,521 | 747,521 | 904,188 |
| Unified Court System..... | - | - | - | - | - | - | - | - | - | - | - | - | - |
| All Other..... | - | - | 2,001 | - | - | - | - | - | - | 2,001 | 28,230 | 30,231 | 27,548 |
| Total..... | \$ 49,490 | \$ 163,706 | \$ 455,712 | \$ - | \$ 111,038 | \$ 60,561 | \$ 185,209 | \$ 817,084 | \$ 911,636 | \$ 2,754,436 | \$ 5,076,385 | \$ 7,830,821 | \$ 6,943,648 |

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2017
 (Amounts in thousands)

SCHEDULE 26

| Department/Division | LOCAL ASSISTANCE GRANTS | | | | | | | | | | TOTAL | | |
|---|-------------------------|----------------------------|--------------------|-------------|---------------------|-----------------|----------------|-------------------------------|-------------------|-------------------|----------------------|---------------------|---------------------|
| | Education | Environment and Recreation | General Government | Medicaid | Other Public Health | Public Safety | Public Welfare | Support and Regulate Business | Transportation | Total Grants | Capital Construction | 2016-17 | 2015-16 |
| Department of Environmental Conservation..... | \$ - | \$ 148,188 | \$ - | \$ - | \$ - | \$ 9,302 | \$ - | \$ - | \$ - | \$ 157,490 | \$ 55,746 | \$ 213,236 | \$ 160,116 |
| Department of Health..... | - | - | - | - | 46,777 | - | - | - | - | 46,777 | 5,988 | 52,765 | 46,010 |
| Department of Transportation..... | - | - | - | - | - | - | - | - | 645,439 | 645,439 | 1,374,987 | 2,020,426 | 1,803,705 |
| Division of Housing and Community Renewal..... | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Division of Military and Naval Affairs | - | - | - | - | - | - | - | - | - | - | 34,644 | 34,644 | 21,109 |
| Office of Parks, Recreation and Historic Preservation | - | - | - | - | - | - | - | - | - | - | 5,101 | 5,101 | 6,216 |
| Total..... | \$ - | \$ 148,188 | \$ - | \$ - | \$ 46,777 | \$ 9,302 | \$ - | \$ - | \$ 645,439 | \$ 849,706 | \$ 1,476,466 | \$ 2,326,172 | \$ 2,037,156 |

STATE OF NEW YORK
TAX STABILIZATION RESERVE FUND (*)
STATEMENT OF NET CASH TRANSACTIONS
FISCAL YEARS 1945-46 THRU 2016-17

SCHEDULE 27

Fund Balance

| | |
|--|-------------------------|
| Cumulative Transfers of General Fund Cash Basis Surplus (**) | \$ 1,247,613,898 |
| Interest Earned on Temporary Investment of Surpluses (1947 - 1963) | 10,148,700 |
| Total Fund (Cash) Balance | \$ 1,257,762,598 |

Account Activity Life-to-Date

Accounts Receivable

| | |
|---|----------------|
| First Instance Advances (***) | \$ 152,294,394 |
| Repayment of First Instance Advances (****) | (152,294,394) |
| Total Accounts Receivable | \$ - |

Loans Receivable

| | |
|--|----------------|
| Loans to General Fund to Cover Cash Deficits (*****) | \$ 473,298,928 |
| Repayments of Loans to Date (*****) | (473,298,928) |
| Total Loans Receivable | \$ - |

Footnotes:

(*) Pursuant to Article VII of the State Constitution and Section 92 of the State Finance Law, receipts in excess of General Fund requirements not otherwise appropriated are required to be transferred to the Tax Stabilization Reserve Fund at the end of each fiscal year. Loans to finance cash deficits must be repaid within six years in at least three equal annual installments. As of March 31, 2017, there are no loans outstanding.

| | | | |
|--|--------------------|--|-------------------------|
| (**) Transfers of Surplus from the General Fund to the Reserve Fund: | | Subtotal (carry forward) | 191,223,898 |
| March 31: | | | |
| 1946 | \$ 50,000,000 | 1996 | 65,000,000 |
| 1947 | 58,712,842 | 1997 | 65,000,000 |
| 1948 | 3,917,297 | 1998 | 68,600,000 |
| 1949 | 5,425,258 | 1999 | 72,962,000 |
| 1950 | 1,698,783 | 2000 | 74,300,000 |
| 1951 | 3,381,320 | 2001 | 79,790,000 |
| 1952 | 642,650 | 2002 | 82,910,000 |
| 1953 | 6,992,071 | 2004 | 84,000,000 |
| 1954 | 7,722,315 | 2005 | 78,000,000 |
| 1955 | 1,027,379 | 2006 | 72,514,000 |
| 1956 | 375,882 | 2007 | 86,951,000 |
| 1957 | 269,700 | 2012 | 100,000,000 |
| 1958 | 169,329 | 2015 | 126,363,000 |
| 1959 | 124,878 | Total Transfers from the General Fund | \$ 1,247,613,898 |
| 1960 | 615,641 | | |
| 1979 | 49,676,865 | | |
| 1985 | 234,777 | | |
| 1986 | 97,732 | | |
| 1987 | 139,179 | | |
| Subtotal (carry forward) | <u>191,223,898</u> | | |

STATE OF NEW YORK
TAX STABILIZATION RESERVE FUND
STATEMENT OF NET CASH TRANSACTIONS
FISCAL YEARS 1945-46 THRU 2016-17

SCHEDULE 27
(continued)

Footnotes (continued):

(***) First Instance Advances to:

| | | |
|--|-----------|--------------------|
| Local Governments..... | \$ | 6,932,932 |
| Metropolitan Transportation Authority..... | | 62,238,750 |
| Other Public Authorities..... | | 6,799,862 |
| State Agencies..... | | 47,353,816 |
| Miscellaneous Commissions and Funds..... | | 28,969,034 |
| Total First Instance Advances..... | \$ | 152,294,394 |

(****) Repayment of First Instance Advances:

| | | |
|--|-----------|--------------------|
| 1984..... | \$ | 50,764,798 |
| 1985..... | | 50,764,798 |
| 1986..... | | 50,764,798 |
| Total Repayment of First Instance Advances..... | \$ | 152,294,394 |

(*****) Loans to General Fund to Cover Operating Deficit for Fiscal Year Ended March 31:

| | | |
|---|-----------|--------------------|
| 1949..... | \$ | 3,146,805 |
| 1950..... | | 13,929,926 |
| 1951..... | | 12,917,100 |
| 1971..... | | 23,172,661 |
| 1972..... | | 42,700,850 |
| 1975..... | | 18,482,584 |
| 1976..... | | 64,808,688 |
| 1983..... | | 48,606,516 |
| 1988..... | | 132,483,599 |
| 1989..... | | 68,888,999 |
| 1992..... | | 44,161,200 |
| Total Transfers to Cover Operating Deficits..... | \$ | 473,298,928 |

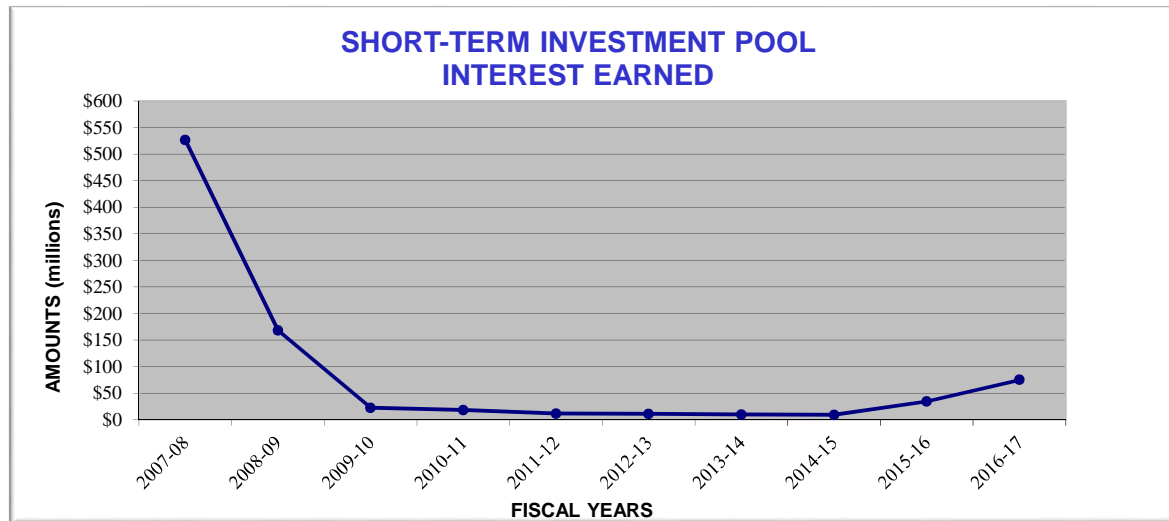
(*****) Repayments from General Fund of Prior Years Withdrawals:

| | | |
|--|-----------|--------------------|
| 1950..... | \$ | 3,146,805 |
| 1952..... | | 4,729,660 |
| 1953..... | | 14,253,064 |
| 1954..... | | 7,864,302 |
| 1973..... | | 65,873,511 |
| 1978..... | | 18,482,584 |
| 1979..... | | 16,202,172 |
| 1980..... | | 16,202,172 |
| 1981..... | | 16,202,172 |
| 1982..... | | 16,202,172 |
| 1987..... | | 16,202,172 |
| 1988..... | | 16,202,172 |
| 1989..... | | 16,202,172 |
| 1992..... | | 44,161,200 |
| 1993..... | | 67,124,200 |
| 1994..... | | 67,124,199 |
| 1995..... | | 22,962,999 |
| 1996..... | | 14,720,400 |
| 1997..... | | 14,720,400 |
| 1998..... | | 14,720,400 |
| Total Repayments from General Fund..... | \$ | 473,298,928 |

**STATE OF NEW YORK
SHORT-TERM INVESTMENT POOL
FISCAL YEARS 2007-08 THRU 2016-17
(Amounts in millions)**

SCHEDULE 28

| | <u>2007-08</u> | <u>2008-09</u> | <u>2009-10</u> | <u>2010-11</u> | <u>2011-12</u> | <u>2012-13</u> | <u>2013-14</u> | <u>2014-15</u> | <u>2015-16</u> | <u>2016-17</u> |
|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Average Yields | 4.546% | 1.670% | 0.275% | 0.226% | 0.136% | 0.167% | 0.140% | 0.117% | 0.231% | 0.635% |
| Average Portfolio Balance | \$ 11,554.551 | \$ 9,980.854 | \$ 6,570.097 | \$ 7,304.275 | \$ 7,955.373 | \$ 6,494.290 | \$ 6,767.400 | \$ 8,890.987 | \$ 15,000.434 | \$ 12,514.837 |
| Total Interest Income Earned ^(*) | \$ 526.666 | \$ 168.296 | \$ 22.359 | \$ 18.223 | \$ 11.452 | \$ 10.852 | \$ 9.978 | \$ 9.288 | \$ 34.283 | \$ 75.093 |



(*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$1,965,013 due to the effect of the Linked Deposit and Banking Development District programs.

**STATE OF NEW YORK
REPORT OF INVESTMENT YIELDS, PORTFOLIO BALANCES AND INTEREST EARNINGS
FISCAL YEAR ENDED MARCH 31, 2017
(Amounts in millions)**

SCHEDULE 29

| | AVERAGE YIELDS | AVERAGE-PORTFOLIO BALANCE | TOTAL INTEREST INCOME EARNED |
|---|---------------------------|--------------------------------------|---|
| Short-Term Investment Pool (STIP) | 0.635% | \$ 12,514.837 | \$ 75.093 (*) |
| NYC General Debt Service Funds | 0.272% | 422.081 | 1.149 |
| Other Funds: | | | |
| City of Troy MAC Account | - | - | - |
| Fish and Game | 1.158% | 49.489 | 0.573 |
| Health Income Fund - Regular | - | - | - |
| Health Income Fund - NYC Veterans' Home | - | - | - |
| Local Gov't Assist. Tax Fund Debt Service | 0.322% | 28.518 | 0.092 |
| Mental Health Services Fund | 0.384% | 100.088 | 0.385 |
| Rensselaer County Real Estate Tax Fund | - | - | - |
| Roswell Park - Cancer Institute | 0.457% | 15.762 | 0.072 |

2016-2017 MONTHLY RESULTS

| | April | May | June | July | Aug. | Sept. | Oct. | Nov. | Dec. | Jan. | Feb. | Mar. |
|--------------------------------|--------------|-------------|-------------|-------------|-------------|--------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Short-Term Investment Pool | | | | | | | | | | | | |
| Yield | 0.461% | 0.459% | 0.471% | 0.491% | 0.483% | 0.515% | 0.549% | 0.552% | 0.633% | 0.748% | 0.745% | 0.798% |
| Average Portfolio | \$ 15,638.8 | \$ 14,255.0 | \$ 12,870.8 | \$ 12,192.2 | \$ 11,927.1 | \$ 13,177.9 | \$ 13,087.3 | \$ 12,133.1 | \$ 11,117.1 | \$ 14,912.6 | \$ 17,927.5 | \$ 15,514.6 |
| NYC General Debt Service Funds | | | | | | | | | | | | |
| Yield | 0.285% | 0.286% | 0.197% | 0.247% | 0.040% | 0.243% | 0.251% | 0.254% | 0.256% | 0.405% | 0.405% | 0.408% |
| Average Portfolio | \$ 196.2 | \$ 183.9 | \$ 188.7 | \$ 2,069.7 | \$ 442.3 | \$ 279.0 | \$ 39.6 | \$ 199.2 | \$ 71.6 | \$ 788.5 | \$ 391.1 | \$ 185.5 |
| Other Funds | | | | | | | | | | | | |
| Yield | 0.688% | 0.588% | 0.438% | 0.525% | 0.639% | 0.661% | 0.674% | 0.585% | 0.556% | 0.536% | 0.725% | 0.605% |
| Average Portfolio | \$ 113.1 | \$ 151.3 | \$ 268.3 | \$ 192.0 | \$ 126.8 | \$ 129.4 | \$ 134.8 | \$ 209.0 | \$ 287.5 | \$ 353.4 | \$ 130.1 | \$ 222.6 |

(*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$1,965,013 due to the effect of the Linked Deposit and Banking Development District programs.

**STATE OF NEW YORK
SHORT-TERM INVESTMENT PORTFOLIO BALANCES
AS OF MARCH 31, 2017**

SCHEDULE 30

| | Treasury Bills | Government Sponsored Agencies | Repurchase Agreements ^(*) | Commercial Paper | Savings Acct | Certificates of Deposit | Totals | |
|--|----------------------|-------------------------------------|---|-------------------------|-------------------------|----------------------------|--------------------------|--------------------------|
| | | | | | | | 2016-17 | 2015-16 |
| Short-Term Investment Pool (STIP)..... | \$ - | \$ - | \$ 21,281,250 | \$ 9,201,968,000 | \$ 1,375,000,000 | \$ 463,659,914 | \$ 11,061,909,164 | \$ 12,136,981,229 |
| NYC General Debt Service Funds..... | - | - | 187,852,000 | - | - | - | 187,852,000 | 6,145,000 |
| Other Funds: | | | | | | | | |
| Fish and Game..... | 51,000,000 | - | - | - | - | - | 51,000,000 | 51,000,000 |
| Health Income Fund-Regular..... | - | - | - | - | - | - | - | - |
| Health Income Fund-NYC Veterans' Home..... | - | - | - | - | - | - | - | - |
| Mental Health Services Fund..... | - | - | 28,330,000 | - | - | - | 28,330,000 | 27,630,000 |
| Rensselaer County Real Estate Tax..... | - | - | - | - | - | - | - | - |
| Roswell Park Cancer Institute..... | 19,036,600 | - | - | - | - | - | 19,036,600 | 19,476,500 |
| TOTAL INVESTMENT PORTFOLIO..... | \$ 70,036,600 | \$ - | \$ 237,463,250 | \$ 9,201,968,000 | \$ 1,375,000,000 | \$ 463,659,914 | \$ 11,348,127,764 | \$ 12,241,232,729 |

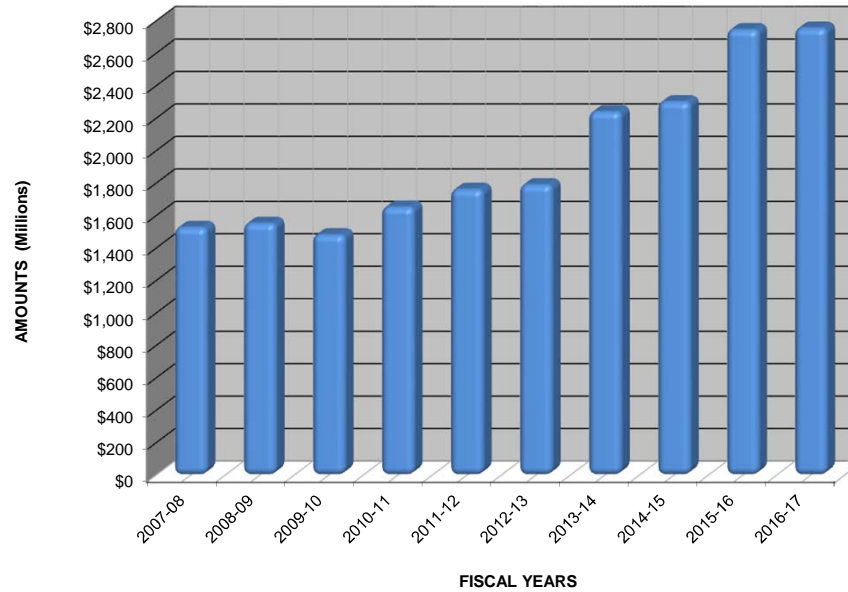
(*) Par value of collateral held

**STATE OF NEW YORK
TEMPORARY LOANS OUTSTANDING (*)
FISCAL YEARS 2007-08 THRU 2016-17**

SCHEDULE 31

| DESCRIPTION | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12 | 2012-13 | 2013-14 | 2014-15 | 2015-16 | 2016-17 |
|--|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| STATE SPECIAL REVENUE FUNDS..... | \$ 293,656,352.61 | \$ 284,165,299.29 | \$ 376,887,975.95 | \$ 413,840,422.81 | \$ 455,159,430.76 | \$ 419,499,664.90 | \$ 442,503,062.90 | \$ 323,140,719.98 | \$ 345,240,384.02 | \$ 298,260,936.34 |
| FEDERAL FUNDS..... | 465,234,183.40 | 410,549,604.43 | 318,346,351.90 | 344,096,270.51 | 341,941,278.04 | 322,956,954.98 | 643,460,447.10 | 718,739,098.23 | 1,258,139,231.72 | 979,612,229.30 |
| ENTERPRISE FUNDS..... | 348,870.45 | 351,073.55 | - | - | - | - | - | - | - | 72,549.27 |
| INTERNAL SERVICE FUNDS..... | 58,676,950.69 | 53,137,540.49 | 55,749,465.27 | 41,593,035.56 | 37,435,452.57 | 79,098,819.94 | 122,773,157.24 | 233,654,939.37 | 179,316,239.49 | 234,500,082.36 |
| CAPITAL AND BOND REIMBURSABLE FUNDS... | 712,702,520.00 | 808,073,754.43 | 734,593,737.92 | 856,641,092.46 | 930,502,988.49 | 972,368,348.38 | 1,034,847,615.92 | 1,029,229,405.60 | 966,447,979.04 | 1,246,132,185.60 |
| | \$ 1,530,618,877.15 | \$ 1,556,277,272.19 | \$ 1,485,577,531.04 | \$ 1,656,170,821.34 | \$ 1,765,039,149.86 | \$ 1,793,923,788.20 | \$ 2,243,584,283.16 | \$ 2,304,764,163.18 | \$ 2,749,143,834.27 | \$ 2,758,577,982.87 |
| CHANGE FROM PRIOR YEAR | 10.05% | 1.68% | -4.54% | 11.48% | 6.57% | 1.64% | 25.07% | 2.73% | 19.28% | 0.34% |
| CHANGE FROM 2007-08 TO 2016-17 | | | | | | | | | | 80.23% |

TEMPORARY LOANS OUTSTANDING



(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17. These loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account. In some cases, actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2017

SCHEDULE 32

| <u>FUND</u> | <u>FUND DESCRIPTION</u> | <u>AMOUNT OF LOAN</u> | <u>FUND</u> | <u>FUND DESCRIPTION</u> | <u>AMOUNT OF LOAN</u> |
|------------------------------------|--|-----------------------|--|---|--------------------------|
| STATE SPECIAL REVENUE FUNDS | | | STATE SPECIAL REVENUE FUNDS (continued) | | |
| 20452 | VOCATIONAL SCHOOL SUPERVISION..... | \$ - | 22039 | FINANCIAL OVERSIGHT ACCOUNT..... | 952,355.07 |
| 20501 | LOCAL GOVERNMENT RECORDS MANAGEMENT..... | - | 22046 | REGULATION INDIAN GAMING..... | 64,025,512.22 |
| 20810 | CHILD HEALTH INSURANCE..... | - | 22053 | ROME SCHOOL FOR THE DEAF..... | 1,586,525.07 |
| 20818 | EPIC PREMIUM ACCOUNT..... | - | 22054 | SEIZED ASSETS ACCOUNT..... | 8,114,447.40 |
| 20901 | LOTTERY-EDUCATION..... | - | 22055 | ADMINISTRATIVE ADJUDICATION ACCOUNT..... | 6,976,101.43 |
| 20904 | VLT EDUCATION..... | - | 22056 | FEDERAL SALARY SHARING ACCOUNT..... | - |
| 21001 | ENVIR FACILITIES CORPORATE ADMINISTRATION ACCOUNT..... | - | 22062 | NYC ASSESSMENT ACCOUNT..... | - |
| 21002 | ENCON ADMINISTRATION ACCOUNT..... | 3,499,038.58 | 22063 | CULTURAL EDUCATION ACCOUNT..... | 2,752,864.59 |
| 21061 | HAZARDOUS BULK STORAGE ACCOUNT..... | - | 22078 | LOCAL SERVICES ACCOUNT..... | - |
| 21065 | FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT..... | - | 22085 | DHCR - MORTGAGE SERVICING..... | 6,494,624.46 |
| 21066 | ENCON - LOW LEVEL RADIOACTIVE WASTE SITING..... | 4,513,433.53 | 22087 | DMV - COMPULSORY INSURANCE PROGRAM..... | 646,762.72 |
| 21067 | ENCON - RECREATION ACCOUNT..... | 6,712,137.44 | 22090 | HOUSING INDIRECT COST RECOVERY..... | 4,651,120.23 |
| 21077 | PUBLIC SAFETY RECOVERY ACCOUNT..... | - | 22100 | DHCR - HOUSING CREDIT AGENCY APPLY FEE..... | 1,730,823.43 |
| 21081 | ENVIRONMENTAL REGULATORY ACCOUNT..... | 33,665,680.80 | 22130 | LOW INCOME HOUSING CREDIT MONITORING..... | - |
| 21082 | NATURAL RESOURCES ACCOUNT..... | 17,252,905.74 | 22135 | EFC - CORPORATION ADMINISTRATION ACCOUNT..... | - |
| 21084 | MINED LAND RECLAMATION ACCOUNT..... | - | 22144 | MONTROSE VETERAN'S HOME..... | - |
| 21087 | GREAT LAKES RESTORATION INITIATIVE..... | - | 22151 | DEFERRED COMPENSATION BOARD ADMIN ACCOUNT..... | 247,258.10 |
| 21201 | AUDIT AND CONTROL OIL SPILL..... | - | 22156 | RENT REVENUE OTHER - NYC..... | - |
| 21202 | HEALTH DEPARTMENT OIL SPILL..... | - | 22158 | RENT REVENUE ACCOUNT..... | 457,645.31 |
| 21203 | DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL..... | - | 22168 | TAX REVENUE ARREARAGE ACCOUNT..... | - |
| 21204 | OIL SPILL COMPENSATION..... | - | 22654 | STATE UNIVERSITY NON RESIDENT INCOME OFFSET..... | 19,540,212.38 |
| 21205 | LICENSE FEE SURCHARGES..... | - | 22751 | LAKE GEORGE PARK TRUST FUND..... | 13,293.03 |
| 21401 | PUBLIC TRANSPORTATION SYSTEMS..... | - | 22802 | STATE POLICE MOTOR VEHICLE ENFORCE ACCOUNT..... | - |
| 21402 | METROPOLITAN MASS TRANSPORTATION..... | - | 23001 | DOT - HIGHWAY SAFETY PROGRAM..... | 9,324,001.37 |
| 21451 | OPERATING PERMIT PROGRAM..... | 20,347,826.89 | 23101 | EFC DRINKING WATER PROGRAM..... | - |
| 21452 | MOBILE SOURCE ACCOUNT..... | 1,810,287.58 | 23102 | DOH DRINKING WATER PROGRAM..... | 5,350,949.70 |
| 21902 | HEALTH - SPARCS ACCOUNT..... | - | 23151 | NYCCC OPERATING OFFSET..... | 25,438,479.25 |
| 21905 | THRUWAY AUTHORITY ACCOUNT..... | 1,912,797.93 | 23701 | COMMERCIAL GAMING REVENUE..... | - |
| 21907 | MENTAL HYGIENE PROGRAM..... | - | 23702 | COMMERCIAL GAMING REGULATION..... | 4,520,714.86 |
| 21909 | MENTAL HYGIENE PATIENT INCOME ACCOUNT..... | - | | | |
| 21911 | FINANCIAL CONTROL BOARD ACCOUNT..... | 732,165.15 | TOTAL STATE SPECIAL REVENUE FUNDS..... | | |
| 21912 | RACING REGULATION ACCOUNT..... | 4,600,201.90 | | | \$ 298,260,936.34 |
| 21913 | NY METROPOLITAN TRANSPORTATION COUNCIL..... | 22,969,404.21 | FEDERAL FUNDS | | |
| 21937 | STATE UNIVERSITY DORMITORY INCOME REIMBURSEMENTS ACCT..... | - | 25000-25099 | FEDERAL USDA/FOOD AND NUTRITION SERVICES..... | 6,386,310.48 |
| 21945 | CRIMINAL JUSTICE IMPROVEMENT..... | - | 25100-25199 | FEDERAL HEALTH AND HUMAN SERVICES..... | 24,748,885.83 |
| 21959 | ENVIRONMENTAL LABORATORY REFERENCE FEE ACCOUNT..... | - | 25200-25249 | FEDERAL EDUCATION GRANTS..... | 12,293,770.59 |
| 21962 | CLINICAL LABORATORY FEE REFERENCE ACCOUNT..... | 11,081,623.66 | 25300-25899 | FEDERAL MISCELLANEOUS OPERATING GRANTS..... | 338,795,504.49 |
| 21978 | INDIRECT COST RECOVERY..... | - | 25900-25949 | UI ADMINISTRATION..... | 21,577,270.09 |
| 21979 | HIGH SCHOOL EQUIVALENCY PROGRAM..... | - | 25950 | FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING..... | - |
| 21989 | MULTI - AGENCY TRAINING ACCOUNT..... | - | 26000-26049 | FEDERAL EMPLOYMENT AND TRAINING GRANTS..... | 944,067.00 |
| 22003 | BELL JAR COLLECTION ACCOUNT..... | - | 31351 | MILITARY AND NAVAL AFFAIRS..... | 8,018,710.21 |
| 22004 | INDUSTRY AND UTILITY SERVICE..... | - | 31354 | DEPARTMENT OF TRANSPORTATION..... | 386,603,189.12 |
| 22006 | REAL PROPERTY DISPOSITION..... | - | 31350-31449 | FEDERAL CAPITAL PROJECTS FUND..... | 180,244,521.49 |
| 22007 | PARKING ACCOUNT..... | - | | | |
| 22009 | ASBESTOS SAFETY TRAINING..... | 221,314.43 | TOTAL FEDERAL FUNDS..... | | |
| 22032 | BATAVIA SCHOOL FOR THE BLIND..... | 6,118,427.88 | | | \$ 979,612,229.30 |
| 22034 | INVESTMENT SERVICES..... | - | | | |
| 22036 | SURPLUS PROPERTY ACCOUNT..... | - | | | |

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2017

SCHEDULE 32
 (continued)

| <u>FUND</u> | <u>FUND DESCRIPTION</u> | <u>AMOUNT OF LOAN</u> | <u>FUND</u> | <u>FUND DESCRIPTION</u> | <u>AMOUNT OF LOAN</u> |
|--|--|---------------------------|--|---|----------------------------|
| CAPITAL AND BOND REIMBURSABLE FUNDS | | | CAPITAL AND BOND REIMBURSABLE FUNDS (continued) | | |
| 30051 | HIGHWAY AND BRIDGE CAPITAL..... | 59,057,034.36 | 30149 | REHAB/REPAIR DELHI..... | - |
| 30101 | REHAB/REPAIR MARITIME..... | - | 30150 | D25RVE - DELHI..... | - |
| 30102 | D21RVE - MARITIME..... | - | 30151 | REHAB/REPAIR FARMINGDALE..... | - |
| 30103 | D36RVE - CENTRAL ADMIN..... | - | 30152 | D26RVE - FARMINGDALE..... | - |
| 30104 | RESIDENCE HALL CAMPUS LET BOND PROCEEDS..... | - | 30153 | REHAB/REPAIR MORRISVILLE..... | - |
| 30105 | REHAB/REPAIR ALBANY..... | - | 30154 | D27RVE - MORRISVILLE..... | - |
| 30106 | D01RVE - ALBANY..... | - | 30351 | STATE PARK INFRASTRUCTURE..... | 84,301,727.50 |
| 30107 | REHAB/REPAIR BINGHAMTON..... | - | 30501 | CW/CA IMPLEMENTATION DEC..... | - |
| 30108 | D07RVE - BINGHAMTON..... | - | 30502 | CW/CA IMPLEMENTATION STATE..... | - |
| 30109 | REHAB/REPAIR BUFFALO UNIVERSITY..... | - | 30503 | CW/CA IMPLEMENTATION ERDA..... | - |
| 30110 | D28RVE - SUNY BUFFALO..... | - | 30504 | CW/CA IMPLEMENTATION EFC..... | - |
| 30111 | REHAB/REPAIR STONYBROOK..... | - | 31506 | HAZARDOUS WASTE CLEANUP ACCOUNT..... | 179,202,829.66 |
| 30112 | D13RVE - STONYBROOK..... | - | 31701 | YOUTH FACILITIES IMPROVEMENT..... | 15,111,630.18 |
| 30113 | REHAB/REPAIR BROOKLYN..... | - | 31801 | HOUSING ASSISTANCE..... | 13,630,607.54 |
| 30114 | D14RVE - HSC BROOKLYN..... | - | 31851 | HOUSING PROG FUND - HOUSING TRUST FUND CORPORATION..... | - |
| 30115 | REHAB/REPAIR SYRACUSE..... | - | 31852 | HOUSING PROG FUND - AFFORD HOUSING-CORPORATION..... | 32,157,043.54 |
| 30116 | D15RVE - HSC SYRACUSE..... | - | 31853 | HOUSING PROG FUND - DEPT OF SOCIAL SERVICES..... | 108,060,546.17 |
| 30117 | REHAB/REPAIR BROCKPORT..... | - | 31854 | HOUSING PROG FUND - HFA..... | - |
| 30118 | D02RVE - BROCKPORT..... | - | 31951 | HIGHWAY FACILITY PURPOSE..... | 12,616,380.06 |
| 30119 | REHAB/REPAIR BUFFALO COLLEGE..... | - | 32213 | NY RACING ACCOUNT..... | 153,750.00 |
| 30120 | D03RVE - SUB BUFFALO..... | - | 32215 | IT CAPITAL FINANCING ACCT..... | 65,551.86 |
| 30121 | REHAB/REPAIR CORTLAND..... | - | 32301 | OPWDD - STATE FACILITIES PRE 12/99..... | - |
| 30122 | D04RVE - CORTLAND..... | - | 32302 | DSAS - COMMUNITY FACILITIES..... | - |
| 30123 | REHAB/REPAIR FREDONIA..... | - | 32303 | OMH - COMMUNITY FACILITIES..... | 159,666,627.61 |
| 30124 | D05RVE - FREDONIA..... | - | 32304 | OPWDD - COMMUNITY FACILITIES..... | - |
| 30125 | REHAB/REPAIR GENESEO..... | - | 32305 | OASAS - COMMUNITY FACILITIES..... | 250,173,619.36 |
| 30126 | D06RVE - GENESEO..... | - | 32306 | DASNY - OMH ADMIN..... | 19,122,565.71 |
| 30127 | REHAB/REPAIR OLD WESTBURY..... | - | 32307 | DASNY - OPWDD ADMIN..... | 6,260,978.11 |
| 30128 | D31RVE - OLD WESTBURY..... | - | 32308 | DASNY - OASAS ADMIN..... | 621,583.90 |
| 30129 | REHAB/REPAIR NEW PALTZ..... | - | 32309 | OMH - STATE FACILITIES..... | 61,017,613.43 |
| 30130 | D08RVE - NEW PALTZ..... | - | 32310 | OPWDD - STATE FACILITIES..... | - |
| 30131 | REHAB/REPAIR ONEONTA..... | - | 32311 | OASAS - STATE FACILITIES..... | 1,674,516.24 |
| 30132 | D09RVE - ONEONTA..... | - | 32351 | CORRECTIONAL FACILITY CAPITAL IMPROVEMENT..... | - |
| 30133 | REHAB/REPAIR OSWEGO..... | - | 32352 | DOCCS - REHABILITATION PROJECTS..... | 186,713,890.65 |
| 30134 | D10RVE - OSWEGO..... | - | 33001 | STORM RECOVERY ACCOUNT..... | 56,523,689.72 |
| 30135 | REHAB/REPAIR PLATTSBURGH..... | - | | | |
| 30136 | D11RVE - PLATTSBURGH..... | - | | TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS..... | \$ 1,246,132,185.60 |
| 30137 | REHAB/REPAIR POTSDAM..... | - | | | |
| 30138 | D12RVE - POTSDAM..... | - | | ENTERPRISE FUNDS | |
| 30139 | REHAB/REPAIR PURCHASE..... | - | 50318 | OGS CONVENTION CENTER ACCOUNT..... | - |
| 30140 | D29RVE - PURCHASE..... | - | 50327 | EMPIRE STATE PLAZA GIFT SHOP..... | 72,549.27 |
| 30141 | REHAB/REPAIR FOR UTICA/ROME..... | - | | | |
| 30142 | D27RVE - CAMPUS RESERVE..... | - | | TOTAL ENTERPRISE FUNDS..... | \$ 72,549.27 |
| 30143 | REHAB/REPAIR ALFRED..... | - | | | |
| 30144 | D22RVE - ALFRED..... | - | | | |
| 30145 | REHAB/REPAIR CANTON..... | - | | | |
| 30146 | D23RVE - CANTON..... | - | | | |
| 30147 | REHAB/REPAIR COBLESKILL..... | - | | | |
| 30148 | D24RVE - COBLESKILL..... | - | | | |

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2017

SCHEDULE 32
 (continued)

| <u>FUND</u> | <u>FUND DESCRIPTION</u> | <u>AMOUNT OF LOAN</u> | <u>FUND</u> | <u>FUND DESCRIPTION</u> | <u>AMOUNT OF LOAN</u> |
|-------------------------------|---|-----------------------|---|--|-----------------------|
| INTERNAL SERVICE FUNDS | | | INTERNAL SERVICE FUNDS (continued) | | |
| 55001 | CENTRALIZED SERVICES - FLEET MANAGEMENT..... | 764,766.33 | 55022 | BUSINESS SERVICES CENTER..... | - |
| 55002 | CENTRALIZED SERVICES - INFORMATION RESOURCE MANAGEMENT..... | - | 55052 | ARCHIVES RECORD MANAGEMENT..... | - |
| 55003 | CENTRALIZED SERVICES - PRINTING..... | 1,983,720.85 | 55053 | FEDERAL SINGLE AUDIT..... | - |
| 55004 | CENTRALIZED SERVICES - REAL PROPERTY LABOR..... | - | 55056 | CIVIL SERVICE EHS OCCUPATIONAL HEALTH PROGRAM..... | - |
| 55005 | CENTRALIZED SERVICES - DONATED FOODS..... | - | 55057 | BANKING SERVICES ACCOUNT..... | - |
| 55006 | CENTRALIZED SERVICES - FEDERAL PERSONAL PROPERTY..... | - | 55058 | CULTURAL RESOURCE SURVEY..... | 4,634,929.38 |
| 55007 | CENTRALIZED SERVICES - CONSTRUCTION SERVICES..... | 669,625.92 | 55059 | NEIGHBOR WORK PROJECT..... | 9,772,583.56 |
| 55008 | CENTRALIZED SERVICES - PASNY..... | 21,241,120.49 | 55060 | AUTOMATIC / PRINT CHARGEBACKS..... | - |
| 55009 | CENTRALIZED SERVICES - ADMINISTRATIVE SUPPORT..... | - | 55061 | OFFICE OF INFORMATION TECHNOLOGY SERVICES/ NYT..... | 3,292,138.84 |
| 55010 | CENTRALIZED SERVICES - DESIGN AND CONSTRUCTION..... | 905,731.72 | 55062 | DATA CENTER ACCOUNT..... | 54,400,295.36 |
| 55011 | CENTRALIZED SERVICES - INSURANCE SERVICE..... | 1,411,106.17 | 55066 | CYBER SECURITY INTRUSION ACCOUNT..... | 1,261,584.27 |
| 55012 | CENTRALIZED SERVICES - SECURITY CARD ACCESS..... | 187,144.92 | 55067 | DOMESTIC VIOLENCE GRANT..... | 103,258.92 |
| 55013 | CENTRALIZED SERVICES - COPS..... | - | 55069 | CENTRALIZED TECHNOLOGY SERVICES..... | 14,823,407.12 |
| 55014 | CENTRALIZED SERVICES - FOOD SERVICES..... | - | 55071 | LABOR CONTACT CENTER..... | 18,940.59 |
| 55015 | CENTRALIZED SERVICES - HOMER FOLKS..... | - | 55072 | HUMAN SERVICES CONTACT CENTER..... | 579,138.95 |
| 55016 | CENTRALIZED SERVICES - INTERAGENCY MAIL & MESSENGER COURIER SERVICE.. | 26,961.54 | 55073 | TAX CONTACT CENTER..... | - |
| 55017 | DOWNSTATE WAREHOUSE..... | 191,523.53 | 55251 | EXECUTIVE DIRECTION INTERNAL AUDIT..... | 5,007,674.41 |
| 55018 | BUILDING ADMINISTRATION ACCOUNT..... | - | 55252 | CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES..... | 10,577,755.40 |
| 55019 | LEASE SPACE INITIATIVE..... | - | 55300 | HEALTH INSURANCE INTERNAL SERVICE..... | 7,770,818.21 |
| 55020 | OGS ENTERPRISE CONTRACTING..... | 60,850,340.72 | 55301 | CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM ACCOUNT..... | 9,365,294.78 |
| 55021 | NYS MEDIA CENTER..... | 2,063,152.53 | 55350 | CORRECTIONAL INDUSTRIES INTERNAL SERVICE..... | 22,597,067.85 |
| | | | TOTAL INTERNAL SERVICE FUNDS..... | | |
| | | | \$ 234,500,082.36 | | |
| | | | GRAND TOTAL..... | | |
| | | | \$ 2,758,577,982.87 | | |

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17. The loans represent authorizations made by the Legislature to allow certain funds to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund. In some cases, actual revenues are not sufficient to repay all loans made to the fund and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 33

This schedule presents balances of petty cash, travel, and other cash advances (i.e., confidential, patient, and inmate work release) issued to State business units pursuant to §115 of the State Finance Law. Advances are issued from business unit appropriations and cash is transferred from the State Treasury to a local bank account for appropriate use. Cash advance accounts are reimbursed periodically by vouchers audited and approved by the State Comptroller for payment out of the State Treasury.

| <u>BUSINESS UNIT</u> | <u>PETTY CASH</u> | <u>TRAVEL</u> | <u>OTHER</u> | <u>TOTAL ADVANCES</u> |
|--|-------------------|---------------|--------------|-----------------------|
| Adirondack Park Agency..... | \$ 4,000 | \$ - | \$ - | \$ 4,000 |
| Agriculture and Markets, Department of: | | | | |
| Agriculture and Markets, Department of..... | 10,000 | - | - | 10,000 |
| State Fair..... | 2,000 | - | - | 2,000 |
| Alcoholic Beverage Control, Division of..... | 2,000 | - | - | 2,000 |
| Alcoholism and Substance Abuse Services, Office of: | | | | |
| Alcoholism and Substance Abuse Services, Office of..... | 2,800 | - | - | 2,800 |
| Kingsboro Addiction Treatment Center..... | 1,500 | - | - | 1,500 |
| Budget, Division of the..... | 8,500 | - | - | 8,500 |
| Children and Family Services, Office of..... | 29,400 | - | 54,400 | 83,800 |
| City University of New York: | | | | |
| College of Staten Island..... | 5,500 | - | - | 5,500 |
| Hunter College..... | 55,000 | 2,000 | - | 57,000 |
| John Jay College..... | 18,700 | - | - | 18,700 |
| Lehman College..... | 5,000 | - | - | 5,000 |
| New York City College of Technology..... | 5,000 | 2,266 | - | 7,266 |
| School of Journalism..... | 5,000 | - | - | 5,000 |
| School of Law..... | 30,000 | - | - | 30,000 |
| University Accounting Office - Accounts Payable..... | 13,000 | - | 273,500 | 286,500 |
| York College..... | 10,500 | 1,225 | - | 11,725 |
| Civil Service, Department of..... | 3,000 | - | - | 3,000 |
| Correction, State Commission of..... | 2,000 | - | - | 2,000 |
| Corrections and Community Supervision (Corcraft), Department of..... | 6,500 | - | - | 6,500 |
| Corrections and Community Supervision, Department of: | | | | |
| Adirondack Correctional Facility..... | 1,100 | 500 | 100 | 1,700 |
| Albion Correctional Facility..... | 2,000 | 1,000 | 2,700 | 5,700 |
| Altona Correctional Facility..... | 2,500 | 2,300 | 200 | 5,000 |
| Attica Correctional Facility..... | 1,500 | 2,500 | 200 | 4,200 |

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 33
(continued)

| BUSINESS UNIT | PETTY CASH | TRAVEL | OTHER | TOTAL ADVANCES |
|--|------------|--------|--------|----------------|
| Auburn Correctional Facility..... | 6,000 | 2,000 | 500 | 8,500 |
| Bare Hill Correctional Facility..... | 1,500 | 1,000 | 1,000 | 3,500 |
| Bedford Hills Correctional Facility..... | 7,500 | 400 | 800 | 8,700 |
| Cape Vincent Correctional Facility..... | 2,000 | 2,000 | 1,000 | 5,000 |
| Cayuga Correctional Facility..... | 5,000 | 1,000 | 500 | 6,500 |
| Central Pharmacy..... | 1,000 | - | - | 1,000 |
| Clinton Correctional Facility..... | 10,500 | 5,000 | 500 | 16,000 |
| Collins Correctional Facility..... | 5,000 | 2,000 | 1,200 | 8,200 |
| Corrections and Community Supervision, Department of..... | 7,000 | 7,000 | 10,200 | 24,200 |
| Coxsackie Correctional Facility..... | 2,500 | - | 200 | 2,700 |
| Downstate Correctional Facility..... | 1,700 | 250 | 1,000 | 2,950 |
| Eastern Correctional Facility..... | 2,500 | 300 | 50 | 2,850 |
| Edgecombe Correctional Facility..... | 4,000 | 250 | 1,500 | 5,750 |
| Elmira Correctional Facility..... | 4,000 | 2,000 | 1,000 | 7,000 |
| Fishkill Correctional Facility..... | 4,000 | 2,000 | 3,500 | 9,500 |
| Five Points Correctional Facility..... | 1,000 | 1,500 | 500 | 3,000 |
| Franklin Correctional Facility..... | 4,500 | 1,500 | 1,000 | 7,000 |
| Gouverneur Correctional Facility..... | 1,500 | 1,500 | 500 | 3,500 |
| Gowanda Correctional Facility..... | 2,200 | 2,000 | 200 | 4,400 |
| Great Meadow Correctional Facility..... | 5,500 | 3,500 | 300 | 9,300 |
| Green Haven Correctional Facility..... | 3,500 | 1,200 | 300 | 5,000 |
| Greene Correctional Facility..... | 4,000 | 1,000 | 1,200 | 6,200 |
| Groveland Correctional Facility..... | 2,000 | 1,000 | 400 | 3,400 |
| Hale Creek Alcohol and Substance Abuse Correctional Treatment Center | 1,000 | 500 | 25 | 1,525 |
| Hudson Correctional Facility..... | 3,000 | 500 | 1,550 | 5,050 |
| Lakeview Shock Incarceration Correctional Facility..... | 3,000 | 1,000 | 200 | 4,200 |
| Lincoln Correctional Facility..... | 2,700 | - | 5,100 | 7,800 |
| Livingston Correctional Facility..... | 4,450 | - | - | 4,450 |
| Marcy Correctional Facility..... | 4,500 | 500 | 500 | 5,500 |
| Mid-State Correctional Facility..... | 1,000 | 2,000 | 300 | 3,300 |
| Mohawk Correctional Facility..... | 2,500 | 200 | 250 | 2,950 |
| Moriah Shock Incarceration Correctional Facility..... | 2,000 | - | - | 2,000 |
| New York City Central Administration..... | 5,000 | 750 | - | 5,750 |
| Ogdensburg Correctional Facility..... | 2,500 | 2,000 | 250 | 4,750 |
| Operations - Central Office..... | 10,000 | 15,000 | 2,500 | 27,500 |
| Orleans Correctional Facility..... | 2,500 | 1,000 | 1,500 | 5,000 |
| Otisville Correctional Facility..... | 1,000 | - | 50 | 1,050 |
| Queensboro Correctional Facility..... | 2,000 | 1,000 | 5,000 | 8,000 |
| Riverview Correctional Facility..... | 1,000 | 1,000 | - | 2,000 |
| Rochester Correctional Facility..... | 1,600 | - | 7,000 | 8,600 |
| Shawangunk Correctional Facility..... | 1,200 | - | 100 | 1,300 |
| Sing Sing Correctional Facility..... | 7,000 | 750 | 4,000 | 11,750 |

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017**

**SCHEDULE 33
(continued)**

| BUSINESS UNIT | PETTY CASH | TRAVEL | OTHER | TOTAL ADVANCES |
|---|-----------------------|---------------|--------------|---------------------------|
| Southport Correctional Facility..... | 1,500 | 200 | 200 | 1,900 |
| Sullivan Correctional Facility..... | 2,000 | 300 | 250 | 2,550 |
| Taconic Correctional Facility..... | 5,000 | 700 | 300 | 6,000 |
| Ulster Correctional Facility..... | 1,000 | - | 3,500 | 4,500 |
| Upstate Correctional Facility..... | 1,600 | 2,700 | 500 | 4,800 |
| Wallkill Correctional Facility..... | 2,000 | 500 | 300 | 2,800 |
| Washington Correctional Facility..... | 2,000 | 600 | 200 | 2,800 |
| Watertown Correctional Facility..... | 1,000 | 1,500 | 500 | 3,000 |
| Wende Correctional Facility..... | 2,500 | 1,000 | 250 | 3,750 |
| Willard Drug Treatment Center..... | 1,500 | 1,000 | 300 | 2,800 |
| Woodbourne Correctional Facility..... | - | 1,500 | 100 | 1,600 |
| Wyoming Correctional Facility..... | 4,300 | 4,300 | 1,000 | 9,600 |
| Criminal Justice Services, Division of..... | 3,000 | - | - | 3,000 |
| Education, State Department of: | | | | |
| Batavia School for the Blind..... | 4,000 | - | - | 4,000 |
| Education, State Department of..... | 3,000 | - | 297,000 | 300,000 |
| Rome School for the Deaf..... | 2,000 | - | - | 2,000 |
| Elections, Board of..... | - | - | 5,000 | 5,000 |
| Employee Relations, Office of..... | 2,000 | - | - | 2,000 |
| Environmental Conservation, Department of..... | 30,000 | - | 37,000 | 67,000 |
| Financial Control Board for New York City..... | 750 | - | - | 750 |
| Financial Services, Department of..... | 6,000 | - | 10,000 | 16,000 |
| General Services, Office of: | | | | |
| General Services, Office of..... | 20,000 | - | 6,300,000 | 6,320,000 |
| General Services New York Power Authority Miscellaneous, Office of..... | - | - | 6,000,000 | 6,000,000 |
| Health, Department of: | | | | |
| Health, Department of..... | 14,950 | - | 7,000 | 21,950 |
| Helen Hayes Hospital..... | 20,000 | - | - | 20,000 |
| New York State Veterans' Home at Montrose..... | 15,000 | - | - | 15,000 |
| New York State Veterans' Home at Oxford..... | 13,000 | - | 1,200 | 14,200 |
| New York State Veterans' Home at St Albans..... | 15,000 | - | - | 15,000 |
| Western New York Veterans' Home at Batavia..... | 4,000 | - | 1,500 | 5,500 |

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017**

**SCHEDULE 33
(continued)**

| BUSINESS UNIT | PETTY CASH | TRAVEL | OTHER | TOTAL ADVANCES |
|---|-----------------------|---------------|--------------|---------------------------|
| Human Rights, Division of..... | 4,500 | - | - | 4,500 |
| Inspector General, Office of the State..... | 500 | - | 30,000 | 30,500 |
| Interest on Lawyer Account (IOLA)..... | 520 | 980 | - | 1,500 |
| Joint Commission on Public Ethics..... | 500 | - | - | 500 |
| Judicial Conduct..... | 4,000 | - | - | 4,000 |
| Justice Center for the Protection of People with Special Needs..... | 2,000 | - | - | 2,000 |
| Labor, Department of..... | 28,000 | - | - | 28,000 |
| Lake George Park Commission..... | 2,000 | - | - | 2,000 |
| Law, Department of: | | | | |
| Attorney General, Office of the..... | 30,050 | - | 214,500 | 244,550 |
| Medicaid Fraud Control..... | 8,000 | - | 40,000 | 48,000 |
| Legislative Bill Drafting Commission..... | 2,000 | 2,000 | - | 4,000 |
| Legislature - Assembly: | | | | |
| Legislative Task Force on Demographic Research and Reapportionment..... | 250 | - | - | 250 |
| New York State Assembly..... | 18,750 | 7,000 | - | 25,750 |
| Medicaid Inspector General, Office of..... | 500 | - | 1,000 | 1,500 |
| Mental Health, Office of: | | | | |
| Brooklyn Children's Psychiatric Center..... | 5,000 | - | 2,100 | 7,100 |
| Bronx Children's Psychiatric Center..... | 8,000 | - | 3,025 | 11,025 |
| Bronx Psychiatric Center..... | 5,000 | - | 19,020 | 24,020 |
| Buffalo Psychiatric Center..... | 30,500 | - | 45,020 | 75,520 |
| Capital District Psychiatric Center..... | 5,100 | - | 7,410 | 12,510 |
| Central New York Psychiatric Center..... | 5,550 | - | 5,225 | 10,775 |
| Creedmoor Psychiatric Center..... | 16,500 | - | 69,522 | 86,022 |
| Elmira Psychiatric Center..... | 11,000 | - | 6,350 | 17,350 |
| Greater Binghamton Health Center..... | 3,500 | - | 24,900 | 28,400 |
| Kingsboro Psychiatric Center..... | 21,793 | - | 27,545 | 49,338 |
| Kirby Forensic Psychiatric Center..... | 28,600 | - | - | 28,600 |
| Manhattan Psychiatric Center..... | 52,420 | - | - | 52,420 |
| Mental Health, Office of..... | 4,800 | - | - | 4,800 |

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017**

**SCHEDULE 33
(continued)**

| <u>BUSINESS UNIT</u> | <u>PETTY CASH</u> | <u>TRAVEL</u> | <u>OTHER</u> | <u>TOTAL ADVANCES</u> |
|---|-----------------------|---------------|--------------|---------------------------|
| Mid-Hudson Forensic Psychiatric Center..... | 16,925 | - | - | 16,925 |
| Mohawk Valley Psychiatric Center..... | 8,000 | - | 11,000 | 19,000 |
| Nathan Kline Institute..... | 1,300 | - | - | 1,300 |
| New York State Psychiatric Institute..... | 2,000 | - | - | 2,000 |
| Pilgrim Psychiatric Center..... | 7,100 | - | 94,209 | 101,309 |
| Queens Facility Wide..... | 11,000 | - | - | 11,000 |
| Richard H. Hutchins Psychiatric Center..... | 17,000 | - | 17,500 | 34,500 |
| Rochester Psychiatric Center..... | 17,000 | - | 24,093 | 41,093 |
| Rockland Children's Psychiatric Center..... | 19,200 | - | 800 | 20,000 |
| Rockland Psychiatric Center..... | 35,000 | - | 41,500 | 76,500 |
| Sagamore Children's Psychiatric Center..... | 9,500 | - | 6,075 | 15,575 |
| South Beach Psychiatric Center..... | 14,000 | - | 13,000 | 27,000 |
| St. Lawrence Psychiatric Center..... | - | - | 24,884 | 24,884 |
| Western New York Children's Psychiatric Center..... | 2,000 | - | 1,325 | 3,325 |
| Military and Naval Affairs, Division of..... | 20,000 | - | - | 20,000 |
| Motor Vehicles, Department of..... | 248,475 | 5,000 | 28,000 | 281,475 |
| NYS Gaming Commission..... | 1,000 | - | - | 1,000 |
| Parks, Recreation and Historic Preservation, Office of..... | 40,000 | - | 273,090 | 313,090 |
| People With Developmental Disabilities, Office for: | | | | |
| Bernard M Fineson DDSO..... | 34,000 | - | - | 34,000 |
| Brooklyn DDSO..... | 34,000 | - | - | 34,000 |
| Broome DDSO..... | 45,675 | - | 1,450 | 47,125 |
| Capital District DDSO..... | 50,000 | - | - | 50,000 |
| Central New York DDSO..... | 40,000 | - | - | 40,000 |
| Finger Lakes DDSO..... | 108,100 | - | 4,500 | 112,600 |
| Hudson Valley DDSO..... | 40,302 | - | - | 40,302 |
| Institute for Basic Research in Development Disabilities..... | 12,000 | - | - | 12,000 |
| Long Island DDSO..... | 25,000 | - | - | 25,000 |
| Metro New York DDSO..... | 38,505 | - | - | 38,505 |
| People With Developmental Disabilities, Office for..... | 2,000 | - | - | 2,000 |
| Staten Island DDSO..... | 19,000 | - | - | 19,000 |
| Sunmount DDSO..... | 26,000 | - | - | 26,000 |
| Taconic DDSO..... | 67,000 | - | - | 67,000 |
| Western New York DDSO..... | 60,000 | - | 50,000 | 110,000 |
| Public Employment Relations Board..... | 1,500 | - | - | 1,500 |

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017**

**SCHEDULE 33
(continued)**

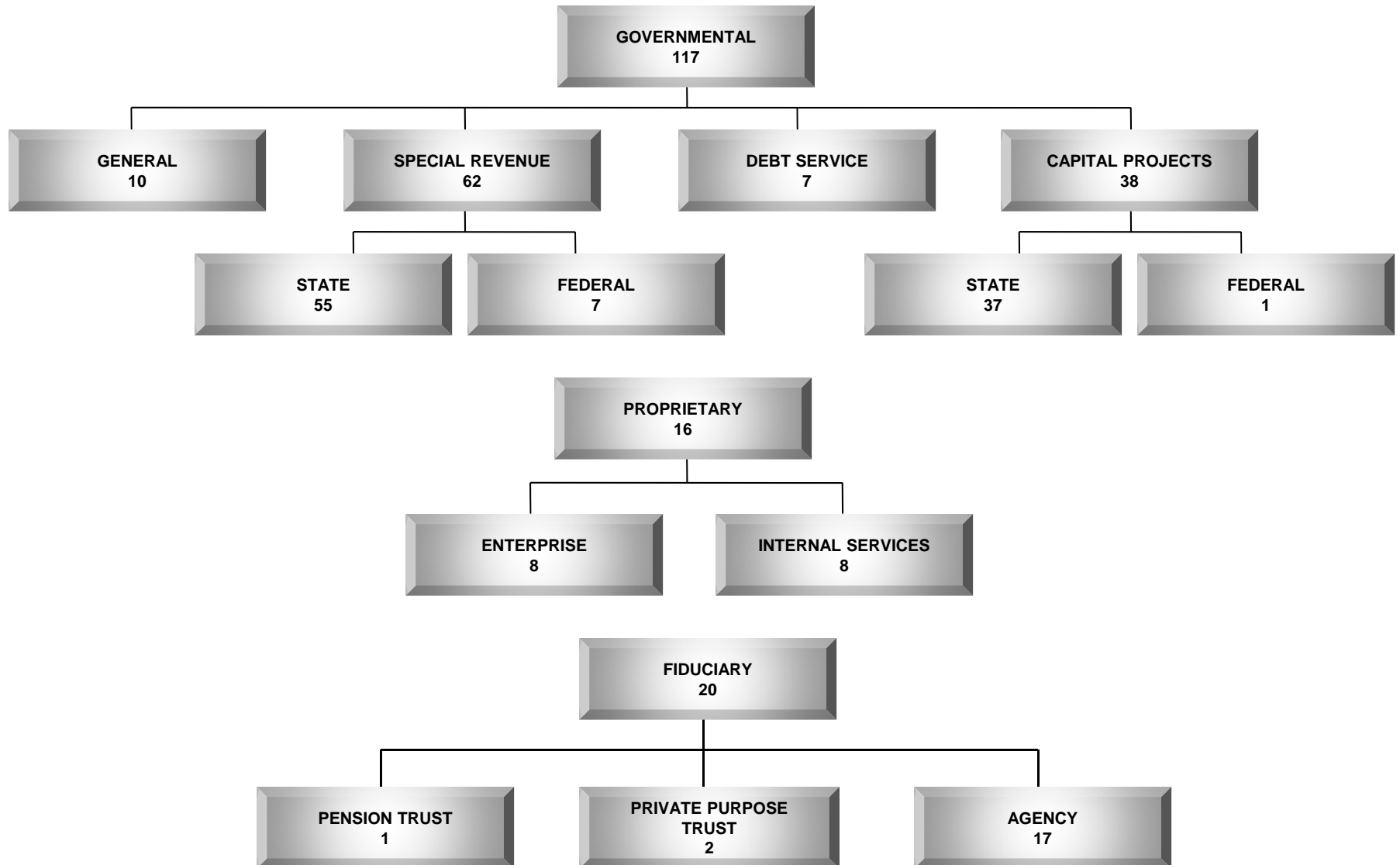
| BUSINESS UNIT | PETTY CASH | TRAVEL | OTHER | TOTAL ADVANCES |
|--|-----------------------|---------------|--------------|---------------------------|
| Public Service, Department of..... | 13,400 | 15,000 | - | 28,400 |
| State Comptroller, Office of the..... | 9,600 | 23,000 | - | 32,600 |
| State Police, Division of: | | | | |
| New York State Police - Troop A..... | 1,000 | - | 2,000 | 3,000 |
| New York State Police - Troop B..... | 1,000 | - | 12,000 | 13,000 |
| New York State Police - Troop C..... | 1,000 | - | 2,000 | 3,000 |
| New York State Police - Troop D..... | 1,000 | - | 2,000 | 3,000 |
| New York State Police - Troop E..... | 1,000 | - | 2,000 | 3,000 |
| New York State Police - Troop F..... | 1,000 | - | 2,000 | 3,000 |
| New York State Police - Troop G..... | 1,000 | - | 2,000 | 3,000 |
| New York State Police - Troop K..... | 1,000 | - | 2,000 | 3,000 |
| New York State Police - Troop L..... | 1,000 | - | 8,000 | 9,000 |
| New York State Police - Troop New York City..... | - | - | 500 | 500 |
| State Police, Division of..... | 2,000 | - | 201,000 | 203,000 |
| State University of New York: | | | | |
| Central Administration..... | - | - | 3,200,000 | 3,200,000 |
| College at Brockport..... | - | - | 21,000 | 21,000 |
| College at Buffalo..... | - | 25,000 | - | 25,000 |
| College at Cortland..... | 1,350 | 2,500 | - | 3,850 |
| College at Fredonia..... | 1,000 | - | - | 1,000 |
| College at Geneseo..... | 9,800 | 37,200 | - | 47,000 |
| College at Old Westbury..... | 14,000 | 3,000 | - | 17,000 |
| College at Oneonta..... | 330 | - | - | 330 |
| College at Oswego..... | 6,000 | 30,000 | 10,000 | 46,000 |
| College at Plattsburgh..... | 4,500 | 6,000 | 17,000 | 27,500 |
| College at Potsdam..... | 2,000 | 7,000 | 11,600 | 20,600 |
| College of Technology at Alfred..... | 3,000 | 30,000 | - | 33,000 |
| College of Technology at Canton..... | 10,000 | - | - | 10,000 |
| College of Technology at Farmingdale..... | 19,500 | - | 7,500 | 27,000 |
| Health Science Center at Brooklyn..... | 60,000 | - | - | 60,000 |
| Institute of Technology at Utica/Rome..... | 3,000 | 5,000 | - | 8,000 |
| Maritime College..... | - | - | 100,000 | 100,000 |
| SUNY at Albany..... | 20,000 | - | - | 20,000 |
| SUNY at Binghamton..... | - | 65,000 | 25,000 | 90,000 |
| SUNY at Buffalo..... | 12,500 | - | - | 12,500 |
| SUNY at Stonybrook..... | 74,260 | 4,740 | - | 79,000 |

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 33
(continued)

| BUSINESS UNIT | PETTY CASH | TRAVEL | OTHER | TOTAL ADVANCES |
|--|---------------------|-------------------|----------------------|----------------------|
| State, Department of: | | | | |
| State, Department of..... | 17,000 | - | - | 17,000 |
| Tug Hill Commission..... | 1,750 | - | - | 1,750 |
| Taxation and Finance, Department of..... | 15,000 | - | 30,000 | 45,000 |
| Temporary and Disability Assistance, Office of..... | 10,000 | - | - | 10,000 |
| Transportation, Department of..... | 30,000 | 23,100 | - | 53,100 |
| Unified Court System: | | | | |
| Appellate Division - 1st Judicial Department..... | 500 | - | - | 500 |
| Appellate Division - 2nd Judicial Department..... | 300 | - | - | 300 |
| Committee on Professional Standards - 3rd Judicial Department..... | 750 | - | - | 750 |
| Court Administration Budget and Finance, Office of..... | 2,500 | - | - | 2,500 |
| Court Administration New York City, Office of..... | 500 | - | - | 500 |
| Court of Appeals..... | 50 | - | - | 50 |
| Lawyers' Fund for Client Protection..... | 5,000 | - | - | 5,000 |
| Mental Hygiene Legal Service - 2nd Judicial Department..... | 880 | - | - | 880 |
| 2nd Judicial District Kings County..... | 800 | - | - | 800 |
| 4th District Administration..... | 500 | - | - | 500 |
| 5th District Administration..... | 605 | - | - | 605 |
| 6th District Administration..... | 800 | - | - | 800 |
| 7th District Administration..... | 450 | - | - | 450 |
| 8th District Administration..... | 1,350 | - | - | 1,350 |
| 9th District Administration..... | 900 | - | - | 900 |
| 10th Judicial District Nassau County..... | 500 | - | - | 500 |
| 10th Judicial District Suffolk County..... | 1,200 | - | - | 1,200 |
| Victim Services, Office of..... | 1,550 | - | 184,000 | 185,550 |
| Welfare Inspector General, Office of..... | 500 | - | 15,000 | 15,500 |
| Workers' Compensation Board..... | 3,000 | - | - | 3,000 |
| Total of Cash Advance Accounts By Business Unit and Department..... | \$ 2,293,490 | \$ 382,211 | \$ 17,996,518 | \$ 20,672,219 |

STATE OF NEW YORK FUND STRUCTURE NUMBER OF FUNDS PER FUND TYPE AS OF MARCH 31, 2017



STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2017

APPENDIX
 (continued)

| FUND NUMBER | FUND NAME | FUND CLASSIFICATION | YEAR ESTABLISHED | AUTHORIZATION |
|-------------|--|-------------------------|------------------|--|
| 10000-10049 | Local Assistance Account | General | 1981 | State Finance Law, §72 (2a) |
| 10050-10099 | State Operations Account | General | 1981 | State Finance Law, §72 (2b) |
| 10100-10149 | Tax Stabilization Reserve | General | 1984 | State Finance Law, §92 |
| 10150-10199 | Contingency Reserve | General | 1993 | Laws of 1993, Chapter 60, §41 |
| 10200-10249 | Universal Pre-Kindergarten Reserve | General | 2000 | State Finance Law, §97-vvv |
| 10250-10299 | Community Projects | General | 1996 | State Finance Law, §99-d |
| 10300-10349 | Rainy Day Reserve | General | 2007 | State Finance Law, §92-cc |
| 10400-10449 | Refund Reserve Account | General | 1978 | State Finance Law, §71 and Tax Law §171-a |
| 10500-10549 | Fringe Benefit Escrow Account | General | 1970 | State Finance Law, §8-b, Civil Service Law §168 |
| 10550-10599 | Tobacco Revenue Guarantee | General | 2003 | State Finance Law, §97-cccc |
| 20000-20099 | Mental Health Gifts and Donations | Special Revenue - State | 1984 | Mental Hygiene Law, §7.29 and §13.29 |
| 20100-20299 | Combined Expendable Trust | Special Revenue - State | 1982 | State Finance Law, §71 and State Finance Law §11 |
| 20300-20349 | New York Interest on Lawyer Account (IOLA) | Special Revenue - State | 1983 | State Finance Law, §97-v |
| 20350-20399 | New York State Archives Partnership Trust | Special Revenue - State | 1992 | Laws of 1992, Chapter 758, §7 |
| 20400-20449 | Child Performer's Protection | Special Revenue - State | 2003 | State Finance Law, §99-j |
| 20450-20499 | Tuition Reimbursement | Special Revenue - State | 1990 | State Finance Law, §97-hh |
| 20500-20549 | Local Government Records Management Improvement | Special Revenue - State | 1989 | State Finance Law, §97-i |
| 20550-20599 | School Tax Relief | Special Revenue - State | 1998 | State Finance Law, §97-rrr |
| 20600-20649 | Charter Schools Stimulus | Special Revenue - State | 1999 | State Finance Law, §97-sss |
| 20650-20699 | Not-For-Profit Short-Term Revolving Loan | Special Revenue - State | 1991 | State Finance Law, §97-jj |
| 20800-20849 | Health Care Reform Act Resources | Special Revenue - State | 2000 | State Finance Law, §92-dd |
| 20850-20899 | Dedicated Mass Transportation Trust | Special Revenue - State | 1991 | State Finance Law, §89-c |
| 20900-20949 | State Lottery | Special Revenue - State | 1967 | State Finance Law, §92-c |
| 20950-20999 | Combined Student Loan | Special Revenue - State | 1982 | State Finance Law, §71 |
| 21000-21049 | Sewage Treatment Program Management and Administration | Special Revenue - State | 1989 | State Finance Law, §97-l |
| 21050-21149 | ENCON Special Revenue | Special Revenue - State | 1992 | State Finance Law, §71 |
| 21150-21199 | Conservation | Special Revenue - State | 1940 | State Finance Law, §83 |
| 21200-21249 | Environmental Protection and Oil Spill Compensation | Special Revenue - State | 1978 | Navigation Law, §179 |
| 21250-21299 | Training and Education Program on Occupational Safety and Health | Special Revenue - State | 1985 | State Finance Law, §97-c and Labor Law, §887 |
| 21300-21349 | Lawyers' Fund For Client Protection | Special Revenue - State | 1981 | State Finance Law, §97-t |
| 21350-21399 | Equipment Loan Fund for the Disabled | Special Revenue - State | 1985 | Social Services Law, §326-b |
| 21400-21449 | Mass Transportation Operating Assistance | Special Revenue - State | 1981 | State Finance Law, §88-a |
| 21450-21499 | Clean Air | Special Revenue - State | 1993 | State Finance Law, §97-oo |
| 21500-21549 | New York State Infrastructure Trust | Special Revenue - State | 1987 | State Finance Law, §88 |
| 21550-21599 | Legislative Computer Services | Special Revenue - State | 1984 | State Finance Law, §97-uu |
| 21600-21649 | Biodiversity Stewardship and Research | Special Revenue - State | 1993 | State Finance Law, §97-oo |
| 21650-21699 | Combined Non-Expendable Trust | Special Revenue - State | 1982 | State Finance Law, §71 |
| 21700-21749 | Winter Sports Education Trust | Special Revenue - State | 1977 | Education Law, §495-a |
| 21750-21799 | Musical Instrument Revolving | Special Revenue - State | 1983 | State Finance Law, §97-v |
| 21850-21899 | Arts Capital Revolving | Special Revenue - State | 1987 | State Finance Law, §97-z |

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2017

APPENDIX
 (continued)

| FUND NUMBER | FUND NAME | FUND CLASSIFICATION | YEAR ESTABLISHED | AUTHORIZATION |
|-------------|---|---------------------------|------------------|---|
| 21900-22499 | Miscellaneous State Special Revenue | Special Revenue - State | 1982 | State Finance Law, §71 |
| 22500-22549 | Court Facilities Incentive Aid | Special Revenue - State | 1987 | State Finance Law, §94 |
| 22550-22599 | Employment Training | Special Revenue - State | 1983 | State Finance Law, §71 |
| 22650-22699 | State University Income | Special Revenue - State | 1959 | Education Law, §355 (4) |
| 22700-22749 | Chemical Dependence Service | Special Revenue - State | 1984 | State Finance Law, §97-w |
| 22750-22799 | Lake George Park Trust | Special Revenue - State | 1987 | State Finance Law, §97-h |
| 22800-22849 | State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention | Special Revenue - State | 1992 | State Finance Law, §89-d and §97-mm |
| 22850-22899 | New York Great Lakes Protection | Special Revenue - State | 1990 | State Finance Law, §97-ee |
| 22900-22949 | Federal Revenue Maximization Contract | Special Revenue - State | 1996 | State Finance Law, §97-ttt |
| 22950-22999 | Housing Development | Special Revenue - State | 1968 | Private Housing Finance Law, §574 |
| 23000-23049 | NYS DOT Highway Safety Program | Special Revenue - State | 1990 | State Finance Law, §90 |
| 23050-23099 | Vocational Rehabilitation | Special Revenue - State | 1969 | State Finance Law §97-III |
| 23100-23149 | Drinking Water Program Management and Administration | Special Revenue - State | 1997 | State Finance Law, §97-ddd |
| 23150-23199 | New York City County Clerks' Operations Offset | Special Revenue - State | 1992 | State Finance Law, §94-a |
| 23200-23249 | Judiciary Data Processing Offset | Special Revenue - State | 1992 | State Finance Law, §94-b |
| 23250-23449 | City University Tuition Reimbursement (CUTRA) | Special Revenue - State | 1995 | State Finance Law, §71 |
| 23500-23549 | US Olympic Committee/Lake Placid Olympic Training | Special Revenue - State | 1995 | State Finance Law, §84 |
| 23550-23599 | Indigent Legal Services | Special Revenue - State | 2003 | State Finance Law, §98-b |
| 23600-23649 | Unemployment Insurance Interest and Penalty | Special Revenue - State | 1952 | Labor Law, §552 |
| 23650-23699 | MTA Financial Assistance | Special Revenue - State | 2009 | State Finance Law, §92-ff |
| 23700-23749 | New York State Commercial Gaming Revenue | Special Revenue - State | 2013 | State Finance Law, §97-nnnn |
| 23750-23799 | Medical Marijuana Trust | Special Revenue - State | 2014 | State Finance Law, §89-h |
| 23800-23899 | Dedicated Miscellaneous State Special Revenue | Special Revenue - State | 2016 | State Finance Law, §71 |
| 24950-24999 | Interactive Fantasy Sports | Special Revenue - State | 2016 | Racing, Pari-Mutuel Wagering and Breeding Law, Article 14 |
| 40350-40399 | State University Dormitory Income | Special Revenue - State | 1955 | Education Law, §355 (8) |
| 25000-25099 | Federal USDA/Food and Nutrition Services | Special Revenue - Federal | 1988 | State Finance Law, §71 |
| 25100-25199 | Federal Health and Human Services | Special Revenue - Federal | 1972 | State Finance Law, §71 |
| 25200-25249 | Federal Education | Special Revenue - Federal | 1998 | State Finance Law, §71 |
| 25300-25899 | Federal Miscellaneous Operating Grants | Special Revenue - Federal | 1981 | State Finance Law, §71 |
| 25900-25949 | Unemployment Insurance Administration | Special Revenue - Federal | 1936 | Labor Law, §551, §552-a, §552-b and §530 |
| 25950-25999 | Unemployment Insurance Occupational Training | Special Revenue - Federal | 1967 | State Finance Law, §71 |
| 26000-26049 | Federal Employment and Training Grants | Special Revenue - Federal | 1983 | State Finance Law, §71 |
| 30000-30049 | State Capital Projects | Capital Projects - State | 1982 | State Finance Law, §93 |
| 30050-30099 | Dedicated Highway and Bridge Trust | Capital Projects - State | 1991 | State Finance Law, §89-b |
| 30100-30299 | State University Residence Halls Rehabilitation and Repair | Capital Projects - State | 1991 | State Finance Law, §71 |
| 30300-30349 | New York State Canal System Development | Capital Projects - State | 1992 | State Finance Law, §92-u |
| 30350-30399 | State Park Infrastructure | Capital Projects - State | 1993 | State Finance Law, §97-mm |
| 30400-30449 | Passenger Facility Charge | Capital Projects - State | 1993 | State Finance Law, §90-a |
| 30450-30499 | Environmental Protection | Capital Projects - State | 1993 | State Finance Law, §92-s |
| 30500-30549 | Clean Water/Clean Air Implementation | Capital Projects - State | 1997 | State Finance Law, §97-eee |

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2017

APPENDIX
 (continued)

| FUND NUMBER | FUND NAME | FUND CLASSIFICATION | YEAR ESTABLISHED | AUTHORIZATION |
|-------------|---|----------------------------|------------------|--|
| 30600-30609 | Energy Conservation Through Improved Transportation Bond | Capital Projects - State | 1980 | State Finance Law, §57 (5) and §76 (2) and §76 (3) |
| 30610-30619 | Park and Recreation Land Acquisition Bond | Capital Projects - State | 1960 | State Finance Law, §57 (5) |
| 30620-30629 | Pure Waters Bond | Capital Projects - State | 1965 | State Finance Law, §57 (5) |
| 30630-30639 | Transportation Capital Facilities Bond | Capital Projects - State | 1967 | State Finance Law, §57 (5) |
| 30640-30649 | Environmental Quality Protection Bond Act (1972) | Capital Projects - State | 1973 | State Finance Law, §97-a |
| 30650-30659 | Rebuild and Renew New York Transportation Bond | Capital Projects - State | 2005 | State Finance Law, §97-eeee |
| 30660-30669 | Transportation Infrastructure Renewal Bond | Capital Projects - State | 1983 | State Finance Law, §74 |
| 30670-30679 | Environmental Quality Bond Act (1986) | Capital Projects - State | 1986 | State Finance Law, §97-d |
| 30680-30689 | Accelerated Capacity and Transportation Improvements Bond | Capital Projects - State | 1988 | State Finance Law, §77 |
| 30690-30699 | Clean Water/Clean Air Bond | Capital Projects - State | 1996 | State Finance Law, §97-aaa |
| 30700-30709 | State Housing Bond | Capital Projects - State | 1939 | State Finance Law, §60 (5) |
| 30710-30719 | Smart Schools Bond | Capital Projects - State | 2014 | State Finance Law, §97-oooo |
| 30750-30799 | Outdoor Recreation Development Bond | Capital Projects - State | 1965 | State Finance Law, §57 (5) |
| 30900-30949 | Rail Preservation and Development Bond | Capital Projects - State | 1974 | State Finance Law, §76 |
| 31350-31449 | Federal Capital Projects | Capital Projects - Federal | 1982 | State Finance Law, §71 |
| 31450-31499 | Forest Preserve Expansion | Capital Projects - State | 1958 | State Finance Law, §97-e |
| 31500-31549 | Hazardous Waste Remedial | Capital Projects - State | 1982 | State Finance Law, §97-b |
| 31650-31699 | Suburban Transportation | Capital Projects - State | 1987 | State Finance Law, §88-b |
| 31700-31749 | Division For Youth Facilities Improvement | Capital Projects - State | 1990 | State Finance Law, §97-gg |
| 31800-31849 | Housing Assistance | Capital Projects - State | 1988 | State Finance Law, §92-q |
| 31850-31899 | Housing Program | Capital Projects - State | 1990 | State Finance Law, §71 |
| 31900-31949 | Natural Resource Damages | Capital Projects - State | 1994 | State Finance Law, §71 |
| 31950-31999 | Department of Transportation Engineering Services | Capital Projects - State | 1989 | State Finance Law, §71 |
| 32200-32249 | Miscellaneous Capital Projects | Capital Projects - State | 1988 | State Finance Law, §71 |
| 32250-32299 | City University of New York Capital Projects | Capital Projects - State | 1987 | State Finance Law, §71 and Education Law §377 |
| 32300-32349 | Mental Hygiene Facilities Capital Improvement | Capital Projects - State | 1990 | State Finance Law, §71 |
| 32350-32399 | Correctional Facilities Capital Improvement | Capital Projects - State | 1990 | State Finance Law, §71 |
| 32400-32999 | State University Capital Projects | Capital Projects - State | 1977 | State Finance Law, §71 |
| 33000-33049 | NYS Storm Recovery | Capital Projects - State | 2013 | State Finance Law, §93-a |
| 33050-33099 | Dedicated Infrastructure Investment Fund | Capital Projects - State | 2015 | State Finance Law, §93-b |
| 40000-40049 | Debt Reduction Reserve | Debt Service | 1998 | State Finance Law, §97-rrr |
| 40100-40149 | Mental Health Services | Debt Service | 1987 | State Finance Law, §97-f |
| 40150-40199 | General Debt Service | Debt Service | 1982 | State Finance Law, §71, §72, §73, §92-h and §92-z |
| 40250-40299 | Housing Debt | Debt Service | 1940 | State Finance Law, §97 |
| 40300-40349 | Department of Health Income | Debt Service | 1971 | Public Health Law, §409 |
| 40400-40449 | Clean Water/Clean Air | Debt Service | 1996 | State Finance Law, §97-bbb |
| 40450-40499 | Local Government Assistance Tax | Debt Service | 1990 | State Finance Law, §92-r |
| 50000-50049 | Youth Commissary | Enterprise | 1970 | Executive Law, §517 |
| 50050-50099 | State Exposition Special | Enterprise | 1927 | Agriculture and Markets Law, §31-c |
| 50100-50299 | Correctional Services Commissary | Enterprise | 1948 | Correction Law, §26 |
| 50300-50399 | Agency Enterprise | Enterprise | 1983 | State Finance Law, §71 |

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2017

APPENDIX
 (continued)

| FUND NUMBER | FUND NAME | FUND CLASSIFICATION | YEAR ESTABLISHED | AUTHORIZATION |
|-------------|---|-----------------------|------------------|---|
| 50400-50449 | Sheltered Workshop | Enterprise | 1984 | State Finance Law, §71 |
| 50450-50499 | Patient Workshop | Enterprise | 1984 | State Finance Law, §71 |
| 50500-50599 | Mental Hygiene Community Stores | Enterprise | 1965 | Mental Hygiene Law, §7.27 (c) and §13.27 (c) |
| 50650-50699 | Unemployment Insurance Benefit | Enterprise | 1938 | Labor Law, §550 |
| 55000-55049 | Centralized Services | Internal Service | 1964 | State Finance Law, §97-g |
| 55050-55099 | Agency Internal Service | Internal Service | 1983 | State Finance Law, §71 |
| 55100-55149 | Mental Hygiene Revolving | Internal Service | 1953 | State Finance Law, §71 |
| 55150-55199 | Youth Vocational Education | Internal Service | 1983 | Executive Law, §513 |
| 55200-55249 | Joint Labor and Management Administration | Internal Service | 1986 | State Finance Law, §71 |
| 55250-55299 | Audit and Control Revolving | Internal Service | 1982 | State Finance Law, §71 |
| 55300-55349 | Health Insurance Revolving | Internal Service | 1982 | State Finance Law, §71 |
| 55350-55399 | Correctional Industries Revolving | Internal Service | 1982 | State Finance Law, §71 |
| 60050-60149 | School Capital Facilities Financing Reserve | Agency | 1988 | Education Law, §407-a and §407-b |
| 60150-60199 | Child Performer's Holding | Agency | 2004 | State Finance Law, §99-k |
| 60200-60249 | Employees Health Insurance | Agency | 1956 | Civil Service Law, §167 (6) |
| 60250-60299 | Social Security Contribution | Agency | 1953 | Retirement and Social Security Law, §134 and §141 |
| 60300-60399 | Employee Payroll Withholding | Agency | 1982 | State Finance Law, §71 |
| 60400-60449 | Employees Dental Insurance | Agency | 1971 | State Finance Law, §71 |
| 60450-60499 | Management Confidential Group Insurance | Agency | 1971 | State Finance Law, §71 |
| 60500-60549 | Lottery Prize | Agency | 1967 | Tax Law, §1612 |
| 60550-60599 | Health Insurance Reserve Receipts | Agency | 1977 | State Finance Law, §99-c (3) |
| 60600-60799 | Miscellaneous New York State Agency | Agency | 1982 | State Finance Law, §71 |
| 60800-60849 | Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow | Agency | 1987 | State Finance Law, §71 |
| 60850-60899 | CUNY Senior College Operating | Agency | 1977 | Education Law, §6221 |
| 60900-60949 | Medicaid Management Information System (MMIS) Escrow | Agency | 1977 | Social Services Law, §367-b |
| 60950-60999 | Special Education | Agency | 1985 | Civil Service Law, §154-b, §154-c, and Executive Law §227-a (3) |
| 61000-61099 | State University of New York Revenue Collection | Agency | 1976 | State Finance Law, §71 |
| 61100-61999 | State University Federal Direct Lending Program | Agency | 1995 | State Finance Law, §71 |
| 62000-62049 | SSI SSP Payment | Agency | 2014 | State Finance Law, §71 |
| 65000-65049 | Common Retirement Administration | Pension Trust | 1967 | Retirement and Social Security Law, §422 |
| 66000-66049 | Agriculture Producers' Security | Private Purpose Trust | 1992 | Agriculture and Markets Law, §250 |
| 66050-66099 | Milk Producers' Security | Private Purpose Trust | 1987 | Agriculture and Markets Law, §258-b (4) (a) |

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES**

**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

John Traylor
Christopher Gorka
David J. Hasso, CPA, CGFM, CGMA

Executive Deputy Comptroller, Office of Operations
Deputy Comptroller, Payroll, Accounting and Revenue Services
Assistant Comptroller, Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

Deborah J. Hilson
Maria Guzman, CPA
Carrie Piser
Maria Moran, CPA, CGFM
Deidre Clark

Executive Director
Assistant Director
Assistant Chief Accountant, Cash Basis Financial Reporting
Principal Accountant, Cash Basis Financial Reporting
Assistant Chief Accountant, GAAP Basis Financial Reporting

Report Contributors

Jennifer Bordoni
Carol Bruso
Rachel Byron
Laura Canham-Lunde
Peter Salony, CPA
Michael Donadio
Laura Hadsall
Laura Hennessey

Bo Jiang
Karen Kellogg
Krista Leslie
Rosemary Liss
Vincenzo Lollino
Beverly Lucas
Stacey Myrie
Thony Pierre

Stephen Raptoulis, CPA
David Richter
Agata Roberts
Eric Swanson
Chuck Trimbach
Christopher Tuohy
Blanche Vellano